

# OTD Title VI Plan - Attachment 4

## Title VI Investigations, Complaints, and Lawsuits

*(FTA Circular 4702.18, Chapter III, Paragraph 7: In order to comply with the reporting requirements of 49 CFR 21.9(b), FTA requires all recipients to prepare and maintain a list of any of the following that allege KYTC Title VI Complaint Procedure discrimination on the basis of race, color, or national origin: active investigations ; lawsuits, and complaints naming the recipient.)*

In accordance with 49 CFR 21.9(b), The Office of Transportation Delivery of the Kentucky Transportation Cabinet must record and report any investigations, complaints, or lawsuits involving allegations of discrimination. The records of these events shall include the date the investigation, lawsuit, or complaint was filed; a summary of the allegations; the status of the investigation, lawsuit, or complaint; and actions taken by The Office of Transportation Delivery of the Kentucky Transportation Cabinet in response; and final findings related to the investigation, lawsuit, or complaint. The records for the previous three (3) years shall be included in the Title VI Plan when it is submitted to the Federal Transit Administration (FTA).

The Office of Transportation Delivery of the Kentucky Transportation Cabinet has had no investigations, complaints, or lawsuits involving allegations of discrimination on the basis of race, color, or national origin over the past three (3) years. A summary of these incidents is recorded in the following table:

Summary of Investigations, Lawsuits, and Complaints

	<u>Date</u> (Month, Day, Year)	<u>Summary</u> (include basis of complaint race, color, or national origin)	<u>Status</u>	<u>Action Taken</u>
Investigations				
1.				
2.				
3.				
Lawsuits				
1.				
2.				
3.				
Complaints				
1.				
2.				
3.				