



**CALL NO. 100**

**CONTRACT ID. 081004**

**CARLISLE COUNTY**

**FED/STATE PROJECT NUMBER BRZ 0103 (285)**

**LETTING DATE: November 21, 2008**

Sealed Bids will be received in the Division of Construction Procurement and/or the Auditorium located on the 1<sup>st</sup> floor of the Transportation Cabinet Office Building until 10:00 AM EASTERN STANDARD TIME November 21, 2008. Bids will be publicly opened and read at 10:00 AM EASTERN STANDARD TIME.

**ROAD AND/OR BRIDGE PLANS**

**DBE CERTIFICATION REQUIRED**

**REQUIRED BID PROPOSAL GUARANTY:** Not less than 5% of the total bid.

(Check guaranty submitted: Cashier's Check  Certified Check  Bid Bond  )

**BID BONDS WHEN SUBMITTED WILL BE RETAINED WITH THE PROPOSAL**

DBE General Plan Included

BID

PROPOSAL ISSUED TO: \_\_\_\_\_

SPECIMEN

\_\_\_\_\_

Address

City

State

Zip

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**PART I**  
**SCOPE OF WORK**

CONTRACT ID - 081004

ADMINISTRATIVE DISTRICT - 01

PROJECT(S) IDENTIFICATION AND DESCRIPTION:

COUNTY - CARLISLE

PCN - DE02012280804

BRZ 0103 (285)

OVERHEAD BRIDGE ROAD (CR 1228) REPLACE BRIDGE AND APPROACHES AT CANADIAN  
NATIONAL/ILLINOIS CENTRAL RAILROAD, 0.4 MILE WEST OF KY 51, A DISTANCE OF 0.10 MILES.  
BRIDGE WITH GRADE, DRAIN & SURFACE. SYP NO. 01-01002.00.

GEOGRAPHIC COORDINATES LATITUDE 36^50'00" LONGITUDE 89^00'00"

COMPLETION DATE(S):

125 WORKING DAYS

APPLIES TO ENTIRE CONTRACT

## **CONTRACT NOTES**

### **PROPOSAL ADDENDA**

All addenda to this proposal must be incorporated into the proposal when the bid is submitted to the Kentucky Department of Highways. Failure to use the correct and most recent bid sheet(s) may result in the bid being rejected.

### **BID SUBMITTAL**

Bidder must use the Department's Highway Bid Program available on the Internet web site of the Department of Highways, Division of Construction Procurement. ([www.transportation.ky.gov/contract](http://www.transportation.ky.gov/contract))

The Bidder must download the bid items created from the web site to prepare a bid proposal for submission to the Department. The bidder must insert the completed bid item sheets printed from the Program into the bidder's proposal and submit with the disk created by said program.

### **JOINT VENTURE BIDDING**

Joint Venture bidding is permissible. However, both companies **MUST** purchase a bidding proposal. Either proposal may be submitted but must contain the company names and signatures of both parties where required. A joint bid bond of 5% may be submitted for both companies or each company may submit a separate bond of 5%.

### **UNDERGROUND FACILITY DAMAGE PROTECTION**

The contractor is advised that the Underground Facility Damage Protection Act of 1994, became law January 1, 1995. It is the contractor's responsibility to determine the impact of the act regarding this project, and take all steps necessary to be in compliance with the provision of the act.

### **CONTRACT DBE GOAL**

The Disadvantaged Business Enterprise (DBE) goal established for this contract is 5 % of the total value of the contract.

The contractor shall exercise all necessary and reasonable steps to ensure that Disadvantaged Business Enterprises participate in at least the percent of the contract as set forth above as goals for this contract.

### **FEDERAL CONTRACT NOTES**

The Kentucky Department of Highways, in accordance with the Regulations of the United States Department of Transportation 23 CFR 65.112 (h), hereby notifies all bidders that failure by a bidder to comply with all applicable sections of the current Kentucky Standard Specifications, including, but not limited to the following, may result in a bid not being considered responsive and thus not eligible to be considered for award:

102.02 Current Capacity Rating  
102.08 Irregular Proposals  
102.09 Proposal Guaranty

102.10 Delivery of Proposals  
102.14 Disqualification of Bidders

### **CIVIL RIGHTS ACT OF 1964**

The Kentucky Department of Highways, in accordance with the provisions of Title VI of the Civil Rights Act of 1964 (78 Stat. 252) and the Regulations of the Federal Department of Transportation (49 C.F.R., Part 21), issued pursuant to such Act, hereby notifies all bidders that it will affirmatively insure that the contract entered into pursuant to this advertisement will be awarded to the lowest responsible bidder without discrimination on the ground of race, color, or national origin.

### **NOTICE TO ALL BIDDERS**

To report bid rigging activities call: 1-800-424-9071.

The U.S. Department of Transportation (DOT) operates the above toll-free "hotline" Monday through Friday, 8:00 a.m. to 5:00 p.m. eastern time. Anyone with knowledge of possible bid rigging, bidder collusion, or other fraudulent activities should use the "hotline" to report such activities.

The "hotline" is part of the DOT's continuing effort to identify and investigate highway construction contract fraud and abuse and is operated under the direction of the DOT Inspector General. All information will be treated confidentially and caller anonymity will be respected.

### **FHWA 1273**

The requirements of Paragraph VI of FHWA 1273 does not apply to projects with a total cost of less than \$1,000,000.00.

**SECOND TIER SUBCONTRACTS**

Second Tier subcontracts on federally assisted projects shall be permitted. However, in the case of DBE's, second tier subcontracts will only be permitted where the other subcontractor is also a DBE. All second tier subcontracts shall have the consent of both the Contractor and the Engineer.

### **DISADVANTAGED BUSINESS ENTERPRISE PROGRAM**

It is the policy of the Kentucky Transportation Cabinet (“the Cabinet”) that Disadvantaged Business Enterprises (“DBE”) shall have the opportunity to participate in the performance of highway construction projects financed in whole or in part by Federal Funds in order to create a level playing field for all businesses who wish to contract with the Cabinet. To that end, the Cabinet will comply with the regulations found in 49 CFR Part 26, and the definitions and requirements contained therein shall be adopted as if set out verbatim herein.

The Cabinet, contractors, subcontractors, and sub-recipients shall not discriminate on the basis of race, color, national origin, or sex in the performance of work performed pursuant to Cabinet contracts. The contractor shall carry out applicable requirements of 49 CFR 26 in the award and administration of federally assisted highway construction projects. The contractor will include this provision in all its subcontracts and supply agreements pertaining to contracts with the Cabinet.

Failure by the contractor to carry out these requirements is a material breach of its contract with the Cabinet, which may result in the termination of the contract or such other remedy as the Cabinet deems necessary.

### **OBLIGATION OF CONTRACTORS**

Each contractor prequalified to perform work on Cabinet projects shall designate and make known to the Cabinet a liaison officer who is assigned the responsibility of effectively administering and promoting an active program for utilization of DBEs.

If a formal goal has not been designated for the contract, all contractors are encouraged to consider DBEs for subcontract work as well as for the supply of material and services needed to perform this work.

Contractors are encouraged to use the services of banks owned and controlled by minorities and women.



### **CERTIFICATION OF CONTRACT GOAL**

Contractors shall include the following certification in bids for projects for which a DBE goal has been established. BIDS SUBMITTED WHICH DO NOT INCLUDE CERTIFICATION OF DBE PARTICIPATION WILL NOT BE READ PUBLICLY. These bids will not be considered for award by the Cabinet and they will be returned to the bidder.

“The bidder certifies that it has secured participation by Disadvantaged Business Enterprises (“DBE”) in the amount of \_\_\_\_ percent of the total value of this contract and that the DBE participation is in compliance with the requirements of 49 CFR 26 and the policies of the Kentucky Transportation Cabinet pertaining to the DBE Program.”

**The certification statement is located on the last page of this proposal. All contractors must certify their DBE participation on that page. DBEs utilized in achieving the DBE goal must be certified and prequalified for the work items at the time the bid is submitted.**

### **DBE PARTICIPATION PLAN**

All bidders are encouraged to submit their General DBE Participation Plan with their bid on the official form. Lowest responsive bidders whose bid packages include DBE Participation Plans may be awarded the contract at the next Awards Committee meeting provided that the DBE goal is met. The DBE Participation Plan shall include the following:

1. Name and address of DBE Subcontractor(s) and/or supplier(s) intended to be used in the proposed project;
2. Description of the work each is to perform including the work item , unit, quantity, unit price and total amount of the work to be performed by the individual DBE;
3. The dollar value of each proposed DBE subcontract and the percentage of total project contract value this represents. DBE participation may be counted as follows:
  - a) If DBE suppliers and manufactures assume actual and contractual responsibility, the dollar value of materials to be furnished will be counted toward the goal as follows:
    - The entire expenditure paid to a DBE manufacturer;
    - 60 percent of expenditures to DBE suppliers that are not manufacturers provided the supplier is a regular dealer in the product involved. A regular dealer must be engaged in, as its principal business and in its own name, the sale of products to the public, maintain an inventory and own and operate distribution equipment; and
    - the amount of fees or commissions charged by the DBE firms for a bona fide service, such as professional, technical, consultant, or managerial services and assistance in the procurement of essential personnel, facilities, equipment, materials, supplies, delivery of materials and

- supplies or for furnishing bonds, or insurance, providing such fees or commissions are determined to be reasonable and customary.
- b) The dollar value of services provided by DBEs such as quality control testing, equipment repair and maintenance, engineering, staking, etc.;
  - c) The dollar value of joint ventures. DBE credit for joint ventures will be limited to the dollar amount of the work actually performed by the DBE in the joint venture;
4. Written and signed documentation of the bidder's commitment to use a DBE contractor whose participation is being utilized to meet the DBE goal; and
  5. Written and signed confirmation from the DBE that it is participating in the contract as provided in the prime contractor's commitment.

The apparent low bidder who does not submit a General DBE Participation Plan with the bid shall submit it within 10 calendar days after receipt of notification that they are the apparent low bidder. The project will not be considered for award prior to submission and approval of the apparent low bidder's DBE Participation Plan.

Detailed DBE Participation Plan forms will be included in the Contractor Package presented to successful bidders following the awarding of the project. The Detailed DBE Participation Plan must be completed and returned to Contract Procurement in accordance with Cabinet policy. A copy of the blank estimate will be included with the Detailed DBE Participation Plan to list sequence items by PCN (Project Control Number).

Changes to DBE Participation Plans must be approved by the Cabinet. The Cabinet may consider extenuating circumstances including, but not limited to, changes in the nature or scope of the project, the inability or unwillingness of a DBE to perform the work in accordance with the bid, and/or other circumstances beyond the control of the prime contractor.

#### **CONSIDERATION OF GOOD FAITH EFFORTS REQUESTS**

If the DBE participation submitted in the bid by the apparent lowest responsive bidder does not meet or exceed the DBE contract goal, the apparent lowest responsive bidder must submit a Good Faith Effort Package to satisfy the Cabinet that sufficient good faith efforts were made to meet the contract goals prior to submission of the bid. Efforts to increase the goal after bid submission will not be considered in justifying the good faith effort, unless the contractor can show that the proposed DBE was solicited prior to the letting date. DBEs utilized in achieving the DBE goal must be certified and prequalified for the work items at the time the bid is submitted. One complete set and nine (9) copies of this information must be received in the office of the Division of Contract Procurement no later than 12:00 noon of the tenth calendar day after receipt of notification that they are the apparent low bidder.

Where the information submitted includes repetitious solicitation letters it will be acceptable to submit a sample representative letter along with a distribution list of the firms solicited. Documentation of DBE quotations shall be a part of the good faith effort

submittal as necessary to demonstrate compliance with the factors listed below which the Cabinet considers in judging good faith efforts. This documentation may include written subcontractors' quotations, telephone log notations of verbal quotations, or other types of quotation documentation.

The Good Faith Effort Package shall include, but may not be limited to information showing evidence of the following:

1. Whether the bidder attended any pre-bid meetings that were scheduled by the Cabinet to inform DBEs of subcontracting opportunities;
2. Whether the bidder provided solicitations through all reasonable and available means;
3. Whether the bidder provided written notice to all DBEs listed in the DBE directory at the time of the letting who are prequalified in the areas of work that the bidder will be subcontracting;
4. Whether the bidder followed up initial solicitations of interest by contacting DBEs to determine with certainty whether they were interested. If a reasonable amount of DBEs within the targeted districts do not provide an intent to quote or no DBEs are prequalified in the subcontracted areas, the bidder must notify the DBE Liaison in the Office of Minority Affairs to give notification of the bidder's inability to get DBE quotes;
5. Whether the bidder selected portions of the work to be performed by DBEs in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate DBE participation, even when the prime contractor might otherwise perform these work items with its own forces;
6. Whether the bidder provided interested DBEs with adequate and timely information about the plans, specifications, and requirements of the contract;
7. Whether the bidder negotiated in good faith with interested DBEs not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached;
8. Whether quotations were received from interested DBE firms but were rejected as unacceptable without sound reasons why the quotations were considered unacceptable. The fact that the DBE firm's quotation for the work is not the lowest quotation received will not in itself be considered as a sound reason for rejecting the quotation as unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a DBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy DBE goals;
9. Whether the bidder specifically negotiated with subcontractors to assume part of the responsibility to meet the contract DBE goal when the work to be subcontracted includes potential DBE participation;
10. Whether the bidder made any efforts and/or offered assistance to interested DBEs in obtaining the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal; and

11. Any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include DBE participation.

**FAILURE TO MEET GOOD FAITH REQUIREMENT**

Where the apparent lowest responsive bidder fails to submit sufficient participation by DBE firms to meet the contract goal and upon a determination by the Good Faith Committee based upon the information submitted that the apparent lowest responsive bidder failed to make sufficient reasonable efforts to meet the contract goal, the bidder will be offered the opportunity to meet in person for administrative reconsideration. The bidder will be notified of the Committee's decision within 24 hours of its decision. The bidder will have 24 hours to request reconsideration of the Committee's decision. The reconsideration meeting will be held within two days of the receipt of a request by the bidder for reconsideration.

The request for reconsideration will be heard by the Office of the Secretary. The bidder will have the opportunity to present written documentation or argument concerning the issue of whether it met the goal or made an adequate good faith effort. The bidder will receive a written decision on the reconsideration explaining the basis for the finding that the bidder did or did not meet the goal or made adequate Good Faith efforts to do so.

The result of the reconsideration process is not administratively appealable to the Cabinet or to the United States Department of Transportation.

The Cabinet reserves the right to award the contract to the next lowest responsive bidder or to rebid the contract in the event that the contract is not awarded to the low bidder as the result of a failure to meet the good faith requirement.

**SANCTIONS FOR FAILURE TO MEET DBE REQUIREMENTS OF THE PROJECT**

Failure by the prime contractor to fulfill the DBE requirements of a project under contract or to demonstrate good faith efforts to meet the goal constitutes a breach of contract. When this occurs, the Cabinet will hold the prime contractor accountable, as would be the case with all other contract provisions. Therefore, the contractor's failure to carry out the DBE contract requirements shall constitute a breach of contract and as such the Cabinet reserves the right to exercise all administrative remedies at its disposal including, but not limited to the following:

- Disallow credit toward the DBE goal;
- Withholding progress payments;
- Withholding payment to the prime in an amount equal to the unmet portion of the contract goal; and/or
- Termination of the contract.

**PROMPT PAYMENT**

The prime contractor will be required to pay the DBE within seven (7) working days after he or she has received payment from the Kentucky Transportation Cabinet for work performed or materials furnished.

**CONTRACTOR REPORTING**

All contractors must keep detailed records and provide reports to the Cabinet on their progress in meeting the DBE requirement on any highway contract. These records may include, but shall not be limited to payroll, lease agreements, cancelled payroll checks, executed subcontracting agreements, etc. Prime contractors will be required to submit certified reports on monies paid to each DBE subcontractor or supplier utilized to meet a DBE goal.

Prime contractors will incorporate a requirement into DBE subcontracts, including supply contracts, that DBEs must provide to the Division of Construction, a copy of all checks received from the prime contractor within seven days of receipt of payment for work performed on Cabinet projects. Checks to DBE subcontractors must include the PCN number, estimate number, and the sequence and quantity.

**DEFAULT OR DECERTIFICATION OF THE DBE**

If the DBE subcontractor or supplier is decertified or defaults in the performance of its work, and the overall goal cannot be credited for the uncompleted work, the prime contractor may utilize a substitute DBE or elect to fulfill the DBE goal with another DBE on a different work item. If after exerting good faith effort in accordance with the Cabinet's Good Faith Effort policies and procedures, the prime contractor is unable to replace the DBE, then the unmet portion of the goal may be waived at the discretion of the Cabinet.

3/25/2002

**Kentucky Transportation Cabinet  
General DBE Participation Plan \***

Letting Date: \_\_\_\_\_ Project Code Number (PCN) \_\_\_\_\_ Project Number: \_\_\_\_\_  
Designated DBE Goal % \_\_\_\_\_

Prime Contractor \_\_\_\_\_ DBE Company Name \_\_\_\_\_  
Address \_\_\_\_\_  
City, State, Zip \_\_\_\_\_  
Federal Tax ID \_\_\_\_\_

Type of DBE Work: (all applicable) \_\_\_\_\_ Subcontractor \_\_\_\_\_ Manufacturer \_\_\_\_\_  
Supplier \_\_\_\_\_ Engineering \_\_\_\_\_ Other \_\_\_\_\_

Itemized work to be performed by DBE Company:

Supplier 60% Y/N	Item Number	Description of Participation	Unit of Measure	Quantity to be Performed by DBE	DBE Unit Price **	Dollar Amount (based on DBE
Total This DBE						
Total Bid						
						% Credited toward Goal, this DBE

**\*\* Note:** 60 percent of expenditures to DBE suppliers that are not manufacturers provided the supplier is a regular dealer in the product involved. A regular dealer must be engaged in, as its principal business and in its own name, the sale of products to the public, maintain an inventory and own and operate distribution equipment

Prime Contractor's Signature: \_\_\_\_\_ Title: \_\_\_\_\_ Date: \_\_\_\_\_  
DBE Participant Signature: \_\_\_\_\_ Title: \_\_\_\_\_ Date: \_\_\_\_\_

**\*This form must be completed for each DBE participant**

### **PROJECT TRAFFIC COORDINATOR (PTC)**

This project is a significant project pursuant to section 112.03.12.

### **ASPHALT MIXTURE**

The rate of application for all asphalt mixtures shall be estimated at 110 lbs/sy per inch of depth, unless otherwise noted.

### **DGA BASE**

The rate of application for DGA Base shall be estimated at 115 lbs/sy per inch of depth.

### **DGA BASE FOR SHOULDERS**

The rate of application shall be estimated at 115 lbs/sy per inch of depth. Payment for necessary grading and/or shaping of existing shoulders prior to placing of Dense Graded Aggregate Base shall be included in the unit price bid per ton for Dense Graded Aggregate Base.

### **INCIDENTAL SURFACING**

The quantities established in the proposal include estimated quantities required for resurfacing or surfacing mailbox turnouts, farm field entrances, residential and commercial entrances, and road and street approaches. These items are to be paved to the limits as shown on Standard Drawing RPM 110 or to the limits as directed by the Engineer. In the event signal detectors are present in the intersecting streets or roads, the paving of the crossroads shall be to the right of way limit or back of the signal detector, whichever is the farthest back of the mainline. These areas are to be surfaced or resurfaced as directed by the Engineer and no direct payment will be allowed for placing and compacting.

### **FUEL AND ASPHALT PAY ADJUSTMENT**

These contract items Lot Pay Adjustment, Asphalt Adjustment and Fuel Adjustment, are for possible future payments. Additional monies may need to be setup with an additional change order if existing contract amount is insufficient to pay all items on the contract. Unit price is \$1.00. Quantity will be actual adjustment after work is completed.

### **OPTION A**

The Contractor is advised that the compaction of asphalt mixtures furnished for driving lanes and ramps, at 25mm (1 inch) or greater, on this project will be accepted according to OPTION A in accordance with Section 402 and Section 403 of the *current Standard Specification*. Joint cores as described in subsection 402.03.02 are required for surface mixtures only. The compaction of all other asphalt mixtures will be accepted by OPTION B.

## SPECIAL PROVISIONS

### RELATIVE TO FLAGGING AND OTHER PROTECTION OF RAILROAD TRAFFIC DURING THE CONSTRUCTION OF OVERHEAD STRUCTURES ALONG, ON, OVER OR ACROSS THE PROPERTY OR TRACKS OF THE ILLINOIS CENTRAL RAILROAD COMPANY

#### PART A

The Contractor shall before entering upon the property of the Railroad for the performance of any construction work, or work preparatory thereto, secure permission from the Chief Engineer of the Railroad Company for the occupancy and use of the Railroad's property and shall confer with the Railroad relative to requirements for railroad clearances, operation and general safety regulations.

The Contractor shall, at all times, conduct his work in a manner satisfactory to the Chief Engineer of the Railroad Company, or his authorized representative, and shall exercise care so as to not damage the property of the Railroad Company or to interfere with the operations of the Railroad.

The Chief Engineer of the Railroad Company, or his authorized representative, will at all times have jurisdiction over the safety of railroad operations, and the decision of the Chief Engineer or his authorized representative as to procedures which may affect the safety of railroad operations shall be final, and the Contractor must be governed by such decision.

Should any damage occur to railroad property as a result of the Contractor's operations, and the Railroad Company deems it necessary to repair such damage or to perform any work for the protection of its property, the required materials, labor and equipment shall be furnished by the Railroad Company, and the Contractor shall reimburse the Railroad Company for any costs so incurred.

#### PART B

If the Contractor requires the construction of a temporary grade crossing across the track(s) of the Railroad for use during the construction of the improvement, the Contractor shall make the necessary arrangements with the Railroad for the construction, protection and later removal of such temporary grade crossing. The costs of such temporary grade crossing construction, protection, maintenance and later removal shall be promptly reimbursed to the Railroad on the basis of the Railroad's bills, to be rendered monthly.

The Contractor shall at no time cross the Railroad's property or track(s) with vehicles or equipment of any kind or character except at such temporary grade crossing as may be constructed as outlined herein, or at an existing and open public grade crossing.

#### PART C



Any flagging protection or watchman services required by the Railroad for the safety of railroad operations because of work being performed by the Contractor, or in connection therewith, will be provided by the Railroad and the cost thereof shall be reimbursed to the Railroad on the basis of the Railroad's bills, to be rendered monthly. The requirements of the Railroad are as follows:

The services of at least one (1) and possibly two (2) watchmen or flagmen will be required during: the excavation, placing and removal of cofferdams or sheeting, driving of foundation piling and placing of the concrete footings for piers adjacent to the track(s); construction and removal of any falsework, bracing or forms over or adjacent to the track(s); construction or equipment across the track; the setting or placing of beams or girders in the span(s) over any track(s); any construction operations involving direct interference with the Railroad's track(s) or traffic, fouling of railroad operating clearances or reasonable probability of accidental hazard to railroad traffic; or whenever men or equipment will be working within twenty-five (25) feet of the centerline of any live track. If an existing bridge or other structure is to be removed, the services of at least one (1) and possibly two (2) watchmen or flagmen will be required during the removal of that portion of the existing structure immediately over or adjacent to any track. Flagmen will also be furnished whenever, in the opinion of the Railroad Company's Chief Engineer, or his authorized representative, such protection is needed.

In order that the Railroad may be prepared to furnish protective services, the Contractor shall notify the Railroad Company seventy-two (72) hours in advance of when the protective services will be needed.

#### PART D

The rates of pay for the Railroad employees will be the prevailing railroad hourly wage for an eight (8) hour day for the class of employee(s) involved during the regularly assigned hours, overtime in accordance with any Labor Agreements and Schedules and the Railroad's standard additives, all as in effect at the time the work is performed.

Wage rates are subject to change, at any time, by law or by agreement between the Railroad and employees, and may be retroactive as a result of negotiations or a ruling of an authorized Governmental Agency. If the wage rates are changed, the Contractor shall pay on the basis of the new rates.

The Contractor will be required to reimburse, monthly, the Railroad for the costs of all services performed by the Railroad for the Contractor, and furnish the State, County, City or other owner satisfactory evidence that the Railroad has acknowledged receipt of same before final settlement.

#### PART E

During the construction of the footings or piers or other supports or structures adjacent to any track of the Railroad, the Contractor shall make adequate provision against sliding, shifting, sinking or in any way disturbing the railroad embankment and track(s) adjacent to said piers, supports or structures due to said construction operations, by driving temporary sheeting in a manner satisfactory to both the Owner's and Railroad's Engineers.

Before commencing work on any pier or structure adjacent to any track, the Contractor shall submit prints of the proposed sheeting and bracing details for the protection of the

Railroad Company's track(s) to the Owner's Engineer for his approval. This submittal shall include the proposed method of installation and be accompanied by supporting data, including design computations, logs of soil borings and other pertinent information.

After approval by the Owner's Engineer, two (2) sets of prints of the proposed sheeting and bracing details bearing the seal of a registered structural or professional engineer, registered in the state where the bridge is to be located, together with the supporting documents, shall be forwarded to the Railroad's Engineer for review and approval.

The Contractor shall notify the Railroad's Engineer in writing not less than seven (7) days in advance of the proposed time of the beginning of the construction of the piers, supports or structures adjacent to the track(s).

#### PART F

The following temporary clearances are the minimum which must be maintained at all times during the construction operations:

Vertical:	21'-6" above top of highest rail
Horizontal:	8'-6" from centerline of nearest track, measured at right angles thereto

If lesser clearances than the above are required for any part of the work, the Contractor shall secure written authorization from the Chief Engineer of the Railroad Company for such lesser clearances in advance of the start of work of that portion of the project along, on, over or across the property or track(s) of the Railroad.

The Contractor shall not store any materials, supplies or equipment closer than 15'-0" from the centerline of any railroad track, measured at right angles thereto.

#### PART G

The Contractor will be required, upon completion of the work, to: remove from within the limits of the property of the Railroad, all machinery, equipment, surplus materials, falsework, rubbish or temporary buildings of said Contractor; remove the approaches to any temporary grade crossing(s) constructed for the Contractor's use, restoring same as nearly as practicable to conform to the adjoining terrain; remove any accumulated silt in Railroad's side ditches, restoring proper flow thereto, and employ erosion control measures as appropriate to prevent further siltation until ground cover is reestablished; and leave said property in a neat condition satisfactory to the Chief Engineer of the Railroad Company or his authorized representative.

Office of Chief Engineer U.S. Operations  
Illinois Central Railroad Company  
August, 1999

John this is straight out of the easement agreement.

6. If any work to be performed by or for the State is let by contract, the State shall require each contractor before coming upon the Grantor's tracks or waylands, to obtain from the Grantor's authorized representative permission for occupancy and use of the premises and to ascertain and comply with the Grantor's requirements for clearances, operation, and its general safety regulations. The Grantor may furnish each contractor, at such contractor's sole cost and expense, protective services and devices, including, but not limited to, switchtenders, flagmen, or watchmen as the Grantor may deem desirable for the safety and continuity of railroad traffic during the work. Each contractor shall be required by the State to reimburse the Grantor promptly upon receipt of bill(s) for such protective services and devices furnished to the contractor.

The State shall withhold final payment to its contractor or contractors until the Grantor has notified the State that all such bills have been settled. The State shall reimburse the Grantor upon receipt of bill(s) for any work performed for the State by the Grantor.

Cost and expense for work performed by Grantor, as referred to in this indenture, shall consist of the actual cost of labor and materials plus Grantor's standard additives in effect at the time the work is performed.

7. For any work let by contract, the State shall require each of its contractors to furnish evidence of Workmen's Compensation coverage and to maintain at all times during any work: (A) Contractors' Public Liability and Property Damage Liability Insurance, including automobile coverage, with a combined single limit of \$2,000,000 per occurrence with an aggregate limit of \$6,000,000 for the term of the policy; (B) if subcontractors are involved, Contractors' Protective Public Liability and Property Damage Liability Insurance with the limits prescribed in (A) above; and (C) Railroad Protective Public Liability and Property Damage Liability Insurance with the limits prescribed in (A) above. The Railroad Protective policy shall name the Illinois Central Railroad Company as the insured, shall be in a form acceptable to the Grantor and said insurance shall be primary as it relates to the this contract. The State shall require each contractor to furnish to the Grantor the original Railroad Protective policy and certificates evidencing the other insurance coverage required in this Section. The Railroad Protective policy and all other insurance certificates shall be subject to the Grantor's approval before any work may be started on the Grantor's property by any contractor.

**CANADIAN NATIONAL RAILWAY COMPANY  
UNITED STATES REGION  
Request for flagging services**

**TO: Tom Tucker  
Audit Officer  
CN Railway Company  
2800 Livernois, Suite 120  
Troy, Michigan 48083  
(248) 740-6227  
(248) 740-6031 fax  
tom.tucker@cn.ca**

**Date submitted:** \_\_\_\_\_

**FROM:** \_\_\_\_\_  
(Name)

I am requesting a flagman for the following project. **(The blanks below must be completely filled in before any flagman request will be honored. Proof of Insurance must accompany this form. Flagman will be provided with 72-hour notice, at your cost, depending on availability. Direct your calls concerning availability and problems to (248) 740-6227.**

Project Location: \_\_\_\_\_

RR milepost, Street, etc. \_\_\_\_\_

Company: \_\_\_\_\_

Billing Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Company Phone: \_\_\_\_\_ Company Fax: \_\_\_\_\_

\*\*Agreement or Authorization No.: \_\_\_\_\_ Dated: \_\_\_\_\_

With: \_\_\_\_\_

Contractor's Contact Person: \_\_\_\_\_ Phone: \_\_\_\_\_

Date(s) Flagging needed: \_\_\_\_\_

Starting time: \_\_\_\_\_ Ending Time: \_\_\_\_\_

Location for flagman to report: \_\_\_\_\_

**Based on the number of days a flagman is required, please include check for flagging protection at the base rate of \$700.00 per day. Overtime rate is \$125.00 per hour.  
Weekend flagman protection will be billed a minimum of eight hours (8) at \$125.00 per hour.**

*\*\* You must have an agreement with the CN Railway Co., such as a Right of Entry Permit, Formal Agreement or State, County, City Project Number and proof of insurance before you can enter the property.*

**Description of work to be performed:**

*Attach map or other location info and fax completed form with cover letter on your company's letterhead and proof of insurance to Tom Tucker (248) 740-6031.*

CARLISLE COUNTY  
FD52 C020 6860101U  
CR 1228 over ICRR  
ITEM NO. 1-1002.0

A18061

Agreement No. \_\_\_\_\_  
P02 625 06000042631

**LUMP SUM  
AGREEMENT**

THIS AGREEMENT, made and entered into by and between the Commonwealth of Kentucky, Transportation Cabinet, Department of Highways, hereinafter called the "Department", Party of the First Part, and the Illinois Central Railroad Company, 455 North Cityfront Plaza Drive, Chicago, Illinois 60611, Party of the Second Part, hereinafter called the "Company".

WITNESSETH, THAT:

WHEREAS, the Department, in the interest of public safety and convenience, proposes to construct a new bridge in Carlisle County, Kentucky. Project includes the replacement of the existing highway overhead structure, with a new grade separation structure over Company's tracks, as shown on Department's roadway plans marked for identification as Carlisle County, FD52 C020 6860101D, said plans being attached hereto and made a part hereof; and

WHEREAS, this project was authorized by Official Order Authorization Number 68601 001, dated October 24, 2002, and contract to be in the lump sum amount of \$2,500.00; and

NOW, THEREFORE, in consideration of the premises, the parties hereto agree as follows:

SECTION I - The Company does hereby consent to the proposed construction by the Department. All other work to be performed by the Company will be done under a separate Agreement between the Department and the Company.

**I. C. R. R. CO. - ORIGINAL**

Carlisle County  
FD52 C020 6860101U  
Illinois Central Railroad

SECTION II – The Company with its regular engineering and office personnel will perform such engineering services as is necessary to accommodate the proposed highway construction. The estimated cost for providing preliminary and construction engineering services for this project is \$2,500.00. This estimate, which is attached hereto, and made a part hereof, represents the Lump Sum costs to be borne by the Department.

SECTION III - Upon completion of engineering work by the Company, a final bill in the Lump Sum amount of \$2,500.00 will be submitted for reimbursement. The Department within a reasonable time will pay said bill after the receipt thereof for work performed.

Carlisle County  
FD52 C020 6860101U  
Illinois Central Railroad

SECTION IV - This agreement shall be binding upon the parties hereto, their respective successors and assigns.

IN WITNESS WHEREOF, the parties hereto have caused these presents to be executed by their officers thereunto duly authorized.

This the 19 day of October, 2006.

COMMONWEALTH OF KENTUCKY  
TRANSPORTATION CABINET  
DEPARTMENT OF HIGHWAYS

By: Steve Damron

Steve Damron, P.E.  
Acting Director  
Division of Right of Way and Utilities

ILLINOIS CENTRAL RAILROAD

By: Paul E. Ladner

Title: US Region Director Contracts & Administration

Approved for Utilities & Rails Branch  
GFS  
DATE: 10/17/2006

APPROVED, FORM AND LEGALITY:

Paul J. [Signature]  
OFFICE OF GENERAL COUNSEL  
TRANSPORTATION CABINET

APPROVED AS TO FORM	
Materials Management	_____
Engineering	_____
Accounting	<u>[Signature]</u>
Law	<u>[Signature]</u>
EXECUTION	
Law	_____

++++  
ILLINOIS CENTRAL RAILROAD  
++++

OFFICE OF VICE PRESIDENT & CHIEF ENGINEER

ESTIMATE OF PRELIMINARY ENGINEERING  
FOR ENGINEERING REVIEW, CONTRACT PREPARATION  
& CONSTRUCTION INSPECTION  
(EXCLUSIVE OF SIGNAL, COMMUNICATIONS OR OUTSIDE  
CONSULTANTS)

DATE: July 20, 2006

LOCATION: SYSTEM(TYPICAL)

OFFICE ENGINEERING

CORRESPONDENCE, TRANSMITTALS, PREPARATION  
AND HANDLING OF AGREEMENTS 12 HOURS

PREPARE/REVIEW EASEMENT PLAT, LEGAL DESCRIPTION 8 HOURS

OFFICE REVIEW OF PLANS, CONTRACT SPECIFICATIONS  
SUBMISSIONS 12 HOURS

FIELD PLAN REVIEW AND FINAL INSPECTION 8 HOURS

TOTAL OFFICE ENGINEERING: 40 HOURS

TOTAL ENGR. 40 HOURS @ \$36.00/HR \$1,440.00  
DIRECT OVERHEAD 83.29% 1,186.41

TOTAL COST: \$2,626.41

THIS ITEM ONLY, LUMP SUM SAY, \$2,500.00





Canadian National/Illinois Central  
Post Office Box 2600  
Jackson, Mississippi 39207  
Telephone: (601) 914-2658  
Fax: ~~(601) 914-2649~~

July 8, 2005

Mr. R. D. Haydon  
Coordinator, Highway-Rail Projects  
Rail Section, Division of Highway Design  
Commonwealth of Kentucky  
Transportation Cabinet, Department of Highways  
State Office Building  
Frankfort, KY 40622

**SUBJECT:** Proposed OHH Bridge Replacement  
CR-1228 over ICRR  
Carlisle County Item No. 1-1002.00  
FD52 C020 6860101U  
ICRR MILEPOST 380.30  
Bardwell, Kentucky

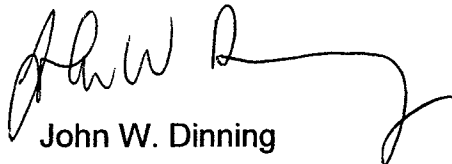
Dear Mr. Haydon:

Further reference is made to your letter of February 22, 2005 transmitting partial (right of way) plan sets for the subject project to replace the structure which carries CR 1228 over our Cario District tracks at/near Bardwell, Kentucky.

Enclosed are Triplicate original counterparts of an Easement Agreement executed on behalf of the Railroad Company, covering the subject project.

If satisfactory, please arrange for execution of duplicate counterparts of the Agreements on the State's behalf, and please return the copy marked "I.C.R.R. CO. ORIGINAL" to the undersigned.

Sincerely,

  
John W. Dinning

# Right-of-Way Certification Form

Revised 9/3/08

Federal Funded

Original

State Funded

Re-Certification

This form must be completed and submitted to FHWA with the PS&E package for federal-aid funded Interstate, Appalachia, and Mega projects. This form shall also be submitted to FHWA for **all** federal-aid projects that fall under conditions No. 2 & 3 outlined elsewhere in this form. For all other federal-aid projects, this form shall be completed and retained in the KYTC project file.

Date: October 5, 2007

Project #: FD52 C020 6860101R

County: CARLISLE

Item #: 01-1002.00

Federal #: 000BRZ 101 (171)

Letting Date: December 14, 2007

Description of project: Replace Bridge and Approaches At IC (SOU) Railroad

## Projects that require **NO** new or additional right-of-way acquisitions and/or relocations

The proposed transportation improvement will be built within the existing rights-of-way and there are no properties to be acquired, individuals and families ("relocatees") to be relocated, or improvements to be removed as a part of this project.

## Projects that require new or additional right-of-way acquisitions and/or relocations

Per 23 CFR 635.309, the KYTC hereby certify that all relocatees have been relocated to decent, safe, and sanitary housing or that KYTC has made available to relocatees adequate replacement housing in accordance with the provisions of the current FHWA directive(s) covering the administration of the Highway Relocation Assistance Program **and** that at least one of the following three conditions has been met. **(Check those that apply.)**

1. All necessary rights-of-way, including control of access rights when applicable, have been acquired including legal and physical possession. Trial or appeal of cases may be pending in court but legal possession has been obtained. There may be some improvements remaining on the right-of-way, but all occupants have vacated the lands and improvements, and KYTC has physical possession and the rights to remove, salvage, or demolish these improvements and enter on all land. **Fair market value has been paid or deposited with the court.**

2. Although all necessary rights-of-way have not been fully acquired, the right to occupy and to use all rights-of-way required for the proper execution of the project has been acquired. Trial or appeal of some parcels may be pending in court and on other parcels full legal possession has not been obtained, but an Interlocutory Judgment has been granted, the occupants of all lands and improvements have vacated, and KYTC has physical possession and right to remove, salvage, or demolish these improvements. **Fair market value has been paid or deposited with the court for most parcels. Fair market value for all pending parcels will be paid or deposited with the court prior to start of construction. (See note.)**

**Note:** The KYTC shall re-submit a right-of-way re-certification form for this project prior to the start of construction (**Notice to Proceed**), verifying that fair market value for all parcels has been paid or deposited with the court.

## Right-of-Way Certification Form



3. The acquisition or right of occupancy and use of a **few** remaining parcels are not complete and/or some parcels still have occupants. However, all remaining occupants have had replacement housing made available to them in accordance with 49 CFR 24.204. The KYTC is hereby requesting authorization to advertise this project for bids and to proceed with physical construction even though the necessary rights-of-way will not be fully acquired, and/or some occupants will not be relocated, and/or the fair market value will not be paid or deposited with the court for some parcels at the start of construction. KYTC will fully meet all the requirements outlined in 23 CFR 309(c) (3) and 49 CFR 102(j) and will expedite completion of all acquisitions, relocations, and full payments after construction starts. A full explanation and reason for this request, including identification of each such parcel and dates on which acquisitions, payments, and relocations will be completed, is attached to this certification form for FHWA consideration and approval. **(See note.)**

**Note: The KYTC may request authorization on this basis only in unique and unusual circumstances.** Proceeding to construction of projects on this basis shall be the exception and never become the rule. In all FHWA-approved cases, the KYTC shall make extraordinary efforts to expedite completion of the acquisition, payment for all affected parcels, and the relocation of all relocatees promptly 30 days after start of construction.

Approved: Greg L. Morgan October 5, 2007 District ROW Supervisor  
Printed Name Approved

Approved: \_\_\_\_\_ For Steve Damron  
Printed Name Approved Director of ROW & Utilities or Designee

Approved: N/A Olivia Michael  
Printed Name Approved FHWA, Right-of-Way Officer

## Right-of-Way Certification Form

Date: October 5, 2007

Project #: FD52 C020 6860101R

County: CARLISLE

Item #: 01-1002.00

Federal #: 000BRZ 103 (171)

Letting Date: December 14, 2007

This project has 8 total number of parcels to be acquired, and 0 total number of individual or families to be relocated, as well as 0 total number of businesses to be relocated.

7 Parcels were acquired by a signed fee simple deed and fair market value has been paid (**Type 1**)

1 Parcels have been acquired through condemnation and IOJ granted by the court and fair market value has been deposited with the court (**Type 1 certification**)

Parcels have **not been acquired at this time** but **can be Re-certified as acquired prior to Notice to Proceed for construction.**(*explain below for each parcel*) (**Type 2 certification**)

Parcels have been acquired or have a "right of Entry" but the fair market value has not been paid or has not been posted with the court, and they can not be re-certified prior to construction. (These parcels require an explanation below for each one as well as FHWA approval. (**Type 3 only**))

Some displacees have not been relocated from all parcels: (*explain below for each parcel*)(*notes to plans may be required*)

Parcel #	Name/Station	Explanation for delayed acquisition, delayed relocation, or delayed payment of fair market value	Proposed date of payment or of relocation

There are 0 billboards and/or 0 cemeteries involved on this project.

There are 0 water or monitoring wells on parcels \_\_\_\_\_ and \_\_\_\_\_. All have been acquired and are the responsibility of the project contractor to close/cap.

**SPECIAL NOTES FOR UTILITY CLEARANCE  
IMPACT ON CONSTRUCTION  
CARLISLE COUNTY, BRZ 0103 (171)  
FD52 020 68601  
OVERHEAD BRIDGE ROAD (CR 1228)  
ITEM NO. 1-1002.00**

Following is the status of the utilities on the project.

**Windstream Communications**-has aerial and underground telephone cables throughout CR 1228 that are not relocated. These facilities currently conflict with the construction of portions of this project and are not to be disturbed in any way by the contractor's operations. It is anticipated these facilities will be relocated to not conflict with the construction of this project by May 1, 2008.

**360 Networks/MCI World Comm**-has fiber optic cables located on Railroad right of way that do not directly conflict with the construction of this project and are not to be relocated. The contractor will have these cables located and must use extreme caution when working in their vicinity. These cables are not to be disturbed in any way.

**Hickman-Fulton RECC/West Kentucky RECC**-no relocations necessary.

**Bardwell City Utilities**-has a gas main from STA 22+00 to STA 25+00 that is not relocated. This facility currently conflicts with the construction of a portion of this project and is not to be disturbed in any way by the contractor's operations. It is anticipated this facility will be relocated to not conflict with the construction of this project by May 1, 2008.

**Canadian National/Illinois Central Railroad**-no utility adjustments necessary

**SPECIAL NOTES FOR UTILITY CLEARANCE  
IMPACT ON CONSTRUCTION  
CARLISLE COUNTY, BRZ 0103 (171)  
FD52 020 68601  
OVERHEAD BRIDGE ROAD (CR 1228)  
ITEM 1-1002.00  
(2)**

**PROTECTION OF UTILITIES**

The location of utilities provided in the contract documents has been furnished by the facility owners and/or by reviewing record drawings and may not be accurate. It will be the roadway contractor's responsibility to locate utilities before excavating by calling the various utility owners and by examining any supplemental information supplied by the Cabinet. If necessary, the roadway contractor shall determine the exact location and elevation of utilities by hand digging to expose utilities before excavating in the area of a utility. The cost for repair and any other associated costs for any damage to utilities caused by the roadway contractor's operations shall be borne by the roadway contractor.

The Contractor is advised to contact BUD one-call system; however, the Contractor should be aware that owners of underground facilities are not required to be members of the BUD one-call system. It may be necessary for the Contractor to contact the County Clerk Court to determine what utility companies have facilities in the project area.

KyTC BMP Plan for Project PCN ## - #####



**Kentucky Transportation Cabinet**

**Highway District 1**

**And**

**\_\_\_\_\_ (2), Construction**

**Kentucky Pollutant Discharge Elimination System  
Permit KYR10**

**Best Management Practices (BMP) plan**

**Groundwater protection plan**

**For Highway Construction Activities**

**For**

**Replacement of bridge & approaches on CR 1228  
over IC Railroad in Carlisle County**

**Project: PCN ## - #####**

KyTC BMP Plan for Project PCN ## - ####

## Project information

Note – (1) = Design (2) = Construction (3) = Contractor

1. Owner – Kentucky Transportation Cabinet, District 1
2. Resident Engineer: (2)
3. Contractor name: (2)  
Address: (2)  
  
Phone number: (2)  
Contact: (2)  
Contractors agent responsible for compliance with the KPDES permit requirements (3):
4. Project Control Number (2)
5. Route (Address) CR 1228 (Overhead Bridge Rd)
6. Latitude/Longitude (project mid-point) 36^50'32"N, 89^00'44"W
7. County (project mid-point): Carlisle
8. Project start date (date work will begin): (2)
9. Projected completion date: (2)



## KyTC BMP Plan for Project PCN ## - #####

### **A. Site description:**

1. Nature of Construction Activity (from letting project description): Work to include replacement of deficient bridge over Illinois Central Railroad on CR 1228 (Overhead Bridge Rd.) The old bridge will be removed and a new bridge built to the south. Almost one-half mile of roadway will be rebuilt to tie into the new bridge and improve the horizontal and vertical alignment of the roadway.
2. Order of major soil disturbing activities (2) and (3)
3. Projected volume of material to be moved: 17,689 cubic yards
4. Estimate of total project area (acres): 8.24 acres
5. Estimate of area to be disturbed (acres): 7.08 acres
6. Post construction runoff coefficient will be included in the project drainage folder. Persons needing information pertaining to the runoff coefficient will contact the resident engineer to request this information.
7. Data describing existing soil condition: The majority of soil horizons and slopes on this project are subject to erosion.
8. Data describing existing discharge water quality (if any): There is no information for this item.
9. Receiving water name: Central Creek and Caney Creek
10. TMDLs and Pollutants of Concern in Receiving Waters: No TMDLs were involved on this project.
11. Site map – Project layout sheet plus the erosion control sheets in the project plans that depict Disturbed Drainage Areas (DDAs) and related information. These sheets depict the existing project conditions with areas delineated by DDA (drainage area bounded by watershed breaks and right of way limits), the storm water discharge locations (either as a point discharge or as overland flow) and the areas that drain to each discharge point. These plans define the limits of areas to be disturbed and the location of control measures. Controls will be either site specific as designated by the designer or will be annotated by the contractor and resident engineer before disturbance commences. The project layout sheet shows the surface waters and wetlands.

## KyTC BMP Plan for Project PCN ## - #####

### 12. Potential sources of pollutants:

The primary source of pollutants is solids that are mobilized during storm events. Other sources of pollutants include oil/fuel/grease from servicing and operating construction equipment, concrete washout water, sanitary wastes and trash/debris. (3)

## B. Sediment and Erosion Control Measures:

1. Plans for highway construction projects will include erosion control sheets that depict Disturbed Drainage Areas (DDAs) and related information. These plan sheets will show the existing project conditions with areas delineated by DDA within the right of way limits, the discharge points and the areas that drain to each discharge point. Project managers and designers will analyze the DDAs and identify Best Management Practices (BMPs) that are site specific. The balance of the BMPs for the project will be listed in the bid documents for selection and use by the contractor on the project with approval by the resident engineer.

Projects that do not have DDAs annotated on the erosion control sheets will employ the same concepts for development and managing BMP plans.

2. Following award of the contract, the contractor and resident engineer will annotate the erosion control sheets showing location and type of BMPs for each of the DDAs that will be disturbed at the outset of the project. This annotation will be accompanied by an order of work that reflects the order or sequence of major soil moving activities. The remaining DDAs are to be designated as "Do Not Disturb" until the contractor and resident engineer prepare the plan for BMPs to be employed. The initial BMP's shall be for the first phase (generally Clearing and Grubbing) and shall be modified as needed as the project changes phases. The BMP Plan will be modified to reflect disturbance in additional DDA's as the work progresses. All DDA's will have adequate BMP's in place before being disturbed.
3. As DDAs are prepared for construction, the following will be addressed for the project as a whole or for each DDA as appropriate:
  - Construction Access – This is the first land-disturbing activity. As soon as construction begins, bare areas will be stabilized with gravel and temporary mulch and/or vegetation.

## KyTC BMP Plan for Project PCN ## - #####

- At the beginning of the project, all DDAs for the project will be inspected for areas that are a source of storm water pollutants. Areas that are a source of pollutants will receive appropriate cover or BMPs to arrest the introduction of pollutants into storm water. Areas that have not been opened by the contractor will be inspected periodically (once per month) to determine if there is a need to employ BMPs to keep pollutants from entering storm water.
- Clearing and Grubbing – The following BMP's will be considered and used where appropriate.
  - Leaving areas undisturbed when possible.
  - Silt basins to provide silt volume for large areas.
  - Silt Traps Type A for small areas.
  - Silt Traps Type C in front of existing and drop inlets which are to be saved
  - Diversion ditches to catch sheet runoff and carry it to basins or traps or to divert it around areas to be disturbed.
  - Brush and/or other barriers to slow and/or divert runoff.
  - Silt fences to catch sheet runoff on short slopes. For longer slopes, multiple rows of silt fence may be considered.
  - Temporary Mulch for areas which are not feasible for the fore mentioned types of protections.
  - Non-standard or innovative methods.
- Cut & Fill and placement of drainage structures - The BMP Plan will be modified to show additional BMP's such as:
  - Silt Traps Type B in ditches and/or drainways as they are completed
  - Silt Traps Type C in front of pipes after they are placed
  - Channel Lining
  - Erosion Control Blanket
  - Temporary mulch and/or seeding for areas where construction activities will be ceased for 21 days or more.
  - Non-standard or innovative methods
- Profile and X-Section in place – The BMP Plan will be modified to show elimination of BMP's which had to be removed and the addition of new BMP's as the roadway was shaped. Probably changes include:
  - Silt Trap Type A, Brush and/or other barriers, Temporary Mulch, and any other BMP which had to be removed for final grading to take place.
  - Additional Silt Traps Type B and Type C to be placed as final drainage patterns are put in place.
  - Additional Channel Lining and/or Erosion Control Blanket.
  - Temporary Mulch for areas where Permanent Seeding and Protection cannot be done within 21 days.
  - Special BMP's such as Karst Policy

## KyTC BMP Plan for Project PCN ## - #####

- **Finish Work (Paving, Seeding, Protect, etc.)** – A final BMP Plan will result from modifications during this phase of construction. Probably changes include:
  - Removal of Silt Traps Type B from ditches and drainways if they are protected with other BMP's which are sufficient to control erosion, i.e. Erosion Control Blanket or Permanent Seeding and Protection on moderate grades.
  - Permanent Seeding and Protection
  - Placing Sod
  - Planting trees and/or shrubs where they are included in the project

### **C. Other Control Measures**

1. No solid materials, including building materials, shall be discharged to waters of the commonwealth, except as authorized by a Section 404 permit.
2. Waste Materials

All waste materials that may leach pollutants (paint and paint containers, caulk tubes, oil/grease containers, liquids of any kind, soluble materials, etc.) will be collected and stored in appropriate covered waste containers. Waste containers shall be removed from the project site on a sufficiently frequent basis as to not allow wastes to become a source of pollution. All personnel will be instructed regarding the correct procedure for waste disposal. Wastes will be disposed in accordance with appropriate regulations. Notices stating these practices will be posted in the office.

3. Hazardous Waste

All hazardous waste materials will be managed and disposed of in the manner specified by local or state regulation. The contractor shall notify the Resident Engineer if there any hazardous wastes being generated at the project site and how these wastes are being managed. Site personnel will be instructed with regard to proper storage and handling of hazardous wastes when required. The Transportation Cabinet will file for generator, registration when appropriate, with the Division of Waste Management and advise the contractor regarding waste management requirements.

4. Spill Prevention

The following material management practices will be used to reduce the risk of spills or other exposure of materials and substances to the weather and/or runoff.

## KyTC BMP Plan for Project PCN ## - #####

### ➤ **Good Housekeeping:**

The following good housekeeping practices will be followed onsite during the construction project.

- An effort will be made to store only enough product required to do the job
- All materials stored onsite will be stored in a neat, orderly manner in their appropriate containers and, if possible, under a roof or other enclosure
- Products will be kept in their original containers with the original manufacturer's label
- Substances will not be mixed with one another unless recommended by the manufacturer
- Whenever possible, all of the product will be used up before disposing of the container
- Manufacturers' recommendations for proper use and disposal will be followed
- The site contractor will inspect daily to ensure proper use and disposal of materials onsite

### ➤ **Hazardous Products:**

These practices will be used to reduce the risks associated with any and all hazardous materials.

- Products will be kept in original containers unless they are not resealable
- Original labels and material safety data sheets (MSDS) will be reviewed and retained
- Contractor will follow procedures recommended by the manufacturer when handling hazardous materials
- If surplus product must be disposed of, manufacturers' or state/local recommended methods for proper disposal will be followed

**The following product-specific practices will be followed onsite:**

### ➤ **Petroleum Products:**

Vehicles and equipment that are fueled and maintained on site will be monitored for leaks, and receive regular preventative maintenance to reduce the chance of leakage. Petroleum products onsite will be stored in tightly sealed containers, which are clearly labeled and will be protected from exposure to weather.

The contractor shall prepare an Oil Pollution Spill Prevention Control and Countermeasure plan when the project that involves the storage of petroleum

## KyTC BMP Plan for Project PCN ## - #####

products in 55 gallon or larger containers with a total combined storage capacity of 1,320 gallons. This is a requirement of 40 CFR 112.

This project (will / will not) (3) have over 1,320 gallons of petroleum products with a total capacity, sum of all containers 55 gallon capacity and larger.

### ➤ **Fertilizers:**

Fertilizers will be applied at rates prescribed by the contract, standard specifications or as directed by the resident engineer. Once applied, fertilizer will be covered with mulch or blankets or worked into the soil to limit exposure to storm water. Storage will be in a covered shed. The contents of any partially used bags of fertilizer will be transferred to a sealable plastic bin to avoid spills.

### ➤ **Paints:**

All containers will be tightly sealed and stored indoors or under roof when not being used. Excess paint or paint wash water will not be discharged to the drainage or storm sewer system but will be properly disposed of according to manufacturers' instructions or state and local regulations.

### ➤ **Concrete Truck Washout:**

Concrete truck mixers and chutes will not be washed on pavement, near storm drain inlets, or within 75 feet of any ditch, stream, wetland, lake, or sinkhole. Where possible, excess concrete and wash water will be discharged to areas prepared for pouring new concrete, flat areas to be paved that are away from ditches or drainage system features, or other locations that will not drain off site. Where this approach is not possible, a shallow earthen wash basin will be excavated away from ditches to receive the wash water

### ➤ **Spill Control Practices**

In addition to the good housekeeping and material management practices discussed in the previous sections of this plan, the following practices will be followed for spill prevention and cleanup:

- Manufacturers' recommended methods for spill cleanup will be clearly posted. All personnel will be made aware of procedures and the location of the information and cleanup supplies.
- Materials and equipment necessary for spill cleanup will be kept in the material storage area. Equipment and materials will include as appropriate, brooms, dust pans, mops, rags, gloves, oil absorbents, sand, sawdust, and plastic and metal trash containers.
- All spills will be cleaned up immediately after discovery.

## KyTC BMP Plan for Project PCN ## - #####

- The spill area will be kept well ventilated and personnel will wear appropriate protective clothing to prevent injury from contact with a hazardous substance.
- Spills of toxic or hazardous material will be reported to the appropriate state/local agency as required by KRS 224 and applicable federal law.
- The spill prevention plan will be adjusted as needed to prevent spills from reoccurring and improve spill response and cleanup.
- Spills of products will be cleaned up promptly. Wastes from spill clean up will be disposed in accordance with appropriate regulations.

### **D. Other State and Local Plans**

This BMP plan shall include any requirements specified in sediment and erosion control plans, storm water management plans or permits that have been approved by other state or local officials. Upon submittal of the NOI, other requirements for surface water protection are incorporated by reference into and are enforceable under this permit (even if they are not specifically included in this BMP plan). This provision does not apply to master or comprehensive plans, non-enforceable guidelines or technical guidance documents that are not identified in a specific plan or permit issued for the construction site by state or local officials.

### **E. Maintenance**

1. The BMP plan shall include a clear description of the maintenance procedures necessary to keep the control measures in good and effective operating condition.
- Maintenance of BMPs during construction shall be a result of weekly and post rain event inspections with action being taken by the contractor to correct deficiencies.
  - Post Construction maintenance will be a function of normal highway maintenance operations. Following final project acceptance by the cabinet, district highway crews will be responsible for identification and correction of deficiencies regarding ground cover and cleaning of storm water BMPs. The project manager shall identify any BMPs that will be for the purpose of post construction storm water management with specific guidance for any non-routine maintenance.

### **F. Inspections**

## KyTC BMP Plan for Project PCN ## - #####

Inspection and maintenance practices that will be used to maintain erosion and sediment controls:

- All erosion prevention and sediment control measures will be inspected at least once each week and following any rain of one-half inch or more.
- Inspections will be conducted by individuals that have received KyTC Grade Level II training or other qualification as prescribed by the cabinet that includes instruction concerning sediment and erosion control.
- Inspection reports will be written, signed, dated, and kept on file.
- Areas at final grade will be seeded and mulched within 14 days.
- Areas that are not at final grade where construction has ceased for a period of 21 days or longer and soil stock piles shall receive temporary mulch no later than 14 days from the last construction activity in that area.
- All measures will be maintained in good working order; if a repair is necessary, it will be initiated within 24 hours of being reported.
- Built-up sediment will be removed from behind the silt fence before it has reached halfway up the height of the fence.
- Silt fences will be inspected for bypassing, overtopping, undercutting, depth of sediment, tears, and to ensure attachment to secure posts.
- Sediment basins will be inspected for depth of sediment, and built-up sediment will be removed when it reaches 70 percent of the design capacity and at the end of the job.
- Diversion dikes and berms will be inspected and any breaches promptly repaired. Areas that are eroding or scouring will be repaired and re-seeded / mulched as needed.
- Temporary and permanent seeding and mulching will be inspected for bare spots, washouts, and healthy growth. Bare or eroded areas will be repaired as needed.
- All material storage and equipment servicing areas that involve the management of bulk liquids, fuels, and bulk solids will be inspected weekly for conditions that represent a release or possible release of pollutants to the environment.

## **G. Non – Storm Water discharges**

It is expected that non-storm water discharges may occur from the site during the construction period. Examples of non-storm water discharges include:

- Water from water line flushings.



## KyTC BMP Plan for Project PCN ## - #####

- Water form cleaning concrete trucks and equipment.
- Pavement wash waters (where no spills or leaks of toxic or hazardous materials have occurred).
- Uncontaminated groundwater and rain water (from dewatering during excavation).

All non-storm water discharges will be directed to the sediment basin or to a filter fence enclosure in a flat vegetated infiltration area or be filtered via another approved commercial product.

### **H. Groundwater Protection Plan (3)**

This plan serves as the groundwater protection plan as required by 401 KAR 5:037.

- Contractors statement: (3)

The following activities, as enumerated by 401 KAR 5:037 Section 2 that require the preparation and implementation of a groundwater protection plan, will or may be may be conducted as part of this construction project:

\_\_\_\_\_ 2. (e) land treatment or land disposal of a pollutant;

\_\_\_\_\_ 2. (f) Storing, ..., or related handling of hazardous waste, solid waste or special waste, ..., in tanks, drums, or other containers, or in piles, (This does not include wastes managed in a container placed for collection and removal of municipal solid waste for disposal off site);

\_\_\_\_\_ 2. (g) .... Handling of materials in bulk quantities (equal or greater than 55 gallons or 100 pounds net dry weight transported held in an individual container) that, if released to the environment, would be a pollutant;

\_\_\_\_\_ 2. (j) Storing or related handling of road oils, dust suppressants, ....., at a central location;

\_\_\_\_\_ 2. (k) Application or related handling of road oils, dust suppressants or deicing materials, (does not include use of chloride-based deicing materials applied to roads or parking lots);

\_\_\_\_\_ 2. (m) Installation, construction, operation, or abandonment of wells, bore holes, or core holes, (this does not include bore holes for the purpose of explosive demolition);

Or, check the following only if there are no qualifying activities

KyTC BMP Plan for Project PCN ## - #####

\_\_\_\_\_ There are no activities for this project as listed in 401 KAR 5:037 Section 2 that require the preparation and implementation of a groundwater protection plan.

The contractor is responsible for the preparation of a plan that addresses the

401 KAR 5:037 Section 3. (3) Elements of site specific groundwater protection plan:

- (a) General information about this project is covered in the Project information;
- (b) Activities that require a groundwater protection plan have been identified above;
- (c) Practices that will protect groundwater from pollution are addressed in section C. Other control measures.
- (d) Implementation schedule – all practices required to prevent pollution of groundwater are to be in place prior to conducting the activity;
- (e) Training is required as a part of the ground water protection plan. All employees of the contractor, sub-contractor and resident engineer personnel will be trained to understand the nature and requirements of this plan as they pertain to their job function(s). Training will be accomplished within one week of employment and annually thereafter. A record of training will be maintained by the contractor with a copy provide to the resident engineer.
- (f) Areas of the project and groundwater plan activities will be inspected as part of the weekly sediment and erosion control inspections
- (g) Certification (see signature page.)

KyTC BMP Plan for Project PCN ## - #####

Contractor and Resident Engineer Plan certification

The contractor that is responsible for implementing this BMP plan is identified in the Project Information section of this plan.

The following certification applies to all parties that are signatory to this BMP plan:

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations. Further, this plan complies with the requirements of 401 KAR 5:037. By this certification, the undersigned state that the individuals signing the plan have reviewed the terms of the plan and will implement its provisions as they pertain to ground water protection.

Resident Engineer and Contractor Certification:

(2) Resident Engineer signature

Signed \_\_\_\_\_ title \_\_\_\_\_, \_\_\_\_\_ signature  
                  Typed or printed name<sup>2</sup>

(3) Signed \_\_\_\_\_ title \_\_\_\_\_, \_\_\_\_\_ signature  
                  Typed or printed name<sup>1</sup>

1. Contractors Note: to be signed by a person who is the owner, a responsible corporate officer, a general partner or the proprietor or a person designated to have the authority to sign reports by such a person in accordance with 401 KAR 5:060 Section 9. This delegation shall be in writing to: Manager, KPDES Branch, Division of Water, 14 Reilly Road, Frankfort Kentucky 40601. Reference the Project Control Number (PCN) and KPDES number when one has been issued.

2. KyTC note: to be signed by the Chief District Engineer or a person designated to have the authority to sign reports by such a person (usually the resident engineer) in accordance with 401 KAR 5:060 Section 9. This delegation shall be in writing to: Manager, KPDES Branch, Division of Water, 14 Reilly Road, Frankfort Kentucky 40601 Reference the Project Control Number (PCN) and KPDES number when one has been issued.

KyTC BMP Plan for Project PCN ## - #####

## **Sub-Contractor Certification**

The following sub-contractor shall be made aware of the BMP plan and responsible for implementation of BMPs identified in this plan as follows:

Subcontractor

Name:  
Address:  
Address:

Phone:

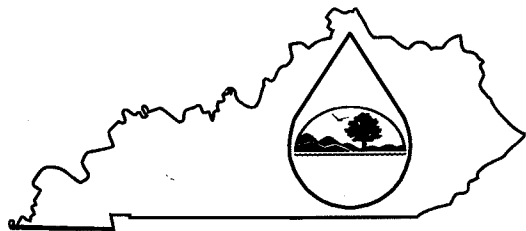
The part of BMP plan this subcontractor is responsible to implement is:

I certify under penalty of law that I understand the terms and conditions of the general Kentucky Pollutant Discharge Elimination System permit that authorizes the storm water discharges, the BMP plan that has been developed to manage the quality of water to be discharged as a result of storm events associated with the construction site activity and management of non-storm water pollutant sources identified as part of this certification.

Signed \_\_\_\_\_ title \_\_\_\_\_  
  Typed or printed name<sup>1</sup>    signature

1. Sub Contractor Note: to be signed by a person who is the owner, a responsible corporate officer, a general partner or the proprietor or a person designated to have the authority to sign reports by such a person in accordance with 401 KAR 5:060 Section 9. This delegation shall be in writing to: Manager, KPDES Branch, Division of Water, 14 Reilly Road, Frankfort Kentucky 40601. Reference the Project Control Number (PCN) and KPDES number when one has been issued.

**KPDDES FORM NOI-SW**



**Kentucky Pollutant Discharge Elimination System  
(KPDES)  
Notice of Intent (NOI)  
for Storm Water Discharges  
Associated with Industrial Activity Under the  
KPDES General Permit**

Submission of this Notice of Intent constitutes notice that the party identified in Section I of this form intends to be authorized by a KPDES permit issued for storm water discharges associated with industrial activity. Becoming a permittee obligates such discharger to comply with the terms and conditions of the permit.

**ALL NECESSARY INFORMATION MUST BE PROVIDED ON THIS FORM (See Instructions on back)**

**I. Facility Operator Information**

<b>Name:</b>	KYTC District One	<b>Phone:</b>	(270) 898-2431
<b>Address:</b>	PO Box 3010	<b>Status of Owner/Operator:</b>	S
<b>City, State, Zip Code:</b>	Paducah, KY 42003		

**II. Facility/Site Location Information**

<b>Name:</b>	SYP Item # 01-1002.00		
<b>Address:</b>	CR 1228 (Overhead Bridge Rd.)		
<b>City, State, Zip Code:</b>	Bardwell, KY 42023		
<b>County:</b>	Carlisle		
<b>Site Latitude: (degrees/minutes/seconds)</b>	36°50'32" N	<b>Site Longitude: (degrees/minutes/seconds)</b>	89°00'44" W

**III. Site Activity Information**

<b>MS4 Operator Name:</b>				
<b>Receiving Water Body:</b>	Central Creek and Caney Creek			
<b>Are there existing quantitative data?</b>	Yes <input type="checkbox"/> If Yes, submit with this form. No <input checked="" type="checkbox"/>			
<b>SIC or Designated Activity Code Primary</b>	1622	2nd	1611	3rd
<b>If this facility is a member of a Group Application, enter Group Application Number:</b>				
<b>If you have other existing KPDES Permits, enter Permit Numbers:</b>				

**IV. Additional Information Required FOR CONSTRUCTION ACTIVITIES ONLY**

<b>Project Start Date:</b>	Feb. 1, 2008	<b>Completion Date:</b>	Oct. 1, 2008
<b>Estimated Area to be disturbed (in acres):</b>	8.24 acres		
<b>Is the Storm Water Pollution Prevention Plan in Compliance with State and/or Local Sediment and Erosion Plans?</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		

**V. Certification:** I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

<b>Printed or Typed Name:</b>	James E. Lefevre, PE		
<b>Signature:</b>		<b>Date:</b>	August 9, 2007

**Notice of Intent (NOI) for Storm Water Discharges Associated with Industrial Activity  
To Be Covered Under The KPDES General Permit**

**WHO MUST FILE A NOTICE OF INTENT (NOI) FORM**

Federal law at 40 CFR Part 122 prohibits point source discharges of stormwater associated with industrial activity to a water body of the Commonwealth of Kentucky without a Kentucky Pollutant Discharge Elimination System (KPDES) permit. The operator of an industrial activity that has such a storm water discharge must submit a NOI to obtain coverage under the KPDES Storm Water General Permit. If you have questions about whether you need a permit under the KPDES Storm Water program, or if you need information as to whether a particular program is administered by the state agency, call the **Storm Water Contact, Industrial Section, Kentucky Division of Water at (502) 564-3410.**

**WHERE TO FILE NOI FORM**

NOIs must be sent to the following address:

**Section Supervisor  
Inventory & Data Management Section  
KPDES Branch, Division of Water  
Frankfort Office Park  
14 Reilly Road  
Frankfort, KY 40601**

**COMPLETING THE FORM**

Type or print legibly in the appropriate areas only. If you have any questions regarding the completion of this form call the **Storm Water Contact, Industrial Section, at (502) 564-3410.**

**SECTION I - FACILITY OPERATOR INFORMATION**

Give the legal name of the person, firm, public organization, or any other entity that operates the facility or site described in this application. The name of the operator may or may not be the same as the name of the facility. The responsible party is the legal entity that controls the facility's operation, rather than the plant or site manager. Do not use a colloquial name. Enter the complete address and telephone number of the operator.

Enter the appropriate letter to indicate the legal status of the operator of the facility.

F = Federal                      M = Public (other than federal or state)  
S = State                        P = Private

**SECTION II - FACILITY/SITE LOCATION INFORMATION**

Enter the facility's or site's official or legal name and complete street address, including city, state, and ZIP code.

**SECTION III - SITE ACTIVITY INFORMATION**

If the storm water discharges to a municipal separate storm sewer system (MS4), enter the name of the operator of the MS4 (e.g., municipality name, county name) and the receiving water of the discharge from the MS4. (A MS4 is defined as a conveyance or system of conveyances (including roads with drainage systems, municipal streets, catch basins, curbs, gutters, ditches, man-made channels, or storm drains) that is owned or operated by a state, city, town, borough, county, parish, district, association, or other public body which is designed or used for collecting or conveying storm water.)

If the facility discharges storm water directly to receiving water(s), enter the name of the receiving water.

Indicate whether or not the owner or operator of the facility has existing quantitative data that represent the characteristics and concentration of pollutants in storm water discharges. If data is available submit with this form.

List, in descending order of significance, up to four 4-digit standard industrial classification (SIC) codes that best describe the principal products or services provided at the facility or site identified in Section II of this application.

If the facility listed in Section II has participated in Part 1 of an approved storm water group application and a group number has been assigned, enter the group application number in the space provided.

If there are other KPDES permits presently issued for the facility or site listed in Section II, list the permit numbers.

**SECTION IV - ADDITIONAL INFORMATION REQUIRED FOR CONSTRUCTION ACTIVITIES ONLY**

Construction activities must complete Section IV in addition of Sections I through III. Only construction activities need to complete Section IV.

Enter the project start date and the estimated completion date for the entire development plan.

Provide an estimate of the total number of acres of the site on which soil will be disturbed (round to the nearest acre).

Indicate whether the storm water pollution prevention plan for the site is in compliance with approved state and/or local sediment and erosion plans, permits, or storm water management plans.

**SECTION V - CERTIFICATION**

Federal statutes provide for severe penalties for submitting false information on this application form. Federal regulations require this application to be signed as follows:

*For a corporation:* by a responsible corporate officer, which means: (i) president, secretary, treasurer, or vice-president of the corporation in charge of a principal business function, or any other person who performs similar policy or decision making functions, or (ii) the manager of one or more manufacturing, production, or operating facilities employing more than 250 persons or having gross annual sales or expenditures exceeding \$25 million (in second-quarter 1980 dollars), if authority to sign documents has been assigned or delegated to the manager in accordance with corporate procedures;

*For a partnership or sole proprietorship:* by a general partner or the proprietor; or

*For a municipality, state, Federal, or other public facility:* by either a principal executive officer or ranking elected official.

04 OCT 2006

**KENTUCKY TRANSPORTATION CABINET  
COMMUNICATING ALL PROMISES (CAP)**

<b>Item No.</b>	1 - 1002	<b>Project Mgr.</b>	TIM CHOATE			
<b>CAP #</b>	<b>Date of Promise</b>	<b>Promise made to:</b>	<b>County</b>	CARLISLE	<b>Route</b>	CR-1228
1	04-OCT-06	CHRIS KUNTZ	<b>Location of Promise</b>	CR 1228		
<b>CAP Description</b>						
THERE ARE NO CAPS ON THIS PROJECT						

## **PART II**

### **SPECIFICATIONS AND STANDARD DRAWINGS**



## **SPECIFICATIONS REFERENCE**

Any reference in the plans or proposal to the *Standard Specifications for Road and Bridge Construction, Edition of 2004*, and *Standard Drawings, Edition of 2000* are superseded by *Standard Specifications for Road and Bridge Construction, Edition of 2008* and *Standard Drawings, Edition of 2003 with the 2008 Revision*.

Special Notes [SN] and Special Provisions [SP] marked with an asterisk \* and listed under Part II of the Table of Contents within this proposal can be referenced in the *Standard Specifications for Road and Bridge Construction, Edition of 2008*. Special Notes and Special Provisions not marked with an asterisk will be attached to this proposal.

**Supplemental Specifications to The Standard Specifications  
for Road and Bridge Construction, 2008 Edition  
(Effective with the October 31, 2008 Letting)**

<b>SUBSECTION:</b> 102.07.01 General. <b>REVISION:</b> Replace the first sentence with the following:  Submit the Bid Proposal on forms furnished on the Department internet website ( <a href="http://transportation.ky.gov/contract/">http://transportation.ky.gov/contract/</a> ), including the Bid Packet and disk created from the Expedite Bidding Program.
<b>SUBSECTION:</b> 102.07.02 Computer Bidding. <b>REVISION:</b> Replace the first paragraph with the following:  Subsequent to ordering a Bid Proposal for a specific project, use the Department's Expedite Bidding Program on the internet website of the Department of Highways, Division of Construction Procurement ( <a href="http://transportation.ky.gov/contract/">http://transportation.ky.gov/contract/</a> ). Download the bid file from the Department's website to prepare a Bid Proposal for submission to the Department. Include the completed Bid Packet produced by the Expedite Bidding Program in the Bid Proposal and submit it along with the disk created by said program.  Replace the second paragraph with the following:  In case of a dispute, the printed Bid Proposal and bid item sheets created by the Expedite Bidding Program take precedence over any bid submittal.
<b>SUBSECTION:</b> 102.08 IRREGULAR BID PROPOSALS. <b>REVISION:</b> Replace point four of the first paragraph with the following:  4) fails to submit a disk created from the Expedite Bidding Program.  Replace point one of the second paragraph with the following:  1) when the Bid Proposal is on a form other than that furnished by the Department or printed from other than the Expedite Bidding Program, or when the form is altered or any part is detached; or
<b>SUBSECTION:</b> 103.02 AWARD OF CONTRACT. <b>REVISION:</b> Replace the first sentence of the third paragraph with the following:  The Department will normally award the Contract within 10 working days after the date of receiving Bid Proposals unless the Department deems it best to hold the Bid Proposals of any or all bidders for a period not to exceed 60 calendar days for final disposition of award.
<b>SUBSECTION:</b> 106.10 FIELD WELDER CERTIFICATION REQUIREMENTS. <b>REVISION:</b> Insert the following sentence before the first sentence of the first paragraph:  All field welding must be performed by a certified welder unless otherwise noted.
<b>SUBSECTION:</b> 112.03.12 Project Traffic Coordinator (PTC). <b>REVISION:</b> Add the following at the end of the subsection:  After October 1, 2008 the Department will require the PTC to have successfully completed the applicable qualification courses. Personnel that have not successfully completed the applicable courses by that date will not be considered qualified. Prior to October 1, 2008, conform to Subsection 108.06 A) and ensure the designated PTC has sufficient skill and experience to properly perform the task.
<b>SUBSECTION:</b> 213.03.05 Temporary Control Measures. <b>PART:</b> F) Temporary Mulch. <b>REVISION:</b> Replace the last sentence with the following:  Place temporary mulch to an approximate 2-inch loose depth (2 tons per acre) and anchor it into the soil by mechanically crimping it into the soil surface or applying tackifier to provide a protective cover. Regardless of the anchoring method used, ensure the protective cover holds until disturbance is required or permanent controls are in installed.

**Supplemental Specifications to The Standard Specifications  
for Road and Bridge Construction, 2008 Edition**

(Effective with the October 31, 2008 Letting)

<b>SUBSECTION:</b>	402.05.02 Asphalt Mixtures and Mixtures With RAP.
<b>PART:</b>	Lot Pay Adjustment Schedule, Compaction Option A, Base and Binder Mixtures
<b>TABLES:</b>	VMA, AV, and LANE DENSITY
<b>REVISION:</b>	Replace the VMA, AV, and LANE DENSITY tables with the following:

VMA	
Pay Value	Deviation From Minimum
1.00	≤ 0.5 below min.
0.95	0.6-1.0 below min.
0.90	1.1-1.5 below min.
<sup>(1)</sup>	> 1.5 below min.

AV		
Pay Value	Test Result (%)	
	ESAL Class 2	ESAL Class 3 or 4
1.00	3.0-5.0	3.0-5.0
1.00 + 0.1 (AV-3.0)	1.5-2.9	2.0-2.9
1.00 + 0.1 (5.0-AV)	5.1-6.0	5.1-6.0
0.75	6.1-6.5	----
<sup>(1)</sup>	< 1.5 or > 6.5	< 2.0 or > 6.0

LANE DENSITY		
Pay Value	Test Result (%)	
	ESAL Class 2	ESAL Class 3 or 4
1.00	92.0-96.5	92.0-96.5
0.95	91.0-91.9	91.0-91.9
0.90	90.0-90.9 or 96.6-97.0	90.0-90.9 or 96.6-97.0
0.85	97.1-98.5	----
0.75	89.0-89.9	----
<sup>(1)</sup>	< 89.0 or > 98.5	< 90.0 or > 97.0

**Supplemental Specifications to The Standard Specifications  
for Road and Bridge Construction, 2008 Edition  
(Effective with the October 31, 2008 Letting)**

<b>SUBSECTION:</b>	402.05.02 Asphalt Mixtures and Mixtures With RAP.
<b>PART:</b>	Lot Pay Adjustment Schedule, Compaction Option A, Surface Mixtures
<b>TABLES:</b>	AV, LANE DENSITY, and JOINT DENSITY
<b>REVISION:</b>	Replace the AV, LANE DENSITY, and JOINT DENSITY tables with the following:

AV		
Pay Value	Test Result (%)	
	ESAL Class 2	ESAL Class 3 or 4
1.00	3.0-5.0	3.0-5.0
1.00 + 0.1 (AV-3.0)	1.5-2.9	2.0-2.9
1.00 + 0.1 (5.0-AV)	5.1-6.0	5.1-6.0
0.75	6.1-6.5	----
<sup>(1)</sup>	< 1.5 or > 6.5	< 2.0 or > 6.0

LANE DENSITY		
Pay Value	Test Result (%)	
	ESAL Class 2	ESAL Class 3 or 4
1.00	92.0-96.5	92.0-96.5
0.95	91.0-91.9	91.0-91.9
0.90	90.0-90.9 or 96.6-97.0	90.0-90.9 or 96.6-97.0
0.85	97.1-98.5	----
0.75	89.0-89.9	----
<sup>(1)</sup>	< 89.0 or > 98.5	< 90.0 or > 97.0

JOINT DENSITY	
Pay Value	Test Result (%)
1.00	89.0-96.5
0.95	88.0-88.9
0.90	87.0-87.9 or 96.6-97.0
0.75	< 87.0 or > 97.0

<b>SUBSECTION:</b>	402.05.02 Asphalt Mixtures and Mixtures With RAP.
<b>PART:</b>	Lot Pay Adjustment Schedule, Compaction Option B Mixtures
<b>TABLE:</b>	AV
<b>REVISION:</b>	Replace the AV table with the following:

AV		
Pay Value	Test Result (%)	
	ESAL Class 2	ESAL Class 3 or 4
1.00	3.0-5.0	3.0-5.0
1.00 + 0.1 (AV-3.0)	1.5-2.9	2.0-2.9
1.00 + 0.1 (5.0-AV)	5.1-6.0	5.1-6.0
0.75	6.1-6.5	----
<sup>(2)</sup>	< 1.5 or > 6.5	< 2.0 or > 6.0

<b>SUBSECTION:</b>	410.01 DESCRIPTION.
<b>REVISION:</b>	Delete the second sentence of the paragraph.

**Supplemental Specifications to The Standard Specifications  
for Road and Bridge Construction, 2008 Edition  
(Effective with the October 31, 2008 Letting)**

<b>SUBSECTION:</b>	410.03.01 Corrective Work.																																																								
<b>REVISION:</b>	Replace the last sentence of the paragraph with the following:  Provide a final surface comparable to the adjacent pavement that does not require corrective work in respect to texture, appearance, and skid resistance.																																																								
<b>SUBSECTION:</b>	410.03.02 Ride Quality.																																																								
<b>PART:</b>	B) Requirements.																																																								
<b>NUMBER:</b>	1) Category A.																																																								
<b>REVISION:</b>	Replace the last sentence of the first paragraph with the following:  At the Department's discretion, a pay deduction of \$1200 per 0.1-lane-mile section may be applied in lieu of corrective work.																																																								
<b>SUBSECTION:</b>	410.03.02 Ride Quality.																																																								
<b>PART:</b>	B) Requirements.																																																								
<b>NUMBER:</b>	2) Category B.																																																								
<b>REVISION:</b>	Replace the second and third sentence of the first paragraph with the following:  When the IRI is greater than 90 for a 0.1-mile section, perform corrective work, or remove and replace the pavement to achieve the specified IRI. At the Department's discretion, a pay deduction of \$750 per 0.1-lane-mile section may be applied in lieu of corrective work.																																																								
<b>SUBSECTION:</b>	410.05 PAYMENT.																																																								
<b>REVISION:</b>	Delete the last sentence of the first paragraph.																																																								
<b>SUBSECTION:</b>	410.05 PAYMENT.																																																								
<b>TABLE:</b>	RIDE QUALITY ADJUSTMENT SCHEDULES																																																								
<b>REVISION:</b>	Replace the adjustment tables with the following:  <div style="text-align: center;"> <p><b>RIDE QUALITY ADJUSTMENT SCHEDULES</b></p> <table border="0"> <thead> <tr> <th colspan="2">CATEGORY "A" PROJECTS</th> <th colspan="2">CATEGORY "B" PROJECTS</th> </tr> <tr> <th><u>IRI</u></th> <th><u>Pav Value Adjustment</u></th> <th><u>IRI</u></th> <th><u>Pav Value Adjustment</u></th> </tr> </thead> <tbody> <tr> <td>70 or less</td> <td>0</td> <td>80 or less</td> <td>0</td> </tr> <tr> <td>71</td> <td>-\$30</td> <td>81</td> <td>-\$20</td> </tr> <tr> <td>72</td> <td>-\$70</td> <td>82</td> <td>-\$45</td> </tr> <tr> <td>73</td> <td>-\$120</td> <td>83</td> <td>-\$80</td> </tr> <tr> <td>74</td> <td>-\$180</td> <td>84</td> <td>-\$120</td> </tr> <tr> <td>75</td> <td>-\$250</td> <td>85</td> <td>-\$170</td> </tr> <tr> <td>76</td> <td>-\$330</td> <td>86</td> <td>-\$220</td> </tr> <tr> <td>77</td> <td>-\$420</td> <td>87</td> <td>-\$280</td> </tr> <tr> <td>78</td> <td>-\$520</td> <td>88</td> <td>-\$350</td> </tr> <tr> <td>79</td> <td>-\$630</td> <td>89</td> <td>-\$420</td> </tr> <tr> <td>80</td> <td>-\$750</td> <td>90</td> <td>-\$500</td> </tr> <tr> <td>81 or higher</td> <td>corrective work<sup>(1)</sup></td> <td>91 or higher</td> <td>corrective work<sup>(2)</sup></td> </tr> </tbody> </table> <p><sup>(1)</sup>When it is in the best interest of the Department, a minimum pay value deduction of \$1200 per 0.1-lane-mile section may be applied in lieu of corrective work. <sup>(2)</sup>When it is in the best interest of the Department, a minimum pay value deduction of \$750 per 0.1-lane-mile section may be applied in lieu of corrective work.</p> </div>	CATEGORY "A" PROJECTS		CATEGORY "B" PROJECTS		<u>IRI</u>	<u>Pav Value Adjustment</u>	<u>IRI</u>	<u>Pav Value Adjustment</u>	70 or less	0	80 or less	0	71	-\$30	81	-\$20	72	-\$70	82	-\$45	73	-\$120	83	-\$80	74	-\$180	84	-\$120	75	-\$250	85	-\$170	76	-\$330	86	-\$220	77	-\$420	87	-\$280	78	-\$520	88	-\$350	79	-\$630	89	-\$420	80	-\$750	90	-\$500	81 or higher	corrective work <sup>(1)</sup>	91 or higher	corrective work <sup>(2)</sup>
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<b>SUBSECTION:</b>	509.01 DESCRIPTION.																																																								
<b>REVISION:</b>	Replace the second paragraph with the following:  The Department may allow the use of similar units that conform to the National Cooperative Highway Research Program (NCHRP) 350 Test Level 3 (TL-3) requirements and the typical features depicted by the Standard Drawings. Obtain the Engineers approval prior to use. Ensure the barrier wall shape, length, material, drain slot dimensions and locations typical features are met and the reported maximum deflection is 3 feet or less from the NCHRP 350 TL-3 for Test 3 – 11 (pickup truck																																																								

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impacting at 60 mph at a 25-degree angle.)

**SUBSECTION:** 606.02.11 Coarse Aggregate.  
**REVISION:** Replace with the following:

Conform to Section 805, size No. 8 or 9-M.

**SUBSECTION:** 701.03.08 Testing of Pipe.  
**REVISION:** Replace and rename the subsection with the following:

**701.03.08 Inspection of Pipe.** The engineer will visually inspect all pipe. The Department will require camera/video inspection on a minimum of 50 percent of the linear feet of all installed pipe structures. Conduct camera/video inspection according to KM 64-114. The pipe to be installed under pavement will be selected first. If the total linear feet of pipe under pavement is less than 50 percent of the linear feet of all pipe installed, the Engineer will randomly select installations from the remaining pipe structures on the project to provide for the minimum inspection requirement. The pipe will be selected in complete runs (junction-junction or headwall-headwall) until the total linear feet of pipe to be inspected is at least 50 percent of the total linear feet of all installed pipe on the project.

Unless the Engineer directs otherwise, schedule the inspections no sooner than 30 days after completing the installation and completion of earthwork to within 1 foot of the finished subgrade. When final surfacing conflicts with the 30-day minimum, conduct the inspections prior to placement of the final surface. The contractor must ensure that all pipe are free and clear of any debris so that a complete inspection is possible.

Notify the Engineer immediately if distresses or locations of improper installation are discovered. When camera testing shows distresses or improper installation in the installed pipe, the Engineer may require additional sections to be tested. Provide the video and report to the Engineer when testing is complete in accordance with KM 64-114.

Pipes that exhibit distress or signs of improper installation may necessitate repair or removal as the Engineer directs. These signs include, but are not limited to: deflection, cracking, joint separation, sagging or other interior damage. If corrugated metal or thermoplastic pipes exceed the deflection and installation thresholds indicated in the table below, provide the Department with an evaluation of each location conducted by a Professional Engineer addressing the severity of the deflection, structural integrity, environmental conditions, design service life, and an evaluation of the factor of safety using Section 12, "Buried Structures and Tunnel Liners," of the AASHTO LRFD Bridge Design Specifications. Based on the evaluation, the Department may allow the pipe to remain in place at a reduced unit price as shown in the table below. Provide 5 business days for the Department to review the evaluation. When the pipe shows deflection of 10 percent or greater, remove and replace the pipe. When the camera/video or laser inspection results are called into question, the Department may require direct measurements or mandrel testing.

The Cabinet may elect to conduct Quality Assurance verifications of any pipe inspections.

**SUBSECTION:** 701.04.07 Testing.  
**REVISION:** Replace and rename the subsection with the following:

**701.04.07 Pipeline Video Inspection.** The Department will measure the quantity in linear feet along the pipe invert of the structure inspected. When inspection above the specified 50 percent is performed due to a disagreement or suspicion of additional distresses and the Department is found in error, the Department will measure the quantity as Extra Work according to Subsection 104.03. However, if additional distresses or non-conformance is found, the Department will not measure the additional inspection for payment.

**SUBSECTION:** 701.05 PAYMENT.  
**REVISION:** Add the following pay item to the list of pay items:

<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
23131ER701	Pipeline Video Inspection	Linear Foot

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<p><b>SUBSECTION:</b> 701.05 PAYMENT  <b>TABLE:</b> PIPE DEFLECTION DETERMINED BY CAMERA TESTING  <b>REVISION:</b> Replace this table with the following table and note:</p>	<table border="1" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th colspan="2" style="text-align: center;">PIPE DEFLECTION</th> </tr> <tr> <th style="text-align: center;">Amount of Deflection (%)</th> <th style="text-align: center;">Payment</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">0.0 to 5.0</td> <td style="text-align: center;">100% of the Unit Bid Price</td> </tr> <tr> <td style="text-align: center;">5.1 to 9.9</td> <td style="text-align: center;">50% of the Unit Bid Price <sup>(1)</sup></td> </tr> <tr> <td style="text-align: center;">10 or greater</td> <td style="text-align: center;">Remove and Replace</td> </tr> </tbody> </table> <p><sup>(1)</sup> Provide Structural Analysis as indicated above. Based on the structural analysis, pipe may be allowed to remain in place at the reduced unit price.</p>	PIPE DEFLECTION		Amount of Deflection (%)	Payment	0.0 to 5.0	100% of the Unit Bid Price	5.1 to 9.9	50% of the Unit Bid Price <sup>(1)</sup>	10 or greater	Remove and Replace
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<p><b>SUBSECTION:</b> 701.05 PAYMENT  <b>TABLE:</b> PIPE DEFLECTION DETERMINED BY MANDREL TESTING  <b>REVISION:</b> Delete this table.</p>											
<p><b>SUBSECTION:</b> 805.01 GENERAL.  <b>REVISION:</b> Replace the second paragraph with the following:</p>	<p>The Department's List of Approved Materials includes the Aggregate Source List, the list of Class A and Class B Polish-Resistant Aggregate Sources, and the Concrete Restriction List.</p>										
<p><b>SUBSECTION:</b> 805.04 CONCRETE.  <b>REVISION:</b> Replace the "AASHTO T 160" reference in first sentence of the third paragraph with "KM 64-629"</p>											
<p><b>SUBSECTION:</b> 805.15 GRADATION ACCEPTANCE OF NON-SPECIFICATION COARSE AGGREGATE.  <b>TABLE:</b> AGGREGATE SIZE USE  <b>PART:</b> Cement Concrete Structures and Incidental Construction  <b>REVISION:</b> Replace "9-M for Waterproofing Overlays" with "8 or 9-M for Waterproofing Overlays"</p>											
<p><b>SUBSECTION:</b> 805.16 SAMPLING AND TESTING.  <b>REVISION:</b> Replace the "AASHTO T 160" method with the "KM 64-629" method for the Concrete Beam Expansion Test.</p>	<p>Replace the "ASTM D 3042" method with the "KM 64-625" method for Insoluble Residue.</p>										
<p><b>SUBSECTION:</b> 810.04.01 Coating Requirements.  <b>REVISION:</b> Replace the "Subsection 806.07" references with "Subsection 806.06"</p>											
<p><b>SUBSECTION:</b> 837.03 APPROVAL.  <b>REVISION:</b> Replace the last sentence with the following:</p>	<p>The Department will sample and evaluate for approval each lot of thermoplastic material delivered for use per contract prior to installation of the thermoplastic material. Do not allow the installation of thermoplastic material until it has been approved by the Division of Materials. Allow the Department a minimum of 10 working days to evaluate and approve thermoplastic material.</p>										
<p><b>SUBSECTION:</b> 837.03.01 Composition.  <b>REVISION:</b> COMPOSITION Table:</p>	<table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td style="padding: 2px;">Replace Lead Chromate</td> <td style="padding: 2px; width: 100px;">0.0 max.</td> <td style="padding: 2px; width: 100px;">4.0 min.</td> </tr> <tr> <td style="padding: 2px;">with Heavy Metals Content</td> <td colspan="2" style="padding: 2px;">Comply with 40 CFR 261</td> </tr> </table>	Replace Lead Chromate	0.0 max.	4.0 min.	with Heavy Metals Content	Comply with 40 CFR 261					
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**SUBSECTION:** 805.15 GRADATION ACCEPTANCE OF NON-SPECIFICATION COARSE AGGREGATE.  
**REVISION:** Replace the "SIZES OF COARSE AGGREGATES" table in with the following:

Aggregate Size	Sieve Nominal <sup>(3)</sup> Maximum Aggregate Size	AMOUNTS FINER THAN EACH LABORATORY SIEVE (SQUARE OPENINGS) PERCENTAGE BY WEIGHT															
		4 inch	3 1/2 inch	3 inch	2 1/2 inch	2 inch	1 1/2 inch	1 inch	3/4 inch	1/2 inch	3/8 inch	No. 4	No. 8	No. 16	No. 30	No. 100	No. 200
1	3 1/2 inch	100	90-100		25-60		0-15		0-5								
2	2 1/2 inch			100	90-100	35-70	0-15		0-5								
23	2 inch			100		40-90	0-15		0-5								
3	2 inch		100		90-100	35-70	0-15		0-5								
357	2 inch		100		95-100	35-70	0-15		0-5								
4	1 1/2 inch				100	90-100	20-55	0-15		0-5							
467	1 1/2 inch				100	95-100	35-70		10-30	0-5							
5	1 inch				100	90-100	20-55	0-10	0-5								
57	1 inch				100	95-100	25-60				0-10	0-5					
610	1 inch				100	85-100	40-75				15-40						
67	3/4 inch				100	90-100			20-55		0-10	0-5					
68	3/4 inch				100	90-100			30-65		5-25	0-10	0-5				
710	3/4 inch				100	80-100			30-75		0-30						
78	1/2 inch				100	100			100	90-100	40-75	5-25	0-10	0-5			
8	3/8 inch				100				100	85-100	10-30	0-10	0-5				
9-M	3/8 inch				100	75-100			100	75-100	0-25	0-5					
10 <sup>(2)</sup>	No. 4								100		85-100				10-30		
11 <sup>(2)</sup>	No. 4								100		40-90	10-40			0-5		
DENSE GRADED AGGREGATE <sup>(1)</sup>	3/4 inch						100	70-100			50-80	30-65		10-40		4-13	
CRUSHED STONE BASE <sup>(1)</sup>	1 1/2 inch				100			60-95			30-70	15-55		5-20		0-8	

<sup>(1)</sup> Gradation performed by wet sieve KM 64-620 or AASHTO T 11/T 27.

<sup>(2)</sup> Sizes shown for convenience and are not to be considered as coarse aggregates.

<sup>(3)</sup> Nominal Maximum Size is the largest sieve on the gradation table for an aggregate size on which any material may be retained.

Note: The Department will allow blending of same source/same type aggregate when precise procedures are used such as cold feed, belt, or equivalent and combining of sizes or types of aggregate using the weigh hopper at concrete plants or controlled feed belts at the pugmill to obtain designated sizes.



### **SPECIAL PROVISION FOR EMBANKMENT AT BRIDGE END BENT STRUCTURES**

This Special Provision will apply when indicated on the plans or in the proposal. Section references herein are to the Department's 2008 Standard Specifications for Road and Bridge Construction.

**1.0 DESCRIPTION.** Construct a soil, granular, or rock embankment with granular or cohesive pile core and place structure granular backfill, as the Plans require. Construct the embankment according to the requirements of this Special Provision, the Plans, Standard Drawing RGX 100 and 105, and the 2008 Standard Specifications.

#### **2.0 MATERIALS.**

**2.1 Granular Embankment.** Conform to Subsection 805.10. When Granular Embankment materials are erodible or unstable according to Subsection 805.03.04, use the Special Construction Methods found in 3.2 of the Special Provision.

**2.2 Rock Embankment.** Provide durable rock from roadway excavation that consists principally of Unweathered Limestone, Durable Shale (SDI equal to or greater than 95 according to KM 64-513), or Durable Sandstone.

**2.3 Granular Pile Core.** Select a gradation of durable rock to facilitate pile driving that conforms to Subsection 805.11. If granular pile core material hinders pile driving operations, take appropriate means necessary to reach the required pile tip elevation, at no expense to the Department.

**2.4 Cohesive Pile Core.** Conform to Section 206 of the Standard Specifications and use soil with at least 50 percent passing a No. 4 sieve having a minimum Plasticity Index (PI) of 10. In addition, keep the cohesive pile core free of boulders, larger than 6 inches in any dimension, or any other obstructions, which would interfere with drilling operations. If cohesive pile core material interferes with drilling operations, take appropriate means necessary to maintain excavation stability, at no expense to the Department.

**2.5 Structure Granular Backfill.** Conform to Subsection 805.11

**2.6 Geotextile Fabric.** Conform to Type I or Type IV in Section 214 and 843 as required in the plans.

#### **3.0 CONSTRUCTION.**

**3.1 General.** Construct roadway embankments at end bents according to Section 206 and in accordance with the Special Provision, the Plans, and Standard Drawings for the full embankment section. In some instances, granular or rock embankment will be required for embankment construction for stability purposes, but this special provision does not prevent the use of soil when appropriate. Refer to the plans for specific details regarding material requirements for embankment construction.

Place and compact granular or cohesive pile core, soil, granular or rock embankment, and structure granular backfill according to the applicable density requirements for the project. When constructing granular or rock embankments, use granular pile core for driven pile foundations and use cohesive pile core for pre-drilled pile or drilled shaft foundations. Place geotextile fabric, Type IV between cohesive pile core and structure granular backfill and granular or rock embankment.

When granular or rock embankment is required for embankment construction, conform to the general requirements of Subsection 206.03.02 B). In addition, place the material in no greater than 2-foot lifts and compact with a vibrating smooth wheel roller capable of producing a minimum centrifugal force of 15 tons. Apply these requirements to the full width of the embankment for a distance of half the embankment height or 50 feet, whichever is greater, as shown on Standard Drawing RGX-105.

When using granular pile core, install 8-inch perforated underdrain pipe at or near the elevation of the original ground in the approximate locations depicted on the standard drawing, and as the Engineer directs, to ensure positive drainage of the embankment. Wrap the perforated pipe with a fabric of a type recommended by the pipe manufacturer.

After constructing the embankment, excavate for the end bent cap, drive piling or install shafts, place the mortar bed, construct the end bent, and complete the embankment to finish grade according to the construction sequence shown on the Plans or Standard Drawings and as specified hereinafter.

After piles are driven or shafts installed (see design drawings), slope the bottom of the excavation towards the ends of the trench as noted on the plans for drainage. Using a separate pour, place concrete mortar, or any class concrete, to provide a base for forming and placing the cap. Place side forms for the end bent after the mortar has set sufficiently to support workmen and forms without being disturbed.

Install 4-inch perforated pipe in accordance with the plans and Standard Drawings. In the event slope protection extends above the elevation of the perforated pipe, extend the pipe through the slope protection.

After placing the end bent cap and removing adjacent forms, fill the excavation with structure granular backfill material to the level of the berm prior to placing beams for the bridge. For soil embankments, place Type IV geotextile fabric between embankment material and structure granular backfill. After completing the end bent backwall, or after completing the span end wall, place the structure granular backfill to subgrade elevation. If the original excavation is enlarged, fill the entire volume with compacted structure granular backfill at no expense to the Department. Do not place backfill before removing adjacent form work. Place structure granular backfill material in trench ditches at the ends of the excavation. Place Geotextile Fabric, Type IV over the surface of structure granular backfill prior to placing aggregate base course.

Tamp the backfill with hand tampers, pneumatic tampers, or other means the Engineer approves. Thoroughly compact the backfill under the overhanging portions of the structure to ensure that the backfill is in intimate contact with the sides of the structure.

Do not apply seeding, sodding, or other vegetation to the exposed granular embankment.

**3.2 Special Construction Methods.** Erodible or unstable materials may erode even when protected by riprap or channel lining; use the special construction method described below when using these materials.

Use fine aggregates or friable sandstone granular embankment at "dry land" structures only. Do not use them at stream crossings or locations subject to flood waters.

For erodible or unstable materials having 50 percent or more passing the No. 4 sieve, protect with geotextile fabric. Extend the fabric from the original ground to the top of slope over the entire area of the embankment slopes on each side of, and in front of, the end bent. Cover the fabric with at least 12 inches of non-erodible material.

For erodible or unstable materials having less than 50 percent passing a No. 4 sieve, cover with at least 12 inches of non-erodible material.

Where erodible or unstable granular embankment will be protected by riprap or channel lining, place geotextile fabric between the embankment and the specified slope protection.

#### **4.0 MEASUREMENT.**

**4.1 Granular Embankment.** The Department will measure the quantity in cubic yards using the plan quantity, increased or decreased by authorized adjustments as specified in Section 204. The Department will not measure for payment any Granular Embankment that is not called for in the plans.

The Department will not measure for payment any special construction caused by using erodible or unstable materials and will consider it incidental to the Granular Embankment regardless of whether the erodible or unstable material was specified or permitted.

**4.2 Rock Embankment.** The Department will not measure for payment any rock embankment and will consider it incidental to roadway excavation or embankment in place, as applicable. (embankments requiring rock with none present within project excavation limits will be constructed using granular embankment)

**4.3 Granular Pile Core.** The Department will measure the quantity in cubic yards using the plan quantity, increased or decreased by authorized adjustments as specified in Section 204. The Department will not measure for payment furnishing and placing 8-inch perforated underdrain pipe and will consider it incidental to the Granular pile core. The Department will not measure for payment any granular pile core that is necessary because the contractor elects to use granular or rock embankment when it is not specified in the plans.

**4.4 Cohesive Pile Core.** The Department will measure the quantity in cubic yards using the plan quantity, increased or decreased by authorized adjustments as specified in Section 204.

**4.5 Structure Granular Backfill.** The Department will measure the quantity in cubic yards using the plan quantity, increased or decreased by authorized adjustments as specified in Section 204. The Department will not measure any additional material required for backfill outside the limits shown on the Plans and Standard Drawings for payment and will consider it incidental to the work.

When following construction sequence “A”, as shown on the Standard Drawings, the Department will not measure structure excavation at the end bent for payment and will consider it incidental to Structure Granular Backfill.

The Department will not measure for payment the 4-inch perforated underdrain pipe and will consider it incidental to the Structure Granular Backfill.

**4.6 Geotextile Fabric.** The Department will measure the quantities as specified in Section 214. The Department will not measure the quantity of fabric used for separating granular or rock embankment and cohesive pile core and will consider it incidental to cohesive pile core.

**4.7 End Bent.** The Department will measure the quantities according to the Contract. The Department will not measure furnishing and placing the 2-inch mortar or concrete bed for payment and will consider it incidental to the end bent construction.

**5.0 PAYMENT.** The Department will make payment for the completed and accepted quantities under the following:

<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
02223	Granular Embankment	Cubic Yards
20209EP69	Granular Pile Core	Cubic Yards
20210EP69	Cohesive Pile Core	Cubic Yards

69

02231	Structure Granular Backfill	Cubic Yards
02596, 02599	Geotextile Fabric, Type	See Section 214

The Department will consider payment as full compensation for all work required in this provision.

April 24, 2008

## **PART III**

# **EMPLOYMENT, WAGE AND RECORD REQUIREMENTS**

**REQUIRED CONTRACT PROVISIONS  
FEDERAL-AID CONSTRUCTION CONTRACTS**

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ATTACHMENTS

- A. Employment Preference for Appalachian Contracts  
(included in Appalachian contracts only)

**I. GENERAL**

1. These contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.

2. Except as otherwise provided for in each section, the contractor shall insert in each subcontract all of the stipulations contained in these Required Contract Provisions, and further require their inclusion in any lower tier subcontract or purchase order that may in turn be made. The Required Contract Provisions shall not be incorporated by reference in any case. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with these Required Contract Provisions.

3. A breach of any of the stipulations contained in these Required Contract Provisions shall be sufficient grounds for termination of the contract.

4. A breach of the following clauses of the Required Contract Provisions may also be grounds for debarment as provided in 29 CFR 5.12:

- Section I, paragraph 2;
- Section IV, paragraphs 1, 2, 3, 4, and 7;
- Section V, paragraphs 1 and 2a through 2g.

5. Disputes arising out of the labor standards provisions of Section IV (except paragraph 5) and Section V of these Required Contract Provisions shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the U.S. Department of Labor (DOL) as set forth in 29 CFR 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the DOL, or the contractor's employees or their representatives.

6. **Selection of Labor:** During the performance of this contract, the contractor shall not:

a. discriminate against labor from any other State, possession, or territory of the United States (except for employment preference for Appalachian contracts, when applicable, as specified in Attachment A), or

b. employ convict labor for any purpose within the limits of the project unless it is labor performed by convicts who are on parole, supervised release, or probation.

**II. NONDISCRIMINATION**

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$10,000 or more.)

1. **Equal Employment Opportunity:** Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630 and 41 CFR 60) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The Equal Opportunity Construction Contract Specifications set forth under 41 CFR 60-4.3 and the provisions of the American Disabilities Act of 1990 (42 U.S.C. 12101 *et seq.*) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

a. The contractor will work with the State highway agency (SHA) and the Federal Government in carrying out EEO obligations and in their review of his/her activities under the contract.

b. The contractor will accept as his operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, preapprenticeship, and/or on-the-job training."

2. **EEO Officer:** The contractor will designate and make known to the SHA contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active contractor program of EEO and who must be assigned adequate authority and responsibility to do so.

3. **Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.

b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minority group employees.

d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

4. **Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minority groups in the area from which the project work force would normally be derived.

a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minority group applicants. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority group applicants may be referred to the contractor for employment consideration.

b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, he is expected to observe the provisions of that agreement to the extent that the system permits the contractor's compliance with EEO contract provisions. (The DOL has held that where implementation of such agreements have the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Executive Order 11246, as amended.)

c. The contractor will encourage his present employees to refer minority group applicants for employment. Information and procedures with regard to referring minority group applicants will be discussed with employees.

5. **Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:

a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.

b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with his obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of his avenues of appeal.

#### 6. **Training and Promotion:**

a. The contractor will assist in locating, qualifying, and increasing the skills of minority group and women employees, and applicants for employment.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision.

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of minority group and women employees and will encourage eligible employees to apply for such training and promotion.

7. **Unions:** If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use his/her best efforts to obtain the cooperation of such unions to increase opportunities for minority groups and women within the unions, and to effect referrals by such unions of minority and female employees. Actions by the contractor either directly or through a contractor's association acting as agent will include the procedures set forth below:

a. The contractor will use best efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minority group members and women for membership in the unions and increasing the skills of minority group employees and women so that they may qualify for higher paying employment.

b. The contractor will use best efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the SHA and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of minority and women referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin,

age or disability; making full efforts to obtain qualified and/or qualifiable minority group persons and women. (The DOL has held that it shall be no excuse that the union with which the contractor has a collective bargaining agreement providing for exclusive referral failed to refer minority employees.) In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the SHA.

**8. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment:** The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment.

a. The contractor shall notify all potential subcontractors and suppliers of his/her EEO obligations under this contract.

b. Disadvantaged business enterprises (DBE), as defined in 49 CFR 23, shall have equal opportunity to compete for and perform subcontracts which the contractor enters into pursuant to this contract. The contractor will use his best efforts to solicit bids from and to utilize DBE subcontractors or subcontractors with meaningful minority group and female representation among their employees. Contractors shall obtain lists of DBE construction firms from SHA personnel.

c. The contractor will use his best efforts to ensure subcontractor compliance with their EEO obligations.

**9. Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following completion of the contract work and shall be available at reasonable times and places for inspection by authorized representatives of the SHA and the FHWA.

a. The records kept by the contractor shall document the following:

(1) The number of minority and non-minority group members and women employed in each work classification on the project;

(2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women;

(3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minority and female employees; and

(4) The progress and efforts being made in securing the services of DBE subcontractors or subcontractors with meaningful minority and female representation among their employees.

b. The contractors will submit an annual report to the SHA each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on Form FHWA-1391. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data.

### III. NONSEGREGATED FACILITIES

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$10,000 or more.)

a. By submission of this bid, the execution of this contract or subcontract, or the consummation of this material supply agreement or purchase order, as appropriate, the bidder, Federal-aid construction contractor, subcontractor, material supplier, or vendor, as appropriate, certifies that the firm does not maintain or provide for its employees any segregated facilities at any of its establishments, and that the firm does not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. The firm agrees that a breach of this certification is a violation of the EEO provisions of this contract. The firm further certifies that no employee will be denied access to adequate facilities on the basis of sex or disability.

b. As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, restrooms and washrooms, restaurants and other eating areas, timeclocks, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive, or are, in fact, segregated on the basis of race, color, religion, national origin, age or disability, because of habit, local custom, or otherwise. The only exception will be for the disabled when the demands for accessibility override (e.g. disabled parking).

c. The contractor agrees that it has obtained or will obtain identical certification from proposed subcontractors or material suppliers prior to award of subcontracts or consummation of material supply agreements of \$10,000 or more and that it will retain such certifications in its files.

### IV. PAYMENT OF PREDETERMINED MINIMUM WAGE

(Applicable to all Federal-aid construction contracts exceeding \$2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural minor collectors, which are exempt.)

#### 1. General:

a. All mechanics and laborers employed or working upon the site of the work will be paid unconditionally and not less often than once a week and without subsequent deduction or rebate on any account [except such payroll deductions as are permitted by regulations (29 CFR 3) issued by the Secretary of Labor under the Copeland Act (40 U.S.C. 276c)] the full amounts of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment. The payment shall be computed at wage rates not less than those contained in the wage determination of the Secretary of Labor (hereinafter "the wage determination") which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor or its subcontractors and such laborers and mechanics. The wage determination (including any additional classifications and wage rates conformed under paragraph 2 of this Section IV and the DOL poster (WH-1321) or Form FHWA-1495) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers. For the purpose of this Section, contributions made or costs reasonably anticipated for bona fide fringe benefits under Section 1(b)(2) of the Davis-Bacon Act (40 U.S.C. 276a) on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of Section IV, paragraph 3b, hereof. Also, for the purpose of this Section, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs, which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics



shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in paragraphs 4 and 5 of this Section IV.

b. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein, provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed.

c. All rulings and interpretations of the Davis-Bacon Act and related acts contained in 29 CFR 1, 3, and 5 are herein incorporated by reference in this contract.

## 2. Classification:

a. The SHA contracting officer shall require that any class of laborers or mechanics employed under the contract, which is not listed in the wage determination, shall be classified in conformance with the wage determination.

b. The contracting officer shall approve an additional classification, wage rate and fringe benefits only when the following criteria have been met:

(1) the work to be performed by the additional classification requested is not performed by a classification in the wage determination;

(2) the additional classification is utilized in the area by the construction industry;

(3) the proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination; and

(4) with respect to helpers, when such a classification prevails in the area in which the work is performed.

c. If the contractor or subcontractors, as appropriate, the laborers and mechanics (if known) to be employed in the additional classification or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the DOL, Administrator of the Wage and Hour Division, Employment Standards Administration, Washington, D.C. 20210. The Wage and Hour Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

d. In the event the contractor or subcontractors, as appropriate, the laborers or mechanics to be employed in the additional classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. Said Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary

e. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraph 2c or 2d of this Section IV shall be paid to all workers performing work in the additional classification from the first day on which work is performed in the classification.

## 3. Payment of Fringe Benefits:

a. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor or subcontractors, as appropriate, shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly case equivalent thereof.

b. If the contractor or subcontractor, as appropriate, does not make payments to a trustee or other third person, he/she may consider as a part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, provided, that the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

## 4. Apprentices and Trainees (Programs of the U.S. DOL) and Helpers:

### a. Apprentices:

(1) Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the DOL, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State apprenticeship agency recognized by the Bureau, or if a person is employed in his/her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State apprenticeship agency (where appropriate) to be eligible for probationary employment as an apprentice.

(2) The allowable ratio of apprentices to journeyman-level employees on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any employee listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate listed in the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor or subcontractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman-level hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

(3) Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable

classification. If the Administrator for the Wage and Hour Division determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

(4) In the event the Bureau of Apprenticeship and Training, or a State apprenticeship agency recognized by the Bureau, withdraws approval of an apprenticeship program, the contractor or subcontractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the comparable work performed by regular employees until an acceptable program is approved.

b. Trainees:

(1) Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the DOL, Employment and Training Administration.

(2) The ratio of trainees to journeyman-level employees on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

(3) Every trainee must be paid at not less than the rate specified in the approved program for his/her level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman-level wage rate on the wage determination which provides for less than full fringe benefits for apprentices, in which case such trainees shall receive the same fringe benefits as apprentices.

(4) In the event the Employment and Training Administration withdraws approval of a training program, the contractor or subcontractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Helpers:

Helpers will be permitted to work on a project if the helper classification is specified and defined on the applicable wage determination or is approved pursuant to the conformance procedure set forth in Section IV.2. Any worker listed on a payroll at a helper wage rate, who is not a helper under an approved definition, shall be paid not less than the applicable wage rate on the wagedetermination for the classification of work actually performed.

**5. Apprentices and Trainees (Programs of the U.S. DOT):**

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of

Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

**6. Withholding:**

The SHA shall upon its own action or upon written request of an authorized representative of the DOL withhold, or cause to be withheld, from the contractor or subcontractor under this contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to Davis-Bacon prevailing wage requirements which is held by the same prime contractor, as much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the SHA contracting officer may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

**7. Overtime Requirements:**

No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers, mechanics, watchmen, or guards (including apprentices, trainees, and helpers described in paragraphs 4 and 5 above) shall require or permit any laborer, mechanic, watchman, or guard in any workweek in which he/she is employed on such work, to work in excess of 40 hours in such workweek unless such laborer, mechanic, watchman, or guard receives compensation at a rate not less than one-and-one-half times his/her basic rate of pay for all hours worked in excess of 40 hours in such workweek.

**8. Violation:**

Liability for Unpaid Wages; Liquidated Damages: In the event of any violation of the clause set forth in paragraph 7 above, the contractor and any subcontractor responsible thereof shall be liable to the affected employee for his/her unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory) for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer, mechanic, watchman, or guard employed in violation of the clause set forth in paragraph 7, in the sum of \$10 for each calendar day on which such employee was required or permitted to work in excess of the standard work week of 40 hours without payment of the overtime wages required by the clause set forth in paragraph 7.

**9. Withholding for Unpaid Wages and Liquidated Damages:**

The SHA shall upon its own action or upon written request of any authorized representative of the DOL withhold, or cause to be withheld, from any monies payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any

liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph 8 above.

## V. STATEMENTS AND PAYROLLS

(Applicable to all Federal-aid construction contracts exceeding \$2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural collectors, which are exempt.)

### 1. Compliance with Copeland Regulations (29 CFR 3):

The contractor shall comply with the Copeland Regulations of the Secretary of Labor which are herein incorporated by reference.

### 2. Payrolls and Payroll Records:

a. Payrolls and basic records relating thereto shall be maintained by the contractor and each subcontractor during the course of the work and preserved for a period of 3 years from the date of completion of the contract for all laborers, mechanics, apprentices, trainees, watchmen, helpers, and guards working at the site of the work.

b. The payroll records shall contain the name, social security number, and address of each such employee; his or her correct classification; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalent thereof the types described in Section 1(b)(2)(B) of the Davis Bacon Act); daily and weekly number of hours worked; deductions made; and actual wages paid. In addition, for Appalachian contracts, the payroll records shall contain a notation indicating whether the employee does, or does not, normally reside in the labor area as defined in Attachment A, paragraph 1. Whenever the Secretary of Labor, pursuant to Section IV, paragraph 3b, has found that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in Section 1(b)(2)(B) of the Davis Bacon Act, the contractor and each subcontractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, that the plan or program has been communicated in writing to the laborers or mechanics affected, and show the cost anticipated or the actual cost incurred in providing benefits. Contractors or subcontractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprentices and trainees, and ratios and wage rates prescribed in the applicable programs.

c. Each contractor and subcontractor shall furnish, each week in which any contract work is performed, to the SHA resident engineer a payroll of wages paid each of its employees (including apprentices, trainees, and helpers, described in Section IV, paragraphs 4 and 5, and watchmen and guards engaged on work during the preceding weekly payroll period). The payroll submitted required to be maintained under paragraph 2b of this Section V. This information may be submitted in any form desired. Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal stock number 029-005-0014-1), U.S. Government Printing Office, Washington, D.C. 20402. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors.

d. Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his/her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(1) that the payroll for the payroll period contains the information required to be maintained under paragraph 2b of this Section V and that such information is correct and complete;

(2) that such laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in the Regulations, 29 CFR 3;

(3) that each laborer or mechanic has been paid not less than the applicable wage rate and fringe benefits or cash equivalent for the classification of worked performed, as specified in the applicable wage determination incorporated into the contract.

e. The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 2d of this Section V.

f. The falsification of any of the above certifications may subject the contractor to civil or criminal prosecution under 18 U.S.C. 1001 and 31 U.S.C. 231.

g. The contractor or subcontractor shall make the records required under paragraph 2b of this Section V available for inspection, copying, or transcription by authorized representatives of the SHA, the FHWA, or the DOL, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the SHA, the FHWA, the DOL, or all may, after written notice to the contractor, sponsor, applicant, or owner, take such actions as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

## VI. RECORD OF MATERIALS, SUPPLIES, AND LABOR

1. On all Federal-aid contracts on the National Highway System, except those which provide solely for the installation of protective devices at railroad grade crossings, those which are constructed on a force account or direct labor basis, highway beautification contracts, and contracts for which the total final construction cost for roadway and bridge is less than \$1,000,000 (23 CFR 635) the contractor shall:

a. Become familiar with the list of specific materials and supplies contained in Form FHWA-47, "Statement of Materials and Labor Used by Contractor of Highway Construction Involving Federal Funds," prior to the commencement of work under this contract.

b. Maintain a record of the total cost of all materials and supplies purchased for and incorporated in the work, and also of the quantities of those specific materials and supplies listed on Form FHWA-47, and in the units shown on Form FHWA-47.

c. Furnish, upon the completion of the contract, to the SHA resident engineer on Form FHWA-47 together with the data required in paragraph 1b relative to materials and supplies, a final labor summary of all contract work indicating the total hours worked and the total amount earned.

2. At the prime contractor's option, either a single report covering all contract work or separate reports for the contractor and for each subcontract shall be submitted.

## VII. SUBLETTING OR ASSIGNING THE CONTRACT

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the State. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635).

a. "Its own organization" shall be construed to include only workers employed and paid directly by the prime contractor and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor, assignee, or agent of the prime contractor.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid on the contract as a whole and in general are to be limited to minor components of the overall contract.

2. The contract amount upon which the requirements set forth in paragraph 1 of Section VII is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the SHA contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the SHA contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the SHA has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

## VIII. SAFETY: ACCIDENT PREVENTION

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the SHA contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).

## IX. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, the following notice shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

### NOTICE TO ALL PERSONNEL ENGAGED ON FEDERAL-AID HIGHWAY PROJECTS

18 U.S.C. 1020 reads as follows:

*"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or*

*Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or*

*Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;*

*Shall be fined not more than \$10,000 or imprisoned not more than 5 years or both."*

## X. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$100,000 or more.)

By submission of this bid or the execution of this contract, or subcontract, as appropriate, the bidder, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any facility that is or will be utilized in the performance of this contract, unless such contract is exempt under the Clean Air Act, as amended (42 U.S.C. 1857 et seq., as amended by Pub.L. 91-604), and under the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 et seq., as amended by Pub.L. 92-500), Executive Order 11738, and regulations in implementation thereof (40 CFR 15) is not listed, on the date of contract award, on the U.S. Environmental Protection Agency (EPA) List of Violating Facilities pursuant to 40 CFR 15.20.

2. That the firm agrees to comply and remain in compliance with all the requirements of Section 114 of the Clean Air Act and Section 308 of the Federal Water Pollution Control Act and all regulations and guidelines listed thereunder.

3. That the firm shall promptly notify the SHA of the receipt of any communication from the Director, Office of Federal Activities, EPA, indicating that a facility that is or will be utilized for the contract is under consideration to be listed on the EPA List of Violating Facilities.

4. That the firm agrees to include or cause to be included the requirements of paragraph 1 through 4 of this Section X in every nonexempt subcontract, and further agrees to take such action as the government may direct as a means of enforcing such requirements.

**XI. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION**

**1. Instructions for Certification - Primary Covered Transactions:**

(Applicable to all Federal-aid contracts - 49 CFR 29)

a. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.

b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.

c. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause of default.

d. The prospective primary participant shall provide immediate written notice to the department or agency to whom this proposal is submitted if any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the department or agency to which

this proposal is submitted for assistance in obtaining a copy of those regulations.

f. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

g. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the nonprocurement portion of the "Lists of Parties Excluded From Federal Procurement or Nonprocurement Programs" (Nonprocurement List) which is compiled by the General Services Administration.

i. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph f of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

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**Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Primary Covered Transactions**

1. The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;

b. Have not within a 3-year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph 1b of this certification; and

d. Have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

2. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

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**2. Instructions for Certification - Lower Tier Covered Transactions:**

(Applicable to all subcontracts, purchase orders and other lower tier transactions of \$25,000 or more - 49 CFR 29)

a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "primary covered transaction," "participant," "person," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.

e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

\*\*\*\*\*

**Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions:**

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

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**XII. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING**

(Applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 - 49 CFR 20)

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and

submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

**KENTUCKY TRANSPORTATION CABINET  
DEPARTMENT OF HIGHWAYS**

**EMPLOYMENT REQUIREMENTS  
RELATING TO  
NONDISCRIMINATION OF EMPLOYEES  
(APPLICABLE TO FEDERAL-AID SYSTEM CONTRACTS)**

**AN ACT OF THE KENTUCKY GENERAL ASSEMBLY  
TO PREVENT DISCRIMINATION IN EMPLOYMENT**

**KRS CHAPTER 344  
EFFECTIVE JUNE 16, 1972**

The contract on this project, in accordance with KRS Chapter 344, provides that during the performance of this contract, the contractor agrees as follows:

1. The contractor shall not fail or refuse to hire, or shall not discharge any individual, or otherwise discriminate against an individual with respect to his compensation, terms, conditions, or privileges of employment, because of such individual's race, color, religion, national origin, sex, disability or age (between forty and seventy); or limit, segregate, or classify his employees in any way which would deprive or tend to deprive an individual of employment opportunities or otherwise adversely affect his status as an employee, because of such individual's race, color, religion, national origin, sex, disability or age (between forty and seventy). The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.

2. The contractor shall not print or publish or cause to be printed or published a notice or advertisement relating to employment by such an employer or membership in or any classification or referral for employment by the employment agency, indicating any preference, limitation, specification, or discrimination, based on race, color, religion, national origin, sex, disability or age (between forty and seventy), except that such notice or advertisement may indicate a preference, limitation, or specification based on religion, or national origin when religion, or national origin is a bona fide occupational qualification for employment.

3. If the contractor is in control of apprenticeship or other training or retraining, including on-the-job training programs, he shall not discriminate against an individual because of his race, color, religion, national origin, sex, disability or age (between forty and seventy), in admission to, or employment in any program established to

provide apprenticeship or other training.

4. The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representative of the contractor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment. The contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for non-compliance.

REVISED: 12-3-92



## EXECUTIVE BRANCH CODE OF ETHICS

In the 1992 regular legislative session, the General Assembly passed and Governor Brereton Jones signed Senate Bill 63 (codified as KRS 11A), the Executive Branch Code of Ethics, which states, in part:

KRS 11A.040 (6) provides:

No present or former public servant shall, within six (6) months of following termination of his office or employment, accept employment, compensation or other economic benefit from any person or business that contracts or does business with the state in matters in which he was directly involved during his tenure. This provision shall not prohibit an individual from returning to the same business, firm, occupation, or profession in which he was involved prior to taking office or beginning his term of employment, provided that, for a period of six (6) months, he personally refrains from working on any matter in which he was directly involved in state government. This subsection shall not prohibit the performance of ministerial functions, including, but not limited to, filing tax returns, filing applications for permits or licenses, or filing incorporation papers.

KRS 11A.040 (8) states:

A former public servant shall not represent a person in a matter before a state agency in which the former public servant was directly involved, for a period of one (1) year after the latter of:

- a) The date of leaving office or termination of employment; or
- b) The date the term of office expires to which the public servant was elected.

This law is intended to promote public confidence in the integrity of state government and to declare as public policy the idea that state employees should view their work as a public trust and not as a way to obtain private benefits.

If you have worked for the executive branch of state government within the past six months, you may be subject to the law's prohibitions. The law's applicability may be different if you hold elected office or are contemplating representation of another before a state agency.

Also, if you are affiliated with a firm which does business with the state and which employs former state executive-branch employees, you should be aware that the law may apply to them.

In case of doubt, the law permits you to request an advisory opinion from the Executive Branch Ethics Commission, Room 136, Capitol Building, 700 Capitol Avenue, Frankfort, Kentucky 40601; telephone (502) 564-7954.

**TRANSPORTATION CABINET  
DIVISION OF CONSTRUCTION PROCUREMENT  
COMPLIANCE SECTION  
PROJECT WAGE RATES**

	<b>HIGHWAY BASIC HOURLY RATES</b>	<b>FRINGE BENEFIT PAYMENTS COMBINED</b>
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**CRAFTS:**

Ballard, Butler, Caldwell, Carlisle, Crittenden, Daviess, Edmonson, Fulton, Graves, Hancock, Henderson, Hickman, Hopkins, Livingston, Lyon, Marshall, McCracken, McLean, Muhlenberg, Ohio, Union & Webster Counties:

Bricklayers .....26.20.....11.55

Allen, Calloway, Christian, Logan, Simpson, Todd, Trigg & Warren Counties:

Bricklayers .....25.10.....1.60

All Counties:

Carpenters .....24.84.....10.23

Divers .....37.64.....10.23

Piledrivermen .....25.09.....10.23

Butler, Edmonson, Logan, Todd & Warren Counties:

Electricians .....25.91.....23.5% + 4.55

Allen & Simpson Counties:

Electricians .....21.40.....9.75

Ballard, Caldwell, Calloway, Carlisle, Christian, Crittenden, Fulton (Except a 5 mile radius of City Hall in Fulton), Graves, Hickman, Livingston, Lyon, Marshall, McCracken & Trigg Counties:

Electricians:

Electricians .....28.02.....25.5% + 5.15

Cable Splicers receive \$ .25 per hour additional.

Daviess, Hancock, Henderson, Hopkins, McLean, Muhlenberg, Ohio, Union & Webster Counties:

Electricians:

Electricians .....26.66.....27.85% + 5.34

Heilarc Welding & Cable Splicing .....26.91.....27.85% + 5.34

Fulton County (Up to a 5 mile radius of City Hall in Fulton):

Electricians .....18.50.....9.99

Cable Splicers .....19.00.....9.99

Butler County (Eastern eighth, including the Townships of Decker, Lee & Tilford);

Edmonson County (Northern three-fourths, including the Townships of Asphalt, Bee Spring, Brownsville, Grassland, Huff, Kyrock, Lindseyville, Mammoth Cave, Ollie, Prosperity, Rhoda, Sunfish & Sweden):

Ironworkers:

Structural; Ornamental; Reinforcing;

Precast Concrete Erectors .....23.93.....16.74

**TRANSPORTATION CABINET  
DIVISION OF CONSTRUCTION PROCUREMENT  
COMPLIANCE SECTION  
PROJECT WAGE RATES**

<b>HIGHWAY BASIC HOURLY RATES</b>	<b>FRINGE BENEFIT PAYMENTS COMBINED</b>
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**CRAFTS:** (continued)

Butler County (Townships of Aberdeen, Bancock, Casey, Dexterville, Dunbar, Elfie, Gilstrap, Huntsville, Logansport, Monford, Morgantown, Provo, Rochester, and South Hill & Welchs Creek);

Caldwell County (Northeastern third, including the Township of Creswell); Christian County (Northern third, including Townships of Apex, Crofton, Kelly, Mannington and Wynns); Crittenden County (Northeastern half, including the Townships of Grove, Mattoon, Repton, Shady Grove and Tribune); Muhlenberg County (Townships of Bavier, Beech Creek Junction, Benton, Brennen, Browder, Central City, Cleaton, Depoy, Drakesboro, Eunis, Graham, Hillside, Luzerne, Lynn City, Martwick, McNary, Moorman, Millport, Nelson, Paradise, Powderly, South Carrollton, Tarina and Weir);

Daviess, Hancock, Henderson, Hopkins, McLean, Ohio, Union and Webster Counties:

Ironworkers .....24.75.....14.225

Butler County (Southern third, including the Townships of Boston, Berrys Lick, Dimple, Jetson, Quality, Sharer, Sugar Grove and Woodbury);

Christian County (Eastern two-thirds, including the Townships of Bennettstown, Casky, Herndon, Hopkinsville, Howell, Masonville, Pembroke and Thompsonville);

Edmonson County (Southern fourth, including the Townships of Chalybeate & Rocky Hill);

Muhlenberg County (Southern eighth, including the Townships of Dunnior, Penrod & Rosewood);

Allen, Logan, Simpson, Todd and Warren Counties:

Ironworkers .....21.60.....9.45

Caldwell County (Southwestern two-thirds, including the Townships of Cedar Bluff, Cider, Claxton, Cobb, Crowtown, Dulaney, Farmersville, Fredonia, McGowan, Otter Pond and Princeton);

Christian County (Western third, excluding the Townships of Apex, Crofton, Kelly, Mannington, Wynns, Bennettstown, Casky, Herndon, Hopkinsville, Howell, Masonville, Pembroke and Thompsonville);

Crittenden County (Southwestern half, including the Townships of Crayne, Dycusburg, Frances, Marion, Mexico, Midway, Sheridan and Told);

Ballard, Calloway, Carlisle, Fulton, Graves, Hickman, Livingston, Lyon, Marshall, McCracken and Trigg Counties:

Ironworkers

Projects with a total contract cost of \$20,000,000.00 or above

.....24.74.....15.04

All other work.....23.44.....13.98

Allen, Butler, Edmonson, Logan, Simpson & Warren Counties:

Millwrights.....24.10.....14.87

**TRANSPORTATION CABINET  
DIVISION OF CONSTRUCTION PROCUREMENT  
COMPLIANCE SECTION  
PROJECT WAGE RATES**

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<b>HIGHWAY BASIC HOURLY RATES</b>	<b>FRINGE BENEFIT PAYMENTS COMBINED</b>
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**CRAFTS:** (continued)

Ballard, Caldwell, Calloway, Carlisle, Christian, Crittenden, Fulton, Graves, Hickman, Hopkins, Livingston, Lyon, Marshall, McCracken, Todd & Trigg Counties:

Millwrights:.....23.10.....14.09

Daviess, Hancock, Henderson, McLean, Muhlenberg, Ohio, Union & Webster Counties:

Millwrights:.....23.18.....13.66

Ballard County:

Painters:

    Bridges and Dams .....28.81.....11.68

    All Other Work .....24.51.....11.68

    Spray, Blast, Steam, High and Hazardous (Including Lead Abatement) and All Epoxy – 1.00

Premium.

Edmonson County:

Painters:

    Brush & Roller .....18.22.....9.37

    Spray, Sandblast, Power Tools,

    Waterblast & Steam Cleaning.....18.97.....9.37

Daviess, Hancock, Henderson, McLean, Ohio, Union & Webster Counties:

Painters:

    Bridges, Locks & Dams:

        GROUP 1 .....25.60.....10.05

        GROUP 2 .....25.85.....10.05

        GROUP 3 .....26.60.....10.05

        GROUP 4 .....27.60.....10.05

    All Other Work:

        GROUP 1 .....24.45.....10.05

        GROUP 2 .....24.70.....10.05

        GROUP 3 .....25.45.....10.05

        GROUP 4 .....26.45.....10.05

**PAINTER CLASSIFICATIONS**

GROUP 1 – Brush & Roller

GROUP 2 – Plasterers

GROUP 3 – Spray; Sandblast; Power Tools; Waterblast; Steamcleaning; Brush & Roller of Mastics, Creosotes, Kwinch Koate & Coal Tar Epoxy

GROUP 4 – Spray of Mastics, Creosotes, Kwinch Koate & Coal Tar Epoxy

**TRANSPORTATION CABINET  
DIVISION OF CONSTRUCTION PROCUREMENT  
COMPLIANCE SECTION  
PROJECT WAGE RATES**

<b>HIGHWAY BASIC HOURLY RATES</b>	<b>FRINGE BENEFIT PAYMENTS COMBINED</b>
Allen, Butler, Logan, Muhlenberg, Simpson, Todd & Warren Counties:	
Painters:	
<u>Bridges, Locks &amp; Dams</u>	
Brush & Roller .....	22.05 ..... 7.90
Bridges, Locks & Dams	
Spray; Sandblast; Power Tools; Waterblast & Steam	
Cleaning .....	23.05 ..... 7.90
All Other Work	
Brush & Roller .....	17.05 ..... 7.90
All Other Work	
Spray; Sandblast; Power Tools; Waterblast & Steam	
Cleaning .....	18.05 ..... 7.90
All Other Work – High Time Pay	
Over 35 feet (up to 100 feet) - \$1.00 above base wage	
100 feet and over - \$2.00 above base wage	
During spray painting and sandblasting operations, pot tenders shall receive the same wage rates as the spray painter or nozzle operator	
Caldwell, Calloway, Carlisle, Christian, Crittenden, Fulton, Graves, Hickman, Hopkins, Livingston, Lyon, Marshall, McCracken & Trigg Counties:	
Painters:	
Bridges and Dams .....	24.75 ..... 9.55
All Other Work .....	18.50 ..... 9.55
Waterblasting units with 3500 PSI and above - \$.50 premium	
Spraypainting and all abrasive blasting - \$1.00 premium	
Work 40 ft. and above ground level - \$1.00 premium	
Allen, Butler, Edmonson, Simpson, Warren Counties:	
Plumber/Steamfitter .....	30.00 ..... 12.67
Ballard, Caldwell, Calloway, Carlisle, Christian, Crittenden, Fulton, Graves, Hickman, Livingston, Lyon, Marshall, McCracken & Trigg Counties:	
Plumbers & Steamfitters .....	28.98 ..... 12.55
Davies, Hancock, Henderson, Hopkins, Logan, McLean, Muhlenberg, Ohio, Todd, Union & Webster Counties:	
Plumbers & Pipefitters .....	26.92 ..... 11.15
Welders - Receive rate for craft in which welding is incidental.	

**TRANSPORTATION CABINET  
DIVISION OF CONSTRUCTION PROCUREMENT  
COMPLIANCE SECTION  
PROJECT WAGE RATES**

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**LABORERS:**

Ballard, Calloway, Carlisle, Fulton, Graves, Hickman, Livingston, Lyon, Marshall and McCracken Counties:

GROUP 1 - Aging & Curing of Concrete, Asbestos Abatement Worker, Asphalt Plant, Asphalt, Batch Truck Dump, Carpenter Tender, Cement Mason Tender, Cleaning of Machines, Concrete, Demolition, Dredging, Environmental -Nuclear Radiation, Toxic & Hazardous Waste - Level D, Flagperson, Grade Checker, Hand Digging & Hand Back Filling, Highway Marker Placer, Landscaping, Mesh Handler & Placer, Puddler, Railroad, Nip-Rap & Grouter, Right-of-Way, Sign, Guard Rail & Fence Installer, Signal Person, Sound Barrier Installer, Storm & Sanitary Sewer, Swamper, Truck Spotter & Dumper & Wrecking of Concrete Forms, General Cleanup.

**BASE RATE**.....19.43  
**FRINGE BENEFITS** .....9.98

GROUP 2 - Batter Board Man (Sanitary & Storm Sewer), Brickmason Tender, Mortar Mixer Operator, Scaffold Builder, Burner & Welder, Bushhammer, Chain Saw Operator, Concrete Saw Operator, Deckhand Scow Man, Dry Cement Handler, Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level C, Forklift Operator for Masonary, Form Setter, Green Concrete Cutting, Hand Operated Grouter & Grinder Machine Operator, Jackhammer, Pavement Breaker, Paving Joint Machine, Pipelayer, Plastic Pipe Fusion, Power Driven Georgia Buggy & Wheelbarrow, Power Post Hole Digger, Precast Manhole Setter, Walk-Behind Tamper, Walk-Behind Trencher, Sand Blaster, Concrete Chipper, Surface Grinder, Vibrator Operator and Wagon Driller.

**BASE RATE**.....19.68  
**FRINGE BENEFITS** .....9.98

GROUP 3 - Asphalt Luteman & Raker, Gunnite Nozzleman, Gunnite Operator & Mixer, Grout Pump Operator, Blaster, Side Rail Setter, Rail Paved Ditches, Screw Operator, Tunnel (Free Air), and Water Blaster .

**BASE RATE**.....19.73  
**FRINGE BENEFITS** .....9.98

GROUP 4 - Caisson Worker (Free Air), Cement Finisher, Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Levels A & B, Miner & Driller (Free Air), Tunnel Blaster and Tunnel Mucker (Free Air), Directional & Horizontal Boring, Air Track Drillers (All Types), Powderman & Blasters, Troxler & Concrete Tester if Laborer is Utilized.

**BASE RATE**.....20.33  
**FRINGE BENEFITS** .....9.98

**TRANSPORTATION CABINET  
DIVISION OF CONSTRUCTION PROCUREMENT  
COMPLIANCE SECTION  
PROJECT WAGE RATES**

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**LABORERS:**

Allen, Butler, Caldwell, Christian, Daviess, Edmonson, Hancock, Hopkins, Logan, McLean, Muhlenberg, Ohio Simpson, Todd, Trigg and Warren Counties;

GROUP 1 - Aging & Curing of Concrete, Asbestos Abatement Worker, Asphalt Plant, Asphalt, Batch Truck Dump, Carpenter Tender, Cement Mason Tender, Cleaning of Machines, Concrete, Demolition, Dredging, Environmental -Nuclear Radiation, Toxic & Hazardous Waste - Level D, Flagperson, Grade Checker, Hand Digging & Hand Back Filling, Highway Marker Placer, Landscaping, Mesh Handler & Placer, Puddler, Railroad, Nip-Rap & Grouter, Right-of-Way, Sign, Guard Rail & Fence Installer, Signal Person, Sound Barrier Installer, Storm & Sanitary Sewer, Swamper, Truck Spotter & Dumper & Wrecking of Concrete Forms, General Cleanup.

**BASE RATE**.....20.46  
**FRINGE BENEFITS** .....8.95

GROUP 2 - Batter Board Man (Sanitary & Storm Sewer), BrickMason Tender, Mortar Mixer Operator, Scaffold Builder, Burner & Welder, Bushhammer, Chain Saw Operator, Concrete Saw Operator, Deckhand Scow Man, Dry Cement Handler, Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level C, Forklift Operator for Masonary, Form Setter, Green Concrete Cutting, Hand Operated Grouter & Grinder Machine Operator, Jackhammer, Pavement Breaker, Paving Joint Machine, Pipelayer, Plastic Pipe Fusion, Power Driven Georgia Buggy & Wheelbarrow, Power Post Hole Digger, Precast Manhole Setter, Walk-Behind Tamper, Walk-Behind Trencher, Sand Blaster, Concrete Chipper, Surface Grinder, Vibrator Operator and Wagon Driller.

**BASE RATE**.....20.71  
**FRINGE BENEFITS** .....8.95

GROUP 3 - Asphalt Luteman & Raker, Gunnite Nozzleman, Gunnite Operator & Mixer, Grout Pump Operator, Blaster, Side Rail Setter, Rail Paved Ditches, Screw Operator, Tunnel (Free Air), and Water Blaster .

**BASE RATE**.....20.76  
**FRINGE BENEFITS** .....8.95

GROUP 4 - Caisson Worker (Free Air), Cement Finisher, Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Levels A & B, Miner & Driller (Free Air), Tunnel Blaster and Tunnel Mucker (Free Air), Directional & Horizontal Boring, Air Track Drillers (All Types), Powderman & Blasters, Troxler & Concrete Tester if Laborer is Utilized.

**BASE RATE**.....21.36  
**FRINGE BENEFITS** .....8.95

**TRANSPORTATION CABINET  
DIVISION OF CONSTRUCTION PROCUREMENT  
COMPLIANCE SECTION  
PROJECT WAGE RATES**

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**LABORERS:**

Crittenden, Henderson, Union and Webster Counties:

GROUP 1 - Aging & Curing of Concrete, Asbestos Abatement Worker, Asphalt Plant, Asphalt, Batch Truck Dump, Carpenter Tender, Cement Mason Tender, Cleaning of Machines, Concrete, Demolition, Dredging, Environmental -Nuclear Radiation, Toxic & Hazardous Waste - Level D, Flagperson, Grade Checker, Hand Digging & Hand Back Filling, Highway Marker Placer, Landscaping, Mesh Handler & Placer, Puddler, Railroad, Nip-Rap & Grouter, Right-of-Way, Sign, Guard Rail & Fence Installer, Signal Person, Sound Barrier Installer, Storm & Sanitary Sewer, Swamper, Truck Spotter & Dumper & Wrecking of Concrete Forms, General Cleanup.

**BASE RATE** .....19.51  
**FRINGE BENEFITS** .....9.90

GROUP 2 - Batter Board Man (Sanitary & Storm Sewer), Brickmason Tender, Mortar Mixer Operator, Scaffold Builder, Burner & Welder, Bushhammer, Chain Saw Operator, Concrete Saw Operator, Deckhand Scow Man, Dry Cement Handler, Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level C, Forklift Operator for Masonary, Form Setter, Green Concrete Cutting, Hand Operated Grouter & Grinder Machine Operator, Jackhammer, Pavement Breaker, Paving Joint Machine, Pipelayer, Plastic Pipe Fusion, Power Driven Georgia Buggy & Wheelbarrow, Power Post Hole Digger, Precast Manhole Setter, Walk-Behind Tamper, Walk-Behind Trencher, Sand Blaster, Concrete Chipper, Surface Grinder, Vibrator Operator and Wagon Driller.

**BASE RATE** .....19.76  
**FRINGE BENEFITS** .....9.90

GROUP 3 - Asphalt Luteman & Raker, Gunnite Nozzleman, Gunnite Operator & Mixer, Grout Pump Operator, Blaster, Side Rail Setter, Rail Paved Ditches, Screw Operator, Tunnel (Free Air), and Water Blaster .

**BASE RATE** .....19.81  
**FRINGE BENEFITS** .....9.90

GROUP 4 - Caisson Worker (Free Air), Cement Finisher, Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Levels A & B, Miner & Driller (Free Air), Tunnel Blaster and Tunnel Mucker (Free Air), Directional & Horizontal Boring, Air Tack Drillers (All Types), Powderman & Blasters, Troxler & Concrete Tester if Laborer is Utilized.

**BASE RATE** .....20.41  
**FRINGE BENEFITS** .....9.90



**TRANSPORTATION CABINET  
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**TEAMSTERS:**

Truck Drivers:

Allen, Butler, Edmonson, Logan, Simpson & Warren Counties:

Greaser, Tire Changer.

**BASE RATE** .....19.04  
**FRINGE BENEFITS** .....12.02

Truck Mechanic.

**BASE RATE** .....19.37  
**FRINGE BENEFITS** .....12.02

Single Axle Dump, Flat Bed, all Terrain Vehicles when used to haul materials, Semi Trailer or Pole Trailer when used to pull building materials and equipment, Tandem Axle Dump, Driver of Distributors, Mixer all types.

**BASE RATE** .....19.44  
**FRINGE BENEFITS** .....12.02

Winch and A-frame when used in transporting materials, Ross Carrier, Fork Lift when used to transport building materials, Driver on Pavement Breaker.

**BASE RATE** .....19.45  
**FRINGE BENEFITS** .....12.02

Euclid and other Heavy Earth Moving Equipment, Low Boy, Articulator Cat, Five Axle Vehicle.

**BASE RATE** .....19.50  
**FRINGE BENEFITS** .....12.02

Ballard, Calloway, Caldwell, Carlisle, Christian, Crittenden, Fulton, Graves, Hickman, Livingston, Lyon, Marshall, McCracken, Todd & Trigg Counties:  
Greaser, Tire Changer.

**BASE RATE** .....23.89  
**FRINGE BENEFITS** .....4.15

Truck Mechanic.

**BASE RATE** .....24.12  
**FRINGE BENEFITS** .....4.15

**TRANSPORTATION CABINET  
DIVISION OF CONSTRUCTION PROCUREMENT  
COMPLIANCE SECTION  
PROJECT WAGE RATES**

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**TEAMSTERS:** (continue)

Single Axle Dump, Flat Bed, all Terrain Vehicles when used to haul materials, Semi Trailer or Pole Trailer when used to pull building materials and equipment, Tandem Axle Dump, Driver of Distributor, Mixer all types.

**BASE RATE** .....24.19  
**FRINGE BENEFITS** .....4.15

Euclid, other Heavy Earth Moving Equipment, Low Boy, Articulator Cat, Five Axle Vehicle, Winch & A-Frame when used in transporting materials, Ross Carrier.

**BASE RATE** .....24.20  
**FRINGE BENEFITS** .....4.15

Daviess, Hancock, Henderson, Hopkins, McLean, Muhlenberg, Ohio, Union & Webster Counties: Greaser, Tire Changer.

**BASE RATE** .....19.23  
**FRINGE BENEFITS** .....9.20

Truck Mechanic.

**BASE RATE** .....19.46  
**FRINGE BENEFITS** .....9.20

Single Axle Dump, Flat Bed, all Terrain Vehicle when used to haul materials, Semi Trailer or Pole Trailer when used to pull building materials and equipment, Tandem Axle Dump, Driver of Distributors, Mixer all types.

**BASE RATE** .....19.53  
**FRINGE BENEFITS** .....9.20

Euclid and other Heavy Earth Moving Equipment, Low Boy, Articulator Cat, Five Axle Vehicle, Winch & A-Frame when used in transporting materials, Ross Carrier, Fork Lift when used to transport building materials, Driver on Pavement Breaker.

**BASE RATE** .....19.54  
**FRINGE BENEFITS** .....9.20

**TRANSPORTATION CABINET  
DIVISION OF CONSTRUCTION PROCUREMENT  
COMPLIANCE SECTION  
PROJECT WAGE RATES**

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**OPERATING ENGINEERS:**

A-frame Winch Truck, Auto Patrol, Backfiller, Batcher Plant, Bituminous Paver, Bituminous Transfer Machine, Boom Cat, Bulldozer, Mechanic, Cableway, Carry-All Scoop, Carry Deck Crane, Central Compressor Plant, Clamshell, Concrete Mixer (21 cu. ft. or over), Concrete Paver, Truck Mounted Concrete Pump, Core Drill, Crane, Crusher Plant, Derrick, Derrick Boat, Ditching and Trenching Machine, Dragline, Dredge Operator, Dredge Engineer, Elevating Grader and Loaders, Grade-All, Gurries, Heavy Equipment Robotics Operator/Mechanic, High Lift, Hoe-Type Machine, Hoist (two or more drums), Hoisting Engine (two or more drums), Horizontal Directional Drill Operator, Hydrocrane, Hyster, KeCal Loader, LeTourneau, Locomotive, Mechanic; Mechanically Operated Laser Screed, Mechanic Welder, Mucking Machine, Motor Scraper, Orangepeel Bucket, Piledriver, Power Blade, Pumpcrete, Push Dozer, Rock Spreader attached to equipment, Rotary Drill, Roller (bituminous), Scarifier, Scoopmobile, Shovel, Side Boom, Subgrader, Tailboom, Telescoping type Forklift, Tow or Push boat, Tower Crane (French, German and other types), Tractor Shovel, Truck Crane, Tunnel Mining Machines, including Moles, Shields or similar types of Tunnel Mining Equipment.

**BASE RATE** .....23.60  
**FRINGE BENEFITS** .....12.40

Air Compressor (over 900 cu. ft. per min.), Bituminous Mixer, Boom Type Tamping Machine, Bull Float, Concrete Mixer (under 21 cu. ft.), Dredge Engineer, Electric Vibrator, Compactor/Self-Propelled Compactor, Elevator (one drum or buck hoist), Elevator (when used to hoist building material), Finish Machine, Firemen & Hoist (one drum), Flexplane, Forklift (regardless of lift height), Form Grader, Joint Sealing Machine, Outboard Motor Boat, Power Sweeper (riding type), Roller (rock), Ross Carrier, Skid Mounted or Trailer Mounted Concrete Pump, Skid Steer Machine with all attachments, Switchman or Brakeman, Throttle Valve Person, Tractair and Road Widening Trencher, Tractor (50 H.P. or over), Truck Crane Oiler, Tugger, Welding Machine, Well Points, and Whirley Oiler.

**BASE RATE** .....21.18  
**FRINGE BENEFITS** .....12.40

All off road material handling equipment, including Articulating Dump Trucks, Greaser on grease facilities servicing heavy equipment.

**BASE RATE** .....21.56  
**FRINGE BENEFITS** .....12.40

**TRANSPORTATION CABINET  
DIVISION OF CONSTRUCTION PROCUREMENT  
COMPLIANCE SECTION  
PROJECT WAGE RATES**

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**OPERATING ENGINEERS:** (continued)

Bituminous Distributor, Burlap and Curing Machine, Cement Gun, Concrete Saw, Conveyor, Deckhand Oiler, Grout Pump, Hydraulic Post Driver, Hydro Seeder, Mud Jack, Oiler, Paving Joint Machine, Power Form handling equipment, Pump, Roller (Earth), Steerman, Tamping Machine, Tractor (under 50 H.P.) and Vibrator.

<b>BASE RATE</b> .....	20.92
<b>FRINGE BENEFITS</b> .....	12.40

Cranes - with booms 150 ft. and over (including jib), and where the length of the Boom in combination with the length of the piling equals or exceeds 150 ft. - \$1.00 above Group 1 rate.

Employees assigned to work below ground level are to be paid 10% above basic wage rate. This does not apply to open cut work.

Fringe benefit amounts are applicable for all hours worked except when otherwise noted.

These rates are listed pursuant to the Kentucky Determination No. CR-06-IHWY dated July 10, 2007 and/or Federal Decision No. KY20080025 dated February 8, 2008, modification #0 dated February 8, 2008, modification #1 dated April 4, 2008, modification #2 dated June 6, 2008, modification #3 dated July 4, 2008, modification #4 dated July 25, 2008, modification #5 dated August 1, 2008, modification #6 dated August 15, 2008, modification #7 dated September 5, 2008 and modification #8 dated October 3, 2008.

**TRANSPORTATION CABINET  
DIVISION OF CONSTRUCTION PROCUREMENT  
COMPLIANCE SECTION  
PROJECT WAGE RATES**

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No laborer, workman or mechanic shall be paid at a rate less than that of a Journeyman except those classified as bona fide apprentices.

Apprentices or trainees shall be permitted to work as such subject to Administrative Regulations adopted by the Commissioner of Workplace Standards. Copies of these regulations will be furnished upon request from any interested person.

Before using apprentices on the job the contractor shall present to the Contracting Officer written evidence of registration of such employees in a program of a State apprenticeship and training agency approved and recognized by the U. S. Bureau of Apprenticeship and Training. In the absence of such a State agency, the contractor shall submit evidence of approval and registration by the U. S. Bureau of Apprenticeship and Training.

The contractor shall submit to the Contracting Officer, written evidence of the established apprenticeship-journeyman ratios and wage rates in the project area, which will be the basis for establishing such ratios and rates for the project under the applicable contract provisions.

**TO: EMPLOYERS/EMPLOYEES**

**PREVAILING WAGE SCHEDULE:**

**The wages indicated on this wage schedule are the least permitted to be paid for the occupations indicated. When an employee works in more than one classification, the employer must record the number of hours worked in each classification at the prescribed hourly base rate.**

**OVERTIME:**

**Overtime is to be paid after an employee works eight (8) hours a day or forty (40) hours a week, whichever gives the employee the greater wages. At least time and one-half the base rate is required for all overtime. A laborer, workman or mechanic and an employer may enter into a written agreement or a collective bargaining agreement to work more than eight (8) hours a calendar day but not more than ten (10) hours a calendar day for the straight time hourly rate.**

**Wage violations or questions should be directed to the designated Engineer or the undersigned.**

Steve Waddle, Director  
Division of Construction Procurement  
Frankfort, Kentucky 40622

**NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION  
TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY  
(Executive Order 11246)**

1. The Offeror's or Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Specifications" set forth herein.
2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate work force in each trade on all construction work in the covered area, are as follows:

<b>GOALS FOR MINORITY PARTICIPATION IN EACH TRADE</b>	<b>GOALS FOR FEMALE PARTICIPATION IN EACH TRADE</b>
5.2%	6.9%

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally-assisted) performed in the covered area. If the contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the contractor also is subject to the goals for both its federally involved and non-federally involved construction.

The Contractor's compliance with the Executive Order and the regulations in CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4, 3(a), and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

3. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs within ten (10) working days of award of any construction subcontract in excess of \$10,000.00 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address and telephone number of the subcontractor; employer identification number of the subcontractor; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the subcontract is to be performed. The notification shall be mailed to:

**Evelyn Teague, Regional Director  
Office of Federal Contract Compliance Programs  
61 Forsyth Street, SW, Suite 7B75  
Atlanta, Georgia 30303-8609**

4. As used in this Notice, and in the contract resulting from this solicitation, the "**covered area**" is Carlisle County.

**PART IV**  
**INSURANCE**

**INSURANCE**  
**(Railroad Involvement)**

The Contractor shall carry the following insurance in addition to the insurance required by law:

1. Contractor's Public Liability Insurance not less than \$100,000.00 for damages arising out of bodily injuries to or death to one person. Not less than \$300,000.00 for damages arising out of bodily injuries to or death to two or more persons.
2. Contractor's Property Damages Liability Insurance. Not less than \$100,000.00 for all damages arising out of injury or destruction of property in any one accident. Not less than \$300,000.00 for all damages during the policy period.
3. Contractor's Protective Public Liability and Property Damage Insurance. The contractor shall furnish evidence with respect to operations performed for him by subcontractors that he carries in his own behalf for the above stipulated amounts.
4. The insurance required above must be evidenced by a Certificate of Insurance and this Certificate of Insurance must contain one of the following statements:
  - a. "policy contains no deductible clauses."
  - b. "policy contains \_\_\_\_\_ (amount) deductible property damage clause but company will pay claim and collect the deductible from the insured."
5. WORKMEN'S COMPENSATION INSURANCE. The contractor shall furnish evidence of coverage of all his employees or give evidence of self-insurance by submitting a copy of a certificate issued by the Workmen's Compensation Board.
6. RAILROAD PROTECTIVE LIABILITY INSURANCE. The policy shall name the railroad as the Named Insured and the limit of liability shall be not less than \$5,000,000 combined single limit for Bodily Injury and Property Damage per occurrence, subject to a \$10,000,000 aggregate limit per annual policy period. If the project involves a rail facility where passenger trains operate, the insurance limits required that are not less than a combined single limit of \$5,000,000 each occurrence and \$10,000,000 in the aggregate applying separately to each annual period. The original of this policy must be submitted for the railroad's approval and filing prior to the commencement of work on this project.



**PART V**  
**BID ITEMS**

CONTRACT ID: 081004  
COUNTY: CARLISLE  
PROPOSAL: BRZ 0103 (285)

PAGE: 1  
LETTING: 11/21/08  
CALL NO: 100

LINE NO	ITEM	DESCRIPTION	APPROXIMATE QUANTITY	UNIT	UNIT PRICE	AMOUNT
SECTION 0001 ROADWAY						
0010	00001	DGA BASE	2,315.000	TON		
0020	00100	ASPHALT SEAL AGGREGATE	23.000	TON		
0030	00221	CL2 ASPH BASE 0.75D PG64-22	2,222.000	TON		
0040	00291	EMULSIFIED ASPHALT RS-2	3.000	TON		
0050	00301	CL2 ASPH SURF 0.38D PG64-22	450.000	TON		
0060	00440	ENTRANCE PIPE-15 IN	88.000	LF		
0070	00462	CULVERT PIPE-18 IN	367.000	LF		
0080	00464	CULVERT PIPE-24 IN	127.000	LF		
0090	00522	STORM SEWER PIPE-18 IN	80.000	LF		
0100	01450	S & F BOX INLET-OUTLET-18 IN	2.000	EACH		
0110	01451	S & F BOX INLET-OUTLET-24 IN	1.000	EACH		
0120	01559	DROP BOX INLET TYPE 13G	2.000	EACH		
0130	01897	ASPHALT WEDGE CURB	50.000	LF		
0140	02014	BARRICADE-TYPE III	4.000	EACH		
0150	02091	REMOVE PAVEMENT	310.000	SQYD		
0160	02099	CEM CONC ENT PAVEMENT-6 IN	86.000	SQYD		
0170	02159	TEMP DITCH	800.000	LF		
0180	02230	EMBANKMENT IN PLACE	17,689.000	CUYD		
0190	02242	WATER	516.000	MGAL		
0200	02351	GUARDRAIL-STEEL W BEAM-S FACE	1,875.000	LF		

CONTRACT ID: 081004  
COUNTY: CARLISLE  
PROPOSAL: BRZ 0103 (285)

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LINE NO	ITEM	DESCRIPTION	APPROXIMATE QUANTITY	UNIT	UNIT PRICE	AMOUNT
0210	02360	GUARDRAIL TERMINAL SECTION NO 1	4.000	EACH		
0220	02363	GUARDRAIL CONNECTOR TO BRIDGE END TY A	4.000	EACH		
0230	02391	GUARDRAIL END TREATMENT TYPE 4A	2.000	EACH		
0240	02483	CHANNEL LINING CLASS II	744.000	TON		
0250	02484	CHANNEL LINING CLASS III	242.000	TON		
0260	02545	CLEARING AND GRUBBING APPROXIMATELY 7.08 ACRES	( 1.00)	LS		
0270	02562	SIGNS	127.000	SQFT		
0280	02585	EDGE KEY	175.000	LF		
0290	02600	FABRIC GEOTEXTILE TY IV FOR PIPE	2,843.000	SQYD	2.00	5,686.00
0300	02650	MAINTAIN & CONTROL TRAFFIC	( 1.00)	LS		
0310	02701	TEMP SILT FENCE	375.000	LF		
0320	02703	SILT TRAP TYPE A	3.000	EACH		
0330	02704	SILT TRAP TYPE B	17.000	EACH		
0340	02705	SILT TRAP TYPE C	4.000	EACH		
0350	02706	CLEAN SILT TRAP TYPE A	6.000	EACH		
0360	02707	CLEAN SILT TRAP TYPE B	34.000	EACH		
0370	02708	CLEAN SILT TRAP TYPE C	8.000	EACH		
0380	02709	CLEAN TEMP SILT FENCE	750.000	LF		
0390	02726	STAKING	( 1.00)	LS		
0400	02731	REMOVE STRUCTURE REMOVE EXISTING BRIDGE	( 1.00)	LS		
0410	05950	EROSION CONTROL BLANKET	1,578.000	SQYD		

CONTRACT ID: 081004  
COUNTY: CARLISLE  
PROPOSAL: BRZ 0103 (285)

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LINE NO	ITEM	DESCRIPTION	APPROXIMATE QUANTITY	UNIT	UNIT PRICE	AMOUNT
0420	05952	TEMP MULCH	34,267.000	SQYD		
0430	05953	TEMP SEEDING AND PROTECTION	2,265.000	SQYD		
0440	05966	TOPDRESSING FERTILIZER	1.200	TON		
0450	05985	SEEDING AND PROTECTION	22,651.000	SQYD		
0460	05989	SPECIAL SEEDING CROWN VETCH	5,770.000	SQYD		
0470	06514	PAVE STRIPING-PERM PAINT-4 IN	4,280.000	LF		
0480	08100	CONCRETE-CLASS A	9.000	CUYD		
0490	08150	STEEL REINFORCEMENT	72.000	LB		
0500	10020NS	FUEL ADJUSTMENT	2,627.000	DOLL	1.00	2,627.00
0510	23131ER701	PIPELINE VIDEO INSPECTION	287.000	LF		
SECTION 0002 BRIDGE						
0520	02231	STRUCTURE GRANULAR BACKFILL	150.000	CUYD		
0530	02998	MASONRY COATING	1,114.000	SQYD		
0540	03299	ARMORED EDGE FOR CONCRETE	72.200	LF		
0550	08003	FOUNDATION PREPARATION	( 1.00)	LS		
0560	08020	CRUSHED AGGREGATE SLOPE PROT	394.000	TON		
0570	08033	TEST PILES	237.000	LF		
0580	08046	PILES-STEEL HP12X53	2,764.000	LF		
0590	08100	CONCRETE-CLASS A	382.900	CUYD		
0600	08104	CONCRETE-CLASS AA	339.100	CUYD		
0610	08150	STEEL REINFORCEMENT	72,330.000	LB		

CONTRACT ID: 081004  
COUNTY: CARLISLE  
PROPOSAL: BRZ 0103 (285)

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LINE NO	ITEM	DESCRIPTION	APPROXIMATE QUANTITY	UNIT	UNIT PRICE	AMOUNT
0620	08151	STEEL REINFORCEMENT-EPOXY COATED	93,119.000	LB		
0630	08634	PRECAST PC I BEAM TYPE 4	921.330	LF		
SECTION 0003 DEMOBILIZATION						
0640	02569	DEMOBILIZATION		LUMP		
		TOTAL BID				