

CALL NO. 206 CONTRACT ID. 124020 VARIOUS COUNTIES FED/STATE PROJECT NUMBER 121GR12T009-HSIP DESCRIPTION RUMBLE STRIP RETRO-FIT WORK TYPE RUMBLE STRIPS & PAVEMENT STRIPING PRIMARY COMPLETION DATE 6/30/2013

LETTING DATE: July 13, 2012

Sealed Bids will be received electronically through the Bid Express bidding service until 10:00 AM EASTERN DAYLIGHT TIME July 13, 2012. Bids will be publicly announced at 10:00 AM EASTERN DAYLIGHT TIME.

DBE CERTIFICATION REQUIRED - 8%

REQUIRED BID PROPOSAL GUARANTY: Not less than 5% of the total bid.

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PART I

SCOPE OF WORK

CONTRACT ID - 124020 ADMINISTRATIVE DISTRICT - 09 PROJECT(S) IDENTIFICATION AND DESCRIPTION: COUNTY - VARIOUS 121GR12T009-HSIP RUMBLE STRIP RETRO-FIT COUNTY - BRACKEN PES - 0601200191201 HSIP 9010 (064) AUGUSTA-CHATHAM ROAD (KY 19) FROM KY 10 (MP 8.550) EXTENDING NORTH TO KY 8 (MP 17.020), A DISTANCE OF 8.47 MILES. RUMBLE STRIPS & PAVEMENT STRIPING. GEOGRAPHIC COORDINATES LATITUDE 38^43'02" LONGITUDE 84^00'54" AVERAGE DAILY TRAFFIC - 1689 AVERAGE MAINLINE WIDTH - 22.0 FEET COUNTY - CARROLL PES - 0602100361202 HSIP 9010 (064) MILTON-CARROLLTON ROAD (KY 36) FROM TRIMBLE COUNTY LINE (MP 0.000) EXTENDING EAST TO US 42 (MP 8.130), A DISTANCE OF 8.13 MILES. RUMBLE STRIPS & PAVEMENT STRIPING. GEOGRAPHIC COORDINATES LATITUDE 38^43'41" LONGITUDE 85^15'32" AVERAGE DAILY TRAFFIC - 3658 AVERAGE MAINLINE WIDTH - 22.0 FEET COUNTY - CARROLL PES - 0602100421201 HSIP 9010 (064) CARROLLTON-GHENT ROAD (US 42) FROM 238 FEET EAST OF BURLEY ESTATES ROAD (MP 9.200) EXTENDING EAST TO GALLATIN COUNTY LINE (MP 16.500), A DISTANCE OF 7.30 MILES. RUMBLE STRIPS & PAVEMENT STRIPING. GEOGRAPHIC COORDINATES LATITUDE 38^43'43" LONGITUDE 85^04'34" AVERAGE DAILY TRAFFIC - 7196 AVERAGE MAINLINE WIDTH - 22.0 FEET COUNTY - HARRISON PES - 0604900271201 HSTP 9010 (064) PARIS-FALMOUTH ROAD (US 27) FROM BOURBON COUNTY LINE (MP 0.000) EXTENDING NORTH TO 0.376 MILES NORTH OF KY 1284 (MP 17.000), A DISTANCE OF 17.00 MILES. RUMBLE STRIPS & PAVEMENT STRIPING. GEOGRAPHIC COORDINATES LATITUDE 38^25'32" LONGITUDE 84^17'00" AVERAGE DAILY TRAFFIC - 14172 AVERAGE MAINLINE WIDTH - 22.0 FEET PES - 0604900621201 COUNTY - HARRISON HSIP 9010 (064) GEORGETOWN-CYTHIANA ROAD (US 62) FROM BOURBON COUNTY LINE (MP 0.000) EXTENDING EAST TO KY 353 (MP 5.600), A DISTANCE OF 5.60 MILES. RUMBLE STRIPS & PAVEMENT STRIPING. GEOGRAPHIC COORDINATES LATITUDE 38^18'17" LONGITUDE 84^23'52" AVERAGE DAILY TRAFFIC - 5249 AVERAGE MAINLINE WIDTH - 22.0 FEET COUNTY - HARRISON PES - 0604909821201 HSIP 9010 (064) NEW LAIR ROAD (KY 982) FROM US 27 (MP 2.580) EXTENDING EAST TO SAFETY WAY (MP 4.480), A DISTANCE OF 1.90 MILES. RUMBLE STRIPS & PAVEMENT STRIPING. GEOGRAPHIC COORDINATES LATITUDE 38^21'23" LONGITUDE 84^17'54" AVERAGE DAILY TRAFFIC - 3530 AVERAGE MAINLINE WIDTH - 24.0 тяяя COUNTY - KENTON PES - 0605900171201 HSIP 9010 (064) MADISON PIKE (KY 17) FROM KY 536 (MP 11.000) EXTENDING NORTH TO KY 1501 (MP 15.830), A DISTANCE OF 4.83 MILES. RUMBLE STRIPS & PAVEMENT STRIPING. GEOGRAPHIC COORDINATES LATITUDE 38^57'30" LONGITUDE 84^32'02" AVERAGE DAILY TRAFFIC - 16303 AVERAGE MAINLINE WIDTH - 24.0 TEET COUNTY - PENDLETON PES - 0609600271201 HSIP 9010 (064) CYNTHIANA-FALMOUTH ROAD (US 27) FROM HARRISON COUNTY LINE (MP 0.000) EXTENDING NORTH TO 317 FEET SOUTH OF VALLEY VIEW LANE (MP 16.400), A DISTANCE OF 16.40 MILES. RUMBLE STRIPS & PAVEMENT STRIPING. GEOGRAPHIC COORDINATES LATITUDE 38^40'47" LONGITUDE 84^20'44" AVERAGE DAILY TRAFFIC - 11158 AVERAGE MAINLINE WIDTH - 22.0 FEET

CONTRACT ID - 124020 (CONTINUED) COUNTY - ROBERTSON PES - 0610101651201 HSIP 9010 (064) BLUE LICK PIKE (KY 165) FROM US 68 (MP 0.000) EXTENDING NORTH TO KY 1476 (MP 6.900), A DISTANCE OF 6.90 MILES. RUMBLE STRIPS & PAVEMENT STRIPING. GEOGRAPHIC COORDINATES LATITUDE 38^27'49" LONGITUDE 84^01'45" AVERAGE DAILY TRAFFIC - 895 AVERAGE MAINLINE WIDTH - 24.0 тяяя COUNTY - BATH PES - 0900600111201 HSIP 9010 (064) MAYSVILLE ROAD (KY 11) FROM MONTGOMERY COUNTY LINE (MP 0.000) EXTENDING NORTH TO 200 FEET SOUTH OF KY 1198 (MP 2.890), A DISTANCE OF 2.89 MILES. RUMBLE STRIPS & PAVEMENT STRIPING. GEOGRAPHIC COORDINATES LATITUDE 38^10'52" LONGITUDE 83^56'41" AVERAGE DAILY TRAFFIC - 3843 AVERAGE MAINLINE WIDTH - 24.0 FEET COUNTY - BOYD PES - 0901000601201 HSIP 9010 (064) COALTON-CANNONSBURG ROAD (US 60) FROM CARTER COUNTY LINE (MP 0.000) EXTENDING EAST TO KY 180 (MP 4.020), A DISTANCE OF 4.02 MILES. RUMBLE STRIPS & PAVEMENT STRIPING. GEOGRAPHIC COORDINATES LATITUDE 38^23'00" LONGITUDE 82^44'52" AVERAGE DAILY TRAFFIC - 13508 AVERAGE MAINLINE WIDTH - 24.0 FEET COUNTY - CARTER PES - 0902200071201 HSIP 9010 (064) SOPHI-GRAYSON ROAD (KY 7) FROM 0.189 MILES SOUTH OF KY 1496 (MP 4.600) EXTENDING NORTH TO 500 FEET SOUTH OF KY 773 (MP 9.700), A DISTANCE OF 5.10 MILES. RUMBLE STRIPS & PAVEMENT STRIPING. GEOGRAPHIC COORDINATES LATITUDE 38^16'58" LONGITUDE 82^58'09" AVERAGE DAILY TRAFFIC - 5817 AVERAGE MAINLINE WIDTH - 24.0 FEET COUNTY - CARTER PES - 0902200601201 HSIP 9010 (064) GRAYSON-ASHLAND ROAD (US 60) FROM 0.1 MILES WEST OF FRYER HOLLOW (MP 30.020) EXTENDING EAST TO BOYD COUNTY LINE (MP 34.850), A DISTANCE OF 4.83 MILES. RUMBLE STRIPS & PAVEMENT STRIPING. GEOGRAPHIC COORDINATES LATITUDE 38^21'09" LONGITUDE 82^48'16" AVERAGE DAILY TRAFFIC - 5160 AVERAGE MAINLINE WIDTH - 22.0 FEET COUNTY - CARTER PES - 0902200671201 HSIP 9010 (064) INDUSTRIAL PARK ACCESS ROAD (KY 67) FROM I-64 OVERPASS (MP 0.000) EXTENDING NORTH TO GREENUP COUNTY LINE (MP 0.340), A DISTANCE OF 0.34 MILES. RUMBLE STRIPS & PAVEMENT STRIPING. GEOGRAPHIC COORDINATES LATITUDE 38^22'20" LONGITUDE 82^49'16" AVERAGE DAILY TRAFFIC - 5790 AVERAGE MAINLINE WIDTH - 24.0 FEET COUNTY - FLEMING PES - 0903500321201 HSIP 9010 (064) MOREHEAD ROAD (KY 32) FROM 0.215 MILES EAST OF KY 11 (MP 10.830) EXTENDING EAST TO KY 1895 (MP 28.280), A DISTANCE OF 17.45 MILES. RUMBLE STRIPS & PAVEMENT STRIPING. GEOGRAPHIC COORDINATES LATITUDE 38^21'03" LONGITUDE 83^36'24" AVERAGE DAILY TRAFFIC - 6097 AVERAGE MAINLINE WIDTH - 23.0 TEET PES - 0904500671201 COUNTY - GREENUP HSIP 9010 (064) INDUSTRIAL PARKWAY (KY 67) FROM CARTER COUNTY LINE (MP 0.000) EXTENDING NORTH TO KY 3105 (MP 13.040), A DISTANCE OF 13.04 MILES. RUMBLE STRIPS & PAVEMENT STRIPING. GEOGRAPHIC COORDINATES LATITUDE 38^28'03" LONGITUDE 82^47'26" AVERAGE DAILY TRAFFIC - 5669 AVERAGE MAINLINE WIDTH - 24.0 TEET COUNTY - MASON PES - 0908100111201 HSIP 9010 (064) FLEMING ROAD (KY 11) FROM 0.22 MILES NORTH OF KY 419 (MP 6.500) EXTENDING NORTH TO 0.23 MILES SOUTH OF KY 1448 (MP 8.150), A DISTANCE OF 1.65 MILES. RUMBLE STRIPS & PAVEMENT STRIPING. GEOGRAPHIC COORDINATES LATITUDE 38^35'18" LONGITUDE 83^46'11" AVERAGE DAILY TRAFFIC - 4428 AVERAGE MAINLINE WIDTH - 24.0 TEET

CONTRACT ID - 124020 (CONTINUED)

COMPLETION DATE(S): COMPLETION DATE - June 30, 2013 APPLIES TO ENTIRE CONTRACT

CONTRACT NOTES

PROPOSAL ADDENDA

All addenda to this proposal must be applied when calculating bid and certified in the bid packet submitted to the Kentucky Department of Highways. Failure to use the correct and most recent addenda may result in the bid being rejected.

BID SUBMITTAL

Bidder must use the Department's Expedite Bidding Program available on the Internet web site of the Department of Highways, Division of Construction Procurement. (www.transportation.ky.gov/contract)

The Bidder must download the bid file located on the Bid Express website (www.bidx.com) to prepare a bid packet for submission to the Department. The bidder must submit electronically using Bid Express.

JOINT VENTURE BIDDING

Joint venture bidding is permissible. All companies in the joint venture must be prequalified in one of the work types in the Qualifications for Bidders for the project. The bidders must get a vendor ID for the joint venture from the Division of Construction Procurement and register the joint venture as a bidder on the project. Also, the joint venture must obtain a digital ID from Bid Express to submit a bid. A joint bid bond of 5% may be submitted for both companies or each company may submit a separate bond of 5%.

UNDERGROUND FACILITY DAMAGE PROTECTION

The contractor is advised that the Underground Facility Damage Protection Act of 1994, became law January 1, 1995. It is the contractor's responsibility to determine the impact of the act regarding this project, and take all steps necessary to be in compliance with the provision of the act.

SPECIAL NOTE FOR PIPE INSPECTION

Contrary to Section 701.03.08 of the 2012 Standard Specifications for Road and Bridge Construction and Kentucky Method 64-114, certification by the Kentucky Transportation Center for prequalified Contractors to perform laser/video inspection is not required on this contract. It will continue to be a requirement for the Contractor performing any laser/video pipe inspection to be prequalified for this specialized item with the Kentucky Transportation Cabinet-Division of Construction Procurement.

<u>REGISTRATION WITH THE SECRETARY OF STATE BY A FOREIGN</u> <u>ENTITY</u>

Pursuant to KRS 176.085(1)(b), an agency, department, office, or political subdivision of the Commonwealth of Kentucky shall not award a state contract to a person that is a foreign entity required by KRS 14A.9-010 to obtain a certificate of authority to transact business in the Commonwealth ("certificate") from the Secretary of State under KRS 14A.9-030 unless the person produces the certificate within fourteen (14) days of the bid or proposal opening. If the foreign entity is not required to obtain a certificate as provided in KRS 14A.9-010, the foreign entity should identify the applicable exception. Foreign entity is defined within KRS 14A.1-070.

For all foreign entities required to obtain a certificate of authority to transact business in the Commonwealth, if a copy of the certificate is not received by the contracting agency within the time frame identified above, the foreign entity's solicitation response shall be deemed non-responsive or the awarded contract shall be cancelled.

Businesses can register with the Secretary of State at <u>https://secure.kentucky.gov/sos/ftbr/welcome.aspx</u>.

SPECIAL NOTE FOR PROJECT QUESTIONS DURING ADVERTISEMENT

Questions about projects during the advertisement should be submitted in writing to the Division of Construction Procurement. This may be done by fax (502) 564-7299 or email to <u>kytc.projectquestions@ky.gov</u>. The Department will attempt to answer all submitted questions. The Department reserves the right not to answer if the question is not pertinent or does not aid in clarifying the project intent.

The deadline for posting answers will be 3:00 pm Eastern Daylight Time, the day preceding the Letting. Questions may be submitted until this deadline with the understanding that the later a question is submitted, the less likely an answer will be able to be provided.

The questions and answers will be posted for each Letting under the heading "Questions & Answers" on the Construction Procurement website (<u>www.transportation.ky.gov/contract</u>). The answers provided shall be considered part of this Special Note and, in case of a discrepancy, will govern over all other bidding documents.

ACCESS TO RECORDS

The contractor, as defined in KRS 45A.030 (9) agrees that the contracting agency, the Finance and Administration Cabinet, the Auditor of Public Accounts, and the Legislative Research Commission, or their duly authorized representatives, shall have access to any books, documents, papers, records, or other evidence, which are directly pertinent to this

contract for the purpose of financial audit or program review. Records and other prequalification information confidentially disclosed as part of the bid process shall not be deemed as directly pertinent to the contract and shall be exempt from disclosure as provided in KRS 61.878(1)(c). The contractor also recognizes that any books, documents, papers, records, or other evidence, received during a financial audit or program review shall be subject to the Kentucky Open Records Act, KRS 61.870 to 61.884.

In the event of a dispute between the contractor and the contracting agency, Attorney General, or the Auditor of Public Accounts over documents that are eligible for production and review, the Finance and Administration Cabinet shall review the dispute and issue a determination, in accordance with Secretary's Order 11-004. (See attachment)

10/18/2011

FEDERAL CONTRACT NOTES

The Kentucky Department of Highways, in accordance with the Regulations of the United States Department of Transportation 23 CFR 635.112 (h), hereby notifies all bidders that failure by a bidder to comply with all applicable sections of the current Kentucky Standard Specifications, including, but not limited to the following, may result in a bid not being considered responsive and thus not eligible to be considered for award:

102.02 Current Capacity Rating 102.10 Delivery of Proposals 102.08 Irregular Proposals 102.14 Disqualification of Bidders 102.09 Proposal Guaranty

CIVIL RIGHTS ACT OF 1964

The Kentucky Department of Highways, in accordance with the provisions of Title VI of the Civil Rights Act of 1964 (78 Stat. 252) and the Regulations of the Federal Department of Transportation (49 C.F.R., Part 21), issued pursuant to such Act, hereby notifies all bidders that it will affirmatively insure that the contract entered into pursuant to this advertisement will be awarded to the lowest responsible bidder without discrimination on the ground of race, color, or national origin.

NOTICE TO ALL BIDDERS

To report bid rigging activities call: 1-800-424-9071.

The U.S. Department of Transportation (DOT) operates the above toll-free "hotline" Monday through Friday, 8:00 a.m. to 5:00 p.m. eastern time. Anyone with knowledge of possible bid rigging, bidder collusion, or other fraudulent activities should use the "hotline" to report such activities.

The "hotline" is part of the DOT's continuing effort to identify and investigate highway construction contract fraud and abuse and is operated under the direction of the DOT Inspector General. All information will be treated confidentially and caller anonymity will be respected.

FHWA 1273

Contrary to Paragraph VI of FHWA 1273, contractors on National Highway System (NHS) projects of \$1 million or more are no longer required to submit Form FHWA-47.

Contrary to Form FHWA-1273, Section V, paragraph 2.b personal addresses and full social

security numbers (SSN) shall not be included on weekly payroll submissions by contractors and subcontractors. Contractors and subcontractors shall include the last four digits of the employee's SSN as an individually identifying number for each employee on the weekly payroll submittal. This in no way changes the requirement that contractors and subcontractors maintain complete SSN and home addresses for employees and provide this information upon request of KYTC, FHWA, and the U.S. Department of Labor.

SECOND TIER SUBCONTRACTS

Second Tier subcontracts on federally assisted projects shall be permitted. However, in the case of DBE's, second tier subcontracts will only be permitted where the other subcontractor is also a DBE. All second tier subcontracts shall have the consent of both the Contractor and the Engineer.

DISADVANTAGED BUSINESS ENTERPRISE PROGRAM

It is the policy of the Kentucky Transportation Cabinet ("the Cabinet") that Disadvantaged Business Enterprises ("DBE") shall have the opportunity to participate in the performance of highway construction projects financed in whole or in part by Federal Funds in order to create a level playing field for all businesses who wish to contract with the Cabinet. To that end, the Cabinet will comply with the regulations found in 49 CFR Part 26, and the definitions and requirements contained therein shall be adopted as if set out verbatim herein.

The Cabinet, contractors, subcontractors, and sub-recipients shall not discriminate on the basis of race, color, national origin, or sex in the performance of work performed pursuant to Cabinet contracts. The contractor shall carry out applicable requirements of 49 CFR 26 in the award and administration of federally assisted highway construction projects. The contractor will include this provision in all its subcontracts and supply agreements pertaining to contracts with the Cabinet.

Failure by the contractor to carry out these requirements is a material breach of its contract with the Cabinet, which may result in the termination of the contract or such other remedy as the Cabinet deems necessary.

DBE GOAL

The Disadvantaged Business Enterprise (DBE) goal established for this contract, as listed on the front page of the proposal, is the percentage of the total value of the contract.

The contractor shall exercise all necessary and reasonable steps to ensure that Disadvantaged Business Enterprises participate in a least the percent of the contract as set forth above as goals for this contract.

OBLIGATION OF CONTRACTORS

Each contractor prequalified to perform work on Cabinet projects shall designate and make known to the Cabinet a liaison officer who is assigned the responsibility of effectively administering and promoting an active program for utilization of DBEs.

If a formal goal has not been designated for the contract, all contractors are encouraged to consider DBEs for subcontract work as well as for the supply of material and services needed to perform this work.

Contractors are encouraged to use the services of banks owned and controlled by minorities and women.

CERTIFICATION OF CONTRACT GOAL

Contractors shall include the following certification in bids for projects for which a DBE goal has been established. BIDS SUBMITTED WHICH DO NOT INCLUDE CERTIFICATION OF DBE PARTICIPATION WILL NOT BE ACCEPTED. These bids <u>will not</u> be considered for award by the Cabinet and they will be returned to the bidder.

"The bidder certifies that it has secured participation by Disadvantaged Business Enterprises ("DBE") in the amount of _____ percent of the total value of this contract and that the DBE participation is in compliance with the requirements of 49 CFR 26 and the policies of the Kentucky Transportation Cabinet pertaining to the DBE Program."

The certification statement is located in the electronic bid file. All contractors must certify their DBE participation on that page. DBEs utilized in achieving the DBE goal must be certified and prequalified for the work items at the time the bid is submitted.

DBE PARTICIPATION PLAN

Lowest responsive bidders must submit the *DBE Plan/ Subcontractor Request*, form TC 63-35 DBE, within 10 days of the letting. This is necessary before the Awards Committee will review and make a recommendation. <u>The project will not be considered for award prior to</u> <u>submission and approval of the apparent low bidder's DBE Plan/Subcontractor Request.</u>

The DBE Participation Plan shall include the following:

1 Name and address of DBE Subcontractor(s) and/or supplier(s) intended to be used in the proposed project;

2 Description of the work each is to perform including the work item , unit, quantity, unit price and total amount of the work to be performed by the individual DBE. The Project Code Number (PCN), Category Number, and the Project Line Number can be found in the "material listing" on the Construction Procurement website under the specific letting;

3 The dollar value of each proposed DBE subcontract and the percentage of total project contract value this represents. DBE participation may be counted as follows; a) If DBE suppliers and manufactures assume actual and contractual responsibility, the dollar value of materials to be furnished will be counted toward the goal as follows:

- The entire expenditure paid to a DBE manufacturer;
- 60 percent of expenditures to DBE suppliers that are not manufacturers provided the supplier is a regular dealer in the product involved. A regular dealer must be engaged in, as its principal business and in its own name, the sale of products to the public, maintain an inventory and own and operate distribution equipment; and
- The amount of fees or commissions charged by the DBE firms for a bona fide service, such as professional, technical, consultant, or managerial services and assistance in the procurement of essential personnel, facilities, equipment, materials, supplies, delivery of materials and supplies or for furnishing bonds, or insurance, providing such fees or commissions are determined to be reasonable and customary.
- b) The dollar value of services provided by DBEs such as quality control testing, equipment repair and maintenance, engineering, staking, etc.;
- c) The dollar value of joint ventures. DBE credit for joint ventures will be limited to the dollar amount of the work actually performed by the DBE in the joint venture;

4 Written and signed documentation of the bidder's commitment to use a DBE contractor whose participation is being utilized to meet the DBE goal; and

5 Written and signed confirmation from the DBE that it is participating in the contract as provided in the prime contractor's commitment.

UPON AWARD AND BEFORE A WORK ORDER WIL BE ISSUED

Contractors must submit the signed subcontract between the contractor and the DBE contractor, the DBE's certificate of insurance, and an affidavit for bidders, offerors, and contractors from the DBE to the Division of Construction Procurement. The affidavit can be found on the Construction Procurement website. If the DBE is a supplier of materials for the project, a signed purchase order and an affidavit for bidders, offerors, and contractors must be submitted to the Division of Construction Procurement.

Changes to DBE Participation Plans must be approved by the Cabinet. The Cabinet may consider extenuating circumstances including, but not limited to, changes in the nature or scope of the project, the inability or unwillingness of a DBE to perform the work in accordance with

the bid, and/or other circumstances beyond the control of the prime contractor.

CONSIDERATION OF GOOD FAITH EFFORTS REQUESTS

If the DBE participation submitted in the bid by the apparent lowest responsive bidder does not meet or exceed the DBE contract goal, the apparent lowest responsive bidder must submit a Good Faith Effort Package to satisfy the Cabinet that sufficient good faith efforts were made to meet the contract goals prior to submission of the bid. Efforts to increase the goal after bid submission will not be considered in justifying the good faith effort, unless the contractor can show that the proposed DBE was solicited prior to the letting date. DBEs utilized in achieving the DBE goal must be certified and prequalified for the work items at the time the bid is submitted. One complete set and nine (9) copies of this information must be received in the office of the Division of Contract Procurement no later than 12:00 noon of the tenth calendar day after receipt of notification that they are the apparent low bidder.

Where the information submitted includes repetitious solicitation letters it will be acceptable to submit a sample representative letter along with a distribution list of the firms solicited. Documentation of DBE quotations shall be a part of the good faith effort submittal as necessary to demonstrate compliance with the factors listed below which the Cabinet considers in judging good faith efforts. This documentation may include written subcontractors' quotations, telephone log notations of verbal quotations, or other types of quotation documentation.

The Good Faith Effort Package shall include, but may not be limited to information showing evidence of the following:

1 Whether the bidder attended any pre-bid meetings that were scheduled by the Cabinet to inform DBEs of subcontracting opportunities;

2 Whether the bidder provided solicitations through all reasonable and available means;

3 Whether the bidder provided written notice to all DBEs listed in the DBE directory at the time of the letting who are prequalified in the areas of work that the bidder will be subcontracting;

4 Whether the bidder followed up initial solicitations of interest by contacting DBEs to determine with certainly whether they were interested. If a reasonable amount of DBEs within the targeted districts do not provide an intent to quote or no DBEs are prequalified in the subcontracted areas, the bidder must notify the DBE Liaison in the Office of Minority Affairs to give notification of the bidder's inability to get DBE quotes;

5 Whether the bidder selected portions of the work to be performed by DBEs in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate DBE participation, even when the prime contractor might otherwise perform these work items with its own forces;

6 Whether the bidder provided interested DBEs with adequate and timely information about the plans, specifications, and requirements of the contract;

7 Whether the bidder negotiated in good faith with interested DBEs not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached;

8 Whether quotations were received from interested DBE firms but were rejected as unacceptable without sound reasons why the quotations were considered unacceptable. The fact that the DBE firm's quotation for the work is not the lowest quotation received will not in itself be considered as a sound reason for rejecting the quotation as unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a DBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy DBE goals;

9 Whether the bidder specifically negotiated with subcontractors to assume part of the responsibility to meet the contract DBE goal when the work to be subcontracted includes potential DBE participation;

10 Whether the bidder made any efforts and/or offered assistance to interested DBEs in obtaining the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal; and

11 Any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include DBE participation.

FAILURE TO MEET GOOD FAITH REQUIREMENT

Where the apparent lowest responsive bidder fails to submit sufficient participation by DBE firms to meet the contract goal and upon a determination by the Good Faith Committee based upon the information submitted that the apparent lowest responsive bidder failed to make sufficient reasonable efforts to meet the contract goal, the bidder will be offered the opportunity to meet in person for administrative reconsideration. The bidder will be notified of the Committee's decision within 24 hours of its decision. The bidder will have 24 hours to request reconsideration of the Committee's decision. The reconsideration meeting will be held within two days of the receipt of a request by the bidder for reconsideration.

The request for reconsideration will be heard by the Office of the Secretary. The bidder will have the opportunity to present written documentation or argument concerning the issue of whether it met the goal or made an adequate good faith effort. The bidder will receive a written decision on the reconsideration explaining the basis for the finding that the bidder did or did not meet the goal or made adequate Good Faith efforts to do so.

The result of the reconsideration process is not administratively appealable to the Cabinet or to the United States Department of Transportation.

The Cabinet reserves the right to award the contract to the next lowest responsive bidder or to rebid the contract in the event that the contract is not awarded to the low bidder as the result of a failure to meet the good faith requirement.

SANCTIONS FOR FAILURE TO MEET DBE REQUIREMENTS OF THE PROJECT

Failure by the prime contractor to fulfill the DBE requirements of a project under contract or to demonstrate good faith efforts to meet the goal constitutes a breach of contract. When this occurs, the Cabinet will hold the prime contractor accountable, as would be the case with all other contract provisions. Therefore, the contractor's failure to carry our the DBE contract requirements shall constitute a breach of contract and as such the Cabinet reserves the right to exercise all administrative remedies at its disposal including, but not limited to the following:

- Disallow credit toward the DBE goal;
- Withholding progress payments;
- Withholding payment to the prime in an amount equal to the unmet portion of the contract goal; and/or
- Termination of the contract.

PROMPT PAYMENT

The prime contractor will be required to pay the DBE within seven (7) working days after he or she has received payment from the Kentucky Transportation Cabinet for work performed or materials furnished.

CONTRACTOR REPORTING

All contractors must keep detailed records and provide reports to the Cabinet on their progress in meeting the DBE requirement on any highway contract. These records may include, but shall not be limited to payroll, lease agreements, cancelled payroll checks, executed subcontracting agreements, etc. Prime contractors will be required to submit certified reports on monies paid to each DBE subcontractor or supplier utilized to meet a DBE goal.

Payment information that needs to be reported includes date the payment is sent to the DBE, check number, Contract ID, amount of payment and the check date. Before Final Payment is made on this contract, the Prime Contractor will certify that all payments were made to the DBE subcontractor and/or DBE suppliers.

The Prime Contractor should supply the payment information at the time the DBE is compensated for their work. Form to use is located at: <u>http://transportation.ky.gov/Construction/Pages/Subcontracts.aspx</u>

Photocopied payments and completed form to be submitted to: Office of Civil Rights and Small Business Development 6th Floor West 200 Mero Street Frankfort, KY 40622

DEFAULT OR DECERTIFICATION OF THE DBE

If the DBE subcontractor or supplier is decertified or defaults in the performance of its work, and

the overall goal cannot be credited for the uncompleted work, the prime contractor may utilize a substitute DBE or elect to fulfill the DBE goal with another DBE on a different work item. If after exerting good faith effort in accordance with the Cabinet's Good Faith Effort policies and procedures, the prime contractor is unable to replace the DBE, then the unmet portion of the goal may be waived at the discretion of the Cabinet.

09/14/11

VARIOUS COUNTIES 121GR12T009-HSIP

NATIONAL HIGHWAY

Be advised this project is on the NATIONAL HIGHWAY SYSTEM.

SPECIAL NOTE FOR MILLED RUMBLE STRIPS

Centerline Rumble Strips (CLRS) Edgeline Rumble Strips (ELRS) Shoulder Rumble Strips (SRS)

Construct milled rumble strips as shown on the drawing. The locations listed and dimensions on the drawings are approximate only and may vary; the Engineer will determine the exact locations and dimensions at the time of construction. SRS are intended to be installed at the listed locations on shoulders four feet or greater; inside shoulder, outside shoulder, or both were milled shoulder rumbles are not currently present. Unless directed otherwise by the Engineer, DO NOT install rumble strips where the posted speed limit is 45 MPH or less. DO NOT install CENTERLINE rumble strips across Two-way left-turn lanes (TWLTL). Before sawing the rumble strips, obtain the Engineer's approval of the proposed location, alignment, and control guides. If necessary, the Engineer will obtain guidance from the District Traffic Engineer and/or the Division of Traffic. DO NOT install rumble strips where the posted speed limit is 45 MPH or less. Do not mill rumble strips across bridge decks. Sections of identified locations may be omitted at the Engineer's discretion or due to pavement distress. Clean rumble strip and roadway surface of grease, oil, mud, dust, dirt, grass, loose gravel, millings, or other deleterious material upon completion of milling operation. Use only Engineer approved cleaning methods.

Allow three (3) days from installation of rumble strip and retracing of existing stripe. Place striping over the centerline/edgeline rumble strips before nightfall on the 3rd day from when the rumble strips are cut. Clean rumble strip and roadway surface of grease, oil, mud, dust, dirt, grass, loose gravel, millings, or other deleterious material just prior to retracing the existing stripe. Use only Engineer approved cleaning methods.

Milled Centerline Rumble Strips will be measured as the net linear feet of centerline in the cardinal direction. Milled Edgeline and Shoulder Rumble Strips will be measured according to Section 403.04.07. The Department will measure permanent striping according to Section 713.04. The Department will not measure layout, surface preparation, or corrective work and will consider them incidental to installation of the sawed rumble strips and permanent striping.

COORDINATION OF WORK WITH OTHER CONTRACTS

Be advised, there may be an active project(s) adjacent to or within this project. The Engineer will coordinate the work of the Contractors. See Section 105.06.

1-3193 Coordination Contracts 01/02/2012

TRAFFIC CONTROL PLAN

TRAFFIC CONTROL GENERAL

Except as provided herein, traffic shall be maintained in accordance with the current Standard Specifications and the Standard Drawings, current editions. Except for the roadway and traffic control bid items listed, all items of work necessary to maintain and control traffic will be paid at the lump sum bid price to "Maintain and Control Traffic".

Contrary to Section 106.01, traffic control devices used on this project may be new, or used in like new condition, at the beginning of the work and maintained in like new condition until completion of the work.

PROJECT PHASING & CONSTRUCTION PROCEDURES

At the discretion of the Engineer, days and hours may be specified when lane closures will not be allowed. No lane closures will be allowed on the following dates:

Labor Day Weekend, September 1-3, 2012 Thanksgiving Day Weekend, November 22-25, 2012 Christmas Weekend, December 22-25, 2012 New Year's Weekend, December 29, 2012 – January 1, 2013 Memorial Day Weekend, May 25-27, 2013

Striping operations may not be permitted on any roadway during the peak times of the day, holiday periods, or special events as determined by the Engineer

At locations with three or more lanes, maintain one lane of traffic in each direction at all times during construction. On two-lane, two-way highways, the Contractor may maintain alternating one-way traffic during construction. The clear lane width shall be <u>10</u> Feet. If traffic should be stopped due to construction operations, and a school bus on an official run arrives on the scene, the Contractor shall make provisions for the passage of the bus as quickly as possible. The Contractor shall make provisions to the satisfaction of the Engineer to periodically allow traffic to safely pass the train of vehicles in the sawing and striping operation.

LANE CLOSURES

Do not leave lane closures in place during non-working hours.

SIGNS

Contrary to section 112.04.02, only long term signs (signs intended to be continuously in place for more than 3 days) will be measured for payment; short term signs (signs intended to be left in place for 3 days or less) will not be measured for payment but will be incidental to Maintain and Control Traffic.

Individual construction signs will be measured only once for payment, regardless of how many times they are set, reset, removed, and relocated during the duration of the project. Replacements for damaged signs or signs directed to be replaced by the Engineer due to poor legibility or reflectivity will not be measured for payment.

Relocate and reset or cover existing permanent signs as required by the work. Obtain the Engineer's approval before removing or covering an existing sign. The Department will not measure relocating and resetting or covering existing permanent signs, but shall be incidental to Maintain and Control Traffic.

BARRICADES

Barricades used in lieu of barrels and cones for channelization or delineation will be incidental to Maintain and Control Traffic according to Section 112.04.01. Barricades used to protect pavement removal areas will be bid as each according to Section 112.04.04.

COORDINATION OF WORK

Be advised that other projects may be in progress within or in the near vicinity of this project. The traffic control of those projects may affect this project and the traffic control of this project may affect those projects. Coordinate the work on this project with the work of the other contractors. In case of conflict, the Engineer will determine the relative priority to give to work phasing on the various projects.

PAVEMENT STRIPING

If the Contractor desires to deviate from the traffic control scheme outlined in the standard drawings, he shall prepare an alternate plan and present it in writing to the Engineer. This alternate plan can be used only after review and approval of the District and the Divisions of Traffic and Construction

The Contractor's vehicles shall always move with and not across or against the flow of traffic. These vehicles will not be permitted to make U-turns at any location. The striper will not be permitted to stop and back down the road or ramps to stripe gore lines and turn lanes. Vehicles shall enter or leave work areas in a manner that will not be hazardous to, or interfere with, normal traffic flow. Vehicle shall not park or stop except within designated work areas.

Personal vehicles will not be permitted to park within the right-of-way except in specific areas designated by the Engineer.

The paint supply truck shall not be allowed to operate as one of the traffic control vehicles shown on the standard drawings. If one of the required traffic control vehicles experiences mechanical problems or for some other reason is not available to remain in position as shown in the traffic control scheme, the striping operations shall cease until all required vehicles are in place.

PAVEMENT EDGE DROP-OFFS

A pavement edge between opposing directions of traffic or lanes that traffic is expected to cross in a lane change situation shall not have an elevation difference greater than 1½". Warning signs (MUTCD W8-9 or W8-9A, or W8-11) shall be placed in advance of and at 1500 feet intervals throughout the drop-off area. Dual posting on both sides of the traveled way shall be required. All transverse transitions between newly surfaced pavement and the existing pavement areas that traffic may cross shall be wedged with asphalt mixture for leveling and wedging. Remove wedges prior to placement of the final surface course.

Pavement edges that traffic is not expected to cross, except accidentally, shall be treated as follows:

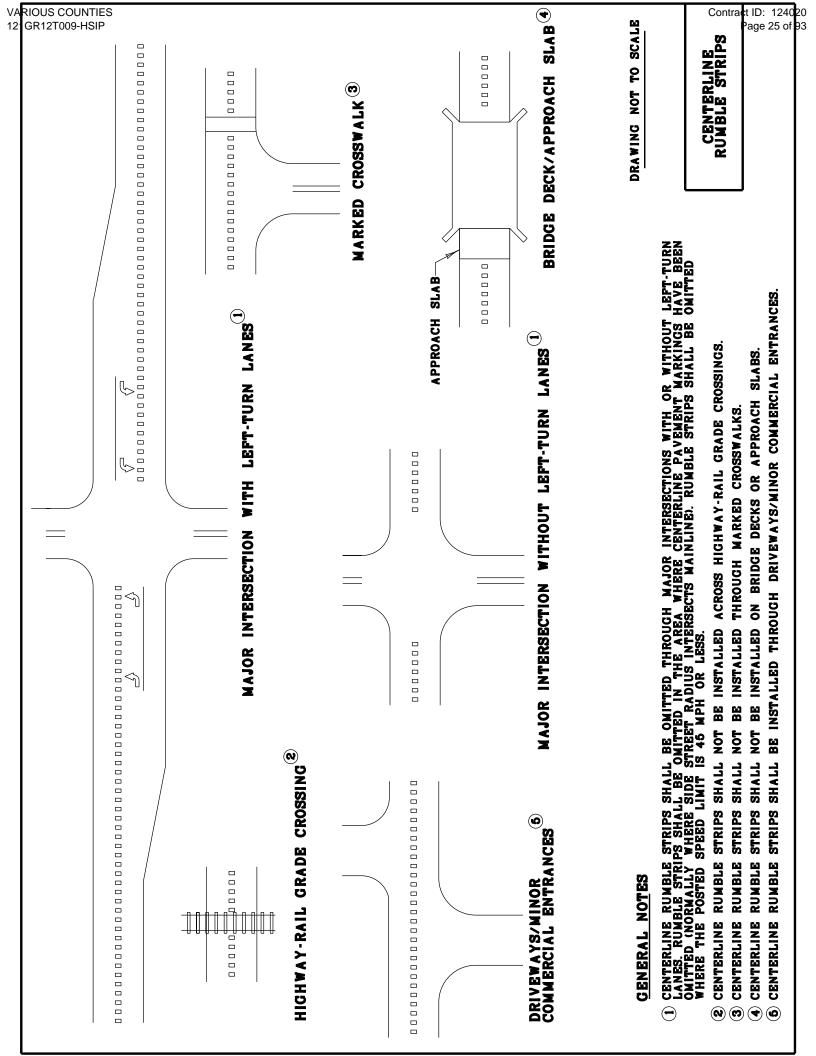
Less than 2" - No protection required.

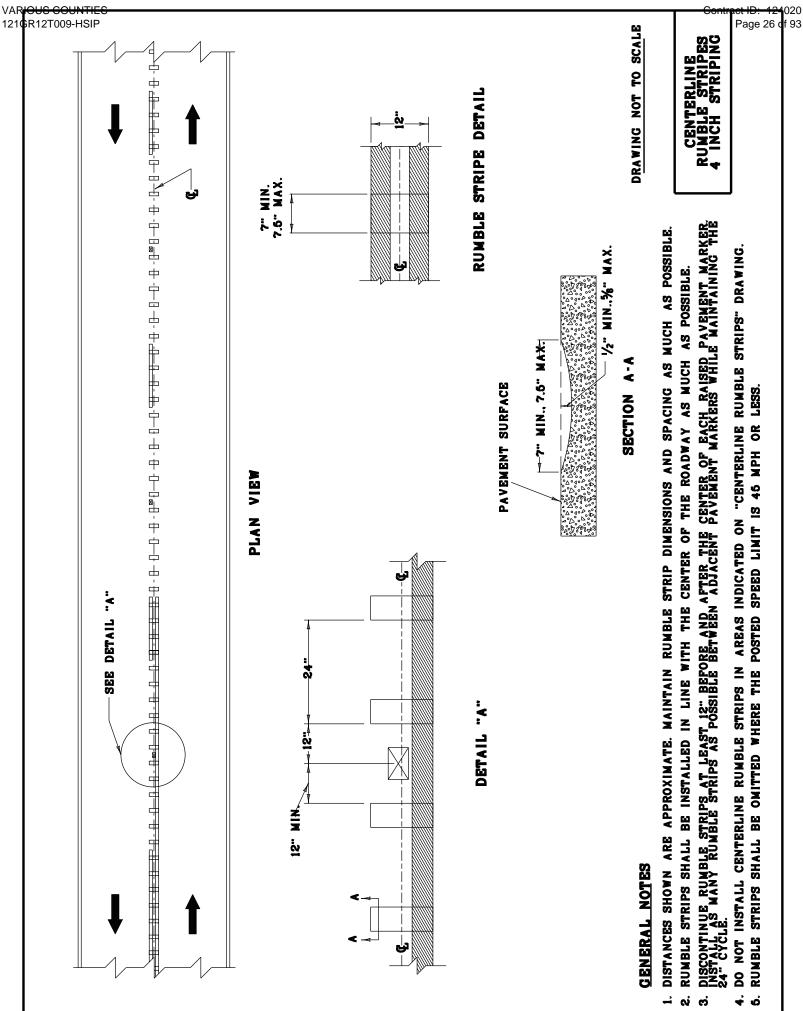
2" to 4" - Place plastic drums, vertical panels, or barricades every 50 feet. Cones may be used in place of plastic drums, panels, and barricades during daylight working hours. Wedge with asphalt mixture for leveling and wedging with a 1:1 or flatter slope in daylight hours, or 3:1 or flatter slope during nighttime hours, when work is not active in the drop-off area.

SPECIAL NOTE FOR CENTERLINE RUMBLE STRIPES

Construct Centerline Rumble Stripes at the locations listed on the Typical Sections. The milepoints listed are approximate only; the Engineer will determine exact milepoints at the time of construction. Contrary to Section 713, the existing striping pattern may change on the project. See attached drawings for Centerline Rumble Stripe Installation Details. Unless directed otherwise by the Engineer, DO NOT install Centerline Rumble Stripes where the posted speed limit is 45 MPH or less. If questions arise regarding changes in striping patterns or termini of the Centerline Rumble Stripes, obtain guidance from the District Traffic Engineer.

1-3883 Centerline Rumble Stripes 1/18/2012





2T009-HSIP				Page 2
	Right-of-Way Ce	ertification	Form	Revised 2/22/11
Fee	leral Funded	✓ Origina	I	
	te Funded		tification	
Interstate, Appalach projects that fall und apply, KYTC shall re	completed and submitted to FHWA with hia, and Major projects. This form shall der Conditions No. 2 or 3 outlined elsew esubmit this ROW Certification prior to o this form shall be completed and retain	also be submitted here in this form. construction contr	to FHWA for <u>all</u> federal When Condition No. 2 d act Award. For all other	or 3
Date: 5/29/2012				
Project Name:	Rumble Retrofit	Letting Dat	e. July 2012	
Project #:	8578701C	County:	Various (see attachm	ent)
Item #:	99-911.01	Federal #:	HSIP 9010 (064)	
	Project: Installation of rumble string	•		 4\
Decemption of t	Project: Installation of rumble strips	s on various ro	utes (see attachmen	t).
Per 23 CFR sanitary hou accordance	quire new or additional right-or 6 635.309, the KYTC hereby certify that using or that KYTC has made available with the provisions of the current FHW. Assistance Program and that at least or upply.)	all relocatees hav to relocatees ade A directive(s) cov	ve been relocated to dec quate replacement hous ering the administration	ent, safe, and ing in of the Highway
been ac court bu right-of- posses	ion 1. All necessary rights-of-way, inclucquired including legal and physical posult legal possession has been obtained. way, but all occupants have vacated the sion and the rights to remove, salvage, walue has been paid or deposited with t	session. Trial or There may be so e lands and impro or demolish all im	appeal of cases may be me improvements remain proments, and KYTC has	pending in ning on the s physical
to use a appeal been of vacated improve market	ion 2. Although all necessary rights-of- all rights-of-way required for the proper of of some parcels may be pending in cou btained, but right of entry has been obta d, and KYTC has physical possession an ements. Fair market value has been par value for all pending parcels will be paid ction contract. (See note 1 below.)	execution of the p rt and on other pa ined, the occupa nd right to remove id or deposited w	roject has been acquired arcels full legal possession hts of all lands and impro- e, salvage, or demolish a vith the court for most pa	d. Trial or on has not ovements have III rcels. Fair
of a full	te 1: The KYTC shall re-submit a right- II Federal-Aid construction contracts. A legal possession and fair market value FHWA has concurred in the re-submitt	ward must not to for all parcels has	be made until after KYT been paid or deposited	C has obtained
	Page :	1		

Right-of-Way Certification Form

Revised 2/22/11

□ Condition 3. The acquisition or right of occupancy and use of a few remaining parcels are not complete and/or some parcels still have occupants. However, <u>all remaining occupants</u> have had replacement housing made available to them in accordance with 49 CFR 24.204. The KYTC is hereby requesting authorization to advertise this project for bids and to proceed with bid letting even though the necessary rights-of-way will not be fully acquired, and/or some occupants will not be relocated, and/or the fair market value will not be paid or deposited with the court for some parcels until after bid letting. KYTC will fully meet all the requirements outlined in 23 CFR 635.309(c)(3) and 49 CFR 24.102(j) and will expedite completion of all acquisitions, relocations, and full payments after bid letting and prior to AWARD of the construction contract or force account construction. A full explanation and reason for this request, including identification of each such parcel and dates on which acquisitions, payments, and relocations will be completed, is attached to this certification form for FHWA concurrence. (See note 2.)

Note 2: The KYTC may request authorization on this basis only in unique and unusual circumstances. Proceeding to bid letting shall be the exception and never become the rule. In all cases, the KYTC shall make extraordinary efforts to expedite completion of the acquisition, payment for all affected parcels, and the relocation of all relocatees prior to AWARD of all Federal-Aid construction contracts or force account construction.

Approved:	Printed Name	Signature	Right-of-Way Supervisor
Approved:	Printed Name	Signature	KYTC, Director of ROW &Utilities
Approved:	Printed Name	Signature	FHWA, ROW Officer (when applicable)
		Page 2	

		I	Right-of-Way Ce	ertification	Form	Revised 2/22/11
Date: 5/2	9/2012	2				
Jaie		Dumble	Dotrofit			
Project I	name.		Retrofit	-	Various	
Project #	#.	8578701C		County:	Various	
Item #:		99-911.01		Federal #:	HSIP 9010 (0	064)
Letting [Date:	July 2012		-		
This project be relocated	has <u>0</u> I, as well	total num ⊨as _o te	nber of parcels to be acquir otal number of businesses	ed, and <u>0</u> tot to be relocated.	al number of in	dividuals or families to
0	Parcels	where acqu	ired by a signed fee simple	deed and fair ma	rket value has l	been paid
	Parcels with the		acquired by IOJ through co	ndemnation and f	air market value	e has been deposited
0	Parcels	have not be	en acquired at this time (ex	oplain below for ea	ach parcel	
0	Parcels	have been a	acquired or have a "right of	entry" but fair ma		not been paid or has not
		•	the court <i>(explain below for</i> the court <i>(explain below for</i> the court <i>(explain below for the court of the </i>	. ,	0 0	0 _{nn} 0
Parcel #	Name	e/Station	Explanation for dela relocation, or delayed			Proposed date of payment or of relocation
There a	re_0	_ billboards a	and/or cemeteries in	volved on this pro	ject.	
acquired	d and are	e the respon	onitoring wells on parcels _ sibility of the project contra	$\frac{0}{\text{ctor to close/cap.}}, \frac{0}{0}, \frac{0}{0},$	0_, _{and} 0_	All have been
		Date: April February 22				
			Page	0		

SPECIAL NOTES FOR UTILITY CLEARANCE IMPACT ON CONSTRUCTION

Statewide Rumble Strip Retro-fit

The Contractor is advised to contact the BUD one-call system; however, the Contractor should be aware that owners of underground facilities are not required to be members of the BUD one-call system. It may be necessary for the Contractor to contact the County Court Clerk to determine what utility companies have facilities in the project area. BUD NUMBER: (Call Before You Dig) Telephone Number: 811 or 1-800-752-6007

The Contractor may not enter these areas to perform any work without permission from the Cabinet's Resident Engineer. Permission to work in these areas shall not be granted unless the Contractor has submitted a detailed work plan outlining the following:

- 1. the type of work to be performed,
- 2. a schedule of the work,
- 3. a plan that shows how the Contractor will coordinate with utility companies and their contractors,
- 4. the methods the Contractor will adopt to protect existing utilities.

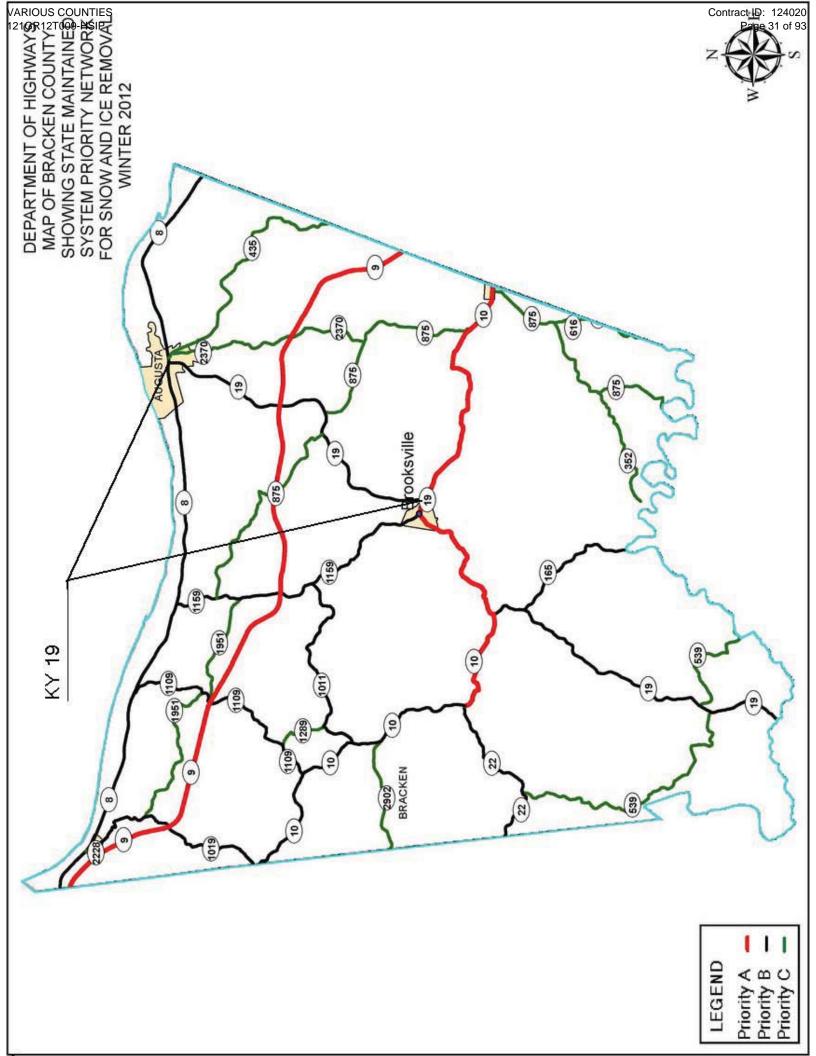
COORDINATION WITH UTILITY FACILITY OWNERS

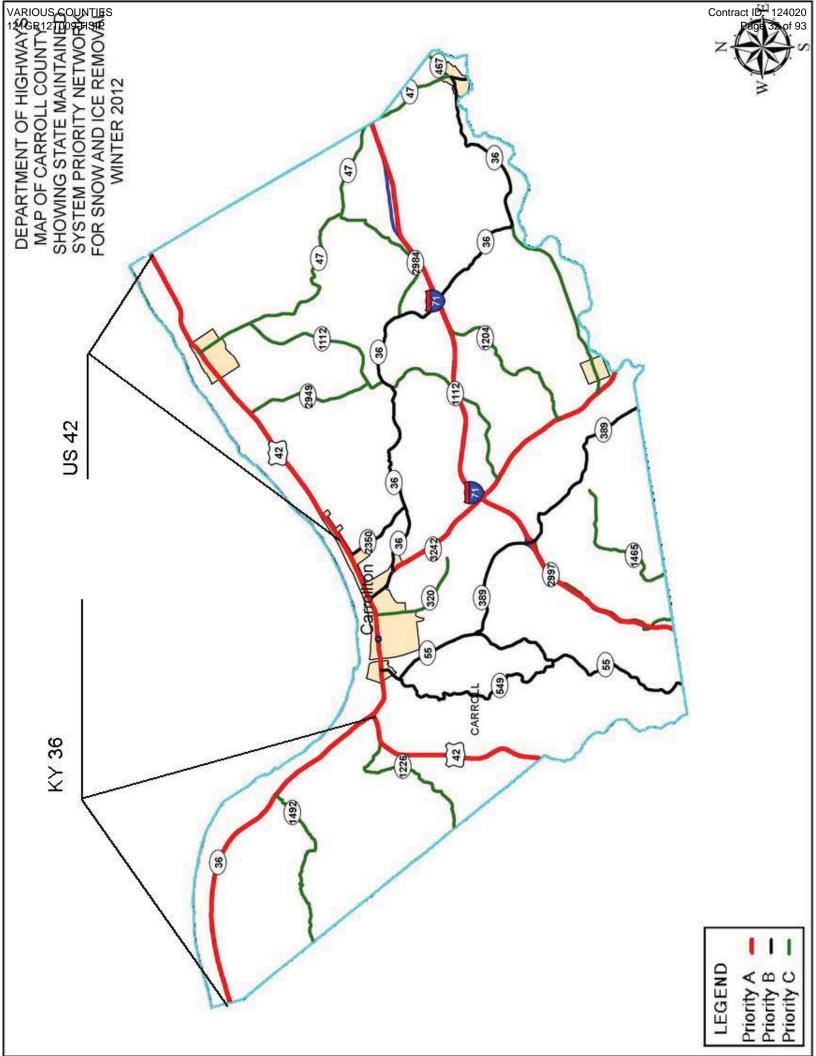
The Contractor will be responsible for contacting all utility facility owners on the subject project to have existing facilities located in the field. The Contractor will coordinate his activities with the utility facility owners to minimize and, where possible, avoid conflicts with utility facilities.

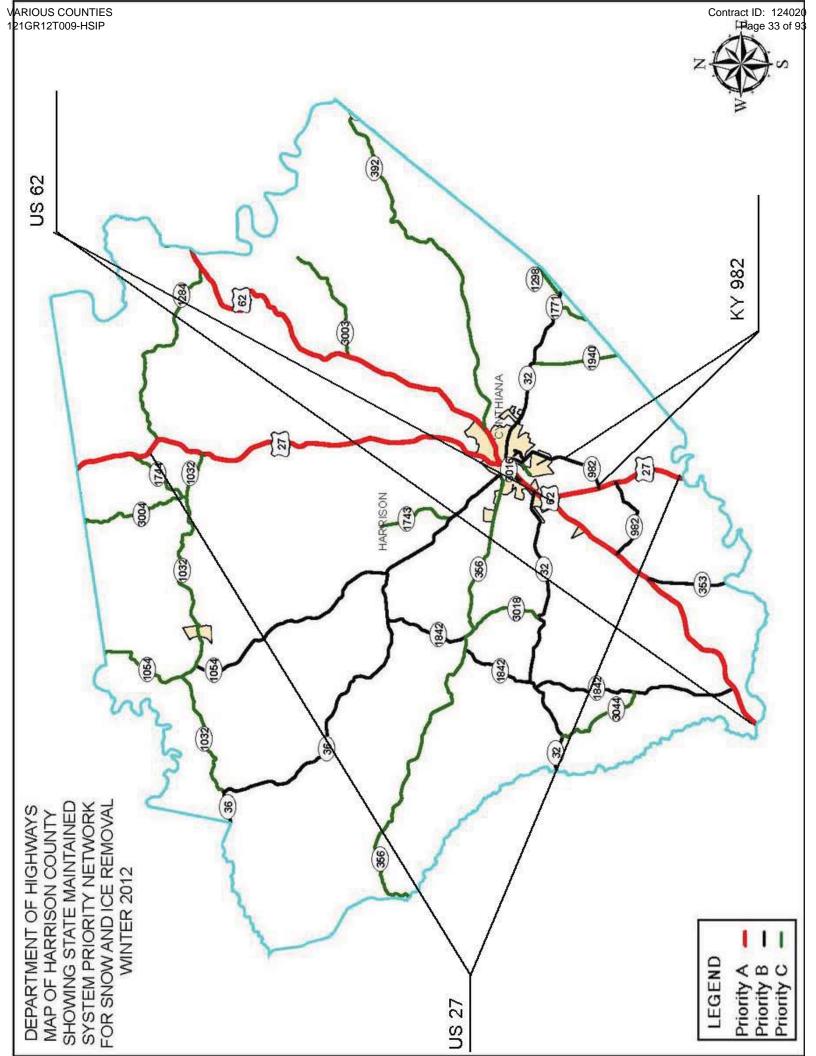
Where conflicts with utility facilities are unavoidable the Contractor will coordinate any necessary relocation work with the facility owner. <u>There will be no damages awarded for delays caused</u> by necessary utility relocations and/or adjustments.

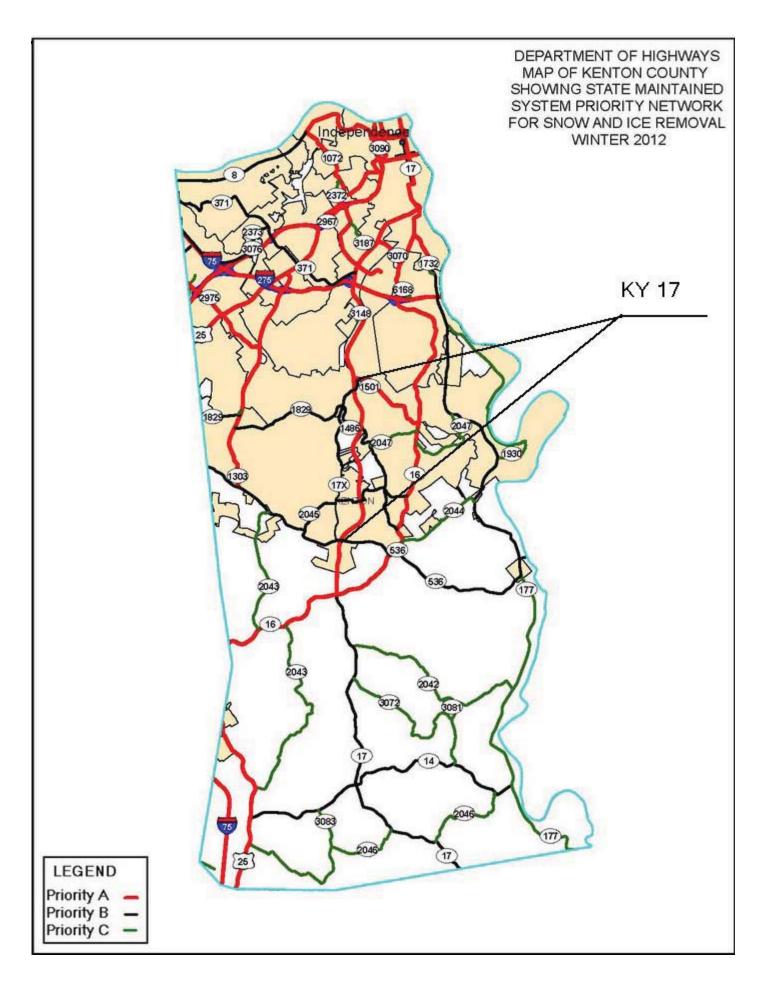
PROTECTION OF UTILITY FACILITIES

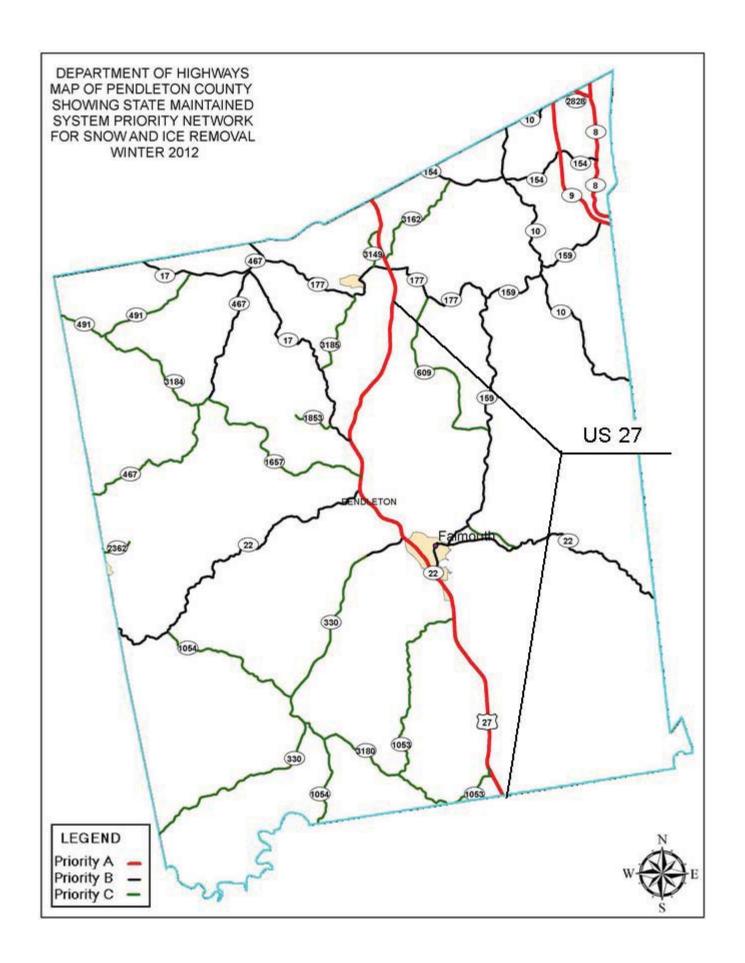
The location of utility facilities shown on the plans may not be exact or complete. It will be the Contractor's responsibility to locate the utility facilities before excavation by calling the utility facility owner and/or the BUD one-call system. The Contractor shall determine the exact location and elevation of underground utility facilities by hand digging to expose utilities before beginning excavation in the area of underground utility facilities. The cost for repair and any other associated costs for any damage to utility facilities caused by the Contractor's operation will be borne by the Contractor. In instances where a utility impact is identified by these field measures, the Cabinet will determine if the utility may be avoided by minor redesign, or if the impacting scoped work must be removed. The Contractor accepts that minor redesign or scope revisions may occur if impacts are identified.

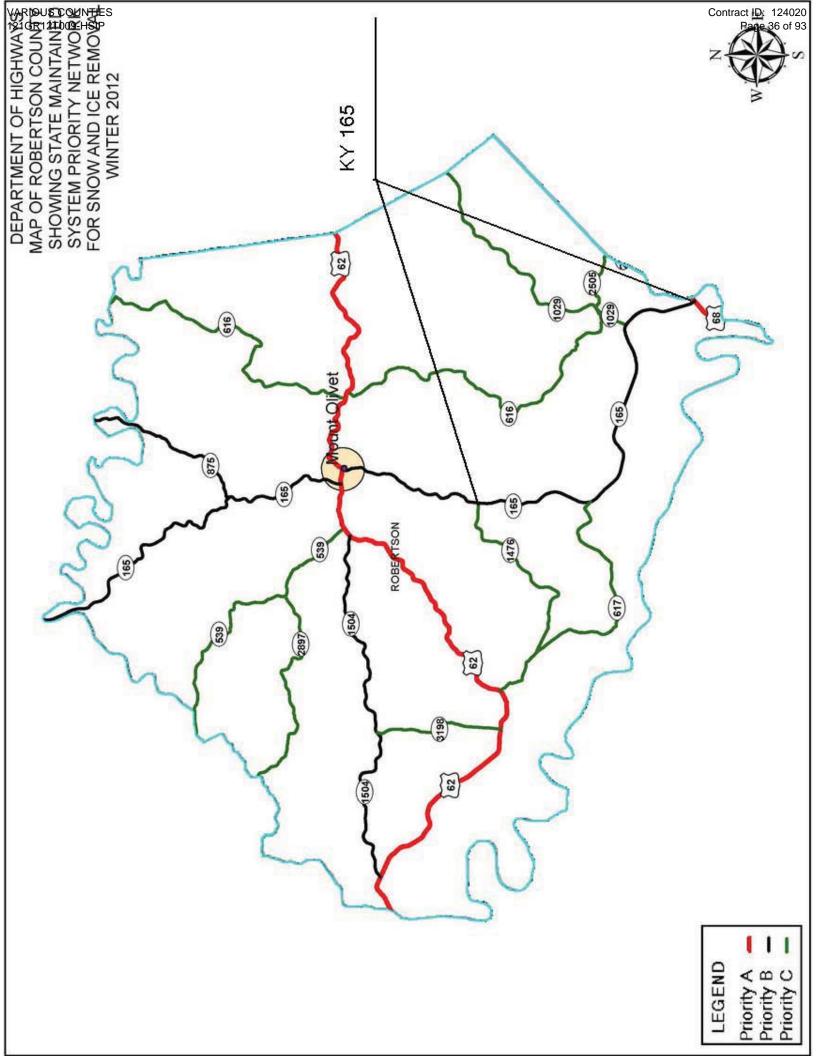


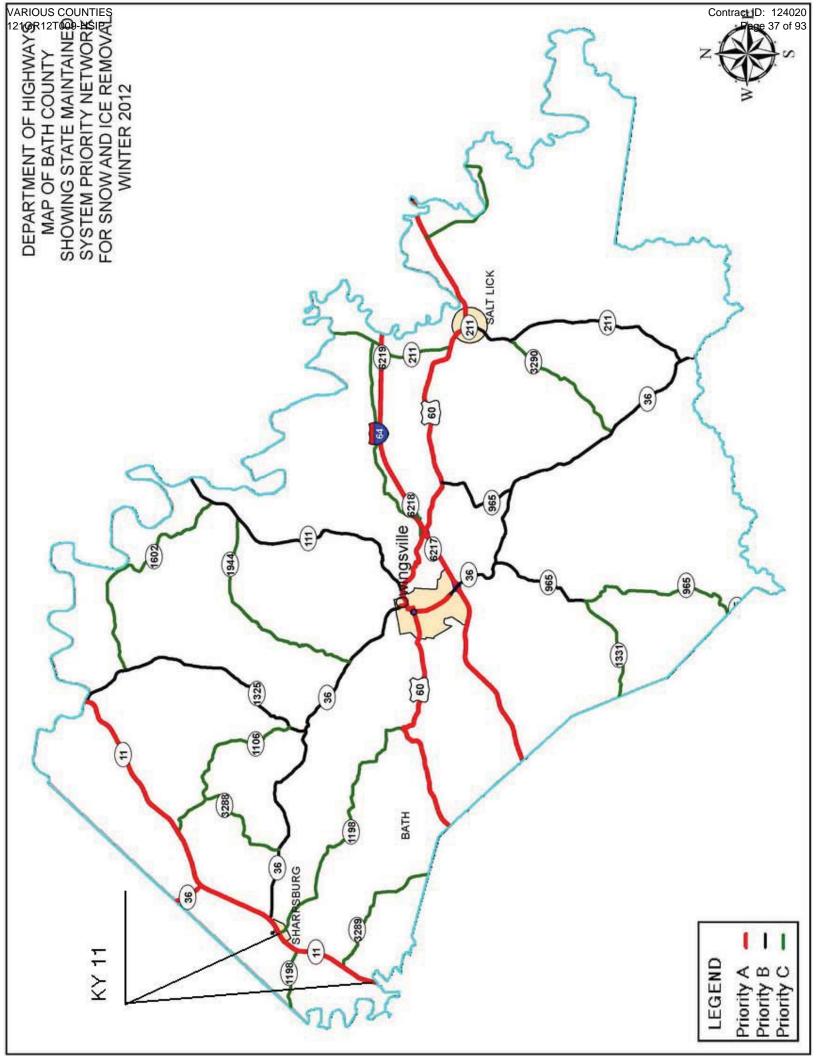


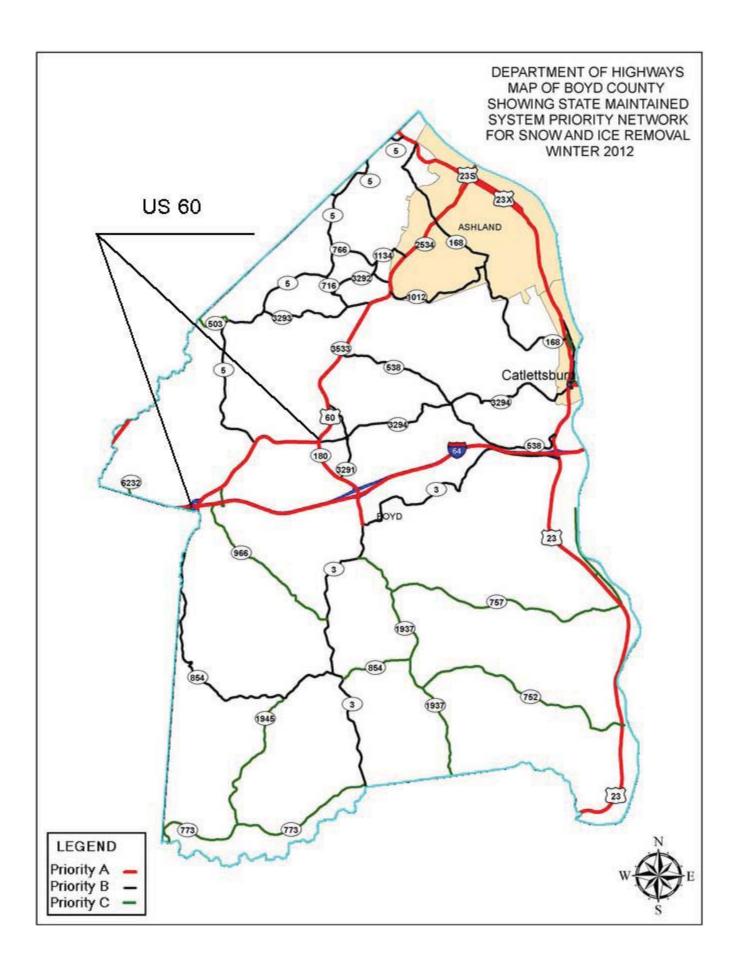


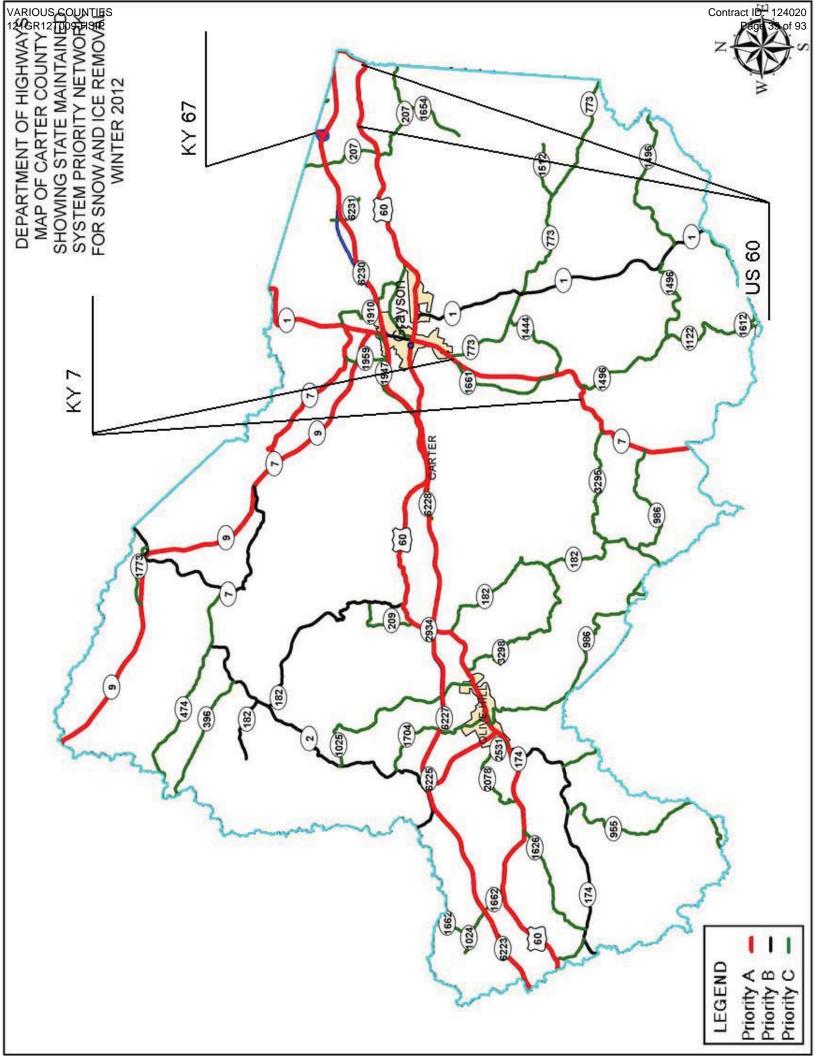


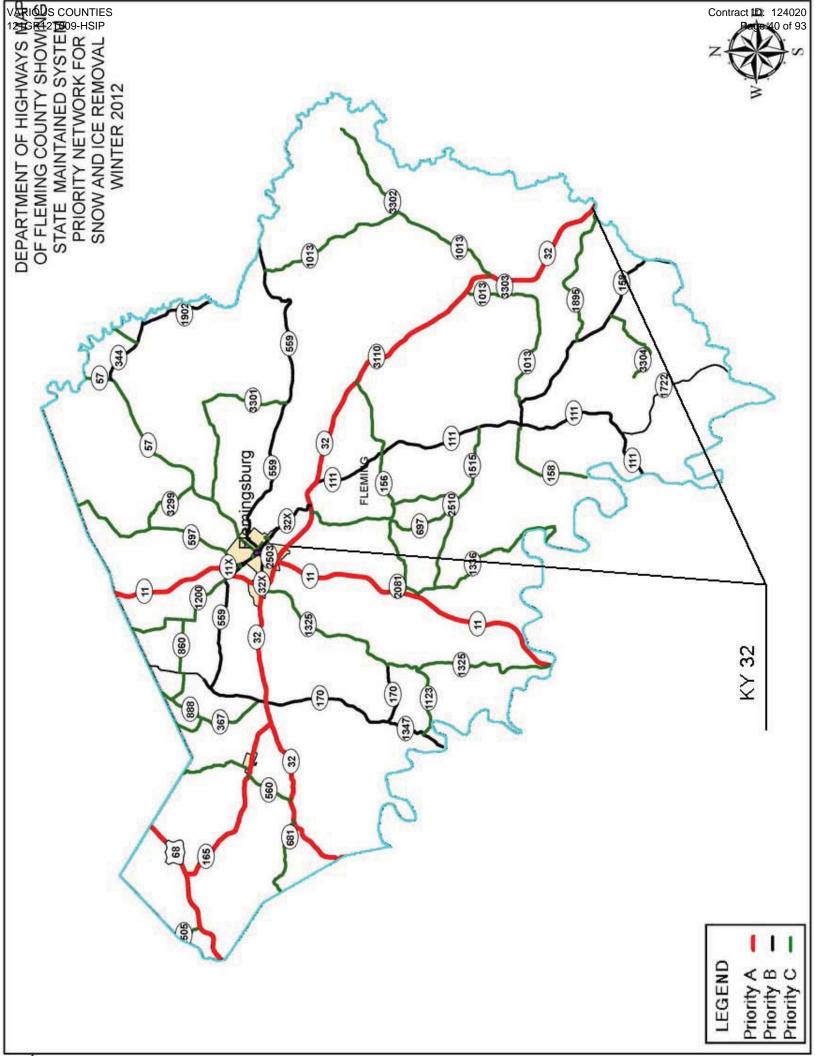


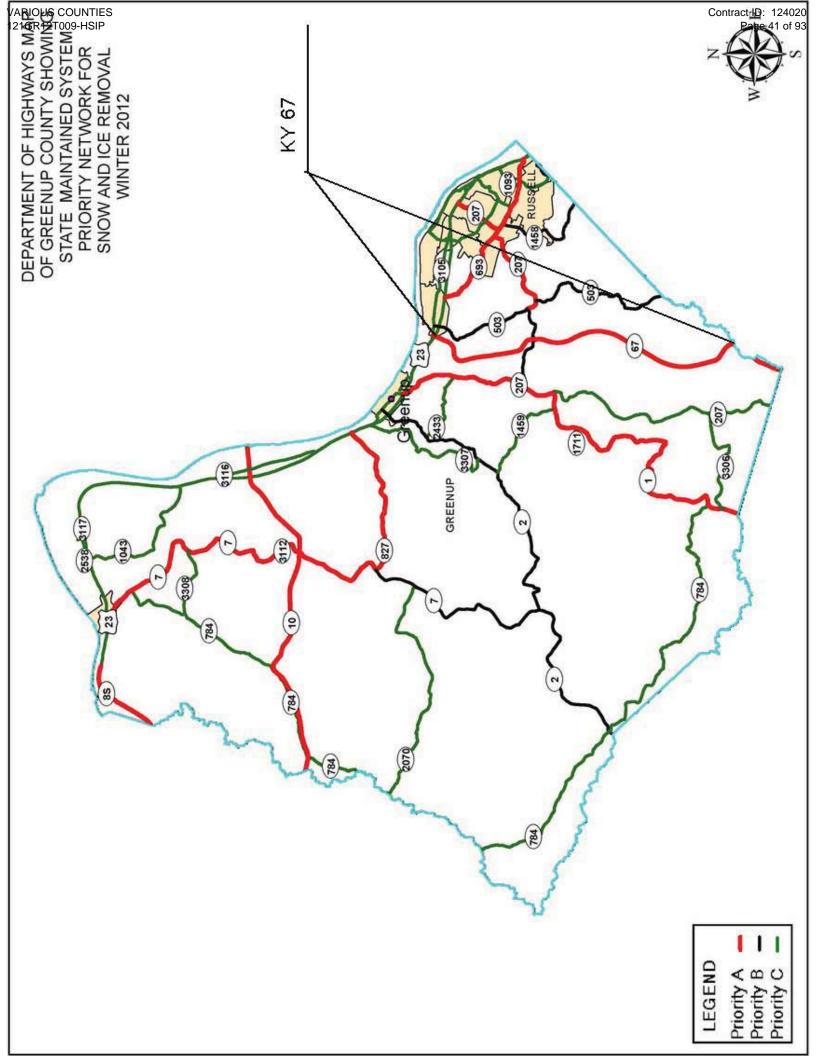


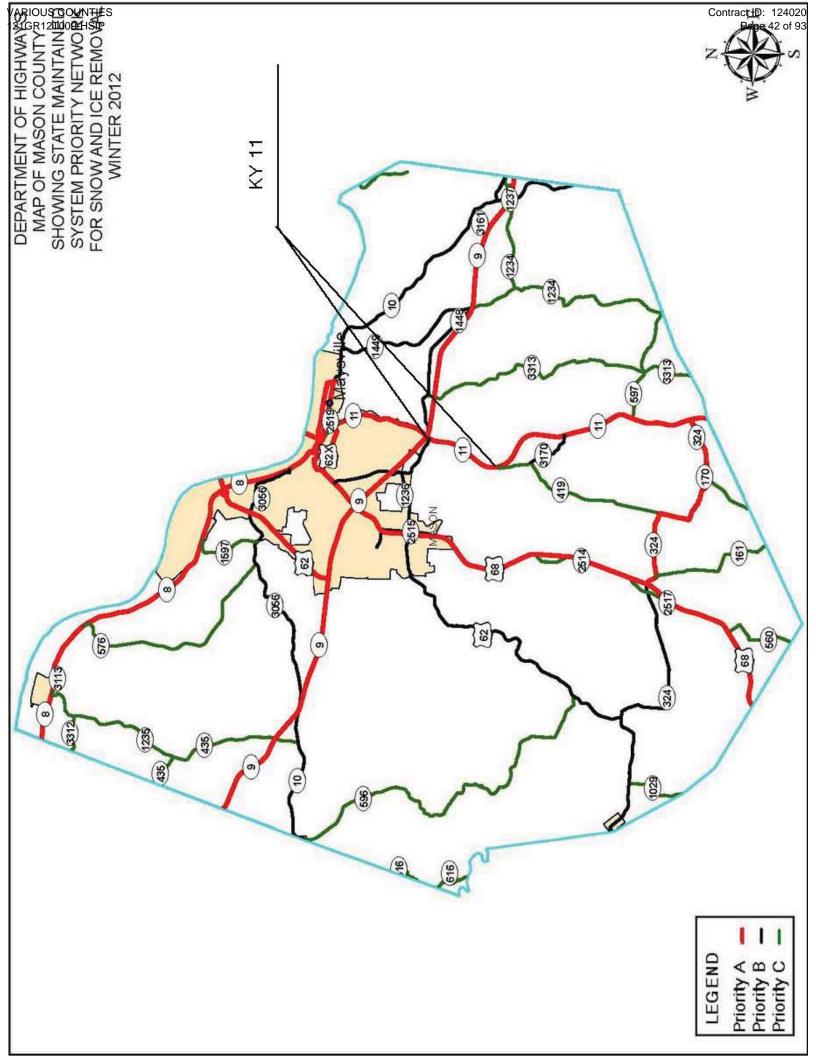












MATERIAL SUMMARY

CONTRACT ID: 124020

HSIP 9010 (064) PES NO: 0601200191201 AUGUSTA-CHATHAM ROAD (KY 19) FROM KY 10 (MP 8.550) EXTENDING NORTH TO KY 8 (MP 17. 020), A DISTANCE OF 8.470000 MILES.

LINE NO 0010 0020	BID CODE 02562 02650	DESCRIPTION SIGNS MAINTAIN & CONTROL TRAFFIC BRACKEN KY 19	QUANTITY 180.00 1.00	UNIT SQFT LS
0030 0040 0050 0060	02775 06514 20458ES403 02569	ARROW PANEL PAVE STRIPING-PERM PAINT-4 IN CENTERLINE RUMBLE STRIPS DEMOBILIZATION	76,027.00 44,722.00	EACH LF LF LS

HSIP 9010 (064) PES NO: 0602100361202 MILTON-CARROLLTON ROAD (KY 36) FROM TRIMBLE COUNTY LINE (MP 0.000) EXTENDING EAST TO US 42 (MP 8.130), A DISTANCE OF 8.130000 MILES.

LINE NO 0010 0020	BID CODE 02562 02650	DESCRIPTION SIGNS MAINTAIN & CONTROL TRAFFIC CARROLL KY 36	QUANTITY 180.00 1.00	UNIT SQFT LS
0030 0040 0050 0060	02775 06514 20458ES403 02569	ARROW PANEL PAVE STRIPING-PERM PAINT-4 IN CENTERLINE RUMBLE STRIPS DEMOBILIZATION	1.00 72,974.00 42,926.00 1.00	EACH LF LS

HSIP 9010 (064) PES NO: 0602100421201 CARROLLTON-GHENT ROAD (US 42) FROM 238 FEET EAST OF BURLEY ESTATES ROAD (MP 9.200) EXTENDING EAST TO GALLATIN COUNTY LINE (MP 16.500), A DISTANCE OF 7.300000 MILES.

LINE NO 0010 0020	BID CODE 02562 02650	DESCRIPTION SIGNS MAINTAIN & CONTROL TRAFFIC CARROLL US 42	QUANTITY 180.00 1.00	UNIT SQFT LS
0030 0040 0050 0060	02696 02775 06514 20458ES403	SHOULDER RUMBLE STRIPS-SAWED ARROW PANEL PAVE STRIPING-PERM PAINT-4 IN	9,504.00 1.00 21,542.00	LF EACH LF
0060	20458ES403 02569	CENTERLINE RUMBLE STRIPS DEMOBILIZATION	12,672.00 1.00	LF LS

HSIP 9010 (064) PES NO: 0604900271201 PARIS-FALMOUTH ROAD (US 27) FROM BOURBON COUNTY LINE (MP 0.000) EXTENDING NORTH TO 0. 376 MILES NORTH OF KY 1284 (MP 17.000), A DISTANCE OF 17.000000 MILES.

LINE NO 0010 0020	BID CODE 02562 02650	DESCRIPTION SIGNS MAINTAIN & CONTROL TRAFFIC HARRISON US 27	QUANTITY 180.00 1.00	UNIT SQFT LS
0030 0040 0050 0060	02696 02775 06514 20458ES403	SHOULDER RUMBLE STRIPS-SAWED ARROW PANEL PAVE STRIPING-PERM PAINT-4 IN CENTERLINE RUMBLE STRIPS	71,808.00 1.00 98,075.00 57,689.00	LF EACH LF LF
0070	02569	DEMOBILIZATION	1.00	LS

0020

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CONTRACT ID: 124020 MATERIAL SUMMARY HSIP 9010 (064) PES NO: 0604900621201 GEORGETOWN-CYTHIANA ROAD (US 62) FROM BOURBON COUNTY LINE (MP 0.000) EXTENDING EAST TO KY 353 (MP 5.600), A DISTANCE OF 5.600000 MILES. LINE NO BID CODE DESCRIPTION QUANTITY UNIT SIGNS 0010 02562 180.00 SQFT 02650 MAINTAIN & CONTROL TRAFFIC 1.00 LS HARRISON US 62 17,340.00 LF SHOULDER RUMBLE STRIPS-SAWED 02696
 0040
 02775
 ARROW PANEL

 0050
 06514
 PAVE STRIPIN
 06514PAVE STRIPING-PERM PAINT-4 IN20458ES403CENTERLINE RUMBLE STRIPS02569DEMORTITZATION 1.00 EACH 50,266.00 LF 29,568.00 LF 1.00 LS PES NO: 0604909821201 HSIP 9010 (064) NEW LAIR ROAD (KY 982) FROM US 27 (MP 2.580) EXTENDING EAST TO SAFETY WAY (MP 4.480), A DISTANCE OF 1.900000 MILES. LINE NO BID CODE DESCRIPTION OUANTITY UNIT 0010 02562 SIGNS 180.00 SOFT 02650 MAINTAIN & CONTROL TRAFFIC 1.00 LS HARRISON KY 982 0040 02775 0050 06514 1.00 EACH ARROW PANEL PAVE STRIPING-PERM PAINT-4 IN 17,054.00 LF 0060 20458ES403 CENTERLINE RUMBLE STRIPS 10,032.00 LF 0070 02569 DEMOBILIZATION 1.00 LS HSIP 9010 (064) PES NO: 0605900171201 MADISON PIKE (KY 17) FROM KY 536 (MP 11.000) EXTENDING NORTH TO KY 1501 (MP 15.830), A DISTANCE OF 4.830000 MILES. LINE NO BID CODE DESCRIPTION QUANTITY UNIT 0010 02562 180.00 SOFT STGNS 02650 MAINTAIN & CONTROL TRAFFIC 1.00 LS KENTON KY 17 003002696004002775007002569 SHOULDER RUMBLE STRIPS-SAWED 102,009.00 LF ARROW PANEL 1.00 EACH 1.00 LS DEMOBILIZATION HSIP 9010 (064) PES NO: 0609600271201 CYNTHIANA-FALMOUTH ROAD (US 27) FROM HARRISON COUNTY LINE (MP 0.000) EXTENDING NORTH TO 317 FEET SOUTH OF VALLEY VIEW LANE (MP 16.400), A DISTANCE OF 16.400000 MILES. LINE NO BID CODE DESCRIPTION OUANTITY UNIT 0010 02562 180.00 SQFT STGNS 02650 MAINTAIN & CONTROL TRAFFIC 1.00 LS PENDLETON US 27 02696 29,779.00 LF SHOULDER RUMBLE STRIPS-SAWED 02775 ARROW PANEL 06514 PAVE STRIPT 1.00 EACH PAVE STRIPING-PERM PAINT-4 IN CENTERLINE RUMBLE STRIPS 06514 87,247.00 LF 2045om 02569 20458ES403 51,322.00 LF 1.00 LS DEMOBILIZATION

MATERIAL SUMMARY

CONTRACT ID: 124020

HSIP 9010 (064) PES NO: 0610101651201 BLUE LICK PIKE (KY 165) FROM US 68 (MP 0.000) EXTENDING NORTH TO KY 1476 (MP 6.900), A DISTANCE OF 6.900000 MILES.

LINE NO	BID CODE	DESCRIPTION	QUANTITY	UNIT
0010	02562	SIGNS	180.00	SQFT
0020	02650	MAINTAIN & CONTROL TRAFFIC	1.00	LS
		ROBERTSON KY 165		
0040	02775	ARROW PANEL	1.00	EACH
0050	06514	PAVE STRIPING-PERM PAINT-4 IN	32,314.00	LF
0060	20458ES403	CENTERLINE RUMBLE STRIPS	19,008.00	LF
0070	02569	DEMOBILIZATION	1.00	LS
0070	02569	DEMOBILIZATION	1.00	LS

HSIP 9010 (064) PES NO: 0900600111201 MAYSVILLE ROAD (KY 11) FROM MONTGOMERY COUNTY LINE (MP 0.000) EXTENDING NORTH TO 200 FEET SOUTH OF KY 1198 (MP 2.890), A DISTANCE OF 2.890000 MILES.

LINE NO 0010 0020	BID CODE 02562 02650	DESCRIPTION SIGNS MAINTAIN & CONTROL TRAFFIC BATH KY 11	QUANTITY 180.00 1.00	UNIT SQFT LS
0040 0050 0060 0070	02775 06514 20458ES403 02569	ARROW PANEL PAVE STRIPING-PERM PAINT-4 IN CENTERLINE RUMBLE STRIPS DEMOBILIZATION	1.00 25,959.00 15,270.00 1.00	EACH LF LS

HSIP 9010 (064) PES NO: 0901000601201 COALTON-CANNONSBURG ROAD (US 60) FROM CARTER COUNTY LINE (MP 0.000) EXTENDING EAST TO KY 180 (MP 4.020), A DISTANCE OF 4.020000 MILES.

LINE NO 0010 0020	BID CODE 02562 02650	DESCRIPTION SIGNS MAINTAIN & CONTROL TRAFFIC BOYD US 60	QUANTITY 180.00 1.00	UNIT SQFT LS
0040 0050 0060 0070	02775 06514 20458ES403 02569	ARROW PANEL PAVE STRIPING-PERM PAINT-4 IN CENTERLINE RUMBLE STRIPS DEMOBILIZATION	1.00 36,084.00 21,226.00 1.00	EACH LF LS

HSIP 9010 (064) PES NO: 0902200071201 SOPHI-GRAYSON ROAD (KY 7) FROM 0.189 MILES SOUTH OF KY 1496 (MP 4.600) EXTENDING NORTH TO 500 FEET SOUTH OF KY 773 (MP 9.700), A DISTANCE OF 5.100000 MILES.

LINE NO 0010 0020	BID CODE 02562 02650	DESCRIPTION SIGNS MAINTAIN & CONTROL TRAFFIC CARTER KY 7	QUANTITY 180.00 1.00	UNIT SQFT LS
0040	02775	ARROW PANEL	1.00	EACH
0050	06514	PAVE STRIPING-PERM PAINT-4 IN	45,778.00	LF
0060	20458ES403	CENTERLINE RUMBLE STRIPS	26,928.00	LF
0070	02569	DEMOBILIZATION	1.00	LS

MATERIAL SUMMARY

CONTRACT ID: 124020

HSIP 9010 (064) PES NO: 0902200601201 GRAYSON-ASHLAND ROAD (US 60) FROM 0.1 MILES WEST OF FRYER HOLLOW (MP 30.020) EXTENDING EAST TO BOYD COUNTY LINE (MP 34.850), A DISTANCE OF 4.830000 MILES.

LINE NO 0010 0020	BID CODE 02562 02650	DESCRIPTION SIGNS MAINTAIN & CONTROL TRAFFIC CARTER US 60	QUANTITY 180.00 1.00	UNIT SQFT LS
0040 0050 0060 0070	02775 06514 20458ES403 02569	ARROW PANEL PAVE STRIPING-PERM PAINT-4 IN CENTERLINE RUMBLE STRIPS DEMOBILIZATION	45,024.00 26,484.00	EACH LF LF LS

HSIP 9010 (064) PES NO: 0902200671201 INDUSTRIAL PARK ACCESS ROAD (KY 67) FROM I-64 OVERPASS (MP 0.000) EXTENDING NORTH TO GREENUP COUNTY LINE (MP 0.340), A DISTANCE OF 0.340000 MILES.

LINE NO 0010 0020	BID CODE 02562 02650	DESCRIPTION SIGNS MAINTAIN & CONTROL TRAFFIC CARTER KY 67	QUANTITY 180.00 1.00	UNIT SQFT LS
0030	02696	SHOULDER RUMBLE STRIPS-SAWED	3,950.00	$_{ m LF}$
0040	02775	ARROW PANEL	1.00	EACH
0050	06514	PAVE STRIPING-PERM PAINT-4 IN	3,052.00	\mathbf{LF}
0060	20458ES403	CENTERLINE RUMBLE STRIPS	1,795.00	$_{ m LF}$
0070	02569	DEMOBILIZATION	1.00	LS

HSIP 9010 (064) PES NO: 0903500321201 MOREHEAD ROAD (KY 32) FROM 0.215 MILES EAST OF KY 11 (MP 10.830) EXTENDING EAST TO KY 1895 (MP 28.280), A DISTANCE OF 17.450000 MILES.

LINE NO 0010 0020	BID CODE 02562 02650	DESCRIPTION SIGNS MAINTAIN & CONTROL TRAFFIC FLEMING KY 32	QUANTITY 180.00 1.00	UNIT SQFT LS
0040 0050 0060 0070	02775 06514 20458ES403 02569	ARROW PANEL PAVE STRIPING-PERM PAINT-4 IN CENTERLINE RUMBLE STRIPS DEMOBILIZATION	156,604.00	EACH LF LS

HSIP 9010 (064) PES NO: 0904500671201 INDUSTRIAL PARKWAY (KY 67) FROM CARTER COUNTY LINE (MP 0.000) EXTENDING NORTH TO KY 3105 (MP 13.040), A DISTANCE OF 13.040000 MILES.

LINE NO	BID CODE	DESCRIPTION	QUANTITY	UNIT
0010	02562	SIGNS	180.00	SQFT
0020	02650	MAINTAIN & CONTROL TRAFFIC	1.00	LS
0030 0040 0050 0060 0070	02696 02775 06514 20458ES403 02569	GREENUP KY 67 SHOULDER RUMBLE STRIPS-SAWED ARROW PANEL PAVE STRIPING-PERM PAINT-4 IN CENTERLINE RUMBLE STRIPS DEMOBILIZATION	1.00 117,047.00	LF EACH LF LF LS

MATERIAL SUMMARY		CONTRACT ID:	124020
. , ,	FROM 0.22 MILES NORTH OF KY 419 (MP 6.500) 1448 (MP 8.150), A DISTANCE OF 1.650000 N		
LINE NO BID CODE 0010 02562 0020 02650	DESCRIPTION SIGNS MAINTAIN & CONTROL TRAFFIC	QUANTITY 180.00 1.00	UNIT SQFT LS
0020 02030	MAINTAIN & CONTROL TRAFFIC MASON KY 11 ARROW PANEL	1.00	EACH
0050 06514	PAVE STRIPING-PERM PAINT-4 IN	14,810.00	
0060 20458ES403	CENTERLINE RUMBLE STRIPS	8,712.00	LF
0070 02569	DEMOBILIZATION	1.00	LS

District	County	Route	BMP	EMP	Length	Туре
6	Bracken	KY 19	8.55	17.02	8.47	CLRS
6	Carroll	KY 36	0.00	8.13	8.13	CLRS
6	Carroll	US 42	9.20	10.10	0.90	SRS
6	Carroll	US 42	14.10	16.50	2.40	CLRS
6	Harrison	KY 982	2.58	4.48	1.90	CLRS and SRS
6	Harrison	US 27	0.00	4.13	4.13	CLRS
6	Harrison	US 27	10.20	17.00	6.80	CLRS and SRS
6	Harrison	US 62	0.00	5.60	5.60	CLRS
6	Kenton	KY 17	11.00	15.83	4.83	SRS
6	Pendleton	US 27	0.00	6.90	6.90	CLRS
6	Pendleton	US 27	13.58	16.40	2.82	CLRS and SRS
6	Robertson	KY 165	0.00	1.50	1.50	CLRS
6	Robertson	KY 165	4.80	6.90	2.10	CLRS
9	Bath	KY 11	0.00	2.89	2.89	CLRS
9	Boyd	US 60	0.00	4.02	4.02	CLRS
9	Carter	KY 67	0.00	0.34	0.34	CLRS and SRS
9	Carter	KY 7	4.60	9.70	5.10	CLRS
9	Carter	US 60	30.02	34.85	4.83	CLRS
9	Fleming	KY 32	10.83	28.28	17.45	CLRS
9	Greenup	KY 67	0.00	13.04	13.04	CLRS and SRS
9	Mason	KY 11	6.50	8.15	1.65	CLRS

Projects locations listed in the summary may require construction of Centerline Rumble Strips (CLRS), Edgeline Rumble Strips (ELRS), Shoulder Rumble Strips (SRS), or any combination of the three.

PART II

SPECIFICATIONS AND STANDARD DRAWINGS

SPECIFICATIONS REFERENCE

Any reference in the plans or proposal to previous editions of the *Standard Specifications* for Road and Bridge Construction and Standard Drawings are superseded by Standard Specifications for Road and Bridge Construction, Edition of 2012 and Standard Drawings, Edition of 2012 with the 2012 Revision.

2012 STANDARD DRAWINGS THAT APPLY

ROADWAY

~ GENERAL ~

MISCELLANEOUS STANDARDS

~ PAVEMENT ~

MEDIANS, CURBS, APPROACHES, ENTRANCES, ETC.

TRAFFIC

~ TEMPORARY ~

TRAFFIC CONTROL

LANE CLOSURE TWO-LANE HIGHWAY CASE I	TTC-100-03
LANE CLOSURE TWO-LANE HIGHWAY CASE II	TTC-105-02
LANE CLOSURE MULTI-LANE HIGHWAY CASE I	TTC-115-02
LANE CLOSURE MULTI-LANE HIGHWAY CASE II	TTC-120-02
SHOULDER CLOSURE	TTC-135-01

STRIPING OPERATIONS

MOBILE OPERATION FOR PAINT STRIPING CASE I	
MOBILE OPERATION FOR PAINT STRIPING CASE II	
MOBILE OPERATION FOR PAINT STRIPING CASE III	
MOBILE OPERATION FOR PAINT STRIPING CASE IV	

PART III

EMPLOYMENT, WAGE AND RECORD REQUIREMENTS

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ATTACHMENTS

A. Employment Preference for Appalachian Contracts (included in Appalachian contracts only)

I. GENERAL

1. These contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.

2. Except as otherwise provided for in each section, the contractor shall insert in each subcontract all of the stipulations contained in these Required Contract Provisions, and further require their inclusion in any lower tier subcontract or purchase order that may in turn be made. The Required Contract Provisions shall not be incorporated by reference in any case. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with these Required Contract Provisions.

3. A breach of any of the stipulations contained in these Required Contract Provisions shall be sufficient grounds for termination of the contract.

4. A breach of the following clauses of the Required Contract Provisions may also be grounds for debarment as provided in 29 CFR 5.12:

Section I, paragraph 2; Section IV, paragraphs 1, 2, 3, 4, and 7; Section V, paragraphs 1 and 2a through 2g.

5. Disputes arising out of the labor standards provisions of Section IV (except paragraph 5) and Section V of these Required Contract Provisions shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the U.S. Department of Labor (DOL) as set forth in 29 CFR 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the DOL, or the contractor's employees or their representatives.

6. **Selection of Labor:** During the performance of this contract, the contractor shall not:

a. discriminate against labor from any other State, possession, or territory of the United States (except for employment preference for Appalachian contracts, when applicable, as specified in Attachment A), or

b. employ convict labor for any purpose within the limits of the project unless it is labor performed by convicts who are on parole, supervised release, or probation.

II. NONDISCRIMINATION

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$10,000 or more.)

1. Equal Employment Opportunity: Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630 and 41 CFR 60) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The Equal Opportunity Construction Contract Specifications set forth under 41 CFR 60-4.3 and the provisions of the American Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

a. The contractor will work with the State highway agency (SHA) and the Federal Government in carrying out EEO obligations and in their review of his/her activities under the contract.

b. The contractor will accept as his operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, preapprenticeship, and/or on-the-job training."

2. **EEO Officer:** The contractor will designate and make known to the SHA contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active contractor program of EEO and who must be assigned adequate authority and responsibility to do so.

3. **Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer. b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minority group employees.

d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

4. **Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minority groups in the area from which the project work force would normally be derived.

a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minority group applicants. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority group applicants may be referred to the contractor for employment consideration.

b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, he is expected to observe the provisions of that agreement to the extent that the system permits the contractor's compliance with EEO contract provisions. (The DOL has held that where implementation of such agreements have the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Executive Order 11246, as amended.)

c. The contractor will encourage his present employees to refer minority group applicants for employment. Information and procedures with regard to referring minority group applicants will be discussed with employees.

5. **Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:

a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.

b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly takecorrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with his obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of his avenues of appeal.

6. Training and Promotion:

a. The contractor will assist in locating, qualifying, and increasing the skills of minority group and women employees, and applicants for employment.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision.

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of minority group and women employees and will encourage eligible employees to apply for such training and promotion.

7. **Unions:** If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use his/her best efforts to obtain the cooperation of such unions to increase opportunities for minority groups and women within the unions, and to effect referrals by such unions of minority and female employees. Actions by the contractor either directly or through a contractor's association acting as agent will include the procedures set forth below:

a. The contractor will use best efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minority group members and women for membership in the unions and increasing the skills of minority group employees and women so that they may qualify for higher paying employment.

b. The contractor will use best efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the SHA and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of minority and women referrals within thetime limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minority group persons and women. (The DOL has held that it shall be no excuse that the union with which the contractor has a collective bargaining agreement providing for exclusive referral failed to refer minority employees.) In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the SHA.

8. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment: The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment.

a. The contractor shall notify all potential subcontractors and suppliers of his/her EEO obligations under this contract.

b. Disadvantaged business enterprises (DBE), as defined in 49 CFR 23, shall have equal opportunity to compete for and perform subcontracts which the contractor enters into pursuant to this contract. The contractor will use his best efforts to solicit bids from and to utilize DBE subcontractors or subcontractors with meaningful minority group and female representation among their employees. Contractors shall obtain lists of DBE construction firms from SHA personnel.

c. The contractor will use his best efforts to ensure subcontractor compliance with their EEO obligations.

9. **Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following completion of the contract work and shall be available at reasonable times and places for inspection by authorized representatives of the SHA and the FHWA.

a. The records kept by the contractor shall document the following:

 The number of minority and non-minority group members and women employed in each work classification on the project;

(2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women;

(3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minority and female employees; and

(4) The progress and efforts being made in securing the services of DBE subcontractors or subcontractors with meaningful minority and female representation among their employees.

b. The contractors will submit an annual report to the SHA each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on Form FHWA-1391. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data.

III. NONSEGREGATED FACILITIES

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$10,000 or more.)

a. By submission of this bid, the execution of this contract or subcontract, or the consummation of this material supply agreement or purchase order, as appropriate, the bidder, Federal-aid construction contractor, subcontractor, material supplier, or vendor, as appropriate, certifies that the firm does not maintain or provide for its employees any segregated facilities at any of its establishments, and that the firm does not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. The firm agrees that a breach of this certification is a violation of the EEO provisions of this contract. The firm further certifies that no employee will be denied access to adequate facilities on the basis of sex or disability.

b. As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, restrooms and washrooms, restaurants and other eating areas, timeclocks, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive, or are, in fact, segregated on the basis of race, color, religion, national origin, age or disability, because of habit, local custom, or otherwise. The only exception will be for the disabled when the demands for accessibility override (e.g. disabled parking).

c. The contractor agrees that it has obtained or will obtain identical certification from proposed subcontractors or material suppliers prior to award of subcontracts or consummation of material supply agreements of \$10,000 or more and that it will retain such certifications in its files.

IV. PAYMENT OF PREDETERMINED MINIMUM WAGE

(Applicable to all Federal-aid construction contracts exceeding \$2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural minor collectors, which are exempt.)

1. General:

a. All mechanics and laborers employed or working upon the site of the work will be paid unconditionally and not less often than once a week and without subsequent deduction or rebate on any account [except such payroll deductions as are permitted by regulations (29 CFR 3) issued by the Secretary of Labor under the Copeland Act (40 U.S.C. 276c)] the full amounts of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment. The payment shall be computed at wage rates not less than those contained in the wage determination of the Secretary of Labor (hereinafter "the wage determination") which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor or its subcontractors and such laborers and mechanics. The wage determination (including any additional classifications and wage rates conformed under paragraph 2 of this Section IV and the DOL poster (WH-1321) or Form FHWA-1495) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers. For the purpose of this Section, contributions made or costs reasonably anticipated for bona fide fringe benefits under Section 1(b)(2) of the Davis-Bacon Act (40 U.S.C. 276a) on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of Section IV, paragraph 3b, hereof. Also, for the purpose of this Section, regular contributions made or costs incurred for more than a weekly period (but not less often than guarterly) under plans, funds, or programs, which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics

shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in paragraphs 4 and 5 of this Section IV.

b. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein, provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed.

c. All rulings and interpretations of the Davis-Bacon Act and related acts contained in 29 CFR 1, 3, and 5 are herein incorporated by reference in this contract.

2. Classification:

a. The SHA contracting officer shall require that any class of laborers or mechanics employed under the contract, which is not listed in the wage determination, shall be classified in conformance with the wage determination.

b. The contracting officer shall approve an additional classification, wage rate and fringe benefits only when the following criteria have been met:

 the work to be performed by the additional classification requested is not performed by a classification in the wage determination;

 (2) the additional classification is utilized in the area by the construction industry;

(3) the proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination; and

(4) with respect to helpers, when such a classification prevails in the area in which the work is performed.

c. If the contractor or subcontractors, as appropriate, the laborers and mechanics (if known) to be employed in the additional classification or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the DOL, Administrator of the Wage and Hour Division, Employment Standards Administration, Washington, D.C. 20210. The Wage and Hour Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

d. In the event the contractor or subcontractors, as appropriate, the laborers or mechanics to be employed in the additional classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. Said Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary

e. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraph 2c or 2d of this Section IV shall be paid to all workers performing work in the additional classification from the first day on which work is performed in the classification.

3. Payment of Fringe Benefits:

a. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor or subcontractors, as appropriate, shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly case equivalent thereof.

b. If the contractor or subcontractor, as appropriate, does not make payments to a trustee or other third person, he/she may consider as a part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, provided, that the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

4. Apprentices and Trainees (Programs of the U.S. DOL) and Helpers:

a. Apprentices:

(1) Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the DOL, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State apprenticeship agency recognized by the Bureau, or if a person is employed in his/her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State apprenticeship agency (where appropriate) to be eligible for probationary employment as an apprentice.

(2) The allowable ratio of apprentices to journeyman-level employees on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any employee listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate listed in the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor or subcontractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman-level hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

(3) Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level ofprogress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable

classification. If the Administrator for the Wage and Hour Division determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

(4) In the event the Bureau of Apprenticeship and Training, or a State apprenticeship agency recognized by the Bureau, withdraws approval of an apprenticeship program, the contractor or subcontractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the comparable work performed by regular employees until an acceptable program is approved.

b. Trainees:

(1) Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the DOL, Employment and Training Administration.

(2) The ratio of trainees to journeyman-level employees on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

(3) Every trainee must be paid at not less than the rate specified in the approved program for his/her level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman-level wage rate on the wage determination which provides for less than full fringe benefits for apprentices, in which case such trainees shall receive the same fringe benefits as apprentices.

(4) In the event the Employment and Training Administration withdraws approval of a training program, the contractor or subcontractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Helpers:

Helpers will be permitted to work on a project if the helper classification is specified and defined on the applicable wage determination or is approved pursuant to the conformance procedure set forth in Section IV.2. Any worker listed on a payroll at a helper wage rate, who is not a helper under a approved definition, shall be paid not less than the applicable wage rate on the wagedetermination for the classification of work actually performed.

5. Apprentices and Trainees (Programs of the U.S. DOT):

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of

Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

6. Withholding:

The SHA shall upon its own action or upon written request of an authorized representative of the DOL withhold, or cause to be withheld, from the contractor or subcontractor under this contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to Davis-Bacon prevailing wage requirements which is held by the same prime contractor, as much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the SHA contracting officer may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

7. Overtime Requirements:

No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers, mechanics, watchmen, or guards (including apprentices, trainees, and helpers described in paragraphs 4 and 5 above) shall require or permit any laborer, mechanic, watchman, or guard in any workweek in which he/she is employed on such work, to work in excess of 40 hours in such workweek unless such laborer, mechanic, watchman, or guard receives compensation at a rate not less than one-and-one-half times his/her basic rate of pay for all hours worked in excess of 40 hours in such workweek.

8. Violation:

Liability for Unpaid Wages; Liquidated Damages: In the event of any violation of the clause set forth in paragraph 7 above, the contractor and any subcontractor responsible thereof shall be liable to the affected employee for his/her unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory) for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer, mechanic, watchman, or guard employed in violation of the clause set forth in paragraph 7, in the sum of \$10 for each calendar day on which such employee was required or permitted to work in excess of the standard work week of 40 hours without payment of the overtime wages required by the clause set forth in paragraph 7.

9. Withholding for Unpaid Wages and Liquidated Damages:

The SHA shall upon its own action or upon written request of any authorized representative of the DOL withhold, or cause to be withheld, from any monies payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph 8 above.

V. STATEMENTS AND PAYROLLS

(Applicable to all Federal-aid construction contracts exceeding \$2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural collectors, which are exempt.)

1. Compliance with Copeland Regulations (29 CFR 3):

The contractor shall comply with the Copeland Regulations of the Secretary of Labor which are herein incorporated by reference.

2. Payrolls and Payroll Records:

a. Payrolls and basic records relating thereto shall be maintained by the contractor and each subcontractor during the course of the work and preserved for a period of 3 years from the date of completion of the contract for all laborers, mechanics, apprentices, trainees, watchmen, helpers, and guards working at the site of the work.

b. The payroll records shall contain the name, social security number, and address of each such employee; his or her correct classification; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalent thereof the types described in Section 1(b)(2)(B) of the Davis Bacon Act); daily and weekly number of hours worked; deductions made; and actual wages paid. In addition, for Appalachian contracts, the payroll records shall contain a notation indicating whether the employee does, or does not, normally reside in the labor area as defined in Attachment A, paragraph 1. Whenever the Secretary of Labor, pursuant to Section IV, paragraph 3b, has found that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in Section 1(b)(2)(B) of the Davis Bacon Act, the contractor and each subcontractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, that the plan or program has been communicated in writing to the laborers or mechanics affected, and show the cost anticipated or the actual cost incurred in providing benefits. Contractors or subcontractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprentices and trainees, and ratios and wage rates prescribed in the applicable programs.

c. Each contractor and subcontractor shall furnish, each week in which any contract work is performed, to the SHA resident engineer a payroll of wages paid each of its employees (including apprentices, trainees, and helpers, described in Section IV, paragraphs 4 and 5, and watchmen and guards engaged on work during the preceding weekly payroll period). The payroll submitted required to be maintained under paragraph 2b of this Section V. This information may be submitted in any form desired. Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal stock number 029-005-0014-1), U.S. Government Printing Office, Washington, D.C. 20402. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors.

d. Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his/her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(1) that the payroll for the payroll period contains the information required to be maintained under paragraph 2b of this Section V and that such information is correct and complete;

(2) that such laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in the Regulations, 29 CFR 3;

(3) that each laborer or mechanic has been paid not less that the applicable wage rate and fringe benefits or cash equivalent for the classification of worked performed, as specified in the applicable wage determination incorporated into the contract.

e. The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 2d of this Section V.

f. The falsification of any of the above certifications may subject the contractor to civil or criminal prosecution under 18 U.S.C. 1001 and 31 U.S.C. 231.

g. The contractor or subcontractor shall make the records required under paragraph 2b of this Section V available for inspection, copying, or transcription by authorized representatives of the SHA, the FHWA, or the DOL, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the SHA, the FHWA, the DOL, or all may, after written notice to the contractor, sponsor, applicant, or owner, take such actions as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

VI. RECORD OF MATERIALS, SUPPLIES, AND LABOR

1. On all Federal-aid contracts on the National Highway System, except those which provide solely for the installation of protective devices at railroad grade crossings, those which are constructed on a force account or direct labor basis, highway beautification contracts, and contracts for which the total final construction cost for roadway and bridge is less than \$1,000,000 (23 CFR 635) the contractor shall:

a. Become familiar with the list of specific materials and supplies contained in Form FHWA-47, "Statement of Materials and Labor Used by Contractor of Highway Construction Involving Federal Funds," prior to the commencement of work under this contract.

b. Maintain a record of the total cost of all materials and supplies purchased for and incorporated in the work, and also of the quantities of those specific materials and supplies listed on Form FHWA-47, and in the units shown on Form FHWA-47.

c. Furnish, upon the completion of the contract, to the SHA resident engineer on Form FHWA-47 together with the data required in paragraph 1b relative to materials and supplies, a final labor summary of all contract work indicating the total hours worked and the total amount earned.

2. At the prime contractor's option, either a single report covering all contract work or separate reports for the contractor and for each subcontract shall be submitted.

VII. SUBLETTING OR ASSIGNING THE CONTRACT

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the State. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635).

a. "Its own organization" shall be construed to include only workers employed and paid directly by the prime contractor and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor, assignee, or agent of the prime contractor.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid on the contract as a whole and in general are to be limited to minor components of the overall contract.

2. The contract amount upon which the requirements set forth in paragraph 1 of Section VII is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the SHA contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the SHA contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the SHA has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

VIII. SAFETY: ACCIDENT PREVENTION

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provideall safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the SHA contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).

IX. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, the following notice shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

NOTICE TO ALL PERSONNEL ENGAGED ON FEDERAL-AID HIGHWAY PROJECTS

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended andsupplemented;

Shall be fined not more that \$10,000 or imprisoned not more than 5 years or both."

X. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$100,000 or more.)

By submission of this bid or the execution of this contract, or subcontract, as appropriate, the bidder, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any facility that is or will be utilized in the performance of this contract, unless such contract is exempt under the Clean Air Act, as amended (42 U.S.C. 1857 et seq., as amended by Pub.L. 91-604), and under the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 et seq., as amended by Pub.L. 92-500), Executive Order 11738, and regulations in implementation thereof (40 CFR 15) is not listed, on the date of contract award, on the U.S. Environmental Protection Agency (EPA) List of Violating Facilities pursuant to 40 CFR 15.20.

2. That the firm agrees to comply and remain in compliance with all the requirements of Section 114 of the Clean Air Act and Section 308 of the Federal Water Pollution Control Act and all regulations and guidelines listed thereunder.

3. That the firm shall promptly notify the SHA of the receipt of any communication from the Director, Office of Federal Activities, EPA, indicating that a facility that is or will be utilized for the contract is under consideration to be listed on the EPA List of Violating Facilities.

4. That the firm agrees to include or cause to be included the requirements of paragraph 1 through 4 of this Section X in every nonexempt subcontract, and further agrees to take such action as the government may direct as a means of enforcing such requirements.

XI. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

1. Instructions for Certification - Primary Covered Transactions:

(Applicable to all Federal-aid contracts - 49 CFR 29)

a. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.

b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.

c. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowinglyrendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause of default.

d. The prospective primary participant shall provide immediate written notice to the department or agency to whom this proposal is submitted if any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is submitted for assistance in obtaining a copy of those regulations.

f. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

g. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the nonprocurement portion of the "Lists of Parties Excluded From Federal Procurement or Nonprocurement Programs" (Nonprocurement List) which is compiled by the General Services Administration.

i. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph f of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

* * * * *

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Primary Covered Transactions

1. The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;

b. Have not within a 3-year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph 1b of this certification; and

d. Have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

2. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

* * * * *

2. Instructions for Certification - Lower Tier Covered Transactions:

(Applicable to all subcontracts, purchase orders and other lower tier transactions of \$25,000 or more - 49 CFR 29)

a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "primary covered transaction," "participant," "person," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions:

* * * * *

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

* * * * *

XII. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING

(Applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 - 49 CFR 20)

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

KENTUCKY TRANSPORTATION CABINET DEPARTMENT OF HIGHWAYS

EMPLOYMENT REQUIREMENTS RELATING TO NONDISCRIMINATION OF EMPLOYEES (APPLICABLE TO FEDERAL-AID SYSTEM CONTRACTS)

AN ACT OF THE KENTUCKY GENERAL ASSEMBLY TO PREVENT DISCRIMINATION IN EMPLOYMENT

KRS CHAPTER 344 EFFECTIVE JUNE 16, 1972

The contract on this project, in accordance with KRS Chapter 344, provides that during the performance of this contract, the contractor agrees as follows:

1. The contractor shall not fail or refuse to hire, or shall not discharge any individual, or otherwise discriminate against an individual with respect to his compensation, terms, conditions, or privileges of employment, because of such individual's race, color, religion, national origin, sex, disability or age (between forty and seventy); or limit, segregate, or classify his employees in any way which would deprive or tend to deprive an individual of employment opportunities or otherwise adversely affect his status as an employee, because of such individual's race, color, religion, national origin, sex, disability or age (between forty and seventy). The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.

2. The contractor shall not print or publish or cause to be printed or published a notice or advertisement relating to employment by such an employer or membership in or any classification or referral for employment by the employment agency, indicating any preference, limitation, specification, or discrimination, based on race, color, religion, national origin, sex, disability or age (between forty and seventy), except that such notice or advertisement may indicate a preference, limitation, or specification based on religion, or national origin when religion, or national origin is a bona fide occupational qualification for employment.

3. If the contractor is in control of apprenticeship or other training or retraining, including on-the-job training programs, he shall not discriminate against an individual because of his race, color, religion, national origin, sex, disability or age (between forty and seventy), in admission to, or employment in any program established to provide apprenticeship or other training.

4. The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representative of the contractor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment. The contractor will take such action with respect to any subcontract or purchase order as the administrating agency may direct as a means of enforcing such provisions, including sanctions for non-compliance.

REVISED: 12-3-92

EXECUTIVE BRANCH CODE OF ETHICS

In the 1992 regular legislative session, the General Assembly passed and Governor Brereton Jones signed Senate Bill 63 (codified as KRS 11A), the Executive Branch Code of Ethics, which states, in part:

KRS 11A.040 (6) provides:

No present or former public servant shall, within six (6) months of following termination of his office or employment, accept employment, compensation or other economic benefit from any person or business that contracts or does business with the state in matters in which he was directly involved during his tenure. This provision shall not prohibit an individual from returning to the same business, firm, occupation, or profession in which he was involved prior to taking office or beginning his term of employment, provided that, for a period of six (6) months, he personally refrains from working on any matter in which he was directly involved in state government. This subsection shall not prohibit the performance of ministerial functions, including, but not limited to, filing tax returns, filing applications for permits or licenses, or filing incorporation papers.

KRS 11A.040 (8) states:

A former public servant shall not represent a person in a matter before a state agency in which the former public servant was directly involved, for a period of one (1) year after the latter of:

- a) The date of leaving office or termination of employment; or
- b) The date the term of office expires to which the public servant was elected.

This law is intended to promote public confidence in the integrity of state government and to declare as public policy the idea that state employees should view their work as a public trust and not as a way to obtain private benefits.

If you have worked for the executive branch of state government within the past six months, you may be subject to the law's prohibitions. The law's applicability may be different if you hold elected office or are contemplating representation of another before a state agency.

Also, if you are affiliated with a firm which does business with the state and which employs former state executive-branch employees, you should be aware that the law may apply to them.

In case of doubt, the law permits you to request an advisory opinion from the Executive Branch Ethics Commission, Room 136, Capitol Building, 700 Capitol Avenue, Frankfort, Kentucky 40601; telephone (502) 564-7954.

General Decision Number: KY120125 06/22/2012 KY125

Superseded General Decision Number: KY20100211

State: Kentucky

Construction Type: Highway

Counties: Anderson, Bath, Bourbon, Boyd, Boyle, Bracken, Breckinridge, Bullitt, Carroll, Carter, Clark, Elliott, Fayette, Fleming, Franklin, Gallatin, Grant, Grayson, Greenup, Hardin, Harrison, Henry, Jefferson, Jessamine, Larue, Lewis, Madison, Marion, Mason, Meade, Mercer, Montgomery, Nelson, Nicholas, Oldham, Owen, Robertson, Rowan, Scott, Shelby, Spencer, Trimble, Washington and Woodford Counties in Kentucky.

HIGHWAY CONSTRUCTION PROJECTS (excluding tunnels, building structures in rest area projects & railroad construction; bascule, suspension & spandrel arch bridges designed for commercial navigation, bridges involving marine construction; and other major bridges).

Modification	Number	Publication D	ate
0		01/06/2012	
1		01/13/2012	
2		01/20/2012	
3		04/13/2012	
4		05/11/2012	
5		05/25/2012	
б		06/01/2012	
7		06/22/2012	

BRIN0004-003 06/01/2011

BRECKENRIDGE COUNTY

	Rates	Fringes
BRICKLAYER	\$ 24.11	10.07
BRKY0001-005 06/01/2011		
BULLITT, CARROLL, GRAYSON, HARDIN MARION, MEADE, NELSON, OLDHAM, SH COUNTIES:		
	Rates	Fringes
BRICKLAYER		10.07
BRKY0002-006 06/01/2011		
BRACKEN, GALLATIN, GRANT, MASON &	ROBERTSON COUN	TIES:
	Rates	Fringes
BRICKLAYER	\$ 26.57	10.26

http://www.wdol.gov/wdol/scafiles/davisbacon/KY125.dvb

_____ BRKY0007-004 06/01/2011 BOYD, CARTER, ELLIOT, FLEMING, GREENUP, LEWIS & ROWAN COUNTIES: Rates Fringes BRICKLAYER.....\$ 28.29 16.80 _____ BRKY0017-004 06/01/2009 ANDERSON, BATH, BOURBON, BOYLE, CLARK, FAYETTE, FRANKLIN, HARRISON, JESSAMINE, MADISON, MERCER, MONTGOMERY, NICHOLAS, OWEN, SCOTT, WASHINGTON & WOODFORD COUNTIES: Rates Fringes 9.97 BRICKLAYER.....\$ 24.11 CARP0064-001 07/01/2011 Rates Fringes CARPENTER.....\$ 25.95 13.26 Diver....\$ 39.30 13.26 PILEDRIVERMAN.....\$ 26.20 13.26 ELEC0212-008 05/31/2011 BRACKEN, GALLATIN and GRANT COUNTIES Rates Fringes ELECTRICIAN.....\$ 26.11 14.94 ELEC0212-014 06/27/2011 BRACKEN, GALLATIN & GRANT COUNTIES: Rates Fringes Sound & Communication Technician.....\$ 21.55 8.46 _____ _____ ELEC0317-012 06/01/2011 BOYD, CARTER, ELLIOT & ROWAN COUNTIES: Rates Fringes Electricians: Cable Splicer.....\$ 32.68 18.13 Electrician.....\$ 31.87 19.96 ELEC0369-007 05/30/2012 ANDERSON, BATH, BOURBON, BOYLE, BRECKINRIDGE, BULLITT, CARROLL, CLARK, FAYETTE, FRAONKLIN, GRAYSON, HARDIN, HARRISON, HENRY,

JEFFERSON, JESSAMINE, LARUE, MADISON, MARION, MEADE, MERCER,

MONTGOMERY, NELSON, NICHOLAS, OLDHAM, OWEN, ROBERTSON, SCOTT, SHELBY, SPENCER, TRIMBLE, WASHINGTON, & WOODFORD COUNTIES:

	Rates	Fringes
ELECTRICIAN	\$ 29.32	13.78
ELEC0575-002 05/30/2011		
FLEMING, GREENUP, LEWIS & MASON	I COUNTIES:	
	Rates	Fringes
ELECTRICIAN	\$ 30.69	13.32
ENGI0181-018 07/01/2011		
	Rates	Fringes
Operating Engineer:		

peracing i	Indineer .		
GROUP	1\$	26.50	13.00
GROUP	2\$	24.08	13.00
GROUP	3\$	24.46	13.00
GROUP	4\$	23.82	13.00

OPERATING ENGINEER CLASSIFICATIONS

GROUP 1 - A-Frame Winch Truck; Auto Patrol; Backfiller; Batcher Plant; Bituminous Paver; Bituminous Transfer Machine; Boom Cat; Bulldozer; Mechanic; Cableway; Carry-All Scoop; Carry Deck Crane; Central Compressor Plant; Cherry Picker; Clamshell; Concrete Mixer (21 cu. ft. or Over); Concrete Paver; Truck-Mounted Concrete Pump; Core Drill; Crane; Crusher Plant; Derrick; Derrick Boat; Ditching & Trenching Machine; Dragline; Dredge Operator; Dredge Engineer; Elevating Grader & Loaders; Grade-All; Gurries; Heavy Equipment Robotics Operator/Mechanic; High Lift; Hoe-Type Machine; Hoist (Two or More Drums); Hoisting Engine (Two or More Drums); Horizontal Directional Drill Operator; Hydrocrane; Hyster; KeCal Loader; LeTourneau; Locomotive; Mechanic; Mechanically Operated Laser Screed; Mechanic Welder; Mucking Machine; Motor Scraper; Orangepeel Bucket; Overhead Crane; Piledriver; Power Blade; Pumpcrete; Push Dozer; Rock Spreader, attached to equipment; Rotary Drill; Roller (Bituminous); Rough Terrain Crane; Scarifier; Scoopmobile; Shovel; Side Boom; Subgrader; Tailboom; Telescoping Type Forklift; Tow or Push Boat; Tower Crane (French, German & other types); Tractor Shovel; Truck Crane; Tunnel Mining Machines, including Moles, Shields or similar types of Tunnel Mining Equipment

GROUP 2 - Air Compressor (Over 900 cu. ft. per min.); Bituminous Mixer; Boom Type Tamping Machine; Bull Float; Concrete Mixer (Under 21 cu. ft.); Dredge Engineer; Electric Vibrator; Compactor/Self-Propelled Compactor; Elevator (One Drum or Buck Hoist); Elevator (When used to Hoist Building Material); Finish Machine; Firemen & Hoist (One Drum); Flexplane; Forklift (Regardless of Lift Height); Form Grader; Joint Sealing Machine; Outboard Motor Boat; Power Sweeper (Riding Type); Roller (Rock); Ross Carrier; Skid Mounted or Trailer Mounted Conrete Pump; Skid Steer Machine with all Attachments; Switchman or Brakeman; Throttle Valve Person; Tractair & Road Widening Trencher; Tractor (50 H.P. or Over); Truck Crane Oiler; Tugger; Welding Machine; Well Points; & Whirley Oiler

GROUP 3 - All Off Road Material Handling Equipment; Greaser on Grease Facilities servicing Heavy Equipment

GROUP 4 - Bituminous Distributor; Burlap & Curing Machine; Cement Gun; Concrete Saw; Conveyor; Deckhand Oiler; Grout Pump; Hydraulic Post Driver; Hydro Seeder; Mud Jack; Oiler; Paving Joint Machine; Power Form Handling Equipment; Pump; Roller (Earth); Steerman; Tamping Machine; Tractor (Under 50 H.P.); & Vibrator

CRANES - with booms 150 ft. & Over (Including JIB), and where the length of the boom in combination with the length of the piling leads equals or exceeds 150 ft. - \$1.00 over Group 1 rate

EMPLOYEES ASSIGNED TO WORK BELOW GROUND LEVEL ARE TO BE PAID 10% ABOVE BASIC WAGE RATE. THIS DOES NOT APPLY TO OPEN CUT WORK.

IRON0044-009 06/01/2012

BRACKEN, GALLATIN, GRANT, HARRISON, ROBERTSON, BOURBON (Northern third, including Townships of Jackson, Millersburg, Ruddel Mills & Shawhan); CARROLL (Eastern third, including the Township of Ghent); FLEMING (Western part, excluding Townships of Beechburg, Colfax, Elizaville, Flemingsburg, Flemingsburg Junction, Foxport, Grange City, Hillsboro, Hilltop, Mount Carmel, Muses Mills, Nepton, Pecksridge, Plummers Landing, Plummers Mill, Poplar Plains, Ringos Mills, Tilton & Wallingford); MASON (Western two-thirds, including Townships of Dover, Lewisburg, Mays Lick, Maysville, Minerva, Moranburg, Murphysville, Ripley, Sardis, Shannon, South Ripley & Washington); NICHOLAS (Townships of Barefoot, Barterville, Carlisle, Ellisville, Headquarters, Henryville, Morningqlory, Myers & Oakland Mills); OWEN (Townships of Beechwood, Bromley, Fairbanks, Holbrook, Jonesville, Long Ridge, Lusby's Mill, New, New Columbus, New Liberty, Owenton, Poplar Grove, Rockdale, Sanders, Teresita & Wheatley); SCOTT (Northern two-thirds, including Townships of Biddle, Davis, Delaplain, Elmville, Longlick, Muddy Ford, Oxford, Rogers Gap, Sadieville, Skinnersburg & Stonewall)

	Rates	Fringes
IRONWORKER		
Fence Erector	.\$ 22.50	15.10
Structural	.\$ 24.80	15.10
IRON0070-006 06/01/2012		

http://www.wdol.gov/wdol/scafiles/davisbacon/KY125.dvb

ANDERSON, BOYLE, BRECKINRIDGE, BULLITT, FAYETTE, FRANKLIN, GRAYSON, HARDIN, HENRY, JEFFERSON, JESSAMINE, LARUE, MADISON, MARION, MEADE, MERCER, NELSON, OLDHAM, SHELBY, SPENCER, TRIMBLE, WASHINGTON & WOODFORD BOURBON (Southern two-thirds, including Townships of Austerlity, Centerville, Clintonville, Elizabeth, Hutchison, Littlerock, North Middletown & Paris); CARROLL (Western two-thirds, including Townships of Carrollton, Easterday, English, Locust, Louis, Prestonville & Worthville); CLARK (Western two-thirds, including Townships of Becknerville, Flanagan, Ford, Pine Grove, Winchester & Wyandotte); OWEN (Eastern eighth, including Townships of Glenmary, Gratz, Monterey, Perry Park & Tacketts Mill); SCOTT (Southern third, including Townships of Georgetown, Great Crossing, Newtown, Stampling Ground & Woodlake);

 Rates
 Fringes

 IRONWORKER......\$ 26.34
 18.58

 IRON0372-006 01/01/2012
 18.58

BRACKEN, GALLATIN, GRANT, HARRISON and ROBERTSON BOURBON (Northern third, including Townships of Jackson, Millersburg, Ruddel Mills & Shawhan); CARROLL (Eastern third, including the Township of Ghent); FLEMING (Western part, Excluding Townships of Beechburg, Colfax, Elizaville, Flemingsburg, Flemingsburg Junction, Foxport, Grange City, Hillsboro, Hilltop, Mount Carmel, Muses Mills, Nepton, Pecksridge, Plummers Landing, Plummers Mill, Poplar Plains, Ringos Mills, Tilton & Wallingford); MASON (Western two-thirds, including Townships of Dover, Lewisburg, Mays Lick, Maysville, Minerva, Moranburg, Murphysville, Ripley, Sardis, Shannon, South Ripley & Washington); NICHOLAS (Townships of Barefoot, Barterville, Carlisle, Ellisville, Headquarters, Henryville, Morningglory, Myers & Oakland Mills); OWEN (Townships of Beechwood, Bromley, Fairbanks, Holbrook, Jonesville, Long Ridge, Lusby's Mill, New, New Columbus, New Liberty, Owenton, Poplar Grove, Rockdale, Sanders, Teresita & Wheatley); SCOTT (Northern two-thirds, including Townships of Biddle, Davis, Delaplain, Elmville, Longlick, Muddy Ford, Oxford, Rogers Gap, Sadieville, Skinnersburg & Stonewall) COUNTIES

Rates Fringes IRONWORKER, REINFORCING Beyond 30-mile radius of Hamilton County, Ohio Courthouse.....\$ 26.75 17.55 Up to & including 30-mile radius of Hamilton County, Ohio Courthouse.....\$ 26.50 17.55

http://www.wdol.gov/wdol/scafiles/davisbacon/KY125.dvb

IRON0769-007 06/01/2012

BATH, BOYD, CARTER, ELLIOTT, GREENUP, LEWIS, MONTGOMERY & ROWAN CLARK (Eastern third, including townships of Bloomingdale, Hunt, Indian Fields, Kiddville, Loglick, Rightangele & Thomson); FLEMING (Townships of Beechburg, Colfax, Elizaville, Flemingsburg, Flemingsburg Junction, Foxport, Grange City, Hillsboro, Hilltop, Mount Carmel, Muses Mills, Nepton, Pecksridge, Plummers Landing, Plummers Mill, Poplar Plains, Ringos Mills, Tilton & Wallingford); MASON (Eastern third, including Townships of Helena, Marshall, Orangeburg, Plumville & Springdale); NICHOLAS (Eastern eighth, including the Township of Moorefield Sprout)

	Rates	Fringes
IRONWORKER		
ZONE 1	\$ 30.52	20.08
ZONE 2	\$ 30.92	20.08
ZONE 3	\$ 32.52	20.08
ZONE 1 - IID to 10 mi	radius of union hall	Ashland Kv

ZONE 1 - Up to 10 mi. radius of union hall, Ashland, Ky., 1643 Greenup Avenue ZONE 2 - 10 to 50 mi. radius of union hall; ZONE 3 - 50 mi. radius and beyond

LABO0189-003 07/01/2011

BATH, BOURBON, BOYD, BOYLE, BRACKEN, CARTER, CLARK, ELLIOTT, FAYETTE, FLEMING, FRANKLIN, GALLATIN, GRANT, GREENUP, HARRISON, JESSAMINE, LEWIS, MADISON, MASON, MERCER, MONTGOMERY, NICHOLAS, OWEN, ROBERTSON, ROWAN, SCOTT, & WOOLFORD COUNTIES

	I	Rates	Fringes
Laborers:			
GROUP	1\$	20.81	10.85
GROUP	2\$	21.06	10.85
GROUP	3\$	21.11	10.85
GROUP	4\$	21.71	10.85

LABORERS CLASSIFICATIONS

GROUP 1 - Aging & Curing of Concrete; Asbestos Abatement Worker; Asphalt Plant; Asphalt; Batch Truck Dump; Carpenter Tender; Cement Mason Tender; Cleaning of Machines; Concrete; Demolition; Dredging; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level D; Flagperson; Grade Checker; Hand Digging & Hand Back Filling; Highway Marker Placer; Landscaping, Mesh Handler & Placer; Puddler; Railroad; Rip-rap & Grouter; Right-of-Way; Sign, Guard Rail & Fence Installer; Signal Person; Sound Barrier Installer; Storm & Sanitary Sewer; Swamper; Truck Spotter & Dumper; Wrecking of Concrete Forms; General Cleanup

GROUP 2 - Batter Board Man (Sanitary & Storm Sewer); Brickmason Tender; Mortar Mixer Operator; Scaffold Builder; Burner & Welder; Bushammer; Chain Saw Operator; Concrete Saw Operator; Deckhand Scow Man; Dry Cement Handler; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level C; Forklift Operator for Masonary; Form Setter; Green Concrete Cutting; Hand Operated Grouter & Grinder Machine Operator; Jackhammer; Pavement Breaker; Paving Joint Machine; Pipelayer; Plastic Pipe Fusion; Power Driven Georgia Buggy & Wheel Barrow; Power Post Hole Digger; Precast Manhole Setter; Walk-Behind Tamper; Walk-Behind Trencher; Sand Blaster; Concrete Chipper; Surface Grinder; Vibrator Operator; Wagon Driller

GROUP 3 - Asphalt Luteman & Raker; Gunnite Nozzleman; Gunnite Operator & Mixer; Grout Pump Operator; Side Rail Setter; Rail Paved Ditches; Screw Operator; Tunnel (Free Air); Water Blaster

GROUP 4 - Caisson Worker (Free Air); Cement Finisher; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Levels A & B; Miner & Driller (Free Air); Tunnel Blaster; & Tunnel Mucker (Free Air); Directional & Horizontal Boring; Air Track Drillers (All Types); Powdermen & Blasters; Troxler & Concrete Tester if Laborer is Utilized

LABO0189-008 07/01/2011

ANDERSON, BULLITT, CARROLL, HARDIN, HENRY, JEFFERSON, LARUE, MARION, MEADE, NELSON, OLDHAM, SHELBY, SPENCER, TRIMBLE & WASHINGTON COUNTIES

	F	Rates	Fringes
Laborers:			
GROUP	1\$	21.26	10.40
GROUP	2\$	21.51	10.40
GROUP	3\$	21.56	10.40
GROUP	4\$	22.16	10.40

LABORERS CLASSIFICATIONS

GROUP 1 - Aging & Curing of Concrete; Asbestos Abatement Worker; Asphalt Plant; Asphalt; Batch Truck Dump; Carpenter Tender; Cement Mason Tender; Cleaning of Machines; Concrete; Demolition; Dredging; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level D; Flagperson; Grade Checker; Hand Digging & Hand Back Filling; Highway Marker Placer; Landscaping, Mesh Handler & Placer; Puddler; Railroad; Rip-rap & Grouter; Right-of-Way; Sign, Guard Rail & Fence Installer; Signal Person; Sound Barrier Installer; Storm & Sanitary Sewer; Swamper; Truck Spotter & Dumper; Wrecking of Concrete Forms; General Cleanup

GROUP 2 - Batter Board Man (Sanitary & Storm Sewer); Brickmason Tender; Mortar Mixer Operator; Scaffold Builder; Burner & Welder; Bushammer; Chain Saw Operator; Concrete Saw Operator; Deckhand Scow Man; Dry Cement Handler; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level C; Forklift Operator for Masonary; Form Setter; Green Concrete Cutting; Hand Operated Grouter & Grinder Machine Operator; Jackhammer; Pavement Breaker; Paving Joint Machine; Pipelayer; Plastic Pipe Fusion; Power Driven Georgia Buggy & Wheel Barrow; Power Post Hole Digger; Precast Manhole Setter; Walk-Behind Tamper; Walk-Behind Trencher; Sand Blaster; Concrete Chipper; Surface Grinder; Vibrator Operator; Wagon Driller

GROUP 3 - Asphalt Luteman & Raker; Gunnite Nozzleman; Gunnite Operator & Mixer; Grout Pump Operator; Side Rail Setter; Rail Paved Ditches; Screw Operator; Tunnel (Free Air); Water Blaster

GROUP 4 - Caisson Worker (Free Air); Cement Finisher; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Levels A & B; Miner & Driller (Free Air); Tunnel Blaster; & Tunnel Mucker (Free Air); Directional & Horizontal Boring; Air Track Drillers (All Types); Powdermen & Blasters; Troxler & Concrete Tester if Laborer is Utilized

LABO0189-009 07/01/2011

BRECKINRIDGE & GRAYSON COUNTIES

	F	lates	Fringes
Laborers:			
GROUP	1\$	21.51	10.15
GROUP	2\$	21.76	10.15
GROUP	3\$	21.81	10.15
GROUP	4\$	22.41	10.15

LABORERS CLASSIFICATIONS

GROUP 1 - Aging & Curing of Concrete; Asbestos Abatement Worker; Asphalt Plant; Asphalt; Batch Truck Dump; Carpenter Tender; Cement Mason Tender; Cleaning of Machines; Concrete; Demolition; Dredging; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level D; Flagperson; Grade Checker; Hand Digging & Hand Back Filling; Highway Marker Placer; Landscaping, Mesh Handler & Placer; Puddler; Railroad; Rip-rap & Grouter; Right-of-Way; Sign, Guard Rail & Fence Installer; Signal Person; Sound Barrier Installer; Storm & Sanitary Sewer; Swamper; Truck Spotter & Dumper; Wrecking of Concrete Forms; General Cleanup

GROUP 2 - Batter Board Man (Sanitary & Storm Sewer); Brickmason Tender; Mortar Mixer Operator; Scaffold Builder; Burner & Welder; Bushammer; Chain Saw Operator; Concrete Saw Operator; Deckhand Scow Man; Dry Cement Handler; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level C; Forklift Operator for Masonary; Form Setter; Green Concrete Cutting; Hand Operated Grouter & Grinder Machine Operator; Jackhammer; Pavement Breaker; Paving Joint Machine; Pipelayer; Plastic Pipe Fusion; Power Driven Georgia Buggy & Wheel Barrow; Power Post Hole Digger; Precast Manhole Setter; Walk-Behind Tamper; Walk-Behind Trencher; Sand Blaster; Concrete Chipper; Surface Grinder; Vibrator Operator; Wagon Driller

GROUP 3 - Asphalt Luteman & Raker; Gunnite Nozzleman;

Gunnite Operator & Mixer; Grout Pump Operator; Side Rail Setter; Rail Paved Ditches; Screw Operator; Tunnel (Free Air); Water Blaster GROUP 4 - Caisson Worker (Free Air); Cement Finisher; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Levels A & B; Miner & Driller (Free Air); Tunnel Blaster; & Tunnel Mucker (Free Air); Directional & Horizontal Boring; Air Track Drillers (All Types); Powdermen & Blasters; Troxler & Concrete Tester if Laborer is Utilized _____ PAIN0012-005 06/11/2005 BATH, BOURBON, BOYLE, CLARK, FAYETTE, FLEMING, FRANKLIN, HARRISON, JESSAMINE, MADISON, MERCER, MONTGOMERY, NICHOLAS, ROBERTSON, SCOTT & WOODFORD COUNTIES: Rates Fringes PAINTER Bridge/Equipment Tender and/or Containment Builder..\$ 18.90 5.90 Brush & Roller.....\$ 21.30 5.90 Elevated Tanks; Steeplejack Work; Bridge & Lead Abatement.....\$ 22.30 5.90 Sandblasting & Waterblasting.....\$ 22.05 5.90 Spray.....\$ 21.80 5.90 _____ PAIN0012-017 05/01/2012 BRACKEN, GALLATIN, GRANT, MASON & OWEN COUNTIES: Rates Fringes PAINTER (Heavy & Highway Bridges - Guardrails -Lightpoles - Striping) Bridge Equipment Tender and Containment Builder....\$ 20.49 8.33 Brush & Roller.....\$ 23.10 8.33 Elevated Tanks; Steeplejack Work; Bridge & Lead Abatement.....\$ 24.10 8.33 Sandblasting & Water Blasting.....\$ 23.85 8.33 Spray.....\$ 23.60 8.33 _____ PAIN0118-004 05/01/2010 ANDERSON, BRECKINRIDGE, BULLITT, CARROLL, GRAYSON, HARDIN, HENRY, JEFFERSON, LARUE, MARION, MEADE, NELSON, OLDHAM, SHELBY, SPENCER, TRIMBLE & WASHINGTON COUNTIES:

Rates

Fringes

PAINTER

Brush & Roller.....\$ 18.50 10.30 Spray, Sandblast, Power Tools, Waterblast & Steam Cleaning.....\$ 19.50 10.30 _____ PAIN1072-003 12/01/2011 BOYD, CARTER, ELLIOTT, GREENUP, LEWIS and ROWAN COUNTIES Rates Fringes Painters: Bridges; Locks; Dams; Tension Towers & Energized Substations.....\$ 29.33 14.20 Power Generating Facilities.\$ 26.09 14.20 _____ PLUM0248-003 06/01/2012 BOYD, CARTER, ELLIOTT, GREENUP, LEWIS & ROWAN COUNTIES: Rates Fringes Plumber and Steamfitter.....\$ 33.00 16.93 _____ * PLUM0392-007 06/01/2012 BRACKEN, CARROLL (Eastern Half), GALLATIN, GRANT, MASON, OWEN & ROBERTSON COUNTIES: Rates Fringes 16.59 Plumbers and Pipefitters.....\$ 29.30 _____ PLUM0502-003 08/01/2011 BRECKINRIDGE, BULLITT, CARROLL (Western Half), FRANKLIN (Western three-fourths), GRAYSON, HARDIN, HENRY, JEFFERSON, LARUE, MARION, MEADE, NELSON, OLDHAM, SHELBY, SPENCER, TRIMBLE & WASHINGTON COUNTIES Rates Fringes PLUMBER.....\$ 31.00 16.13 _____ SUKY2010-160 10/08/2001 Rates Fringes Truck drivers: GROUP 1.....\$ 16.57 7.34 GROUP 2.....\$ 16.68 7.34 GROUP 3.....\$ 16.86 7.34 GROUP 4.....\$ 16.96 7.34 TRUCK DRIVER CLASSIFICATIONS

GROUP 1 - Mobile Batch Truck Tender

GROUP 2 - Greaser; Tire Changer; & Mechanic Tender

GROUP 3 - Single Axle Dump; Flatbed; Semi-trailer or Pole Trailer when used to pull building materials and equipment; Tandem Axle Dump; Distributor; Mixer; & Truck Mechanic

GROUP 4 - Euclid & Other Heavy Earthmoving Equipment & Lowboy; Articulator Cat; 5-Axle Vehicle; Winch & A-Frame when used in transporting materials; Ross Carrier; Forklift when used to transport building materials; & Pavement Breaker

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of "identifiers" that indicate whether the particular rate is union or non-union.

Union Identifiers

An identifier enclosed in dotted lines beginning with characters other than "SU" denotes that the union classification and rate have found to be prevailing for that classification. Example: PLUM0198-005 07/01/2011. The first four letters , PLUM, indicate the international union and the four-digit number, 0198, that follows indicates the local union number or district council number where applicable , i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. The date, 07/01/2011, following these characters is the effective date of the most current negotiated rate/collective bargaining agreement which would be July 1, 2011 in the above example.

Union prevailing wage rates will be updated to reflect any changes in the collective bargaining agreements governing the rate.

Non-Union Identifiers

Classifications listed under an "SU" identifier were derived from survey data by computing average rates and are not union rates; however, the data used in computing these rates may include both union and non-union data. Example: SULA2004-007 5/13/2010. SU indicates the rates are not union rates, LA indicates the State of Louisiana; 2004 is the year of the survey; and 007 is an internal number used in producing the wage determination. A 1993 or later date, 5/13/2010, indicates the classifications and rates under that identifier were issued as a General Wage Determination on that date.

Survey wage rates will remain in effect and will not change until a new survey is conducted.

WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

- * an existing published wage determination
- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a position on a wage determination matter
- * a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations Wage and Hour Division U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an

interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION

Fringe benefit amounts are applicable for all hours worked except when otherwise noted.

These rates are listed pursuant to the Kentucky Determination No. CR-11-III- HWY dated August 04, 2011

No laborer, workman or mechanic shall be paid at a rate less than that of a Journeyman except those classified as bona fide apprentices.

Apprentices or trainees shall be permitted to work as such subject to Administrative Regulations adopted by the Commissioner of Workplace Standards. Copies of these regulations will be furnished upon request from any interested person.

Before using apprentices on the job the contractor shall present to the Contracting Officer written evidence of registration of such employees in a program of a State apprenticeship and training agency approved and recognized by the U. S. Bureau of Apprenticeship and Training. In the absence of such a State agency, the contractor shall submit evidence of approval and registration by the U. S. Bureau of Apprenticeship and Training.

The contractor shall submit to the Contracting Officer, written evidence of the established apprenticeship-journeyman ratios and wage rates in the project area, which will be the basis for establishing such ratios and rates for the project under the applicable contract provisions.

TO: EMPLOYERS/EMPLOYEES

PREVAILING WAGE SCHEDULE:

The wages indicated on this wage schedule are the least permitted to be paid for the occupations indicated. When an employee works in more than one classification, the employer must record the number of hours worked in each classification at the prescribed hourly base rate.

OVERTIME:

Overtime is to be paid after an employee works eight (8) hours a day or forty (40) hours a week, whichever gives the employee the greater wages. At least time and one-half the base rate is required for all overtime. A laborer, workman or mechanic and an employer may enter into a written agreement or a collective bargaining agreement to work more than eight (8) hours a calendar day but not more than ten (10) hours a calendar day for the straight time hourly rate. Wage violations or questions should be directed to the designated Engineer or the undersigned.

Ryan Griffith, Director Division of Construction Procurement Frankfort, Kentucky 40622 General Decision Number: KY120126 06/22/2012 KY126

Superseded General Decision Number: KY20100212

State: Kentucky

Construction Type: Highway

Counties: Boone, Campbell, Kenton and Pendleton Counties in Kentucky.

HIGHWAY CONSTRUCTION PROJECTS (excluding tunnels, building structures in rest area projects & railroad construction; bascule, suspension & spandrel arch bridges designed for commercial navigation, bridges involving marine construction; and other major bridges).

Modification Number	Publication Date
0	01/06/2012
1	03/02/2012
2	04/06/2012
3	04/13/2012
4	06/01/2012
5	06/22/2012

BRKY0002-005 06/01/2009

	Rates	Fringes
BRICKLAYER	.\$ 26.12	9.73
BROH0001-005 06/01/2008		
	Rates	Fringes
CEMENT MASON/CONCRETE FINISHER	.\$ 25.75	8.60
CARP0698-001 05/01/2009		
BOONE, CAMPBELL, KENTON & PENDLE	TON COUNTIES:	
	Rates	Fringes
Carpenter & Piledrivermen Diver		9.69 9.69
ELEC0212-007 05/31/2011		
	Rates	Fringes
ELECTRICIAN	.\$ 26.11	14.94
ELEC0212-013 06/27/2011		
	Rates	Fringes
Sound & Communication Technician	.\$ 21.55	8.46

ENGI0018-013 05/01/2009

		Rates	Fringes
GROUP GROUP GROUP GROUP GROUP GROUP	Power Equipment 1\$ 2\$ 3\$ 4\$ 5\$ 6\$ 7\$	29.37 28.33 27.15 21.69 29.74	12.25 12.25 12.25 12.25 12.25 12.25 12.25 12.25 12.25
GROUP GROUP GROUP GROUP GROUP GROUP	1\$ 2\$ 3\$ 4\$ 5\$ 6\$	29.37 28.33 27.15 21.69 29.74	12.25 12.25 12.25 12.25 12.25 12.25

OPERATING ENGINEER CLASSIFICATIONS

GROUP 1 - Air Compressor on Steel Erection; Barrier Moving Machine; Boiler Operator on Compressor or Generator when mounted on a Rig; Cableway; Combination Concrete Mixer & Tower; Concrete Plant (over 4 yd. Capacity); Concrete Pump; Crane (All Types, Including Boom Truck, Cherry Picker); Crane-Compact, Track or Rubber over 4,000 lbs. capacity; Cranes-Self Erecting, Stationary, Track or Truck (All Configurations); Derrick; Dragline; Dredge (Dipper, Clam or Suction); Elevating Grader or Euclid Loader; Floating Equipment (All Types); Gradall; Helicopter Crew (Operator-Hoist or Winch); Hoe (all types); Hoisting Engine on Shaft or Tunnel Work; Hydraulic Gantry (Lifting System); Industrial-Type Tractor; Jet Engine Dryer (D8 or D9) Diesel Tractor; Locomotive (Standard Gauge); Maintenance Operator Class A; Mixer, Paving (Single or Double Drum); Mucking Machine; Multiple Scraper; Piledriving Machine (All Types); Power Shovel; Prentice Loader; Quad 9 (Double Pusher); Rail Tamper (with auto lifting & aligning device); Refrigerating Machine (Freezer Operation); Rotary Drill, on Caisson work; Rough Terrain Fork Lift with Winch/Hoist; Side-Boom; Slip-Form Paver; Tower Derrick; Tree Shredder; Trench Machine (Over 24" wide); Truck Mounted Concrete Pump; Tug Boat; Tunnel Machine and/or Mining Machine; & Wheel Excavator

GROUP 2 - Asphalt Paver; Automatic Subgrader Machine, Self-Propelled (CMI Type); Bobcat Type and/or Skid Steer Loader with Hoe Attachment Greater than 7,000 lbs.; Boring Machine More than 48"; Bulldozer; Endloader; Hydro Milling Machine; Horizontal Directional Drill (over 500,000 ft. lbs. thrust); Kolman-type Loader (production type-Dirt); Lead Greaseman; Lighting & Traffic Signal Installation Equipment (includes all groups or classifications); Material Transfer Equipment (Shuttle Buggy) Asphalt; Pettibone-Rail Equipment; Power Grader; Power Scraper; Push Cat; Rotomill (all), Grinders & Planers of All types; Trench Machine (24" wide & under); & Vermeer type Concrete Saw

GROUP 3 - A-Frame; Air Compressor on Tunnel Work (low pressure); Asphalt Plant Engineer; Bobcat-type and/or Skid Steer Loader with or without Attachments; Highway Drills (all types); Locomotive (narrow gauge); Material Hoist/Elevator; Mixer, Concrete (more than one bag capacity); Mixer, one bag capacity (Side Loader); Power Boiler (Over 15 lbs. Pressure) Pump Operator installing & operating Well Points; Pump (4" & over discharge); Roller, Asphalt; Rotovator (lime soil stabilizer); Switch & Tie Tampers (without lifting & aligning device); Utility Operator (Small equipment); & Welding Machines

GROUP 4 - Backfiller; Ballast Re-locator; Bars, Joint & Mesh Installing Machine; Batch Plant; Boring Machine Operator (48" or less); Bull Floats; Burlap & Curing Machine; Concrete Plant (capacity 4 yd. & under); Concrete Saw (Multiple); Conveyor (Highway); Crusher; Deckhand; Farm-type Tractor with attachments (highway) except Masonry); Finishing Machine; Fireperson, Floating Equipment (all types); Fork Lift (highway); Form Trencher; Hydro Hammer; Hydro Seeder; Pavement Breaker; Plant Mixer; Post Driver; Post Hole Digger (Power Auger); Power Brush Burner; Power Form Handling Equipment; Road Widening Trencher; Roller (Brick, Grade & Macadam); Self-Propelled Power Spreader; Self-Propelled Power Subgrader; Steam Fireperson; Tractor (Pulling Sheepfoot, Roller or Grader); & Vibratory Compactor with Integral Power

GROUP 5 - Compressor (Portable, Sewer, Heavy & Highway); Drum Fireperson (Asphalt); Generator; Masonry Fork Lift; Inboard-Outboard Motor Boat Launch; Masonry Fork Lift; Oil Heater (asphalt plant); Oiler; Power Driven Heater; Power Sweeper & Scrubber; Pump (under 4" discharge); Signalperson; Tire Repairperson; & VAC/ALLS

GROUP 6 - Master Mechanic & Boom from 150 to 180

GROUP 7 - Boom from 180 and over

IRON0044-008 06	5/01/2012
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	Rates	Fringes
Ironworkers: Fence Erector Structural		18.10 18.10
IRON0372-004 01/01/2012		
	Rates	Fringes
IRONWORKER, REINFORCING Beyond 30-mile radius of Hamilton County, Ohio Courthouse Up to & including 30-mile		17.55
radius of Hamilton County Ohio Courthouse		17.55
LABO0189-004 07/01/2011		
PENDLETON COUNTY:		
	Rates	Fringes

LABORER

GROUP	1\$	20.81	10.85
GROUP	2\$	21.06	10.85
GROUP	3\$	21.11	10.85
GROUP	4\$	21.71	10.85

LABORERS CLASSIFICATIONS

GROUP 1 - Aging & Curing of Concrete; Asbestos Abatement Worker; Asphalt Plant; Asphalt; Batch Truck Dump; Carpenter Tender; Cement Mason Tender; Cleaning of Machines; Concrete; Demolition; Dredging; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level D; Flagperson; Grade Checker; Hand Digging & Hand Back Filling; Highway Marker Placer; Landscaping, Mesh Handler & Placer; Puddler; Railroad; Rip-rap & Grouter; Right-of-Way; Sign, Guard Rail & Fence Installer; Signal Person; Sound Barrier Installer; Storm & Sanitary Sewer; Swamper; Truck Spotter & Dumper; Wrecking of Concrete Forms; General Cleanup

GROUP 2 - Batter Board Man (Sanitary & Storm Sewer); Brickmason Tender; Mortar Mixer Operator; Scaffold Builder; Burner & Welder; Bushammer; Chain Saw Operator; Concrete Saw Operator; Deckhand Scow Man; Dry Cement Handler; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level C; Forklift Operator for Masonary; Form Setter; Green Concrete Cutting; Hand Operated Grouter & Grinder Machine Operator; Jackhammer; Pavement Breaker; Paving Joint Machine; Pipelayer; Plastic Pipe Fusion; Power Driven Georgia Buggy & Wheel Barrow; Power Post Hole Digger; Precast Manhole Setter; Walk-Behind Tamper; Walk-Behind Trencher; Sand Blaster; Concrete Chipper; Surface Grinder; Vibrator Operator; Wagon Driller

GROUP 3 - Asphalt Luteman & Raker; Gunnite Nozzleman; Gunnite
Operator & Mixer; Grout Pump Operator; Side Rail Setter;
Rail Paved Ditches; Screw Operator; Tunnel (Free Air);
Water Blaster

GROUP 4 - Caisson Worker (Free Air); Cement Finisher; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Levels A & B; Miner & Driller (Free Air); Tunnel Blaster; & Tunnel Mucker (Free Air); Directional & Horizontal Boring; Air Track Driller (All Types); Powderman & Blaster; Troxler & Concrete Tester if Laborer is Utilized

LABO0265-009 05/01/2011

BOONE, CAMPBELL & KENTON COUNTIES:

Rates Fringes

LABORER			
GROUP	1\$	25.82	8.75
GROUP	2\$	25.99	8.75
GROUP	3\$	26.32	8.75
GROUP	4\$	26.77	8.75

LABORER CLASSIFICATIONS

GROUP 1 - Asphalt Laborer; Carpenter Tender; Concrete Curing Applicator; Dump Man (Batch Truck); Guardrail and Fence Installer; Joint Setter; Laborer (Construction); Landscape Laborer; Highway Lighting Worker; Signalization Worker; Mesh Handlers & Placer; Right-of-way Laborer; Riprap Laborer & Grouter; Scaffold Erector; Seal Coating; Surface Treatment or Road Mix Laborer; Sign Installer; Slurry Seal; Utility Man; Bridge Man; Handyman; Waterproofing Laborer; Flagperson; Hazardous Waste (level D); Diver Tender; Zone Person & Traffic Control

GROUP 2 - Skid Steer; Asphalt Raker; Concrete Puddler; Kettle
Man (Pipeline); Machine Driven Tools (Gas, Electric, Air);
Mason Tender; Brick Paver; Mortar Mixer; Power Buggy or
Power Wheelbarrow; Sheeting & Shoring Man; Surface Grinder
Man; Plastic Fusing Machine Operator; Pug Mill Operator; &
Vacuum Devices (wet or dry); Rodding Machine Operator;
Diver; Screwman or Paver; Screed Person; Water Blast, Hand
Held Wand; Pumps 4" & Under (Gas, Air or Electric) &
Hazardous Waste (level C); Air Track and Wagon Drill;
Bottom Person; Cofferdam (below 25 ft. deep); Concrete Saw
Person; Cutting with Burning Torch; Form Setter; Hand
Spiker (Railroad); Pipelayer; Tunnel Laborer (without air)
& Caisson; Underground Person (working in Sewer and
Waterline, Cleaning, Repairing & Reconditioning);
Sandblaster Nozzle Person; & Hazardous Waste (level B)

GROUP 3 - Blaster; Mucker; Powder Person; Top Lander; Wrencher (Mechanical Joints & Utility Pipeline); Yarner; Hazardous Waste (level A); Concrete Specialist; Concrete Crew in Tunnels (With Air-pressurized - \$1.00 premium); Curb Setter & Cutter; Grade Checker; Utility Pipeline Tapper; Waterline; and Caulker

GROUP 4 - Miner; & Gunite Nozzle Person

TUNNEL LABORER WITH AIR-PRESSURIZED ADD \$1.00 TO BASE RATE

SIGNAL PERSON WILL RECEIVE THE RATE EQUAL TO THE RATE PAID THE LABORER CLASSIFICATION FOR WHICH HE OR SHE IS SIGNALING.

PAIN0012-016 05/01/2012

	Rates	Fringes
Painters:		
Bridge	\$ 24.10	8.33
Bridge Equipment Tender		
and Containment Builder	\$ 20.49	8.33
Brush & Roller	\$ 23.10	8.33
Sandblasting & Water		
Blasting	\$ 23.85	8.33
Spray		8.33
* PLUM0392-008 06/01/2012		
	Rates	Fringes
PLUMBER	\$ 29.30	16.59

SUKY2010-161 02/05/1996			
	Rates	Fringes	
Truck drivers: GROUP 1 GROUP 2		4.60 4.60	
TRUCK DRIVER CLASSIFICATIONS			
GROUP 1 - Driver			
GROUP 2 - Euclid Wagon; End Du Equipment; Tractor-Trailer Com			
WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.			
Unlisted classifications needed the scope of the classifications award only as provided in the la (29CFR 5.5 (a) (1) (ii)).	listed may be a	dded after	
The body of each wage determinat and wage rates that have been for cited type(s) of construction in determination. The classification order of "identifiers" that indi- rate is union or non-union.	und to be prevai the area covere ons are listed i	ling for the d by the wage n alphabetical	
Union Identifiers			
An identifier enclosed in dotted	lines beginning	with	

An identifier enclosed in dotted lines beginning with characters other than "SU" denotes that the union classification and rate have found to be prevailing for that classification. Example: PLUM0198-005 07/01/2011. The first four letters , PLUM, indicate the international union and the four-digit number, 0198, that follows indicates the local union number or district council number where applicable , i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. The date, 07/01/2011, following these characters is the effective date of the most current negotiated rate/collective bargaining agreement which would be July 1, 2011 in the above example.

Union prevailing wage rates will be updated to reflect any changes in the collective bargaining agreements governing the rate.

Non-Union Identifiers

Classifications listed under an "SU" identifier were derived from survey data by computing average rates and are not union rates; however, the data used in computing these rates may include both union and non-union data. Example: SULA2004-007 5/13/2010. SU indicates the rates are not union rates, LA indicates the State of Louisiana; 2004 is the year of the survey; and 007 is an internal number used in producing the wage determination. A 1993 or later date, 5/13/2010, indicates the classifications and rates under that identifier were issued as a General Wage Determination on that date.

Survey wage rates will remain in effect and will not change until a new survey is conducted.

WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

- * an existing published wage determination
- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a position on a wage determination matter
- * a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations Wage and Hour Division U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material,

etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION

Fringe benefit amounts are applicable for all hours worked except when otherwise noted.

These rates are listed pursuant to the Kentucky Determination No. CR-11-IV-HWY dated August 04, 2011

No laborer, workman or mechanic shall be paid at a rate less than that of a Journeyman except those classified as bona fide apprentices.

Apprentices or trainees shall be permitted to work as such subject to Administrative Regulations adopted by the Commissioner of Workplace Standards. Copies of these regulations will be furnished upon request from any interested person.

Before using apprentices on the job the contractor shall present to the Contracting Officer written evidence of registration of such employees in a program of a State apprenticeship and training agency approved and recognized by the U. S. Bureau of Apprenticeship and Training. In the absence of such a State agency, the contractor shall submit evidence of approval and registration by the U. S. Bureau of Apprenticeship and Training.

The contractor shall submit to the Contracting Officer, written evidence of the established apprenticeship-journeyman ratios and wage rates in the project area, which will be the basis for establishing such ratios and rates for the project under the applicable contract provisions.

TO: EMPLOYERS/EMPLOYEES

PREVAILING WAGE SCHEDULE:

The wages indicated on this wage schedule are the least permitted to be paid for the occupations indicated. When an employee works in more than one classification, the employer must record the number of hours worked in each classification at the prescribed hourly base rate.

OVERTIME:

Overtime is to be paid after an employee works eight (8) hours a day or forty (40) hours a week, whichever gives the employee the greater wages. At least time and one-half the base rate is required for all overtime. A laborer, workman or mechanic and an employer may enter into a written agreement or a collective bargaining agreement to work more than eight (8) hours a calendar day but not more than ten (10) hours a calendar day for the straight time hourly rate. Wage violations or questions should be directed to the designated Engineer or the undersigned.

Ryan Griffith, Director Division of Construction Procurement Frankfort, Kentucky 40622

NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY (Executive Order 11246)

1. The Offeror's or Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Specifications" set forth herein.

2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate work force in each trade on all construction work in the covered area, are as follows:

GOALS FOR MINORITY PARTICIPATION IN EACH TRADE	GOALS FOR FEMALE PARTICIPATION IN EACH TRADE		
Carter - Boyd, Greenup -	2.5% 2.9%		
Harrison, Bath - Bracken, Carroll, Pendleton, Robertson, Fleming, Mason - Kenton -	7.0% 9.2% 11.0%	6.9%	

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federallyassisted) performed in the covered area. If the contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the contractor also is subject to the goals for both its federally involved and non-federally involved construction.

The Contractor's compliance with the Executive Order and the regulations in CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4, 3(a), and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

3. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs within ten (10) working days of award of any construction subcontract in excess of \$10,000.00 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address and telephone number of the subcontractor; employer identification number of the subcontractor; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the subcontract is to be performed. The notification shall be mailed to:

Evelyn Teague, Regional Director Office of Federal Contract Compliance Programs 61 Forsyth Street, SW, Suite 7B75 Atlanta, Georgia 30303-8609

4. As used in this Notice, and in the contract resulting from this solicitation, the "covered area" is Various Counties.

PART IV

INSURANCE

INSURANCE

The Contractor shall procure and maintain the following insurance in addition to the insurance required by law:

- Commercial General Liability-Occurrence form not less than \$2,000,000 General aggregate, \$2,000,000 Products & Completed Aggregate, \$1,000,000 Personal & Advertising, \$1,000,000 each occurrence.
- 2) Automobile Liability- \$1,000,000 per accident
- 3) Employers Liability:
 - a) \$100,000 Each Accident Bodily Injury
 - b) \$500,000 Policy limit Bodily Injury by Disease
 - c) \$100,000 Each Employee Bodily Injury by Disease
- 4) The insurance required above must be evidenced by a Certificate of Insurance and this Certificate of Insurance must contain one of the following statements:
 - a) "policy contains no deductible clauses."
 - b) "policy contains ______ (amount) deductible property damage clause but company will pay claim and collect the deductible from the insured."
- 5) KENTUCKY WORKMEN'S COMPENSATION INSURANCE. The contractor shall furnish evidence of coverage of all his employees or give evidence of self-insurance by submitting a copy of a certificate issued by the Workmen's Compensation Board.

The cost of insurance is incidental to all contract items. All subcontractors must meet the same minimum insurance requirements.

PART V

BID ITEMS

LINE |ITEM

NO |

KENTUCKY TRANSPORTATION CABINET DEPARTMENT OF HIGHWAYS FRANKFORT, KY 40622

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PAGE: 1 LETTING: 07/13/12 CALL NO: 206

| APPROXIMATE UNIT | UNIT | AMOUNT | QUANTITY | PRICE |

CONTRACT ID: 124020 COUNTY: VARIOUS PROPOSAL: 121GR12T009-HSIP

SECTION 0001 ROADWAY

DESCRIPTION

0010	02562 	SIGNS	3,060.000 SQFT	
0020	02650 	MAINTAIN & CONTROL TRAFFIC BATH KY 11	(1.00) LS	
0030	02650 	MAINTAIN & CONTROL TRAFFIC BOYD US 60	(1.00) LS	
0040	02650 	MAINTAIN & CONTROL TRAFFIC BRACKEN KY 19	(1.00) LS	
0050	02650 	MAINTAIN & CONTROL TRAFFIC CARROLL KY 36	(1.00) LS	
0060	02650 	MAINTAIN & CONTROL TRAFFIC CARROLL US 42	(1.00) LS	
0070	02650 	MAINTAIN & CONTROL TRAFFIC CARTER KY 67	(1.00) LS	
0080	02650 	MAINTAIN & CONTROL TRAFFIC CARTER KY 7	(1.00) LS	
0090	02650 	MAINTAIN & CONTROL TRAFFIC CARTER US 60	(1.00) LS	
0100	02650 	MAINTAIN & CONTROL TRAFFIC FLEMING KY 32	(1.00) LS	
0110	02650 	MAINTAIN & CONTROL TRAFFIC GREENUP KY 67	(1.00) LS	
0120	02650 	MAINTAIN & CONTROL TRAFFIC HARRISON KY 982	(1.00) LS	
0130	02650 	MAINTAIN & CONTROL TRAFFIC HARRISON US 27	(1.00) LS	
0140	02650 	MAINTAIN & CONTROL TRAFFIC HARRISON US 62	(1.00) LS	
0150	02650 	MAINTAIN & CONTROL TRAFFIC KENTON KY 17	(1.00) LS	
0160	02650 	MAINTAIN & CONTROL TRAFFIC MASON KY 11	(1.00) LS	
0170	02650 	MAINTAIN & CONTROL TRAFFIC PENDLETON US 27	(1.00) LS	
0180	02650 	MAINTAIN & CONTROL TRAFFIC ROBERTSON KY 165	(1.00) LS	
0190	02696 	SHOULDER RUMBLE STRIPS-SAWED	372,092.000 LF	
0200	02775 	ARROW PANEL	17.000 EACH	

KENTUCKY TRANSPORTATION CABINET DEPARTMENT OF HIGHWAYS FRANKFORT, KY 40622

	ACT ID: 12402 COUNTY: VARIO OPOSAL: 121GR	US			BE: 2 IG: 07/13/12 IO: 206
LINE NO	 ITEM 	DESCRIPTION	APPROXIMATE UNIT QUANTITY	UNIT PRICE	AMOUNT
0210	06514 	PAVE STRIPING-PERM PAINT-4 IN	899,857.000 LF		
0220	20458ES403 	CENTERLINE RUMBLE STRIPS	529,325.000 LF		
	SECTION 0002	DEMOBILIZATION			
0230	02569 	DEMOBILIZATION (AT LEAST 1.5%)	LUMP		
	 	TOTAL BID			
