Funding Requests

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What is the breakdown of “scheduled” funding versus projected available funding in the 2008 Highway Plan?

<table>
<thead>
<tr>
<th>Source</th>
<th>Scheduled</th>
<th>Projected Available</th>
</tr>
</thead>
<tbody>
<tr>
<td>State funds (SP)</td>
<td>$3.6 billion</td>
<td>$410 million</td>
</tr>
<tr>
<td>Federal-Aid</td>
<td>$4.9 billion</td>
<td>$4.75 billion</td>
</tr>
<tr>
<td>State Bond Funds (SPB)</td>
<td>$532 million</td>
<td>$400 million</td>
</tr>
<tr>
<td>Total</td>
<td>$9 billion</td>
<td>$5.6 billion</td>
</tr>
</tbody>
</table>
The federal-aid program includes the following:

<table>
<thead>
<tr>
<th></th>
<th>Scheduled</th>
<th>Projected Available</th>
</tr>
</thead>
<tbody>
<tr>
<td>GARVEE Bonds</td>
<td>$231 million</td>
<td>$231 million</td>
</tr>
<tr>
<td>APD Program (80%)</td>
<td>$593 million</td>
<td>$474 million</td>
</tr>
<tr>
<td>ARRA Federal Stimulus</td>
<td>$442 million</td>
<td>$368 million</td>
</tr>
</tbody>
</table>

*The 20% state match = $119 million

In addition to the $9 billion of scheduled projects, the 2008 Highway Plan identifies $845 million of “innovative financing” (IF) funding for the Louisville Bridges project.
What is the current status of the state road fund cashflow?

a) Desperate.

b) Current road fund available is less than $200 million.

c) No SP project funding being authorized, unless an equal amount of SP funding can be released from a previously SP funded project.

d) Projected expenditures for SP projects over the next 3 to 6 months are projected to exceed SP revenues by $75 to $100 million.
Step 1: Initial Funding Request

- **Who?**
  - Project Managers

- **What?**
  - Submit funding request form

- **Where?**
  - Submit to Central Office User Division

- **When?**
  - At the point in project that funding is required to start next phase

- **Why?**
  - To determine availability of funding and eligibility of funding
Step 2: Confirmation of Funding Request

- **Who?**
  - Central Office User Division

- **What?**
  - Reviews funding request form and submits funding request

- **Where?**
  - Submit to Division of Program Management

- **When?**
  - Central Office User Division concurs that project documents are completed for project to proceed into requested funding phase

- **Why?**
  - The Central Office User Division certification process
Step 3: Availability of Requested Funding

- Who?
  - Division of Program Management

- What?
  - Reviews funding request

- Where?
  - Submit to Authorization Review Team (ART) Committee

- When?
  - Availability, eligibility, certifications, and cashflow

- Why?
  - ART Committee reviews funding requests, cashflow, and determines if authorization of funding can be approved
Step 4: Authorization of Requested Funding

- **Who?**
  - ART Committee

- **What?**
  - TC10

- **Where?**
  - State Highway Engineer and Secretary of Transportation

- **When?**
  - Recommendation of ART Committee

- **Why?**
  - Signed/approved TC10/PR-1 required before funding can be entered into eMARS accounting system and before expenditures begin
Step 5: Notice of Approved Funding

Who?
- Division of Program Management provides notice of approved funding

What?
- Provides copy of TC10 and Federal PR-1

Where?
- Hard Copies submitted to user Divisions and electronic PDF copy emailed to District

When?
- After funding is entered into eMARS and available for expenditures

Why?
- Signed/approved TC10/PR-1 required before funding can be entered into eMARS accounting system and before expenditures begin
Step 6: Monitoring Project Funding

- **Who?**
  - Project Managers

- **What?**
  - Project expenditures and remaining available project funding

- **Where?**
  - Project Managers Toolbox or via eMARS

- **When?**
  - Frequently and consistently

- **Why?**
  - In order to know remaining available project funding
Step 7: Project Expenditures

- **Who?**
  - Project Managers and Payroll Clerks

- **What?**
  - Project expenditures via payments to vendors and payroll charges

- **Where?**
  - Project Managers Toolbox or via eMARS

- **When?**
  - Frequently and consistently

- **Why?**
  - In order to make sure that only eligible charges are being made to project
Step 8: Closeout Project

- **Who?**
  - Project Managers and Central Office User Division

- **What?**
  - Submit request to closeout project phase activities

- **Where?**
  - To Division of Accounts

- **When?**
  - Upon completion of project phase activities

- **Why?**
  - To release any remaining funds
The following instructions are for accessing the KYTC Project Managers Toolbox:

1. Go to KYTC Internet home page
2. In the address bar highlight the address and type DOT
3. You will access the KYTC IntraNet home page, and under the “Resources” block select “Program Management”
4. This will take you to the Division of Program Management home page, and then select “Toolbox”
5. This will take you to the “Project Managers Toolbox” application
THANK YOU.
Step 1
Who?
- Project Managers
What?
- Submit funding request form
Where?
- Submit to Central Office User Division
When?
- At the point in project that funding is required to start next phase
Why?
- To determine availability of funding and eligibility of funding

Step 2
Who?
- Central Office User Division
What?
- Reviews funding request form and submits funding request
Where?
- Submit to Division of Program Management
When?
- Central Office User Division concurs that project documents are completed for project to proceed into requested funding phase
Why?
- The Central Office User Division certification process

Step 3
Who?
- Division of Program Management
What?
- Reviews funding request
Where?
- Submit to Authorization Review Team (ART) Committee
When?
- Availability, eligibility, certifications, and cashflow
Why?
- ART Committee reviews funding requests, cashflow, and determines if authorization of funding can be approved

Step 4
Who?
- ART Committee
What?
- TC10
Where?
- State Highway Engineer and Secretary of Transportation
When?
- Recommendation of ART Committee
Why?
- Signed/approved TC10 required before funding can be entered into eMARS accounting system and before expenditures begin