

CALL NO. 302

CONTRACT ID. 121352

MUHLENBERG COUNTY

FED/STATE PROJECT NUMBER FD04 SPP 089 0189 012-013

DESCRIPTION ROBERT L. DRAPER WAY (KY 189)

WORK TYPE GRADE & DRAIN

PRIMARY COMPLETION DATE 5/15/2013

# **LETTING DATE:** October 19, 2012

Sealed Bids will be received electronically through the Bid Express bidding service until 10:00 AM EASTERN DAYLIGHT TIME October 19, 2012. Bids will be publicly announced at 10:00 AM EASTERN DAYLIGHT TIME.

**REQUIRED BID PROPOSAL GUARANTY:** Not less than 5% of the total bid.

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# PART I SCOPE OF WORK

CONTRACT ID - 121352

ADMINISTRATIVE DISTRICT - 02

PROJECT(S) IDENTIFICATION AND DESCRIPTION:

COUNTY - MUHLENBERG

PCN - DE08901891252

FD04 SPP 089 0189 012-013

ROBERT L. DRAPER WAY (KY 189) CONSTRUCT LEFT TURN LANE IN THE EXISTING MEDIAN SB LANE OF KY189 IN POWDERLY, AT THE I-SECTION OF COPPER CREEK DRIVE. GRADE & DRAIN. SYP NO. 02-08707.00.

GEOGRAPHIC COORDINATES LATITUDE 36^10'35" LONGITUDE 85^43'19"

COMPLETION DATE(S):

COMPLETION DATE - May 15, 2013 APPLIES TO ENTIRE CONTRACT

# **CONTRACT NOTES**

# PROPOSAL ADDENDA

All addenda to this proposal must be applied when calculating bid and certified in the bid packet submitted to the Kentucky Department of Highways. Failure to use the correct and most recent addenda may result in the bid being rejected.

# **BID SUBMITTAL**

Bidder must use the Department's Expedite Bidding Program available on the Internet web site of the Department of Highways, Division of Construction Procurement. (www.transportation.ky.gov/contract)

The Bidder must download the bid file located on the Bid Express website (www.bidx.com) to prepare a bid packet for submission to the Department. The bidder must submit electronically using Bid Express.

# JOINT VENTURE BIDDING

Joint venture bidding is permissible. All companies in the joint venture must be prequalified in one of the work types in the Qualifications for Bidders for the project. The bidders must get a vendor ID for the joint venture from the Division of Construction Procurement and register the joint venture as a bidder on the project. Also, the joint venture must obtain a digital ID from Bid Express to submit a bid. A joint bid bond of 5% may be submitted for both companies or each company may submit a separate bond of 5%.

# UNDERGROUND FACILITY DAMAGE PROTECTION

The contractor is advised that the Underground Facility Damage Protection Act of 1994, became law January 1, 1995. It is the contractor's responsibility to determine the impact of the act regarding this project, and take all steps necessary to be in compliance with the provision of the act.

# SPECIAL NOTE FOR PIPE INSPECTION

Contrary to Section 701.03.08 of the 2012 Standard Specifications for Road and Bridge Construction and Kentucky Method 64-114, certification by the Kentucky Transportation Center for prequalified Contractors to perform laser/video inspection is not required on this contract. It will continue to be a requirement for the Contractor performing any laser/video pipe inspection to be prequalified for this specialized item with the Kentucky Transportation Cabinet-Division of Construction Procurement.

# REGISTRATION WITH THE SECRETARY OF STATE BY A FOREIGN ENTITY

Pursuant to KRS 176.085(1)(b), an agency, department, office, or political subdivision of the Commonwealth of Kentucky shall not award a state contract to a person that is a foreign entity required by KRS 14A.9-010 to obtain a certificate of authority to transact business in the Commonwealth ("certificate") from the Secretary of State under KRS 14A.9-030 unless the person produces the certificate within fourteen (14) days of the bid or proposal opening. If the foreign entity is not required to obtain a certificate as provided in KRS 14A.9-010, the foreign entity should identify the applicable exception. Foreign entity is defined within KRS 14A.1-070.

For all foreign entities required to obtain a certificate of authority to transact business in the Commonwealth, if a copy of the certificate is not received by the contracting agency within the time frame identified above, the foreign entity's solicitation response shall be deemed non-responsive or the awarded contract shall be cancelled.

Businesses can register with the Secretary of State at https://secure.kentucky.gov/sos/ftbr/welcome.aspx.

# SPECIAL NOTE FOR PROJECT QUESTIONS DURING ADVERTISEMENT

Questions about projects during the advertisement should be submitted in writing to the Division of Construction Procurement. This may be done by fax (502) 564-7299 or email to <a href="mailto-kytc.projectquestions@ky.gov">kytc.projectquestions@ky.gov</a>. The Department will attempt to answer all submitted questions. The Department reserves the right not to answer if the question is not pertinent or does not aid in clarifying the project intent.

The deadline for posting answers will be 3:00 pm Eastern Daylight Time, the day preceding the Letting. Questions may be submitted until this deadline with the understanding that the later a question is submitted, the less likely an answer will be able to be provided.

The questions and answers will be posted for each Letting under the heading "Questions & Answers" on the Construction Procurement website (<a href="www.transportation.ky.gov/contract">www.transportation.ky.gov/contract</a>). The answers provided shall be considered part of this Special Note and, in case of a discrepancy, will govern over all other bidding documents.

# INSTRUCTIONS FOR EXCESS MATERIAL SITES AND BORROW SITES

Identification of excess material sites and borrow sites shall be the responsibility of the Contractor. The Contractor shall be responsible for compliance with all applicable state and federal laws and may wish to consult with the US Fish and Wildlife Service to seek protection under Section 10 of the Endangered Species Act for these activities.

# **ACCESS TO RECORDS**

The contractor, as defined in KRS 45A.030 (9) agrees that the contracting agency, the Finance and Administration Cabinet, the Auditor of Public Accounts, and the Legislative Research Commission, or their duly authorized representatives, shall have access to any books, documents, papers, records, or other evidence, which are directly pertinent to this contract for the purpose of financial audit or program review. Records and other prequalification information confidentially disclosed as part of the bid process shall not be deemed as directly pertinent to the contract and shall be exempt from disclosure as provided in KRS 61.878(1)(c). The contractor also recognizes that any books, documents, papers, records, or other evidence, received during a financial audit or program review shall be subject to the Kentucky Open Records Act, KRS 61.870 to 61.884.

In the event of a dispute between the contractor and the contracting agency, Attorney General, or the Auditor of Public Accounts over documents that are eligible for production and review, the Finance and Administration Cabinet shall review the dispute and issue a determination, in accordance with Secretary's Order 11-004. (See attachment)

09/26/2012



Steven L. Beshear Governor Lori H. Flanery
Secretary

Room 383, Capitol Annex 702 Capital Avenue Frankfort, KY 40601-3462 (502) 564-4240 Fax (502) 564-6785

#### **SECRETARY'S ORDER 11-004**

#### FINANCE AND ADMINISTRATION CABINET

#### **Vendor Document Disclosure**

WHEREAS, in order to promote accountability and transparency in governmental operations, the Finance and Administration Cabinet believes that a mechanism should be created which would provide for review and assistance to an Executive Branch agency if said agency cannot obtain access to documents that it deems necessary to conduct a review of the records of a private vendor that holds a contract to provide goods and/or services to the Commonwealth; and

WHEREAS, in order to promote accountability and transparency in governmental operations, the Finance and Administration Cabinet believes that a mechanism should be created which would provide for review and assistance to an Executive Branch agency if said agency cannot obtain access to documents that it deems necessary during the course of an audit, investigation or any other inquiry by an Executive Branch agency that involves the review of documents; and

WHEREAS, KRS 42.014 and KRS 12.270 authorizes the Secretary of the Finance and Administration Cabinet to establish the internal organization and assignment of functions which are not established by statute relating to the Finance and Administration Cabinet; further, KRS Chapter 45A.050 and 45A.230 authorizes the Secretary of the Finance and Administration Cabinet to procure, manage and control all supplies and services that are procured by the Commonwealth and to intervene in controversies among vendors and state agencies; and

**NOW, THEREFORE**, pursuant to the authority vested in me by KRS 42.014, KRS 12.270, KRS 45A.050, and 45A.230, I, Lori H. Flanery, Secretary of the Finance and Administration Cabinet, do hereby order and direct the following:

- I. Upon the request of an Executive Branch agency, the Finance and Administration Cabinet ("FAC") shall formally review any dispute arising where the agency has requested documents from a private vendor that holds a state contract and the vendor has refused access to said documents under a claim that said documents are not directly pertinent or relevant to the agency's inquiry upon which the document request was predicated.
- II. Upon the request of an Executive Branch agency, the FAC shall formally review any situation where the agency has requested documents that the agency deems necessary to



- conduct audits, investigations or any other formal inquiry where a dispute has arisen as to what documents are necessary to conclude the inquiry.
- III. Upon receipt of a request by a state agency pursuant to Sections I & II, the FAC shall consider the request from the Executive Branch agency and the position of the vendor or party opposing the disclosure of the documents, applying any and all relevant law to the facts and circumstances of the matter in controversy. After FAC's review is complete, FAC shall issue a Determination which sets out FAC's position as to what documents and/or records, if any, should be disclosed to the requesting agency. The Determination shall be issued within 30 days of receipt of the request from the agency. This time period may be extended for good cause.
- IV. If the Determination concludes that documents are being wrongfully withheld by the private vendor or other party opposing the disclosure from the state agency, the private vendor shall immediately comply with the FAC's Determination. Should the private vendor or other party refuse to comply with FAC's Determination, then the FAC, in concert with the requesting agency, shall effectuate any and all options that it possesses to obtain the documents in question, including, but not limited to, jointly initiating an action in the appropriate court for relief.
- V. Any provisions of any prior Order that conflicts with the provisions of this Order shall be deemed null and void.

# SPECIAL NOTE FOR RECIPROCAL PREFERENCE

# Reciprocal preference to be given by public agencies to resident bidders

By reference, KRS 45A.490 to 45A.494 are incorporated herein and in compliance regarding the bidders residency. Bidders who want to claim resident bidder status should complete the Affidavit for Claiming Resident Bidder Status along with their bid in the Expedite Bidding Program. Submittal of the Affidavit should be done along with the bid in Bid Express.

MUHLENBERG COUNTY FD04 SPP 089 0189 012-013

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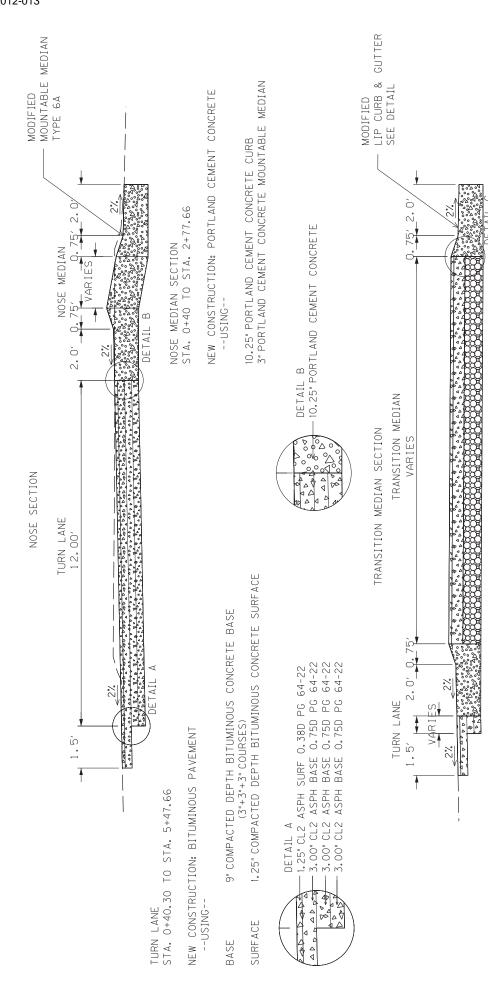
# EXPEDITE PROJECT WORK ORDER

The Contractor may request that the Department expedite the work order for this project to allow for maximization of time to complete the work. In order for the Department to accomplish this task, the Contractor may be required to "hand carry" all required project documentation to facilitate the process. Immediately UPON NOTIFICATION OF AWARD OF THE CONTRACT, deliver required project documentation to:

Division of Construction Procurement 200 Mero St. Frankfort, KY 40602

# TYPICAL SECTION

PARTIAL SECTION SHOWING LEFT TURN LANE AND MEDIAN GREENVILLE TO CENTRAL CITY



TRANSITION MEDIAN SECTION

NEW CONSTRUCTION: BITUMINOUS PAVEMENT STA, 2+77.66 TO STA, 5+47.66

BASE

7.25° COMPACTED DEPTH DENSE GRADED AGGREGATE BASE 4.75° COMPACTED DEPTH BITUMINOUS CONCRETE BASE 1.25° COMPACTED DEPTH BITUMINOUS CONCRETE SURFACE SURFACE

DETAIL C
1.25" CL2 ASPH SURF 0.38D PG 64-22
4.75" CL2 ASPH BASE 0.75D PG 64-22
7.25" COMPACTED DEPTH DENSE GRADED AGGREGATE BASE

SCALE: 1"=NTS

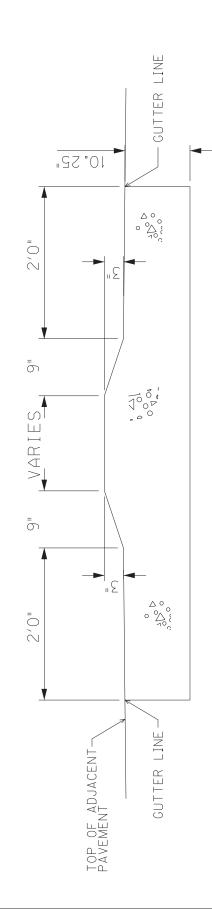
SHEET NO.	TYPICAL	
T EM	2-8707.00	
LO INDOO	MUHLENBERG	

TURN LANE INT, COPPER CREEK DR, TYPICAL SHEET KY 189

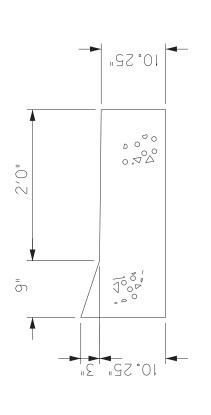
Contract ID: 121352

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# MOUNTABLE MEDIAN TYPE 6A MODIFIED



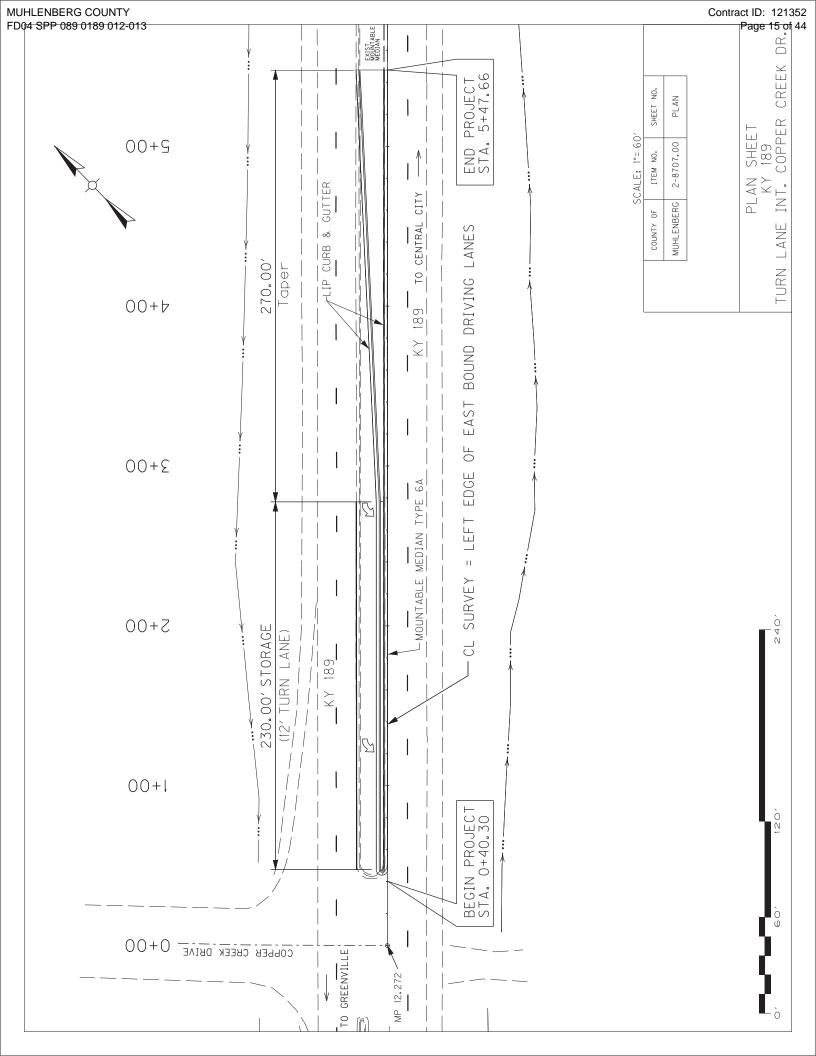
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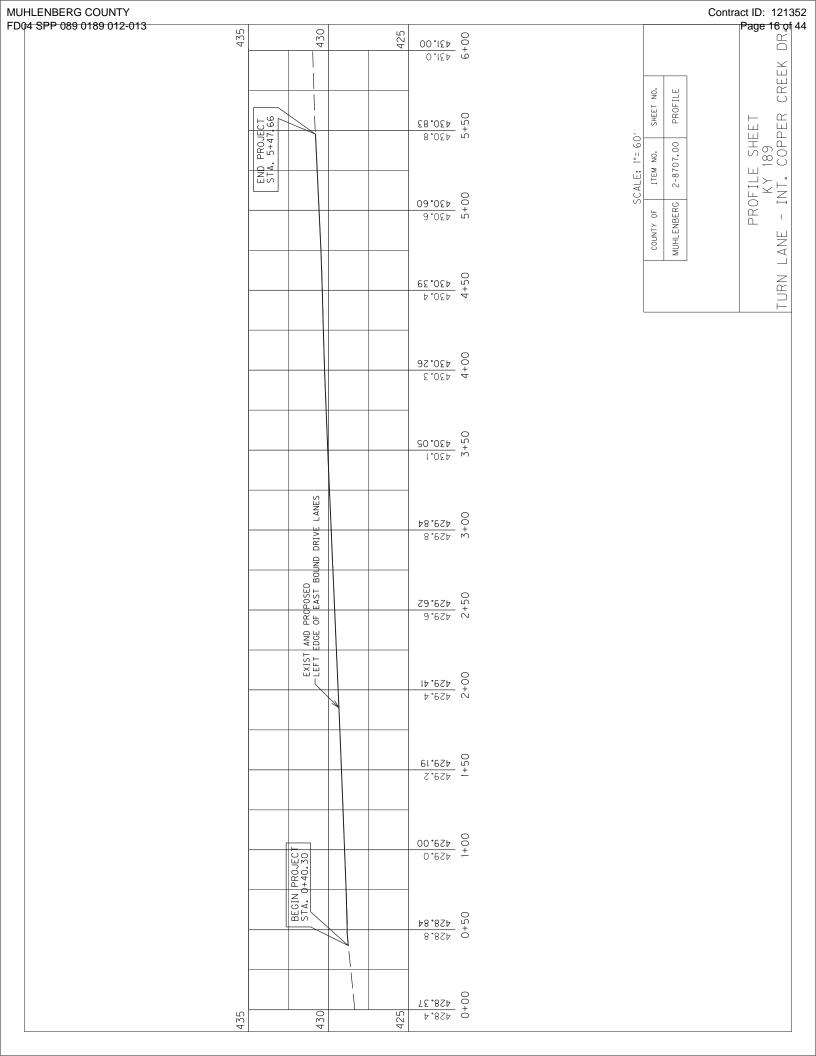


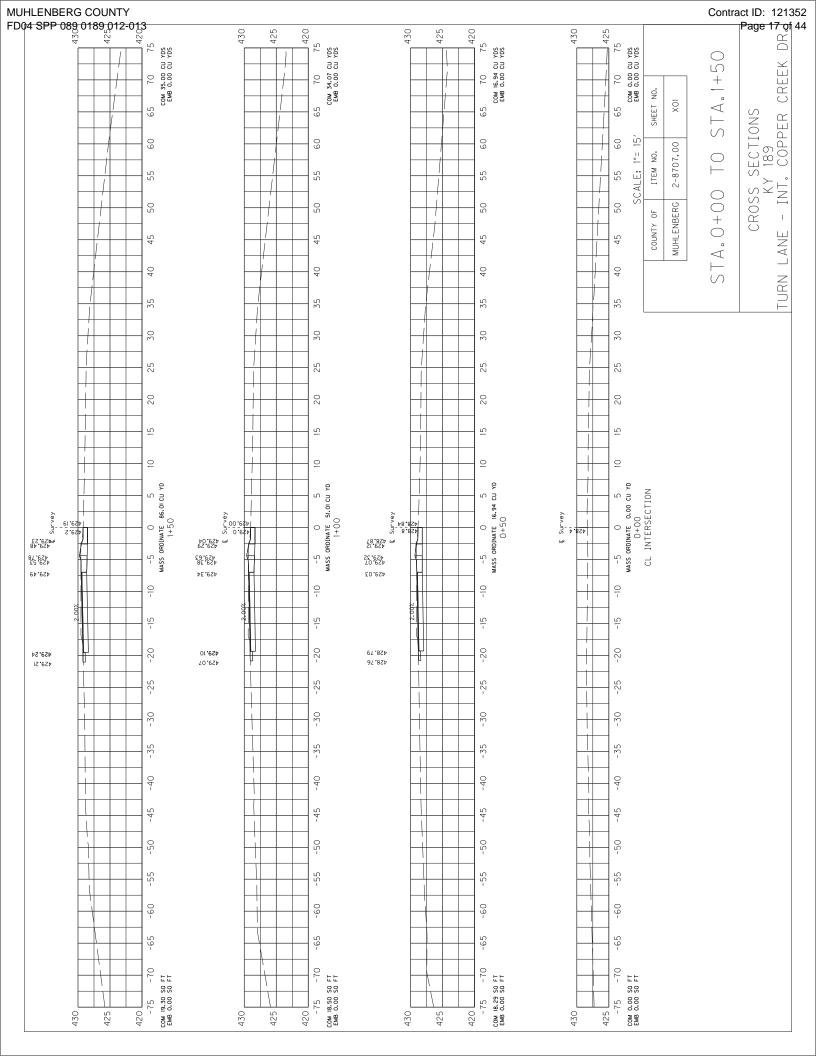
S	SHEET NO.	MODIFIED	
SCALE: 1"=NTS	ITEM NO.	2-8707.00	
S	COUNTY OF	MUHLENBERG	

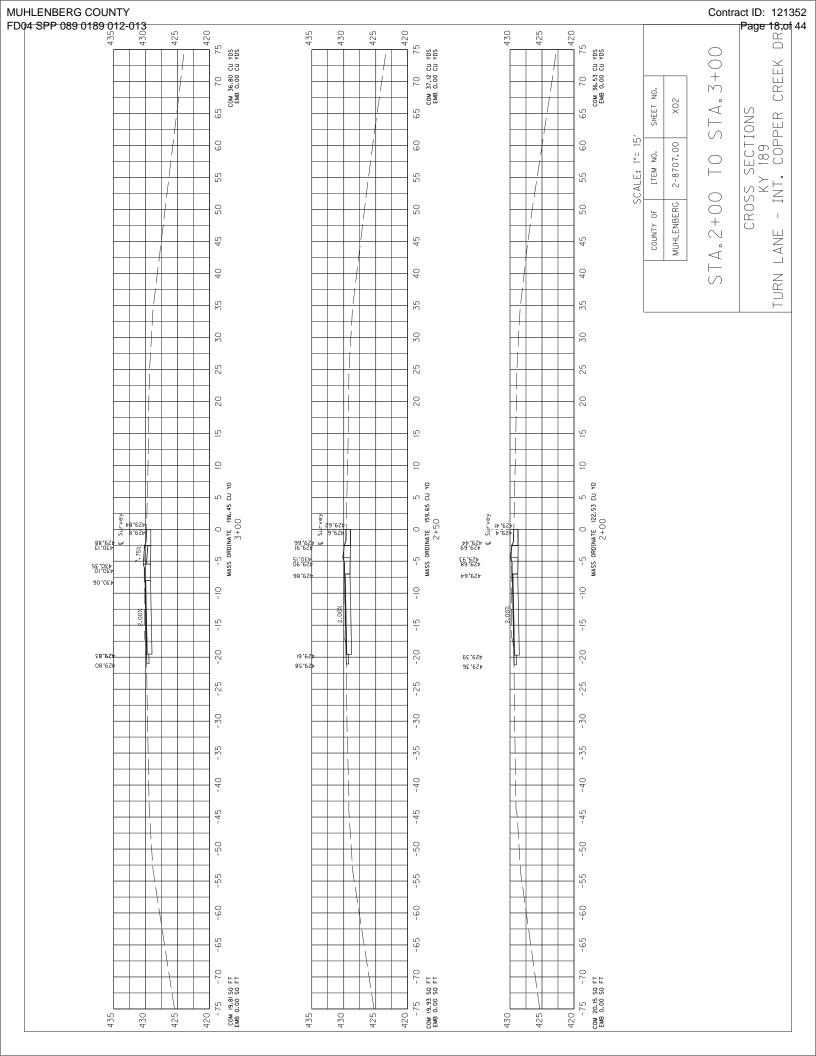
MODIFIED
MOUNTABLE MEDIAN TYPE
AND
LIP CURB & GUTTER

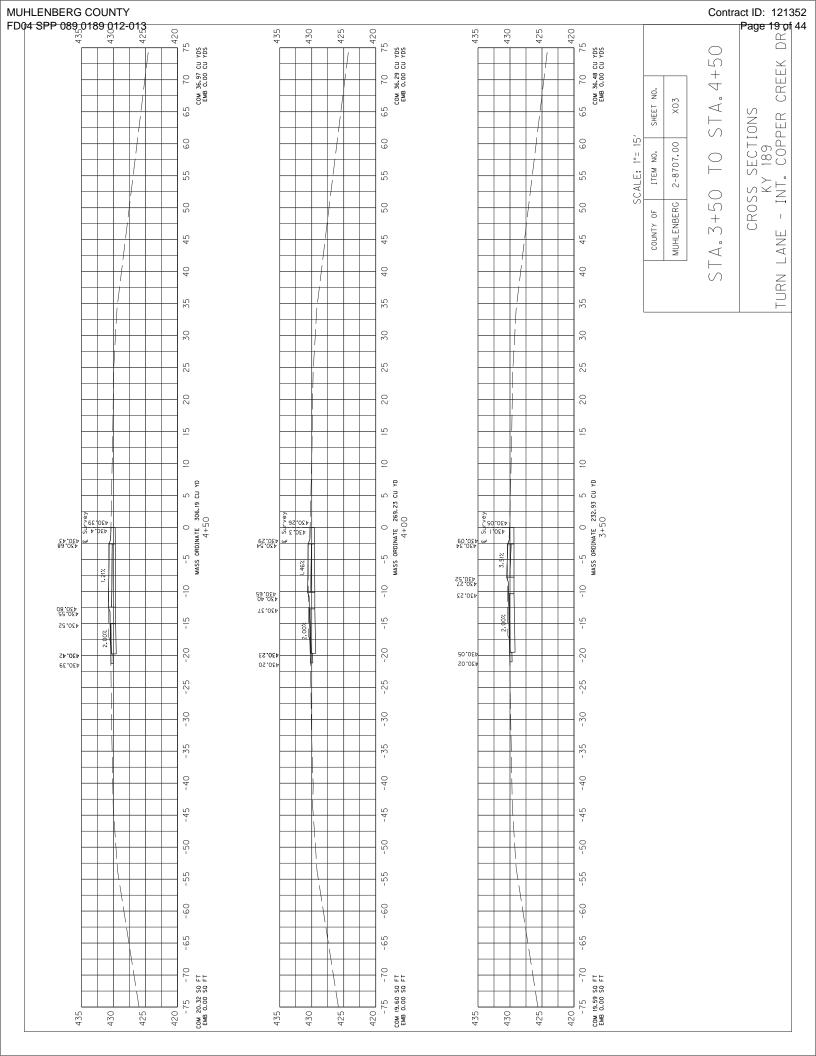
9











# SPECIAL NOTES FOR MUHLENBERG COUNTY FD04 SPP 089 0189 012-013

# TRAFFIC VOLUME

The ADT for this project was obtained from a Year 2007 traffic count and is as follows: 2007ADT - 10,802 - KY 189 between KY 189C and Cleaton Road

# **UNDERGROUND UTILITIES**

The contractor shall use all possible care in his operations to avoid damaging existing pipes and any underground existing utilities. He shall be responsible for any damages to the above mentioned items and shall repair or restore at his own expense any items damaged as the result of his operations.

# **UTILITIES (HAZARDOUS OR FLAMMABLE MATERIAL)**

The contractor is advised to exercise caution in his operations in areas of gas line or other lines carrying hazardous material.

#### **BEFORE YOU DIG**

The contractor is instructed to call 1-800-752-6007 to reach KY 811, the one-call system for information on the location of existing undergrounds utilities. The call is to be placed a minimum of two (2) and no more than ten (10) business days prior to excavation. The contract should be aware that owners of underground facilities are not required to be members of the KY 811 one —call before-u-dig (BUD) service. The contractor must coordinate excavation with the utility owners, including those whom do not subscribe to KY 811. It may be necessary for the contractor to contact the county court clerk to determine what utility companies have facilities in the area.

#### **CLEARING AND GRUBBING**

Contrary to Section 202 of the Standard Specifications, no direct payment will be allowed for Clearing and Grubbing on this project.

#### **CONSTRUCTION MATERIAL DISPOSAL**

All pavement material, asphalt material, and any other material that is required to be removed, shall be disposed of off the Right-of-Way at sites acquired by the contractor and approved by the engineer, at no additional cost to the department, per section 204.03.08 of the current KYTC Standard Specifications.

### **EXISTING SIGNS**

It is the contractor's responsibility to reset any signs inside the project limits that are affected by the project. This includes moving signs, adjusting the height, etc. This work will be directed by the engineer and considered incidental to the project.

# **ASPHALT MIXTURE**

The rate of application for all asphalt mixtures shall be estimated at 110 lbs/sy per inch of depth, unless otherwise noted.

# **DENSE GRADED AGGREGATE**

The rate of application for Dense Graded Aggregate shall be estimated at 115 lbs/sy per inch of depth.

# **INITIAL TREATMENT**

Construct parabolic pavement crown from centerline on 1/4":1' slope as directed by the Engineer.

# **OPTION B**

Be advised that the Department will control and accept compaction of asphalt mixtures furnished on this project under OPTION B in accordance with Sections 402 and 403.

MUHLENBERG COUNTY FD04 SPP 089 0189 012-013

# SPECIAL PROVISION FOR WASTE AND BORROW SITES

Obtain U.S. Army Corps of Engineer's approval before utilizing a waste or borrow site that involves "Waters of the United States". The Corps of Engineers defines "Waters of the United States" as perennial or intermittent streams, ponds or wetlands. The Corps of Engineers also considers ephemeral streams, typically dry except during rainfall but having a defined drainage channel, to be jurisdictional waters. Direct questions concerning any potential impacts to "Waters of the United States" to the attention of the appropriate District Office for the Corps of Engineers for a determination prior to disturbance. Be responsible for any fees associated with obtaining approval for waste and borrow sites from the U.S. Army Corps of Engineer or other appropriate regulatory agencies.

1-296 Waste & Borrow Sites 01/02/2012

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# SPECIAL NOTE FOR ASPHALT MILLING AND TEXTURING

Begin paving operations within <u>48 hours</u> of commencement of the milling operation. Continue paving operations continuously until completed. If paving operations are not begun within this time period, the Department will assess liquidated damages at the rate prescribed by Section 108.09 until such time as paving operations are begun.

Take possession of the millings and recycle the millings or dispose of the millings off the Right-of-Way at sites obtained by the Contractor at no additional cost to the Department.

1-3520 48 hours Contractor keeps millings 01/2/2012

# TRAFFIC CONTROL PLAN

# **TRAFFIC CONTROL GENERAL**

Except as provided herein, maintain and control traffic in accordance with the current Standard Specifications and Standard Drawings, current editions. Except for the roadway and traffic control bid items listed, all items of work necessary to maintain and control traffic will be paid at the lump sum bid price to "Maintain and Control Traffic."

Contrary to Section 106.01, traffic control devices used on this project may be new or used in like-new condition, at the beginning of work and maintained in like new condition until completion of the work.

Traffic control devices should follow the MUTCD and the standard drawings and must be approved by the engineer before placement. Signing is not limited to these guidelines and should be placed only with the approval from the engineer so as not to block sight distance. All lane closures shall be in conformance with the current MUTCD & KYTC Standard Drawings.

# **SIGNS**

The engineer may require additional traffic control signs in addition to normal lane closure signing detailed on the Standard Drawings.

Contrary to Section 112.04.02, only long term signs (signs intended to be continuously in place for more than 3 days) will be measured for payment; short term signs (signs intended to be left in place for 3 days or less) will not be measured for payment but shall be incidental to Maintain and Control Traffic. Individual signs will be measured only once for payment, regardless of how many times they are set, reset, removed and relocated during the duration of the project. Replacements for damaged signs directed by the engineer to be replaced due to poor condition or reflectivity will not be measured for payment.

# **SPECIAL NOTES FOR COMPLETION DATE**

This project will have a fixed completion date of **May 15, 2013** for the completion of all work associated with this project. As per Section 108.09 of the current edition of the KENTUCKY STANDARD SPECIFICATIONS FOR ROAD CONSTRUCTION, disincentive rates will be assessed at a rate of \$250 for each calendar day construction continues beyond this date. Contrary to Section 108 of the Kentucky Standard Specifications for Road and Bridge Construction, contract extension associated with this project may only be adjusted at the discretion of the Engineer.

	Right-of-Way Cer	tificatio	on Form Revised 2/22	/11		
Fed	deral Funded	✓ Orig	inal			
<b>√</b> Sta	te Funded	Re-0	Certification			
This form must be completed and submitted to FHWA with the PS&E package for federal-aid funded interstate, Appalachia, and Major projects. This form shall also be submitted to FHWA for all federal-aid projects that fall under Conditions No. 2 or 3 outlined elsewhere in this form. When Condition No. 2 or 3 apply, KYTC shall resubmit this ROW Certification prior to construction contract Award. For all other federal-aid projects, this form shall be completed and retained in the KYTC project file.						
Date: Septembe	r 14, 2012					
Project Name:	Robert L. Draper Way (KY 189)	Letting D	Date:			
Project #:	FD04 SPP 089 0189 012-013	County:	Muhlenberg			
Item #:	02-8707.00	Federal	#:			
Description of P	roject: Construct left turn lane in the existing me intersection of Copper Creek Drive.	edian southbou	und lane of KY 189 in Powderly at the			
The proposed transportation improvement will be built within the existing rights-of -way and there are no properties to be acquired, individuals, families, and businesses ("relocatees") to be relocated, or improvements to be removed as a part of this project.  Projects that require new or additional right-of-way acquisitions and/or relocations  Per 23 CFR 635.309, the KYTC hereby certify that all relocatees have been relocated to decent, safe, and sanitary housing or that KYTC has made available to relocatees adequate replacement housing in accordance with the provisions of the current FHWA directive(s) covering the administration of the Highway Relocation Assistance Program and that at least one of the following three conditions has been met. (Check those that apply.)						
Condition 1. All necessary rights-of-way, including control of access rights when applicable, have been acquired including legal and physical possession. Trial or appeal of cases may be pending in court but legal possession has been obtained. There may be some improvements remaining on the right-of-way, but all occupants have vacated the lands and improvements, and KYTC has physical possession and the rights to remove, salvage, or demolish all improvements and enter on all land. Fair market value has been paid or deposited with the court.						
Condition 2. Although all necessary rights-of-way have not been fully acquired, the right to occupy and to use all rights-of-way required for the proper execution of the project has been acquired. Trial or appeal of some parcels may be pending in court and on other parcels full legal possession has not been obtained, but right of entry has been obtained, the occupants of all lands and improvements have vacated, and KYTC has physical possession and right to remove, salvage, or demolish all improvements. Fair market value has been paid or deposited with the court for most parcels. Fair market value for all pending parcels will be paid or deposited with the court prior to AWARD of construction contract. (See note 1 below.)						
of <b>al</b> full le	e 1: The KYTC shall re-submit a right-of-value of the KYTC shall re-submit a right-of-value for the fact that the re-submitted in the re-submitted	ird must not all parcels h	to be made until after KYTC has obtained as been paid or deposited with the cour	ed		

# **Right-of-Way Certification Form**

Revised 2/22/11

Condition 3. The acquisition or right of occupancy and use of a few remaining parcels are not complete and/or some parcels still have occupants. However, all remaining occupants have had replacement housing made available to them in accordance with 49 CFR 24.204. The KYTC is hereby requesting authorization to advertise this project for bids and to proceed with bid letting even though the necessary rights-of-way will not be fully acquired, and/or some occupants will not be relocated, and/or the fair market value will not be paid or deposited with the court for some parcels until after bid letting. KYTC will fully meet all the requirements outlined in 23 CFR 635.309(c)(3) and 49 CFR 24.102(j) and will expedite completion of all acquisitions, relocations, and full payments after bid letting and prior to AWARD of the construction contract or force account construction. A full explanation and reason for this request, including identification of each such parcel and dates on which acquisitions, payments, and relocations will be completed, is attached to this certification form for FHWA concurrence. (See note 2.)

**Note 2:** The KYTC may request authorization on this basis only in unique and unusual circumstances. Proceeding to bid letting shall be the exception and never become the rule. In all cases, the KYTC shall make extraordinary efforts to expedite completion of the acquisition, payment for all affected parcels, and the relocation of all relocatees prior to AWARD of all Federal-Aid construction contracts or force account construction.

Approved:	Jennifer K. Cox	Right-of-Way Supervisor		
	Printed Name	Signature	7/17/12	
Approved:	DAVID L. ORR Printed Name	Signature	KYTC, Director of ROW &Utilities	
Approved:	Printed Name	Signature	FHWA, ROW Officer (when applicable)	

0/14/12.

# Right-of-Way Certification Form

Revised 2/22/11

Date: Se	eptemb	er 14, 201	2 +				
Project Name:			raper Way (KY 189)				
Project	#:		089 0189 012-013	<u> </u>	County:	Muhlenberg	
Item #:		02-8707.0	0	F	ederal #:		
Letting	Date:			·			
This project be relocated	has 0 d, as wel	total nun	nber of parcels to be obtained to be	acquired, and esses to be re	0 located.	al number of in	dividuals or families to
	Parcels	where acqu	ired by a signed fee s	simple deed a	nd fair ma	rket value has	been paid
<del></del>	Parcels with the	have been a court	acquired by IOJ throu	igh condemna	ition and fa	air market value	e has been deposited
	Parcels	have not be	en acquired at this tir	me ( <i>explain be</i>	elow for ea	ach parcel)	
			acquired or have a "rig the court <i>(explain be</i>			rket value has i	not been paid or has no
<u></u>	Relocate (explain	ees have no below for ea	t been relocated from ach parcel)	n parcels	-''		_,, and
Parcel #	Nam	e/Station	Explanation for relocation, or dela				Proposed date of payment or of relocation
			nd/or 0 cemeter onitoring wells on par sibility of the project of		•		All have been
Form E	fective	Date: April ebruary 22	1, 2006	CONTRACTOR (O C	позалсар.		

# UTILITY NOTES TO BE INCLUDED IN THE PROPOSAL SPECIAL NOTES FOR UTILITY CLEARANCE IMPACT ON CONSTRUCTION

# Muhlenberg County 2-8707

Turn Lane on KY 189 in Powderly at the intersection of Copper Creek Drive.

The following is a list of utility companies involved on this project. Contractor is advised to use caution and call **BUD** prior to beginning work.

There are no known utilities that will be impacted by this project.

# **PROTECTION OF UTILITIES**

The location of utilities provided in the contract documents has been furnished by the facility owners and/or by reviewing record drawings and may not be accurate. It will be the roadway contractor's responsibility to locate utilities before excavating by calling the various utility owners and by examining any supplemental information supplied by the cabinet. If necessary, the roadway contractor shall determine the exact location and elevation of utilities by hand digging to expose utilities before excavating in the area of a utility. The cost of repair and any other associated costs for any damage to utilities caused by the roadway contractor's operations shall be borne by the roadway contractor.

The contractor is advised to contact the **BUD one-call system at 1-800-752-6007** at least two working days prior to excavating. Contractor should be aware that owners of underground facilities are not required to be members of the BUD one-call system. It may be necessary for the contractor to contact the County Court Clerk to determine what utility companies have facilities in the project area.

# **PART II**

# SPECIFICATIONS AND STANDARD DRAWINGS

# **SPECIFICATIONS REFERENCE**

Any reference in the plans or proposal to previous editions of the *Standard Specifications* for Road and Bridge Construction and Standard Drawings are superseded by Standard Specifications for Road and Bridge Construction, Edition of 2012 and Standard Drawings, Edition of 2012 with the 2012 Revision.

epoxy paint.

# Supplemental Specifications to the Standard Specifications for Road and Bridge Construction, 2012 Edition

# (Effective with the August 17, 2012 Letting)

Subsection:	402.03.02 Contractor Quality Control and Department Acceptance.
Part:	D) Testing Responsibilites.
Number:	4) Density.
Revision:	Replace the second sentence of the Option A paragraph with the following: Perform
	coring by the end of the following work day.
Subsection:	606.03.17 Special Requirements for Latex Concrete Overlays.
Part:	A) Existing Bridges and New Structures.
Number:	1) Prewetting and Grout-Bond Coat.
Revision:	Add the following sentence to the last paragraph: Do not apply a grout-bond coat on
	bridge decks prepared by hydrodemolition.
Subsection:	609.03 Construction.
Revision:	Replace Subsection 609.03.01 with the following:
	609.03.01 A) Swinging the Spans. Before placing concrete slabs on steel spans or
	precast concrete release the temporary erection supports under the bridge and swing
	the span free on its supports.
	609.03.01 B) Lift Loops. Cut all lift loops flush with the top of the precast beam
	once the beam is placed in the final location and prior to placing steel reinforcement.
	At locations where lift loops are cut, paint the top of the beam with galvanized or

# **PART III**

# EMPLOYMENT, WAGE AND RECORD REQUIREMENTS

MUHLENBERG COUNTY FD04 SPP 089 0189 012-013

# TRANSPORTATION CABINET DEPARTMENT OF HIGHWAYS

# LABOR AND WAGE REQUIREMENTS APPLICABLE TO OTHER THAN FEDERAL-AID SYSTEM PROJECTS

- I. Application
- II. Nondiscrimination of Employees (KRS 344)
- III. Payment of Predetermined Minimum Wages
- IV. Statements and Payrolls

#### I. APPLICATION

- 1. These contract provisions shall apply to all work performed on the contract by the contractor with his own organization and with the assistance of workmen under his immediate superintendence and to all work performed on the contract by piecework, station work or by subcontract. The contractor's organization shall be construed to include only workmen employed and paid directly by the contractor and equipment owned or rented by him, with or without operators.
- 2. The contractor shall insert in each of his subcontracts all of the stipulations contained in these Required Provisions and such other stipulations as may be required.
- 3. A breach of any of the stipulations contained in these Required Provisions may be grounds for termination of the contract.

#### II. NONDISCRIMINATION OF EMPLOYEES

AN ACT OF THE KENTUCKY GENERAL ASSEMBLY TO PREVENT DISCRIMINATION IN EMPLOYMENT KRS CHAPTER 344 EFFECTIVE JUNE 16, 1972

The contract on this project, in accordance with KRS Chapter 344, provides that during the performance of this contract, the contractor agrees as follows:

- 1. The contractor shall not fail or refuse to hire, or shall not discharge any individual, or otherwise discriminate against an individual with respect to his compensation, terms, conditions, or privileges of employment, because of such individual's race, color, religion, national origin, sex, disability or age (between forty and seventy); or limit, segregate, or classify his employees in any way which would deprive or tend to deprive an individual of employment opportunities or otherwise adversely affect his status as an employee, because of such individual's race, color, religion, national origin, sex, disability or age (between forty and seventy). The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.
- 2. The contractor shall not print or publish or cause to be printed or published a notice or advertisement relating to employment by such an employer or membership in or any classification or referral for employment by the employment agency, indicating any preference, limitation, specification, or discrimination, based on race, color, religion, national origin, sex, disability or age (between forty and seventy), except that such notice or advertisement may indicate a preference, limitation, or specification based on religion, or national origin when religion, or national origin is a bona fide occupational qualification for employment.
- 3. If the contractor is in control of apprenticeship or other training or retraining, including on-the-job training programs, he shall not discriminate against an individual

because of his race, color, religion, national origin, sex, disability or age (between forty and seventy), in admission to, or employment in any program established to provide apprenticeship or other training.

4. The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representative of the contractor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment. The contractor will take such action with respect to any subcontract or purchase order as the administrating agency may direct as a means of enforcing such provisions, including sanctions for non-compliance.

# III. PAYMENT OF PREDETERMINED MINIMUM WAGES

- 1. These special provisions are supplemented elsewhere in the contract by special provisions which set forth certain predetermined minimum wage rates. The contractor shall pay not less than those rates.
- 2. The minimum wage determination schedule shall be posted by the contractor, in a manner prescribed by the Department of Highways, at the site of the work in prominent places where it can be easily seen by the workers.

#### IV. STATEMENTS AND PAYROLLS

- 1. All contractors and subcontractors affected by the terms of KRS 337.505 to 337.550 shall keep full and accurate payroll records covering all disbursements of wages to their employees to whom they are required to pay not less than the prevailing rate of wages. Payrolls and basic records relating thereto will be maintained during the course of the work and preserved for a period of one (1) year from the date of completion of this contract.
- 2. The payroll records shall contain the name, address and social security number of each employee, his correct classification, rate of pay, daily and weekly number of hours worked, itemized deductions made and actual wages paid.
- 3. The contractor shall make his daily records available at the project site for inspection by the State Department of Highways contracting office or his authorized representative.

Periodic investigations shall be conducted as required to assure compliance with the labor provisions of the contract. Interrogation of employees and officials of the contractor shall be permitted during working hours.

Aggrieved workers, Highway Managers, Assistant District Engineers, Resident Engineers and Project Engineers shall report all complaints and violations to the Division of Contract Procurement.

The contractor shall be notified in writing of apparent violations. The contractor may correct the reported violations and notify the Department of Highways of the action taken or may request an informal hearing. The request for hearing shall be in writing within ten (10) days after receipt of the notice of the reported violation. The contractor may submit

records and information which will aid in determining the true facts relating to the reported violations.

Any person or organization aggrieved by the action taken or the findings established as a result of an informal hearing by the Division of Contract Procurement may request a formal hearing.

- 4. The wages of labor shall be paid in legal tender of the United States, except that this condition will be considered satisfied if payment is made by a negotiable check, on a solvent bank, which may be cashed readily by the employee in the local community for the full amount, without discount or collection charges of any kind. Where checks are used for payments, the contractor shall make all necessary arrangements for them to be cashed and shall give information regarding such arrangements.
- 5. No fee of any kind shall be asked or accepted by the contractor or any of his agents from any person as a condition of employment on the project.
- 6. No laborers shall be charged for any tools used in performing their respective duties except for reasonably avoidable loss or damage thereto.
- 7. Every employee on the work covered by this contract shall be permitted to lodge, board, and trade where and with whom he elects and neither the contractor nor his agents, nor his employees shall directly or indirectly require as a condition of employment that an employee shall lodge, board or trade at a particular place or with a particular person.
- 8. Every employee on the project covered by this contract shall be an employee of either the prime contractor or an approved subcontractor.
- 9. No charge shall be made for any transportation furnished by the contractor or his agents to any person employed on the work.
- 10. No individual shall be employed as a laborer or mechanic on this contract except on a wage basis, but this shall not be construed to prohibit the rental of teams, trucks or other equipment from individuals.

No Covered employee may be employed on the work except in accordance with the classification set forth in the schedule mentioned above; provided, however, that in the event additional classifications are required, application shall be made by the contractor to the Department of Highways and (1) the Department shall request appropriate classifications and rates from the proper agency, or (2) if there is urgent need for additional classification to avoid undue delay in the work, the contractor may employ such workmen at rates deemed comparable to rates established for similar classifications provided he has made written application through the Department of Highways, addressed to the proper agency, for the supplemental rates. The contractor shall retroactively adjust, upon receipt of the supplemental rates schedule, the wages of any employee paid less than the established rate and may adjust the wages of any employee overpaid.

- 11. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any laborer or mechanic in any work-week in which he is employed on such work, to work in excess of eight hours in any calendar day or in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one half times his basic rate of pay for all hours worked in excess of eight hours in any calendar day or in excess of forty hours in such work-week. A laborer, workman or mechanic and an employer may enter into a written agreement or a collective bargaining agreement to work more than eight (8) hours a calendar day but not more than ten (10) hours a calendar day for the straight time hourly rate. This agreement shall be in writing and shall be executed prior to the employee working in excess of eight (8) hours, but not more than ten (10) hours, in any one (1) calendar day.
- 12. Payments to the contractor may be suspended or withheld due to failure of the contractor to pay any laborer or

mechanic employed or working on the site of the work, all or part of the wages required under the terms of the contract. The Department may suspend or withhold payments only after the contractor has been given written notice of the alleged violation and the contractor has failed to comply with the wage determination of the Department of Highways.

13. Contractors and subcontractors shall comply with the sections of Kentucky Revised Statutes, Chapter 337 relating to contracts for Public Works.

Revised 2-16-95

#### **EXECUTIVE BRANCH CODE OF ETHICS**

In the 1992 regular legislative session, the General Assembly passed and Governor Brereton Jones signed Senate Bill 63 (codified as KRS 11A), the Executive Branch Code of Ethics, which states, in part:

# KRS 11A.040 (6) provides:

No present or former public servant shall, within six (6) months of following termination of his office or employment, accept employment, compensation or other economic benefit from any person or business that contracts or does business with the state in matters in which he was directly involved during his tenure. This provision shall not prohibit an individual from returning to the same business, firm, occupation, or profession in which he was involved prior to taking office or beginning his term of employment, provided that, for a period of six (6) months, he personally refrains from working on any matter in which he was directly involved in state government. This subsection shall not prohibit the performance of ministerial functions, including, but not limited to, filing tax returns, filing applications for permits or licenses, or filing incorporation papers.

# KRS 11A.040 (8) states:

A former public servant shall not represent a person in a matter before a state agency in which the former public servant was directly involved, for a period of one (1) year after the latter of:

- a) The date of leaving office or termination of employment; or
- b) The date the term of office expires to which the public servant was elected.

This law is intended to promote public confidence in the integrity of state government and to declare as public policy the idea that state employees should view their work as a public trust and not as a way to obtain private benefits.

If you have worked for the executive branch of state government within the past six months, you may be subject to the law's prohibitions. The law's applicability may be different if you hold elected office or are contemplating representation of another before a state agency.

Also, if you are affiliated with a firm which does business with the state and which employs former state executive-branch employees, you should be aware that the law may apply to them.

In case of doubt, the law permits you to request an advisory opinion from the Executive Branch Ethics Commission, Room 136, Capitol Building, 700 Capitol Avenue, Frankfort, Kentucky 40601; telephone (502) 564-7954.

# **Kentucky Equal Employment Opportunity Act of 1978**

The requirements of the Kentucky Equal Employment Opportunity Act of 1978 (KRS 45.560-45.640) shall not apply to this Contract.

# TRANSPORTATION CABINET DIVISION OF CONSTRUCTION PROCUREMENT COMPLIANCE SECTION PROJECT WAGE RATES

WORKERS	MINIMUM HOURLY
RATE	\$7.25

Note: Parts III and IV of "Labor and Wage Requirements Applicable to Other Than Federal-Aid System Projects" do not apply to this project.

Federal-State Sheet 1 of 1

# EMPLOYEE RIGHTS UNDER THE FAIR LABOR STANDARDS ACT

THE UNITED STATES DEPARTMENT OF LABOR WAGE AND HOUR DIVISION

# **FEDERAL MINIMUM WAGE**

\$7.25

PER HOUR

**BEGINNING JULY 24, 2009** 

# **OVERTIME PAY**

At least  $1\frac{1}{2}$  times your regular rate of pay for all hours worked over 40 in a workweek.

# **CHILD LABOR**

An employee must be at least **16** years old to work in most non-farm jobs and at least **18** to work in non-farm jobs declared hazardous by the Secretary of Labor.

Youths **14** and **15** years old may work outside school hours in various non-manufacturing, non-mining, non-hazardous jobs under the following conditions:

# No more than

- 3 hours on a school day or 18 hours in a school week;
- 8 hours on a non-school day or 40 hours in a non-school week.

Also, work may not begin before **7 a.m.** or end after **7 p.m.**, except from June 1 through Labor Day, when evening hours are extended to **9 p.m.** Different rules apply in agricultural employment.

# **TIP CREDIT**

Employers of "tipped employees" must pay a cash wage of at least \$2.13 per hour if they claim a tip credit against their minimum wage obligation. If an employee's tips combined with the employer's cash wage of at least \$2.13 per hour do not equal the minimum hourly wage, the employer must make up the difference. Certain other conditions must also be met.

# **ENFORCEMENT**

The Department of Labor may recover back wages either administratively or through court action, for the employees that have been underpaid in violation of the law. Violations may result in civil or criminal action.

Employers may be assessed civil money penalties of up to \$1,100 for each willful or repeated violation of the minimum wage or overtime pay provisions of the law and up to \$11,000 for each employee who is the subject of a violation of the Act's child labor provisions. In addition, a civil money penalty of up to \$50,000 may be assessed for each child labor violation that causes the death or serious injury of any minor employee, and such assessments may be doubled, up to \$100,000, when the violations are determined to be willful or repeated. The law also prohibits discriminating against or discharging workers who file a complaint or participate in any proceeding under the Act.

# ADDITIONAL INFORMATION

- Certain occupations and establishments are exempt from the minimum wage and/or overtime pay provisions.
- Special provisions apply to workers in American Samoa and the Commonwealth of the Northern Mariana Islands.
- Some state laws provide greater employee protections; employers must comply with both.
- The law requires employers to display this poster where employees can readily see it.
- Employees under 20 years of age may be paid \$4.25 per hour during their first 90 consecutive calendar days of employment with an employer.
- Certain full-time students, student learners, apprentices, and workers with disabilities may be paid less than the minimum wage under special certificates issued by the Department of Labor.



# **PART IV**

# **INSURANCE**

### **INSURANCE**

The Contractor shall procure and maintain the following insurance in addition to the insurance required by law:

- 1) Commercial General Liability-Occurrence form not less than \$2,000,000 General aggregate, \$2,000,000 Products & Completed Aggregate, \$1,000,000 Personal & Advertising, \$1,000,000 each occurrence.
- 2) Automobile Liability- \$1,000,000 per accident
- 3) Employers Liability:
  - a) \$100,000 Each Accident Bodily Injury
  - b) \$500,000 Policy limit Bodily Injury by Disease
  - c) \$100,000 Each Employee Bodily Injury by Disease
- 4) The insurance required above must be evidenced by a Certificate of Insurance and this Certificate of Insurance must contain one of the following statements:
  - a) "policy contains no deductible clauses."
  - b) "policy contains \_\_\_\_\_ (amount) deductible property damage clause but company will pay claim and collect the deductible from the insured."
- 5) KENTUCKY WORKMEN'S COMPENSATION INSURANCE. The contractor shall furnish evidence of coverage of all his employees or give evidence of self-insurance by submitting a copy of a certificate issued by the Workmen's Compensation Board.

The cost of insurance is incidental to all contract items. All subcontractors must meet the same minimum insurance requirements.

# PART V

# **BID ITEMS**

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# KENTUCKY TRANSPORTATION CABINET DEPARTMENT OF HIGHWAYS FRANKFORT, KY 40622

CONTRACT ID: 121352

COUNTY: MUHLENBERG

PROPOSAL: FD04 SPP 089 0189 012-013

PAGE: 1 LETTING: 10/19/12 CALL NO: 302

| APPROXIMATE UNIT | UNIT | AMOUNT | QUANTITY | PRICE | LINE | ITEM DESCRIPTION \_\_\_\_\_\_ SECTION 0001 ROADWAY 0010 | 00001 99.240 TON 0020 | 00221 CL2 ASPH BASE 0.75D PG64-22 336.490 TON 58.440 TON 546.000 LF 0040 01820 LIP CURB AND GUTTER 0050 | 01949 MOUNTABLE MEDIAN TYPE 6A 54.600 SOYD ROADWAY EXCAVATION 374.480 CUYD 200.000 SQFT 0070 | 02562 SIGNS 0080 | 02676 MOBILIZATION FOR MILL & TEXT 1.00) LS ( 0090 | 02677 ASPHALT PAVE MILLING & TEXTURING ( 1.00) LS 0100 | 02726 STAKING 0110 | 06514 PAVE STRIPING-PERM PAINT-4 IN 1,225.000 LF 0120 | 06574 PAVE MARKING-THERMO CURV ARROW 2.000 EACH \_\_\_\_\_\_ 0130 21741NC MAINTAIN & CONTROL TRAFFIC 1.000 EACH SECTION 0002 DEMOBILIZATION 0140 | 02569 DEMOBILIZATION (AT LEAST 1.5%) LUMP TOTAL BID