

CABINET

Department of Rural and Municipal Aid KYTC Rural and Municipal Aid Funding

Lexington, Kentucky | November 17, 2022

## OFFICE STAFF

#### THE OFFICE OF THE COMMISSIONER

- Bobbi Jo Lewis Commissioner
- Gayle Smith Executive Advisor
- **Debra Powell** Executive Administrative Secretary

#### THE OFFICE OF RURAL SECONDARY ROADS

- Craig Caudill Transportation Engineering Branch
  Manager
- Kelley Johnson Executive Staff Advisor
- Sara Hall RS Project Payment Manager

#### THE OFFICE OF LOCAL PROGRAMS

- Jackie Jones Executive Staff Advisor—CMAQ Program Administrator, Scenic Byways Coordinator
- *Michael Jones Historic Preservation Program Administrator*
- *Kimberly Tompkins* Federal Program Specialist, TAP Program Administrator
- Holly Crosthwaite Federal Program Specialist

#### FIELD REPRESENTATIVES

- Doug Taylor Western Kentucky
- Kenny Morgan Southern Kentucky
- Barry Davis Eastern Kentucky
- Loren "Squirrel" Carl Northern Kentucky



# What has Rural and Municipal Aid accomplished for you?

#### <u>Current Fiscal Year:</u>

- COUNTY ROAD AID \$111,466,271
- MUNICIPAL ROAD AID \$10,616,203 Coop (\$37,926,630 sent to DLG for non-Coop)

#### • From 1/1/2020-11/9/2022:

- **RURAL SECONDARY FUNDS** \$240,107,613
- **FLEX FUNDS** \$92,443,898
- **80/20 BRIDGE & STATE EMERGENCIES -** \$36,231,406
- COUNTY ROAD AID EMERGENCY FUNDS \$13,930,174
- MUNICIPAL ROAD AID EMERGENCY FUNDS \$1,512,625
- **DISCRETIONARY FUNDING** \$50,748,642
- **TAP PROJECTS** \$17,040,727
- TOTAL PROCESSED ROAD AID \$574,097,559



### **OFFICES WITHIN THE DEPARTMENT**

The Department of Rural and Municipal Aid acts as the liaison to local governments for transportation needs.

#### The Department oversees two offices:

- 1) Office of Rural and Secondary Roads (ORSR)
- 2) Office of Local Programs (OLP)

#### The Office of Rural Secondary Roads (ORSR)

 The Office of Rural Secondary Roads administers three revenue-sharing programs funded by state motor fuel taxes as provided by Kentucky Revised Statutes (KRS) 177.320 through 177.366. These programs include the County and Municipal Road Aid Cooperative Programs and the Rural Secondary Program.

#### The Office of Local Programs (OLP)

- The Office of Local Programs administers two federally funded programs, Transportation Alternatives (TAP) and Congestion Mitigation and Air Quality (CMAQ). Each acts as a grant program which administers funds allocated by the Federal Highway Administration (FHWA). Both TAP and CMAQ conduct an application cycle each year wherein local governments can apply for project funding.
- Examples of projects include: sidewalks (ADA compliance, providing a safe route from schools or neighborhoods, pharmacies and assisted living facilities), transference of diesel busses to electronic, roundabouts, safe routes for non-drivers, bicycle or pedestrian transportation facilities, traffic flow improvements, etc.



#### The Office of Rural and Secondary Roads



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#### **REVENUE SHARE BREAKDOWN**





#### MUNICIPAL ROAD AID ALLOTMENT

KRS 177.36 & 177.366

7.7% of the motor fuel tax for urban roads and streets

Allotment per city determined by population

Used for construction, reconstruction and maintenance of city streets 3% allocated to the municipal emergency account



#### **26 cents per gallon (cpg)** (1 cent per gallon = \$30 million)

#### MOTOR FUELS TAX RECEIPTS STATUTORY REVENUE SHARING

(FY22 TENTATIVE ALLOCATIONS UPDATED – CFG ROAD FUND ESTIMATE)

Petroleum Storage Tank Fund = 1.4 cpg (\$42 Million)

**Rural Secondary Road Aid – 22.2% (CB06) = \$164,639,600** Retained by KYTC for use on state rural secondary routes in specific counties

**County Road Aid – 18.3% (CA01) = \$135,716,500** Sent to Counties

Municipal Road Aid – 7.7% (CC01) = \$57,104,700 Sent to Cities

To the Road Fund for use by KYTC = \$349.36 million Combined with other Road Fund revenue sources to be expended by state

2.1 cpg dedicated to 2005/2006 TAK Bond Issue = \$63.0 million



Formula of the Fifths

Rural

Land

Area

Equal Share

Rural Population

Rural

Road

Mileage

#### **FUNDING BREAKDOWN - EMERGENCY FUNDING**



#### **RURAL SECONDARY FUNDING BREAKDOWN**

FUNDING DERIVED FROM THE RS INITIAL DISTRIBUTION (CB06)



stipend and Flex Funds.

\*includes carry forward amount

they submit.

#### **RS COOPERATIVE PROGRAM**



### COUNTY AND MUNICIPAL ROAD AID PROGRAM

Road Aid funds are allocated annually for construction and maintenance of your roads.

There are two ways to receive Road Aid funds:

1) Monthly through the Kentucky Department for Local Government (DLG), OR

2) Join the ORSR Cooperative Program

\*\*\*Agreements are sent out at the end of March and should be sent back ASAP

#### IF YOU JOIN THE ORSR COOPERATIVE PROGRAM YOU WILL BE ELIGIBLE FOR EMERGENCY FUNDING



## **THE FLEX FUND** Funds allocated to counties for resurfacing

## TEAM KENTUCKY.

TRANSPORTATION CABINET

#### The Flex Funds FY 23 PROJECTION: \$27,040,841



#### • What are Flex Funds?

- These are funds set aside from the Rural Secondary (22.2%) apportionment to help counties in their resurfacing needs.
- These funds are to be solely used for repairs and resurfacing the existing asphalt surface.
- With over 40,000 county road miles we recognize the need for assistance!

• At the beginning of the year, District personnel will communicate with each county as to the amount of Flex Funds the county will be allocated for the upcoming fiscal year



#### **Flex Fund**



To Determine the allocation of Flex Funds to each County the State's Division of Maintenance reviews the rural secondary roads in each county and determines the necessary funding needed for the reasonable up-keep of these roads during that fiscal year.

#### Features used in determining the rating

ff  Guide Sign Assemblies Warning and Reg. Signs Warning and
Stripe Reg. Sign
Stripe Stripe

KENTUCKY TRANSPORTATION CABINET

## **Adopting County Roads**

- How to get credit for the road miles
  - Contact and work with your local Area Development District (ADD)
  - ADD Districts work with our Division of Planning to register the roads
  - If you are using our mapping system and cannot find your road please contact us!.





## Level of Service on Rural Secondary Roads

- RS Level of Service Rankings
  - Grade A 25%
  - Grade B 20%
  - Grade C 15%
  - Grade D 10%

- Once the Level of Service (LOS) rankings are completed by the Division of Maintenance, Pavement Operations Branch, the information is forwarded to the Office of Rural Secondary Roads (ORSR)
- • ORSR takes the LOS percentage amount and multiplies it by the initial allotment
- • This amount equals the flex fund allocation amount for each county

<u>C0#</u>	COUNTY	DIS	18-19 <u>Initial</u> Distrib. (90%)	17-18 <u>Balance</u> ( <u>EST. 12-20-</u> 17)		<u>total</u> <u>Eunds</u> Available	18-19 PROPOSED M & T ALLOTMENT	M&T % <u>of</u> Initial Distrib,	CJEXP	TOTAL <u>AMOUNT</u> LEFT FOR PROJECTS	"FLEX <u>FUNDS"</u> EOR PROJECTS	<u>"TOTAL</u> FLEX <u>FUNDS"</u> EOR PROJECTS	"ELEX. FUNDS" <u>% of</u> INITIAL. DISTRIB.	TOTAL AMOUNT MINUS "FLEX FUNDS"
004	Ballard	1	805,426	156, 196	27,956	961,622	362,400	45%	2,400	596,822	201,357	229,312	25%	395,466
018	Calloway	1	1,400,395	418,737		1,819,132	532,200	38%	2,400	1,284,532	280,079	280,079	20%	1,004,453
020	Carlisle	1	661,525	118,142		779,667	297,700	45%	2,400	479,567	132,305	132,305	20%	347,262
028	Crittenden	1	1,001,288	254,458		1,255,746	380,500	38%	2,400	872,846	250,322	250,322	25%	622,524
038	Fulton	1	654,861	39,986		694,847	327,400	50%	2,400	365,047	130,972	130,972	20%	234,075
042	Graves	1	1,777,955	338,110		2,116,065	782,300	44%	2,400	1,331,365	355,591	355,591	20%	975,774
053	Hickman	1	747,354	126,026	746	873,380	373,700	50%	2,400	497,280	149,471	150,217	20%	347,809
070	Livingston	1	969,057	220,092	66,057	1, 189, 149	484,500	50%	2,400	702,249	242,264	308,321	25%	459,984
072	Lyon	1	779,535	226,796	5,479	1,006,331	350,800	45%	2,400	653, 131	194,884	200,363	25%	458,247
073	McCracken	1	931,802	302,673	23,297	1,234,475	437,900	47%	2,400	794, 175	232,951	256,247	25%	561,225
079	Marshall	1	1,289,247	298,443	43,660	1,587,690	455, 100	35%	2,400	1,130,190	193,387	237,047	15%	936,803
111	Trigg	1	1,353,173	324,171	6,482	1,677,344	514,200	38%	2,400	1,160,744	270,635	277,117	20%	890,110

• If a county has carry forward amounts it will be added on to the total flex funds for projects (highlighted column below)



## Flex Fund Process

#### **Application Guidelines:**

- County must complete and submit their Flex Fund recommendation list to ORSR in Frankfort
  - Completed Flex Recommendation form (TC 20-34). Available on our website in our Forms Library: https://transportation.ky.gov/Organizational-Resources/Pages/Forms-Library-(TC-20).aspx
  - Mile point locator map: http://maps.kytc.ky.gov/photolog/?config=RASR
- ORSR will conduct a quick review of the Flex recommendation list and an approved list is forwarded to the District Office (D.O.) for further evaluation
- D.O. personnel performs field review of recommendations to gather information to verify need:
  - Condition of Pavement
  - Length
  - Width
  - Number of homes and businesses served



## HOW TO APPLY FOR COUNTY 'FLEX' FUNDING CONSIDERATION

 To receive funding counties must complete the <u>TC 20-34</u> and submit to the Office of Rural and Secondary Roads for evaluation and determination of eligibility.

	entucky Transportation Cabinet artment of Rural and Municipal Aid PROGRAM RECOMMENDATIONS	TC 20-34 04/2013 Page 1 of 1				
	COUNTYNAME			DATE		
BE					~	1
PRIORITT MO.	ROAD HAME	ROAD Humber	TTPE OF PROJECT	LOCATION OF PROJECT (WHERE THE PROJECT BEGINS AND ENDS)	LENGTH (HI) OF PROJECT	EST COST
SAMPLE	TYPICAL COUNTY ROAD	CR 1111	BIT. RESURFAC E	BEGIN AT: KY 9999 EXTENDING NORTH END AT: JONES ROAD	1.00	\$30,000.00
SAMPLE	TYPICAL COUNTY ROAD	CR 1234	BIT. PATCHING	BEGIN AT: KY 99 (This should be the beginning of first patch) END AT: 2.5 MILES NORTH OF KY 99 (This should be the end of last patch)	2.50	\$10,000.00
				BEGIN AT: END AT: BEGIN AT: END AT: BEGIN AT:		
				END AT: BEGIN AT: END AT:		

Submit this request electronically to: RuralandMunicipalAid @ky.gov



## Milepoint Locater Map





#### The RS 80/20 Bridge Program Funds allocated for drainage structure maintenance





## RS 80/20 Bridge Program

The 80/20 Bridge Program is funding set aside from the RS emergency fund to be used for repair or replacement of existing drainage structures, bridges, low water fords, culverts, pipes, etc. on county roads. The Office of Rural & Secondary Roads (ORSR) determines the allotment percentage each year based on gas tax receipts. At this time, each county receives up to \$80,000 per fiscal year, with no carry forward.











## RS 80/20 Bridge

The KYTC Division of Structural Design has created "bridge" drawings at no cost to the counties and are available for use:

- Pile End Bents
- Abutment Plans
- Steel Superstructure Plans
- 2-Railing Alternatives
- Existing Box Beams
- Final Standard Steel Composite
- Culverts
- Piers 22X36
- Associated Deck Unit Standard Drawlings (for use with the above listed)

These can be found on our website! <u>www.Transportation.ky.gov</u> - Rural and Municipal Aid



## RS 80/20 Bridge Process

#### **Application Guidelines:**

- County submits recommendation package to ORSR in Central Office (CO) in Frankfort. This package consists of:
  - Completed Bridge Recommendation Form (TC 20-35) available on our website in our Forms Library: https://transportation.ky.gov/Organizational-Resources/Pages/Forms-Library-(TC-20).aspx
  - Mile point locator map: http://maps.kytc.ky.gov/photolog/?config=RASR
  - Photographs of the current structure/site
  - Detailed cost estimate or quote for the proposed work
- ORSR performs quick review of package submitted and requests additional info when/if needed
- The package is reviewed by the Branch Manager and given to the Executive Director of the Office of Rural and Secondary Roads for recommendation process
- Projects are approved/denied along with the determination of the amount of the state's share of the cost by the Executive Director and Commissioner. This amount is typically set at 80% of the estimated cost up to a cap determined by the reviewers and is stated in the MOA. The ORSR may request additional information including a field review by state personnel before a project is approved



## RS 80/20 Bridge Request TC 20-35

- and

Kentucky Transportation Cabinet Department of Rural and Municipal Aid RS BRIDGE PROGRAM RECOMMENDATIONS TC 20-35 01/2019 Page 1 of 1

	COUNTY NAME				DATE		
RE	COMMENDED BY						
PRIORITY NO.	ROAD NAME	ROAD NUMBER	TYPE OF PROJECT	LOCATION OF PROJECT	NARRATIVE OF BRIDGE REQUEST	BRIDGE LENGTH (FT)	EST COST
SAMPLE	TYPICAL COUNTY ROAD	CR 1111	BRIDGE	LOCATED OVER WILSON CREEK 1.2 MILE NORTH OF US 60	EXISTING BRIDGE DOWNGRADED TO 3 TON WEIGHT LIMIT	25.00	\$175,000.00
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This form should be submitted electronically to: RuralandMunipalAid@ky.gov



### The Emergency Fund







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#### EMERGENCY FUNDING

#### **3%**

80/20 Match

3% is withheld from each participating entity's road aid allocation and it is placed into the Emergency Road Aid Fund. Participating Counties & Cities can apply for funding assistance on emergency projects throughout the year.

The funding process for Emergency projects is an 80/20 match program meaning the state pays up to 80% of approved total project cost and the local government entity is responsible for 20%.

CABINE<sup>-</sup>



### **EMERGENCY PROCESS: TC 20-16**

#### **Application Guidelines:**

- Requires application form (TC 20-16)
- Because this is an emergency, <u>you may begin work at</u> <u>anytime</u>. However, it is possible that a project may not be approved for funding.
- When a request is approved, notification is sent to the local government and 50% of the approved funding is released immediately. The remaining amount is released after the final project cost has been tabulated (invoices, etc.)
- Project examples: bridge replacement, slide repair, flood damage, etc.

	Initial Project Cost:	\$100,000
	Approved 80%	\$80,000
<b>;</b>	50% of Approved amount issued immediately:	\$40,000
	Receipt's Submitted New Project Cost	\$75,000
	80% of actual cost:	\$65,000
	-50% previously issued:	\$40,000
	Reimbursement	\$20,000



### EMERGENCY REQUEST: TC 20-16

- Submit along with:
- Pictures
- Detailed cost estimate
- You can submit drainage structures under this program if your project meets the following:
  - Closed structure
  - Posting load lowered and no longer allows school buses or first responders to cross
  - One way in or out



KENTUCKY TRANSPORTATION CABINET Department of Rural and Municipal Aid OFFICE OF RURAL AND SECONDARY ROADS TC 20-16 Rev. 08/2019 Page 1 of 1

MUNIC		COUNTY RO			QUES	т
ECTION 1. CITY/COUNTY II			active per rocut			
ECTION 1: CITY/COUNTY II	MAYOR	ION	COUNTY		IUDGE	
CONTACT PERSON		EMAIL ADDRESS	1	PHONE	1	FAX
ADDRESS (street)		СІТҮ		STATE		ZIP
ECTION 2: PROJECT LOCAT	10N & NA	TURE OF REQUES	at .			•
TREET/ROAD NAME				STREET/ROAD	UMBE	R
PROJECT LOCATION (name of ne	arest intersect	ting road <u>and</u> distance	from project)	BEGINNING MIL	E POINT	LENGTH OF PROJECT
VARRATIVE OF EMERGENCE N	EQUEST (EX	piain in aetail the na	ature of the em	ergency request.)		
Does the emergency for which ai		d fall within an former	Ded		No.	
f yes, indicate the type of declara Date of Declaration:/	ition. Sta			ion? Yes	No	
MM DD Concerning this request, have you f yes, have you been awarded a f	unding grant		Yes No			
f yes, provide the amount award	ea. 5		τοτο	PROJECT COST E	STIMATE	e \$
ECTION 3: PRIOR PROJECT	REQUEST	D (Use addition				<del>-</del>
ROAD NAME & NUMBER		TYPE OF WORK			YES 🗌	]NO
				TOTAL SPENT:	\$	



### **REIMBURSEMENT FORM: TC 20-38**

### **Emergency Program:**

When a request is submitted and approved, **50% of the approved funding (80% of total project)** is released to the local government immediately.

The remaining amount is released after final project cost has been tabulated.

How to apply: Complete the TC 20-38, attachsupporting documentation and submit to ORSR.



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### REIMBURSEMENT

# What to include in your request for reimbursement:

- TC 20-38 Reimbursement Request
- TC 20-40 Non-Cash Match Forms (if needed)
- Invoices for work completed on the specific roads outlined in initial request. Invoices should specify the project location on the document.
- Checks showing funds were spent and what they were.





#### **OFFICE OF LOCAL PROGRAMS**



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### FEDERAL FUNDING OPPORTUNITIES

State and Local governments can apply to the Office of Local Programs to receive funding through federal programs authorized by the Bipartisan Infrastructure Law:

- 1) Transportation Alternatives (TAP)
- 2) Congestion Mitigation & Air Quality (CMAQ)

These are reimbursement programs **NOT** grants and are funded through 80% Federal Funds and 20% Local Match. CMAQ Applications closed this year on September 30<sup>th</sup>. TAP Application Cycle will be coming up at the beginning of 2023.



### LOCAL PROGRAMS CONTACT INFORMATION

If you are interested in this program, please contact us: Department Of Rural And Municipal Aid Office of Local Programs Kentucky Transportation Cabinet 200 Mero Street, 6th Floor—East Frankfort, KY 40622 (502) 564-2060

https://transportation.ky.gov/LocalPrograms/Pages/default.aspx



### **DISCRETIONARY FUNDING**



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The Discretionary Fund, also known as the Highway Contingency Account (FD39), is administered by the Department of Rural and Municipal Aid.

- This fund receives an annual allocation of funds from the budget adopted by the legislature.
- For FY 2023, the account received \$16.6 million following statutory deductions to Short-Line Railroad assistance, the Kentucky Pride Fund and the Kentucky Transportation Center, the DRMA is left with \$10.71 million to assist with your needs.
- The amount allocated for FY21-FY23 was decreased by \$14,400,000 as compared to the previous 4 years.



- Discretionary Funding may be used for transportation projects across the Commonwealth, such as:
  - Resurfacing,
  - Bridge replacement,
  - Intersection reconstruction, etc.



- Discretionary Funding projects require a higher threshold of need outside what is met through other Cabinet/Department programs.

#### - IMPORTANT NOTE:

You should exhaust other ORSR funding options first.



#### When does a project qualify for Discretionary Funding:

1) Project presents a hazardous condition as assessed by the Chief District Engineer's Offices of your district;

2) Project promotes economic or industrial development and/or; no longer optional as this funding ability was removed in the FY23 budget bill

3) Project is related to Safety/Emergency issues.

<u>UPDATE:</u>

\$29,350,105.00 in qualified requests pending - at this time.

\$7,481,095.73 available funding

### **DISCRETIONARY REQUEST TC 20-39**

	DISCRETIONARY FUNDING F	EQUEST	
SECTION 1: REQUESTOR I	NFORMATION CONTACT PERSON	EMAIL ADDRESS	5
MAILING ADDRESS	127	PHONE	FAX
SECTION 2: NATURE OF R	EQUEST		
(Mark all that apply. 1	Type of Request 'he total of all boxes selected must equal 100%.)	Tho	ose Affected
Safety % Congestion %	Economic Development %	Number of const	tituents
Repairs %	Other %	Number of local	businesses
SECTION 3: JUSTIFICATIO	N		
	f the funding request, highlighting the impact repairs, etc.).		n even type of requ
specified above (i.e., safety, i	repairs, etc.).		n etti type oj requ
specified above (i.e., safety, i SECTION 4: DETAILS OF R	epairs, etc.). EQUEST		
specified above (i.e., safety, i	EQUEST	Calcul	ations:
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specified above (i.e., safety, i SECTION 4: DETAILS OF R	EQUEST District Evaluation Yes No	<u>Calcul</u> roject estimate ess:	ations:
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	and the		ISPORTATION CABINET Rural & Municipal Aid	TC 20-3 Rev. 08/201 Page 2 of
		DISCRETIONARY	FUNDING REQUEST	1. Days
	ROAD NAME	ROAD NO.	LENGTH OF PROJECT (miles)	ESTIMATED COST
1200005	LOCATION OF PROJECT (wh	nere project begins & e	nds)	
Priority No. 1	Type of Request	ety Repairs ngestion Econom		ency
No. 1	Type of Request Cor	ngestion 🗌 Econor		
No. 1		ROAD NO.	LENGTH OF PROJECT (miles)	

If you need assistance, you may always ask us....but don't forget about our Field Representatives. They are ready and willing to help you.



### **Discretionary Process**



- Who can apply? County Judge Executive, Mayor, State Representative or State Senator, and select state road personnel
- Please Use the mile point assistance map to provide accurate road names, numbers and location.
- MUST include a cost estimate
- May be submitted via email, snail mail or hand carried
- Once DRMA receives the application (TC 20-39), logs and determines adequate info is provided, the District applies the need assessment



### **DISCRETIONARY REQUEST TC 20-39**

- and	5	KENTUCKY TRANS Department of R			TC 20 Rev. 08/20 Page 1 o	016
		DISCRETIONARY	FUNDING RE	QUEST		
SECTION 1: REQUES	TOR INFO					
NAME		CONTACT PERSON		EMAIL ADDRE	55	
MAILING ADDRESS		127		PHONE	FAX	
SECTION 2: NATURE	OF REQU	JEST				_
		ype of Request ball of all boxes selected must Economic Developr Emergency Other		I Number of co Number of loc	1	_
SECTION 3: JUSTINE Explain in detail the na specified above (i.e., sc	ture of the	r funding request, highlight rs, etc.).	KENTU Depart	CKY TRANSPORTAT Iment of Rural & N	ION CABINET Iunicipal Aid	TC 20-39 Rev. 08/2016 Page 2 of 2
SECTION 4: DETAIL: Pictures Yes	Priority No. 1	Type of Request	Safety	Repairs Economic Develo	opment Other	gency r
		ROAD NAME		and and a second se	H OF PROJECT (miles)	) ESTIMATED COST
	Priority No. 2	Ivoe of Request	Safety Congestion	Repairs Economic Develo		gency

#### Reimbursements are submitted to your <u>Highway District Office.</u>

- Complete TC 20-38,
- Attach invoices & canceled checks



#### WHERE TO FIND YOUR FORMS



### **CONTACT INFORMATION**

- EMAIL: <u>RuralandMunicipalAid@ky.gov</u>
- My Cell Phone Number: 502-892-9022
  - My Email: <u>bobbijo.lewis@ky.gov</u>
- Craig Caudill's Number: 859-576-4619
  - Craig's Email: <u>craig.caudill@ky.gov</u>
    - Field Representatives:
    - Doug Taylor, Western Kentucky
  - Kenny Morgan, Southern Kentucky
    - Barry Davis, Eastern Kentucky

