



**CALL NO. 205**

**CONTRACT ID. 264704**

**GRAVES - WARREN - MUHLENBERG COUNTIES**

**FED/STATE PROJECT NUMBER 121GR26T018-HSIP**

**DESCRIPTION VARIOUS ROUTES IN DISTRICTS 1, 2, AND 3**

**WORK TYPE HIGH FRICTION SURFACE**

**PRIMARY COMPLETION DATE 8/31/2027**

**LETTING DATE: October 22,2026**

Sealed Bids will be received electronically through the Bid Express bidding service until 10:00 AM EASTERN DAYLIGHT TIME October 22,2026. Bids will be publicly announced at 10:00 AM EASTERN DAYLIGHT TIME.

**NO PLANS ASSOCIATED WITH THIS PROJECT.**

**DBE CERTIFICATION REQUIRED - 0%**

**REQUIRED BID PROPOSAL GUARANTY:** Not less than 5% of the total bid.

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# **PART I**

## **SCOPE OF WORK**

ADMINISTRATIVE DISTRICT - 03

CONTRACT ID - 264704  
121GR26T018-HSIP  
COUNTY - GRAVES  
PCN - 0104200972601  
HSIP 9010(796)

KY HIGHWAY 97 (KY 97) (MP 16) HFST ON KY 97 (MP 16.4), A DISTANCE OF 0.40 MILES.HIGH FRICTION  
SURFACE SYP NO. 01-09066.00.  
GEOGRAPHIC COORDINATES LATITUDE 36:41:15.75 LONGITUDE 88:36:48.72  
ADT 3,182

COUNTY - MUHLENBERG  
PCN - 0208904312601  
HSIP 9010(797)

US HIGHWAY 431 (US 431) (MP 4) HFST ON US 431 (MP 4.25), A DISTANCE OF 0.25 MILES.HIGH FRICTION  
SURFACE SYP NO. 02-09031.00.  
GEOGRAPHIC COORDINATES LATITUDE 37:07:33.98 LONGITUDE 87:00:14.28  
ADT 2,611

COUNTY - WARREN  
PCN - 0311401852601  
HSIP 9010(798)

KY HIGHWAY 185 (KY 185) (MP 10.5) HFST ON KY 185 (MP 11), A DISTANCE OF 0.50 MILES.HIGH FRICTION  
SURFACE SYP NO. 03-00966.00.  
GEOGRAPHIC COORDINATES LATITUDE 37:08:14.74 LONGITUDE 86:24:48.11  
ADT 2,126

COMPLETION DATE(S):  
COMPLETED BY 08/31/2027      APPLIES TO ENTIRE PROJECT



## **CONTRACT NOTES**

### **INSURANCE**

Refer to Kentucky Standard Specifications for Road and Bridge Construction, current edition.

### **PROPOSAL ADDENDA**

All addenda to this proposal must be applied when calculating bid and certified in the bid packet submitted to the Kentucky Department of Highways. Failure to use the correct and most recent addenda may result in the bid being rejected.

### **BID SUBMITTAL**

Bidder must use the Department's electronic bidding software. The Bidder must download the bid file located on the Bid Express website ([www.bidx.com](http://www.bidx.com)) to prepare a bid packet for submission to the Department. The bidder must submit electronically using Bid Express.

### **JOINT VENTURE BIDDING**

Joint venture bidding is permissible. All companies in the joint venture must be prequalified in one of the work types in the Qualifications for Bidders for the project. The bidders must get a vendor ID for the joint venture from the Division of Construction Procurement and register the joint venture as a bidder on the project. Also, the joint venture must obtain a digital ID from Bid Express to submit a bid. A joint bid bond of 5% may be submitted for both companies or each company may submit a separate bond of 5%.

### **UNDERGROUND FACILITY DAMAGE PROTECTION**

The contractor shall make every effort to protect underground facilities from damage as prescribed in the Underground Facility Damage Protection Act of 1994, Kentucky Revised Statute KRS 367.4901 to 367.4917. It is the contractor's responsibility to determine and take steps necessary to be in compliance with federal and state damage prevention directives. When prescribed in said directives, the contractor shall submit Excavation Locate Requests to the Kentucky Contact Center (KY811) via web ticket entry. The submission of this request does not relieve the contractor from the responsibility of contacting non-member facility owners, whom shall be contacted through their individual Protection Notification Center. Non-compliance with these directives can result in the enforcement of penalties.

### **REGISTRATION WITH THE SECRETARY OF STATE BY A FOREIGN ENTITY**

Pursuant to KRS 176.085(1)(b), an agency, department, office, or political subdivision of the Commonwealth of Kentucky shall not award a state contract to a person that is a foreign entity required by [KRS 14A.9-010](#) to obtain a certificate of authority to transact business in the Commonwealth ("certificate") from the Secretary of State under [KRS 14A.9-030](#) unless the person produces the certificate within fourteen (14) days of the bid or proposal opening. If the

foreign entity is not required to obtain a certificate as provided in [KRS 14A.9-010](#), the foreign entity should identify the applicable exception. Foreign entity is defined within [KRS 14A.1-070](#).

**For all foreign entities required to obtain a certificate of authority to transact business in the Commonwealth, if a copy of the certificate is not received by the contracting agency within the time frame identified above, the foreign entity's solicitation response shall be deemed non-responsive or the awarded contract shall be cancelled.**

Businesses can register with the Secretary of State at <https://secure.kentucky.gov/sos/ftbr/welcome.aspx>.

### **SPECIAL NOTE FOR PROJECT QUESTIONS DURING ADVERTISEMENT**

Questions about projects during the advertisement should be submitted in writing to the Division of Construction Procurement. This may be done by email to [kytc.projectquestions@ky.gov](mailto:kytc.projectquestions@ky.gov). The Department will attempt to answer all submitted questions. The Department reserves the right not to answer if the question is not pertinent or does not aid in clarifying the project intent.

The deadline for posting answers will be 3:00 pm Eastern Daylight Time, the day preceding the Letting. Questions may be submitted until this deadline with the understanding that the later a question is submitted, the less likely an answer will be able to be provided.

The questions and answers will be posted for each Letting under the heading "Questions & Answers" on the Construction Procurement website ([www.transportation.ky.gov/construction-procurement](http://www.transportation.ky.gov/construction-procurement)). The answers provided shall be considered part of this Special Note and, in case of a discrepancy, will govern over all other bidding documents.

### **HARDWOOD REMOVAL RESTRICTIONS**

The US Department of Agriculture has imposed a quarantine in Kentucky and several surrounding states, to prevent the spread of an invasive insect, the emerald ash borer. Hardwood cut in conjunction with the project may not be removed from the state. Chipping or burning on site is the preferred method of disposal.

### **INSTRUCTIONS FOR EXCESS MATERIAL SITES AND BORROW SITES**

Identification of excess material sites and borrow sites shall be the responsibility of the Contractor. The Contractor shall be responsible for compliance with all applicable state and federal laws and may wish to consult with the US Fish and Wildlife Service to seek protection under Section 10 of the Endangered Species Act for these activities.

### **ACCESS TO RECORDS**

The state agency certifies that it is in compliance with the provisions of KRS 45A.150, "Access to contractor's books, documents, papers, records, or other evidence directly pertinent to the contract." The Contractor, as defined in KRS 45A.030, agrees that the contracting agency, the

Finance and Administration Cabinet, the Auditor of Public Accounts, and the Legislative Research Commission, or their duly authorized representatives, shall have access to any books, documents, papers, records, or other evidence, which are directly pertinent to this agreement for the purpose of financial audit or program review. The Contractor also recognizes that any books, documents, papers, records, or other evidence, received during a financial audit or program review shall be subject to the Kentucky Open Records Act, KRS 61.870 to 61.884. Records and other prequalification information confidentially disclosed as part of the bid process shall not be deemed as directly pertinent to the agreement and shall be exempt from disclosure as provided in KRS 61.878(1)(c).

### **BOYCOTT PROVISIONS**

If applicable, the contractor represents that, pursuant to [KRS 45A.607](#), they are not currently engaged in, and will not for the duration of the contract engage in, the boycott of a person or an entity based in or doing business with a jurisdiction with which Kentucky can enjoy open trade. **Note:** The term Boycott does not include actions taken for bona fide business or economic reasons, or actions specifically required by federal or state law.

If applicable, the contractor verifies that, pursuant to KRS 41.480, they do not engage in, and will not for the duration of the contract engage in, in energy company boycotts as defined by KRS 41.472.

### **LOBBYING PROHIBITIONS**

The contractor represents that they, and any subcontractor performing work under the contract, have not violated the agency restrictions contained in [KRS 11A.236](#) during the previous ten (10) years, and pledges to abide by the restrictions set forth in such statute for the duration of the contract awarded.

The contractor further represents that, pursuant to [KRS 45A.328](#), they have not procured an original, subsequent, or similar contract while employing an executive agency lobbyist who was convicted of a crime related to the original, subsequent, or similar contract within five (5) years of the conviction of the lobbyist.

Revised: 1/1/2025

## **FEDERAL CONTRACT NOTES**

The Kentucky Department of Highways, in accordance with the Regulations of the United States Department of Transportation 23 CFR 635.112 (h), hereby notifies all bidders that failure by a bidder to comply with all applicable sections of the current Kentucky Standard Specifications, including, but not limited to the following, may result in a bid not being considered responsive and thus not eligible to be considered for award:

- |                                |                                              |
|--------------------------------|----------------------------------------------|
| 102.02 Current Rating          | 102.08 Preparation and Delivery of Proposals |
| 102.13 Irregular Bid Proposals | 102.14 Disqualification of Bidders           |
| 102.09 Proposal Guaranty       |                                              |

### **CIVIL RIGHTS ACT OF 1964**

The Kentucky Transportation Cabinet, Department of Highways, in accordance with the provisions of Title VI of the Civil Rights Act of 1964 (78 Stat. 252, 42 U.S.C. §§ 2000d to 2000d-4) and the Regulations, hereby notifies all bidders that it will affirmatively ensure that any contract entered into pursuant to this advertisement, disadvantaged business enterprises will be afforded full and fair opportunity to submit bids in response to this invitation and will not be discriminated against on the grounds of race, color, national origin, sex, age (over 40), religion, sexual orientation, gender identity, veteran status, disability, income- level, or Limited English Proficiency (LEP) in consideration for an award.

### **NOTICE TO ALL BIDDERS**

To report bid rigging activities call: 1-800-424-9071.

The U.S. Department of Transportation (DOT) operates the above toll-free “hotline” Monday through Friday, 8:00 a.m. to 5:00 p.m. eastern time. Anyone with knowledge of possible bid rigging, bidder collusion, or other fraudulent activities should use the “hotline” to report such activities.

The “hotline” is part of the DOT’s continuing effort to identify and investigate highway construction contract fraud and abuse and is operated under the direction of the DOT Inspector General. All information will be treated confidentially and caller anonymity will be respected.

### **SECOND TIER SUBCONTRACTS**

Second tier subcontracts are acceptable per Section 108.01 of the Standard Specifications for Road and Bridge Construction. Sub-Contractors fulfilling a disadvantaged business enterprise goal on a project may enter into a 2<sup>nd</sup> tier subcontract with a Non-DBE Subcontractor. However, in this instance, none of the work subcontracted to the Non-DBE Contractor will count toward fulfilling the established Disadvantaged Goal for the project.

### **DISADVANTAGED BUSINESS ENTERPRISE PROGRAM**

It is the policy of the Kentucky Transportation Cabinet (“the Cabinet”) that Disadvantaged Business Enterprises (“DBE”) shall have the opportunity to participate in the performance of highway construction projects financed in whole or in part by Federal Funds in order to create a level playing field for all businesses who wish to contract with the Cabinet. To that end, the Cabinet will comply with the regulations found in 49 CFR Part 26, and the definitions and requirements contained therein shall be adopted as if set out verbatim herein.

The Cabinet, contractors, subcontractors, and sub-recipients shall not discriminate on the basis of race, color, national origin, or sex in the performance of work performed pursuant to Cabinet contracts. The contractor shall carry out applicable requirements of 49 CFR 26 in the award and administration of federally assisted highway construction projects. The contractor will include this provision in all its subcontracts and supply agreements pertaining to contracts with the Cabinet.

Failure by the contractor to carry out these requirements is a material breach of its contract with the Cabinet, which may result in the termination of the contract or such other remedy as the Cabinet deems necessary.

### **DBE GOAL**

The Disadvantaged Business Enterprise (DBE) goal established for this contract, as listed on the front page of the proposal, is the percentage of the total value of the contract.

The contractor shall exercise all necessary and reasonable steps to ensure that Disadvantaged Business Enterprises participate in a least the percent of the contract as set forth above as goals for this contract.

### **OBLIGATION OF CONTRACTORS**

Each contractor prequalified to perform work on Cabinet projects shall designate and make known to the Cabinet a liaison officer who is assigned the responsibility of effectively administering and promoting an active program for utilization of DBEs.

If a formal goal has not been designated for the contract, all contractors are encouraged to consider DBEs for subcontract work as well as for the supply of material and services needed to perform this work.

Contractors are encouraged to use the services of banks owned and controlled by minorities and women.

### **CERTIFICATION OF CONTRACT GOAL**

Contractors shall include the following certification in bids for projects for which a DBE goal has been established. BIDS SUBMITTED WHICH DO NOT INCLUDE CERTIFICATION OF DBE PARTICIPATION WILL NOT BE ACCEPTED. These bids will not be considered for award by the Cabinet and they will be returned to the bidder.

“The bidder certifies that it has secured participation by Disadvantaged Business Enterprises (“DBE”) in the amount of \_\_\_\_\_ percent of the total value of this contract and that the DBE participation is in compliance with the requirements of 49 CFR 26 and the policies of the Kentucky Transportation Cabinet pertaining to the DBE Program.”

**The certification statement is located in the electronic bid file. All contractors must certify their DBE participation on that page. DBEs utilized in achieving the DBE goal must be certified and prequalified for the work items at the time the bid is submitted.**

### **DBE PARTICIPATION PLAN**

Lowest responsive bidders must submit the *DBE Plan/ Subcontractor Request*, form TC 14-35 DBE, within **5** days of the letting. This is necessary before the Awards Committee will review and make a recommendation. **The project will not be considered for award prior to submission and approval of the apparent low bidder’s DBE Plan/Subcontractor Request.**

The DBE Participation Plan shall include the following:

1. Name and address of DBE Subcontractor(s) and/or supplier(s) intended to be used in the proposed project;
2. Description of the work each is to perform including the work item, unit, quantity, unit price and total amount of the work to be performed by the individual DBE. The Proposal Line Number, Category Number, and the Project Line Number can be found in the “material listing” on the Construction Procurement website under the specific letting;
3. The dollar value of each proposed DBE subcontract and the percentage of total project contract value this represents. DBE participation may be counted as follows:
  - a) If DBE suppliers and manufactures assume actual and contractual responsibility, the dollar value of materials to be furnished will be counted toward the goal as follows:
    - The entire expenditure paid to a DBE manufacturer;
    - 60 percent of expenditures to DBE suppliers that are not manufacturers provided the supplier is a regular dealer in the product involved. A regular dealer must be engaged in, as its principal business and in its own name, the sale of products to the public, maintain an inventory and own and operate distribution equipment; and
    - The amount of fees or commissions charged by the DBE firms for a bona fide service, such as professional, technical, consultant, or managerial services and assistance in the procurement of essential personnel, facilities, equipment, materials, supplies, delivery of materials and supplies or for furnishing bonds, or insurance, providing such fees or commissions are determined to be reasonable and customary.
  - b) The dollar value of services provided by DBEs such as quality control testing, equipment repair and maintenance, engineering, staking, etc.;

- c) The dollar value of joint ventures. DBE credit for joint ventures will be limited to the dollar amount of the work actually performed by the DBE in the joint venture;
- 4. Written and signed documentation of the bidder's commitment to use a DBE contractor whose participation is being utilized to meet the DBE goal; and
- 5. Written and signed confirmation from the DBE that it is participating in the contract as provided in the prime contractor's commitment.

**AFTER PROJECT AWARD AND BEFORE NOTICE TO PROCEED/WORK ORDER IS ISSUED (SEE SECTION 103.06, STANDARD SPECIFICATIONS FOR ROAD AND BRIDGE CONSTRUCTION)**

Prime Contractors awarded a federally funded project with a DBE Goal greater than zero will be required to submit a fully executed DBE Subcontract, along with the attached FHWA 1273 and Certificate of Liability Insurance for each DBE Firm submitted as part of the previously approved DBE Utilization Plan (TC 14-35). A signed quote or purchase order shall be attached when the DBE subcontractor is a material supplier or broker.

The Certificate of Liability Insurance submitted must meet the requirements outlined in Section 107.18 of the Standard Specifications for Road and Bridge Construction.

Changes to **APPROVED** DBE Participation Plans must be approved by the Office for Civil Rights & Small Business Development. The Cabinet may consider extenuating circumstances including, but not limited to, changes in the nature or scope of the project, the inability or unwillingness of a DBE to perform the work in accordance with the bid, and/or other circumstances beyond the control of the prime contractor.

**CONSIDERATION OF GOOD FAITH EFFORTS REQUESTS**

If the DBE participation submitted in the bid by the apparent lowest responsive bidder does not meet or exceed the DBE contract goal, the apparent lowest responsive bidder must submit a Good Faith Effort Package to satisfy the Cabinet that sufficient good faith efforts were made to meet the contract goals prior to submission of the bid. Efforts to increase the goal after bid submission will not be considered in justifying the good faith effort, unless the contractor can show that the proposed DBE was solicited prior to the letting date. DBEs utilized in achieving the DBE goal must be certified and prequalified for the work items at the time the bid is submitted. One complete set (hard copy along with an electronic copy) of this information must be received in the Division of Contract Procurement no later than 12:00 noon of the tenth calendar day after receipt of notification that they are the apparent low bidder.

Where the information submitted includes repetitious solicitation letters it will be acceptable to submit a sample representative letter along with a distribution list of the firms solicited. Documentation of DBE quotations shall be a part of the good faith effort submittal as necessary to demonstrate compliance with the factors listed below which the Cabinet considers in judging good faith efforts. This documentation may include written subcontractors' quotations, telephone log notations of verbal quotations, or other types of quotation documentation.

The Good Faith Effort Package shall include, but may not be limited to information showing evidence of the following:

1. Whether the bidder attended any pre-bid meetings that were scheduled by the Cabinet to inform DBEs of subcontracting opportunities;
2. Whether the bidder provided solicitations through all reasonable and available means;
3. Whether the bidder provided written notice to all DBEs listed in the DBE directory at the time of the letting who are prequalified in the areas of work that the bidder will be subcontracting;
4. Whether the bidder followed up initial solicitations of interest by contacting DBEs to determine with certainty whether they were interested. If a reasonable amount of DBEs within the targeted districts do not provide an intent to quote or no DBEs are prequalified in the subcontracted areas, the bidder must notify the Disadvantaged Enterprise Business Liaison Officer (DEBLO) in the Office for Civil Rights and Small Business Development to give notification of the bidder's inability to get DBE quotes;
5. Whether the bidder selected portions of the work to be performed by DBEs in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate DBE participation, even when the prime contractor might otherwise perform these work items with its own forces;
6. Whether the bidder provided interested DBEs with adequate and timely information about the plans, specifications, and requirements of the contract;
7. Whether the bidder negotiated in good faith with interested DBEs not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached;
8. Whether quotations were received from interested DBE firms but were rejected as unacceptable without sound reasons why the quotations were considered unacceptable. The fact that the DBE firm's quotation for the work is not the lowest quotation received will not in itself be considered as a sound reason for rejecting the quotation as unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a DBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy DBE goals;
9. Whether the bidder specifically negotiated with subcontractors to assume part of the responsibility to meet the contract DBE goal when the work to be subcontracted includes potential DBE participation;
10. Whether the bidder made any efforts and/or offered assistance to interested DBEs in obtaining the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal; and
11. Any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include DBE participation.



### **FAILURE TO MEET GOOD FAITH REQUIREMENT**

Where the apparent lowest responsive bidder fails to submit sufficient participation by DBE firms to meet the contract goal and upon a determination by the Good Faith Committee based upon the information submitted that the apparent lowest responsive bidder failed to make sufficient reasonable efforts to meet the contract goal, the bidder will be offered the opportunity to meet in person for administrative reconsideration. The bidder will be notified of the Committee's decision within 24 hours of its decision. The bidder will have 24 hours to request reconsideration of the Committee's decision. The reconsideration meeting will be held within two days of the receipt of a request by the bidder for reconsideration.

The request for reconsideration will be heard by the Office of the Secretary. The bidder will have the opportunity to present written documentation or argument concerning the issue of whether it met the goal or made an adequate good faith effort. The bidder will receive a written decision on the reconsideration explaining the basis for the finding that the bidder did or did not meet the goal or made adequate Good Faith efforts to do so.

The result of the reconsideration process is not administratively appealable to the Cabinet or to the United States Department of Transportation.

The Cabinet reserves the right to award the contract to the next lowest responsive bidder or to rebid the contract in the event that the contract is not awarded to the low bidder as the result of a failure to meet the good faith requirement.

### **SANCTIONS FOR FAILURE TO MEET DBE REQUIREMENTS OF THE PROJECT**

Failure by the prime contractor to fulfill the DBE requirements of a project under contract or to demonstrate good faith efforts to meet the goal constitutes a breach of contract. When this occurs, the Cabinet will hold the prime contractor accountable, as would be the case with all other contract provisions. Therefore, the contractor's failure to carry out the DBE contract requirements shall constitute a breach of contract and as such the Cabinet reserves the right to exercise all administrative remedies at its disposal including, but not limited to the following:

- Suspension of Prequalification;
- Disallow credit toward the DBE goal;
- Withholding progress payments;
- Withholding payment to the prime in an amount equal to the unmet portion of the contract goal; and/or
- Termination of the contract.

### **PROMPT PAYMENT**

The prime contractor will be required to pay the DBE and Non-DBE Subcontractors within seven (7) working days after he or she has received payment from the Kentucky Transportation Cabinet for work performed or materials furnished.

### **CONTRACTOR REPORTING**

All contractors must keep detailed records and provide reports to the Cabinet on their progress in meeting the DBE requirement on any highway contract. These records may include, but shall not be limited to payroll, lease agreements, cancelled payroll checks, executed subcontracting agreements, etc. Prime contractors will be required to complete and submit a **signed and notarized** Affidavit of Subcontractor Payment (TC 18-7) and copies of checks for any monies paid to each DBE subcontractor or supplier utilized to meet a DBE goal. These documents must be completed and signed within 7 days of being paid by the Cabinet.

Payment information that needs to be reported includes date the payment is sent to the DBE, check number, Contract ID, amount of payment and the check date. Before Final Payment is made on this contract, the Prime Contractor will certify that all payments were made to the DBE subcontractor and/or DBE suppliers.

\*\*\*\*\* IMPORTANT \*\*\*\*\*

**Please mail the original, signed and completed TC (18-7) Affidavit of Subcontractor Payment form and all copies of checks for payments listed above to the following address:**

Office for Civil Rights and Small Business Development  
6<sup>th</sup> Floor West 200 Mero Street  
Frankfort, KY 40622

The prime contractor should notify the KYTC Office for Civil Rights and Small Business Development seven (7) days prior to DBE contractors commencing work on the project. The contact in this office is Mr. Tony Youssefi. Mr. Youssefi's current contact information is email address – [tyousseffi@ky.gov](mailto:tyousseffi@ky.gov) and the telephone number is (502) 564-3601.

### **DEFAULT OR DECERTIFICATION OF THE DBE**

If the DBE subcontractor or supplier is decertified or defaults in the performance of its work, and the overall goal cannot be credited for the uncompleted work, the prime contractor may utilize a substitute DBE or elect to fulfill the DBE goal with another DBE on a different work item. If after exerting good faith effort in accordance with the Cabinet's Good Faith Effort policies and procedures, the prime contractor is unable to replace the DBE, then the unmet portion of the goal may be waived at the discretion of the Cabinet.

### **PROHIBITION ON TELECOMMUNICATIONS EQUIPMENT OR SERVICES**

In accordance with the FY 2019 National Defense Authorization Act (NDAA), 2 CFR 200.216, and 2 CFR 200.471, Federal agencies are prohibited, after August 13, 2020, from obligating or expending financial assistance to obtain certain telecommunications and video surveillance services and equipment from specific producers. As a result of these regulations, contractors and subcontractors are prohibited, on projects with federal funding participation, from providing telecommunication or video surveillance equipment, services, or systems produced by:

- Huawei Technologies Company or ZTE Corporation (or any subsidiary or affiliate of such entities)
- Hytera Communications Corporation, Hangzhou Hikvision Digital Technology Company, or Dahua Technology Company (or any subsidiary or affiliate of such entities)

Revised: 2/29/2024

**LEGAL REQUIREMENTS AND RESPONSIBILITY TO THE PUBLIC – CARGO PREFERENCE ACT (CPA).**

**(REV 12-17-15) (1-16)**

SECTION 7 is expanded by the following new Article:

**102.10 Cargo Preference Act – Use of United States-flag vessels.**

Pursuant to Title 46CFR Part 381, the Contractor agrees

- To utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels.
- To furnish within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, 'on-board' commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph 1 of this section to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Division of National Cargo, Office of Market Development, Maritime Administration, Washington, DC 20590.
- To insert the substance of the provisions of this clause in all subcontracts issued pursuant to this contract.

## **Special Notes Applicable to Project – General Notes & Description of Work**

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Except as specified herein, perform all work in accordance with the Department's Standard Specifications, Supplemental Specifications, applicable Special Notes and Special Provisions, and applicable Standard and Sepia Drawings, current editions. Section references are to the Standard Specifications.

### **CAUTION – PROPOSAL INFORMATION IS APPROXIMATE – PERFORM AN ON-SITE INSPECTION**

Potential bidders are cautioned that the information within this proposal is approximate only and is not to be taken as an exact evaluation of the bid quantities, nor the materials and conditions that may be encountered during construction. As such, before submitting a bid, potential bidders shall make a thorough inspection of the site to examine the conditions to be encountered per Section 104.07. Furthermore, during the execution of the work, the Engineer reserves the right to make changes to the bid item quantities and/or alterations in the work when necessary to complete the project satisfactorily per Section 104.02.

**NOTE:** The Department will pay for bid item quantity overruns, but only if pre-approved by the Engineer.

### **STATIONING**

1-9066.00 Graves KY 97

The contractor is advised that the planned locations of work were established from a beginning station number, which is STA 850+08 and corresponds to Milepoint 16.10 along KY 97.

2-9031.00 Muhlenberg US 431

The contractor is advised that the planned locations of work were established from a beginning station number, which is STA 213+84 and corresponds to Milepoint 4.05 along US 431.

3-966.00 Warren KY 185

The contractor is advised that the planned locations of work were established from a beginning station number, which is STA 557+04 and corresponds to Milepoint 10.55 along KY 185.

**NOTE:** The existing mile marker signs may not correspond to the proposed work locations.

### **ON-SITE INSPECTION**

Before submitting a bid for the work, make a thorough inspection of the site and determine existing conditions so that the work can be expeditiously performed after a contract is awarded. The Department will consider submission of a bid to be evidence of this inspection having been made. The Department will not honor any claims for money or time extension resulting from site conditions.

### **RIGHT OF WAY LIMITS**

The Department has not established the exact limits of the Right-of-Way. Unless a consent and release form is obtained from the adjoining property owner, limit work activities to the obvious Right-of-Way and staging areas secured and environmentally cleared by the Contractor at no additional cost to the Department. In the event that private improvements (i.e. fences, buildings, etc.) encroach upon the Right-of-Way, the contractor shall notify the Engineer and limit work activities in order to NOT disturb the improvements. If they become necessary, the Department will secure consent and releases from property owners through the Engineer. Be responsible for all encroachments onto private lands.

### **CONTROL**

Perform all work under the absolute control of the Department of Highways. Obtain the Engineer's approval of all designs required to be furnished by the Contractor prior to incorporation into the work. The Department

## General Notes & Description of Work

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reserves the right to have other work performed by other contractors and its own forces and to permit public utility companies and others to do work during the construction within the limits of, or adjacent to, the project. Conduct operations and cooperate with such other parties so that interference with such other work will be reduced to a minimum. The Department will not honor any claims for money or time extension created by the operations of such other parties. Should a difference of opinion arise as to the rights of the Contractor and others working within the limits of, or adjacent to, the project, the Engineer will decide as to the respective rights of the various parties involved in order to assure the completion of the Department's work in general harmony and in a satisfactory manner, and his/her decision shall be final and binding upon the Contractor.

### **DESCRIPTION OF WORK**

Except as specified herein, perform all work in accordance with the Department's Standard Specifications, Supplemental Specifications, applicable Special Notes and Special Provisions, and applicable Standard and Sepia Drawings, current editions. Furnish all materials, labor, equipment, and incidentals for the following work:

**High Friction Surface Treatment.** A High Friction Surface Treatment (HFST) is to be installed. Locations are noted on the HFST Detail Sheet and the HFST Summary. Refer to the Special Note for Polymer Concrete Overlay Systems and the Special Note for Striping on High Friction Surface for more information on this item of work.

SPECIAL NOTE FOR POLYMER CONCRETE OVERLAY SYSTEMS

I. DESCRIPTION

This work shall be performed in accordance with the current edition of the Department’s Standard Specifications, and applicable Standard or Sepia Drawings, except as hereafter specified. Article references are to the Standard Specifications.

The Contractor shall furnish all materials, labor, and equipment for the following work:  
(1) Maintaining and Controlling Traffic; (2) Cleaning and preparing the existing surface; (3) Installing a high friction surface treatment in accordance with the contract documents; and (4) All other work as specified as part of this contract.

II. MATERIALS

Provide for sampling and testing of all materials in accordance with the Department's Materials Field Sampling and Testing Manual. Make materials available, within the State of Kentucky, for sampling a sufficient time in advance of the use of the materials. Allow a minimum of 15 working days for testing. The Contractor shall use materials listed on the Department’s List of Approved Materials for Polymer Concrete Overlay Systems (High Friction Surface and Bridge Deck Overlays).

- A. **Maintain and Control Traffic.** See Traffic Control Plan.
- B. **High Friction Surface Treatment.** The high friction surface treatment shall consist of a polymer resin binder and aggregate system chosen from the Department’s List of Approved Materials. The Department will obtain samples of each binder component and aggregate at a frequency of one sample per lot per contract. The Department will obtain one, one-quart (32 ounce) sample of each binder component for testing. The Department will obtain one 60 to 70 pound composite sample of aggregate for testing. Reclaimed aggregate shall not be allowed for use.
- a) **Binder.** The polymer resin binder shall hold the aggregate firmly in position and meet the following requirements:

| TWO-PART MODIFIED BINDER REQUIREMENTS |                                     |                     |
|---------------------------------------|-------------------------------------|---------------------|
| Property                              | Specification Limits                | Test Method         |
| Ultimate Tensile Strength             | 17.0 – 25.0 MPa (19.65 MPa)         | ASTM D638           |
| Compressive Strength                  | 5mm min.; > 13 MPa                  | ASTM D695           |
| Gel Time                              | 50 ml; 10 minutes min. (16 minutes) | ASTM D2471          |
| Elongation at break                   | 30% minimum (54.0%)                 | ASTM D638           |
| Peak Exothermic Temperature           | 150°F min.                          | ASTM D2471          |
| Water Absorption                      | Less than 0.25%                     | ASTM D570           |
| Shore Hardness                        | 70 min.                             | ASTM D2240, Shore D |
| Cure Rate                             | 3 hours max                         | ASTM D1640 @ 75°F   |
| Mixing Ratio                          | Per Manufacturer’s Recommendation   | n/a                 |

b) **Aggregate.** Ensure that the aggregate is clean, dry and free from foreign matter and meets the following requirements:

| AGGREGATE REQUIREMENTS                           |                                                          |             |
|--------------------------------------------------|----------------------------------------------------------|-------------|
| Property                                         | Specification Limits                                     | Test Method |
| SFC – Side Force Coefficient                     | 0.70 min.                                                | ASTM E670   |
| SN – Skid Number                                 | 75 min SN40R                                             | ASTM E274   |
| PSV – Polished Stone Value                       | 75.0 mm max. (70 mm)                                     | ASTM E660   |
| Texture Depth – Sand Patch Method                | 1 mm min. (1.2 mm)                                       | ASTM E965   |
| AAV – Aggregate Abrasion Value                   | 20 max                                                   | AASHTO T96  |
| Aggregate Gradation                              | 95.0 – 100.0% Passing No. 6<br>0.0 – 5.0% Passing No. 16 | AASHTO T27  |
| Aluminum Oxide (Al <sub>2</sub> O <sub>3</sub> ) | 87 min                                                   | ASTM C114   |

III. CONSTRUCTION METHODS

Prior to beginning work, provide the Engineer with a certification from the manufacturer of the binder stating that all material used in the work will meet the requirements of Section II B. a. in this Special Note. Also provide the Engineer with a certification stating that all aggregates used in the work will meet the requirements of Section II B. b. of this Special Note.

- A. **Maintain and Control Traffic.** See Traffic Control Plan.
- B. **Site Preparation.** Be responsible for all site preparation, including but not limited to the following:
- a) **Preparation and Restoration.** Ensure that a manufacturer’s representative is on site to provide technical assistance during the start up operations and as necessary during the surface preparation, material placement, and during any necessary remedial work.
  - b) **Protective Coverings.** Utilities, drainage structures, curbs, bridge joints, and any other structure within or adjacent to the high friction surface treatment location shall be protected from surface preparation activities and application of the surface treatment materials. Cover and protect all existing pavement markings that are adjacent to the surface treatment location. Pavement markings that conflict with the surface application shall be removed prior to performing the required surface preparation.
  - c) **Surface Preparation.** Prepare all surfaces in accordance with the following requirements. Ensure surfaces are dry and meet the requirements of the section immediately prior to installation of the high friction surface treatment. Surfaces contaminated with oils, greases, or other deleterious materials not removed by the required surface preparation shall be washed with a mild detergent solution, rinsed with clean potable water, and dried using a hot compressed air lance.
  - d) **Asphalt Pavement.** Clean asphalt pavement surfaces using mechanical sweepers and high pressure air wash. Mechanically sweep all surfaces to remove dirt, loose aggregate, debris, and deleterious material. Air wash all surfaces using a minimum of 180 CFM clean and dry compressed air. Maintain

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the air lance perpendicular to the surface and the tip of air lance within 12 inches of the surface. For applications on new asphalt pavement, ensure the surface has cured a minimum of 30 days prior to performing surface preparation and installation of the high friction surface treatment.

- e) **Concrete Pavement.** Clean concrete pavement surfaces by shot blasting and vacuum sweeping. Shot blast all surfaces to remove all curing compound, loosely bonded mortar, surface carbonation, and deleterious material. The prepared surface shall comply with the International Concrete Repair Institute (ICRI) standard for surface roughness CSP 5. After shot blasting, vacuum sweep all surfaces to remove all dust, debris, and deleterious material.
  - f) **Concrete Bridge Deck.** Clean the entire area of the deck surface and vertical faces of curbs, barrier walls and plinths up to a height of one inch above the top elevation of the overlay, and areas to receive epoxy-sand slurry, by shot blasting and vacuum sweeping. Shot blast all surfaces to remove all curing compound, loosely bonded mortar, surface carbonation, and deleterious material. Areas to receive epoxy-sand slurry shall be cleaned to a bright, clean appearance. The prepared bridge deck surface to receive high friction surface treatment shall comply with the International Concrete Repair Institute (ICRI) standard for surface roughness CSP 5. After shot blasting, vacuum sweep all surfaces to remove all dust, debris, and deleterious material.
  - g) **Pre-Treating.** Pre-treat joints and cracks greater than 1/4 inch in width and depth with properly proportioned and mixed polymer resin binder. Once the binder in the pre-treated areas has gelled, the installation of the high friction surface treatment may proceed.
- C. **Mechanized Application.** Do not apply surface treatment on a wet surface, when the ambient air or surface temperature is below 50°F or above 110°F, or when the anticipated weather conditions or surface temperature would prevent the proper application of the surface treatment as determined by the manufacturer.

Apply the polymer resin binder by a truck or trailer mounted application machine that must be capable of continually mixing and delivering the binder components on demand within the temperature range specified in varying widths of up to 12 feet wide at a uniform application thickness. Ensure that the mechanically applied distributing equipment includes accurate measuring devices and/or calibrated containers and thermometers for measuring the binder temperature prior to placement should heating be required. Operations will proceed in such a manner that will not allow the binder material to separate in the mixing lines, cure, dry, or otherwise impair retention bonding of the high friction surfacing aggregate. The application machine shall be equipped with flushing systems such that blockages of lines will not occur, and installation operations are not delayed, stopped, or otherwise compromised. Ensure that mechanical applications are capable of applying binder uniformly at a minimum rate of 10 gallons per minute. The mixed components are mechanically applied onto a prepared surface with a minimum coverage rate of 3.5 square yards per gallon at a minimum uniform thickness of 50 mils onto the surface. In addition, ensure that the application machine complies with the requirements of the binder manufacturer.

The aggregate shall be applied within 120 seconds of the binder application onto the surface. Uniformly spread aggregate immediately without causing excessive overlap of aggregate outside of coverage area. Ensure that the mechanical aggregate spreader is capable of applying a continuous application of varying widths up to 12 feet wide, in a manner to not violently disturb the wet binder film, at a rate of approximately 13-15 lbs per square yard. Complete coverage of the "wet" binder with aggregate is necessary to achieve a uniform surface. No exposed wet spots of the binder shall be visible once the aggregate is installed. The operations should proceed in such a manner that will not allow the mixed binder material to separate, cure, dry, be exposed, or otherwise harden in such a way as to impair retention and bonding of the high friction surfacing aggregate. Do not use reclaimed aggregate. Do not use vibratory or impact type compaction on the aggregate after placement.

- D. **Hand Application.** At the Engineers discretion, corrective work and application to areas such as intersections or areas less than 300 square yards, or where truck mounted application machines are not



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applicable to the specified locations because of logistical restrictions, may be performed by hand application of the high friction surface treatment.

Do not apply surface treatment on a wet surface, when the ambient air or surface temperature is below 50°F or above 110°F, or when the anticipated weather conditions or surface temperature would prevent the proper application of the surface treatment as determined by the manufacturer.

The polymer resin binder components Part (A) and Part (B) shall be proportioned to the correct ratio (+/- 2% by volume ), mixed using a low speed high torque drill fitted with a helical stirrer.

The mixed components shall be hand applied onto a prepared surface at a minimum coverage rate of 3.5 square yards per gallon at a minimum uniform thickness of 50 mils onto the surface. Hand applied binder will be uniformly spread onto the prepared surface by the use of a continuous V notch serrated edged squeegee.

Immediately after placing the binder, apply the aggregate, in a manner to not violently disturb the wet binder film, at a rate of approximately 13-15 lbs per square yard. Do not use reclaimed aggregate. Do not use vibratory or impact type compaction on the aggregate after placement.

- E. Curing of Installed High Friction Surface Treatment.** Allow the installed high friction surface treatment to cure in accordance with manufacturer recommendations (approximately 3 hours at an ambient air temperature of at least 50 degrees Fahrenheit). Protect treated surfaces from traffic and environmental effects until the area has cured.
- F. Removal of Excess Aggregate.** Remove the excess aggregate from the treatment area and all adjacent surfaces by mechanical sweeping or vacuum sweeping the surfaces a minimum of 3 times before applying additional application and/or opening to traffic. In addition, re-sweep the treatment area and adjacent surfaces using mechanical sweeping or vacuum sweeping 48 hours after opening to traffic to remove all additional loose aggregate and aggregate shed by the action of traffic.
- G. Disposal of Waste.** All debris, excess aggregate, materials containers, and other waste shall be disposed of off the Right-of-Way at approved sites obtained by the Contractor at no cost to the Department. No separate payment will be made for the disposal of waste and debris from the project, but shall be incidental to the other items of the work.
- H. Restoration.** Any roadway features disturbed by the work or the Contractor's operations shall be restored in like kind materials and design as directed by the Engineer at no additional cost to the Department.
- I. Property Damage.** Be responsible for all damage to public and/or private property resulting from the work. Repair or replace damaged roadway features in like kind materials and design as directed by the Engineer at no additional cost to the Department. Repair or replace damaged private property in like kind materials and design to the satisfaction of the owner and the Engineer at no additional cost to the Department.
- J. On-Site Inspection.** Before submitting a bid for the work, make a thorough inspection of the site and determine existing conditions so that the work can be expeditiously performed after a contract is awarded. The Department will consider submission of a bid to be evidence of this inspection having been made. The Department will not honor any claims for money or time extension resulting from site conditions.
- K. Right-of-Way Limits.** All work is located within the existing right of way. Limit work activities to the Right-of-Way, and work and staging areas secured by the Contractor, at no additional cost to the Department. Be responsible for all encroachments onto private lands.
- L. Caution.** The information in this proposal and the type of work listed herein are approximate only and are not to be taken as an exact evaluation of the materials and conditions to be encountered during construction; the bidder must draw his/her own conclusions when developing the Unit Bid Prices for each bid item. As such, if the conditions encountered are not in accordance with the information shown, the Department does not

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guarantee any changes to the Unit Bid Prices nor extension of the contract will be considered. The Department will pay for bid item quantity overruns, but only if pre-approved by the Engineer.

- M. Control.** Perform all work under the absolute control of the Department of Highways. Obtain the Engineer's approval of all designs required to be furnished by the Contractor prior to incorporation into the work. The Department reserves the right to have other work performed by other contractors and its own forces, and to permit public utility companies and others to do work during the construction within the limits of, or adjacent to, the project. Conduct operations and cooperate with such other parties so that interference with such other work will be reduced to a minimum. The Department will not honor any claims for money or time extension created by the operations of such other parties.

Should a difference of opinion arise as to the rights of the Contractor and others working within the limits of, or adjacent to, the project, the Engineer will decide as to the respective rights of the various parties involved in order to assure the completion of the Department's work in general harmony and in a satisfactory manner, and his/her decision shall be final and binding upon the Contractor.

#### IV. FIELD EVALUATION

High friction surface treatment locations that can be safely tested at 40 mph shall be evaluated by locked wheel skid test as per ASTM E274 between 60 and 90 days after installation. A minimum skid number of 75 SN40R is required. Installations that are not conducive to skid testing due to roadway geometrics or speed limitations shall be accepted based upon visual determination of acceptable bond and aggregate exposure.

Surface treatment applications not meeting average minimum skid test results of 75 SN **shall be removed and replaced** at no cost to the Department.

#### V. METHOD OF MEASUREMENT

- A. Maintain and Control Traffic.** See Traffic Control Plan.
- B. Site Preparation.** Other than the bid items listed, site preparation will not be measured for payment, but shall be incidental to high friction surface treatment.
- C. High Friction Surface Treatment.** The Department will measure the surface area coverage of High Friction Surface Treatment in Square Yards.

#### VI. BASIS OF PAYMENT

- A. Maintain and Control Traffic.** See Traffic Control Plan.
- B. High Friction Surface Treatment.** Payment for the accepted quantity at the Contract unit price per Square Yard shall be full compensation for furnishing all labor, materials, equipment, and incidentals for furnishing and installing High Friction Surface Treatment. Payment shall not be made prior to the final and accepted sweeping, 48 hours after installation.

## Special Note for Completion Date & Liquidated Damages

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### I. COMPLETION DATE

The ultimate fixed completion date for this project will be **August 31, 2027**. Liquidated Damages for failure to complete the project on time will be assessed following Section 108.09.

### II. LIQUIDATED DAMAGES

In addition to the requirements of Section 108.09, the Department will assess Liquidated Damages in the amount of **\$1,000** per hour for each hour, or fraction of an hour, for any and all lane closures that are in place beyond the time frame(s) noted in the Traffic Control Plan and approved by the Engineer.

Contrary to Section 108.09, Liquidated Damages will be assessed regardless of whether seasonal limitations prohibit the Contractor from performing work on the controlling operation.

Contrary to Section 108.09, Liquidated Damages will be assessed for the months of December through March.

All liquidated damages will be applied accumulatively.

All other applicable portions of Section 108 apply.

## **SPECIAL PROVISION FOR WASTE AND BORROW SITES**

Obtain U.S. Army Corps of Engineer's approval before utilizing a waste or borrow site that involves "Waters of the United States". The Corps of Engineers defines "Waters of the United States" as perennial or intermittent streams, ponds or wetlands. The Corps of Engineers also considers ephemeral streams, typically dry except during rainfall but having a defined drainage channel, to be jurisdictional waters. Direct questions concerning any potential impacts to "Waters of the United States" to the attention of the appropriate District Office for the Corps of Engineers for a determination prior to disturbance. Be responsible for any fees associated with obtaining approval for waste and borrow sites from the U.S. Army Corps of Engineer or other appropriate regulatory agencies.

1-296 Waste & Borrow Sites  
01/02/2012

## **COORDINATION OF WORK WITH OTHER CONTRACTS**

Be advised, there may be an active project(s) adjacent to or within this project. The Engineer will coordinate the work of the Contractors. See Section 105.06.

1-3193 Coordination Contracts  
01/02/2012

### **SPECIAL NOTE FOR TYPICAL SECTION DIMENSIONS**

Consider the dimensions shown on the typical sections for pavement and shoulder widths and thickness' to be nominal or typical dimensions. The Engineer may direct or approve varying the actual dimensions to be constructed to fit existing conditions. Do not widen existing pavement or shoulders unless specified elsewhere in this proposal or directed by the engineer.

1-3725 Typical Section Dimensions  
01/02/2012

TRAFFIC CONTROL PLAN

TRAFFIC CONTROL GENERAL

Except as provided herein, traffic shall be maintained in accordance with the current editions of the Manual on Uniform Traffic Control Devices (MUTCD), Standard Specifications, Supplemental Specifications, and the Standard and Sepia Drawings. Except for the roadway and traffic control bid items listed, all items of work necessary to maintain and control traffic shall be paid at the lump sum bid price to “Maintain and Control Traffic”.

Contrary to Section 106.01, traffic control devices used on this project may be new, or used in like new condition, at the beginning of the work and maintained in like new condition until completion of the work. Any temporary traffic control items, devices, materials, and incidentals shall remain the property of the contractor unless otherwise addressed, when no longer needed.

PROJECT PHASING & CONSTRUCTION PROCEDURES

Maintain alternating one-way traffic during construction. Provide a minimum clear lane width of 10 feet; however, provide for passage of vehicles of up to 16 feet in width. If traffic should be stopped due to construction operations, and a school bus or emergency vehicle on an official run arrives on the scene, make provisions for the passage of the school bus or emergency vehicle as quickly as possible.

Unless otherwise approved by the Engineer, no lane closures will be allowed during the following times:

|                        |                                                                    |
|------------------------|--------------------------------------------------------------------|
| Thanksgiving Holiday   | 3 pm Wednesday, November 25, 2026 – 8 pm Sunday, November 29, 2026 |
| Christmas Holiday      | 7 am Thursday, December 24, 2026 – 8 pm Sunday, December 27, 2026  |
| New Year’s Day Holiday | 7 am Thursday, December 31, 2026 – 8 pm Friday, January 1, 2027    |
| Easter Weekend         | 3 pm Friday, March 26, 2027 – 8 pm Sunday, March 28, 2027          |
| Memorial Day Weekend   | 3 pm Friday, May 28, 2027 – 8 pm Monday, May 31, 2027              |
| Independence Day       | 7 am Friday, July 2, 2027 – 11 pm Sunday, July 4, 2027             |

Do NOT erect lane closures during the following days and/or hours:

Normal Workday Rush Hours

Monday-Friday 7:00 AM – 9:00 AM, and 4:00 PM – 6:00 PM, daily

At the discretion of the Engineer, additional days and hours may be specified when lane closures will not be allowed.

The Contractor shall submit proposed lane closure days and times to the Engineer at least 14 calendar days in advance for approval.

NOTE: In addition to the typical work zone signing, two “LOOSE GRAVEL” signs (W8-7), one sign for each direction of travel, shall be erected prior to the installation of the HFST and shall remain in place until the final 48-hour vacuum sweep has been completed. These signs shall be installed in accordance with the current edition of Standard Drawing TTD-125.

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### **LANE CLOSURES**

Long term lane closures shall not be allowed; therefore, lane closures will not be measured for payment. Do not leave lane closures in place during non-working hours and prohibited periods

### **TEMPORARY SIGNS**

Temporary signposts and splices shall be compliant with NCHRP 350 or MASH. Manufacturer's documentation validating this compliance shall be provided to the Engineer prior to installation. Temporary signs, including any splices, shall be installed according to manufacturer's specifications and installation recommendations. Contrary to section 112.04.02, only long-term temporary signs (temporary signs intended to be continuously in place for more than 3 days) will be measured for payment. Short-term temporary signs (temporary signs intended to be left in place for 3 days or less) will not be measured for payment but will be incidental to Maintain and Control Traffic.

### **CHANGEABLE MESSAGE SIGNS**

Provide changeable message signs in advance of and within the project at locations determined by the Engineer. If work is in progress concurrently in both directions or if more than one lane closure is in place in the same direction of travel, provide additional changeable message signs as directed by the Engineer. Place changeable message signs approximately one mile in advance of the anticipated queue at each lane closure. As the actual queue lengthens and/or shortens, relocate or provide additional changeable message signs so that traffic has warning of slowed or stopped traffic at least one mile but not more than two miles before reaching the end of the actual queue. The Engineer may vary the designated locations as the work progresses. The Engineer will determine the messages to be displayed. In the event of damage or mechanical/electrical failure, repair or replace the Changeable Message Sign. If the damage or mechanical/electrical failure is identified during active work operations, repair or replace the Changeable Message Sign within 6 hours. If the damage or mechanical/electrical failure is identified when there are no active work operations on the project, repair or replace the Changeable Message Sign within 12 hours. The Department will measure for payment the maximum number of Changeable Message Signs in concurrent use at the same time on a single day on all sections of the contract. The Department will measure individual Changeable Message Signs only once for payment, regardless of how many times they are set, reset, removed, and/or relocated during the duration of the project. The Department will not measure for payment any replacements for damaged Changeable Message Signs or any changeable message signs the Engineer directs to be replaced due to poor condition or readability. Retain possession of the Changeable Message Signs upon completion of the work.

### **TEMPORARY ENTRANCES**

The Engineer will not require the Contractor to provide continuous access to farms, single family, duplex, or triplex residential properties during working hours; however, provide reasonable egress and ingress to each such property when actual operations are not in progress at that location. Limit the time during which a farm or residential entrance is blocked to the minimum length of time required for actual operations, not extended for the Contractor's convenience, and in no case exceeding six (6) hours. Notify all residents twenty-four hours in



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advance of any driveway or entrance closings and make any accommodations necessary to meet the access needs of disabled residents.

Except as allowed by the Phasing as specified above, maintain direct access to all side streets and roads, schools, churches, commercial properties, and apartments or apartment complexes of four or more units at all times. Access to fire hydrants must also be maintained at all times

**PAVEMENT MARKINGS**

If there is to be a deviation from the existing striping plan, the Engineer will furnish the Contractor a striping plan prior to placement of the final surface course. Install Temporary Striping according to Section 112 with the following exception:

If the Contractor's operations or phasing requires temporary markings that must subsequently be removed from the final surface course, use an approved removable lane tape; however, the Department will not measure removable lane tape for separate payment, but will measure and pay for removable lane tape as temporary striping.

## **USE AND PLACEMENT OF CHANGEABLE MESSAGE SIGNS**

The following policy is based upon current Changeable Message Signs (CMS) standards and practice from many sources, including the Federal Highway Administration (FHWA), other State Departments of Transportation, and Traffic Safety Associations. It is understood that each CMS installation or use requires individual consideration due to the specific location or purpose. However, there will be elements that are constant in nearly all applications. Accordingly, these recommended guidelines bring a level of uniformity, while still being open to regional experience and engineering judgment.

### **Application**

The primary purpose of CMS is to advise the driver of unexpected traffic and routing situations. Examples of applications where CMS can be effective include:

- Closures (road, lane, bridge, ramp, shoulder, interstate)
- Changes in alignment or surface conditions
- Significant delays, congestion
- Construction/maintenance activities (delays, future activities)
- Detours/alternative routes
- Special events with traffic and safety implications
- Crash/incidents
- Vehicle restrictions (width, height, weight, flammable)
- Advance notice of new traffic control devices
- Real-time traffic conditions (must be kept up to date)
- Weather /driving conditions, environmental conditions, Roadway Weather Information Systems
- Emergency Situations
- Referral to Highway Advisory Radio (if available)
- Messages as approved by the County Engineer's Office

### **CMS should not be used for:**

- Replacement of static signs (e.g. ROAD WORK AHEAD), regulatory signage (e.g. speed limits), pavement markings, standard traffic control devices, conventional warning or guide signs.
- Replacement of lighted arrow board
- Advertising (Don't advertise the event unless clarifying "action" to be taken by driver – e.g. Speedway traffic next exit)
- Generic messages
- Test messages (portable signs only)
- Describe recurrent congestion (e.g. rush hour)
- Public service announcements (not traffic related)

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## **Messages**

Basic principles that are important to providing proper messages and ensuring the proper operation of a CMS are:

- Visible for at least ½ mile under ideal daytime and nighttime conditions
- Legible from all lanes a minimum of 650 feet
- Entire message readable twice while traveling at the posted speed
- No more than two message panels should be used (three panels may be used on roadways where vehicles are traveling less than 45 mph). A panel is the message that fits on the face of the sign without flipping or scrolling.
- Each panel should convey a single thought; short and concise
- Do not use two unrelated panels on a sign
- Do not use the sign for two unrelated messages
- Should not scroll text horizontally or vertically
- Should not contain both the words left and right
- Use standardized abbreviations and messages
- Should be accurate and timely
- Avoid filler/unnecessary words and periods (hazardous, a, an, the)
- Avoid use of speed limits
- Use words (not numbers) for dates

## **Placement**

Placement of the CMS is important to ensure that the sign is visible to the driver and provides ample time to take any necessary action. Some of the following principles may only be applicable to controlled access roadways. The basic principles of placement for a CMS are:

- When 2 signs are needed, place on same side of roadway and at least 1,000 feet apart
- Place behind semi-rigid/rigid protection (guardrail, barrier) or outside of the clear zone
- Place 1,000 feet in advance of work zone; at least one mile ahead of decision point
- Normally place on right side of roadway; but should be placed closest to the affected lane so that either side is acceptable
- Signs should not be dual mounted (one on each side of roadway facing same direction)
- Point trailer hitch downstream
- Secure to immovable object to prevent theft (if necessary)
- Do not place in sags or just beyond crest
- Check for reflection of sun to prevent the blinding of motorist
- Should be turned ~3 degrees outward from perpendicular to the edge of pavement
- Bottom of sign should be 7 feet above the elevation of edge of roadway
- Should be removed when not in use

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# **Standard Abbreviations**

The following is a list of standard abbreviations to be used on CMS:

| <b><u>Word</u></b>  | <b><u>Abbrev</u></b> | <b><u>Example</u></b>               |
|---------------------|----------------------|-------------------------------------|
| Access              | ACCS                 | CRASH AHEAD/ USE ACCS RD NEXT RIGHT |
| Alternate           | ALT                  | CRASH AHEAD/ USE ALT RTE NEXT RIGHT |
| Avenue              | AVE                  | FIFTH AVE CLOSED/ DETOUR NEXT LEFT  |
| Blocked             | BLKD                 | FIFTH AVE BLKD/ MERGE LEFT          |
| Boulevard           | BLVD                 | MAIN BLVD CLOSED/ USE ALT RTE       |
| Bridge              | BRDG                 | SMITH BRDG CLOSED/ USE ALT RTE      |
| Cardinal Directions | N, S, E, W           | N I75 CLOSED/ DETOUR EXIT 30        |
| Center              | CNTR                 | CNTR LANE CLOSED/ MERGE LEFT        |
| Commercial          | COMM                 | OVRSZ COMM VEH/ USE I275            |
| Condition           | COND                 | ICY COND POSSIBLE                   |
| Congested           | CONG                 | HVY CONG NEXT 3 MI                  |
| Construction        | CONST                | CONST WORK AHEAD/ EXPECT DELAYS     |
| Downtown            | DWNTN                | DWNTN TRAF USE EX 40                |
| Eastbound           | E-BND                | E-BND I64 CLOSED/ DETOUR EXIT 20    |
| Emergency           | EMER                 | EMER VEH AHEAD/ PREPARE TO STOP     |
| Entrance, Enter     | EX, EXT              | DWNTN TRAF USE EX 40                |
| Expressway          | EXPWY                | WTRSN EXPWY CLOSED/ DETOUR EXIT 10  |
| Freeway             | FRWY, FWY            | GN SYNDR FWY CLOSED/ DETOUR EXIT 15 |
| Hazardous Materials | HAZMAT               | HAZMAT IN ROADWAY/ ALL TRAF EXIT 25 |
| Highway             | HWY                  | CRASH ON AA HWY/ EXPECT DELAYS      |
| Hour                | HR                   | CRASH ON AA HWY/ 2 HR DELAY         |
| Information         | INFO                 | TRAF INFO TUNE TO 1240 AM           |
| Interstate          | I                    | E-BND I64 CLOSED/ DETOUR EXIT 20    |
| Lane                | LN                   | LN CLOSED MERGE LEFT                |
| Left                | LFT                  | LANE CLOSED MERGE LFT               |
| Local               | LOC                  | LOC TRAF USE ALT RTE                |
| Maintenance         | MAINT                | MAINT WRK ON BRDG/ SLOW             |
| Major               | MAJ                  | MAJ DELAYS I75/ USE ALT RTE         |
| Mile                | MI                   | CRASH 3 MI AHEAD/ USE ALT RTE       |
| Minor               | MNR                  | CRASH 3 MI MNR DELAY                |
| Minutes             | MIN                  | CRASH 3 MI/ 30 MIN DELAY            |
| Northbound          | N-BND                | N-BND I75 CLOSED/ DETOUR EXIT 50    |
| Oversized           | OVRSZ                | OVRSZ COMM VEH/ USE I275 NEXT RIGHT |
| Parking             | PKING                | EVENT PKING NEXT RGT                |
| Parkway             | PKWY                 | CUM PKWAY TRAF/ DETOUR EXIT 60      |
| Prepare             | PREP                 | CRASH 3 MI/ PREP TO STOP            |
| Right               | RGT                  | EVENT PKING NEXT RGT                |
| Road                | RD                   | HAZMAT IN RD/ ALL TRAF EXIT 25      |
| Roadwork            | RDWK                 | RDWK NEXT 4 MI/ POSSIBLE DELAYS     |
| Route               | RTE                  | MAJ DELAYS I75/ USE ALT RTE         |
| Shoulder            | SHLDR                | SHLDR CLOSED NEXT 5 MI              |
| Slippery            | SLIP                 | SLIP COND POSSIBLE/ SLOW SPD        |
| Southbound          | S-BND                | S-BND I75 CLOSED/ DETOUR EXIT 50    |
| Speed               | SPD                  | SLIP COND POSSIBLE/ SLOW SPD        |

Traffic Control Plan  
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**Standard Abbreviations** (cont.)

| <b><u>Word</u></b> | <b><u>Abbrev</u></b> | <b><u>Example</u></b>               |
|--------------------|----------------------|-------------------------------------|
| Street             | ST                   | MAIN ST CLOSED/ USE ALT RTE         |
| Traffic            | TRAF                 | CUM PKWAY TRAF/ DETOUR EXIT 60      |
| Vehicle            | VEH                  | OVRSZ COMM VEH/ USE I275 NEXT RIGHT |
| Westbound          | W-BND                | W-BND I64 CLOSED/ DETOUR EXIT 50    |
| Work               | WRK                  | CONST WRK 2MI/ POSSIBLE DELAYS      |

Certain abbreviations are prone to inviting confusion because another word is abbreviated or could be abbreviated in the same way. DO NOT USE THESE ABBREVIATIONS:

| <b><u>Abbrev</u></b> | <b><u>Intended Word</u></b> | <b><u>Word Erroneously Given</u></b> |
|----------------------|-----------------------------|--------------------------------------|
| ACC                  | Accident                    | Access (Road)                        |
| CLRS                 | Clears                      | Colors                               |
| DLY                  | Delay                       | Daily                                |
| FDR                  | Feeder                      | Federal                              |
| L                    | Left                        | Lane (merge)                         |
| LOC                  | Local                       | Location                             |
| LT                   | Light (traffic)             | Left                                 |
| PARK                 | Parking                     | Park                                 |
| POLL                 | Pollution (index)           | Poll                                 |
| RED                  | Reduce                      | Red                                  |
| STAD                 | Stadium                     | Standard                             |
| TEMP                 | Temporary                   | Temperature                          |
| WRNG                 | Warning                     | Wrong                                |

**Typical Messages**

The following is a list of typical messages used on CMS. The list consists of the reason or problem that you want the driver to be aware of and the action that you want the driver to take.

| <b><u>Reason/Problem</u></b> | <b><u>Action</u></b> |
|------------------------------|----------------------|
| CRASH AHEAD                  | ALL TRAFFIC EXIT RT  |
| CRASH/XX MILES               | AVOID DELAY USE XX   |
| XX ROAD CLOSED               | CONSIDER ALT ROUTE   |
| XX EXIT CLOSED               | DETOUR               |
| BRIDGE CLOSED                | DETOUR XX MILES      |
| BRIDGE/(SLIPPERY, ICE, ETC.) | DO NOT PASS          |
| CENTER/LANE/CLOSED           | EXPECT DELAYS        |
| DELAY(S), MAJOR/DELAYS       | FOLLOW ALT ROUTE     |
| DEBRIS AHEAD                 | KEEP LEFT            |
| DENSE FOG                    | KEEP RIGHT           |
| DISABLED/VEHICLE             | MERGE XX MILES       |
| EMER/VEHICLES/ONLY           | MERGE LEFT           |
| EVENT PARKING                | MERGE RIGHT          |
| EXIT XX CLOSED               | ONE-WAY TRAFFIC      |
| FLAGGER XX MILES             | PASS TO LEFT         |

Typical Messages (cont.)

| Reason/Problem                                               | Action             |
|--------------------------------------------------------------|--------------------|
| FOG XX MILES                                                 | PASS TO RIGHT      |
| FREEWAY CLOSED                                               | PREPARE TO STOP    |
| FRESH OIL                                                    | REDUCE SPEED       |
| HAZMAT SPILL                                                 | SLOW               |
| ICE                                                          | SLOW DOWN          |
| INCIDENT AHEAD                                               | STAY IN LANE       |
| LANES (NARROW, SHIFT, MERGE, ETC.)                           | STOP AHEAD         |
| LEFT LANE CLOSED                                             | STOP XX MILES      |
| LEFT LANE NARROWS                                            | TUNE RADIO 1610 AM |
| LEFT 2 LANES CLOSED                                          | USE NN ROAD        |
| LEFT SHOULDER CLOSED                                         | USE CENTER LANE    |
| LOOSE GRAVEL                                                 | USE DETOUR ROUTE   |
| MEDIAN WORK XX MILES                                         | USE LEFT TURN LANE |
| MOVING WORK ZONE, WORKERS IN ROADWAY                         | USE NEXT EXIT      |
| NEXT EXIT CLOSED                                             | USE RIGHT LANE     |
| NO OVERSIZED LOADS                                           | WATCH FOR FLAGGER  |
| NO PASSING                                                   |                    |
| NO SHOULDER                                                  |                    |
| ONE LANE BRIDGE                                              |                    |
| PEOPLE CROSSING                                              |                    |
| RAMP CLOSED                                                  |                    |
| RAMP (SLIPPERY, ICE, ETC.)                                   |                    |
| RIGHT LANE CLOSED                                            |                    |
| RIGHT LANE NARROWS                                           |                    |
| RIGHT SHOULDER CLOSED                                        |                    |
| ROAD CLOSED                                                  |                    |
| ROAD CLOSED XX MILES                                         |                    |
| ROAD (SLIPPERY, ICE, ETC.)                                   |                    |
| ROAD WORK                                                    |                    |
| ROAD WORK (OR CONSTRUCTION) (TONIGHT, TODAY, TOMORROW, DATE) |                    |
| ROAD WORK XX MILES                                           |                    |
| SHOULDER (SLIPPERY, ICE, SOFT, BLOCKED, ETC.)                |                    |
| NEW SIGNAL XX MILES                                          |                    |
| SLOW 1 (OR 2) - WAY TRAFFIC                                  |                    |
| SOFT SHOULDER                                                |                    |
| STALLED VEHICLES AHEAD                                       |                    |
| TRAFFIC BACKUP                                               |                    |
| TRAFFIC SLOWS                                                |                    |
| TRUCK CROSSING                                               |                    |
| TRUCKS ENTERING                                              |                    |
| TOW TRUCK AHEAD                                              |                    |
| UNEVEN LANES                                                 |                    |
| WATER ON ROAD                                                |                    |
| WET PAINT                                                    |                    |
| WORK ZONE XX MILES                                           |                    |
| WORKERS AHEAD                                                |                    |



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RIGHT OF WAY CERTIFICATION

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                 |                                   |                         |                            |                                                 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------|-----------------------------------|-------------------------|----------------------------|-------------------------------------------------|
| <input checked="" type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Original                                                        | <input type="checkbox"/>          | Re-Certification        | RIGHT OF WAY CERTIFICATION |                                                 |
| ITEM #                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                 | COUNTY                            | PROJECT # (STATE)       |                            | PROJECT # (FEDERAL)                             |
| 1-9066.00                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                 | Graves                            | FD52 042 0097 016-017   |                            | HSIP 9010(796)                                  |
| PROJECT DESCRIPTION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                 |                                   |                         |                            |                                                 |
| High Friction Surface Treatment on KY 97 beginning at MP 16.00 and ending at MP 16.40 in Graves County.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                 |                                   |                         |                            |                                                 |
| <input checked="" type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | No Additional Right of Way Required                             |                                   |                         |                            |                                                 |
| Construction will be within the limits of the existing right of way. The right of way was acquired in accordance to FHWA regulations under the Uniform Relocation Assistance and Real Property Acquisitions Policy Act of 1970, as amended. No additional right of way or relocation assistance were required for this project.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                 |                                   |                         |                            |                                                 |
| <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Condition # 1 (Additional Right of Way Required and Cleared)    |                                   |                         |                            |                                                 |
| All necessary right of way, including control of access rights when applicable, have been acquired including legal and physical possession. Trial or appeal of cases may be pending in court but legal possession has been obtained. There may be some improvements remaining on the right-of-way, but all occupants have vacated the lands and improvements, and KYTC has physical possession and the rights to remove, salvage, or demolish all improvements and enter on all land. Just Compensation has been paid or deposited with the court. All relocations have been relocated to decent, safe, and sanitary housing or that KYTC has made available to displaced persons adequate replacement housing in accordance with the provisions of the current FHWA directive.                                                                                                    |                                                                 |                                   |                         |                            |                                                 |
| <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Condition # 2 (Additional Right of Way Required with Exception) |                                   |                         |                            |                                                 |
| The right of way has not been fully acquired, the right to occupy and to use all rights-of-way required for the proper execution of the project has been acquired. Some parcels may be pending in court and on other parcels full legal possession has not been obtained, but right of entry has been obtained, the occupants of all lands and improvements have vacated, and KYTC has physical possession and right to remove, salvage, or demolish all improvements. Just Compensation has been paid or deposited with the court for most parcels. Just Compensation for all pending parcels will be paid or deposited with the court prior to AWARD of construction contract                                                                                                                                                                                                    |                                                                 |                                   |                         |                            |                                                 |
| <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Condition # 3 (Additional Right of Way Required with Exception) |                                   |                         |                            |                                                 |
| The acquisition or right of occupancy and use of a few remaining parcels are not complete and/or some parcels still have occupants. All remaining occupants have had replacement housing made available to them in accordance with 49 CFR 24.204. KYTC is hereby requesting authorization to advertise this project for bids and to proceed with bid letting even though the necessary right of way will not be fully acquired, and/or some occupants will not be relocated, and/or the just compensation will not be paid or deposited with the court for some parcels until after bid letting. KYTC will fully meet all the requirements outlined in 23 CFR 635.309(c)(3) and 49 CFR 24.102(j) and will expedite completion of all acquisitions, relocations, and full payments after bid letting and prior to AWARD of the construction contract or force account construction. |                                                                 |                                   |                         |                            |                                                 |
| Total Number of Parcels on Project                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                 | 0                                 | EXCEPTION (S) Parcel #  |                            | ANTICIPATED DATE OF POSSESSION WITH EXPLANATION |
| Number of Parcels That Have Been Acquired                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                 |                                   |                         |                            |                                                 |
| Signed Deed                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                 |                                   |                         |                            |                                                 |
| Condemnation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                 |                                   |                         |                            |                                                 |
| Signed ROE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                 |                                   |                         |                            |                                                 |
| Notes/ Comments (Use Additional Sheet if necessary)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                 |                                   |                         |                            |                                                 |
| LPA RW Project Manager                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                 |                                   | Right of Way Supervisor |                            |                                                 |
| Printed Name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                 |                                   | Printed Name            |                            | Digitally signed by Francie Westlie             |
| Signature                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                 |                                   | Signature               |                            | Date: 2026.09.03 12:12:55                       |
| Date                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                 |                                   | Date                    |                            | -05'00'                                         |
| Right of Way Director                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                 |                                   | FHWA                    |                            |                                                 |
| Printed Name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                 |                                   | Printed Name            |                            |                                                 |
| Signature                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                 | Digitally signed by Kelly Divine  | Signature               |                            |                                                 |
| Date                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                 | Date: 2026.09.03 14:55:39 -05'00' | Date                    |                            |                                                 |



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RIGHT OF WAY CERTIFICATION

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|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------|--------------------------------------------------------------------------|-------------------------|-------------------------------------------------|-----------------------------------------------------------------------------|
| <input checked="" type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Original                                                        | <input type="checkbox"/>                                                 | Re-Certification        | RIGHT OF WAY CERTIFICATION                      |                                                                             |
| ITEM #                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                 | COUNTY                                                                   | PROJECT # (STATE)       |                                                 | PROJECT # (FEDERAL)                                                         |
| 2-9031.00                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                 | Muhlenberg                                                               | FD52 089 0431 004-005   |                                                 | HSIP 9010(797)                                                              |
| PROJECT DESCRIPTION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                 |                                                                          |                         |                                                 |                                                                             |
| High Friction Surface Treatment on US 431 beginning at MP 4.00 and ending at MP 4.25 in Muhlenberg County.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                 |                                                                          |                         |                                                 |                                                                             |
| <input checked="" type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | No Additional Right of Way Required                             |                                                                          |                         |                                                 |                                                                             |
| Construction will be within the limits of the existing right of way. The right of way was acquired in accordance to FHWA regulations under the Uniform Relocation Assistance and Real Property Acquisitions Policy Act of 1970, as amended. No additional right of way or relocation assistance were required for this project.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                 |                                                                          |                         |                                                 |                                                                             |
| <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Condition # 1 (Additional Right of Way Required and Cleared)    |                                                                          |                         |                                                 |                                                                             |
| All necessary right of way, including control of access rights when applicable, have been acquired including legal and physical possession. Trial or appeal of cases may be pending in court but legal possession has been obtained. There may be some improvements remaining on the right-of-way, but all occupants have vacated the lands and improvements, and KYTC has physical possession and the rights to remove, salvage, or demolish all improvements and enter on all land. Just Compensation has been paid or deposited with the court. All relocations have been relocated to decent, safe, and sanitary housing or that KYTC has made available to displaced persons adequate replacement housing in accordance with the provisions of the current FHWA directive.                                                                                                    |                                                                 |                                                                          |                         |                                                 |                                                                             |
| <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Condition # 2 (Additional Right of Way Required with Exception) |                                                                          |                         |                                                 |                                                                             |
| The right of way has not been fully acquired, the right to occupy and to use all rights-of-way required for the proper execution of the project has been acquired. Some parcels may be pending in court and on other parcels full legal possession has not been obtained, but right of entry has been obtained, the occupants of all lands and improvements have vacated, and KYTC has physical possession and right to remove, salvage, or demolish all improvements. Just Compensation has been paid or deposited with the court for most parcels. Just Compensation for all pending parcels will be paid or deposited with the court prior to AWARD of construction contract                                                                                                                                                                                                    |                                                                 |                                                                          |                         |                                                 |                                                                             |
| <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Condition # 3 (Additional Right of Way Required with Exception) |                                                                          |                         |                                                 |                                                                             |
| The acquisition or right of occupancy and use of a few remaining parcels are not complete and/or some parcels still have occupants. All remaining occupants have had replacement housing made available to them in accordance with 49 CFR 24.204. KYTC is hereby requesting authorization to advertise this project for bids and to proceed with bid letting even though the necessary right of way will not be fully acquired, and/or some occupants will not be relocated, and/or the just compensation will not be paid or deposited with the court for some parcels until after bid letting. KYTC will fully meet all the requirements outlined in 23 CFR 635.309(c)(3) and 49 CFR 24.102(j) and will expedite completion of all acquisitions, relocations, and full payments after bid letting and prior to AWARD of the construction contract or force account construction. |                                                                 |                                                                          |                         |                                                 |                                                                             |
| Total Number of Parcels on Project                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                 | 0                                                                        | EXCEPTION (S) Parcel #  | ANTICIPATED DATE OF POSSESSION WITH EXPLANATION |                                                                             |
| Number of Parcels That Have Been Acquired                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                 |                                                                          |                         |                                                 |                                                                             |
| Signed Deed                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                 |                                                                          |                         |                                                 |                                                                             |
| Condemnation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                 |                                                                          |                         |                                                 |                                                                             |
| Signed ROE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                 |                                                                          |                         |                                                 |                                                                             |
| Notes/ Comments (Use Additional Sheet if necessary)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                 |                                                                          |                         |                                                 |                                                                             |
| LPA RW Project Manager                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                 |                                                                          | Right of Way Supervisor |                                                 |                                                                             |
| Printed Name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                 |                                                                          | Printed Name            |                                                 |                                                                             |
| Signature                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                 |                                                                          | Signature               |                                                 | Digitally signed by Jennifer K. Cox<br>Date: 2026.09.03 12:20:05<br>-05'00' |
| Date                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                 |                                                                          | Date                    |                                                 |                                                                             |
| Right of Way Director                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                 |                                                                          | FHWA                    |                                                 |                                                                             |
| Printed Name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                 |                                                                          | Printed Name            |                                                 |                                                                             |
| Signature                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                 | Digitally signed by Kelly Divine<br>Date: 2026.09.04 15:13:21<br>-05'00' | Signature               |                                                 |                                                                             |
| Date                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                 |                                                                          | Date                    |                                                 |                                                                             |





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RIGHT OF WAY CERTIFICATION

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                 |                                                                          |                         |                            |                                                 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------|--------------------------------------------------------------------------|-------------------------|----------------------------|-------------------------------------------------|
| <input checked="" type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Original                                                        | <input type="checkbox"/>                                                 | Re-Certification        | RIGHT OF WAY CERTIFICATION |                                                 |
| ITEM #                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                 | COUNTY                                                                   | PROJECT # (STATE)       |                            | PROJECT # (FEDERAL)                             |
| 3-966.00                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                 | Warren                                                                   | FD52 114 0185 010-011   |                            | HSIP 9010(798)                                  |
| PROJECT DESCRIPTION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                 |                                                                          |                         |                            |                                                 |
| High Friction Surface Treatment on KY 185 beginning at MP 10.50 and ending at MP 11.00 in Warren County.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                 |                                                                          |                         |                            |                                                 |
| <input checked="" type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | No Additional Right of Way Required                             |                                                                          |                         |                            |                                                 |
| Construction will be within the limits of the existing right of way. The right of way was acquired in accordance to FHWA regulations under the Uniform Relocation Assistance and Real Property Acquisitions Policy Act of 1970, as amended. No additional right of way or relocation assistance were required for this project.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                 |                                                                          |                         |                            |                                                 |
| <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Condition # 1 (Additional Right of Way Required and Cleared)    |                                                                          |                         |                            |                                                 |
| All necessary right of way, including control of access rights when applicable, have been acquired including legal and physical possession. Trial or appeal of cases may be pending in court but legal possession has been obtained. There may be some improvements remaining on the right-of-way, but all occupants have vacated the lands and improvements, and KYTC has physical possession and the rights to remove, salvage, or demolish all improvements and enter on all land. Just Compensation has been paid or deposited with the court. All relocations have been relocated to decent, safe, and sanitary housing or that KYTC has made available to displaced persons adequate replacement housing in accordance with the provisions of the current FHWA directive.                                                                                                    |                                                                 |                                                                          |                         |                            |                                                 |
| <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Condition # 2 (Additional Right of Way Required with Exception) |                                                                          |                         |                            |                                                 |
| The right of way has not been fully acquired, the right to occupy and to use all rights-of-way required for the proper execution of the project has been acquired. Some parcels may be pending in court and on other parcels full legal possession has not been obtained, but right of entry has been obtained, the occupants of all lands and improvements have vacated, and KYTC has physical possession and right to remove, salvage, or demolish all improvements. Just Compensation has been paid or deposited with the court for most parcels. Just Compensation for all pending parcels will be paid or deposited with the court prior to AWARD of construction contract                                                                                                                                                                                                    |                                                                 |                                                                          |                         |                            |                                                 |
| <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Condition # 3 (Additional Right of Way Required with Exception) |                                                                          |                         |                            |                                                 |
| The acquisition or right of occupancy and use of a few remaining parcels are not complete and/or some parcels still have occupants. All remaining occupants have had replacement housing made available to them in accordance with 49 CFR 24.204. KYTC is hereby requesting authorization to advertise this project for bids and to proceed with bid letting even though the necessary right of way will not be fully acquired, and/or some occupants will not be relocated, and/or the just compensation will not be paid or deposited with the court for some parcels until after bid letting. KYTC will fully meet all the requirements outlined in 23 CFR 635.309(c)(3) and 49 CFR 24.102(j) and will expedite completion of all acquisitions, relocations, and full payments after bid letting and prior to AWARD of the construction contract or force account construction. |                                                                 |                                                                          |                         |                            |                                                 |
| Total Number of Parcels on Project                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                 | 0                                                                        | EXCEPTION (S) Parcel #  |                            | ANTICIPATED DATE OF POSSESSION WITH EXPLANATION |
| Number of Parcels That Have Been Acquired                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                 |                                                                          |                         |                            |                                                 |
| Signed Deed                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                 |                                                                          |                         |                            |                                                 |
| Condemnation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                 |                                                                          |                         |                            |                                                 |
| Signed ROE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                 |                                                                          |                         |                            |                                                 |
| Notes/ Comments (Use Additional Sheet if necessary)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                 |                                                                          |                         |                            |                                                 |
| LPA RW Project Manager                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                 |                                                                          | Right of Way Supervisor |                            |                                                 |
| Printed Name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                 |                                                                          | Printed Name            |                            | Mike Russell                                    |
| Signature                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                 |                                                                          | Signature               |                            |                                                 |
| Date                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                 |                                                                          | Date                    |                            | 9/3/2026                                        |
| Right of Way Director                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                 |                                                                          | FHWA                    |                            |                                                 |
| Printed Name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                 |                                                                          | Printed Name            |                            |                                                 |
| Signature                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                 |                                                                          | Signature               |                            |                                                 |
| Date                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                 | Digitally signed by Kelly Divine<br>Date: 2026.09.03 13:00:22<br>-05'00' | Date                    |                            |                                                 |

UTILITIES AND RAIL CERTIFICATION NOTE

|           |                   |                |                       |                               |
|-----------|-------------------|----------------|-----------------------|-------------------------------|
| 1-9066.00 | Graves County     | HSIP 9010(796) | FD52 042 0097 016-017 | KY 97 from MP 16.00 to 16.40  |
| 2-9031.00 | Muhlenberg County | HSIP 9010(797) | FD52 089 0431 004-005 | US 431 from MP 4.00 to 4.25   |
| 3-966.00  | Warren County     | HSIP 9010(798) | FD52 114 0185 010-011 | KY 185 from MP 10.50 to 11.00 |

Utility coordination efforts conducted by the project sponsor have determined that no utility relocation work is required to complete the project.

THE FOLLOWING RAIL COMPANIES HAVE FACILITIES IN CONJUNCTION WITH THIS PROJECT AS NOTED

☒ No Rail Involved      ☐ Minimal Rail Involved (See Below)      ☐ Rail Involved (See Below)

UNDERGROUND FACILITY DAMAGE PROTECTION – BEFORE YOU DIG

The contractor shall make every effort to protect underground facilities from damage as prescribed in the Underground Facility Damage Protection Act of 1994, Kentucky Revised Statute KRS 367.4901 to 367.4917. It is the contractor’s responsibility to determine and take steps necessary to be in compliance with federal and state damage prevention directives. The contractor is instructed to contact KY 811 for the location of existing underground utilities. Contact shall be made a minimum of two (2) and no more than ten (10) business days prior to excavation.

The contractor shall submit Excavation Locate Requests to the Kentucky Contact Center (KY 811) via web ticket entry. The submission of this request does not relieve the contractor from the responsibility of contacting non-member facility owners, whom are to be contacted through their individual Protection Notification Center. It may be necessary for the contractor to contact the County Court Clerk to determine what utility companies have facilities in the area. Non-compliance with these directives can result in the enforcement of penalties.

SPECIAL CAUTION NOTE – PROTECTION OF UTILITIES

The contractor will be responsible for contacting all utility facility owners on the subject project to coordinate his activities. The contractor will coordinate his activities to minimize and, where possible, avoid conflicts with utility facilities. Due to the nature of the work proposed, it is unlikely to conflict with the existing utilities beyond minor facility adjustments. Where conflicts with utility facilities are unavoidable, the contractor will coordinate any necessary relocation work with the facility owner and the Engineer. The Kentucky Transportation Cabinet maintains the right to remove or alter portions of this contract if a utility conflict occurs.

The utility facilities as noted in the previous section(s) have been determined using data garnered by varied means and with varying degrees of accuracy: from the facility owners, a result of S.U.E., field inspections, and/or reviews of record drawings. The facilities defined may not be inclusive of all utilities in the project scope and are not Level A quality, unless specified as such. It is the contractor’s responsibility to verify all utilities and their respective locations before excavating. *Please Note: The information presented in this Utility Note is informational in nature and the information contained herein is not guaranteed.*

MATERIAL SUMMARY

CONTRACT ID: 264704

121GR26T018-HSIP

0104200972601

KY HIGHWAY 97 (KY 97) HFST ON KY 97 HIGH FRICTION SURFACE, A DISTANCE OF .4 MILES.

| Project Line No | Bid Code | DESCRIPTION                                      | Quantity | Unit |
|-----------------|----------|--------------------------------------------------|----------|------|
| 0005            | 02562    | TEMPORARY SIGNS                                  | 200.00   | SQFT |
| 0010            | 02650    | MAINTAIN & CONTROL TRAFFIC - (GRAVES KY 97 HSIP) | 1.00     | LS   |
| 0015            | 02654    | TRUCK MOUNTED ATTENUATOR                         | 1.00     | EACH |
| 0020            | 02671    | PORTABLE CHANGEABLE MESSAGE SIGN                 | 2.00     | EACH |
| 0025            | 02775    | ARROW PANEL                                      | 1.00     | EACH |
| 0030            | 23229EC  | HIGH FRICTION SURFACE TREATMENT                  | 2,581.00 | SQYD |
| 0035            | 02569    | DEMOBILIZATION                                   | 1.00     | LS   |

CONTRACT ID: 264704

121GR26T018-HSIP

0208904312601

US HIGHWAY 431 (US 431) HFST ON US 431 HIGH FRICTION SURFACE, A DISTANCE OF .25 MILES.

| Project Line No | Bid Code | DESCRIPTION                                           | Quantity | Unit |
|-----------------|----------|-------------------------------------------------------|----------|------|
| 0040            | 02562    | TEMPORARY SIGNS                                       | 200.00   | SQFT |
| 0045            | 02650    | MAINTAIN & CONTROL TRAFFIC - (MUHLENBERG US 431 HSIP) | 1.00     | LS   |
| 0050            | 02654    | TRUCK MOUNTED ATTENUATOR                              | 1.00     | EACH |
| 0055            | 02671    | PORTABLE CHANGEABLE MESSAGE SIGN                      | 2.00     | EACH |
| 0060            | 02775    | ARROW PANEL                                           | 1.00     | EACH |
| 0065            | 23229EC  | HIGH FRICTION SURFACE TREATMENT                       | 1,525.00 | SQYD |
| 0070            | 02569    | DEMOBILIZATION                                        | 1.00     | LS   |

MATERIAL SUMMARY

CONTRACT ID: 264704

121GR26T018-HSIP

0311401852601

KY HIGHWAY 185 (KY 185) HFST ON KY 185 HIGH FRICTION SURFACE, A DISTANCE OF .5 MILES.

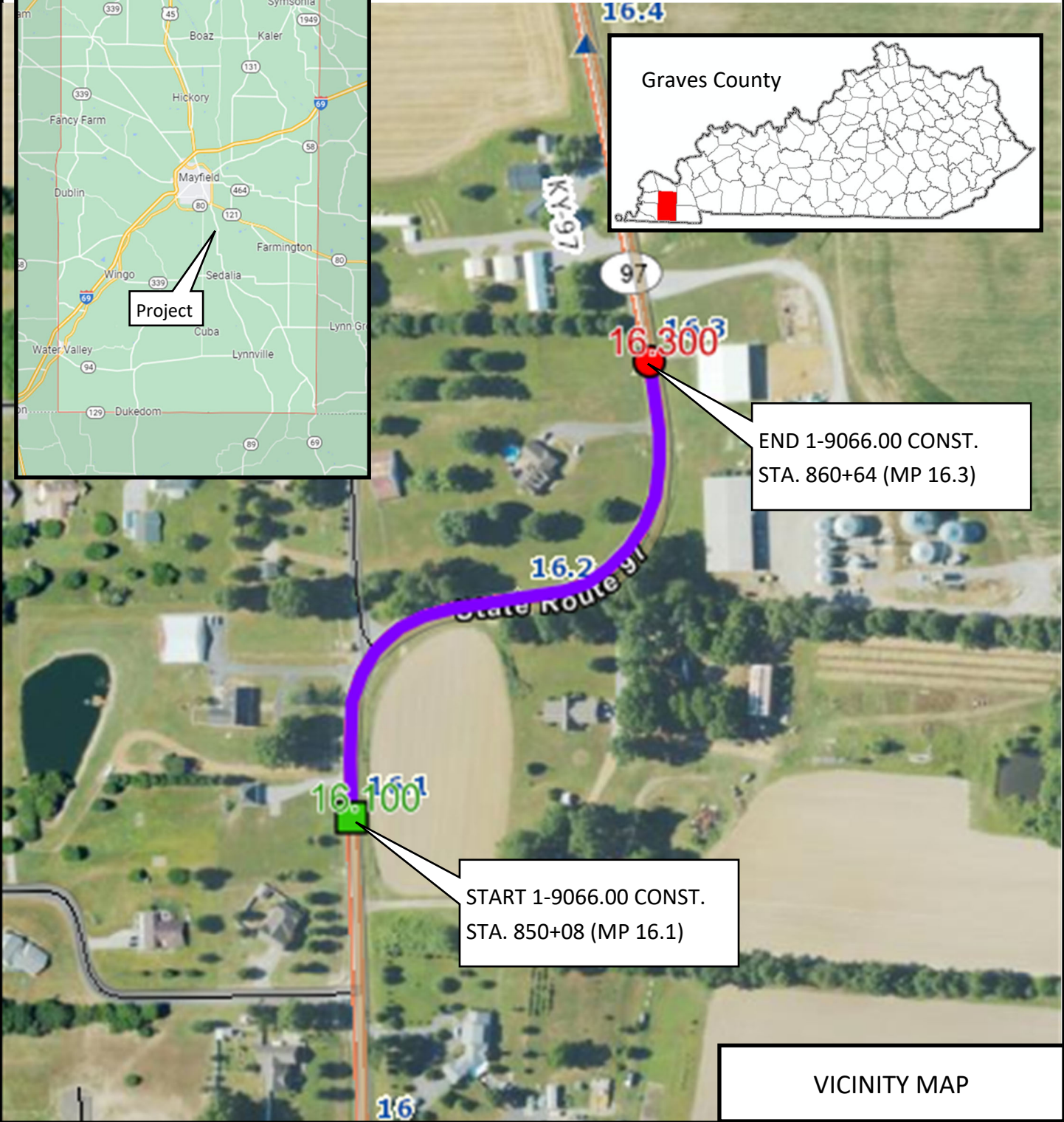
| Project Line No | Bid Code | DESCRIPTION                                       | Quantity | Unit |
|-----------------|----------|---------------------------------------------------|----------|------|
| 0075            | 02562    | TEMPORARY SIGNS                                   | 200.00   | SQFT |
| 0080            | 02650    | MAINTAIN & CONTROL TRAFFIC - (WARREN KY 185 HSIP) | 1.00     | LS   |
| 0085            | 02654    | TRUCK MOUNTED ATTENUATOR                          | 1.00     | EACH |
| 0090            | 02671    | PORTABLE CHANGEABLE MESSAGE SIGN                  | 2.00     | EACH |
| 0095            | 02775    | ARROW PANEL                                       | 1.00     | EACH |
| 0100            | 23229EC  | HIGH FRICTION SURFACE TREATMENT                   | 4,224.00 | SQYD |
| 0105            | 02569    | DEMOBILIZATION                                    | 1.00     | LS   |

COMMONWEALTH OF KENTUCKY  
DEPARTMENT OF HIGHWAYS

|           |           |
|-----------|-----------|
| COUNTY OF | ITEM NO.  |
| Graves    | 1-9066.00 |

GRAVES COUNTY

KY 97  
1-9066.00



END 1-9066.00 CONST.  
STA. 860+64 (MP 16.3)

START 1-9066.00 CONST.  
STA. 850+08 (MP 16.1)

VICINITY MAP

COMMONWEALTH OF KENTUCKY  
DEPARTMENT OF HIGHWAYS  
Graves County  
KY 97  
1-9066.00

|           |           |
|-----------|-----------|
| COUNTY OF | ITEM NO.  |
| Graves    | 1-9066.00 |

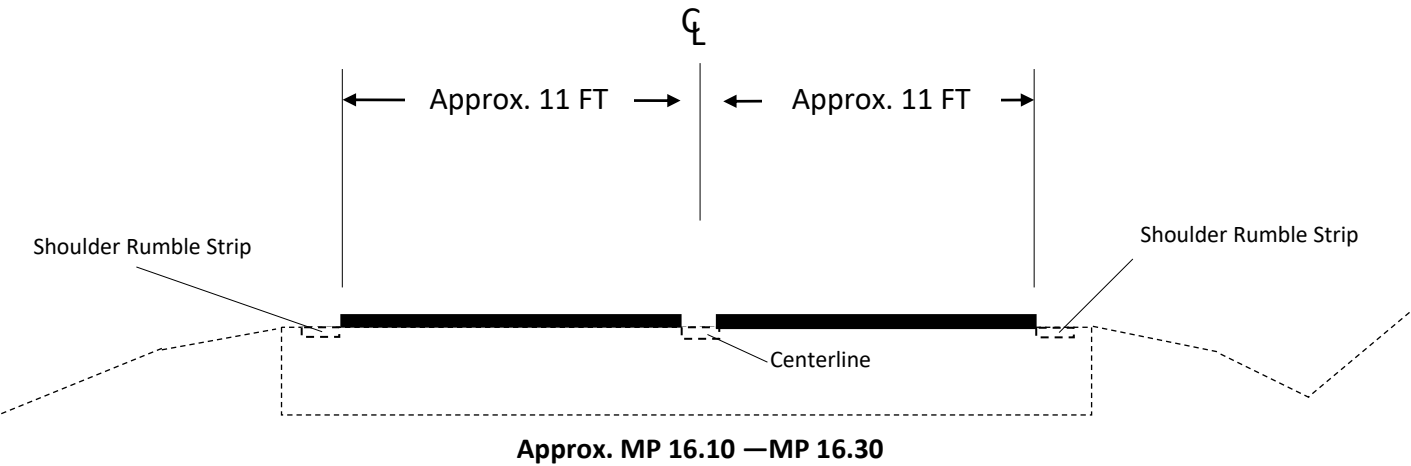
GENERAL SUMMARY

| BID CODE | DESCRIPTION                      | UNIT | QTY   |
|----------|----------------------------------|------|-------|
| 2562     | TEMPORARY SIGNS                  | SQFT | 200   |
| 2650     | MAINTAIN AND CONTROL TRAFFIC     | LS   | 1     |
| 2654     | TRUCK MOUNTED ATTENUATOR         | EACH | 1     |
| 2671     | PORTABLE CHANGEABLE MESSAGE SIGN | EACH | 2     |
| 2775     | ARROW PANEL                      | EACH | 1     |
| 23229EC  | HIGH FRICTION SURFACE TREATMENT  | SQYD | 2,581 |
| 2569     | DEMOBILIZATION                   | LS   | 1     |

|                                                                                                                                                                                    |                                 |                |               |                |                                    |           |          |             |      |     |         |                                 |      |       |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|----------------|---------------|----------------|------------------------------------|-----------|----------|-------------|------|-----|---------|---------------------------------|------|-------|
| <div>COMMONWEALTH OF KENTUCKY<br/>DEPARTMENT OF HIGHWAYS</div> <div>Graves County<br/>KY 97<br/>1-9066.00</div>                                                                    |                                 |                |               |                | COUNTY OF                          | ITEM NO.  |          |             |      |     |         |                                 |      |       |
|                                                                                                                                                                                    |                                 |                |               |                | Graves                             | 1-9066.00 |          |             |      |     |         |                                 |      |       |
| HIGH FRICTION SURFACE TREATMENT SUMMARY                                                                                                                                            |                                 |                |               |                |                                    |           |          |             |      |     |         |                                 |      |       |
| Station                                                                                                                                                                            |                                 | Length<br>(FT) | Width<br>(FT) | Area<br>(SQYD) | Notes                              |           |          |             |      |     |         |                                 |      |       |
| Begin                                                                                                                                                                              | End                             |                |               |                |                                    |           |          |             |      |     |         |                                 |      |       |
| 850+08                                                                                                                                                                             | 860+64                          | 1056           | 22            | 2,581          |                                    |           |          |             |      |     |         |                                 |      |       |
|                                                                                                                                                                                    |                                 |                | Total         | 2,581          |                                    |           |          |             |      |     |         |                                 |      |       |
| <table><tr><td>BID CODE</td><td>DESCRIPTION</td><td>UNIT</td><td>QTY</td></tr><tr><td>23229EC</td><td>HIGH FRICTION SURFACE TREATMENT</td><td>SQYD</td><td>2,581</td></tr></table> |                                 |                |               |                |                                    |           | BID CODE | DESCRIPTION | UNIT | QTY | 23229EC | HIGH FRICTION SURFACE TREATMENT | SQYD | 2,581 |
| BID CODE                                                                                                                                                                           | DESCRIPTION                     | UNIT           | QTY           |                |                                    |           |          |             |      |     |         |                                 |      |       |
| 23229EC                                                                                                                                                                            | HIGH FRICTION SURFACE TREATMENT | SQYD           | 2,581         |                |                                    |           |          |             |      |     |         |                                 |      |       |
|                                                                                                                                                                                    |                                 |                |               |                | PAVEMENT MARKING<br>& HFST SUMMARY |           |          |             |      |     |         |                                 |      |       |

COMMONWEALTH OF KENTUCKY  
DEPARTMENT OF HIGHWAYS  
GRAVES COUNTY  
KY 97  
1-9066.00

|           |           |
|-----------|-----------|
| COUNTY OF | ITEM NO.  |
| GRAVES    | 1-9066.00 |



**Centerline and Shoulder Rumble Strip Note:** The HFST should extend to, but not over, the centerline and shoulder rumble strips. Protect the centerline, shoulder rumble strips, and pavement markings.

- High Friction Surface Treatment (HFST)
- Centerline/Shoulder Rumble Strip

N.T.S.

TYPICAL SECTION

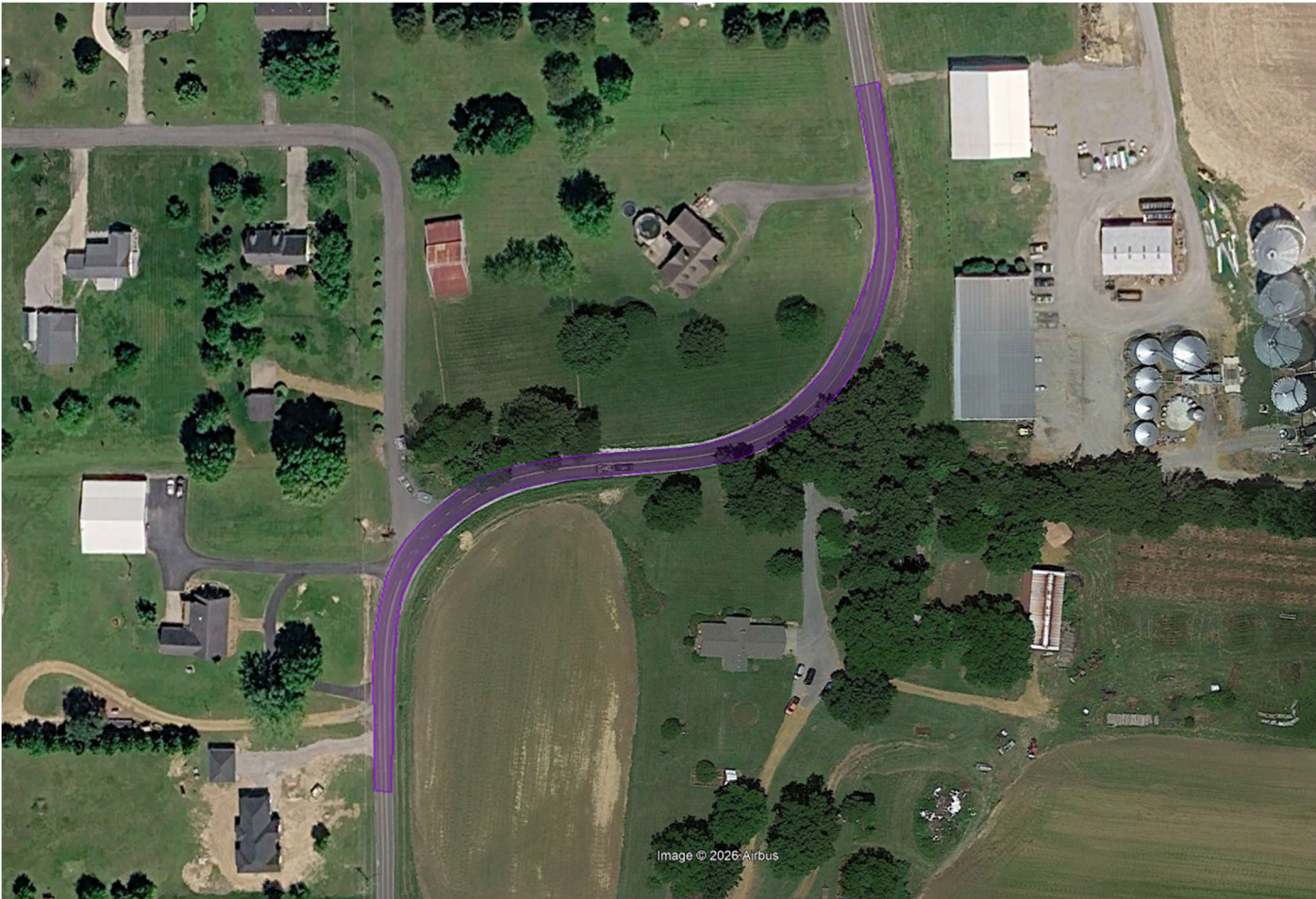


COMMONWEALTH OF KENTUCKY  
DEPARTMENT OF HIGHWAYS

GRAVES COUNTY

KY 97  
1-9066.00

|           |           |
|-----------|-----------|
| COUNTY OF | ITEM NO.  |
| Graves    | 1-9066.00 |



- Notes:
- The beginning and ending points shown on this detail are approximate. The intent is for the High Friction Surface (HFST) to begin approximately 100 feet prior to the beginning of the curve, and end approximately 100 feet past the end of the curve.
  - HFST shall only be placed on pavement that is in relatively good condition. The Engineer shall determine if the pavement is in acceptable condition prior to installing a HFST. If in doubt, the Engineer may contact CO HSIP staff to determine if pavement conditions have deteriorated significantly since the HFST site was identified.
  - The Engineer shall mark the beginning and ending points for the HFST in the field at the time of construction.

Legend

HFST Location 

DETAIL SHEET

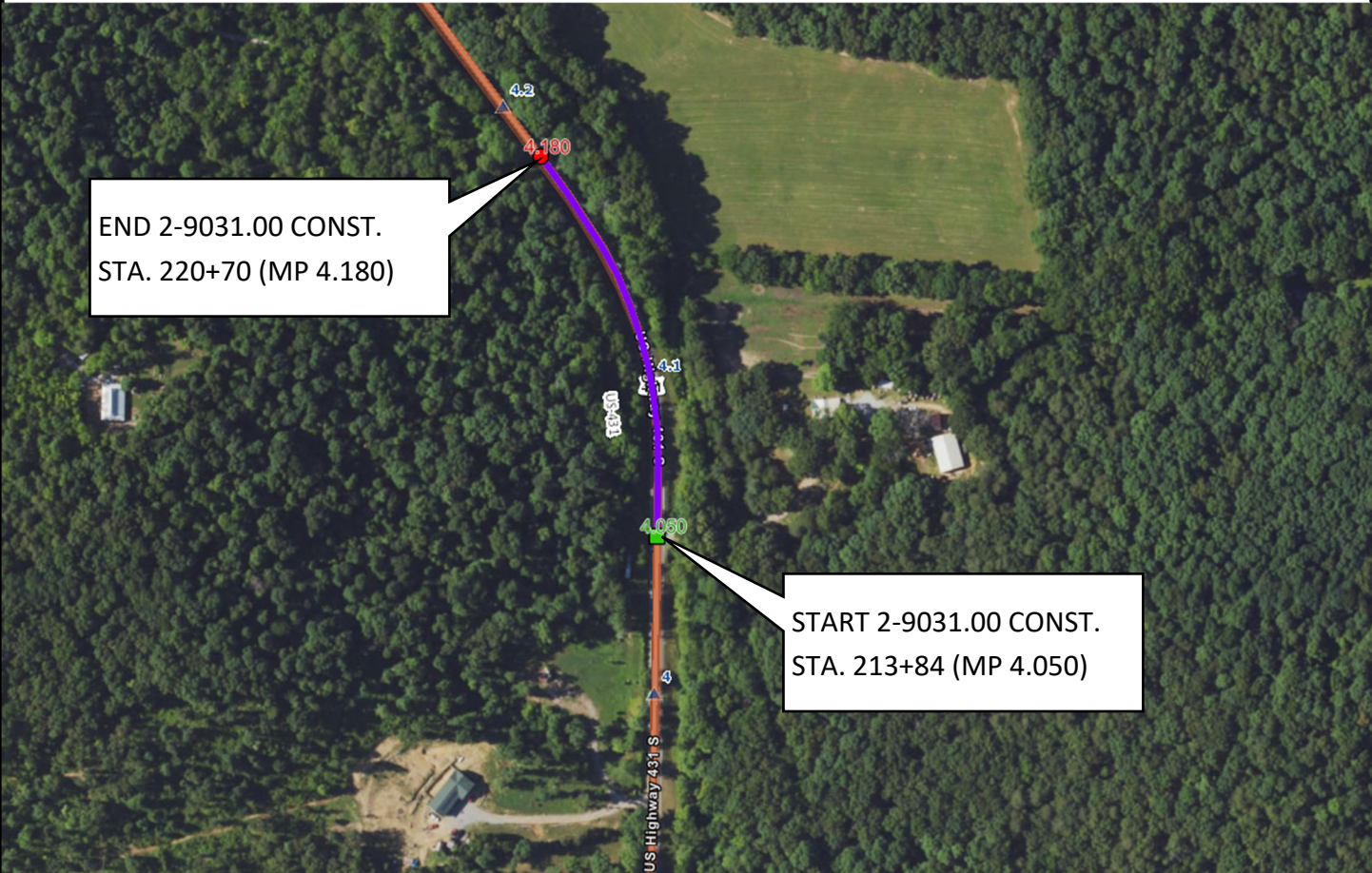
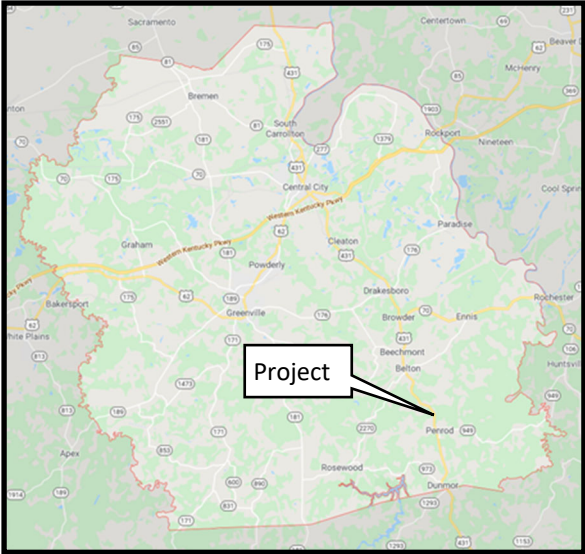


COMMONWEALTH OF KENTUCKY  
DEPARTMENT OF HIGHWAYS

|            |           |
|------------|-----------|
| COUNTY OF  | ITEM NO.  |
| Muhlenberg | 2-9031.00 |

MUHLENBERG COUNTY

US 431  
2-9031.00



VICINITY MAP

COMMONWEALTH OF KENTUCKY  
DEPARTMENT OF HIGHWAYS  
Muhlenberg County  
US 431  
2-9031.00

|            |           |
|------------|-----------|
| COUNTY OF  | ITEM NO.  |
| Muhlenberg | 2-9031.00 |

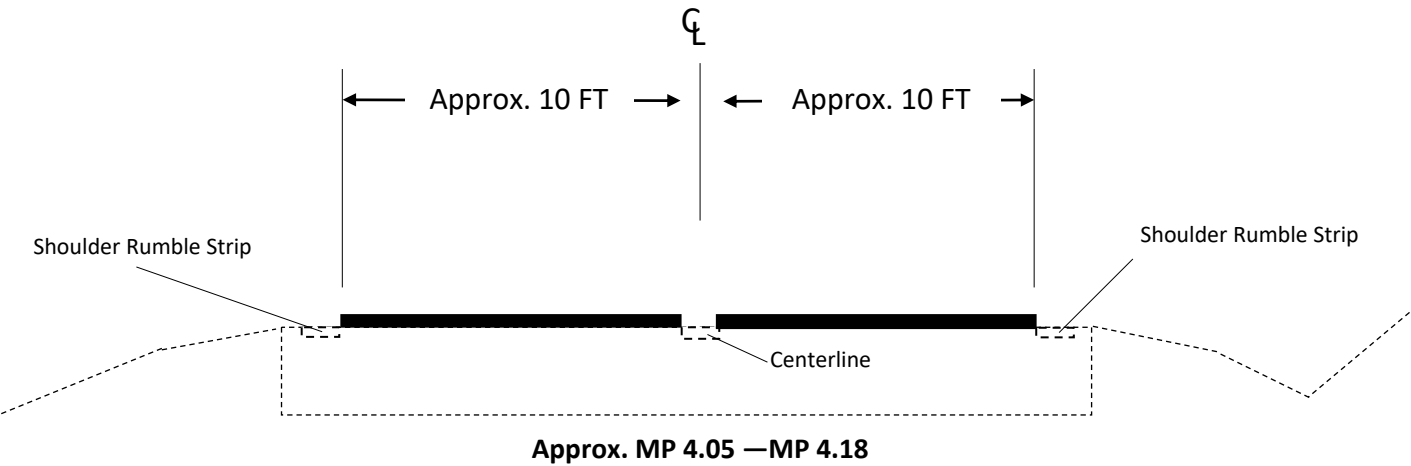
GENERAL SUMMARY

| BID CODE | DESCRIPTION                      | UNIT | QTY   |
|----------|----------------------------------|------|-------|
| 2562     | TEMPORARY SIGNS                  | SQFT | 200   |
| 2650     | MAINTAIN AND CONTROL TRAFFIC     | LS   | 1     |
| 2654     | TRUCK MOUNTED ATTENUATOR         | EACH | 1     |
| 2671     | PORTABLE CHANGEABLE MESSAGE SIGN | EACH | 2     |
| 2775     | ARROW PANEL                      | EACH | 1     |
| 23229EC  | HIGH FRICTION SURFACE TREATMENT  | SQYD | 1,525 |
| 2569     | DEMOBILIZATION                   | LS   | 1     |

|                                                                                                                                                                                    |                                 |                |               |                |                                    |           |          |             |      |     |         |                                 |      |       |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|----------------|---------------|----------------|------------------------------------|-----------|----------|-------------|------|-----|---------|---------------------------------|------|-------|
| <div><div>COMMONWEALTH OF KENTUCKY<br/>DEPARTMENT OF HIGHWAYS</div><div>Muhlenberg County<br/>US 431<br/>2-9031.00</div></div>                                                     |                                 |                |               |                | COUNTY OF                          | ITEM NO.  |          |             |      |     |         |                                 |      |       |
|                                                                                                                                                                                    |                                 |                |               |                | Muhlenberg                         | 2-9031.00 |          |             |      |     |         |                                 |      |       |
| HIGH FRICTION SURFACE TREATMENT SUMMARY                                                                                                                                            |                                 |                |               |                |                                    |           |          |             |      |     |         |                                 |      |       |
| Station                                                                                                                                                                            |                                 | Length<br>(FT) | Width<br>(FT) | Area<br>(SQYD) | Notes                              |           |          |             |      |     |         |                                 |      |       |
| Begin                                                                                                                                                                              | End                             |                |               |                |                                    |           |          |             |      |     |         |                                 |      |       |
| 213+84                                                                                                                                                                             | 220+70                          | 686            | 20            | 1,525          |                                    |           |          |             |      |     |         |                                 |      |       |
|                                                                                                                                                                                    |                                 |                | Total         | 1,525          |                                    |           |          |             |      |     |         |                                 |      |       |
| <table><tr><td>BID CODE</td><td>DESCRIPTION</td><td>UNIT</td><td>QTY</td></tr><tr><td>23229EC</td><td>HIGH FRICTION SURFACE TREATMENT</td><td>SQYD</td><td>1,525</td></tr></table> |                                 |                |               |                |                                    |           | BID CODE | DESCRIPTION | UNIT | QTY | 23229EC | HIGH FRICTION SURFACE TREATMENT | SQYD | 1,525 |
| BID CODE                                                                                                                                                                           | DESCRIPTION                     | UNIT           | QTY           |                |                                    |           |          |             |      |     |         |                                 |      |       |
| 23229EC                                                                                                                                                                            | HIGH FRICTION SURFACE TREATMENT | SQYD           | 1,525         |                |                                    |           |          |             |      |     |         |                                 |      |       |
|                                                                                                                                                                                    |                                 |                |               |                | PAVEMENT MARKING<br>& HFST SUMMARY |           |          |             |      |     |         |                                 |      |       |

COMMONWEALTH OF KENTUCKY  
DEPARTMENT OF HIGHWAYS  
MUHLENBERG COUNTY  
US 431  
2-9031.00

|            |           |
|------------|-----------|
| COUNTY OF  | ITEM NO.  |
| MUHLENBERG | 2-9031.00 |



**Centerline and Shoulder Rumble Strip Note:** The HFST should extend to, but not over, the centerline and shoulder rumble strips. Protect the centerline, shoulder rumble strips, and pavement markings.

- High Friction Surface Treatment (HFST)
- Centerline/Shoulder Rumble Strip

N.T.S.

TYPICAL SECTION



COMMONWEALTH OF KENTUCKY  
DEPARTMENT OF HIGHWAYS  
MUHLENBERG COUNTY

US 431  
2-9031.00

|            |           |
|------------|-----------|
| COUNTY OF  | ITEM NO.  |
| Muhlenberg | 2-9031.00 |



- Notes:
- The beginning and ending points shown on this detail are approximate. The intent is for the High Friction Surface (HFST) to begin approximately 100 feet prior to the beginning of the curve, and end approximately 100 feet past the end of the curve.
  - HFST shall only be placed on pavement that is in relatively good condition. The Engineer shall determine if the pavement is in acceptable condition prior to installing a HFST. If in doubt, the Engineer may contact CO HSIP staff to determine if pavement conditions have deteriorated significantly since the HFST site was identified.
  - The Engineer shall mark the beginning and ending points for the HFST in the field at the time of construction.

Legend

HFST Location 

DETAIL SHEET

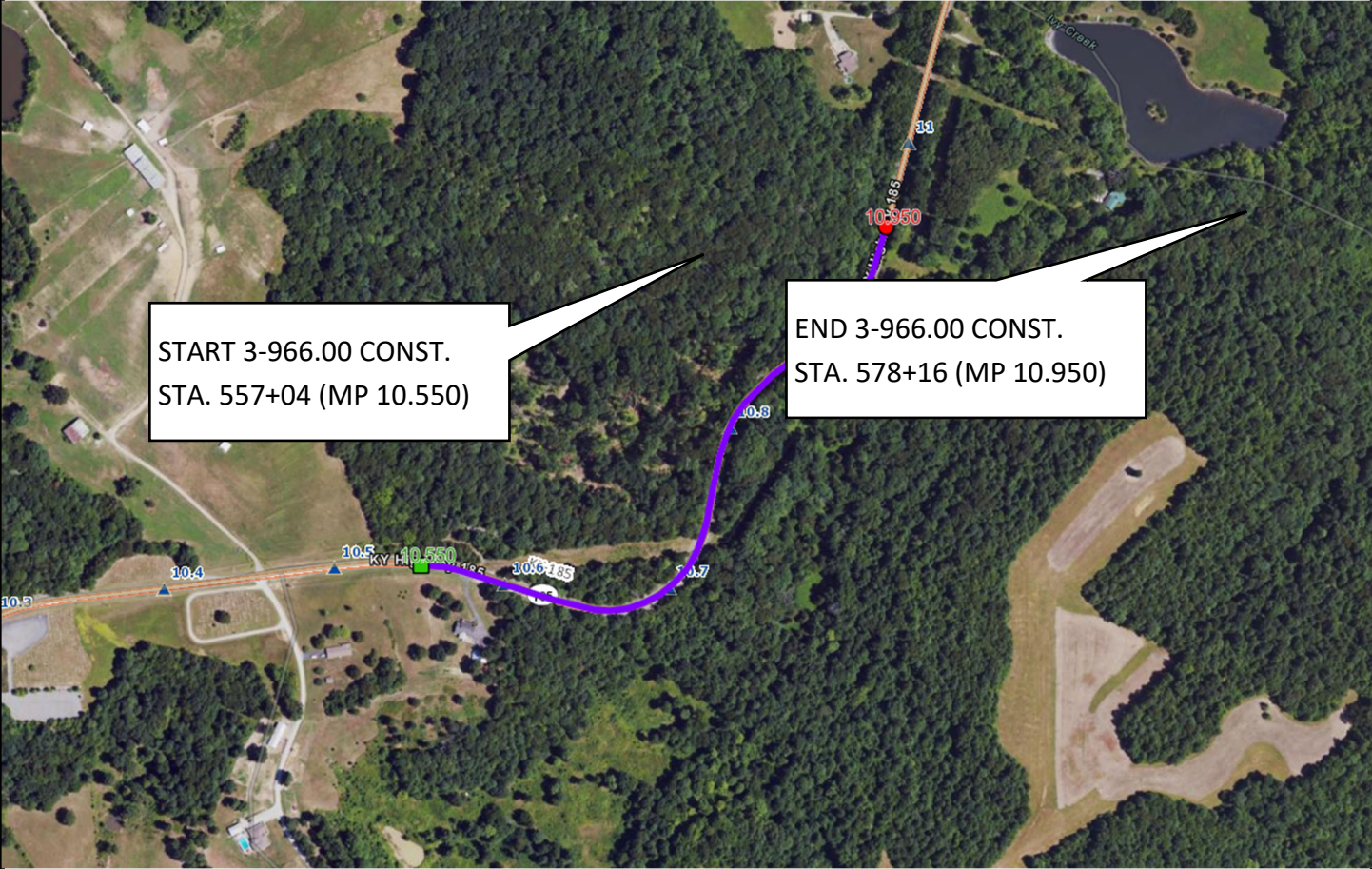
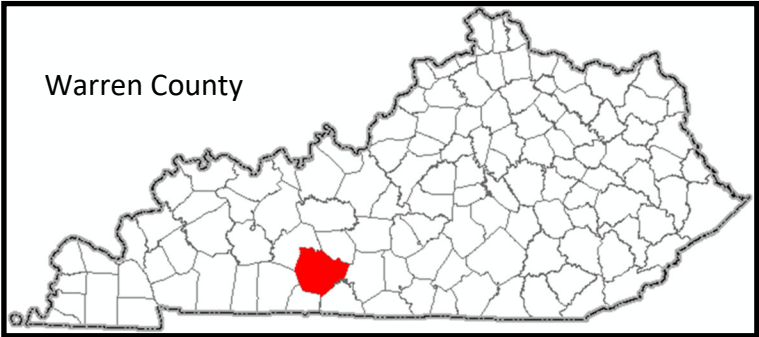


COMMONWEALTH OF KENTUCKY  
DEPARTMENT OF HIGHWAYS

|           |          |
|-----------|----------|
| COUNTY OF | ITEM NO. |
| Warren    | 3-966.00 |

WARREN COUNTY

KY 185  
3-966.00



VICINITY MAP

COMMONWEALTH OF KENTUCKY  
DEPARTMENT OF HIGHWAYS

Warren County  
KY 185  
3-966.00

|           |          |
|-----------|----------|
| COUNTY OF | ITEM NO. |
| Warren    | 3-966.00 |

GENERAL SUMMARY

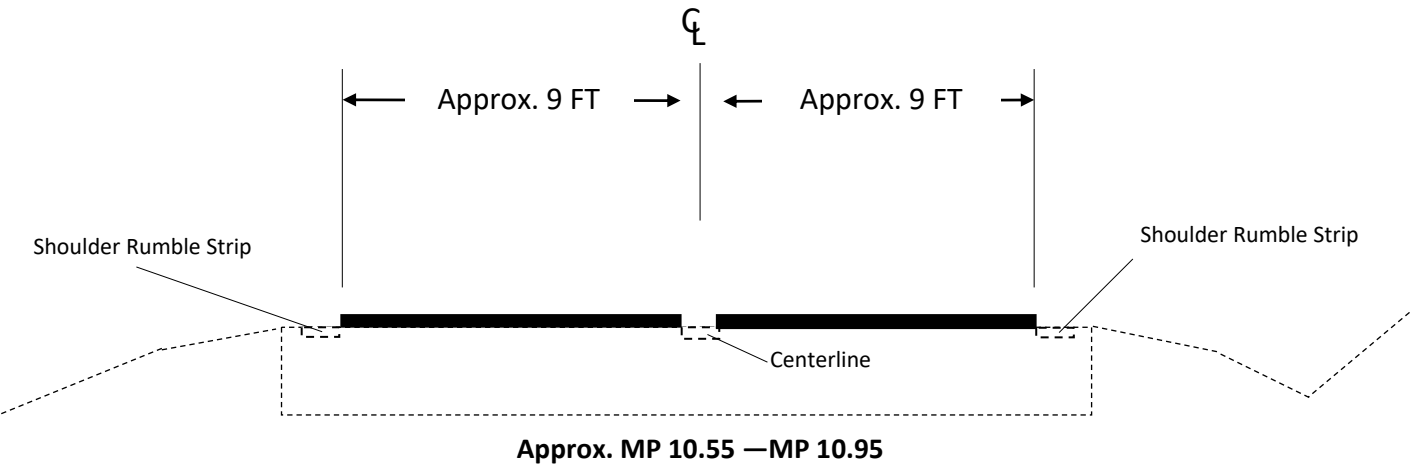
| BID CODE | DESCRIPTION                      | UNIT | QTY   |
|----------|----------------------------------|------|-------|
| 2562     | TEMPORARY SIGNS                  | SQFT | 200   |
| 2650     | MAINTAIN AND CONTROL TRAFFIC     | LS   | 1     |
| 2654     | TRUCK MOUNTED ATTENUATOR         | EACH | 1     |
| 2671     | PORTABLE CHANGEABLE MESSAGE SIGN | EACH | 2     |
| 2775     | ARROW PANEL                      | EACH | 1     |
| 23229EC  | HIGH FRICTION SURFACE TREATMENT  | SQYD | 4,224 |
| 2569     | DEMOBILIZATION                   | LS   | 1     |



|                                                                                                             |                                 |                |               |                |                                    |          |         |                                 |      |       |
|-------------------------------------------------------------------------------------------------------------|---------------------------------|----------------|---------------|----------------|------------------------------------|----------|---------|---------------------------------|------|-------|
| <div>COMMONWEALTH OF KENTUCKY<br/>DEPARTMENT OF HIGHWAYS<br/>Warren County<br/>KY 185<br/>3-966.00</div>    |                                 |                |               |                | COUNTY OF                          | ITEM NO. |         |                                 |      |       |
|                                                                                                             |                                 |                |               |                | Warren                             | 3-966.00 |         |                                 |      |       |
| HIGH FRICTION SURFACE TREATMENT SUMMARY                                                                     |                                 |                |               |                |                                    |          |         |                                 |      |       |
| Station                                                                                                     |                                 | Length<br>(FT) | Width<br>(FT) | Area<br>(SQYD) | Notes                              |          |         |                                 |      |       |
| Begin                                                                                                       | End                             |                |               |                |                                    |          |         |                                 |      |       |
| 557+04                                                                                                      | 578+16                          | 2112           | 18            | 4,224          |                                    |          |         |                                 |      |       |
|                                                                                                             |                                 |                | Total         | 4,224          |                                    |          |         |                                 |      |       |
| <table><tr><td>23229EC</td><td>HIGH FRICTION SURFACE TREATMENT</td><td>SQYD</td><td>4,224</td></tr></table> |                                 |                |               |                |                                    |          | 23229EC | HIGH FRICTION SURFACE TREATMENT | SQYD | 4,224 |
| 23229EC                                                                                                     | HIGH FRICTION SURFACE TREATMENT | SQYD           | 4,224         |                |                                    |          |         |                                 |      |       |
|                                                                                                             |                                 |                |               |                | PAVEMENT MARKING<br>& HFST SUMMARY |          |         |                                 |      |       |

COMMONWEALTH OF KENTUCKY  
DEPARTMENT OF HIGHWAYS  
WARREN COUNTY  
KY 185  
3-966.00

|           |          |
|-----------|----------|
| COUNTY OF | ITEM NO. |
| WARREN    | 3-966.00 |



**Centerline and Shoulder Rumble Strip Note:** The HFST should extend to, but not over, the centerline and shoulder rumble strips. Protect the centerline, shoulder rumble strips, and pavement markings.

- High Friction Surface Treatment (HFST)
- Centerline/Shoulder Rumble Strip

N.T.S.

TYPICAL SECTION

COMMONWEALTH OF KENTUCKY  
DEPARTMENT OF HIGHWAYS  
WARREN COUNTY  
KY 185  
3-966.00

|           |          |
|-----------|----------|
| COUNTY OF | ITEM NO. |
| Warren    | 3-966.00 |



- Notes:
- The beginning and ending points shown on this detail are approximate. The intent is for the High Friction Surface (HFST) to begin approximately 100 feet prior to the beginning of the curve, and end approximately 100 feet past the end of the curve.
  - HFST shall only be placed on pavement that is in relatively good condition. The Engineer shall determine if the pavement is in acceptable condition prior to installing a HFST. If in doubt, the Engineer may contact CO HSIP staff to determine if pavement conditions have deteriorated significantly since the HFST site was identified.
  - The Engineer shall mark the beginning and ending points for the HFST in the field at the time of construction.

Legend

HFST Location 

DETAIL SHEET

## **PART II**

### **SPECIFICATIONS AND STANDARD DRAWINGS**

### **STANDARD SPECIFICATIONS**

Any reference in the plans or proposal to previous editions of the *Standard Specifications for Road and Bridge Construction* and *Standard Drawings* are superseded by *Standard Specifications for Road and Bridge Construction, Edition of 2026* and *Standard Drawings, Edition of 2025*.

### **SUPPLEMENTAL SPECIFICATIONS**

The contractor shall use the Supplemental Specifications that are effective at the time of letting. The Supplemental Specifications can be found at the following link:  
<http://transportation.ky.gov/Construction/Pages/Kentucky-Standard-Specifications.aspx>

## **SPECIAL NOTE FOR PORTABLE CHANGEABLE MESSAGE SIGNS**

This Special Note will apply when indicated on the plans or in the proposal.

**1.0 DESCRIPTION.** Furnish, install, operate, and maintain variable message signs at the locations shown on the plans or designated by the Engineer. Remove and retain possession of variable message signs when they are no longer needed on the project.

## **2.0 MATERIALS.**

**2.1 General.** Use LED Variable Message Signs Class I, II, or III, as appropriate, from the Department's List of Approved Materials.

Unclassified signs may be submitted for approval by the Engineer. The Engineer may require a daytime and nighttime demonstration. The Engineer will make a final decision within 30 days after all required information is received.

### **2.2 Sign and Controls.** All signs must:

- 1) Provide 3-line messages with each line being 8 characters long and at least 18 inches tall. Each character comprises 35 pixels.
- 2) Provide at least 40 preprogrammed messages available for use at any time. Provide for quick and easy change of the displayed message; editing of the message; and additions of new messages.
- 3) Provide a controller consisting of:
  - a) Keyboard or keypad.
  - b) Readout that mimics the actual sign display. (When LCD or LCD type readout is used, include backlighting and heating or otherwise arrange for viewing in cold temperatures.)
  - c) Non-volatile memory or suitable memory with battery backup for storing pre-programmed messages.
  - d) Logic circuitry to control the sequence of messages and flash rate.
- 4) Provide a serial interface that is capable of supporting complete remote control ability through land line and cellular telephone operation. Include communication software capable of immediately updating the message, providing complete sign status, and allowing message library queries and updates.
- 5) Allow a single person easily to raise the sign to a satisfactory height above the pavement during use, and lower the sign during travel.
- 6) Be Highway Orange on all exterior surfaces of the trailer, supports, and controller cabinet.
- 7) Provide operation in ambient temperatures from -30 to + 120 degrees Fahrenheit during snow, rain and other inclement weather.
- 8) Provide the driver board as part of a module. All modules are interchangeable, and have plug and socket arrangements for disconnection and reconnection. Printed circuit boards associated with driver boards have a conformable coating to protect against moisture.
- 9) Provide a sign case sealed against rain, snow, dust, insects, etc. The lens is UV stabilized clear plastic (polycarbonate, acrylic, or other approved material) angled to prevent glare.
- 10) Provide a flat black UV protected coating on the sign hardware, character PCB, and appropriate lens areas.
- 11) Provide a photocell control to provide automatic dimming.

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- 12) Allow an on-off flashing sequence at an adjustable rate.
- 13) Provide a sight to aim the message.
- 14) Provide a LED display color of approximately 590 nm amber.
- 15) Provide a controller that is password protected.
- 16) Provide a security device that prevents unauthorized individuals from accessing the controller.
- 17) Provide the following 3-line messages preprogrammed and available for use when the sign unit begins operation:

|                         |                         |
|-------------------------|-------------------------|
| /KEEP/RIGHT/⇒⇒⇒/        | /MIN/SPEED/**MPH/       |
| /KEEP/LEFT/⇐⇐⇐/         | /ICY/BRIDGE/AHEAD/ /ONE |
| /LOOSE/GRAVEL/AHEAD/    | LANE/BRIDGE/AHEAD/      |
| /RD WORK/NEXT/**MILES/  | /ROUGH/ROAD/AHEAD/      |
| /TWO WAY/TRAFFIC/AHEAD/ | /MERGING/TRAFFIC/AHEAD/ |
| /PAINT/CREW/AHEAD/      | /NEXT/***/MILES/        |
| /REDUCE/SPEED/**MPH/    | /HEAVY/TRAFFIC/AHEAD/   |
| /BRIDGE/WORK/**0 FT/    | /SPEED/LIMIT/**MPH/     |
| /MAX/SPEED/**MPH/       | /BUMP/AHEAD/            |
| /SURVEY/PARTY/AHEAD/    | /TWO/WAY/TRAFFIC/       |

\*Insert numerals as directed by the Engineer.

Add other messages during the project when required by the Engineer.

### 2.3 Power.

- 1) Design solar panels to yield 10 percent or greater additional charge than sign consumption. Provide direct wiring for operation of the sign or arrow board from an external power source to provide energy backup for 21 days without sunlight and an on-board system charger with the ability to recharge completely discharged batteries in 24 hours.

**3.0 CONSTRUCTION.** Furnish and operate the variable message signs as designated on the plans or by the Engineer. Ensure the bottom of the message panel is a minimum of 7 feet above the roadway in urban areas and 5 feet above in rural areas when operating. Use Class I, II, or III signs on roads with a speed limit less than 55 mph. Use Class I or II signs on roads with speed limits 55 mph or greater.

Maintain the sign in proper working order, including repair of any damage done by others, until completion of the project. When the sign becomes inoperative, immediately repair or replace the sign. Repetitive problems with the same unit will be cause for rejection and replacement.

Use only project related messages and messages directed by the Engineer, unnecessary messages lessen the impact of the sign. Ensure the message is displayed in either one or 2 phases with each phase having no more than 3 lines of text. When no message is needed, but it is necessary to know if the sign is operable, flash only a pixel.

When the sign is not needed, move it outside the clear zone or where the Engineer directs. Variable Message Signs are the property of the Contractor and shall be removed from the project when no longer needed. The Department will not assume ownership of these signs.

**4.0 MEASUREMENT.** The final quantity of Variable Message Sign will be

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the actual number of individual signs acceptably furnished and operated during the project. The Department will not measure signs replaced due to damage or rejection.

**5.0 PAYMENT.** The Department will pay for the Variable Message Signs at the unit price each. The Department will not pay for signs replaced due to damage or rejection. Payment is full compensation for furnishing all materials, labor, equipment, and service necessary to, operate, move, repair, and maintain or replace the variable message signs. The Department will make payment for the completed and accepted quantities under the following:

| <u>Code</u> | <u>Pay Item</u>                  | <u>Pay Unit</u> |
|-------------|----------------------------------|-----------------|
| 02671       | Portable Changeable Message Sign | Each            |

Effective June 15, 2012



2020 STANDARD DRAWINGS THAT APPLY

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|                                        |            |
|----------------------------------------|------------|
| <i>~ TEMPORARY ~</i>                   |            |
| <u>TRAFFIC CONTROL</u>                 |            |
| LANE CLOSURE TWO-LANE HIGHWAY .....    | TTC-100-05 |
| <u>DEVICES</u>                         |            |
| PAVEMENT CONDITION WARNING SIGNS ..... | TTD-125-03 |

## **PART III**

### **EMPLOYMENT, WAGE AND RECORD REQUIREMENTS**

CONTRACT PROVISIONS FOR  
FEDERAL-AID CONSTRUCTION CONTRACTS

- I. General
- II. Nondiscrimination
- III. Davis-Bacon and Related Act Provisions
- IV. Contract Work Hours and Safety Standards Act Provisions
- V. Subletting or Assigning the Contract
- VI. Safety: Accident Prevention
- VII. False Statements Concerning Highway Projects
- VIII. Implementation of Clean Air Act and Federal Water Pollution Control Act
- IX. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
- X. Certification Regarding Use of Contract Funds for Lobbying
- XI. Use of United States-Flag Vessels

ATTACHMENTS

A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only).

I. GENERAL

1. Historically, contracting agencies using Federal-aid highway funds were required to ensure Form FHWA-1273 was physically incorporated into all contracts and subcontracts. Effective June 10, 2026, this requirement was eliminated [91 FR 25492]. Future use of Form FHWA-1273 is now optional on the part of the contracting agency using Federal-aid highway funds. FHWA continues to make Form FHWA-1273 available as a convenience to contracting agencies. Contracting agencies must continue to ensure compliance with the provisions cited in Form FHWA-1273 in accordance with the provisions regardless of the use of Form FHWA-1273. Accordingly, the contracting agency retains the authority to determine whether the contractor must use Form FHWA-1273 to physically incorporate required contract provisions in each subcontract and in lower tier contracts. Where permitted by the contracting agency, the contractor may choose other ways to include required contract provisions in each subcontract, besides incorporating Form FHWA-1273. Contract provisions in Form FHWA-1273 are not required to be physically included in subcontracts for design services on Federal-aid design-build contracts, or in purchase orders, rental agreements and other agreements for supplies or services.

The applicable provisions of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor or design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Contracting agencies may reference Form FHWA-1273 in solicitation-for-bids or request-for-proposals documents.

2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's

immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.

3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.

4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. 23 U.S.C. 114(b). The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors. 23 U.S.C. 101(a).

II. NONDISCRIMINATION

The contractor and all subcontractors must comply with the following policies: 29 CFR Parts 1625-1627, 23 U.S.C. 140, Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d et seq.), and related regulations including 49 CFR Parts 21, 26, and 27, including any amendments thereto.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with 29 CFR Parts 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with 23 U.S.C. 140, Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), and Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d et seq.), and related regulations including 49 CFR Parts 21, 26, and 27, including any amendments thereto.

The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR Part 35 and 29 CFR Part 1630 are incorporated by reference in this contract.

1.Assurances Required:

a. The requirements of 49 CFR Part 26, including any amendments thereto, and the State DOT's FHWA-approved Disadvantaged Business Enterprise (DBE) program are incorporated by reference.

b. The contractor, subrecipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR part 26, including any amendments thereto, in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate, which may include, but is not limited to:

- (1) Withholding monthly progress payments;
  - (2) Assessing sanctions;
  - (3) Liquidated damages; and/or
  - (4) Disqualifying the contractor from future bidding as non-responsible.
- c. The Title VI and nondiscrimination provisions of U.S. DOT Order 1050.2A at Appendixes A and E, including any amendments thereto, are incorporated by reference. 49 CFR Part 21.

III. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size), in accordance with 29 CFR 5.5. The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. 23 U.S.C. 113. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. 23 U.S.C. 101. Where applicable law requires that projects be treated as a project on a Federal-aid highway, the provisions of this subpart will apply regardless of the location of the project. Examples include: Surface Transportation Block Grant Program projects funded under 23 U.S.C. 133 [excluding recreational trails projects], the Nationally Significant Freight and Highway Projects funded under 23 U.S.C. 117, and National Highway Freight Program projects funded under 23 U.S.C. 167.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

1. Minimum wages (29 CFR 5.5)

a. *Wage rates and fringe benefits.* All laborers and mechanics employed or working upon the site of the work (or otherwise working in construction or development of the project under a development statute), will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act ([29 CFR part 3](#))), the full amount of basic hourly wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics. As provided in paragraphs (d) and (e) of 29 CFR 5.5, the appropriate wage determinations are effective by operation of law even if they have not been attached to the contract. Contributions made or costs reasonably anticipated for bona fide fringe benefits under the Davis-Bacon Act ([40 U.S.C. 3141\(2\)\(B\)](#)) on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph 1.e. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics must be paid the appropriate wage rate and fringe benefits on the wage determination for the classification(s) of work actually performed, without regard to skill, except as provided in

paragraph 4. of this section. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: *Provided*, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classifications and wage rates conformed under paragraph 1.c. of this section) and the Davis-Bacon poster (WH-1321) must be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

b. *Frequently recurring classifications.* (1) In addition to wage and fringe benefit rates that have been determined to be prevailing under the procedures set forth in [29 CFR part 1](#), a wage determination may contain, pursuant to § 1.3(f), wage and fringe benefit rates for classifications of laborers and mechanics for which conformance requests are regularly submitted pursuant to paragraph 1.c. of this section, provided that:

- (i) The work performed by the classification is not performed by a classification in the wage determination for which a prevailing wage rate has been determined;
- (ii) The classification is used in the area by the construction industry; and
- (iii) The wage rate for the classification bears a reasonable relationship to the prevailing wage rates contained in the wage determination.

(2) The Administrator will establish wage rates for such classifications in accordance with paragraph 1.c.(1)(iii) of this section. Work performed in such a classification must be paid at no less than the wage and fringe benefit rate listed on the wage determination for such classification.

c. *Conformance.* (1) The contracting officer must require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract be classified in conformance with the wage determination. Conformance of an additional classification and wage rate and fringe benefits is appropriate only when the following criteria have been met:

- (i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and
- (ii) The classification is used in the area by the construction industry; and
- (iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(2) The conformance process may not be used to split, subdivide, or otherwise avoid application of classifications listed in the wage determination.

(3) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the

classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken will be sent by the contracting officer by email to [DBAconformance@dol.gov](mailto:DBAconformance@dol.gov). The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(4) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer will, by email to [DBAconformance@dol.gov](mailto:DBAconformance@dol.gov), refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(5) The contracting officer must promptly notify the contractor of the action taken by the Wage and Hour Division under paragraphs 1.c.(3) and (4) of this section. The contractor must furnish a written copy of such determination to each affected worker or it must be posted as a part of the wage determination. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraph 1.c.(3) or (4) of this section must be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

d. *Fringe benefits not expressed as an hourly rate.* Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor may either pay the benefit as stated in the wage determination or may pay another bona fide fringe benefit or an hourly cash equivalent thereof.

e. *Unfunded plans.* If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, *Provided*, That the Secretary of Labor has found, upon the written request of the contractor, in accordance with the criteria set forth in § 5.28, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

f. *Interest.* In the event of a failure to pay all or part of the wages required by the contract, the contractor will be required to pay interest on any underpayment of wages.

2. Withholding (29 CFR 5.5)

a. *Withholding requirements.* The contracting agency may, upon its own action, or must, upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor so much of the accrued payments or advances as may be considered

necessary to satisfy the liabilities of the prime contractor or any subcontractor for the full amount of wages and monetary relief, including interest, required by the clauses set forth in this section for violations of this contract, or to satisfy any such liabilities required by any other Federal contract, or federally assisted contract subject to Davis-Bacon labor standards, that is held by the same prime contractor (as defined in § 5.2). The necessary funds may be withheld from the contractor under this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract that is subject to Davis-Bacon labor standards requirements and is held by the same prime contractor, regardless of whether the other contract was awarded or assisted by the same agency, and such funds may be used to satisfy the contractor liability for which the funds were withheld. In the event of a contractor's failure to pay any laborer or mechanic, including any apprentice or helper working on the site of the work all or part of the wages required by the contract, or upon the contractor's failure to submit the required records as discussed in paragraph 3.d. of this section, the contracting agency may on its own initiative and after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

b. *Priority to withheld funds.* The Department has priority to funds withheld or to be withheld in accordance with paragraph 2.a. of this section or Section IV, paragraph 3.a., or both, over claims to those funds by:

- (1) A contractor's surety(ies), including without limitation performance bond sureties and payment bond sureties;
- (2) A contracting agency for its repurchase costs;
- (3) A trustee(s) (either a court-appointed trustee or a U.S. trustee, or both) in bankruptcy of a contractor, or a contractor's bankruptcy estate;
- (4) A contractor's assignee(s);
- (5) A contractor's successor(s); or
- (6) A claim asserted under the Prompt Payment Act, [31 U.S.C. 3901](#)–3907.

3. Records and certified payrolls (29 CFR 5.5)

a. *Basic record requirements* (1) *Length of record retention.* All regular payrolls and other basic records must be maintained by the contractor and any subcontractor during the course of the work and preserved for all laborers and mechanics working at the site of the work (or otherwise working in construction or development of the project under a development statute) for a period of at least 3 years after all the work on the prime contract is completed.

(2) *Information required.* Such records must contain the name; Social Security number; last known address, telephone number, and email address of each such worker; each worker's correct classification(s) of work actually performed; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in [40 U.S.C. 3141\(2\)\(B\)](#) of the Davis-Bacon Act); daily and weekly number

of hours actually worked in total and on each covered contract; deductions made; and actual wages paid.

(3) *Additional records relating to fringe benefits.* Whenever the Secretary of Labor has found under paragraph 1.e. of this section that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in [40 U.S.C. 3141\(2\)\(B\)](#) of the Davis-Bacon Act, the contractor must maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits.

(4) *Additional records relating to apprenticeship.* Contractors with apprentices working under approved programs must maintain written evidence of the registration of apprenticeship programs, the registration of the apprentices, and the ratios and wage rates prescribed in the applicable programs.

b. *Certified payroll requirements* (1) *Frequency and method of submission.* The contractor or subcontractor must submit weekly, for each week in which any DBA- or Related Acts-covered work is performed, certified payrolls to the contracting agency. The prime contractor is responsible for the submission of all certified payrolls by all subcontractors. A contracting agency or prime contractor may permit or require contractors to submit certified payrolls through an electronic system, as long as the electronic system requires a legally valid electronic signature; the system allows the contractor, the contracting agency, and the Department of Labor to access the certified payrolls upon request for at least 3 years after the work on the prime contract has been completed; and the contracting agency or prime contractor permits other methods of submission in situations where the contractor is unable or limited in its ability to use or access the electronic system.

(2) *Information required.* The certified payrolls submitted must set out accurately and completely all of the information required to be maintained under paragraph 3.a.(2) of this section, except that full Social Security numbers and last known addresses, telephone numbers, and email addresses must not be included on weekly transmittals. Instead, the certified payrolls need only include an individually identifying number for each worker ( e.g., the last four digits of the worker's Social Security number). The required weekly certified payroll information may be submitted using Optional Form WH-347 or in any other format desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division website at <https://www.dol.gov/sites/dolgov/files/WHD/legacy/files/wh347.pdf> or its successor website. It is not a violation of this section for a prime contractor to require a subcontractor to provide full Social Security numbers and last known addresses, telephone numbers, and email addresses to the prime contractor for its own records, without weekly submission by the subcontractor to the contracting agency.

(3) *Statement of Compliance.* Each certified payroll submitted must be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor, or the contractor's or subcontractor's agent who pays or supervises the payment of the persons working on the contract, and must certify the following:

(i) That the certified payroll for the payroll period contains the information required to be provided under paragraph 3.b. of this section, the appropriate information and basic records are being maintained under paragraph 3.a. of this section, and such information and records are correct and complete;

(ii) That each laborer or mechanic (including each helper and apprentice) working on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in [29 CFR part 3](#); and

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification(s) of work actually performed, as specified in the applicable wage determination incorporated into the contract.

(4) *Use of Optional Form WH-347.* The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 will satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 3.b.(3) of this section.

(5) *Signature.* The signature by the contractor, subcontractor, or the contractor's or subcontractor's agent must be an original handwritten signature or a legally valid electronic signature.

(6) *Falsification.* The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under [18 U.S.C. 1001](#) and [31 U.S.C. 3729](#).

(7) *Length of certified payroll retention.* The contractor or subcontractor must preserve all certified payrolls during the course of the work and for a period of 3 years after all the work on the prime contract is completed.

c. *Contracts, subcontracts, and related documents.* The contractor or subcontractor must maintain this contract or subcontract and related documents including, without limitation, bids, proposals, amendments, modifications, and extensions. The contractor or subcontractor must preserve these contracts, subcontracts, and related documents during the course of the work and for a period of 3 years after all the work on the prime contract is completed.

d. *Required disclosures and access* (1) *Required record disclosures and access to workers.* The contractor or subcontractor must make the records required under paragraphs 3.a. through 3.c. of this section, and any other documents that the contracting agency, the State DOT, the FHWA, or the Department of Labor deems necessary to determine compliance with the labor standards provisions of any of the applicable statutes referenced by § 5.1, available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and must permit such representatives to interview workers during working hours on the job.



(2) *Sanctions for non-compliance with records and worker access requirements.* If the contractor or subcontractor fails to submit the required records or to make them available, or refuses to permit worker interviews during working hours on the job, the Federal agency may, after written notice to the contractor, sponsor, applicant, owner, or other entity, as the case may be, that maintains such records or that employs such workers, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available, or to permit worker interviews during working hours on the job, may be grounds for debarment action pursuant to § 5.12. In addition, any contractor or other person that fails to submit the required records or make those records available to WHD within the time WHD requests that the records be produced will be precluded from introducing as evidence in an administrative proceeding under [29 CFR part 6](#) any of the required records that were not provided or made available to WHD. WHD will take into consideration a reasonable request from the contractor or person for an extension of the time for submission of records. WHD will determine the reasonableness of the request and may consider, among other things, the location of the records and the volume of production.

(3) *Required information disclosures.* Contractors and subcontractors must maintain the full Social Security number and last known address, telephone number, and email address of each covered worker, and must provide them upon request to the contracting agency, the State DOT, the FHWA, the contractor, or the Wage and Hour Division of the Department of Labor for purposes of an investigation or other compliance action.

#### **4. Apprentices and equal employment opportunity (29 CFR 5.5)**

a. *Apprentices (1) Rate of pay.* Apprentices will be permitted to work at less than the predetermined rate for the work they perform when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship (OA), or with a State Apprenticeship Agency recognized by the OA. A person who is not individually registered in the program, but who has been certified by the OA or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice, will be permitted to work at less than the predetermined rate for the work they perform in the first 90 days of probationary employment as an apprentice in such a program. In the event the OA or a State Apprenticeship Agency recognized by the OA withdraws approval of an apprenticeship program, the contractor will no longer be permitted to use apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(2) *Fringe benefits.* Apprentices must be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringe benefits must be paid in accordance with that determination.

(3) *Apprenticeship ratio.* The allowable ratio of apprentices to journeyworkers on the job site in any craft classification must not be greater than the ratio permitted to the contractor as to the entire work force under the registered program or the ratio applicable to the locality of the project pursuant to paragraph 4.a.(4) of this section. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated in paragraph 4.a.(1) of this section, must be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under this section must be paid not less than the applicable wage rate on the wage determination for the work actually performed.

(4) *Reciprocity of ratios and wage rates.* Where a contractor is performing construction on a project in a locality other than the locality in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyworker's hourly rate) applicable within the locality in which the construction is being performed must be observed. If there is no applicable ratio or wage rate for the locality of the project, the ratio and wage rate specified in the contractor's registered program must be observed.

b. *Equal employment opportunity.* The use of apprentices and journeyworkers under this part must be in conformity with [29 CFR part 30](#), including any amendments thereto.

c. Apprentices and Trainees (programs of the U.S. DOT).

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section III. 23 CFR 230.111(e)(2). The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeyworkers shall not be greater than permitted by the terms of the particular program.

**5. Compliance with Copeland Act requirements.** The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract as provided in 29 CFR 5.5.

**6. Subcontracts.** The contractor or subcontractor must insert in any subcontracts the clauses set forth in paragraphs (1) through (11) of this section, along with the applicable wage determination(s) and such other clauses or contract modifications as the contracting agency may by appropriate instructions require, and a clause requiring the subcontractors to include these clauses and wage determination(s) in any lower tier subcontracts. The prime contractor is responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in this section. In the event of any violations of these clauses, the prime contractor and any subcontractor(s) responsible will be liable for any unpaid wages and monetary relief, including interest from the date of the underpayment or loss, due to any workers of lower-tier subcontractors, and may be subject to debarment, as appropriate. 29 CFR 5.5.

**7. Contract termination: debarment.** A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the

contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

**8. Compliance with Davis-Bacon and Related Act requirements.** All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract as provided in 29 CFR 5.5.

**9. Disputes concerning labor standards.** As provided in 29 CFR 5.5, disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

**10. Certification of eligibility.** a. By entering into this contract, the contractor certifies that neither it nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of [40 U.S.C. 3144\(b\)](#) or § 5.12(a).

b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of [40 U.S.C. 3144\(b\)](#) or § 5.12(a).

c. The penalty for making false statements is prescribed in the U.S. Code, Title 18 Crimes and Criminal Procedure, [18 U.S.C. 1001](#).

**11. Anti-retaliation.** It is unlawful for any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, or to cause any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, any worker or job applicant for:

a. Notifying any contractor of any conduct which the worker reasonably believes constitutes a violation of the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#);

b. Filing any complaint, initiating or causing to be initiated any proceeding, or otherwise asserting or seeking to assert on behalf of themselves or others any right or protection under the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#);

c. Cooperating in any investigation or other compliance action, or testifying in any proceeding under the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#); or

d. Informing any other person about their rights under the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#).

#### IV. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT

Pursuant to 29 CFR 5.5(b), the following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the

Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchpersons and guards.

**1. Overtime requirements.** No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek. 29 CFR 5.5.

**2. Violation; liability for unpaid wages; liquidated damages.** In the event of any violation of the clause set forth in paragraph 1. of this section the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages and interest from the date of the underpayment. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchpersons and guards, employed in violation of the clause set forth in paragraph 1. of this section, in the sum currently provided in 29 CFR 5.5(b)(2)\* for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph 1. of this section.

\* \$33 as of January 15, 2025 (See 90 FR 1859) as may be adjusted annually by the Department of Labor, pursuant to the Federal Civil Penalties Inflation Adjustment Act of 1990.

#### 3. Withholding for unpaid wages and liquidated damages

a. *Withholding process.* The FHWA or the contracting agency may, upon its own action, or must, upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor so much of the accrued payments or advances as may be considered necessary to satisfy the liabilities of the prime contractor or any subcontractor for any unpaid wages; monetary relief, including interest; and liquidated damages required by the clauses set forth in this section on this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract subject to the Contract Work Hours and Safety Standards Act that is held by the same prime contractor (as defined in § 5.2). The necessary funds may be withheld from the contractor under this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract that is subject to the Contract Work Hours and Safety Standards Act and is held by the same prime contractor, regardless of whether the other contract was awarded or assisted by the same agency, and such funds may be used to satisfy the contractor liability for which the funds were withheld.

b. *Priority to withheld funds.* The Department has priority to funds withheld or to be withheld in accordance with Section III paragraph 2.a. or paragraph 3.a. of this section, or both, over claims to those funds by:



(1) A contractor's surety(ies), including without limitation performance bond sureties and payment bond sureties;

(2) A contracting agency for its procurement costs;

(3) A trustee(s) (either a court-appointed trustee or a U.S. trustee, or both) in bankruptcy of a contractor, or a contractor's bankruptcy estate;

(4) A contractor's assignee(s);

(5) A contractor's successor(s); or

(6) A claim asserted under the Prompt Payment Act, [31 U.S.C. 3901](#)–3907.

**4. Subcontracts.** The contractor or subcontractor must insert in any subcontracts the clauses set forth in paragraphs 1. through 5. of this section and a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor is responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs 1. through 5. In the event of any violations of these clauses, the prime contractor and any subcontractor(s) responsible will be liable for any unpaid wages and monetary relief, including interest from the date of the underpayment or loss, due to any workers of lower-tier subcontractors, and associated liquidated damages and may be subject to debarment, as appropriate.

**5. Anti-retaliation.** It is unlawful for any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, or to cause any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, any worker or job applicant for:

a. Notifying any contractor of any conduct which the worker reasonably believes constitutes a violation of the Contract Work Hours and Safety Standards Act (CWHSSA) or its implementing regulations in this part;

b. Filing any complaint, initiating or causing to be initiated any proceeding, or otherwise asserting or seeking to assert on behalf of themselves or others any right or protection under CWHSSA or this part;

c. Cooperating in any investigation or other compliance action, or testifying in any proceeding under CWHSSA or this part; or

d. Informing any other person about their rights under CWHSSA or this part.

## V. SUBLETTING OR ASSIGNING THE CONTRACT

This provision is applicable to all Federal-aid construction contracts on the National Highway System pursuant to 23 CFR 635.116.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of

the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).

a. The term "perform work with its own organization" in paragraph 1. of this section refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions: (based on longstanding interpretation)

(1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;

(2) the prime contractor remains responsible for the quality of the work of the leased employees;

(3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and

(4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract. 23 CFR 635.102.

2. Pursuant to 23 CFR 635.116(a), the contract amount upon which the requirements set forth in paragraph 1. of this section is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. Pursuant to 23 CFR 635.116(c), the contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract. (based on longstanding interpretation of 23 CFR 635.116).

5. The 30-percent self-performance requirement of paragraph (1) is not applicable to design-build contracts; however,

contracting agencies may establish their own self-performance requirements. 23 CFR 635.116(d).

## **VI. SAFETY: ACCIDENT PREVENTION**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR Part 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract. 23 CFR 635.108.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR Part 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704). 29 CFR 1926.10.

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).

## **VII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR Part 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the

character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 11, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

## **VIII. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT (42 U.S.C. 7606; 2 CFR 200.88; EO 11738)**

This provision is applicable to all Federal-aid construction contracts in excess of \$150,000 and to all related subcontracts. 48 CFR 2.101; 2 CFR 200.327.

By submission of this bid/proposal or the execution of this contract or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, subcontractor, supplier, or vendor agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401-7671q) and the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251-1387). Violations must be reported to the Federal Highway Administration and the Regional Office of the Environmental Protection Agency. 2 CFR Part 200, Appendix II.

The contractor agrees to include or cause to be included the requirements of this Section in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements. 2 CFR 200.327.

## **IX. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION**

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200. 2 CFR 180.220 and 1200.220.

### **1. Instructions for Certification – First Tier Participants:**

a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.

b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this

covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction. 2 CFR 180.320.

c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default. 2 CFR 180.325.

d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances. 2 CFR 180.345 and 180.350.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180, Subpart I, 180.900-180.1020, and 1200. "First Tier Covered Transactions" refers to any covered transaction between a recipient or subrecipient of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a recipient or subrecipient of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction. 2 CFR 180.330.

g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold. 2 CFR 180.220 and 180.300.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. 2 CFR 180.300; 180.320, and 180.325. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. 2 CFR 180.335. To verify the eligibility of its principals, as well as the

eligibility of any lower tier prospective participants, each participant may, but is not required to, check the System for Award Management website (<https://www.sam.gov/>). 2 CFR 180.300, 180.320, and 180.325.

i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default. 2 CFR 180.325.

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## **2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:**

a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:

(1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency, 2 CFR 180.335;

(2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property, 2 CFR 180.800;

(3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification, 2 CFR 180.700 and 180.800; and

(4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default. 2 CFR 180.335(d).

(5) Are not a corporation that has been convicted of a felony violation under any Federal law within the two-year period preceding this proposal (USDOT Order 4200.6 implementing appropriations act requirements); and

(6) Are not a corporation with any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted, or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability (USDOT Order 4200.6 implementing appropriations act requirements).

b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant should attach an explanation to this proposal. 2 CFR 180.335 and 180.340.

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3. Instructions for Certification - Lower Tier Participants:

(Applicable to all subcontracts, purchase orders, and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200). 2 CFR 180.220 and 1200.220.

a. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances. 2 CFR 180.365.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180, Subpart I, 180.900 – 180.1020, and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a recipient or subrecipient of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a recipient or subrecipient of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated. 2 CFR 1200.220 and 1200.332.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold. 2 CFR 180.220 and 1200.220.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered

transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the System for Award Management website (<https://www.sam.gov/>), which is compiled by the General Services Administration. 2 CFR 180.300, 180.320, 180.330, and 180.335.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment. 2 CFR 180.325.

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4. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Participants:

a. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals:

(1) is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency, 2 CFR 180.355;

(2) is a corporation that has been convicted of a felony violation under any Federal law within the two-year period preceding this proposal (USDOT Order 4200.6 implementing appropriations act requirements); and

(3) is a corporation with any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted, or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability. (USDOT Order 4200.6 implementing appropriations act requirements)

b. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant should attach an explanation to this proposal.

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## **X. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000. 49 CFR Part 20, App. A.

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

## **XI. USE OF UNITED STATES-FLAG VESSELS:**

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, or any other covered transaction. 46 CFR Part 381.

This requirement applies to material or equipment that is acquired for a specific Federal-aid highway project. 46 CFR 381.7. It is not applicable to goods or materials that come into inventories independent of an FHWA funded-contract.

When oceanic shipments (or shipments across the Great Lakes) are necessary for materials or equipment acquired for a specific Federal-aid construction project, the bidder, proposer, contractor, subcontractor, or vendor agrees:

1. To utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the

extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels. 46 CFR 381.7.

2. To furnish within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, 'on-board' commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (b)(1) of this section to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Office of Cargo and Commercial Sealift (MAR-620), Maritime Administration, Washington, DC 20590. (MARAD requires copies of the ocean carrier's (master) bills of lading, certified onboard, dated, with rates and charges. These bills of lading may contain business sensitive information and therefore may be submitted directly to MARAD by the Ocean Transportation Intermediary on behalf of the contractor). 46 CFR 381.7.

**ATTACHMENT A - EMPLOYMENT AND MATERIALS  
PREFERENCE FOR APPALACHIAN DEVELOPMENT  
HIGHWAY SYSTEM OR APPALACHIAN LOCAL ACCESS  
ROAD CONTRACTS** (40 U.S.C. sections 14501(d) and 14701  
and the Appalachian Regional Commission Code as  
authorized under 40 U.S.C. section 14302)

This provision is applicable to all Federal-aid projects funded  
under the Appalachian Regional Development Act of 1965.

1. During the performance of this contract, the contractor  
undertaking to do work which is, or reasonably may be, done  
as on-site work, shall give preference to qualified persons who  
regularly reside in the labor area as designated by the DOL  
wherein the contract work is situated, or the subregion, or the  
Appalachian counties of the State wherein the contract work is  
situated, except:

a. To the extent that qualified persons regularly residing in  
the area are not available.

b. For the reasonable needs of the contractor to employ  
supervisory or specially experienced personnel necessary to  
assure an efficient execution of the contract work.

c. For the obligation of the contractor to offer employment to  
present or former employees as the result of a lawful collective  
bargaining contract, provided that the number of nonresident  
persons employed under this subparagraph (1c) shall not  
exceed 20 percent of the total number of employees employed  
by the contractor on the contract work, except as provided in  
subparagraph (4) below.

2. The contractor shall place a job order with the State  
Employment Service indicating (a) the classifications of the  
laborers, mechanics and other employees required to perform  
the contract work, (b) the number of employees required in  
each classification, (c) the date on which the participant  
estimates such employees will be required, and (d) any other  
pertinent information required by the State Employment  
Service to complete the job order form. The job order may be  
placed with the State Employment Service in writing or by  
telephone. If during the course of the contract work, the  
information submitted by the contractor in the original job order  
is substantially modified, the participant shall promptly notify  
the State Employment Service.

3. The contractor shall give full consideration to all qualified  
job applicants referred to him by the State Employment  
Service. The contractor is not required to grant employment to  
any job applicants who, in his opinion, are not qualified to  
perform the classification of work required.

4. If, within one week following the placing of a job order by  
the contractor with the State Employment Service, the State  
Employment Service is unable to refer any qualified job  
applicants to the contractor, or less than the number  
requested, the State Employment Service will forward a  
certificate to the contractor indicating the unavailability of  
applicants. Such certificate shall be made a part of the  
contractor's permanent project records. Upon receipt of this  
certificate, the contractor may employ persons who do not  
normally reside in the labor area to fill positions covered by the  
certificate, notwithstanding the provisions of subparagraph (1c)  
above.

5. The provisions of 40 U.S.C. 14501 allow the contracting  
agency to provide a contractual preference for the use of  
mineral resource materials native to the Appalachian region.

6. The contractor shall include the provisions of Sections 1  
through 4 of this Attachment A in every subcontract for work  
which is, or reasonably may be, done as on-site work.



**KENTUCKY TRANSPORTATION CABINET  
DEPARTMENT OF HIGHWAYS**

**EMPLOYMENT REQUIREMENTS  
RELATING TO  
NONDISCRIMINATION OF EMPLOYEES  
(APPLICABLE TO FEDERAL-AID SYSTEM CONTRACTS)**

**AN ACT OF THE KENTUCKY GENERAL ASSEMBLY  
TO PREVENT DISCRIMINATION IN EMPLOYMENT**

**KRS CHAPTER 344  
EFFECTIVE JUNE 16, 1972**

The contract on this project, in accordance with KRS Chapter 344, provides that during the performance of this contract, the contractor agrees as follows:

1. The contractor shall not fail or refuse to hire, or shall not discharge any individual, or otherwise discriminate against an individual with respect to his compensation, terms, conditions, or privileges of employment, because of such individual's race, color, religion, national origin, sex, disability or age (forty and above); or limit, segregate, or classify his employees in any way which would deprive or tend to deprive an individual of employment opportunities or otherwise adversely affect his status as an employee, because of such individual's race, color, religion, national origin, sex, disability or age forty (40) and over. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.

2. The contractor shall not print or publish or cause to be printed or published a notice or advertisement relating to employment by such an employer or membership in or any classification or referral for employment by the employment agency, indicating any preference, limitation, specification, or discrimination, based on race, color, religion, national origin, sex, or age forty (40) and over, or because the person is a qualified individual with a disability, except that such a notice or advertisement may indicate a preference, limitation, or specification based on religion, national origin, sex, or age forty (40) and over, or because the person is a qualified individual with a disability, when religion, national origin, sex, or age forty (40) and over, or because the person is a qualified individual with a disability, is a bona fide occupational qualification for employment.

3. If the contractor is in control of apprenticeship or other training or retraining, including on-the-job training programs, he shall not discriminate against an individual because of his race, color, religion, national origin, sex, disability or age forty (40) and over, in admission to, or employment in any program established to provide apprenticeship or other training.

4. The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representative of the contractor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment. The contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for non-compliance.

Revised: January 25, 2017

### Standard Title VI/Non-Discrimination Assurances

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees as follows:

1. **Compliance with Regulations:** The contractor (hereinafter includes consultants) will comply with the Acts and the Regulations relative to Non-discrimination in Federally-assisted programs of the U.S. Department of Transportation, **Federal Highway Administration**, as they may be amended from time to time, which are herein incorporated by reference and made a part of this contract.
2. **Non-discrimination:** The contractor, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor will not participate directly or indirectly in the discrimination prohibited by the Acts and the Regulations, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR Part 21.
3. **Solicitations for Subcontracts, Including Procurements of Materials and Equipment:** In all solicitations, either by competitive bidding, or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials, or leases of equipment, each potential subcontractor or supplier will be notified by the contractor of the contractor's obligations under this contract and the Acts and the Regulations relative to Non-discrimination on the grounds of race, color, or national origin.
4. **Information and Reports:** The contractor will provide all information and reports required by the Acts, the Regulations, and directives issued pursuant thereto and will permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Recipient or the **Federal Highway Administration** to be pertinent to ascertain compliance with such Acts, Regulations, and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish the information, the contractor will so certify to the Recipient or the **Federal Highway Administration**, as appropriate, and will set forth what efforts it has made to obtain the information.
5. **Sanctions for Noncompliance:** In the event of a contractor's noncompliance with the Non-discrimination provisions of this contract, the Recipient will impose such contract sanctions as it or the **Federal Highway Administration** may determine to be appropriate, including, but not limited to:
  - a. withholding payments to the contractor under the contract until the contractor complies; and/or
  - b. cancelling, terminating, or suspending a contract, in whole or in part.
6. **Incorporation of Provisions:** The contractor will include the provisions of paragraphs one through six in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Acts, the Regulations and directives issued pursuant thereto. The contractor will take action with respect to any subcontract or procurement as the Recipient or the **Federal Highway Administration** may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that if the contractor becomes involved in, or is threatened with litigation by a subcontractor, or supplier because of such direction, the contractor may request the Recipient to enter into any litigation to protect the interests of the Recipient. In addition, the contractor may request the United States to enter into the litigation to protect the interests of the United States.



### **Standard Title VI/Non-Discrimination Statutes and Authorities**

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the “contractor”) agrees to comply with the following non-discrimination statutes and authorities; including but not limited to:

- Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d *et seq.*, 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21;
- The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. § 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
- Federal-Aid Highway Act of 1973, (23 U.S.C. § 324 *et seq.*), (prohibits discrimination on the basis of sex);
- Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. § 794 *et seq.*), as amended, (prohibits discrimination on the basis of disability); and 49 CFR Part 27;
- The Age Discrimination Act of 1975, as amended, (42 U.S.C. § 6101 *et seq.*), (prohibits discrimination on the basis of age);
- Airport and Airway Improvement Act of 1982, (49 USC § 471, Section 47123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex);
- The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms “programs or activities” to include all of the programs or activities of the Federal-aid recipients, sub-recipients and contractors, whether such programs or activities are Federally funded or not);
- Titles II and III of the Americans with Disabilities Act, which prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§ 12131 -- 12189) as implemented by Department of Transportation regulations at 49 C.F.R. parts 37 and 38;
- The Federal Aviation Administration’s Non-discrimination statute (49 U.S.C. § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex);
- Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures non-discrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations;
- Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100);
- Title IX of the Education Amendments of 1972, as amended, which prohibits you from discriminating because of sex in education programs or activities (20 U.S.C. 1681 *et seq.*).

## EXECUTIVE BRANCH CODE OF ETHICS

The Executive Branch Code of Ethics created by Kentucky Revised Statutes (KRS) Chapter 11A, effective July 14, 1992, establishes the ethical standards that govern the conduct of all executive branch employees. The Executive Branch Code of Ethics, which states, in part:

KRS 11A.040 (7) provides:

A present or former public servant listed in KRS 11A.010(9)(a) to (g) shall not, within one (1) year following termination of his or her office or employment, accept employment, compensation, or other economic benefit from any person or business that contracts or does business with, or is regulated by, the state in matters in which he was directly involved during the last thirty-six (36) months of his tenure. This provision shall not prohibit an individual from returning to the same business, firm, occupation, or profession in which he was involved prior to taking office or beginning his term of employment, or for which he received, prior to his state employment, a professional degree or license, provided that, for a period of one (1) year, he or she personally refrains from working on any matter in which he was directly involved during the last thirty-six (36) months of his or her tenure in state government. This subsection shall not prohibit the performance of ministerial functions, including but not limited to filing tax returns, filing applications for permits or licenses, or filing incorporation papers, nor shall it prohibit the former officer or public servant from receiving public funds disbursed through entitlement programs.

KRS 11A.040 (9) states:

A former public servant shall not represent a person or business before a state agency in a matter in which the former public servant was directly involved during the last thirty-six (36) months of his tenure, for a period of one (1) year after the latter of:

- a) The date of leaving office or termination of employment; or
- b) The date the term of office expires to which the public servant was elected.

This law is intended to promote public confidence in the integrity of state government and to declare as public policy the idea that state employees should view their work as a public trust and not to obtain private benefits.

If you have worked for the executive branch of state government within the past year, you may be subject to the law's prohibitions. The law's applicability may be different if you hold elected office or are contemplating representation of another before a state agency.

Also, if you are affiliated with a firm which does business with the state and which employs former state executive-branch employees, you should be aware that the law may apply to them.

In case of doubt, the law permits you to request an advisory opinion from the Executive Branch Ethics Commission, 1025 Capital Center Drive, Suite 105, Frankfort, Kentucky 40601; telephone (502) 564-7954.

Revised: March 11, 2025

"General Decision Number: KY20260040 05/18/2026

State: Kentucky

Construction Types: Highway

Counties: Kentucky Counties of  
Allen, Ballard, Butler, Caldwell,  
Calloway, Carlisle, Christian,  
Crittenden, Daviess, Edmonson, Fulton,  
Graves, Hancock, Henderson, Hickman,  
Hopkins, Livingston, Logan, Lyon,  
Marshall, McCracken, McLean, Muhlenberg,  
Ohio, Simpson, Todd, Trigg, Union,  
Warren and Webster

Modification Number Publication Date  
0 01/02/2026  
1 05/18/2026

BRIN0004-002 06/01/2024  
Rates Fringes  
BRICKLAYER (DAVIESS, HANCOCK, HENDERSON, MCLEAN,  
UNION, AND WEBSTER COUNTIES).....\$ 33.70 16.57  
BRICKLAYER (BUTLER, EDMONSON, HOPKINS, MUHLENBERG,  
AND OHIO COUNTIES).....\$ 33.70 16.57  
BRICKLAYER (BALLARD, CALDWELL, CARLISLE,  
CRITTENDEN, FULTON, GRAVES, HICKMAN, LIVINGSTON,  
LYON, MARSHALL, AND MCCracken COUNTIES).....\$ 33.70 16.57  
-----

BRTN0004-005 06/01/2024  
Rates Fringes  
BRICKLAYER (ALLEN, CALLOWAY, CHRISTIAN, LOGAN,  
SIMPSON, TODD, TRIGG, AND WARREN COUNTIES).....\$ 33.70 16.57  
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CARP0357-002 04/01/2025  
Rates Fringes  
PILEDRIVERMAN.....\$ 34.39 24.02  
DIVER.....\$ 51.21 24.02  
CARPENTER.....\$ 33.89 24.02  
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ELEC0369-006 05/27/2025  
Rates Fringes  
ELECTRICIAN (BUTLER, EDMONSON, LOGAN, TODD & WARREN  
COUNTIES:).....\$ 40.96 22.44  
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ELEC0429-001 06/01/2024  
Rates Fringes  
ELECTRICIAN (ALLEN & SIMPSON COUNTIES).....\$ 34.92 14.75  
-----

ELEC0816-002 06/01/2025

Rates Fringes

ELECTRICIAN (BALLARD, CALDWELL, CALLOWAY, CARLISLE, CHRISTIAN, CRITTENDEN, FULTON (EXCEPT A 5 MILE RADIUS OF CITY HALL IN FULTON), GRAVES, HICKMAN, LIVINGSTON, LYON, MARSHALL, MCCracken & TRIGG COUNTIES) CABLE SPICERS RECEIVE \$.25 PER HOUR  
ADDITIONAL.....\$ 36.75 10.29  
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ELEC1701-003 07/01/2024

Rates Fringes

ELECTRICIAN (DAVIESS, HANCOCK, HENDERSON, HOPKINS, MCLEAN, MUHLENBERG, OHIO, UNION & WEBSTER COUNTIES) CABLE SPICERS RECEIVE \$.25 PER HOUR  
ADDITIONAL.....\$ 37.10 20.03  
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ELEC1925-002 01/01/2025

Rates Fringes

ELECTRICIAN (FULTON COUNTY (UP TO A 5 MILE RADIUS OF CITY HALL IN FULTON)).....\$ 28.60 15.49  
CABLE SPLICER (FULTON COUNTY (UP TO A 5 MILE RADIUS OF CITY HALL IN FULTON)).....\$ 28.85 15.50  
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ENGI0181-017 07/01/2025

Rates Fringes

POWER EQUIPMENT OPERATOR GROUP 4 BITUMINOUS DISTRIBUTOR; BURLAP & CURING MACHINE; CEMENT GUN; CONCRETE SAW; CONVEYOR; DECKHAND OILER; GROUT PUMP; HYDRAULIC POST DRIVER; HYDRO SEEDER; MUD JACK; OILER; PAVING JOINT MACHINE; POWER FORM HANDLING EQUIPMENT; PUMP; ROLLER (EARTH); STEERMAN; TAMPING MACHINE; TRACTOR (UNDER 50 H.P.); & VIBRATOR CRANES - WITH BOOMS 150 FT. & OVER (INCLUDING JIB), AND WHERE THE LENGTH OF THE BOOM IN COMBINATION WITH THE LENGTH OF THE PILING EQUALS OR EXCEEDS 150 FT. - \$1.00 ABOVE GROUP 1 RATE EMPLOYEES ASSIGNED TO WORK BELOW GROUND LEVEL ARE TO BE PAID 10% ABOVE BASIC WAGE RATE. THIS DOES NOT APPLY TO OPEN CUT WORK.....\$ 38.37 19.60  
POWER EQUIPMENT OPERATOR GROUP 3 ALL OFF ROAD MATERIAL HANDLING EQUIPMENT, INCLUDING ARTICULATING DUMP TRUCKS; GREASER ON GREASE FACILITIES SERVICING HEAVY EQUIPMENT CRANES - WITH BOOMS 150 FT. & OVER (INCLUDING JIB), AND WHERE THE LENGTH OF THE BOOM IN COMBINATION WITH THE LENGTH OF THE PILING EQUALS OR EXCEEDS 150 FT. - \$1.00 ABOVE GROUP 1 RATE EMPLOYEES ASSIGNED TO WORK BELOW GROUND LEVEL ARE TO BE PAID 10% ABOVE BASIC WAGE RATE. THIS DOES NOT APPLY TO OPEN CUT WORK.....\$ 39.14 19.60  
POWER EQUIPMENT OPERATOR GROUP 2 AIR COMPRESSOR (OVER 900 CU. FT. PER MIN.); BITUMINOUS MIXER; BOOM TYPE TAMPING MACHINE; BULL FLOAT; CONCRETE MIXER (UNDER 21 CU. FT.); DREDGE ENGINEER; ELECTRIC VIBRATOR; COMPACTOR/SELF-PROPELLED COMPACTOR; ELEVATOR (ONE DRUM OR BUCK HOIST); ELEVATOR (WHEN USED TO HOIST BUILDING MATERIAL); FINISH MACHINE; FIREMEN & HOIST (ONE DRUM); FLEXPLANE; FORKLIFT (REGARDLESS OF LIFT HEIGHT); FORM GRADER; JOINT SEALING MACHINE; OUTBOARD MOTOR BOAT; POWER SWEEPER (RIDING TYPE); ROLLER (ROCK); ROSS CARRIER; SKID MOUNTED OR TRAILER MOUNTED CONCRETE PUMP; SKID STEER

MACHINE WITH ALL ATTACHMENTS; SWITCHMAN OR  
BRAKEMAN; THROTTLE VALVE PERSON; TRACTAIR & ROAD  
WIDENING TRENCHER; TRACTOR (50 H.P. OR OVER); TRUCK  
CRANE OILER; TUGGER; WELDING MACHINE; WELL POINTS;&  
WHIRLEY OILER CRANES - WITH BOOMS 150 FT. & OVER  
(INCLUDING JIB), AND WHERE THE LENGTH OF THE BOOM  
IN COMBINATION WITH THE LENGTH OF THE PILING EQUALS  
OR EXCEEDS 150 FT. - \$1.00 ABOVE GROUP 1 RATE  
EMPLOYEES ASSIGNED TO WORK BELOW GROUND LEVEL ARE  
TO BE PAID 10% ABOVE BASIC WAGE RATE. THIS DOES  
NOT APPLY TO OPEN CUT WORK.....\$ 38.69 19.60  
POWER EQUIPMENT OPERATOR GROUP 1 A-FRAME WINCH  
TRUCK; AUTO PATROL; BACKFILLER; BATCHER PLANT;  
BITUMINOUS PAVER; BITUMINOUS TRANSFER MACHINE; BOOM  
CAT; BULLDOZER; MECHANIC; CABLEWAY; CARRY-ALL  
SCOOP; CARRY DECK CRANE; CENTRAL COMPRESSOR PLANT;  
CHERRY PICKER; CLAMSHELL; CONCRETE MIXER (21 CU.  
FT. OR OVER); CONCRETE PAVER; TRUCK-MOUNTED  
CONCRETE PUMP; CORE DRILL; CRANE; CRUSHER PLANT;  
DERRICK; DERRICK BOAT; DITCHING & TRENCHING  
MACHINE; DRAGLINE; DREDGE OPERATOR; DREDGE  
ENGINEER; ELEVATING GRADER & LOADERS; GRADE-ALL;  
GURRIES; HEAVY EQUIPMENT ROBOTICS  
OPERATOR/MECHANIC; HIGH LIFT; HOE-TYPE MACHINE;  
HOIST (TWO OR MORE DRUMS); HOISTING ENGINE (TWO OR  
MORE DRUMS); HORIZONTAL DIRECTIONAL DRILL OPERATOR;  
HYDROCRANE; HYSTER; KECAL LOADER; LETOURNEAU;  
LOCOMOTIVE; MECHANIC; MECHANICALLY OPERATED LASER  
SCREED; MECHANIC WELDER; MUCKING MACHINE; MOTOR  
SCRAPER; ORANGEPEEL BUCKET; OVERHEAD CRANE;  
PILEDRIVER; POWER BLADE; PUMPCRETE; PUSH DOZER;  
ROCK SPREADER, ATTACHED TO EQUIPMENT; ROTARY DRILL;  
ROLLER (BITUMINOUS); ROUGH TERRAIN CRANE;  
SCARIFIER; SCOOPMOBILE; SHOVEL; SIDE BOOM;  
SUBGRADER; TAILBOOM; TELESCOPING TYPE FORKLIFT; TOW  
OR PUSH BOAT; TOWER CRANE (FRENCH, GERMAN & OTHER  
TYPES); TRACTOR SHOVEL; TRUCK CRANE; TUNNEL MINING  
MACHINES, INCLUDING MOLES, SHIELDS OR SIMILAR TYPES  
OF TUNNEL MINING EQUIPMENT CRANES - WITH BOOMS  
150 FT. & OVER (INCLUDING JIB), AND WHERE THE  
LENGTH OF THE BOOM IN COMBINATION WITH THE LENGTH  
OF THE PILING EQUALS OR EXCEEDS 150 FT. - \$1.00  
ABOVE GROUP 1 RATE EMPLOYEES ASSIGNED TO WORK  
BELOW GROUND LEVEL ARE TO BE PAID 10% ABOVE BASIC  
WAGE RATE. THIS DOES NOT APPLY TO OPEN CUT WORK....\$ 41.55 19.60  
-----

IRON0070-005 06/01/2025  
Rates Fringes  
IRONWORKER: STRUCTURAL; ORNAMENTAL; REINFORCING;  
PRECAST CONCRETE ERECTORS (BUTLER COUNTY (EASTERN  
EIGHTH, INCLUDING THE TOWNSHIPS OF DECKER, LEE  
& TILFORD); EDMONSON COUNTY (NORTHERN  
THREE-FOURTHS, INCLUDING THE TOWNSHIPS OF ASPHALT,  
BEE SPRING, BROWNSVILLE, GRASSLAND, HUFF, KYROCK,  
LINDSEYVILLE, MAMMOTH CAVE, OLLIE, PROSPERITY,  
RHODA, SUNFISH & SWEDEN)).....\$ 36.17 25.80  
-----

IRON0103-004 08/01/2024  
Rates Fringes  
IRONWORKERS: (DAVIESS, HANCOCK, HENDERSON, HOPKINS,  
MCLEAN, OHIO, UNION & WEBSTER COUNTIES BUTLER  
COUNTY (TOWNSHIPS OF ABERDEEN, BANCOCK, CASEY,  
DEXTERVILLE, DUNBAR, ELFIE, GILSTRAP, HUNTSVILLE,

LOGANSPOORT, MONFORD, MORGANTOWN, PROVO, ROCHESTER,  
SOUTH HILL & WELCHS CREEK); CALDWELL COUNTY  
(NORTHEASTERN THIRD, INCLUDING THE TOWNSHIP OF  
CRESWELL); CHRISTIAN COUNTY (NORTHERN THIRD,  
INCLUDING THE TOWNSHIPS OF APEX, CROFTON, KELLY,  
MANNINGTON & WYNNS); CRITTENDEN COUNTY  
(NORTHEA.....\$ 35.34 26.40  
-----

IRON0492-003 05/01/2025  
Rates Fringes  
IRONWORKERS: (ALLEN, LOGAN, SIMPSON, TODD &  
WARREN COUNTIES BUTLER COUNTY (SOUTHERN THIRD,  
INCLUDING THE TOWNSHIPS OF BOSTON, BERRYS LICK,  
DIMPLE, JETSON, QUALITY, SHARER, SUGAR GROVE &  
WOODBURY); CHRISTIAN COUNTY (EASTERN TWO-THIRDS,  
INCLUDING THE TOWNSHIPS OF BENNETTSTOWN, CASKY,  
HERNDON, HOPKINSVILLE, HOWELL, MASONVILLE, PEMBROKE  
& THOMPSONVILLE); EDMONSON COUNTY (SOUTHERN  
FOURTH, INCLUDING THE TOWNSHIPS OF CHALYBEATE  
& ROCKY HILL); MUHLENBERG COUNTY (SOUTHERN  
EIGHTH, I.....\$ 35.32 16.44  
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IRON0782-006 08/01/2025  
Rates Fringes  
IRONWORKERS:: PROJECTS WITH A TOTAL CONTRACT COST  
OF \$20,000,000.00 OR ABOVE (BALLARD, CALLOWAY,  
CARLISLE, FULTON, GRAVES, HICKMAN, LIVINGSTON,  
LYON, MARSHALL, MCCracken & TRIGG COUNTIES  
CALDWELL COUNTY (SOUTHWESTERN TWO-THIRDS, INCLUDING  
THE TOWNSHIPS OF CEDAR BLUFF, CIDER, CLAXTON,  
COBB, CROWTOWN, DULANEY, FARMERSVILLE, FREDONIA,  
MCGOWAN, OTTER POND & PRINCETON); CHRISTIAN  
COUNTY (WESTERN THIRD, EXCLUDING THE TOWNSHIPS OF  
APEX, CROFTON, KELLY, MANNINGTON, WYNNS,  
BENNETTSTOWN, CASKY.....\$ 37.47 26.49  
IRONWORKERS:: ALL OTHER WORK (BALLARD, CALLOWAY,  
CARLISLE, FULTON, GRAVES, HICKMAN, LIVINGSTON,  
LYON, MARSHALL, MCCracken & TRIGG COUNTIES  
CALDWELL COUNTY (SOUTHWESTERN TWO-THIRDS, INCLUDING  
THE TOWNSHIPS OF CEDAR BLUFF, CIDER, CLAXTON,  
COBB, CROWTOWN, DULANEY, FARMERSVILLE, FREDONIA,  
MCGOWAN, OTTER POND & PRINCETON); CHRISTIAN  
COUNTY (WESTERN THIRD, EXCLUDING THE TOWNSHIPS OF  
APEX, CROFTON, KELLY, MANNINGTON, WYNNS,  
BENNETTSTOWN, CASKY, HERNDON, HOPKINSVILLE, HOWELL,  
MASONVILLE, PEM.....\$ 35.63 26.49  
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LAB00189-005 07/01/2025  
Rates Fringes  
LABORERS: GROUP 4 CAISSON WORKER (FREE AIR); CEMENT  
FINISHER; ENVIRONMENTAL - NUCLEAR, RADIATION, TOXIC  
& HAZARDOUS WASTE - LEVELS A & B; MINER & DRILLER  
(FREE AIR); TUNNEL BLASTER; & TUNNEL MUCKER (FREE  
AIR); DIRECTIONAL & HORIZONTAL BORING; AIR TRACK  
DRILLERS (ALL TYPES); POWDERMEN & BLASTERS; TROXLER  
& CONCRETE TESTER IF LABORER IS UTILIZED (BALLARD,  
CALLOWAY, CARLISLE, FULTON, GRAVES, HICKMAN,  
LIVINGSTON, LYON, MARSHALL & MCCracken COUNTIES)....\$ 27.77 19.66  
LABORERS: GROUP 3 ASPHALT LUTEMAN & RAKER; GUNNITE  
NOZZLEMAN; GUNNITE OPERATOR & MIXER; GROUT PUMP  
OPERATOR; BLASTER; SIDE RAIL SETTER; RAIL PAVED  
DITCHES; SCREW OPERATOR; TUNNEL (FREE AIR); WATER



BLASTER (BALLARD, CALLOWAY, CARLISLE, FULTON,  
GRAVES, HICKMAN, LIVINGSTON, LYON, MARSHALL &  
MCCRACKEN COUNTIES).....\$ 27.17 19.66  
LABORERS: GROUP 2 BATTER BOARD MAN (SANITARY &  
STORM SEWER); BRICKMASON TENDER; MORTAR MIXER  
OPERATOR; SCAFFOLD BUILDER; BURNER & WELDER;  
BUSHAMMER; CHAIN SAW OPERATOR; CONCRETE SAW  
OPERATOR; DECKHAND SCOW MAN; DRY CEMENT HANDLER;  
ENVIRONMENTAL - NUCLEAR, RADIATION, TOXIC &  
HAZARDOUS WASTE - LEVEL C; FORKLIFT OPERATOR FOR  
MASONARY; FORM SETTER; GREEN CONCRETE CUTTING; HAND  
OPERATED GROUTER & GRINDER MACHINE OPERATOR;  
JACKHAMMER; PAVEMENT BREAKER; PAVING JOINT MACHINE;  
PIPELAYER; PLASTIC PIPE FUSION; POWER DRIVEN  
GEORGIA BUGGY & WHEEL BARROW; POWER POST HOLE  
DIGGER; PRECAST MANHOLE SETTER; WALK-BEHIND TAMPER;  
WALK-BEHIND TRENCHER; SAND BLASTER; CONCRETE  
CHIPPER; SURFACE GRINDER; VIBRATOR OPERATOR; WAGON  
DRILLER (BALLARD, CALLOWAY, CARLISLE, FULTON,  
GRAVES, HICKMAN, LIVINGSTON, LYON, MARSHALL &  
MCCRACKEN COUNTIES).....\$ 27.12 19.66  
LABORERS: GROUP 1 AGING & CURING OF CONCRETE;  
ASBESTOS ABATEMENT WORKER; ASPHALT PLANT; ASPHALT;  
BATCH TRUCK DUMP; CARPENTER TENDER; CEMENT MASON  
TENDER; CLEANING OF MACHINES; CONCRETE; DEMOLITION;  
DREDGING; ENVIRONMENTAL - NUCLEAR, RADIATION, TOXIC  
& HAZARDOUS WASTE - LEVEL D; FLAGPERSON; GRADE  
CHECKER; HAND DIGGING & HAND BACK FILLING;  
HIGHWAY MARKER PLACER; LANDSCAPING, MESH HANDLER &  
PLACER; PUDDLER; RAILROAD; RIP-RAP & GROUTER;  
RIGHT-OF-WAY; SIGN, GUARD RAIL & FENCE INSTALLER;  
SIGNAL PERSON; SOUND BARRIER INSTALLER; STORM &  
SANITARY SEWER; SWAMPER; TRUCK SPOTTER & DUMPER;  
WRECKING OF CONCRETE FORMS; GENERAL CLEANUP  
(BALLARD, CALLOWAY, CARLISLE, FULTON, GRAVES,  
HICKMAN, LIVINGSTON, LYON, MARSHALL & MCCRACKEN  
COUNTIES).....\$ 26.87 19.66  
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LAB00189-006 07/01/2025  
Rates Fringes  
LABORERS: GROUP 4 CAISSON WORKER (FREE AIR); CEMENT  
FINISHER; ENVIRONMENTAL - NUCLEAR, RADIATION, TOXIC  
& HAZARDOUS WASTE - LEVELS A & B; MINER & DRILLER  
(FREE AIR); TUNNEL BLASTER; & TUNNEL MUCKER (FREE  
AIR); DIRECTIONAL & HORIZONTAL BORING; AIR TRACK  
DRILLERS (ALL TYPES); POWDERMEN & BLASTERS; TROXLER  
& CONCRETE TESTER IF LABORER IS UTILIZED (ALLEN,  
BUTLER, CALDWELL, CHRISTIAN, DAVIESS, EDMONSON,  
HANCOCK, HOPKINS, LOGAN, MCLEAN, MUHLENBERG, OHIO,  
SIMPSON, TODD, TRIGG & WARREN COUNTIES).....\$ 27.77 19.66  
LABORERS: GROUP 3 ASPHALT LUTEMAN & RAKER; GUNNITE  
NOZZLEMAN; GUNNITE OPERATOR & MIXER; GROUT PUMP  
OPERATOR; BLASTER; SIDE RAIL SETTER; RAIL PAVED  
DITCHES; SCREW OPERATOR; TUNNEL (FREE AIR); WATER  
BLASTER (ALLEN, BUTLER, CALDWELL, CHRISTIAN,  
DAVIESS, EDMONSON, HANCOCK, HOPKINS, LOGAN, MCLEAN,  
MUHLENBERG, OHIO, SIMPSON, TODD, TRIGG & WARREN  
COUNTIES).....\$ 27.17 19.66  
LABORERS: GROUP 2 BATTER BOARD MAN (SANITARY &  
STORM SEWER); BRICKMASON TENDER; MORTAR MIXER  
OPERATOR; SCAFFOLD BUILDER; BURNER & WELDER;  
BUSHAMMER; CHAIN SAW OPERATOR; CONCRETE SAW  
OPERATOR; DECKHAND SCOW MAN; DRY CEMENT HANDLER;  
ENVIRONMENTAL - NUCLEAR, RADIATION, TOXIC &

HAZARDOUS WASTE - LEVEL C; FORKLIFT OPERATOR FOR  
MASONARY; FORM SETTER; GREEN CONCRETE CUTTING; HAND  
OPERATED GROUTER & GRINDER MACHINE OPERATOR;  
JACKHAMMER; PAVEMENT BREAKER; PAVING JOINT MACHINE;  
PIPELAYER; PLASTIC PIPE FUSION; POWER DRIVEN  
GEORGIA BUGGY & WHEEL BARROW; POWER POST HOLE  
DIGGER; PRECAST MANHOLE SETTER; WALK-BEHIND TAMPER;  
WALK-BEHIND TRENCHER; SAND BLASTER; CONCRETE  
CHIPPER; SURFACE GRINDER; VIBRATOR OPERATOR; WAGON  
DRILLER (ALLEN, BUTLER, CALDWELL, CHRISTIAN,  
DAVIESS, EDMONSON, HANCOCK, HOPKINS, LOGAN, MCLEAN,  
MUHLENBERG, OHIO, SIMPSON, TODD, TRIGG & WARREN  
COUNTIES).....\$ 27.12 19.66  
LABORERS: GROUP 1 AGING & CURING OF CONCRETE;  
ASBESTOS ABATEMENT WORKER; ASPHALT PLANT; ASPHALT;  
BATCH TRUCK DUMP; CARPENTER TENDER; CEMENT MASON  
TENDER; CLEANING OF MACHINES; CONCRETE; DEMOLITION;  
DREDGING; ENVIRONMENTAL - NUCLEAR, RADIATION, TOXIC  
& HAZARDOUS WASTE - LEVEL D; FLAGPERSON; GRADE  
CHECKER; HAND DIGGING & HAND BACK FILLING;  
HIGHWAY MARKER PLACER; LANDSCAPING, MESH HANDLER &  
PLACER; PUDDLER; RAILROAD; RIP-RAP & GROUTER;  
RIGHT-OF-WAY; SIGN, GUARD RAIL & FENCE INSTALLER;  
SIGNAL PERSON; SOUND BARRIER INSTALLER; STORM &  
SANITARY SEWER; SWAMPER; TRUCK SPOTTER & DUMPER;  
WRECKING OF CONCRETE FORMS; GENERAL CLEANUP  
(ALLEN, BUTLER, CALDWELL, CHRISTIAN, DAVIESS,  
EDMONSON, HANCOCK, HOPKINS, LOGAN, MCLEAN,  
MUHLENBERG, OHIO, SIMPSON, TODD, TRIGG & WARREN  
COUNTIES).....\$ 26.87 19.66  
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LAB00561-001 07/01/2025  
Rates Fringes  
LABORERS: GROUP 4 CAISSON WORKER (FREE AIR); CEMENT  
FINISHER; ENVIRONMENTAL - NUCLEAR, RADIATION, TOXIC  
& HAZARDOUS WASTE - LEVELS A & B; MINER & DRILLER  
(FREE AIR); TUNNEL BLASTER; & TUNNEL MUCKER (FREE  
AIR); DIRECTIONAL & HORIZONTAL BORING; AIR TRACK  
DRILLERS (ALL TYPES); POWDERMEN & BLASTERS; TROXLER  
& CONCRETE TESTER IF LABORER IS UTILIZED  
(CRITTENDEN, HENDERSON, UNION & WEBSTER COUNTIES)...\$ 29.45 18.77  
LABORERS: GROUP 3 ASPHALT LUTEMAN & RAKER; GUNNITE  
NOZZLEMAN; GUNNITE OPERATOR & MIXER; GROUT PUMP  
OPERATOR; BLASTER; SIDE RAIL SETTER; RAIL PAVED  
DITCHES; SCREW OPERATOR; TUNNEL (FREE AIR); WATER  
BLASTER (CRITTENDEN, HENDERSON, UNION & WEBSTER  
COUNTIES).....\$ 28.85 18.77  
LABORERS: GROUP 2 BATTER BOARD MAN (SANITARY &  
STORM SEWER); BRICKMASON TENDER; MORTAR MIXER  
OPERATOR; SCAFFOLD BUILDER; BURNER & WELDER;  
BUSHAMMER; CHAIN SAW OPERATOR; CONCRETE SAW  
OPERATOR; DECKHAND SCOW MAN; DRY CEMENT HANDLER;  
ENVIRONMENTAL - NUCLEAR, RADIATION, TOXIC &  
HAZARDOUS WASTE - LEVEL C; FORKLIFT OPERATOR FOR  
MASONARY; FORM SETTER; GREEN CONCRETE CUTTING; HAND  
OPERATED GROUTER & GRINDER MACHINE OPERATOR;  
JACKHAMMER; PAVEMENT BREAKER; PAVING JOINT MACHINE;  
PIPELAYER; PLASTIC PIPE FUSION; POWER DRIVEN  
GEORGIA BUGGY & WHEEL BARROW; POWER POST HOLE  
DIGGER; PRECAST MANHOLE SETTER; WALK-BEHIND TAMPER;  
WALK-BEHIND TRENCHER; SAND BLASTER; CONCRETE  
CHIPPER; SURFACE GRINDER; VIBRATOR OPERATOR; WAGON  
DRILLER (CRITTENDEN, HENDERSON, UNION & WEBSTER  
COUNTIES).....\$ 28.80 18.77



LABORERS: GROUP 1 AGING & CURING OF CONCRETE;  
ASBESTOS ABATEMENT WORKER; ASPHALT PLANT; ASPHALT;  
BATCH TRUCK DUMP; CARPENTER TENDER; CEMENT MASON  
TENDER; CLEANING OF MACHINES; CONCRETE; DEMOLITION;  
DREDGING; ENVIRONMENTAL - NUCLEAR, RADIATION, TOXIC  
& HAZARDOUS WASTE - LEVEL D; FLAGPERSON; GRADE  
CHECKER; HAND DIGGING & HAND BACK FILLING;  
HIGHWAY MARKER PLACER; LANDSCAPING, MESH HANDLER &  
PLACER; PUDDLER; RAILROAD; RIP-RAP & GROUTER;  
RIGHT-OF-WAY; SIGN, GUARD RAIL & FENCE INSTALLER;  
SIGNAL PERSON; SOUND BARRIER INSTALLER; STORM &  
SANITARY SEWER; SWAMPER; TRUCK SPOTTER & DUMPER;  
WRECKING OF CONCRETE FORMS; GENERAL CLEANUP  
(CRITTENDEN, HENDERSON, UNION & WEBSTER COUNTIES)...\$ 28.55 18.77  
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PAIN0032-002 09/01/2024  
Rates Fringes  
PAINTERS: BRIDGES (BALLARD COUNTY).....\$ 36.77 21.77  
PAINTERS: ALL OTHER WORK (BALLARD COUNTY) SPRAY,  
BLAST, STEAM, HIGH & HAZARDOUS (INCLUDING LEAD  
ABATEMENT) AND ALL EPOXY - \$1.00 PREMIUM.....\$ 34.47 21.77  
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PAIN0118-003 06/01/2014  
Rates Fringes  
PAINTERS: SPRAY, SANDBLAST, POWER TOOLS, WATERBLAST  
& STEAM CLEANING (EDMONSON COUNTY).....\$ 19.50 11.97  
PAINTERS: BRUSH & ROLLER (EDMONSON COUNTY).....\$ 18.50 11.97  
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PAIN0156-006 04/01/2024  
Rates Fringes  
PAINTERS: BRIDGES (DAVIESS, HANCOCK, HENDERSON,  
MCLEAN, OHIO, UNION & WEBSTER COUNTIES) (GROUP  
4).....\$ 35.00 20.30  
PAINTERS: BRIDGES (DAVIESS, HANCOCK, HENDERSON,  
MCLEAN, OHIO, UNION & WEBSTER COUNTIES) (GROUP  
3).....\$ 31.77 20.30  
PAINTERS: BRIDGES (DAVIESS, HANCOCK, HENDERSON,  
MCLEAN, OHIO, UNION & WEBSTER COUNTIES) (GROUP  
1).....\$ 30.77 20.30  
PAINTERS: ALL OTHER WORK: (DAVIESS, HANCOCK,  
HENDERSON, MCLEAN, OHIO, UNION & WEBSTER  
COUNTIES) (GROUP 4).....\$ 31.77 20.30  
PAINTERS: ALL OTHER WORK: (DAVIESS, HANCOCK,  
HENDERSON, MCLEAN, OHIO, UNION & WEBSTER  
COUNTIES) (GROUP 3).....\$ 30.62 20.30  
PAINTERS: ALL OTHER WORK: (DAVIESS, HANCOCK,  
HENDERSON, MCLEAN, OHIO, UNION & WEBSTER  
COUNTIES) (GROUP 2).....\$ 30.37 20.30  
PAINTERS: ALL OTHER WORK: (DAVIESS, HANCOCK,  
HENDERSON, MCLEAN, OHIO, UNION & WEBSTER  
COUNTIES) (GROUP 1).....\$ 29.62 20.30  
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PAIN0500-002 06/01/2025  
Rates Fringes  
PAINTERS: BRIDGES (CALDWELL, CALLOWAY, CARLISLE,  
CHRISTIAN, CRITTENDEN, FULTON, GRAVES, HICKMAN,  
HOPKINS, LIVINGSTON, LYON, MARSHALL, MCCracken &  
TRIGG COUNTIES) WATERBLASTING UNITS WITH 3500  
PSI AND ABOVE - \$.50 PREMIUM SPRAYPAINTING AND ALL  
ABRASIVE BLASTING - \$1.00 PREMIUM WORK 40 FT. AND  
ABOVE GROUND LEVEL - \$1.00 PREMIUM.....\$ 31.50 15.60

PAINTERS: ALL OTHER WORK (CALDWELL, CALLOWAY,  
CARLISLE, CHRISTIAN, CRITTENDEN, FULTON, GRAVES,  
HICKMAN, HOPKINS, LIVINGSTON, LYON, MARSHALL,  
MCCRACKEN & TRIGG COUNTIES) WATERBLASTING UNITS  
WITH 3500 PSI AND ABOVE - \$.50 PREMIUM  
SPRAYPAINTING AND ALL ABRASIVE BLASTING - \$1.00  
PREMIUM WORK 40 FT. AND ABOVE GROUND LEVEL - \$1.00  
PREMIUM.....\$ 25.25 15.60  
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PLUM0184-002 07/01/2025  
Rates Fringes  
PLUMBER; STEAMFITTER (BALLARD, CALDWELL, CALLOWAY,  
CARLISLE, CHRISTIAN, CRITTENDEN, FULTON, GRAVES,  
HICKMAN, LIVINGSTON, LYON, MARSHALL, MCCRACKEN AND  
TRIGG COUNTIES).....\$ 44.26 20.28  
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PLUM0502-004 08/01/2024  
Rates Fringes  
PLUMBER; STEAMFITTER (ALLEN, BUTLER, EDMONSON,  
SIMPSON & WARREN).....\$ 41.90 24.89  
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PLUM0633-002 08/01/2024  
Rates Fringes  
PLUMBER/PIPEFITTER (DAVIESS, HANCOCK, HENDERSON,  
HOPKINS, LOGAN, MCLEAN, MUHLENBERG, OHIO, TODD,  
UNION & WEBSTER COUNTIES: ).....\$ 38.41 22.26  
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TEAM0089-003 04/01/2025  
Rates Fringes  
TRUCK DRIVERS: ZONE 1: (ALLEN, BUTLER, EDMONSON,  
LOGAN, SIMPSON & WARREN COUNTIES) (Group 4).....\$ 26.00 27.81  
TRUCK DRIVERS: ZONE 1: (ALLEN, BUTLER, EDMONSON,  
LOGAN, SIMPSON & WARREN COUNTIES) (Group 3).....\$ 26.98 27.81  
TRUCK DRIVERS: ZONE 1: (ALLEN, BUTLER, EDMONSON,  
LOGAN, SIMPSON & WARREN COUNTIES) (Group 2).....\$ 25.90 27.81  
TRUCK DRIVERS: ZONE 1: (ALLEN, BUTLER, EDMONSON,  
LOGAN, SIMPSON & WARREN COUNTIES) (Group 1).....\$ 25.72 27.81  
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TEAM0215-003 04/01/2025  
Rates Fringes  
TRUCK DRIVER GROUP 4 EUCLID AND OTHER HEAVY EARTH  
MOVING EQUIPMENT; LOW BOY; ARTICULATOR CAT; 5 AXLE  
VEHICLE; WINCH AND A- FRAME WHEN USED IN  
TRANSPORTING MATERIALS; ROSS CARRIER; FORK LIFT  
WHEN USED TO TRANSPORT BUILDING MATERIALS; DRIVER  
ON PAVEMENT BREAKER (DAVIESS, HANCOCK, HENDERSON,  
HOPKINS, MCLEAN, MUHLENBERG, OHIO & WEBSTER  
COUNTIES).....\$ 27.66 27.81  
TRUCK DRIVER GROUP 3 SINGLE AXLE DUMP; FLAT BED;  
ALL TERRAIN VEHICLE WHEN USED TO HAUL MATERIALS;  
SEMI TRAILER OR POLE TRAILER WHEN USED TO PULL  
BUILDING MATERIALS AND EQUIPMENT; TANDEM AXLE DUMP;  
DRIVER OF DISTRIBUTORS; MIXER ALL TYPES (DAVIESS,  
HANCOCK, HENDERSON, HOPKINS, MCLEAN, MUHLENBERG,  
OHIO & WEBSTER COUNTIES).....\$ 27.65 27.81  
TRUCK DRIVER GROUP 2 TRUCK MECHANIC (DAVIESS,  
HANCOCK, HENDERSON, HOPKINS, MCLEAN, MUHLENBERG,  
OHIO & WEBSTER COUNTIES).....\$ 27.58 27.81  
TRUCK DRIVER GROUP 1 GREASER, TIRE CHANGER  
(DAVIESS, HANCOCK, HENDERSON, HOPKINS, MCLEAN,

|                                                                                                                                                                                                                                                                                                                                                                                        |          |       |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-------|
| MUHLENBERG, OHIO & WEBSTER COUNTIES).....                                                                                                                                                                                                                                                                                                                                              | \$ 27.35 | 27.81 |
| -----                                                                                                                                                                                                                                                                                                                                                                                  |          |       |
| TEAM0236-001 04/01/2025                                                                                                                                                                                                                                                                                                                                                                |          |       |
| Rates Fringes                                                                                                                                                                                                                                                                                                                                                                          |          |       |
| TRUCK DRIVER GROUP 5 MIXER ALL TYPES (BALLARD, CALDWELL, CALLOWAY, CARLISLE, CHRISTIAN, CRITTENDEN, FULTON, GRAVES, HICKMAN, LIVINGSTON, LYON, MARSHALL, MCCracken,TODD & TRIGG COUNTIES)...                                                                                                                                                                                           | \$ 26.00 | 27.81 |
| TRUCK DRIVER GROUP 4 EUCLID AND OTHER HEAVY EARTH MOVING EQUIPMENT; LOW BOY; ARTICULATOR CAT; FIVE AXLE VEHICLE; WINCH AND A-FRAME WHEN USED IN TRANSPORTING MATERIALS; ROSS CARRIER (BALLARD, CALDWELL, CALLOWAY, CARLISLE, CHRISTIAN, CRITTENDEN, FULTON, GRAVES, HICKMAN, LIVINGSTON, LYON, MARSHALL, MCCracken,TODD & TRIGG COUNTIES)...                                           | \$ 25.98 | 27.81 |
| TRUCK DRIVER GROUP 3 SINGLE AXLE DUMP; FLAT BED; ALL TERRAIN VEHICLE WHEN USED TO HAUL MATERIALS; SEMI TRAILER OR POLE TRAILER WHEN USED TO PULL BUILDING MATERIALS AND EQUIPMENT; TANDEM AXLE DUMP; DRIVERS OF DISTRIBUTORS (BALLARD, CALDWELL, CALLOWAY, CARLISLE, CHRISTIAN, CRITTENDEN, FULTON, GRAVES, HICKMAN, LIVINGSTON, LYON, MARSHALL, MCCracken,TODD & TRIGG COUNTIES)..... | \$ 25.90 | 27.81 |
| TRUCK DRIVER GROUP 2 TRUCK MECHANIC (BALLARD, CALDWELL, CALLOWAY, CARLISLE, CHRISTIAN, CRITTENDEN, FULTON, GRAVES, HICKMAN, LIVINGSTON, LYON, MARSHALL, MCCracken,TODD & TRIGG COUNTIES)...                                                                                                                                                                                            | \$ 25.90 | 27.81 |
| TRUCK DRIVER GROUP 1 GREASER, TIRE CHANGER (BALLARD, CALDWELL, CALLOWAY, CARLISLE, CHRISTIAN, CRITTENDEN, FULTON, GRAVES, HICKMAN, LIVINGSTON, LYON, MARSHALL, MCCracken,TODD & TRIGG COUNTIES)...                                                                                                                                                                                     | \$ 25.72 | 27.81 |
| -----                                                                                                                                                                                                                                                                                                                                                                                  |          |       |

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WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

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Note: Executive Order (EO) 13706, Establishing Paid Sick Leave for Federal Contractors applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2017. If this contract is covered by the EO, the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at <https://www.dol.gov/agencies/whd/government-contracts>.

Note: Executive Order 13658 generally applies to contracts subject to the Davis-Bacon Act that were awarded on or between January 1, 2015 and January 29, 2022, and that have not been renewed or extended on or after January 30, 2022. Executive Order 13658 does not apply to contracts subject only to the Davis-Bacon Related Acts regardless of when they were awarded. If a contract is subject to Executive Order 13658, the contractor must pay all covered workers at least \$13.65 per hour (or the applicable wage rate listed on this

wage determination, if it is higher) for all hours spent performing on the contract from May 11, 2026, through December 31, 2026. The applicable Executive Order minimum wage rate will be adjusted annually. Additional information on contractor requirements and worker protections under Executive Order 13658 is available at [www.dol.gov/whd/govcontracts](http://www.dol.gov/whd/govcontracts).

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (iii)).

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The body of each wage determination lists the classifications and wage rates that have been found to be prevailing for the type(s) of construction and geographic area covered by the wage determination. The classifications are listed in alphabetical order under rate identifiers indicating whether the particular rate is a union rate (current union negotiated rate), a survey rate, a weighted union average rate, a state adopted rate, or a supplemental classification rate.

#### Union Rate Identifiers

A four-letter identifier beginning with characters other than **USU**, **UAVG**, **SA**, or **SC** denotes that a union rate was prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2024. PLUM is an identifier of the union whose collectively bargained rate prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. The date, 07/01/2024 in the example, is the effective date of the most current negotiated rate.

Union prevailing wage rates are updated to reflect all changes over time that are reported to WHD in the rates in the collective bargaining agreement (CBA) governing the classification.

#### Union Average Rate Identifiers

The UAVG identifier indicates that no single rate prevailed for those classifications, but that 100% of the data reported for the classifications reflected union rates. EXAMPLE: UAVG-OH-0010 01/01/2024. UAVG indicates that the rate is a weighted union average rate. OH indicates the State of Ohio. The next number, 0010 in the example, is an internal number used in producing the wage determination. The date, 01/01/2024 in the example, indicates the date the wage determination was updated to reflect the most current union average rate.

A UAVG rate will be updated once a year, usually in January, to reflect a weighted average of the current rates in the collective bargaining agreements on which the rate is based.

#### Survey Rate Identifiers

The **USU** identifier indicates that either a single non-union rate prevailed (as defined in 29 CFR 1.2) for this classification in the survey or that the rate was derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As a weighted average rate includes all rates reported in the survey, it may

include both union and non-union rates. Example: SUFL2022-007 6/27/2024. SU indicates the rate is a single non-union prevailing rate or a weighted average of survey data for that classification. FL indicates the State of Florida. 2022 is the year of the survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. The date, 6/27/2024 in the example, indicates the survey completion date for the classifications and rates under that identifier.

◆SU◆ wage rates typically remain in effect until a new survey is conducted. However, the Wage and Hour Division (WHD) has the discretion to update such rates under 29 CFR 1.6(c)(1).

#### State Adopted Rate Identifiers

The ◆SA◆ identifier indicates that the classifications and prevailing wage rates set by a state (or local) government were adopted under 29 C.F.R 1.3(g)-(h). Example: SAME2023-007 01/03/2024. SA reflects that the rates are state adopted. ME refers to the State of Maine. 2023 is the year during which the state completed the survey on which the listed classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. The date, 01/03/2024 in the example, reflects the date on which the classifications and rates under the ◆SA◆ identifier took effect under state law in the state from which the rates were adopted.

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#### WAGE DETERMINATION APPEALS PROCESS

1) Has there been an initial decision in the matter? This can be:

- a) a survey underlying a wage determination
- b) an existing published wage determination
- c) an initial WHD letter setting forth a position on a wage determination matter
- d) an initial conformance (additional classification and rate) determination

On survey related matters, initial contact, including requests for summaries of surveys, should be directed to the WHD Branch of Wage Surveys. Requests can be submitted via email to davisbaconinfo@dol.gov or by mail to:

Branch of Wage Surveys  
Wage and Hour Division  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

Regarding any other wage determination matter such as conformance decisions, requests for initial decisions should be directed to the WHD Branch of Construction Wage Determinations. Requests can be submitted via email to BCWD-Office@dol.gov or by mail to:

Branch of Construction Wage Determinations  
Wage and Hour Division  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

2) If an initial decision has been issued, then any interested party (those affected by the action) that disagrees with the decision can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Requests for review and reconsideration can be submitted via email to dba.reconsideration@dol.gov or by mail to:

Wage and Hour Administrator  
U.S. Department of Labor

200 Constitution Avenue, N.W.  
Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210.

=====  
END OF GENERAL DECISION  
"

Fringe benefit amounts are applicable for all hours worked except when otherwise noted.

No laborer, workman or mechanic shall be paid at a rate less than that of a Journeyman except those classified as bona fide apprentices.

Apprentices or trainees shall be permitted to work as such subject to Administrative Regulations adopted by the Commissioner of Workplace Standards. Copies of these regulations will be furnished upon request from any interested person.

Before using apprentices on the job the contractor shall present to the Contracting Officer written evidence of registration of such employees in a program of a State apprenticeship and training agency approved and recognized by the U. S. Bureau of Apprenticeship and Training. In the absence of such a State agency, the contractor shall submit evidence of approval and registration by the U. S. Bureau of Apprenticeship and Training.

The contractor shall submit to the Contracting Officer, written evidence of the established apprenticeship-journeyman ratios and wage rates in the project area, which will be the basis for establishing such ratios and rates for the project under the applicable contract provisions.

**TO: EMPLOYERS/EMPLOYEES**

**PREVAILING WAGE SCHEDULE:**

**The wages indicated on this wage schedule are the least permitted to be paid for the occupations indicated. When an employee works in more than one classification, the employer must record the number of hours worked in each classification at the prescribed hourly base rate.**

**OVERTIME:**

**Overtime is to be paid to an employee at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty (40) hours in such workweek. Wage violations or questions should be directed to the designated Engineer or the undersigned.**

Director  
Division of Construction Procurement  
Frankfort, Kentucky 40622  
502-564-3500

**PART IV**

**BID ITEMS**



264704

Section: 0001 - ROADWAY

| LINE | BID CODE | ALT | DESCRIPTION                                            | QUANTITY | UNIT | UNIT PRIC | FP | AMOUNT |
|------|----------|-----|--------------------------------------------------------|----------|------|-----------|----|--------|
| 0010 | 02562    |     | TEMPORARY SIGNS                                        | 600.00   | SQFT |           | \$ |        |
| 0020 | 02650    |     | MAINTAIN & CONTROL TRAFFIC<br>(GRAVES KY 97 HSIP)      | 1.00     | LS   |           | \$ |        |
| 0030 | 02650    |     | MAINTAIN & CONTROL TRAFFIC<br>(MUHLENBERG US 431 HSIP) | 1.00     | LS   |           | \$ |        |
| 0040 | 02650    |     | MAINTAIN & CONTROL TRAFFIC<br>(WARREN KY 185 HSIP)     | 1.00     | LS   |           | \$ |        |
| 0050 | 02654    |     | TRUCK MOUNTED ATTENUATOR                               | 3.00     | EACH |           | \$ |        |
| 0060 | 02671    |     | PORTABLE CHANGEABLE MESSAGE SIGN                       | 6.00     | EACH |           | \$ |        |
| 0070 | 02775    |     | ARROW PANEL                                            | 3.00     | EACH |           | \$ |        |
| 0080 | 23229EC  |     | HIGH FRICTION SURFACE TREATMENT                        | 8,330.00 | SQYD |           | \$ |        |

Section: 0002 - DEMOBILIZATION

| LINE | BID CODE | ALT | DESCRIPTION    | QUANTITY | UNIT | UNIT PRIC | FP | AMOUNT |
|------|----------|-----|----------------|----------|------|-----------|----|--------|
| 0090 | 02569    |     | DEMOBILIZATION | 1.00     | LS   |           | \$ |        |