



CALL NO. 103

CONTRACT ID. 221338

OLDHAM COUNTY

FED/STATE PROJECT NUMBER NHPP 0711 (120)

DESCRIPTION I-71

WORK TYPE GRADE & DRAIN WITH ASPHALT SURFACE

PRIMARY COMPLETION DATE 8/31/2024

LETTING DATE: August 18,2022

Sealed Bids will be received electronically through the Bid Express bidding service until 10:00 AM EASTERN DAYLIGHT TIME August 18,2022. Bids will be publicly announced at 10:00 AM EASTERN DAYLIGHT TIME.

PLANS AVAILABLE FOR THIS PROJECT.

DBE CERTIFICATION REQUIRED - 11.50%

REQUIRED BID PROPOSAL GUARANTY: Not less than 5% of the total bid.

TABLE OF CONTENTS

PART I	SCOPE OF WORK <ul style="list-style-type: none">PROJECT(S), COMPLETION DATE(S), & LIQUIDATED DAMAGESCONTRACT NOTESFEDERAL CONTRACT NOTESNATIONAL HIGHWAYSIGNIFICANT PROJECT -PROJECT TRAFFIC COORDINATORASPHALT MIXTUREDGA BASEDGA BASE FOR SHOULDERSINCIDENTAL SURFACINGFUEL AND ASPHALT PAY ADJUSTMENTASPHALT PAVEMENT RIDE QUALITY CAT ACOMPACTION OPTION AMATERIAL TRANSFER VEHICLE (MTV)SPECIAL NOTE(S) APPLICABLE TO PROJECTTREE REMOVALPIPELINE INSPECTIONPORTABLE QUEUE WARNING ALERT SYSTEMBRIDGE DEMOLITION, RENOVATION AND ASBESTOS ABATEMENTASBESTOS ABATEMENT REPORTCONTRACT COMPLETION DATE AND LIQUIDATED DAMAGESRIGHT OF WAY CERTIFICATIONUTILITY IMPACT & RAIL CERTIFICATION NOTESGENERAL UTILITY NOTESWATERLINE SPECIFICATIONSSEWER STANDARD UTILITY BID ITEMSSEWERLINE SPECSDEPT OF ARMY - NATIONWIDE PERMITWATER QUALITY CERTIFICATIONKPDES STORM WATER PERMIT, BMP AND ENOIPERMIT(S)
PART II	SPECIFICATIONS AND STANDARD DRAWINGS <ul style="list-style-type: none">SPECIFICATIONS REFERENCESUPPLEMENTAL SPECIFICATION[SN-1I] PORTABLE CHANGEABLE SIGNS[SN-11F] TURF REINFORCEMENT MAT[SN-11M] BARCODE LABEL ON PERMANENT SIGNS[SN-11N] LONGITUDINAL PAVEMENT JOINT ADHESIVE
PART III	EMPLOYMENT, WAGE AND RECORD REQUIREMENTS <ul style="list-style-type: none">FEDERAL-AID CONSTRUCTION CONTRACTS - FHWA 1273NONDISCRIMINATION OF EMPLOYEESEXECUTIVE BRANCH CODE OF ETHICSPROJECT WAGE RATES LOCALITY 3 / FEDERALNOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EEO OLDHAM
PART IV	INSURANCE

PART V BID ITEMS

PART I

SCOPE OF WORK

ADMINISTRATIVE DISTRICT - 05

CONTRACT ID - 221338
NHPP 0711 (120)
COUNTY - OLDHAM
PCN - DE09300712238
NHPP 0711 (120)

I-71 CONSTRUCT NEW INTERCHANGE BETWEEN KY 393 AND KY 53 AT MILEPOINT 20.60, A DISTANCE OF 01.73 MILES.GRADE & DRAIN WITH ASPHALT SURFACE SYP NO. 05-00483.30.
GEOGRAPHIC COORDINATES LATITUDE 38:23:26.00 LONGITUDE 85:23:35.00
ADT 56,600

COMPLETION DATE(S):
COMPLETED BY 08/31/2024 APPLIES TO CONTRACT

CONTRACT NOTES

PROPOSAL ADDENDA

All addenda to this proposal must be applied when calculating bid and certified in the bid packet submitted to the Kentucky Department of Highways. Failure to use the correct and most recent addenda may result in the bid being rejected.

BID SUBMITTAL

Bidder must use the Department's electronic bidding software. The Bidder must download the bid file located on the Bid Express website (www.bidx.com) to prepare a bid packet for submission to the Department. The bidder must submit electronically using Bid Express.

JOINT VENTURE BIDDING

Joint venture bidding is permissible. All companies in the joint venture must be prequalified in one of the work types in the Qualifications for Bidders for the project. The bidders must get a vendor ID for the joint venture from the Division of Construction Procurement and register the joint venture as a bidder on the project. Also, the joint venture must obtain a digital ID from Bid Express to submit a bid. A joint bid bond of 5% may be submitted for both companies or each company may submit a separate bond of 5%.

UNDERGROUND FACILITY DAMAGE PROTECTION

The contractor shall make every effort to protect underground facilities from damage as prescribed in the Underground Facility Damage Protection Act of 1994, Kentucky Revised Statute KRS 367.4901 to 367.4917. It is the contractor's responsibility to determine and take steps necessary to be in compliance with federal and state damage prevention directives. When prescribed in said directives, the contractor shall submit Excavation Locate Requests to the Kentucky Contact Center (KY811) via web ticket entry. The submission of this request does not relieve the contractor from the responsibility of contacting non-member facility owners, whom shall be contacted through their individual Protection Notification Center. Non-compliance with these directives can result in the enforcement of penalties.

REGISTRATION WITH THE SECRETARY OF STATE BY A FOREIGN ENTITY

Pursuant to KRS 176.085(1)(b), an agency, department, office, or political subdivision of the Commonwealth of Kentucky shall not award a state contract to a person that is a foreign entity required by [KRS 14A.9-010](#) to obtain a certificate of authority to transact business in the Commonwealth ("certificate") from the Secretary of State under [KRS 14A.9-030](#) unless the person produces the certificate within fourteen (14) days of the bid or proposal opening. If the foreign entity is not required to obtain a certificate as provided in [KRS 14A.9-010](#), the foreign entity should identify the applicable exception. Foreign entity is defined within [KRS 14A.1-070](#).

For all foreign entities required to obtain a certificate of authority to transact business in the Commonwealth, if a copy of the certificate is not received by the contracting agency within the time frame identified above, the foreign entity's solicitation response shall be deemed non-responsive or the awarded contract shall be cancelled.

Businesses can register with the Secretary of State at <https://secure.kentucky.gov/sos/ftbr/welcome.aspx>.

SPECIAL NOTE FOR PROJECT QUESTIONS DURING ADVERTISEMENT

Questions about projects during the advertisement should be submitted in writing to the Division of Construction Procurement. This may be done by fax (502) 564-7299 or email to kytc.projectquestions@ky.gov. The Department will attempt to answer all submitted questions. The Department reserves the right not to answer if the question is not pertinent or does not aid in clarifying the project intent.

The deadline for posting answers will be 3:00 pm Eastern Daylight Time, the day preceding the Letting. Questions may be submitted until this deadline with the understanding that the later a question is submitted, the less likely an answer will be able to be provided.

The questions and answers will be posted for each Letting under the heading "Questions & Answers" on the Construction Procurement website (www.transportation.ky.gov/contract). The answers provided shall be considered part of this Special Note and, in case of a discrepancy, will govern over all other bidding documents.

HARDWOOD REMOVAL RESTRICTIONS

The US Department of Agriculture has imposed a quarantine in Kentucky and several surrounding states, to prevent the spread of an invasive insect, the emerald ash borer. Hardwood cut in conjunction with the project may not be removed from the state. Chipping or burning on site is the preferred method of disposal.

INSTRUCTIONS FOR EXCESS MATERIAL SITES AND BORROW SITES

Identification of excess material sites and borrow sites shall be the responsibility of the Contractor. The Contractor shall be responsible for compliance with all applicable state and federal laws and may wish to consult with the US Fish and Wildlife Service to seek protection under Section 10 of the Endangered Species Act for these activities.

ACCESS TO RECORDS

The contractor, as defined in KRS 45A.030 (9) agrees that the contracting agency, the Finance and Administration Cabinet, the Auditor of Public Accounts, and the Legislative Research Commission, or their duly authorized representatives, shall have access to any books, documents, papers, records, or other evidence, which are directly pertinent to this contract for the purpose of financial audit or program review. Records and other prequalification information confidentially

disclosed as part of the bid process shall not be deemed as directly pertinent to the contract and shall be exempt from disclosure as provided in KRS 61.878(1)(c). The contractor also recognizes that any books, documents, papers, records, or other evidence, received during a financial audit or program review shall be subject to the Kentucky Open Records Act, KRS 61.870 to 61.884.

In the event of a dispute between the contractor and the contracting agency, Attorney General, or the Auditor of Public Accounts over documents that are eligible for production and review, the Finance and Administration Cabinet shall review the dispute and issue a determination, in accordance with Secretary's Order 11-004.

April 30, 2018

FEDERAL CONTRACT NOTES

The Kentucky Department of Highways, in accordance with the Regulations of the United States Department of Transportation 23 CFR 635.112 (h), hereby notifies all bidders that failure by a bidder to comply with all applicable sections of the current Kentucky Standard Specifications, including, but not limited to the following, may result in a bid not being considered responsive and thus not eligible to be considered for award:

- | | |
|--------------------------------|--|
| 102.02 Current Rating | 102.08 Preparation and Delivery of Proposals |
| 102.13 Irregular Bid Proposals | 102.14 Disqualification of Bidders |
| 102.09 Proposal Guaranty | |

CIVIL RIGHTS ACT OF 1964

The Kentucky Transportation Cabinet, Department of Highways, in accordance with the provisions of Title VI of the Civil Rights Act of 1964 (78 Stat. 252, 42 U.S.C. §§ 2000d to 2000d-4) and the Regulations, hereby notifies all bidders that it will affirmatively ensure that any contract entered into pursuant to this advertisement, disadvantaged business enterprises will be afforded full and fair opportunity to submit bids in response to this invitation and will not be discriminated against on the grounds of race, color, national origin, sex, age (over 40), religion, sexual orientation, gender identity, veteran status, disability, income- level, or Limited English Proficiency (LEP) in consideration for an award.

NOTICE TO ALL BIDDERS

To report bid rigging activities call: 1-800-424-9071.

The U.S. Department of Transportation (DOT) operates the above toll-free “hotline” Monday through Friday, 8:00 a.m. to 5:00 p.m. eastern time. Anyone with knowledge of possible bid rigging, bidder collusion, or other fraudulent activities should use the “hotline” to report such activities.

The “hotline” is part of the DOT’s continuing effort to identify and investigate highway construction contract fraud and abuse and is operated under the direction of the DOT Inspector General. All information will be treated confidentially and caller anonymity will be respected.

SECOND TIER SUBCONTRACTS

Second tier subcontracts are acceptable per Section 108.01 of the Standard Specifications for Road and Bridge Construction. Sub-Contractors fulfilling a disadvantaged business enterprise goal on a project may enter into a 2nd tier subcontract with a Non-DBE Subcontractor. However, in this instance, none of the work subcontracted to the Non-DBE Contractor will count toward fulfilling the established Disadvantaged Goal for the project.

DISADVANTAGED BUSINESS ENTERPRISE PROGRAM

It is the policy of the Kentucky Transportation Cabinet (“the Cabinet”) that Disadvantaged Business Enterprises (“DBE”) shall have the opportunity to participate in the performance of highway construction projects financed in whole or in part by Federal Funds in order to create a level playing field for all businesses who wish to contract with the Cabinet. To that end, the Cabinet will comply with the regulations found in 49 CFR Part 26, and the definitions and requirements contained therein shall be adopted as if set out verbatim herein.

The Cabinet, contractors, subcontractors, and sub-recipients shall not discriminate on the basis of race, color, national origin, or sex in the performance of work performed pursuant to Cabinet contracts. The contractor shall carry out applicable requirements of 49 CFR 26 in the award and administration of federally assisted highway construction projects. The contractor will include this provision in all its subcontracts and supply agreements pertaining to contracts with the Cabinet.

Failure by the contractor to carry out these requirements is a material breach of its contract with the Cabinet, which may result in the termination of the contract or such other remedy as the Cabinet deems necessary.

DBE GOAL

The Disadvantaged Business Enterprise (DBE) goal established for this contract, as listed on the front page of the proposal, is the percentage of the total value of the contract.

The contractor shall exercise all necessary and reasonable steps to ensure that Disadvantaged Business Enterprises participate in a least the percent of the contract as set forth above as goals for this contract.

OBLIGATION OF CONTRACTORS

Each contractor prequalified to perform work on Cabinet projects shall designate and make known to the Cabinet a liaison officer who is assigned the responsibility of effectively administering and promoting an active program for utilization of DBEs.

If a formal goal has not been designated for the contract, all contractors are encouraged to consider DBEs for subcontract work as well as for the supply of material and services needed to perform this work.

Contractors are encouraged to use the services of banks owned and controlled by minorities and women.

CERTIFICATION OF CONTRACT GOAL

Contractors shall include the following certification in bids for projects for which a DBE goal has been established. BIDS SUBMITTED WHICH DO NOT INCLUDE CERTIFICATION OF DBE PARTICIPATION WILL NOT BE ACCEPTED. These bids will not be considered for award by the Cabinet and they will be returned to the bidder.

“The bidder certifies that it has secured participation by Disadvantaged Business Enterprises (“DBE”) in the amount of _____ percent of the total value of this contract and that the DBE participation is in compliance with the requirements of 49 CFR 26 and the policies of the Kentucky Transportation Cabinet pertaining to the DBE Program.”

The certification statement is located in the electronic bid file. All contractors must certify their DBE participation on that page. DBEs utilized in achieving the DBE goal must be certified and prequalified for the work items at the time the bid is submitted.

DBE PARTICIPATION PLAN

Lowest responsive bidders must submit the *DBE Plan/ Subcontractor Request*, form TC 14-35 DBE, within **5** days of the letting. This is necessary before the Awards Committee will review and make a recommendation. **The project will not be considered for award prior to submission and approval of the apparent low bidder’s DBE Plan/Subcontractor Request.**

The DBE Participation Plan shall include the following:

1. Name and address of DBE Subcontractor(s) and/or supplier(s) intended to be used in the proposed project;
2. Description of the work each is to perform including the work item, unit, quantity, unit price and total amount of the work to be performed by the individual DBE. The Proposal Line Number, Category Number, and the Project Line Number can be found in the “material listing” on the Construction Procurement website under the specific letting;
3. The dollar value of each proposed DBE subcontract and the percentage of total project contract value this represents. DBE participation may be counted as follows;
 - a. If DBE suppliers and manufactures assume actual and contractual responsibility, the dollar value of materials to be furnished will be counted toward the goal as follows:
 - The entire expenditure paid to a DBE manufacturer;
 - 60 percent of expenditures to DBE suppliers that are not manufacturers provided the supplier is a regular dealer in the product involved. A regular dealer must be engaged in, as its principal business and in its own name, the sale of products to the public, maintain an inventory and own and operate distribution equipment; and
 - The amount of fees or commissions charged by the DBE firms for a bona fide service, such as professional, technical, consultant, or managerial services and assistance in the procurement of essential personnel, facilities, equipment, materials, supplies, delivery of materials and supplies or for furnishing bonds, or insurance, providing such fees or commissions are determined to be reasonable and customary.

- b) The dollar value of services provided by DBEs such as quality control testing, equipment repair and maintenance, engineering, staking, etc.;
- c) The dollar value of joint ventures. DBE credit for joint ventures will be limited to the dollar amount of the work actually performed by the DBE in the joint venture;
- 4. Written and signed documentation of the bidder's commitment to use a DBE contractor whose participation is being utilized to meet the DBE goal; and
- 5. Written and signed confirmation from the DBE that it is participating in the contract as provided in the prime contractor's commitment.

UPON AWARD AND BEFORE A WORK ORDER WILL BE ISSUED

Contractors must submit the signed subcontract between the contractor and the DBE contractor, along with the DBE's certificate of insurance. If the DBE is a supplier of materials for the project, a signed purchase order must be submitted to the Division of Construction Procurement.

Changes to DBE Participation Plans must be approved by the Cabinet. The Cabinet may consider extenuating circumstances including, but not limited to, changes in the nature or scope of the project, the inability or unwillingness of a DBE to perform the work in accordance with the bid, and/or other circumstances beyond the control of the prime contractor.

CONSIDERATION OF GOOD FAITH EFFORTS REQUESTS

If the DBE participation submitted in the bid by the apparent lowest responsive bidder does not meet or exceed the DBE contract goal, the apparent lowest responsive bidder must submit a Good Faith Effort Package to satisfy the Cabinet that sufficient good faith efforts were made to meet the contract goals prior to submission of the bid. Efforts to increase the goal after bid submission will not be considered in justifying the good faith effort, unless the contractor can show that the proposed DBE was solicited prior to the letting date. DBEs utilized in achieving the DBE goal must be certified and prequalified for the work items at the time the bid is submitted. One complete set (hard copy along with an electronic copy) of this information must be received in the Division of Contract Procurement no later than 12:00 noon of the tenth calendar day after receipt of notification that they are the apparent low bidder.

Where the information submitted includes repetitious solicitation letters it will be acceptable to submit a sample representative letter along with a distribution list of the firms solicited. Documentation of DBE quotations shall be a part of the good faith effort submittal as necessary to demonstrate compliance with the factors listed below which the Cabinet considers in judging good faith efforts. This documentation may include written subcontractors' quotations, telephone log notations of verbal quotations, or other types of quotation documentation.

The Good Faith Effort Package shall include, but may not be limited to information showing evidence of the following:

1. Whether the bidder attended any pre-bid meetings that were scheduled by the Cabinet to inform DBEs of subcontracting opportunities;
2. Whether the bidder provided solicitations through all reasonable and available means;
3. Whether the bidder provided written notice to all DBEs listed in the DBE directory at the time of the letting who are prequalified in the areas of work that the bidder will be subcontracting;
4. Whether the bidder followed up initial solicitations of interest by contacting DBEs to determine with certainty whether they were interested. If a reasonable amount of DBEs within the targeted districts do not provide an intent to quote or no DBEs are prequalified in the subcontracted areas, the bidder must notify the Disadvantaged Enterprise Business Liaison Officer (DEBLO) in the Office for Civil Rights and Small Business Development to give notification of the bidder's inability to get DBE quotes;
5. Whether the bidder selected portions of the work to be performed by DBEs in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate DBE participation, even when the prime contractor might otherwise perform these work items with its own forces;
6. Whether the bidder provided interested DBEs with adequate and timely information about the plans, specifications, and requirements of the contract;
7. Whether the bidder negotiated in good faith with interested DBEs not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached;
8. Whether quotations were received from interested DBE firms but were rejected as unacceptable without sound reasons why the quotations were considered unacceptable. The fact that the DBE firm's quotation for the work is not the lowest quotation received will not in itself be considered as a sound reason for rejecting the quotation as unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a DBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy DBE goals;
9. Whether the bidder specifically negotiated with subcontractors to assume part of the responsibility to meet the contract DBE goal when the work to be subcontracted includes potential DBE participation;
10. Whether the bidder made any efforts and/or offered assistance to interested DBEs in obtaining the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal; and
11. Any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include DBE participation.

FAILURE TO MEET GOOD FAITH REQUIREMENT

Where the apparent lowest responsive bidder fails to submit sufficient participation by DBE firms to meet the contract goal and upon a determination by the Good Faith Committee based upon the information submitted that the apparent lowest responsive bidder failed to make sufficient reasonable efforts to meet the contract goal, the bidder will be offered the opportunity to meet in person for administrative reconsideration. The bidder will be notified of the Committee's decision within 24 hours of its decision. The bidder will have 24 hours to request reconsideration of the Committee's decision. The reconsideration meeting will be held within two days of the receipt of a request by the bidder for reconsideration.

The request for reconsideration will be heard by the Office of the Secretary. The bidder will have the opportunity to present written documentation or argument concerning the issue of whether it met the goal or made an adequate good faith effort. The bidder will receive a written decision on the reconsideration explaining the basis for the finding that the bidder did or did not meet the goal or made adequate Good Faith efforts to do so.

The result of the reconsideration process is not administratively appealable to the Cabinet or to the United States Department of Transportation.

The Cabinet reserves the right to award the contract to the next lowest responsive bidder or to rebid the contract in the event that the contract is not awarded to the low bidder as the result of a failure to meet the good faith requirement.

SANCTIONS FOR FAILURE TO MEET DBE REQUIREMENTS OF THE PROJECT

Failure by the prime contractor to fulfill the DBE requirements of a project under contract or to demonstrate good faith efforts to meet the goal constitutes a breach of contract. When this occurs, the Cabinet will hold the prime contractor accountable, as would be the case with all other contract provisions. Therefore, the contractor's failure to carry out the DBE contract requirements shall constitute a breach of contract and as such the Cabinet reserves the right to exercise all administrative remedies at its disposal including, but not limited to the following:

- Suspension of Prequalification;
- Disallow credit toward the DBE goal;
- Withholding progress payments;
- Withholding payment to the prime in an amount equal to the unmet portion of the contract goal; and/or
- Termination of the contract.

PROMPT PAYMENT

The prime contractor will be required to pay the DBE and Non-DBE Subcontractors within seven (7) working days after he or she has received payment from the Kentucky Transportation Cabinet for work performed or materials furnished.

CONTRACTOR REPORTING

All contractors must keep detailed records and provide reports to the Cabinet on their progress in meeting the DBE requirement on any highway contract. These records may include, but shall not be limited to payroll, lease agreements, cancelled payroll checks, executed subcontracting agreements, etc. Prime contractors will be required to complete and submit a **signed and notarized** Affidavit of Subcontractor Payment (TC 18-7) and copies of checks for any monies paid to each DBE subcontractor or supplier utilized to meet a DBE goal. These documents must be completed and signed within 7 days of being paid by the Cabinet.

Payment information that needs to be reported includes date the payment is sent to the DBE, check number, Contract ID, amount of payment and the check date. Before Final Payment is made on this contract, the Prime Contractor will certify that all payments were made to the DBE subcontractor and/or DBE suppliers.

***** IMPORTANT *****

Please mail the original, signed and completed TC (18-7) Affidavit of Subcontractor Payment form and all copies of checks for payments listed above to the following address:

Office for Civil Rights and Small Business Development
6th Floor West 200 Mero Street
Frankfort, KY 40622

The prime contractor should notify the KYTC Office for Civil Rights and Small Business Development seven (7) days prior to DBE contractors commencing work on the project. The contact in this office is Mr. Melvin Byne. Mr. Byne's current contact information is email address – melvin.bynes2@ky.gov and the telephone number is (502) 564-3601.

DEFAULT OR DECERTIFICATION OF THE DBE

If the DBE subcontractor or supplier is decertified or defaults in the performance of its work, and the overall goal cannot be credited for the uncompleted work, the prime contractor may utilize a substitute DBE or elect to fulfill the DBE goal with another DBE on a different work item. If after exerting good faith effort in accordance with the Cabinet's Good Faith Effort policies and procedures, the prime contractor is unable to replace the DBE, then the unmet portion of the goal may be waived at the discretion of the Cabinet.

PROHIBITION ON TELECOMMUNICATIONS EQUIPMENT OR SERVICES

In accordance with the FY 2019 National Defense Authorization Act (NDAA), 2 CFR 200.216, and 2 CFR 200.471, Federal agencies are prohibited, after August 13, 2020, from obligating or expending financial assistance to obtain certain telecommunications and video surveillance services and equipment from specific producers. As a result of these regulations, contractors and subcontractors are prohibited, on projects with federal funding participation, from providing telecommunication or video surveillance equipment, services, or systems produced by:

- Huawei Technologies Company or ZTE Corporation (or any subsidiary or affiliate of such entities)
- Hytera Communications Corporation, Hangzhou Hikvision Digital Technology Company, or Dahua Technology Company (or any subsidiary or affiliate of such entities)

Revised: 5/3/2022

**LEGAL REQUIREMENTS AND RESPONSIBILITY TO THE PUBLIC – CARGO
PREFERENCE ACT (CPA).**

(REV 12-17-15) (1-16)

SECTION 7 is expanded by the following new Article:

102.10 Cargo Preference Act – Use of United States-flag vessels.

Pursuant to Title 46CFR Part 381, the Contractor agrees

- To utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels.
- To furnish within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, ‘on-board’ commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph 1 of this section to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Division of National Cargo, Office of Market Development, Maritime Administration, Washington, DC 20590.
- To insert the substance of the provisions of this clause in all subcontracts issued pursuant to this contract.

NATIONAL HIGHWAY

Be advised this project is on the NATIONAL HIGHWAY SYSTEM.

PROJECT TRAFFIC COORDINATOR (PTC)

Be advised this project is a significant project pursuant to section 112.03.12.

ASPHALT MIXTURE

Unless otherwise noted, the Department estimates the rate of application for all asphalt mixtures to be 110 lbs/sy per inch of depth.

DGA BASE

Unless otherwise noted, the Department estimates the rate of application for DGA Base to be 115 lbs/sy per inch of depth.

DGA BASE FOR SHOULDERS

Unless otherwise noted, the Department estimates the rate of application for DGA Base for Shoulders to be 115 lbs/sy per inch of depth. The Department will not measure necessary grading and/or shaping of existing shoulders prior to placing of DGA Base, but shall be incidental to the Contract unit price per ton for DGA Base.

Accept payment at the Contract unit price per ton as full compensation for all labor, materials, equipment, and incidentals for grading and/or shaping of existing shoulders and furnishing, placing, and compacting the DGA Base.

INCIDENTAL SURFACING

The Department has included in the quantities of asphalt mixtures established in the proposal estimated quantities required for resurfacing or surfacing mailbox turnouts, farm field entrances, residential and commercial entrances, curve widening, ramp gores and tapers, and road and street approaches, as applicable. Pave these areas to the limits as shown on Standard Drawing RPM-110-06 or as directed by the Engineer. In the event signal detectors are present in the intersecting streets or roads, pave the crossroads to the right of way limit or back of the signal detector, whichever is the farthest back of the mainline. Surface or resurface these areas as directed by the Engineer. The Department will not measure placing and compacting for separate payment but shall be incidental to the Contract unit price for the asphalt mixtures.

FUEL AND ASPHALT PAY ADJUSTMENT

The Department has included the Contract items Asphalt Adjustment and Fuel Adjustment for possible future payments at an established Contract unit price of \$1.00. The Department will calculate actual adjustment quantities after work is completed. If existing Contract amount is insufficient to pay all items on the contract with the adjustments, the Department will establish additional monies with a change order.

ASPHALT PAVEMENT RIDE QUALITY CATEGORY A

The Department will apply Pavement Rideability Requirements on this project in accordance with Section 410, Category A.

OPTION A

Be advised that the Department will accept compaction of asphalt mixtures furnished for driving lanes and ramps, at 1 inch (25mm) or greater, on this project according to OPTION A in accordance with Section 402 and Section 403 of the current Standard Specifications. The Department will require joint cores as described in Section 402.03.02 for surface mixtures only. The Department will accept compaction of all other asphalt mixtures according to OPTION B.

MATERIAL TRANSFER VEHICLE (MTV)

Provide and use a MTV in accordance with Sections 403.02.10 and 403.03.05.

SPECIAL NOTE FOR GENERAL UTILITY COORDINATION

The Contractor shall communicate, cooperate, and coordinate with the Department, the utility owners, and potentially affected third parties, as necessary for the utility relocation work. The Contractor shall be responsible for all coordination needed to ensure that the road construction and utility relocation work may concurrently and effectively take place. No delay claims will be considered due to utility relocations.

This item shall include assignment of a Utility Coordinator for the project to coordinate plans, work and schedules directly with the utility companies and KYTC personnel. The Utility Coordinator shall be a licensed professional engineer with project management experience. This item includes responsibility to ensure the project progresses efficiently and in accordance with the proposed contract documents. The Department may consult the Utility Coordinator on matters of utility work construction, cost, and/or schedule. Any changes in the proposed scope, design, construction, cost, and/or schedule for the utility work shall be approved by the Department. This item includes conducting weekly coordination meetings with involved utility companies and as needed on project site visits to manage utility relocation activity, resolve conflicts with the road activity, and minimize impact to the project. The department shall be invited to Utility Coordination meetings.

The Department will consider payment as full compensation for all work required under this note.

Code	Item Description	Unit
24845EC	Utility Coordination	Lump Sum

SPECIAL NOTE FOR SPOT SUBGRADE STABILIZATION ALTERNATES

This Special Note will apply when indicated on the plans or in the proposal. When this note is used a primary subgrade stabilization method will be as outlined in the project plans. This note will only apply for areas of the project where the primary subgrade selected is not feasible due to Maintenance of Traffic, entrances, narrow part-width sections, or other constraints. The locations for the use of this note and the selected alternate are subject to approval of the Engineer. Section references herein are to the current edition of the Department's Standard Specifications for Road and Bridge Construction, including Supplemental Specifications.

1.0 DESCRIPTION. Use one of the following five (5) alternates for the subgrade stabilization.

- A. Eight inches of chemical stabilization using lime or cement
- B. Eight inches of chemical stabilization using stockpiled, lime-stabilized soil (**Alternate "B" only allowed when lime stabilization is the primary subgrade for the project.**)
- C. High-Strength Geotextile Fabric with six (6) additional inches of aggregate base
- D. Geogrid reinforcement and geotextile fabric with eight (8) additional inches of aggregate base
- E. Fifteen (15) inches of rock (#2's, #3's or #23's) wrapped in geotextile fabric. **Do NOT use Alternate "E" under concrete pavements.**

Stabilization should be applied from shoulder break to shoulder break.

2.0 MATERIALS

2.1 Cement, Lime, Asphalt Curing Seal, Water and Sand: Conform to Section 208.02.

2.2 Aggregate: Conform to Section 805.

2.3 Geogrid: Furnish geogrid according to Section 304.

2.4 Type IV Geotextile Fabric: Conform to Section 843.

2.5 High-Strength Geotextile Fabric: Furnish a woven or non-woven fabric meeting the AASHTO M 288 Class 1 strength requirements corresponding to <50% elongation. The fabric shall also comply with table 5 (Stabilization Geotextiles) in AASHTO M 288, with the exception of the minimum permittivity value being 0.1 sec^{-1} .

Packing, Shipment, Storage of Geogrid or Geotextile. Ensure that each roll is labeled with the Manufacturer's name, product type, lot number, roll number, manufactured date, and roll dimension. Protect the fabric or grid from direct sunlight, UV rays, and temperatures greater than 120 °F, mud, dirt, and debris during all periods of shipment and storage.

3.0 CONSTRUCTION

Surface Preparation. Prepare the surface according to Section 207 and Section 208.

3.1 Alternate A - Chemical Stabilization: Construct a minimum 8-inch thick, chemically stabilized roadbed according to Section 208. See the geotechnical notes to determine the type of chemical used for the stabilization (lime or cement) and additional requirements.

3.2 Alternate B - Chemical Stabilization w/ Stockpiled Lime-Stabilized Soil: Construct an 8-inch thick, chemically stabilized Roadbed according to section 208 using stockpiled lime stabilized soil. The lime shall be stockpiled offsite from the subgrade area being stabilized. The stockpiled soil shall consist of either:

- 1) Lime stabilized subgrade constructed in an approved borrow area, or approved area outside the typical section but within right-of-way.
- 2) Lime stabilized soil left over from cutting the chemically stabilized roadbed to final grade. The cuttings shall then be stored in a separate area until needed. The cuttings shall be stored in a minimum thickness of three (3) feet, and the stockpile lightly tamped when completed. The stockpile shall be covered until needed with an asphalt curing seal, or other approved method.

Follow all construction requirements of Section 208 unless otherwise noted herein. The stabilized material shall be maintained at or above optimum moisture content, which may require the addition of water prior to placement of the asphalt seal. Heavy construction traffic shall not be permitted on the pre-mixed material. Compaction requirements of Section 208 will not apply during initial placement with Option 1 (typical lime-stabilized soil from borrow area).

After sealing with an asphalt curing seal, the stabilized soil shall be left in place until needed. If ambient air temperature will drop below 32 degrees Fahrenheit for more than 2 hours, take appropriate measures to cover and protect the stabilized soils, subject to approval of the Engineer.

When ready for subgrade stabilization within the roadbed, excavate pre-stabilized soils and transport to the area to be stabilized. Place pre-stabilized material in 4 to 6-inch loose lifts at the locations determined by the Engineer. Mixing may be performed by disking or other lightweight equipment approved by the Engineer. At the time of reusing the stockpiled soil, additional water may need to be added to increase the moisture content to optimum, or slightly above.

Compaction may be performed with a vibratory sheepsfoot compactor or other approved equipment. Use caution to ensure the selected compactor and other construction equipment will not overload nearby subsurface utilities or produce excessive vibration. Test moisture content with a nuclear or Speedy Moisture gage and add water if needed to maintain a moisture content at or slightly above optimum. Place an asphalt curing seal on the constructed subgrade. Construction traffic shall not be permitted until the subgrade can support traffic without rutting.

3.3 Alternate C - High-Strength Geotextile Fabric: Place High-Strength Fabric at the proper elevation and locations in continuous strips to minimize the amount of joints and wrinkles during placement. All seams shall be sewn according the Manufacturer's recommendations, **lapping of seams is NOT permitted**. High-Strength Fabric shall be temporarily secured in place to maintain tension during aggregate placement. This may be done with staples, pins, sand bags or backfill as required by fill properties, fill placement procedures, or weather conditions as the Engineer directs. Make sure there are no buckles or folds in the fabric.

Ensure that a **Representative** of the High-Strength Fabric Manufacturer is on the project when work begins, **and at least the first 3 days of fabric construction**. The representative shall remain on call as the project progresses, to advise the Engineer.

High-Strength Fabric should not be completely placed before placing aggregate, but should be placed at the front of a "paving train" consisting of the fabric laydown **equipment followed no further than 50 feet by the aggregate placement equipment**. In curves and intersections, cut and seam the fabric to prevent development of buckles and folds.

Place aggregate over the fabric according to the Contract. Place, spread, and compact the aggregate in such a manner that minimizes the development of wrinkles and movement in the fabric. The Department will require a minimum loose thickness of 6 inches prior to operation of tracked vehicles over the fabric. Keep the turning of tracked vehicles to a minimum to prevent displacement of the fill and damage to the fabric. Rubber tired equipment may pass over the fabric at slow speeds (less than 10

mph). Avoid sudden braking and sharp turning movements. Repair any damage caused during placement or by vehicles.

If the total aggregate base thickness is less than 12 inches, the aggregate base will be placed in one lift. If greater than 12 inches, place the aggregate in 6 inch to 12 inch lifts.

3.3.1 Sampling/Testing of High-Strength Fabric:

The Department will sample the High-Strength Fabric at the project site according to KM 64-113 at a frequency determined by the Engineer. The Department will test the fabric for all properties possible given the testing equipment availability. When the Department determines that an individual samples fails to meet any specification requirement, the Department will reject that roll and sample 2 additional rolls from the same lot. When the Department determines that either of these 2 additional samples fails to comply with any part of the specification, the Department will reject the entire quantity of rolls represented by that sample.

3.4 Alternate D – Geogrid and Fabric: Place geogrid in accordance with Sections 304 and 302 of the current Standard Specifications and in accordance with the contract documents. Place the geotextile fabric first, then place the geogrid on top of the geotextile fabric so that they are at the bottom of the aggregate layer.

Ensure that a **Geogrid Representative** of the geogrid Manufacturer is on the project when work begins, **and at least the first 3 days of geogrid construction**. The representative shall remain on call as the project progresses, to advise the Engineer.

Geogrid shall be temporarily secured in place to maintain tension during aggregate placement. This may be done with staples, pins, sand bags or backfill as required by fill properties, fill placement procedures, or weather conditions as the Engineer directs. Make sure there are no buckles or folds in the geogrid.

Geogrid should not be completely placed before placing aggregate, but should be placed at the front of a “paving train” consisting of the geogrid laydown equipment **followed no further than 50 feet by the aggregate placement equipment**. In curves and intersections, cut and overlap the geogrid. Place the geogrid and aggregate according to Sections 304 and 302, and in accordance with the contract documents.

Contrary to Section 302.03.03, if the total aggregate base thickness is less than 12 inches, the aggregate base will be placed in one lift. If greater than 12 inches, place

the aggregate in 6 inch to 12 inch lifts. All other construction and density requirements of Section 302 will apply.

3.5 Alternate E - Fifteen (15) Inches of Rock and Fabric: Do **NOT** use this alternate for concrete pavements. Use fifteen (15) inches of Coarse Aggregate #2’s, #3’s or #23’s wrapped in Type IV Geotextile Fabric. This will require excavating the subgrade by fifteen (15) inches more than shown on the plans. This alternate also requires positive drainage of the rock roadbed – perforated pipe discharged into weep holes in drainage boxes or headwalls or by daylighting the rock. The drainage solution and the additional 15-inch excavation are incidental to Subgrade Stabilization.

4.0 MEASUREMENT. The Department will measure the quantity of Subgrade Stabilization in square yards. The square yard price will include the additional aggregate (6 or 8 inches), the Type IV Geotextile Fabric and the geogrid, Lime, Cement, Lime Stabilized Roadbed, Cement Stabilized Roadbed, Asphalt Curing Seal, or Sand for Blotter, Coarse Aggregate #2’s, #3’s or #23’s, High-Strength Geotextile Fabric and drainage items. No separate payment will be made for the above items. The Department will not make payment for providing a Geogrid or High-Strength Fabric Manufacturer’s representative and will consider it incidental to the bid item for Subgrade Stabilization. The Department will not measure excavation (for 15 inches of rock and fabric or an additional 6 to 8 inches of aggregate) or adjusting subgrade differences between the alternates, and will consider such excavation or adjustments as incidental to Subgrade Stabilization. The Department will not measure hauling, extra handling, or placement of stockpiled lime stabilization soil for payment and will consider these incidental to Subgrade Stabilization. Fuel Price Adjustment does not apply to the Subgrade Stabilization bid item.

5.0 PAYMENT. The Cabinet will make payment for the completed and accepted quantities under the following:

<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
24790EC	Subgrade Stabilization	Square Yard

The Cabinet will consider payment as full compensation for all work required in this note. If the Department determines a thicker chemical or rock stabilization section is needed once construction begins, the unit price for subgrade stabilization will be adjusted as follows:

Adjusted Unit Price = Original Unit Bid Price * (New Thickness/Original Thickness of Alternate)

**SPECIAL NOTE
FOR
PETROLEUM CONTAMINATED SOIL**

**Oldham County
New I-71 Interchange @ MP 20.6
Item No. 5-483.30**

The contractor MAY impact petroleum contaminated soils within the permanent easement on Parcel 9 which had construction equipment previously stored on it. If petroleum contaminated soil is encountered, it should be removed and disposed of properly at an off-site landfill.

SPECIAL NOTE FOR INLAID PAVEMENT MARKERS

I. DESCRIPTION

Except as provided herein, perform all work in accordance with the Department's Standard and Supplemental Specifications and applicable Standard and Sepia Drawings, current editions. Article references are to the Standard Specifications. This work shall consist of:

- (1) Maintain and Control Traffic; and (2) Furnish and install Inlaid Pavement Markers (IPMs) in recessed grooves; and (3) Any other work as specified by these notes and the Contract.

II. MATERIALS

The Department will sample all materials in accordance with the Department's Sampling Manual. Make the materials available for sampling a sufficient time in advance of the use of the materials to allow for the necessary time for testing unless otherwise specified in these Notes.

- A. **Maintain and Control Traffic.** See the Traffic Control Plan.
- B. **Markers.** Provide reflective lenses with depth control breakaway positioning tabs. Before furnishing the markers, provide to the Engineer the manufacturer’s current recommendations for adhesives and installation procedures. Use one brand and design throughout the project. Use markers meeting the specifications in the table below.

SPECIFICATIONS FOR HOUSING AND REFLECTOR	
Material:	Polycarbonate Plastic
Weight:	Housing 2.00 oz.
	Reflector 2.00oz.
Housing Size:	5.00" x 3.00" x 0.70" high
Specific Intensity of Reflectivity at 0.2° Observation Angle	
White:	3.0 at 0°entrance angle
	1.2 at 20°entrance angle
Yellow:	60% of white values
Red:	25% of white values

- C. **Adhesives.** Use adhesives that conform to the manufacturer’s recommendations.

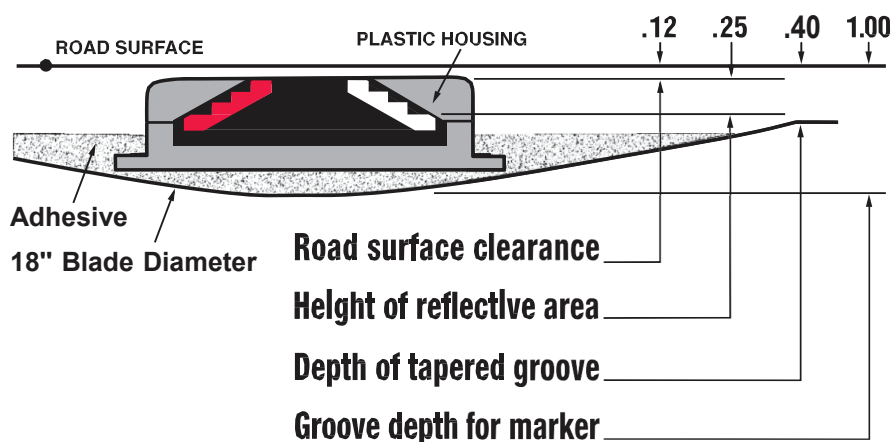
Inlaid Pavement Markers

Page 2 of 4

III. CONSTRUCTION

- A. Experimental Evaluation.** The University of Kentucky Transportation Center will be evaluating this installation of IPMs. Notify the Engineer a minimum of 14 calendar days prior to beginning work. The Engineer will coordinate the University's activities with the Contractor's work.
- B. Maintain and Control Traffic.** See the Traffic Control Plan.
- C. Installation.** Install IPMs in recessed grooves cut into the final course of asphalt pavement according to the manufacturer's recommendations. Do not cut the grooves until the pavement has cured sufficiently to prevent tearing or raveling. Cut installation grooves using diamond blades on saws that accurately control groove dimensions. Remove all dirt, grease, oil, loose or unsound layers, and any other material from the marker area which would reduce the bond of the adhesive. Maintain pavement surfaces in a clean condition until placing markers.

Prepare the pavement surfaces, and install the markers in the recessed groove according to the drawing below. Use an approved snowplowable epoxy adhesive. Ensure that the adhesive bed area is equal to the bottom area of the marker, and apply adhesive in sufficient quantity to force excess out around the entire perimeter of the marker. Use materials, equipment, and construction procedures that ensure proper adhesion of the markers to the pavement surface according to the manufacturer's recommendations. Remove all excess adhesive from in front of the reflective faces. If any adhesive or foreign matter cannot be removed from the reflective faces, or if any marker fails to properly adhere to the pavement surface, remove and replace the marker at no additional cost to the Department.

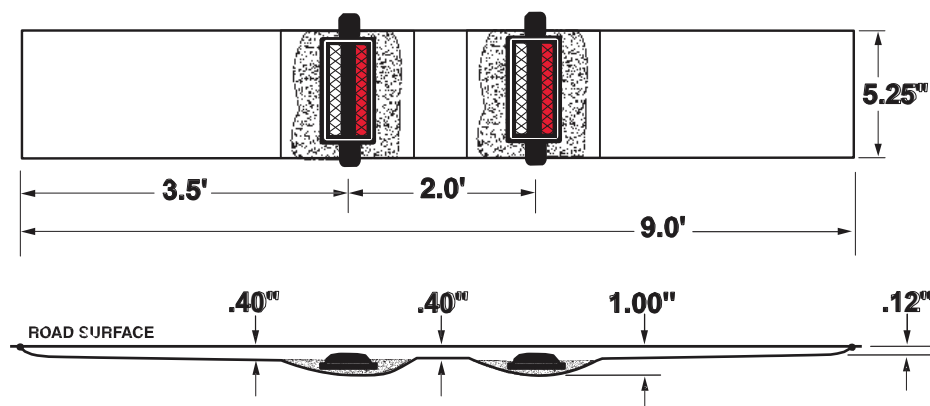


- D. Location and Spacing.** Install the markers in the pattern for high reflectivity with two (2) IPMs per groove. Locate and space markers as shown in the current Standard Drawings or Sepias. (Note: use Inlaid Pavement Markers wherever Type V Pavement

Inlaid Pavement Markers

Page 3 of 4

Markers are called for.) Do not install markers on bridge decks. Do not install a marker on top of a pavement joint or crack. Offset the recessed groove a minimum of 2 inches from any longitudinal pavement joint or crack and at least one inch from the painted stripe, ensuring that the finished line of markers is straight with minimal lateral deviation. Give preference to maintaining the 2-inch offset between recessed groove and joint as opposed to keeping the line of markers straight.



Place inlaid markers as much in line with existing pavement striping as possible. Place markers installed along an edge line or channelizing line so that the near edge of the plastic housing is no more than one inch from the near edge of the line. Place markers installed along a lane line between and in line with the dashes. Do not place markers over the lines except where the lines deviate visibly from their correct alignment, and then only after obtaining the Engineer's prior approval of the location.

If conflicts between recessed groove placement in relation to pavement joint and striping cannot be resolved, obtain the Engineer's approval to eliminate the marker or revise the alignment.

- E. Disposal of Waste.** Dispose of all removed asphalt pavement, debris, and other waste at sites off the right of way obtained by the Contractor at no additional cost to the Department. See the Special Provision for Waste and Borrow Sites.
- F. Restoration.** Be responsible for all damage to public and/or private property resulting from the work. Restore all damaged features in like kind materials and design at no additional cost to the Department.
- G. On-Site Inspection.** Make a thorough inspection of the site prior to submitting a bid and be thoroughly familiar with existing conditions so that the work can be expeditiously performed after a contract is awarded. The Department will consider submission of a bid as evidence of this inspection having been made and will not honor any claims for money or grant Contract time extensions resulting from site conditions.

Inlaid Pavement Markers
Page 4 of 4

- H. Caution.** Do not take information shown on the drawings and in this proposal and the types and quantities of work listed as an accurate or complete evaluation of the material and conditions to be encountered during construction, but consider the types and quantities of work listed as approximate only. The bidder must draw his or her own conclusion as to the conditions encountered. The Department does not give any guarantee as to the accuracy of the data and no claim will be considered for additional compensation or extension of Contract time if the conditions encountered are not in accordance with the information shown.

IV. MEASUREMENT

- A. Maintain and Control Traffic.** See the Traffic Control Plan.
- B. Inlaid Pavement Markers.** The Department shall measure as Each. One (1) installation of “INLAID PAVEMENT MARKER” will consist of grooving the pavement, removing asphalt cuttings and debris, preheating pavement to remove moisture, adhesives, and installation of two (2) markers with all lenses in accordance with this note.

Note: Each pay item of Inlaid Pavement Marker will require two markers.

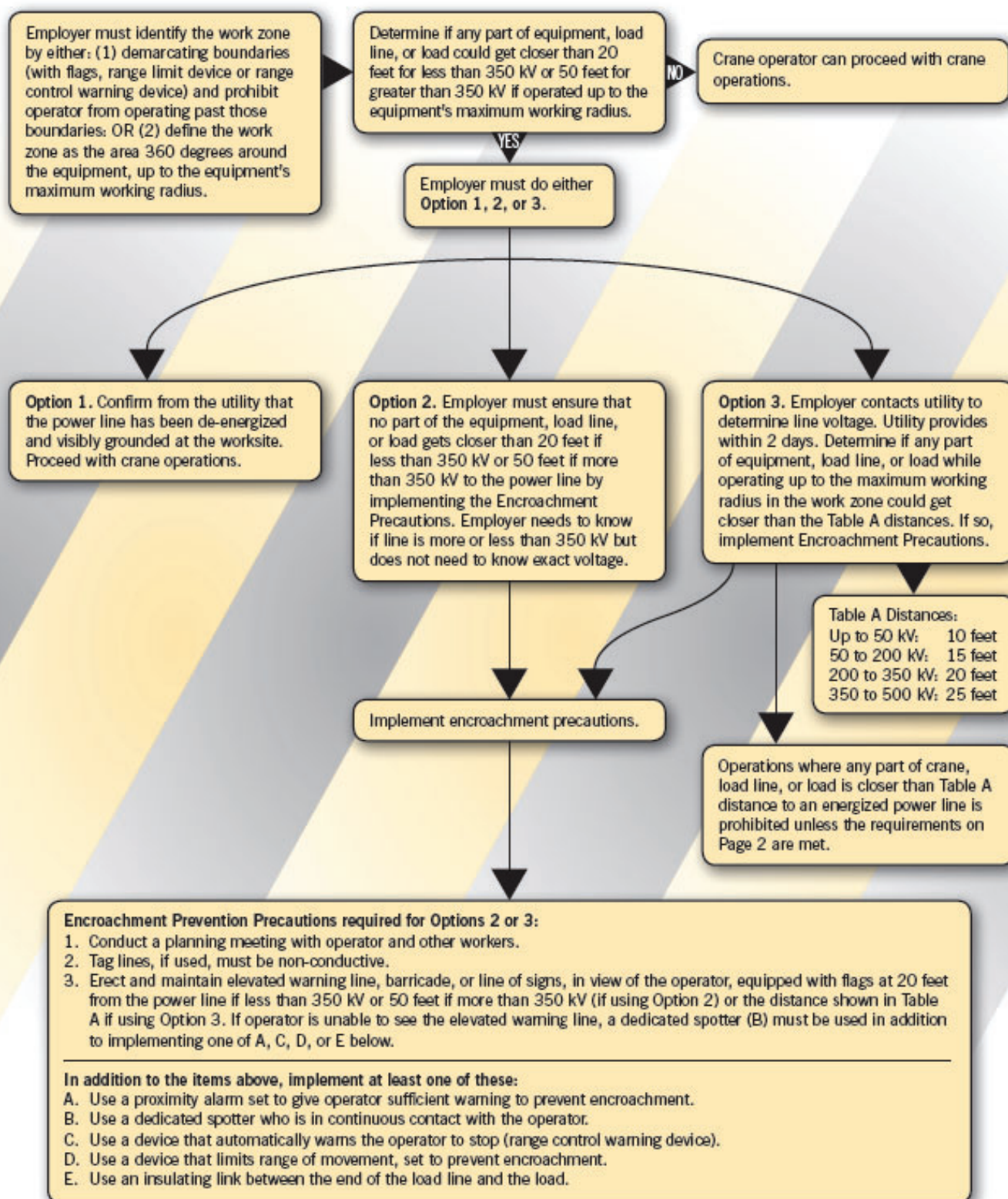
V. PAYMENT

- A. Maintain and Control Traffic.** See the Traffic Control Plan.
- B. Inlaid Pavement Markers.** The Department will make payment for the completed and accepted quantities of completely installed “INLAID PAVEMENT MARKERS” at the Contract unit price, Each. Accept payment as full compensation for all labor, equipment, materials, and incidentals necessary to accomplish this work to the satisfaction of the Engineer. A system of one (1) groove and two (2) markers shall be paid as one “INLAID PAVEMENT MARKER”. The bid item “INLAID PAVEMENT MARKER” shall be used regardless of the color and type of lenses required.

POWER LINE SAFETY

OSHA Cranes in Construction Standard Power Line Safety Section 1926.1408 and 1926.1409

This is a high level summary only. Refer to www.osha.gov for details.



POWER LINE SAFETY

Power Line Safety requirements for getting closer than the Table A distances

Table A Distances:

Up to 50 kV: 10 feet
50 to 200 kV: 15 feet
200 to 350 kV: 20 feet
350 to 500 kV: 25 feet

Operations where any part of crane, load line, or load is closer than Table A distance to an energized power line is prohibited unless the requirements on this page are met.

Crane operator employer shall determine that it is infeasible to work without breaching Table A distances.

Employer determines after consulting with utility that it is infeasible to de-energize and ground or relocate the power line.

Utility or registered professional engineer (PE) shall determine minimum clearance distance that shall be maintained to prevent electrical contact.

Planning meeting with employer and utility (or PE) shall be held to determine procedures that shall be followed.

If so equipped, the automatic reclosing features shall be made inoperative by the utility before work begins.

Crane operator is required to have utility install line hose or coverup except where unavailable for the voltage.

Documented procedures must be developed and kept on site. Equipment user, operator and others shall meet with utility to review procedures.

Utility and employers of employees involved in the work shall identify one person to direct implementation of procedures.

If procedures are not effective, crane operator shall stop work OR have utility de-energize lines.

Crane operator is also required to do these items.

- Dedicated spotter shall be used.
- Elevated warning line or barricade in view of operator equipped with flags shall be installed.
- Insulating link shall be installed between end of load line and load.
- Non-conductive rigging shall be used.
- If equipment has device that limits range of movement, it shall be used.
- Tag lines shall be non-conductive.
- Barricades set up 10 feet around crane to prevent personnel from entering the work area.
- Workers, other than operator, shall be prohibited from touching load line.
- Only essential personnel shall be allowed.
- Crane shall be grounded.

SPECIAL NOTE

For Tree Removal

**Oldham County
I-71 New Interchange Project
Item No. 5-483.30**

NO CLEARING OF TREES 5 INCHES OR GREATER (DIAMETER BREAST
HEIGHT) FROM JUNE 1- JULY 31.

**If there are any questions regarding this note, please contact Danny Peake, Director,
Division of Environmental Analysis, 200 Mero Street, Frankfort, KY 40601, Phone:
(502) 564-7250.**

SPECIAL NOTE FOR PIPELINE INSPECTION

1.0 DESCRIPTION. The Department will perform visual inspections on all pipe on the project. A video inspection will be required on projects having more than 250 linear feet of storm sewer and/or culvert pipe and on routes with an ADT of greater than 1,000 vehicles. Conduct video inspections on all pipe located under the roadway and 50 percent of the remaining pipe not under the roadway. Storm sewer runs and outfall pipes not under the roadway take precedence over rural entrance pipes. Contractors performing this item of work must be prequalified with the Department in the work type J51 (Video Pipe Inspection and Cleaning). Deflection testing shall be completed using a mandrel in accordance with the procedure outlined below or by physical measurement for pipes greater than 36 inches in diameter. Mandrel testing for deflection must be completed prior to the video inspection testing. Unless otherwise noted, Section references herein are to the Department's Standard Specifications for Road and Bridge Construction, current edition.

2.0 VIDEO INSPECTION. Ensure pipe is clear of water, debris or obstructions. Complete the video inspection and any necessary measurement prior to placing the final surface over any pipe. When paving will not be delayed, take measurements 30 days or more after the completion of earthwork to within 1 foot of the finished subgrade. Notify the Engineer a minimum of 24 hours in advance of inspection and notify the Engineer immediately if distresses or locations of improper installation are logged.

2.1 INSPECTION FOR DEFECTS AND DISTRESSES

A) Begin at the outlet end and proceed through to the inlet at a speed less than or equal to 30 ft/minute. Remove blockages that will prohibit a continuous operation.

B) Document locations of all observed defects and distresses including but not limited to: cracking, spalling, slabbing, exposed reinforcing steel, sags, joint offsets, joint separations, deflections, improper joints/connections, blockages, leaks, rips, tears, buckling, deviation from line and grade, damaged coatings/paved inverts, and other anomalies not consistent with a properly installed pipe.

C) During the video inspection provide a continuous 360 degree pan of every pipe joint.

D) Identify and measure all cracks greater than 0.1" and joint separations greater than 0.5".

E) Video Inspections are conducted from junction to junction which defines a pipe run. A junction is defined as a headwall, drop box inlet, curb box inlet, manhole, buried junction, or other structure that disturbs the continuity of the pipe. Multiple pipe inspections may be conducted from a single set up location, but each pipe run must be on a separate video file and all locations are to be referenced from nearest junction relative to that pipe run.

F) Record and submit all data on the TC 64-765 and TC 64-766 forms.

3.0 MANDREL TESTING. Mandrel testing will be used for deflection testing. For use on Corrugated Metal Pipe, High Density Polyethylene Pipe, and Polyvinyl Chloride Pipe, use a mandrel device with an odd number of legs (9 minimum) having a length not less than the outside diameter of the mandrel. The diameter of the mandrel at any point shall not be less than the diameter specified in Section 3.6. Mandrels can be a fixed size or a variable size.

3.1 Use a proving ring or other method recommended by the mandrel manufacturer to verify mandrel diameter prior to inspection. Provide verification documentation for each size mandrel to the Engineer.

3.2 All deflection measurements are to be based off of the AASHTO Nominal Diameters. Refer to the chart in section 3.6.

3.3 Begin by using a mandrel set to the 5.0% deflection limit. Place the mandrel in the inlet end of the pipe and pull through to the outlet end. If resistance is met prior to completing the entire run, record the maximum distance achieved from the inlet side, then remove the mandrel and continue the inspection from the outlet end of the pipe toward the inlet end. Record the maximum distance achieved from the outlet side.

3.4 If no resistance is met at 5.0% then the inspection is complete. If resistance occurred at 5.0% then repeat 3.1 and 3.2 with the mandrel set to the 10.0% deflection limit. If the deflection of entire pipe run cannot be verified with the mandrel then immediately notify the Engineer.

3.5 Care must be taken when using a mandrel in all pipe material types and lining/coating scenarios. Pipe damaged during the mandrel inspection will be video inspected to determine the extent of the damage. If the damaged pipe was video inspected prior to mandrel inspection then a new video inspection is warranted and supersedes the first video inspection. Immediately notify the Engineer of any damages incurred during the mandrel inspection and submit a revised video inspection report.

3.6 AASHTO Nominal Diameters and Maximum Deflection Limits.

Base Pipe Diameter	AASHTO Nominal Diameter	Max. Deflection Limit	
		5.0%	10.0%
(inches)	(inches)	(inches)	
15	14.76	14.02	13.28
18	17.72	16.83	15.95
24	23.62	22.44	21.26
30	29.53	28.05	26.58
36	35.43	33.66	31.89
42	41.34	39.27	37.21
48	47.24	44.88	42.52
54	53.15	50.49	47.84
60	59.06	56.11	53.15

4.0 PHYSICAL MEASUREMENT OF PIPE DEFLECTION. Alternate method for deflection testing when there is available access or the pipe is greater than 36 inches in diameter, as per 4.1. Use a contact or non-contact distance instrument. A leveling device is recommended for establishing or verifying vertical and horizontal control.

4.1 Physical measurements may be taken after installation and compared to the AASHTO Nominal Diameter of the pipe as per Section 3.6. When this method is used, determine the smallest interior diameter of the pipe as measured through the center point of the pipe (D2). All measurements are to be taken from the inside crest of the corrugation. Take the D2 measurements at the most deflected portion of the pipe run in question and at intervals no greater than ten (10) feet through the run. Calculate the deflection as follows:

$$\% \text{ Deflection} = [(AASHTO \text{ Nominal Diameter} - D2) / AASHTO \text{ Nominal Diameter}] \times 100\%$$

Note: The Engineer may require that preset monitoring points be established in the culvert prior to backfilling. For these points the pre-installation measured diameter (D1) is measured and recorded. Deflection may then be calculated from the following formula:

$$\% \text{ Deflection} = [(D1 - D2) / D1] (100\%)$$

4.2 Record and submit all data.

5.0 DEDUCTION SCHEDULE. All pipe deductions shall be handled in accordance with the tables shown below.

FLEXIBLE PIPE DEFLECTION	
Amount of Deflection (%)	Payment
0.0 to 5.0	100% of the Unit Bid Price
5.1 to 9.9	50% of the Unit Bid Price ⁽¹⁾
10 or greater	Remove and Replace ⁽²⁾

⁽¹⁾ Provide Structural Analysis for HDPE and metal pipe. Based on the structural analysis, pipe may be allowed to remain in place at the reduced unit price. ⁽²⁾ The Department may allow the pipe to remain in place with no pay to the Contractor in instances where it is in the best interest to the public and where the structural analysis demonstrates that the pipe should function adequately.

RIGID PIPE REMEDIATION TABLE PIPE	
Crack Width (inches)	Payment
≤ 0.1	100% of the Unit Bid Price
Greater than 0.1	Remediate or Replace ⁽¹⁾

(1) Provide the Department in writing a method for repairing the observed cracking. Do not begin work until the method has been approved.

6.0 PAYMENT. The Department will measure the quantity in linear feet of pipe to inspect. The Department will make payment for the completed and accepted quantities under the following:

<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
24814EC	Pipeline Inspection	Linear Foot
10065NS	Pipe Deflection Deduction	Dollars

Rev 8/2021

Special Note for Portable Queue Warning Alert System

1.0 Description

This item shall consist of furnishing, installing, relocating, operating, servicing, and removing various components of a portable, quickly deployable, real-time automated ITS queue warning alert system (PQWAS), in accordance with the standard specifications and this special provision. The Contractor shall also provide the maintenance of the complete system for the duration of the project or as directed by the Project Engineer. The Department is willing to look at different technologies (i.e. allow the use of crowd sourcing data to be used in lieu of the portable radar sensors). Any changes to the below requirements must be submitted and approved by the Engineer.

2.0 Materials

Materials shall be in accordance as follows:

All materials used shall meet the manufacturer's specifications and recommendations.

All PQWAS materials installed on the project shall be provided by the Contractor in excellent quality condition, shall be corrosion resistant and in strict accordance with all of the details shown within Contractor's Plans approved by KYTC. The Contractor shall maintain an adequate inventory of parts and replacement units to support maintenance and repair of the PQWAS. Pre-deployment is a condition of the system's acceptance and is based on the successful performance demonstration for a (5) day continuous period in accordance to this specification and as set forth in the plans. Ensure compliance to all FCC and Department specifications.

The Contractor shall maintain this system and shall be locally available to service and maintain system components, move portable devices as necessary and respond to emergency situations. The Contractor has oversight responsibility for directing placement of devices in the project area. The Contractor is to be accessible seven (7) days a week and twenty-four (24) hours a day while the system is deployed. The Contractor shall provide contact information for the system's coordinator and others responsible for maintenance of the system prior to installation of the system. Furnish a System Coordinator for monitoring the PQWAS throughout all periods of deployment.

A. General Capabilities and Performance Requirements

1. Overall PQWAS capabilities and performance requirements include the following:
 - a. Furnish a system capable of providing advance traffic information to motorists when there is a queueing of traffic due to congestion resulting from lane reductions, emergency events or other conditions. The condition-responsive notification to the motorist occurs with the use of Portable Changeable Message Signs (PCMS) in accordance to the below capabilities and performance requirements, activated through real-time traffic data collected downstream of the PCMS locations. This equipment must

be a packaged system, pre-programmed and operates as a stand-alone PQWAS meeting this specification. Conditions might exist that require relocation of the portable sensors at any given time, the sensors shall be portable and shall not require re-calibration in the field for fast deployments. Due to the potential need to replace damaged sensors or to change the position of one or more sensors at any given time, sensors must be interchangeable and relocatable by an unskilled laborer. The system must continue to function if as many as half the sensors fail to function.

- b. Provide a PQWAS that consists of the following field equipment: portable radar sensors and portable changeable message signs (PCMS). Provide a system capable of withstanding inclement weather conditions while continuing to provide adequate battery power. The portable radar sensor battery, in a stand-alone state and without a solar panel for recharging, shall be capable of keeping power and capable of sending data for (10) consecutive days or longer. The system shall notify drivers of real-time queue events via specifically placed PCMS units up stream of the work zone. All predetermined/preprogrammed messages are to be approved by KYTC. The number and location of portable radar sensors and PCMS units shall be as directed by the Project Engineer. The decision to deploy or relocate field equipment is made by the Project Engineer and instrumented through the System Coordinator. The decision for equipment removal is made by the Project Engineer after work is complete. The sensors and PCMS units shall be identifiable via global positioning system (GPS) and shall contain an accelerometer to detect and alert of unauthorized movement.
- c. The portable radar sensor shall be capable of collecting traffic speed data. The processed data is used to remotely control PCMS units to display user definable, Engineer approved and locally stored messages. The message trigger state thresholds for slow and stopped speeds shall be user configurable and revisable in less than {1} hour from the Project Engineer's request. Weekly Traffic Data Reports shall be presented to the Project Engineer and shall include speed data per sensor location, travel times, and queue lengths in graphical and numerical formats. In the event the Project Engineer requires a report, other than a weekly report, for any reason; then the Contractor shall provide report within (48) hours of request. Unlimited data reports shall be included within price of system. Sensors shall require no calibration adjustments in the field. Sensor should begin transmitting data within (30) seconds of being turned on. Satellite (SAT) communications will be required when cellular service does not provide continuous communications. Contractor shall identify the most trustworthy cellular provider within the project area.
- d. Data shall be accessible through a website and the Contractor shall provide a username and password for protection. The website shall be accessible seven (7) days a week and twenty - four (24) hours a day. The website shall provide historical & real-time data in graphical and numerical formats and shall have the capability of being integrated within the Department's Traffic Management Center (if requested). The website should be compatible to most hand held devices. Data shall be saved on the manufacturer's network for up to (5) years from the deployment date of system and shall be provided at the request

of the Department at any time within the (5) year window. The use of the website shall be included within the price of system.

- e. Warning Alerts: queue events, low battery voltage warnings, sensor movement alerts, high and low speed alerts shall be provided via cellular text messaging and/or via email messaging at the request of select Contractor personnel and KYTC officials.
- f. The PQWAS system shall have the capabilities to provide alternate route messaging on specifically placed portable changeable message units and/or fixed Variable Message Systems (VMS). The intent of this service is to provide alternate route messaging to motorists before entering the project limits from all directions and giving them appropriate time to adjust their routes. Alternative routes shall be predefined and approved by KYTC. Additional PCMS units may be required for alternate route messaging and will be as per Section 5.0 of this note. KYTC's Traffic Management Center will provide detour messages via fixed VMS units during the term of the project.

B. Portable Radar Sensor Capabilities and Performance Requirements

The PQWAS shall include portable radar sensors (PRD) to monitor and detect queue events.

1. The Radar Sensor shall be FHWA accepted to meet NCHRP 350 test requirements
2. The Radar Sensor shall be locatable at all times via an internal Global Positioning System (GPS) and shall be capable of Cellular or SAT Communications.
3. The Radar Sensor shall have a dry-cell battery capable of powering the system for (10) consecutive days or longer
4. The Radar sensor shall be K-Band technology and have a line of sight up to 200 linear feet without obstruction
5. The Radar sensor shall have the ability to be charged in the field through adaptable solar recharging technology in the case the sensor is utilized for more than 10 consecutive days

C. PCMS Capabilities and Performance Requirements

The PQWAS shall include portable changeable message signs (PCMS) designated to relay automated messaging of queue events, alternate route messages, and caution for the work area defined by the project limits. PCMS placements shall meet the requirements set forth by the Cabinet in each direction of the National Highway System (NHS).

1. The PCMS unit shall be a Full Matrix 24 rows x 50 columns and shall be capable of 1 line, 2line or 3 line messages
2. The PCMS unit shall be legible from a distance over twelve hundred feet (1200')
3. The height and size of characters shall be 18" to 58"
4. The PCMS shall be capable of storing up to 199 pre-programmed messages and up to 199 user-defined messages
5. The PCMS shall have a weather tight control cabinet with back lit LCD handheld controller.
6. The PCMS shall utilize a hydraulic lift to raise the unit to display height
7. The PCMS unit shall include solar recharging ports to allow for recharging of the portable radar sensors when they are not deployed.
8. The PCMS shall be NTCIP compliant and shall have an active Modem with active cellular service.

9. The user shall have the ability to communicate and override the PCMS remotely in the event of an emergency, Amber Alert, etc.
10. The PCMS unit shall have a docking station to include safety rails that allow a commercial safety strap to tie down the portable radar sensors while in transport. The docking station shall hold-up to (4) sensors safely and securely at all times

3.0 Construction Requirements

All communication costs include cellular telephone services, FCC licensing, wireless data networks, satellite and internet subscription charges, and battery charging and maintenance. Additional to these requirements, the Contractor shall assume all responsibility for any and all damaged equipment due to crashes, vandalism, and adverse weather that may occur during the contract period.

The PQWAS shall operate continuously (24 hours/ 7 Days) when deployed on the project. The system is in a constant "data collection" mode when deployed. The Contractor shall provide technical support for the PQWAS for all periods of operation.

In the event communication is lost with any component of the PQWAS, provide a means and staff to manually program a PCMS message. If communication is lost for more the 10 consecutive minutes, the system shall revert to a fail-safe ROADWORK/# MILES/AHEAD message displayed on the PCMS units until communication is restored.

System Operator, local control function and remote management operation must be password protected.

The PQWAS shall be capable of acquiring traffic information and selecting messages automatically without operator intervention after system utilization. The lag time between changes in threshold ranges and the posting of the appropriate PCMS message(s) shall be no greater than (60) seconds. The system operation and accuracy must not be appreciably degraded by inclement weather or degraded visibility conditions including precipitation, fog, darkness, excessive dust, and road debris.

The system shall be capable of storing ad-hoc messages created by the System Coordinator and logging this action when overriding any default or automatic advisory message.

The PQWAS communication system shall incorporate an error detection/correction mechanism to insure the integrity of all traffic conditions data and motorists information messages. Any required configuration of the PQWAS communication system shall be performed automatically during system initialization.

The system's acceptance is based on the successful performance demonstration of PQWAS for a (5) day continuous period in accordance to this specification and as set forth in the plans. Ensure compliance to all FCC and Department specifications.

4.0 Equipment Maintenance.

Maintain system components in good working condition at all times. Repair or replace damaged or malfunctioning components, at no cost to the Department, as soon as possible and within (12) hours of notification by the Engineer. Periodically clean PCMS units if necessary.

5.0 Measurement. The Department will measure each item below in Months. For partial months the Department will pay in 0.25 increments based on the number of calendar days in the below table.

Partial Month Payment Schedule	
Days	Increment
0-7 days	0.25
8-14 days	0.50
15-21 days	0.75
22-31 days	1.00

5.1 Portable Queue Warning Alert System includes cellular (SAT communications will be required if cellular is not available), all supporting field equipment, website, and unlimited data reports accessible by the Engineer. It will be measured by the number of months authorized by the Engineer for use on the project.

5.2 Queue Warning PCMS will be measured by each individual unit multiplied by the number of months authorized by the Engineer for use on the project.

5.3 Queue Warning Portable Radar Sensors will be measured by each individual unit multiplied by the number of months authorized by the Engineer for use on the project. Queue Warning Portable Radar Sensors will not be measured for payment if the Contractor utilizes a system operating on crowd sourcing data. Crowd sourcing data systems will only be allowed as approved by the engineer and will be considered incidental to Portable Queue Warning Alert System.

6.0 Payment.

<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
26136EC	Portable Queue Warning Alert System	Month
26137EC	Queue Warning PCMS	Month
26138EC	Queue Warning Portable Radar Sensors	Month

Special Note for Bridge Demolition, Renovation and Asbestos Abatement

If the project includes any bridge demolition or renovation, the successful bidder is required to notify Kentucky Division for Air Quality (KDAQ) via filing of form (DEP 7036) a minimum of 10 working days prior to commencement of any bridge demolition or renovation work.

Any available information regarding possible asbestos containing materials (ACM) on or within bridges to be affected by the project has been included in the bid documents. These are to be included with the Contractor's notification filed with the KDAQ. If not included in the bid documents, the Department will provide that information to the successful bidder for inclusion in the KDAQ notice as soon as possible. If there are no documents stating otherwise, the bidders should assume there are no asbestos containing materials that will in any way affect the work.



COMMONWEALTH OF KENTUCKY
TRANSPORTATION CABINET
transportation.ky.gov

Andy Beshear
GOVERNOR

Jim Gray
SECRETARY

Asbestos Inspection Report

To: Donna Hardin

District: 5

Date: February 7, 2022

Conducted By: O'Dail Lawson

Report Prepared By: O'Dail Lawson

Project and Structure Identification

Project Number: Oldham 05-483.30

Structure ID: 093B00059N

Structure Location: KY-2857 (Lagrange Parkway) over I-71 & N Fork of Curry's Fork

Sample Description: Any suspect materials collected were negative for asbestos.

Inspection Date: February 1, 2022

Results and Recommendations

The results of the samples collected were negative for the presence of asbestos above 1%.
No abatement is required at this time.

It is recommended that this report accompany the 10-Day Notice of Intent for Demolition ([Notification Form DEP 7036](#)) which is to be submitted to the Kentucky Division of Air Quality prior to abatement, demolition, or renovation of any building or structure in the Commonwealth.

(502) 495-1212
Fax: (502) 491-7111

AJHA #1 02459



Kentucky Transportation Cabinet

200 Mero Street, 5th Floor West
Frankfort, Kentucky 40622
(502) 564-7250 fax (502) 564-5655

KYTC

Address: 200 Mero Street

Frankfort
KY

Phone: 502-782-5020

Fax: 502-564-5655

PO#:

Project ID

OLDHAM 05-483.30

09330059N.

N/A = Not Applicable

Client Information **KY TRANSPORTATION CABINET**

Results Code:

North east wing wall of
Lagrange pkwy over I-71

Samplers (signature):

[illegible]

Relinquished By:

Date/Time:

Received By:

Date/Time:

Relinquished By:

Date/Time:

Received at Lab By:

Date/Time:

KYTC COC

Page 1



CHC Training

Environmental Compliance Certification Experts

www.chctraining.com
303.412.6360
855.60.CERTIFY

Colorado State Approval No. 22651

1775 W. 55th Avenue
Denver, Colorado 80221
United States of America

CERTIFICATE OF ACHIEVEMENT

This certificate is awarded to:

O'DAIL LAWSON

In recognition of satisfactory completion of the EPA-approved annual asbestos refresher training in accordance with the Model Accreditation Plan (MAP) (40 CFR Part 763, Subpart E, Appendix C), AHERA of the Toxic Substances Control Act (TSCA), and Colorado Regulation No. 8 entitled:

BUILDING INSPECTOR

COURSE COMPLETION:

FEBRUARY 11, 2021

EXAMINATION DATE:

FEBRUARY 11, 2021

EXPIRATION DATE:

FEBRUARY 11, 2022

COURSE HOURS:

4.0



Verify this Certificate

Danaya N. Wilson
CEO & Training Program Manager

Credential License ID:
28699399



Matthew Valdez
Instructor

CHC Training Certificate No.:
R21-0204-AI



Renew this Certificate

SPECIAL NOTE
FOR PROJECT COMPLETION DATE AND
LIQUIDATED DAMAGES

Oldham County
New I-71 Interchange @ MP 20.6
Item No. 5-483.30

FAILURE TO COMPLETE WORK ON TIME

Specified fixed completion date for this contract is August 31, 2024. For each calendar day beyond a fixed completion date of August 31, 2024, the Department will assess liquidated damages per Section 108.09 of the current edition of the Standard Specifications for Road and Bridge Construction.

ROAD AND LANE CLOSURES

The following damages shall be assessed if road closures are kept for longer than 15 minutes:

15 to 30 minutes	\$1,000.00
30 to 45 minutes	\$5,000.00
45 to 60 minutes	\$15,000.00

All road closures left in place for more than 60 minutes will be assessed damages of \$15,000.00 per hour or fraction thereof. Disincentives of \$1,300.00 per lane closure per hour will be charged for each hour or fraction of an hour that two lanes in each direction are not open except for permitted hours during hours of low traffic volume. The \$1,300.00 disincentive shall also apply to any single lanes of traffic not specifically permitted in the control plan. Lane closures in place for more than one hour in excess of permitted hours will be assessed at a greater rate. The second hour or fraction thereof will be assessed at the rate of \$2,600.00 per hour. The third hour or fraction thereof and all additional hours shall be assessed at the rate of \$15,000.00 per hour.

All liquidated damages will be applied cumulatively.
All other applicable portions of KYTC Standard Specifications Section 108 apply.



KENTUCKY TRANSPORTATION CABINET
Department of Highways
DIVISION OF RIGHT OF WAY & UTILITIES

TC 62-226
Rev. 01/2016
Page 1 of 1

RIGHT OF WAY CERTIFICATION

<input checked="" type="checkbox"/>	Original	<input type="checkbox"/>	Re-Certification	RIGHT OF WAY CERTIFICATION	
ITEM #		COUNTY		PROJECT # (STATE)	PROJECT # (FEDERAL)
5-483.30		Oldham		12F0 FD52 093 8930702R	NHPP 0711 (120)
PROJECT DESCRIPTION					
CONSTRUCT NEW I-71 INTERCHANGE BETWEEN KY-393 AND KY-53 TO RELIEVE CONGESTION IN LAGRANGE. (2020CCR)					
<input type="checkbox"/>	No Additional Right of Way Required				
Construction will be within the limits of the existing right of way. The right of way was acquired in accordance to FHWA regulations under the Uniform Relocation Assistance and Real Property Acquisitions Policy Act of 1970, as amended. No additional right of way or relocation assistance were required for this project.					
<input checked="" type="checkbox"/>	Condition # 1 (Additional Right of Way Required and Cleared)				
All necessary right of way, including control of access rights when applicable, have been acquired including legal and physical possession. Trial or appeal of cases may be pending in court but legal possession has been obtained. There may be some improvements remaining on the right-of-way, but all occupants have vacated the lands and improvements, and KYTC has physical possession and the rights to remove, salvage, or demolish all improvements and enter on all land. Just Compensation has been paid or deposited with the court. All relocations have been relocated to decent, safe, and sanitary housing or that KYTC has made available to displaced persons adequate replacement housing in accordance with the provisions of the current FHWA directive.					
<input type="checkbox"/>	Condition # 2 (Additional Right of Way Required with Exception)				
The right of way has not been fully acquired, the right to occupy and to use all rights-of-way required for the proper execution of the project has been acquired. Some parcels may be pending in court and on other parcels full legal possession has not been obtained, but right of entry has been obtained, the occupants of all lands and improvements have vacated, and KYTC has physical possession and right to remove, salvage, or demolish all improvements. Just Compensation has been paid or deposited with the court for most parcels. Just Compensation for all pending parcels will be paid or deposited with the court prior to AWARD of construction contract					
<input type="checkbox"/>	Condition # 3 (Additional Right of Way Required with Exception)				
The acquisition or right of occupancy and use of a few remaining parcels are not complete and/or some parcels still have occupants. All remaining occupants have had replacement housing made available to them in accordance with 49 CFR 24.204. KYTC is hereby requesting authorization to advertise this project for bids and to proceed with bid letting even though the necessary right of way will not be fully acquired, and/or some occupants will not be relocated, and/or the just compensation will not be paid or deposited with the court for some parcels until after bid letting. KYTC will fully meet all the requirements outlined in 23 CFR 635.309(c)(3) and 49 CFR 24.102(j) and will expedite completion of all acquisitions, relocations, and full payments after bid letting and prior to AWARD of the construction contract or force account construction.					
Total Number of Parcels on Project		7	EXCEPTION (S) Parcel #	ANTICIPATED DATE OF POSSESSION WITH EXPLANATION	
Number of Parcels That Have Been Acquired					
Signed Deed		5			
Condemnation		2			
Signed ROE					
Notes/ Comments (Use Additional Sheet if necessary)					
LPA RW Project Manager			Right of Way Supervisor		
Printed Name			Printed Name	Tom Boykin	
Signature			Signature	Tom Boykin	
Date			Date		
Right of Way Director			FHWA		
Printed Name			Printed Name		
Signature	Kelly Divine		Signature		
Date	2022.06.02 14:38:28 -05'00'		Date		

UTILITIES AND RAIL CERTIFICATION NOTE

**Oldham County
NHPP0711120
FD52 093 89307 02U
Mile point: 20.000 TO 21.000
CONSTRUCT NEW I-71 INTERCHANGE BETWEEN KY-393 AND KY-53
ITEM NUMBER: 05-483.30**

PROJECT NOTES ON UTILITIES

For all projects over 2000 linear feet, which are defined as a "Large Project" in KRS 367.4903(18), the awarded contractor shall initially mark the first 2000 linear feet minimally of proposed excavation or construction boundaries of the project to be worked using the procedure set forth in KRS 367.4909(9)(k). This temporary field locating of the project excavation boundary shall take place prior to submitting an excavation location request to the underground utility protection Kentucky Contact Center. For large projects, the awarded contractor shall work with the impacted utilities to determine when additional white lining of the remainder of the project site will take place. This provision shall not alter or relieve the awarded contractor from complying with requirements of KRS 367.4905 to 367.4917 in their entirety.

Please Note: The information presented in this Utility Note is informational in nature and the information contained herein is not guaranteed.

The contractor will be responsible for contacting all utility facility owners on the subject project to coordinate his activities. The contractor will coordinate his activities to minimize and, where possible, avoid conflicts with utility facilities. Due to the nature of the work proposed, it is unlikely to conflict with the existing utilities beyond minor facility adjustments. Where conflicts with utility facilities are unavoidable, the contractor will coordinate any necessary relocation work with the facility owner and Resident Engineer. The Kentucky Transportation Cabinet maintains the right to remove or alter portions of this contract if a utility conflict occurs. The utility facilities as noted in the previous section(s) have been determined using data garnered by varied means and with varying degrees of accuracy: from the facility owners, a result of S.U.E., field inspections, and/or reviews of record drawings. The facilities defined may not be inclusive of all utilities in the project scope and are not Level A quality, unless specified as such. It is the contractor's responsibility to verify all utilities and their respective locations before excavating.

The contractor shall make every effort to protect underground facilities from damage as prescribed in the Underground Facility Damage Protection Act of 1994, Kentucky Revised Statute KRS 367.4901 to 367.4917. It is the contractor's responsibility to determine and take steps necessary to be in compliance with federal and state damage prevention directives. The contractor is instructed to contact KY 811 for the location of existing underground utilities. Contact shall be made a minimum of two (2) and no more than ten (10) business days prior to excavation. The contractor shall submit Excavation Locate Requests to the Kentucky Contact Center (KY 811) via web ticket entry. The submission of this request does not relieve the contractor from the responsibility of contacting non-member facility owners, whom are to be contacted through their individual Protection Notification Center. It may be necessary for the contractor to contact the County Court Clerk to determine what utility companies have facilities in the area. Non-compliance with these directives can result in the enforcement of penalties.

UTILITIES AND RAIL CERTIFICATION NOTE

<p>Oldham County NHPP0711120 FD52 093 89307 02U Mile point: 20.000 TO 21.000 CONSTRUCT NEW I-71 INTERCHANGE BETWEEN KY-393 AND KY-53 ITEM NUMBER: 05-483.30</p>

NOTE: DO NOT DISTURB THE FOLLOWING FACILITIES LOCATED WITHIN THE PROJECT DISTURB LIMITS

Lagrange Utilities Commission - Sewer

AT&T - KY - Communication

Louisville Gas & Electric - Natural Gas

Oldham County Water District - Water

The Contractor is fully responsible for protection of all utilities listed above

THE FOLLOWING FACILITY OWNERS ARE RELOCATING/ADJUSTING THEIR FACILITIES WITHIN THE PROJECT LIMITS AND WILL BE COMPLETE PRIOR TO CONSTRUCTION

Not Applicable

THE FOLLOWING FACILITY OWNERS HAVE FACILITIES TO BE RELOCATED/ADJUSTED BY THE OWNER OR THEIR SUBCONTRACTOR AND IS TO BE COORDINATED WITH THE ROAD CONTRACT

AT&T-KY – The Company has existing underground communication lines located in the KYTC Right-of-Way in the southeast quadrant of the proposed interchange. The Company will relocate their facilities to be located in the Permanent Utility Easement along Ramp G outside the KYTC Controlled Access. The coordination with utility companies and their contractor's is the responsibility of the Roadway Contractor.

Louisville Gas & Electric - The Company has existing 4-IN medium pressure plastic gas main located in the KYTC Right-of-Way in the southeast quadrant of the proposed interchange. The Company will relocate their facilities to be located in the Permanent Utility Easement along Ramp G outside the KYTC Controlled Access. The coordination with utility companies and their contractor's is the responsibility of the Roadway Contractor.

UTILITIES AND RAIL CERTIFICATION NOTE

Oldham County
NHPP0711120
FD52 093 89307 02U
Mile point: 20.000 TO 21.000
CONSTRUCT NEW I-71 INTERCHANGE BETWEEN KY-393 AND KY-53
ITEM NUMBER: 05-483.30

THE FOLLOWING FACILITY OWNERS HAVE FACILITIES TO BE RELOCATED/ADJUSTED BY THE ROAD CONTRACTOR AS INCLUDED IN THIS CONTRACT

LaGrange Utility Commission – The Commission has an existing 6-IN PVC sanitary sewer force main located in a private easement north of I-71. The proposed force main will be relocated in the Permanent Utility Easement located outside the KYTC Controlled Access.

Oldham County Water District – The District has existing water distribution facilities located in the project limits. North of I-71 the existing water distribution facilities are located west of KY 2857. South of I-71 the existing water distribution facilities are located east of KY 2857. The Contractor will relocate approximately 205 LF of 10-IN water main and casing pipe crossing under the proposed Ramps G & H. The Contractor will relocate approximately 45 LF of 10-IN water main and casing pipe located in the northwest quadrant of the proposed interchange.

RAIL COMPANIES HAVE FACILITIES IN CONJUNCTION WITH THIS PROJECT AS NOTED

☒ No Rail Involvement ☐ Rail Involved ☐ Rail Adjacent

UTILITIES AND RAIL CERTIFICATION NOTE

<p>Oldham County NHPP0711120 FD52 093 89307 02U Mile point: 20.000 TO 21.000 CONSTRUCT NEW I-71 INTERCHANGE BETWEEN KY-393 AND KY-53 ITEM NUMBER: 05-483.30</p>

AREA FACILITY OWNER CONTACT LIST

- | | |
|--|---|
| 1. AT&T KY
1340 E. John Rowan Blvd
Bardstown, KY 40004 | Scott Roche
Office (502) 348-4528
Cell (502) 827-4703
SR8832@att.com |
| 2. LaGrange Utilities Commission
412 East Jefferson Street
LaGrange, KY 40031 | Scot Treece, Director
Office (502) 222-9325
Cell (502) 667-0342
LUCDirector@gmail.com |
| 3. LG&E (Gas)
820 West Broadway
Louisville, KY 40202
Gas Emergency Number (502) 589-5511
LG&E and KU Emergency Number 1-800-331-7370 | Caroline Justice
Office (502) 627-3708
Caroline.Justice@LGE-KU.com |
| 4. Oldham County Water District
2160 Spencer Court
La Grange, KY 40031 | Russ Rose
Office (502) 222-1690
Cell (502) 727-4350
RRose@oldhamcountywater.com |

GENERAL UTILITY NOTES AND INSTRUCTIONS APPLICABLE TO ALL UTILITY WORK MADE A PART OF THE ROAD CONSTRUCTION CONTRACT

The contractor should be aware the following utility notes and KYTC Utility Bid Item Descriptions shall supersede, replace and take precedence over any and all conflicting information that may be contained in utility owner supplied specifications contained in the contract, on plans supplied by the utility owner, or any utility owner specifications or information externally referenced in this contract.

Where information may have been omitted from these notes, bid item descriptions, utility owner supplied specifications or plans; the KYTC Standard Specifications for Road and Bridge Construction shall be referenced.

PROTECTION OF EXISTING UTILITIES

The existing utilities shown on the plans are shown as best known at the time the plans were developed and are to be used as a guide only by the Contractor. The Contractor shall use all means at his disposal to accurately locate all existing utilities, whether shown on the plans or not, prior to excavation. The contractor shall protect these utilities during construction. Any damage to existing utilities during construction that are shown or not shown on the plans shall be repaired at the Contractor's expense.

PREQUALIFIED UTILITY CONTRACTORS

Some utility owners may require contractors that perform relocation work on their respective facilities as a part of the road contract be prequalified or preapproved by the utility owner. **Utility contractors may be added via addendum if KYTC is instructed to do so by the utility owner. Potential contractors must seek prequalification from the utility owner. Any revisions must be sent from the utility owner to KYTC a minimum of one week prior to bid opening.** Those utility owners with a prequalification or preapproval requirement are as follows:

LaGrange Utility Commission requires that relocation work on their respective facilities be performed by one of the following contractors.

- Louisville Paving
- Pace Contracting
- Mac Construction
- Flynn Brothers Contracting

Oldham County Water District requires that relocation work on their respective facilities be performed by one of the following contractors.

- Cleary Construction, Inc.
- MAC Construction
- Smith Contractors, Inc

The bidding contractor needs to review the above list and choose from the list of approved subcontractors as identified above before bidding. When the list of approved subcontractors is provided, only subcontractors shown on the following list(s) will be allowed to work on that utility as a part of this contract. In such instances, the utility subcontractor is not required to be prequalified with the KYTC Division of Construction Procurement.

IF A UTILITY SUPPLIED CONTRACTOR LIST IS NOT PROVIDED

When the above list of approved subcontractors for the utility work is not provided, the utility work can be completed by the prime contractor, or a prime contractor-chosen subcontractor. In such instances, the subcontractor shall be prequalified with the KYTC Division of Construction Procurement in the work type of "Utilities" (I33). Those who would like to become prequalified may contact the Division of Construction Procurement at (502) 564-3500. Please note: it could take up to 30 calendar days for prequalification to be approved. The prequalification does not have to be approved prior to the bid, but must be approved before the subcontract will be approved by KYTC and the work can be performed.

CONTRACT ADMINISTRATION RELATIVE TO UTILITY WORK

All utility work is being performed as a part of a contract administered by KYTC; there is not a direct contract between the utility contractor and utility owner. The KYTC Section Engineer is ultimately responsible for the administration of the road contract and any utility work included in the contract.

SUBMITTALS AND CORRESPONDENCE

All submittals and correspondence of any kind relative to utility work included in the road contract shall be directed to the KYTC Section Engineer, a copy of which may also be supplied to the utility owner by the contractor to expedite handling of items like material approvals and shop drawings. All approvals and correspondence generated by the utility owner shall be directed to the KYTC Section Engineer. The KYTC Section Engineer will relay any approvals or correspondence to the utility contractor as appropriate. At no time shall any direct communication between the utility owner and utility contractor without the communication flowing through the KYTC Section Engineer be considered official and binding under the contract.

ENGINEER

Where the word "Engineer" appears in any utility owner specifications included in this proposal, utility owner specifications included as a part of this contract by reference or on the utility relocation plans, it shall be understood the "Engineer" is the Kentucky Transportation Cabinet (KYTC) Section Engineer or designated representative and the utility owner engineer or designated representative jointly. Both engineers must mutually agree upon all decisions made with regard to the utility construction. The Transportation Cabinet, Section Engineer shall make all final decisions in all disputes.

INSPECTOR OR RESIDENT PROJECT REPRESENTATIVE

Where the word “Inspector” or “Resident Project Representative” appears in the utility specifications included in this proposal, utility owner specifications included as a part of this contract by reference or on the utility relocation plans, it shall be understood the “Inspector” or “Resident Project Representative” is the utility owner inspector and KYTC inspector jointly. The Transportation Cabinet, Section Engineer shall make all final decisions in all disputes.

NOTICE TO UTILITY OWNERS OF THE START OF WORK

One month before construction is to start on a utility, the utility contractor shall make notice to the KYTC Section Engineer and the utility owner of when work on a utility is anticipated to start. The utility contractor shall again make confirmation notice to the KYTC Section Engineer and the utility owner one week before utility work is to actually start.

UTILITY SHUTDOWNS

The Contractor shall not shut down any active and in-service mains, utility lines or services for any reason unless specifically given permission to do so by the utility owner. The opening and closing of valves and operating of other active utility facilities for main, utility line or utility service shut downs are to be performed by the utility owner unless specific permission is given to the contractor by the owner to make shutdowns. If and when the utility owner gives the contractor permission to shutdown mains, utility lines or utility services, the contractor shall do so following the rules, procedures and regulations of the utility owner. Any permission given by the utility owner to the contractor to shutdown active and in-service mains, utility lines or services shall be communicated to the KYTC Section Engineer by the utility owner that such permission has been given.

Notice to customers of utility shut downs is sometimes required to be performed by the utility contractor. The contractor may be required; but, is not limited to, making notice to utility customers in a certain minimum amount of time in advance of the shut down and by whatever means of communication specified by the utility owner. The means of communication to the customer may be; but is not limited to, a door hanger, notice by newspaper ad, telephone contact, or any combination of communication methods deemed necessary, customary and appropriate by the utility owner. The contractor should refer to the utility owner specifications for requirements on customer notice.

Any procedure the utility owner may require the contractor to perform by specification or plan note and any expense the contractor may incur to comply with the utility owner’s shut down procedure and notice to customers shall be considered an incidental expense to the utility construction.

CUSTOMER SERVICE AND LATERAL ABANDONMENTS When temporary or permanent abandonment of customer water, gas, or sewer services or laterals are necessary during relocation of utilities included in the contract, the utility contractor shall perform these abandonments as part of the contract as incidental work. No separate payment will be made for service line and lateral abandonments. The contractor shall provide all labor, equipment and materials to accomplish the temporary or permanent abandonment in accordance with the plans, specifications and/or as directed by the engineer. Abandonment may include, but is not limited to, digging down on a water or gas main at the tap to turn off the tap valve

or corporation stop and/or capping or plugging the tap, digging down on a sewer tap at the main and plugging or capping the tap, digging down on a service line or lateral at a location shown on the plans or agreeable to the engineer and capping or plugging, or performing any other work necessary to abandon the service or lateral to satisfactorily accomplish the final utility relocation.

STATIONS AND DISTANCES

All stations and distances, when indicated for utility placement in utility relocation plans or specifications, are approximate; therefore, some minor adjustment may have to be made during construction to fit actual field conditions. Any changes in excess of 6 inches of plan location shall be reviewed and approved jointly by the KYTC Section Engineer or designated representative and utility owner engineer or designated representative. Changes in location without prior approval shall be remedied by the contractor at his own expense if the unauthorized change creates an unacceptable conflict or condition.

RESTORATION

Temporary and permanent restoration of paved or stone areas due to utility construction shall be considered incidental to the utility work. No separate payment will be made for this work. Temporary restoration shall be as directed by the KYTC Section Engineer. Permanent restoration shall be “in-kind” as existing.

Restoration of seed and sod areas will be measured and paid under the appropriate seeding and sodding bid items established in the contract for roadway work.

BELOW ARE NOTES FOR WHEN “INST” ITEMS ARE IN THE CONTRACT MEANING THE UTILITY COMPANY IS PROVIDING CERTAIN MATERIALS FOR UTILITY RELOCATION

MATERIAL

Contrary to Utility Bid Item Descriptions, those bid items that have the text “**Inst**” at the end of the bid item will have the major components of the bid item provided by the utility owner. No direct payment will be made for the major material component(s) supplied by the utility company. All remaining materials required to construct the bid item as detailed in utility bid item descriptions, in utility specifications and utility plans that are made a part of this contract will be supplied by the contractor. The contractor’s bid price should reflect the difference in cost due to the provided materials.

The following utility owners have elected to provide the following materials for work under this contract:

SECURITY OF SUPPLIED MATERIALS

If any utility materials are to be supplied by the utility owner, it will be the responsibility of the utility contractor to secure all utility owner supplied materials after delivery to the project site. The utility contractor shall coordinate directly with the utility owner and their suppliers for delivery and security of the supplied materials. Any materials supplied by the utility owner and delivered to the construction site that are subsequently stolen, damaged or vandalized and deemed unusable shall be replaced with like materials at the contractor’s expense.

GRW Engineers, Inc.

Engineering
Architecture
Planning
GIS
Aviation Consultants

Indianapolis, IN
Columbus, OH
Cincinnati, OH
Lexington, KY
Louisville, KY
Knoxville, TN
Nashville, TN
Saint Albans, WV
Buckhannon, WV

Specifications and Contract Documents



**Oldham County Water
District**

I-71 Interchange

Water Utility Relocation

La Grange, KY

**KYTC Item No. 05-483.30
GRW Project No. 5001
April 2022**

Bid Set

TABLE OF CONTENTS

Technical Specifications

DIVISION 01 - GENERAL REQUIREMENTS

012213 – Basis of Measurement and Payment 1-2

012213.10 – KYTC Standardized Water Bid Descriptions.....1-13

013323 – Shop Drawings, Product Data Samples, and RFI’s.....1-7

014500 – Quality Control Services – Line Project.....1-3

017700 – Project Closeout.....1-3

017823 – Operating and Maintenance Manual.....1-6

017834 – Warranties and Bonds.....1-3

017839 – Projects Record Documents.....1-2

DIVISION 02 – EXISTING CONDITIONS

024100 – Demolition & Salvage..... 1-2

DIVISION 31 – EARTHWORK

312000- Earthwork 1-8

312319 – Dewatering..... 1-1

312500 – Erosion and Sedimentation Control (Areas Less Than One Acre).....1-6

315000 - Excavation Support and Protection.....1-3

DIVISION 33 - UTILITIES

330523 – Steel Casing Pipe1-6

331113 – Water Distribution Piping.....1-17

331216 – Valves1-3

331219 – Hydrants.....1-3

DIVISION 01

GENERAL REQUIREMENTS

SECTION 012213 - BASIS OF MEASUREMENT AND PAYMENT - WATER

PART 1 - GENERAL

1.1 DESCRIPTION OF REQUIREMENTS

- A. The Contractor shall furnish all necessary labor, machinery, tools, apparatus, equipment, materials, service and other necessary supplies and perform all Work shown on the Drawings and/or described in the Specifications and Contract Documents at the unit prices as indicated by the Bidder in the Bid.
- B. The Bidder declares that he has examined the site of the Work and informed himself fully in regard to all conditions pertaining to the place where the Work is to be done; that he has examined the Plans, Specification and Contract Documents for the Work, and has read all special provisions furnished prior to the opening of bids; and that he has further satisfied himself relative to the Work to be performed. The Bidder further declares that he understands that unit quantities shown in the Proposal are approximately only, are subject to increase or decrease, and that, should the quantities of any of the items be decreased, the Bidder will make no claim for the anticipated profits. In addition, the Owner also reserves the right to adjust quantities, either by addition or deletion and as-BID unit price shall remain in effect for these quantity adjustments.
- C. All excavation required of the work shall be done as part of the total price for the complete project. All excavation shall be unclassified.

1.2 PAY ITEMS

- A. The items listed hereinafter refer to and are the same items listed in the PROPOSAL hereinbefore and constitute all of the pay items in this Contract. Any other items of Work listed in the Specifications or shown on the Drawings shall be considered incidental to the above items.

1.3 WATER PLUG EXISTING WATER MAIN

- A. Payment for plugging existing water main shall be as described in the KYTC Standardized Water Bid Descriptions; Section 012213.10.

1.4 WATER ENCASEMENT STEEL OPEN CUT

- A. Payment for steel encasement open cut shall be as described in the KYTC Standardized Water Bid Descriptions; Section 012213.10.
- B. Installation of Field Lok Gaskets for Carrier Pipe shall be included as part of this pay item and considered incidental to the installation of the water encasement steel pipe.

1.5 WATER PIPE

- A. Payment for water pipe shall be as described in the KYTC Standardized Water Bid Descriptions: Section 012213.10.
- B. Installation of concrete thrust block shall be included as part of this pay item and considered incidental to the installation of the water pipe.

1.6 WATER VALVES

- A. Payment for water valves shall be as described in the KYTC Standardized Water Bid Descriptions; Section 012213.10

1.7 WATER TIE-IN

- A. Payment for connection to existing water mains shall be as described in the KYTC Standardized Water Bid Descriptions; Section 012213.10.

1.8 FIRE HYDRANT AND VALVE

- A. Payment for installation of fire hydrant and valve shall be as described in the KYTC Standardized Water Bid Descriptions: Section 012213.10.

1.9 WATER SAFE LOADING ABANDONED WATER MAIN UNDER PAVEMENT

- A. Payment for water safe loading abandoned water main under pavement by means of pump/injecting grout into existing water main pipe to assure pipe is full shall be made at the contract unit price per cubic yard, which price shall include all labor, materials, equipment and services necessary for blocking (plugging or capping) the downstream portion of the abandoned pipe (in a manner acceptable to the Engineer), and in accordance with KDOH Section 708, of their standard specifications. This includes all excavation (including rock), backfilling (except flowable fill) and surface restoration (except pavement replacement).

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 012213



Steven L. Beshear
Governor

TRANSPORTATION CABINET
Frankfort, Kentucky 40622
www.transportation.ky.gov

Michael W. Hancock, P.E.
Secretary

DESIGN MEMORANDUM NO. 02-15
CONSTRUCTION MEMORANDUM NO. CM 15-04
MAINTENANCE MEMORANDUM NO. 02-15
UTILITIES MEMORANDUM NO. 01-15

TO: Chief District Engineers
Project Development Branch Managers
Design Engineers
Design Consultants
District Utility Supervisors

FROM: Steve Waddle, P.E. *SKW*
State Highway Engineer, Department of Highways

DATE: June 30, 2015

SUBJECT: Standardized Bid Codes
Utility Relocations Incorporated Into Highway Project Bid Package

The Kentucky Transportation Cabinet prefers that utility relocation be incorporated into our highway construction contracts. Staff and consultants, representing the Cabinet are hereby directed to encourage utility companies to include their relocation work with our construction projects.

When successful in utilizing this methodology, the project plans and proposal must include the utility relocation plans, utility company supplied specifications, utility company approved subcontractors (if applicable), and a listing of utility line items and accompanying bid codes. Policies and procedures for including the utility relocation in the road construction project plans are defined in the KYTC Utility and Rail Manual, Chapter UR-1700. Supplementary to this defined procedure are the attached standardized bid codes and descriptions. These codes have been allocated for utility work included in road project bids. This list of bid codes includes items associated with water, sewer, gas, communication, and electric facility installation.

Effective September 25, 2015, all KYTC projects that include utility relocation work shall use the bid codes as defined. Any bid items that do not readily belong to a code on the standard bid code lists attached should be addressed as follows:

- Validate that the bid item in question is not incidental to a standardized code.
- Validate that the bid item cannot be listed as a 'special' item as described in the attachment for special bid items.



In instances that a potential bid item is still not addressed, a new code may be requested from the Division of Construction Procurement.

The utility bid codes provided shall not be amended on a project by project basis but utility companies are encouraged to provide supplementary specifications that complement or otherwise enhance the KYTC Standard Specifications.

<http://transportation.ky.gov/Organizational-Resources/Policy%20Manuals%20Library/Utilities%20and%20Rails.pdf>

KYTC Standardized Water Bid Descriptions

W AIR RELEASE VALVE This bid item description shall apply to all air release valve installations of every size except those defined as "Special". This item shall include the air release valve, main to valve connecting line or piping, manhole, vault, structure, access casting or doors, tapping the main, labor, equipment, excavation, proper backfill and restoration required to install the air release valve at the location shown on the plans or as directed in accordance with the specifications and standard drawings complete and ready for use. All air release/vacuum valves on a project shall be paid under one bid item regardless of size. No separate pay items will be established for size variations. Only in the case of the uniqueness of a particular air release valve would a separate bid item be established. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid EACH (EA) when complete.

W CAP EXISTING MAIN This item shall include the specified cap, concrete blocking and/or mechanical anchoring, labor, equipment, excavation, backfill, and restoration required to install the cap at the location shown on the plans or as directed in accordance with the specifications. This item is not to be paid on new main installations. This pay item is only to be paid to cap existing mains. Caps on new mains are incidental to the new main. Any and all caps on existing mains shall be paid under one bid item included in the contract regardless of size. No separate bid items will be established for size variations. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid EACH (EA) when complete.

W DIRECTIONAL BORE Payment under this item is made whenever the plans or specifications specifically show directional boring is to be utilized in order to minimize the impact of open cut for the installation of water main under streets, creeks, etc. Payment under this item shall include the specified bore pipe, labor, and equipment. No separate payment shall be made for bore pipe installed in the bore whether used as a carrier pipe or an encasement of a separate carrier pipe. This item shall also include pipe anchors at each end of the bore when specified to prevent the creep or contraction of the bore pipe. Carrier pipe installed within a bore pipe shall be paid separately under pipe items. Payment under this item shall not be size specific and no separate bid items will be established for size variations. The bore pipe sizes to be included under this item shall be as shown on the plans and/or in the specifications. Any and all directional bores in each contract shall be paid under one directional bore bid item included in the contract regardless of size. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid LINEAR FEET (LF)

W ENCASEMENT CONCRETE Includes all labor, equipment, excavation, concrete, reinforcing steel, backfill, restoration, etc. to construct the concrete encasement of the water main as shown on the plans, and in accordance with the specifications and standard drawings. Payment under this item shall be in addition to the carrier pipe as paid under separate bid items. Carrier pipe is not included in this bid item. Any and all concrete encasement shall be paid under one bid item included in the contract regardless of the size of the carrier pipe or the volume of concrete or steel reinforcement as specified in the plans and specifications. No separate bid items will be established for size variations. Measurement of pay quantity shall be from end of concrete to end of concrete. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid LINEAR FEET (LF) when complete.

W ENCASUREMENT STEEL BORED This item shall include the steel encasement pipe size as specified on the plans and in the specifications, casing spacers, end seals, labor, and equipment to bore and install the encasement in accordance with the plans and specifications, complete and ready for use. The size shall be the measured internal diameter of the encasement pipe. The sizes of encasement to be paid under the size ranges specified in the bid items shall be as follows:

- Range 1 = All encasement sizes greater than 2 inches to and including 6 inches
- Range 2 = All encasement sizes greater than 6 inches to and including 10 inches
- Range 3 = All encasement sizes greater than 10 inches to and including 14 inches
- Range 4 = All encasement sizes greater than 14 inches to and including 18 inches
- Range 5 = All encasement sizes greater than 18 inches to and including 24 inches
- Range 6 = All encasement sizes greater than 24 inches

(Encasement sizes of 2 inches internal diameter or less shall not be paid separately; but, shall be considered incidental to the carrier pipe.) Payment under this bid item shall not include the carrier pipe. Carrier pipe shall be paid under a separate bid item. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid LINEAR FEET (LF)

W ENCASUREMENT STEEL OPEN CUT This item shall include the steel encasement pipe size as specified on the plans and in the specifications, casing spacers, end seals, labor, and equipment to open cut and install the encasement in accordance with the plans and specifications, complete and ready for use. The size shall be the measured internal diameter of the encasement pipe. The size encasement to be paid under the size ranges specified in the bid items shall be as follows:

- Range 1 = All encasement sizes greater than 2 inches to and including 6 inches
- Range 2 = All encasement sizes greater than 6 inches to and including 10 inches
- Range 3 = All encasement sizes greater than 10 inches to and including 14 inches
- Range 4 = All encasement sizes greater than 14 inches to and including 18 inches
- Range 5 = All encasement sizes greater than 18 inches to and including 24 inches
- Range 6 = All encasement sizes greater than 24 inches

(Encasement sizes of 2 inches internal diameter or less shall not be paid separately; but, shall be considered incidental to the carrier pipe.) Payment under this bid item shall not include the carrier pipe. Carrier pipe shall be paid under a separate bid item. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid LINEAR FEET (LF)

W FIRE HYDRANT ADJUST Includes all labor, equipment, excavation, materials, and backfill to adjust the existing fire hydrant using the fire hydrant manufacturer's extension kit for adjustments of 18" or less. Adjustments greater than 18" require anchoring couplings and vertical bends to adjust to grade. The Contractor will supply and install all anchor couplings, bends, fire hydrant extension, concrete blocking, restoration, granular drainage material, etc, needed to adjust the fire hydrant complete and ready for use as shown on the plans, and in accordance with the specifications and standard drawings. This also includes allowing for the utility owner inspector to inspect the existing fire hydrant prior to adjusting, contractor returning unusable fire hydrants to the utility owner warehouse and picking up a replacement hydrant. No additional payment will be made for rock excavation. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid EACH (EA) when complete and ready for use.

W FIRE HYDRANT ASSEMBLY Includes all labor, equipment, new fire hydrant, isolating valve and valve box, concrete pad around valve box (when specified in specifications or plans), piping, anchoring tee, anchoring couplings, fire hydrant extension, excavation, concrete blocking, granular drainage material, backfill, and restoration, to install a new fire hydrant assembly as indicated on plans and on standard drawings complete and ready for use. No additional payment will be made for rock excavation. –Please refer to the Utility Company’s Specifications. If the Company does not have specifications, KYTC’s Specifications shall be referenced. Paid EACH (EA) when complete.

W FIRE HYDRANT RELOCATE This item includes all labor and equipment to remove the existing fire hydrant from its existing location and reinstalling at a new location. This item shall include a new isolating valve and valve box, concrete pad around valve box (when required in specifications or plans), new piping, new anchoring tee, anchoring couplings, fire hydrant extensions, concrete blocking, restoration, granular drainage material, excavation, and backfill as indicated on plans, specifications, and on standard drawings complete and ready for use. This item shall also include allowing for utility owner inspector to inspect the existing fire hydrant prior to reuse, contractor returning unusable fire hydrants to the utility owner warehouse and picking up a replacement hydrant for use, if the existing fire hydrant is determined unfit for reuse. No additional payment will be made for rock excavation. Please refer to the Utility Company’s Specifications. If the Company does not have specifications, KYTC’s Specifications shall be referenced. Paid EACH (EA) when complete.

W FIRE HYDRANT REMOVE This bid item includes removal of an abandoned fire hydrant, isolating valve, and valve box to the satisfaction of the engineer. The removed fire hydrant, isolating valve and valve box shall become the property of the contractor for his disposal as salvage or scrap. Please refer to the Utility Company’s Specifications. If the Company does not have specifications, KYTC’s Specifications shall be referenced. Paid EACH (EA) when complete.

W FLUSH HYDRANT ASSEMBLY This item shall include the flushing hydrant assembly, service line, tapping the main, labor, equipment, excavation, backfill, and restoration required to install the flush hydrant at the location shown on the plans and in accordance with the specifications and standard drawings, complete and ready for use. Please refer to the Utility Company’s Specifications. If the Company does not have specifications, KYTC’s Specifications shall be referenced. Paid EACH (EA) when complete.

W FLUSHING ASSEMBLY This item shall include the flushing device assembly, service line, meter box and lid, tapping the main, labor, equipment, excavation, backfill, and restoration required to install the flushing device at the location shown on the plans and in accordance with the specifications and standard drawings, complete and ready for use. Please refer to the Utility Company’s Specifications. If the Company does not have specifications, KYTC’s Specifications shall be referenced. Paid EACH (EA) when complete.

W MAIN POINT RELOCATE This item is intended for payment for horizontal and/or vertical relocation of a short length of an existing main at the locations shown on the plans. This bid item is to be used to relocate an existing water main at point locations such as to clear a conflict at a proposed drainage structure, pipe or any other similar short relocation situation, and where the existing pipe material is to be reused. The contractor shall provide any additional pipe or fitting material needed to complete the work as shown on the plans and specifications. The materials provided shall be of the same type and specification as those that exist. Substitution of alternative materials shall be approved by the engineer in advance on a case by case basis. New polyethylene wrap is to be provided (if wrap exists or is specified in the specifications to be used). If it is necessary that the pipe be disassembled for relay, payment under this item shall

also include replacement of joint gaskets as needed. Bedding and backfill shall be provided and performed the same as with any other pipe installation as detailed in the plans and specifications. Payment under this item shall be for each location requiring an existing main to be relocated horizontally or vertically regardless of pipe size or relocation length. No separate pay items will be established for pipe size variations or relocation segment length variations. Water Main Relocate shall not be paid on a linear feet basis; but, shall be Paid EACH (EA) at each location when complete and placed in service. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced.

W METER This item is for payment for installation of all standard water meters of all sizes 2 inches ID or less as specified on the plans. This item shall include all labor, equipment, meter, meter box, casting, yoke, and any other associated material needed for installation of a functioning water meter in accordance with the plans and specifications, complete and ready for use. This item shall include connections to the new or existing water service line. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item paid EACH (EA) when complete.

W METER ADJUST This item includes all labor, equipment, excavation, materials, backfill, restoration, etc. to adjust the meter casting to finished grade (whatever size exists) at the location shown on the plans or as directed in accordance with the specifications and standard drawings complete and ready for use. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid EACH (EA) when complete.

W METER RELOCATE This item includes all labor, equipment, excavation, additional fittings, disinfection, testing, restoration, etc. to relocate the existing water meter (whatever size exists), meter yoke, meter box, casting, etc. from its old location to the location shown on the plans or as directed, in accordance with the specifications and standard drawings complete and ready for use. The required new service pipe (if required) will be paid under short side or long side service bid items. Any and all meter relocations of 2 inches or less shall be paid under one bid item included in the contract regardless of size. Each individual relocation shall be paid individually under this item; however, no separate bid items will be established for meter size variations of 2 inches ID or less. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid EACH (EA) when complete.

W METER VAULT SIZE RANGE 1 OR 2 This item is for payment for installation of an underground structure for housing of a larger water meter, fittings, and valves as required by the plans and specifications. This item shall include all labor, equipment, excavation, concrete, manhole castings or access doors, the specified meter(s) valve(s), all piping, and fitting materials associated with installing a functioning meter and vault in accordance with the plans, standard drawings, and specifications, complete and ready for use. The size shall be the measured internal diameter of the meter and piping to be installed. The size meter vault to be paid under size 1 or 2 shall be as follows:

Size Range 1 = All meter and piping sizes greater than 2 inches up to and including 6 inches

Size Range 2 = All meter and piping sizes greater than 6 inches This item shall be paid EACH (EA) when complete. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced.

W METER/FIRE SERVICE COMBO VAULT This item is for payment for installation of an underground structure for housing of a water meter and fire service piping, fittings, and valves as required by the plans and specifications. This item shall include all labor, equipment, excavation, concrete, manhole castings or access doors, the specified meter(s), valve(s), all piping, and fitting materials associated with installing a functioning meter and fire service vault in accordance with the plans and specifications, complete and ready for use. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid EACH (EA) when complete.

W PIPE This description shall apply to all PVC, ductile (dctl) iron, and polyethylene/plastic pipe bid items of every size and type to be used as water main, except those bid items defined as "Special". This item includes the pipe specified by the plans and specifications, all fittings (including, but not limited to, bends, tees, reducers, plugs, and caps), tracing wire with test boxes (if required by specification), polyethylene wrap (when specified), labor, equipment, excavation, bedding, restoration, testing, backfill, etc., required to install the specified new pipe and new fittings at the locations shown on the plans, or as directed, in accordance with the specifications and standard drawings complete and ready for use. No additional payment will be made for rock excavation. This bid item includes material and placement of flowable fill under existing and proposed pavement, and wherever else specified on the plans or in the specifications. This item shall also include pipe anchors, at each end of polyethylene pipe runs when specified to prevent the creep or contraction of the pipe. Measurement of quantities under this item shall be through fittings, encasements, and directional bores (only when a separate carrier pipe is specified within the directional bore pipe). Measurements shall be further defined to be to the center of tie-in where new pipe contacts existing pipe at the center of connecting fittings, to the outside face of vault or structure walls, or to the point of main termination at dead ends. No separate payment will be made under pipe items when the directional bore pipe is the carrier pipe. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid LINEAR FEET (LF)

W PLUG EXISTING MAIN This item shall include the specified plug, concrete blocking and/or anchoring, labor, equipment, excavation, backfill, and restoration required to install the plug at the location shown on the plans or as directed in accordance with the specifications. This item is not to be paid on new main installations. This pay item is to plug existing mains only. Plugs on new mains are incidental. Any and all plugs on all existing mains shall be paid under one bid item included in the contract regardless of size. No separate bid items will be established for size variations. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid EACH (EA) when complete.

W PUMP STATION This item is for payment for installation of pumps and an above or below ground structure for housing of the pumps. This item shall include all pumps, piping, fittings, valves, electrical components, building materials, concrete, any other appurtenances, labor, equipment, excavation, and backfill, to complete the pump station installation as required by the plans, standard drawings, and specifications, complete and ready for use. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid LUMP SUM (LS) when complete.

W REMOVE TRANSITE (AC) PIPE This item shall include all labor, equipment, and materials needed for removal and disposal of the pipe as hazardous material. All work shall be performed by trained and certified personnel in accordance with all environmental laws and regulations. Any and all transite AC pipe removed shall be paid under one bid item included in the contract regardless of size. No separate bid items will be established for size variations. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid LINEAR FEET (LF)

W SERVICE LONG SIDE This bid item description shall apply to all service line installations of every size bid up to and including 2 inch inside diameter, except those service bid items defined as "Special". This item includes the specified piping material, main tap, tapping saddle (if required), and corporation stop materials, coupling for connecting the new piping to the surviving existing piping, encasement of 2 inches or less internal diameter (if required by plan or specification), labor, equipment, excavation, backfill, testing, disinfection, and restoration, at the locations shown on the plans or as directed, in accordance with the specifications and standard drawings, complete and ready for use. This bid item is to pay for service installations where the ends of the service connection are on opposite sides of the public roadway and the service line crosses the centerline of the public roadway as shown on the plans. The length of the service line is not to be specified. Payment under this item shall not be restricted by a minimum or maximum length. The contractor shall draw his own conclusions as to the length of piping that may be needed. Payment under this item shall include boring, jacking, or excavating across the public roadway for placement. Placement of a service across a private residential or commercial entrance alone shall not be reason to make payment under this item. Private or commercial entrances shall not be considered a public roadway in defining payment under this item. This pay item does not include installation or relocation of meters. Meters will be paid separately. No additional payment will be made for rock excavation or for special bedding required in rock excavation. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid EACH (EA) when complete.

W SERVICE SHORT SIDE This bid item description shall apply to all service line installations of every size up to and including 2 inch internal diameter, except those service bid items defined as "Special". This item includes installation of the specified piping material of the size specified on plans, encasement of 2 inches or less internal diameter (if required by plan or specification), main tap, tapping saddle (if required), corporation stop, coupling for connecting the new piping to the surviving existing piping, labor, equipment, excavation, backfill, testing, disinfection, and restoration, at the locations shown on the plans or as directed, in accordance with the specifications and standard drawings, complete and ready for use. This bid item is to pay for service installations where both ends of the service connection are on the same side of the public roadway, or when an existing service crossing a public roadway will remain and is being extended, reconnected, or relocated with all work on one side of the public roadway centerline as shown on the plans. The length of the service line is not to be specified and shall not be restricted to any minimum or maximum length. Payment shall be made under this item even if the service crosses a private residential or commercial entrance; but, not a public roadway. Private or commercial entrances shall not be considered a public roadway in defining payment under this item. The contractor shall draw his own conclusions as to the length of piping that may be needed. This pay item does not include installation or relocation of meters. Meters will be paid separately. No additional payment will be made for rock excavation or for bedding required in rock excavation. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid EACH (EA) when complete.

W SERVICE RELOCATE This item is for the relocation of an existing water service line where a meter is not involved, and where an existing service line can easily be adjusted by excavating alongside and moving the line horizontally and/or vertically a short distance without cutting the service line to avoid conflicts with road construction. This item shall include excavation, labor, equipment, bedding, and backfill to relocate the line in accordance with the plans and specifications complete and ready for use. Payment under this item shall be for each location requiring relocation. Payment shall be made under this item regardless of service size or relocation length. No separate pay items will be established for size or length variation. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid EACH (EA) when complete.

W STRUCTURE ABANDONMENT This item is to be used to pay for abandonment of larger above or below ground water structures such as meter vaults, fire pits, pump stations, tanks, etc. Payment under this time shall not be limited to size or scope; however structures with connecting pipes of 2 inches or less shall not be paid under this item; but, shall be considered incidental to water construction. (i.e. abandonment of standard water meters up to and including 2 inches would not be paid under this item.) Payment under this item shall include all labor, equipment, and compacted fill or flowable fill for abandonment of the structure in place and restoration complete. No separate bid items will be established for size or structure variations. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid EACH (EA) when complete.

W STRUCTURE REMOVAL This item is to be used to pay for removal of larger above or below ground water structures such as meter vaults, fire pits, pump stations, tanks, etc. Payment under this time shall not be limited to size or scope; however structures with connecting pipes of 2 inches or less shall not be paid under this item; but, shall be considered incidental to water construction. (i.e. removal of standard water meters up to and including 2 inches would not be paid under this item.) Payment under this item shall include all labor, equipment, and compacted backfill for removal of the structure and restoration complete. No separate bid items will be established for size or structure variations. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid EACH (EA) when complete.

W TAPPING SLEEVE AND VALVE SIZE 1, OR 2 This item shall include the specified tapping sleeve, valve, valve box, concrete pad around valve box (when required in specifications or plans), labor, and equipment to install the specified tapping sleeve and valve, complete and ready for use in accordance with the plans and specifications. The size shall be the measured internal diameter of the live pipe to be tapped. The size tapping sleeve and valve to be paid under sizes 1 or 2 shall be as follows:

Size 1 = All live tapped main sizes up to and including 8 inches

Size 2 = All live tapped main sizes greater than 8 inches

Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid EACH (EA) when complete.

W TIE-IN This bid description shall be used for all main tie-in bid items of every size except those defined as "Special". This item includes all labor, equipment, excavation, fittings, sleeves, reducers, couplings, blocking, anchoring, restoration, disinfection, testing and backfill required to make the water main tie-in as shown on the plans, and in accordance with the specifications complete and ready for use. Pipe for tie-ins shall be paid under separate bid items. Paid EACH (EA) when complete.

W VALVE This description shall apply to all valves of every size required in the plans and specifications except those bid items defined as "Special". Payment under this description is to be for gate or butterfly valves being installed with new main. This item includes the valve as specified in the plans and specifications, polyethylene wrap (if required by specification), labor, equipment, excavation, anchoring (if any), valve box and valve stem extensions, backfill, concrete pad around valve box (if required by specification), restoration, testing, disinfection, etc. required to install the specified valve at the location shown on the plans in accordance with the specifications and standard drawings complete and ready for use. If required on plans and/or proposed adjoining DIP is restrained, valves shall be restrained. Valve restraint shall be considered incidental to the valve and adjoining pipe. This description does not apply to cut-in valves. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid EACH (EA) when complete.

W VALVE ANCHOR EXISTING This bid item is intended to pay for installation of restraint hardware on an existing valve where no restraint exists to hold the valve in place to facilitate tie-ins and other procedures where restraint is prudent. This work shall be performed in accordance with water specifications and plans. This bid item shall include all labor equipment, excavation, materials and backfill to complete restraint of the designated valve, regardless of size, at the location shown on the plans, complete and ready for use. Materials to be provided may include, but is not limited to, retainer glands, lugs, threaded rod, concrete, reinforcing steel or any other material needed to complete the restraint. Should the associated valve box require removal to complete the restraint, the contractor shall reinstall the existing valve box, the cost of which shall be considered incidental to this bid item. No separate bid items are being provided for size variations. All sizes shall be paid under one bid item. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid EACH (EA) when complete.

W VALVE BOX ADJUST Includes all labor, equipment, valve box and valve stem extensions (if required), excavation, backfill, concrete pad around valve box (when specified in specifications or plans), restoration, etc. to adjust the top of the box to finished grade complete and ready for use. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid EACH (EA) when complete.

W VALVE CUT-IN This bid description is for new cut-in valve installations of all sizes where installation is accomplished by cutting out a section of existing main. This item shall include cutting the existing pipe, supplying the specified valve, couplings or sleeves, valve box, concrete pad around valve box (when required in specifications or plans), labor, equipment, and materials to install the valve at the locations shown on the plans, or as directed by the engineer, complete and ready for use. Any pipe required for installation shall be cut from that pipe removed or supplied new by the contractor. No separate payment will be made for pipe required for cut-in valve installation. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid EACH (EA) when complete.

W VALVE VAULT This item is for payment for installation of an underground structure for housing of specific valve(s) as required by the plans and specifications. This item shall include all labor, equipment, excavation, concrete, manhole castings or doors, the specified valve(s), all piping, and fitting materials associated with installing a functioning valve vault in accordance with the plans, standard drawing, and specifications, complete and ready for use. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid EACH (EA) when complete.

KYTC Water Special Utility Bid Item Descriptions

W AIR RELEASE VALVE SPECIAL This item is to be used for those air release valve installations that are unique in type, scope, size, and/or feature and were an appropriate bid item is not otherwise established. The water main relocation designer shall provide the description for this item on a project by project basis. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid EACH (EA) when complete.

W ENCASEMENT SPECIAL This item is to be used for those encasement installations that are unique in scope and/or size and where an appropriate bid item is not otherwise established. The sanitary sewer relocation designer shall provide the description for this item on a project by project basis. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid Linear Feet (LF).

W PIPE DUCTILE IRON SPECIAL This item is to be used for those ductile iron pipe installations that are unique in scope and/or size and where an appropriate bid item is not otherwise established. The water main relocation designer shall provide the description for this item on a project by project basis. This item includes the pipe specified by the plans and specifications, all fittings (including, but not limited to, bends, tees, reducers, plugs, and caps), tracing wire with test boxes (if required by specification), polyethylene wrap (when specified), labor, equipment, excavation, bedding, restoration, testing, backfill, etc., required to install the specified new pipe and new fittings at the locations shown on the plans, or as directed, in accordance with the specifications and standard drawings complete and ready for use. No additional payment will be made for rock excavation. This bid item shall also include material and placement of flowable fill under existing and proposed pavement, and wherever else specified on the plans or in the specifications. Measurement of quantities under this item shall be through fittings, encasements, and directional bores (only when a separate carrier pipe is specified within the directional bore pipe). Measurements shall be further defined to be to the center of tie-in where new pipe contacts existing pipe at the center of connecting fittings, to the outside face of vault or structure walls, or to the point of main termination at dead ends. No separate payment will be made under pipe items when the directional bore pipe is the carrier pipe. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid LINEAR FEET (LF)

W PIPE POLYETHELENE/PLASTIC SPECIAL This item is to be used for those Polyethylene/Plastic pipe installations that are unique in scope and/or size and were an appropriate bid item is not otherwise established. The water main relocation designer shall provide the description for this item on a project by project basis. This item includes the pipe specified by the plans and specifications, all fittings (including, but not limited to, bends, tees, reducers, plugs, and caps), tracing wire with test boxes (if required by specification), polyethylene wrap (when specified), labor, equipment, excavation, bedding, restoration, testing, backfill, etc., required to install the specified new pipe and new fittings at the locations shown on the plans, or as directed, in accordance with the specifications and standard drawings complete and ready for use. No additional payment will be made for rock excavation. This bid item shall include material and placement of flowable fill under existing and proposed pavement, and wherever else specified on the plans or in the specifications. This item shall also include pipe

anchors, at each end of polyethylene pipe runs when specified to prevent the creep or contraction of the pipe. Measurement of quantities under this item shall be through fittings, encasements, and directional bores (only when a separate carrier pipe is specified within the directional bore pipe). Measurements shall be further defined to be to the center of tie-in where new pipe contacts existing pipe at the center of connecting fittings, to the outside face of vault or structure walls, or to the point of main termination at dead ends. No separate payment will be made under pipe items when the directional bore pipe is the carrier pipe. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid LINEAR FEET (LF).

W PIPE PVC SPECIAL *This item is to be used for those PVC pipe installations that are unique in scope and/or size and were an appropriate bid item is not otherwise established. The water main relocation designer shall provide the description for this item on a project by project basis.* This item includes the pipe specified by the plans and specifications, all fittings (including, but not limited to, bends, tees, reducers, plugs, and caps), tracing wire with test boxes (if required by specification), polyethylene wrap (when specified), labor, equipment, excavation, bedding, restoration, testing, backfill, etc., required to install the specified new pipe and new fittings at the locations shown on the plans, or as directed, in accordance with the specifications and standard drawings complete and ready for use. No additional payment will be made for rock excavation. This bid item shall also include material and placement of flowable fill under existing and proposed pavement, and wherever else specified on the plans or in the specifications. Measurement of quantities under this item shall be through fittings, encasements, and directional bores (only when a separate carrier pipe is specified within the directional bore pipe). Measurements shall be further defined to be to the center of tie-in where new pipe contacts existing pipe at the center of connecting fittings, to the outside face of vault or structure walls, or to the point of main termination at dead ends. No separate payment will be made under pipe items when the directional bore pipe is the carrier pipe. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid LINEAR FEET (LF)

W SERVICE SPECIAL *This item is to be used for those service line installations that are unique in scope and/or size and were an appropriate bid item is not otherwise established. The water main relocation designer shall provide the description for this item on a project by project basis.* Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid EACH (EA) when complete.

W TIE-IN SPECIAL *This item is to be used for those main tie-in installations that are unique in scope and/or size and were an appropriate bid item is not otherwise established. The water main relocation designer shall provide the description for this item on a project by project basis.* Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid EACH (EA) when complete.

W VALVE SPECIAL *This item is to be used for those valve installations that are unique in type of valve, scope, and/or size and were an appropriate bid item is not otherwise established. The water main relocation designer shall provide the description for this item on a project by project basis.* Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid EACH (EA) when complete.

W VAULT SPECIAL *This item is to be used for those underground vault installations that are unique in type, scope and/or size and were an appropriate bid item is not otherwise established. The water main relocation designer shall provide the description for this item on a project by project basis.* Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Paid EACH (EA) when complete.

SECTION 013323 - SHOP DRAWINGS, PRODUCT DATA, SAMPLES AND RFI'S

PART 1 - GENERAL

1.1 DESCRIPTION OF REQUIREMENTS

- A. General: This section specifies procedural requirements for non- administrative submittals including shop drawings, product data, samples (when samples are specifically requested) and other miscellaneous work-related submittals. Shop drawings, product data, samples and other work-related submittals are required to amplify, expand and coordinate the information contained in the Contract Documents.
- B. Refer to other Division-01 sections and other Contract Documents for Specifications on administrative, non-work-related submittals. Such submittals include, but are not limited to the following items:
 - 1. Permits.
 - 2. Payment applications.
 - 3. Performance and payment bonds.
 - 4. Insurance certificates.
 - 5. Inspection and test reports.
 - 6. Schedule of values.
 - 7. Progress reports.
 - 8. Listing of subcontractors.
 - 9. Operating and Maintenance Manuals
- C. Engineer prefers initial submittals be in electronic media along with one paper copy for review. Engineer utilizes Newforma software and will provide Contractor with the necessary links and instructions for submittal purposes. Upon completion of the review process, Contractor shall print two (2) copies of complete submittal, including transmittal cover page and stamp page, and deliver to Engineer.

If Contractor does not have capability to submit electronic submittals, then Contractor shall submit a request to Engineer for waiver. In the event a waiver is granted, paper submittals shall be provided as directed by the Engineer.
- D. Submittals shall be checked and reviewed by the Contractor and stamped with Contractor's review stamp before submission to the Engineer. The review of the submittals by the Engineer shall not be construed as a complete check but will indicate only that the general method of construction and detailing is satisfactory. Review of such submittals will not relieve the Contractor of the responsibility for any errors which may exist as the Contractor shall be responsible for the dimensions and design of adequate connections, details, and satisfactory construction of all work.
- E. All Requests for Information (RFI) to Engineer shall be submitted electronically via Engineer's Newforma software.

1.2 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and other Division-01 Specification sections, apply to work of this section.
- B. Section 017823 - Operating and Maintenance Manuals.

1.3 DEFINITIONS

- A. Shop drawings are technical drawings and data that have been specially prepared for this project, including but not limited to the following items:

- 1. Fabrication and installation drawings.
- 2. Setting diagrams.
- 3. Shopwork manufacturing instructions.
- 4. Templates.
- 5. Patterns.
- 6. Coordination drawings (for use on site).
- 7. Schedules.
- 8. Design mix formulas.
- 9. Contractor's engineering calculations.

Standard information prepared without specific reference to a project is not considered to be shop drawings.

- B. Product data includes standard printed information on manufactured products that has not been specially-prepared for this project, including but not limited to the following items:

- 1. Manufacturer's product specifications and installation instructions.
- 2. Standard color charts.
- 3. Catalog cuts.
- 4. Roughing-in diagram and templates.
- 5. Standard wiring diagrams.
- 6. Printed performance curves.
- 7. Operational range diagrams.
- 8. Mill reports.
- 9. Standard product operating and maintenance manuals.

- C. Samples, where specifically required, are physical examples of work, including but not limited to the following items:

- 1. Partial sections of manufactured or fabricated work.
- 2. Small cuts or containers of materials.
- 3. Complete units of repetitively-used materials.
- 4. Swatches showing color, texture and pattern.
- 5. Color range sets.
- 6. Units of work to be used for independent inspection and testing.

- D. Miscellaneous submittals are work-related, non-administrative submittals that do not fit in the three previous categories, including, but not limited to the following:

1. Specially-prepared and standard printed warranties.
2. Maintenance agreements.
3. Workmanship bonds.
4. Survey data and reports.
5. Testing and certification reports.
6. Record drawings.
7. Field measurement data.

1.4 SUBMITTAL PROCEDURES

- A. General: Refer to the General Conditions and Paragraph 1.1 hereinbefore for basic requirements for submittal handling.
- B. Coordination: Coordinate the preparation and processing of submittals with the performance of the work. Coordinate each separate submittal with other submittals and related activities such as testing, purchasing, fabrication, delivery and similar activities that require sequential activity.
 1. It is the Contractor's responsibility to make such field measurements as are needed to base submittals on actual field conditions to assure proper connection, fit, function and performance of all work and equipment in the execution of the contract work.
 2. Coordinate the submittal of different units of interrelated work so that one submittal will not be delayed by the Architect/Engineer's need to review a related submittal. The Architect/Engineer reserves the right to withhold action on any submittal requiring coordination with other submittals until related submittals are forthcoming.
- C. Coordination of Submittal Times: Prepare and transmit each submittal to the Architect/Engineer sufficiently in advance of the scheduled performance of related work and other applicable activities. Transmit different kinds of submittals for the same unit of work so that processing will not be delayed by the Architect/Engineer's need to review submittals concurrently for coordination.
- D. Review Time: Allow sufficient time so that the installation will not be delayed as a result of the time required to properly process submittals, including time for resubmittal, if necessary. Advise the Architect/Engineer on each submittal, as to whether processing time is critical to the progress of the work and if the work would be expedited if processing time could be shortened.
 1. Allow a longer time period where processing must be delayed for coordination with subsequent submittals. The Architect/Engineer will advise the Contractor promptly when it is determined that a submittal being processed must be delayed for coordination.
 2. No extension of time will be authorized because of the Contractor's failure to transmit submittals to the Architect/Engineer sufficiently in advance of the work.
- E. Submittal Preparation: Mark each submittal with a permanent label for identification. Provide the following information on the label for proper processing and recording of action taken.
 1. Project name.
 2. Date.
 3. Name and address of Architect/Engineer.
 4. Name and address of Contractor.

5. Name and address of subcontractor.
 6. Name and address of supplier.
 7. Name of manufacturer.
 8. Number and title of appropriate specification section.
 9. Drawing number and detail references, as appropriate.
 10. Similar definitive information as necessary.
- F. All submittals shall be referenced to the applicable item, section and division of the Specifications, and to the applicable drawing(s) or drawing schedule(s). Include only one item in a submittal.
- G. The Contractor shall review and check submittals, and shall indicate his review by initials and date. Any submittal received without this evidence of review shall be returned to the Contractor without review.
- H. If the submittals deviate from the Contract Drawings and/or Specifications, the Contractor shall advise the Engineer in writing of the deviation and the reasons therefore.
- I. Submittal Transmittal: Package each submittal appropriately for transmittal and handling. Transmit each submittal from the Contractor to the Architect/Engineer, and to other destinations as indicated, by use of a transmittal form. Submittals received from sources other than the Contractor will be returned to the sender "without action".
- J. Electronic Submittals: If the electronic method of submittals is agreed to by Contractor, Engineer, and Owner, the format and procedures will be determined and implemented prior to any submittals. Submittals will be processed through "Newforma" software. Each item of the submittal documents shall be in .pdf format and shall be oriented so that they are read from upper left corner to lower right corner, with no rotation of said document being required after receiving it. The .pdf file shall be named so that it describes the item being submitted. All other requirements herein are part of the electronic submittal process with the exception of the duplicate copies. Contractor stamp indicating review and any comments or notes must be on the .pdf submittal.

1.5 SPECIFIC SUBMITTAL REQUIREMENTS

- A. Shop drawings shall be prepared by a qualified detailer. Details shall be identified by reference to sheet and detail numbers shown on Contract Drawings. Where applicable, show fabrication, layout, setting and erection details.

Shop drawings are defined as original drawings prepared by the Contractor, subcontractors, suppliers, or distributors performing work under this Contract. Shop drawings illustrate some portion of the work and show fabrication, layout, setting or erection details of equipment, materials and components. The Contractor shall, except as otherwise noted, have prepared the number of reviewed copies required for his distribution plus four (4) which will be retained by the Engineer. Shop drawings shall be folded to an approximate size of 8-1/2" x 11" and in such manner that the title block will be located in the lower right-hand corner of the exposed surface.

- B. Project data shall include manufacturer's standard schematic drawings modified to delete information which is not applicable to the project, and shall be supplemented to provide additional information applicable to the project. Each copy of descriptive literature shall be

clearly marked to identify pertinent information as it applies to the project.

- C. Where samples are required, they shall be adequate to illustrate materials, equipment or workmanship, and to establish standards by which completed work is judged. Provide sufficient size and quantity to clearly illustrate functional characteristics of product and material, with integrally related parts and attachment devices, along with a full range of color samples.
- D. In the event the Engineer does not specifically reject the use of material or equipment at variance to that which is shown on the Drawings or specified, the Contractor shall, at no additional expense to the Owner, and using methods reviewed by the Engineer, make any changes to structures, piping, controls, electrical work, mechanical work, etc., that may be necessary to accommodate this equipment or material. Should equipment other than that on which design drawings are based be accepted by the Engineer, shop drawings shall be submitted detailing all modification work and equipment changes made necessary by the substituted item.
- E. Additional information on particular items, such as special drawings, schedules, calculations, performance curves, and material details, shall be provided when specifically requested in the technical Specifications.
- F. Submittals for all electrically operated items (including instrumentation and controls) shall include complete size, color coding, all terminations and connections, and coordination with related equipment.
- G. Equipment shop drawings shall indicate all factory or shop paint coatings applied by suppliers, manufacturers and fabricators; the Contractor shall be responsible for insuring the compatibility of such coatings with the field-applied paint products and systems.
- H. Fastener specifications of manufacturer shall be indicated on equipment shop drawings.
- I. Where manufacturers brand names are given in the Specifications for building and construction materials and products, such as grout, bonding compounds, curing compounds, masonry cleaners, waterproofing solutions and similar products, the Contractor shall submit names and descriptive literature of such materials and products he proposes to use in this Contract.
- J. No material shall be fabricated or shipped unless the applicable drawings or submittals have been reviewed by the Engineer and returned to the Contractor.
- K. All bulletins, brochures, instructions, parts lists, and warranties package with and accompanying materials and products delivered to and installed in the project shall be saved and transmitted to the Owner through the Engineer.

1.6 REVIEW STATUS

- A. Submittals will be returned, stamped with the following classifications: "Reviewed", "Furnish as Corrected", "Revise and Resubmit", "Rejected", or "Submit Specified Item".
- B. In some instances, corrections to dimensions or clarification notations will be required, in which case the drawings will be marked "Furnish as Corrected." These shop drawings will not be required to be resubmitted for further approval. If the supplier makes additional modifications

after receiving a "Furnish as Corrected" disposition, the drawings must then be resubmitted for review.

- C. If the shop drawing is returned with the notation "Revise and Resubmit", the Contractor shall promptly make the revisions indicated and repeat the submittal approval procedure.
- D. If the shop drawing is returned with the notation "Submit Specified Item", this indicates that the submittal does not meet the specification, will not be reviewed, and is unacceptable. Upon return of a drawing so marked, the Contractor shall repeat the initial approval procedure, submitting acceptable materials or equipment.
- E. The "Rejected" notation is used to indicate materials or equipment that are not acceptable and are not included in the project.

1.7 REMINDER OF CONTRACTOR RESPONSIBILITIES

- A. Verify field measurements, field construction criteria, catalog numbers, and similar data.
- B. Coordinate each submittal with requirements of work and of Contract Documents.
- C. Notify Engineer, in writing at time of submission, of deviations in submittals from requirements of Contract Documents.
- D. Begin no work, and have no material or products fabricated or shipped which requires submittals until return of submittals with Engineer's stamp and initials or signature indicating review.
- E. Upon review and close-out of a submittal, Contractor shall print two (2) copies of complete submittal, including transmittal cover page and stamp page, and deliver to Engineer.
- F. It is emphasized that the review of shop drawings by the Engineer is for general conformance to the Contract Drawings and Specifications, but subject to the detailed requirements of the Contract Drawings and Specifications. Although the Engineer may check submitted data in more or less detail, such checking is an effort to discover errors and omissions in the Contractor's drawings and to assist the Contractor in coordinating and expediting his work, but shall in no way relieve the Contractor of his obligation and responsibility to properly coordinate the work, and to Engineer the details of the work in such a manner, that the purpose and intent of the Contract will be achieved nor shall any such detailed checking by the Engineer be construed as placing on him or on the Owner, any responsibility for the accuracy, proper fit, functioning or performance of any phase of the work included in this Contract. The Contractor is responsible for confirmation and correlation of dimensions at the job site; for information that pertains solely to the fabrication processes or to the techniques of construction; for the coordination of the work of all trades; and for performance of his work in a safe and satisfactory manner.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 013323

SECTION 014500 - QUALITY CONTROL SERVICES – LINE PROJECT

PART 1 - GENERAL

1.1 DESCRIPTION OF REQUIREMENTS

- A. Required inspection and testing services are intended to assist in the determination of probable compliance of the Work with requirements specified or indicated. These required services do not relieve the Contractor of responsibility for compliance with these requirements or for compliance with requirements of the Contract Documents.
- B. Tests, inspections and certifications of materials, equipment, subcontractors or completed Work, as required by the various sections of the Specifications shall be obtained by the Contractor and all costs shall be included in the Contract Price.
- C. The Contractor shall submit to the Engineer the name of any testing laboratory to be used.
- D. Contractor shall deliver written notice to the Engineer at least 24 hours in advance of any inspections or tests to be made at the project site. All inspections or tests to be conducted at the field shall be done in the presence of the Engineer or his representative.
- E. Certifications by independent testing laboratories may be by copy of the attest and shall give scientific procedures and results of tests. Certifications by persons having interest in the matter shall be by original attest properly sworn to and notarized.
- F. Inspections, tests and related actions specified in this section and elsewhere in the Contract Documents are not intended to limit the Contractor's own quality control procedures which facilitate overall compliance with requirements of the Contract Documents.

1.2 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and other Division-01 Specification sections, apply to Work of this Section.

1.3 SUBMITTALS

- A. General: Refer to Section 01 33 23 for the general requirements on submittals. Submit a certified written report of each inspection, test or similar service, directly to the Architect/Engineer.
- B. Report Data: Written reports of each inspection, test or similar service shall include, but not be limited to the following:
 - 1. Name of testing agency or test laboratory.
 - 2. Dates and locations of samples and tests or inspections.
 - 3. Names of individuals making the inspection or test.
 - 4. Designation of the work and test method.

5. Complete inspection or test data.
6. Test results.
7. Interpretations of test results.
8. Notation of significant ambient conditions at the time of sample-taking and testing.
9. Comments or professional opinion as to whether inspected or tested work complies with requirements of the Contract Documents.
10. Recommendations on retesting, if applicable.

1.4 RESPONSIBILITIES

- A. Contractor Responsibilities: Except where they are specifically indicated as being the Owner's responsibility, or where they are to be provided by another identified entity, inspections, tests and similar quality control services are the Contractor's responsibility; these services also include those specified to be performed by an independent agency and not directly by the Contractor. Costs for these services shall be included in the Contract Sum. The Contractor shall employ and pay an independent agency, testing laboratory or other qualified firm to perform quality control services specified.
- B. Retest Responsibility: Where results of required inspections, tests or similar services prove unsatisfactory and do not indicate compliance of related Work with the requirements of the Contract Documents, then retests are the responsibility of the Contractor, regardless of whether the original test was the Contractor's responsibility. Retesting of work revised or replaced by the Contractor is the Contractor's responsibility, where required tests were performed on original Work.
- C. Responsibility for Associated Services: The Contractor is required to cooperate with the independent performing required inspections, tests and similar services. Provide such auxiliary services as are reasonably requested. Notify the testing agency sufficiently in advance of operations to permit assignment of personnel. These auxiliary services include but are not necessarily limited to the following:
 1. Providing access to the work.
 2. Taking samples or assistance with taking samples.
 3. Delivery of Samples to test laboratories.
 4. Delivery and protection of samples and test equipment at the project site.
- D. Coordination: The Contractor and each independent agency engaged to perform inspections, tests and similar services for the project shall coordinate the sequence of their activities so as to accommodate required services with a minimum of delay in the progress of the Work. In addition, the Contractor and each independent testing agency shall coordinate their Work so as to avoid the necessity of removing and replacing Work to accommodate inspections and tests. The Contractor is responsible for scheduling times for inspections, tests, taking of samples and similar activities.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION

3.1 REPAIR AND PROTECTION

- A. Upon completion of inspection, testing, sample taking and similar services performed on the Work, repair damaged work and restore substrates and finishes to eliminate deficiencies, including deficiencies in the visual qualities of exposed finishes. Comply with the Contract Document requirements for "Cutting and Patching". Protect Work exposed by or for quality control service activities, and protect repaired work. Repair and protection is the Contractor's responsibility, regardless of the assignment of responsibility for inspection, testing or similar services.

END OF SECTION 014500

SECTION 017700 - PROJECT CLOSEOUT

PART 1 - GENERAL

1.1 RELATED REQUIREMENTS SPECIFIED ELSEWHERE

- A. Liquidated Damages: Supplemental General Conditions
- B. Cleaning: Section 017400.
- C. Project Record Documents: Section 017839.

1.2 SUBSTANTIAL COMPLETION

- A. In order to initiate project closeout procedures, the Contractor shall submit the following:
 - 1. Written certification to Engineer that project is Substantially Complete.
 - 2. List of major items to be completed or corrected.
- B. Engineer will make an inspection within seven (7) days after receipt of certification, together with Owner's Representative.
- C. Should Engineer consider that work is Substantially Complete:
 - 1. Contractor shall prepare, and submit to Engineer, a list of items to be completed or corrected, as determined by the inspection.
 - 2. Engineer will prepare and issue a Certificate of Substantial Completion, containing:
 - a. Date of Substantial Completion.
 - b. Contractor's list of items to be completed or corrected, verified and amended by Engineer.
 - c. The time within which Contractor shall complete or correct work of listed items.
 - d. Time and date Owner will assume possession of work or designated portion thereof.
 - e. Responsibilities of Owner and Contractor for:
 - 1) Insurance
 - 2) Utilities
 - 3) Operation of Mechanical, Electrical, and Other Systems.
 - 4) Maintenance and Cleaning.
 - 5) Security.
 - f. Signatures of:
 - 1) Engineer
 - 2) Contractor
 - 3) Owner

3. Owner occupancy of Project or Designated Portion of Project:
 - a. Contractor shall:
 - 1) Obtain certificate of occupancy.
 - 2) Perform final cleaning in accordance with Section 017400.
 - b. Owner will occupy Project, under provisions stated in Certificates of Substantial Completion.
4. Contractor: Complete work listed for completion or correction, within designated time.

D. Should Engineer consider that work is not Substantially Complete:

1. He shall immediately notify Contractor, in writing, stating reasons.
2. Contractor: Complete work, and send second written Engineer, certifying that Project, or designated portion of Project is substantially complete.
3. Engineer will reinspect work.

E. Should Engineer consider that work is still not finally complete:

1. He shall notify Contractor, in writing, stating reasons.
2. Contractor shall take immediate steps to remedy the stated deficiencies, and send third written notice to the Engineer certifying that the work is complete.
3. Engineer and Owner will reinspect work at Contractor's expense.

1.3 FINAL INSPECTION

A. Contractor shall submit written certification that:

1. Contract Documents have been reviewed.
2. Project has been inspected for compliance with Contract Documents.
3. Work has been completed in accordance with Contract Documents.
4. Equipment and systems have been tested in presence of Owner's Representative and are operational.
5. Project is completed, and ready for final inspection.

B. Engineer will make final inspection within seven (7) days after receipt of certification.

C. Should Engineer consider that work is finally complete in accordance with requirements of Contract Documents, he shall request Contractor to make Project Closeout submittals.

D. Should Engineer consider that work is not finally complete:

1. He shall notify Contractor in writing, stating reasons.
2. Contractor shall take immediate steps to remedy the stated deficiencies, and send second written notice to Engineer certifying that work is complete.
3. Engineer will reinspect work.

1.4 CLOSEOUT SUBMITTALS

- A. Project Record Documents: To requirements of Section 017839.
- B. Guarantees, Warranties and Bonds: To requirements of particular technical Specifications and Section 017834.

1.5 INSTRUCTION

- A. Instruct Owner's personnel in operation of all systems, mechanical, electrical, and other equipment.

1.6 FINAL APPLICATION FOR PAYMENT

- A. Contractor shall submit final applications in accordance with requirements of General Conditions.

1.7 FINAL CERTIFICATE FOR PAYMENT

- A. Engineer will issue final certificate in accordance with provisions of general conditions.
- B. Should final completion be materially delayed through no fault of Contractor, Engineer may issue a Semi-Final Certificate for Payment.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 017700

SECTION 017823 – OPERATIONS AND MAINTENANCE MANUALS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Compile product data and related information appropriate for Owner's maintenance and operation of equipment furnished under the Contract. Prepare operating and maintenance data as specified.
- B. In addition to maintenance and operations data, the manufacturer's printed recommended installation practice shall also be included. If not part of the operations and maintenance manual, separate written installation instructions shall be provided, serving to assist the Contractor in equipment installation.
- C. Related requirements specified elsewhere:
 - 1. Shop Drawings, Product Data and Samples: 01 33 23.
 - 2. Project Closeout: Section 01 77 00.
 - 3. Project Record Documents: Section 01 78 39.
 - 4. Warranties and Bonds: Section 01 78 34.

1.2 QUALITY ASSURANCE

- A. Preparation of data shall be done by personnel:
 - 1. Trained and experienced in maintenance and operation of the described products.
 - 2. Completely familiar with requirements of this Section.
 - 3. Skilled as a technical writer to the extent required to communicate essential data.
 - 4. Skilled as a draftsman competent to prepare required drawings.

1.3 SUBMITTAL SCHEDULE

- A. Submit one (1) printed copy of operation and maintenance data for each item of equipment prior to 80% completion of the Contract. Binder is not required for Preliminary submittals. Digital submittal is **NOT** required for preliminary review.
- B. Upon approval of **ALL** O&M submittal items, the complete manual shall be assembled as described in the following sections.
- C. The complete, assembled Operation and Maintenance Manual shall be submitted ten (10) days prior to final inspection or acceptance to the Owner. Provide a total of two (2) hard copies and one (1) digital copy of the complete manual. Do not submit individual equipment items as final O&M manuals. The final submittal shall be the **COMPLETE** assembled manual, with a master table of contents included.

1.4 FORM OF SUBMITTALS

- A. Format: Preliminary submittals may be made of each individual item of equipment. The final O & M Manual shall be assembled by combining the individual equipment submittals in one or more 3-ring binder(s). Large equipment operating and maintenance instructions may be contained in their individual binder(s). Smaller O&M instructions shall be assembled in a binder, with the sections separated by a tabbed divider page, and a table of contents.
1. Size: 8-1/2 in. x 11 in.
 2. Paper: 20 pound minimum, white, for typed pages.
 3. Text: Manufacturer's printed data, or neatly typewritten.
 4. Photo copies must be clear and legible.
 5. Drawings:
 - a. Provide reinforced punched binder tab, bind in with text.
 - b. Fold large drawings to the size of the text pages where feasible.
 - c. For flow or piping diagrams that cannot be detailed on the standard size drawings, a larger, appropriate size drawing may be submitted and supplied in a properly marked map packet.
 6. Provide fly-leaf for each separate product, or each piece of operating equipment.
 - a. Provide typed description of product, and major component parts of equipment.
 - b. Provide indexed tabs.
 7. Cover: Identify each volume with types or printed title "OPERATING AND MAINTENANCE INSTRUCTIONS". List:
 - a. Title of Project.
 - b. Identity of separate structure as applicable.
 - c. Identity of general subject matter covered in the manual.
- C. Binders:
1. Commercial quality, durable and cleanable, 3-ring binders, with oil and moisture resistant hard covers.
 3. Imprinted on the front cover and side of each binder shall be the name of the treatment plant or project, the Contract Number and the title of equipment.
 4. Binders shall be new and not recycled from a prior data manual.

1.5 CONTENTS OF MANUAL

- A. Table of Contents: Each item shall be placed in a logical sequential order, according to the operating process of the facility as shown on the Hydraulic Profile in the Contract Drawings.
- B. Content, for each unit of equipment and system, as appropriate:
1. Process Description: Detailed description of the process and operation functions as applicable.

2. Component Instructions: Instructions for all components of the equipment whether manufactured by the supplier or not, including valves, controllers and other miscellaneous components.
3. Component Data: Description of unit and component parts.
 - a. Function, normal operating characteristics, and limiting conditions.
 - b. Performance curves, engineering data and tests.
 - c. Complete nomenclature and commercial number of all replaceable parts.
 - d. Exploded and/or sectional drawing views.
 - e. Piping diagrams numbered to correspond to the installation.
 - f. Equipment model number and serial number.
4. Control and Wiring Diagrams:
 - a. Internal and external wiring diagrams numbered to correspond to the installation.
 - b. Control circuit diagrams
 - c. One line diagrams
 - d. P&ID drawings
 - e. As-installed control diagrams by controls supplier.
5. Operating procedures:
 - a. Start-up, break-in, routine and normal operating instructions.
 - b. Regulation, control, stopping, shutdown and emergency instructions.
 - c. Summer and winter operating instructions.
 - d. Special operating instructions.
 - e. Description of sequence of operation by control supplier.
6. Maintenance Procedures:
 - a. Routine operations.
 - b. Guide to "trouble-shooting".
 - c. Disassembly, repair and reassembly.
 - d. Alignment, adjusting and checking.
 - e. Equipment parts list.
 - f. Original manufacturer's parts list, illustrations, assembly drawings and diagrams required for maintenance.
 - 1) Predicted life of parts subject to wear.
 - g. Local service center.
7. Lubrication and Service schedule.
 - a. Preventative maintenance schedule.
 - b. Component lubrication and servicing interval schedule.
 - c. List of lubricants and/or filters required.
 - d. Lubrication and servicing procedures.
8. Recommended spare parts list and quantities.

9. Guide to "trouble-shooting".
 10. Plant specific instructions:
 - a. Each Contractor's coordination drawings.
 - b. As-installed color coded piping diagrams.
 - c. Detailed specific "Sequence of Operation" for the constructed plant or project.
 - d. Charts of valve tag numbers, if appropriate, with the location and function of each valve.
 11. Plant specific start-up and shut-down procedures.
 12. Detailed instructions for emergency operation
 13. Other data as required under pertinent sections of Specifications.
- C. Content, for each electrical system, as appropriate:
1. Description of system and component parts.
 - a. Function, normal operating characteristics, and limiting conditions.
 - b. Performance curves, engineering data and tests.
 - c. Complete nomenclature and commercial number of replacement parts.
 2. Circuit directories of panel boards.
 - a. Electrical service.
 - b. Controls.
 - c. Communications.
 3. As-installed color-coded wiring diagrams.
 4. Operating procedures:
 - a. Routine and normal operating instructions.
 - b. Sequences required.
 - c. Special operating instructions.
 5. Maintenance procedures:
 - a. Routine operations.
 - b. Guide to "trouble-shooting".
 - c. Disassembly, repair and reassembly.
 - d. Adjustment and checking.
 6. Manufacturer's printed operating and maintenance instructions.
 7. List of original manufacturer's recommended spare parts, manufacturer's current prices, and recommended quantities to be maintained in storage.
- D. Prepare and include additional data when the need for such data becomes apparent during instruction of Owner's personnel.
- E. Additional requirements for operating and maintenance data: The respective section of Specifications.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 017823

5001	OPERATIONS AND MAINTENANCE MANUALS	1/7/2022
KYTC Item No. 05-483.30		017823-6

SECTION 017834 - WARRANTIES AND BONDS

PART 1 - GENERAL

1.1 DESCRIPTION OF REQUIREMENTS

- A. Compile specified warranties and bonds.
- B. Compile specified service and maintenance contracts.
- C. Co-execute submittals when so specified.
- D. Review submittals to verify compliance with Contract Documents.
- E. Submit to Engineer for review and transmittal to Owner. **Comply with provisions of Section 013323.**

1.2 RELATED DOCUMENTS

- A. Bid Bond: Instructions to Bidders.
- B. Performance and Payment Bonds: General Conditions and Supplemental General Conditions.
- C. Guaranty: General Conditions and Supplemental General Conditions.
- D. General Warranty of Construction: General Conditions.
- E. Project Closeout: Section 017700.
- F. Warranties and Bonds required for specific products: As listed in technical specifications in these Contract Documents herein.
- G. Provisions of Warranties and Bonds, Duration: Respective specification sections for particular products.

1.3 SUBMITTALS REQUIREMENTS

- A. Assemble warranties, bonds and service and maintenance contracts, executed by each of the respective manufacturers, suppliers and subcontractors.
- B. Furnish two (2) original signed copies.
- C. Table of Contents: Neatly typed, in orderly sequence. Provide complete information for each item.
 - 1. Product, equipment or work item.

2. Firm name, address and telephone number.
3. Scope
4. Date of beginning of warranty, bond or service and maintenance contract.
5. Duration of warranty, bond or service and maintenance contract.
6. Provide information for Owner's personnel:
 - a. Proper procedure in case of failure.
 - b. Instances which might affect the validity of warranty or bond.
7. Contractor name, address and telephone number.

1.4 FORM OF SUBMITTALS

- A. Prepare in duplicate packets.
- B. Format:
 1. Size 8-1/2 in. x 11 in., punch sheets for 3-ring binder.
 - a. Fold larger sheets to fit into binders.
 2. Cover: Identify each packet with typed or printed title "WARRANTIES AND BONDS."
List:
 - a. Title of Project
 - b. Name of Contractor
- C. Binders: Commercial quality, three-ring, with durable and cleanable plastic covers.

1.5 TIME OF SUBMITTALS

- A. For equipment or component parts of equipment put into service during progress of construction:
 1. Submit documents within 10 days after inspection and acceptance.
- B. Otherwise make submittals within 10 days after date of substantial completion, prior to final request for payment.
- C. For items of work, where acceptance is delayed materially beyond the Date of Substantial Completion, provide updated submittal within 10 days after acceptance, listing the date of acceptance as the start of the warranty period.

1.6 SUBMITTALS REQUIRED

- A. Submit warranties, bonds, service and maintenance contracts as specified in the respective sections of the Specifications.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 017834

SECTION 017839 - PROJECT RECORD DOCUMENTS - WATER

PART 1 - GENERAL

1.1 MAINTENANCE OF DOCUMENTS

- A. Maintain at job site, one copy of:
 - 1. Contract Drawings
 - 2. Specifications
 - 3. Addenda
 - 4. Reviewed Shop Drawings
 - 5. Change Orders
 - 6. Other Modifications to Contract
- B. Store documents in approved location, apart from documents used for construction.
- C. Provide files and racks for storage of documents.
- D. Maintain documents in clean, dry, legible condition.
- E. Do not use record documents for construction purposes.
- F. Make documents available at all times for inspection by Engineer and Owner.

1.2 RELATED WORK SPECIFIED ELSEWHERE

- A. Shop Drawings, Product Data, and Samples: Section 01 33 23.

1.3 MARKING DEVICES

- A. Provide colored pencil or felt-tip marking pen for all marking.

1.4 RECORDING

- A. Label each document "PROJECT RECORD" in 2-inch high printed letters.
- B. Keep record documents current.
- C. Do not permanently conceal any work until required information has been recorded.
- D. Contract Drawings: Legibly mark to record actual construction:
 - 1. Horizontal and vertical location of underground utilities and appurtenances referenced to permanent surface improvements.

2. Location of internal utilities and appurtenances concealed in construction referenced to visible and accessible features of structure.
3. Field changes of dimension and detail.
4. Changes made by Change Order or Field Order.
5. Details not on original Contract Drawings.

E. Specifications and Addenda: Legibly mark up each section to record:

1. Manufacturer, trade name, catalog number, and supplier of each product and item of equipment actually installed.
2. Changes made by Change Order or Field Order.
3. Other matters not originally specified.

F. Shop Drawings: Maintain as record documents; legibly annotate shop drawings to record changes made after review. Coordinate and confirm with Engineer that electronic versions of all shop drawings have been provided to Engineer.

1.5 SUBMITTALS

A. At completion of project, deliver record documents to Engineer.

B. Accompany submittal with transmittal letter, in duplicate, containing:

1. Date.
2. Project Title and Number.
3. Contractor's Name and Address.
4. Title and Number of each Record Document.
5. Certification that each Document as Submitted is Complete and Accurate.
6. Signature of Contractor, or His Authorized Representative.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 017839

DIVISION 02
EXISTING CONDITIONS

SECTION 024100 - DEMOLITION & SALVAGE

PART 1 - GENERAL

1.1 SCOPE OF WORK

- A. Provide all labor, materials, equipment and services required for demolition as shown on the Drawings and specified herein.

1.2 RELATED WORK SPECIFIED ELSEWHERE

- A. Earthwork: Section 31 20 00

1.3 PROCEDURE

- A. The procedures proposed for the accomplishment of salvage and demolition work shall be submitted for review. The procedures shall provide for safe conduct of the work, careful removal and disposition of materials specified to be salvaged, protection of property which is to remain undisturbed, coordination with other work in progress and timely disconnection of utility services. The procedures shall include a detailed description of the methods and equipment to be used for each operation, and the sequence of operations.
- B. It is the responsibility of the Contractor to visit the site to familiarize himself with the amount of Work that is included under this Section.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION

3.1 DUST CONTROL

- A. The amount of dust resulting from the demolition shall be controlled to prevent the spread of dust to occupied portions of the plant and to avoid creation of a nuisance in the surrounding area. Use of water will not be permitted when it will result in, or create, hazardous or objectionable conditions such as ice, flooding and pollution.

3.2 DISCONNECTION OF UTILITY SERVICES

- A. Utilities shall be disconnected at the points indicated by the Owner or Engineer and left in a safe condition.

3.3 BURNING

- A. The use of burning at the project site for the disposal of refuse and debris will not be permitted, unless authorized in writing by the Owner.

3.4 PROTECTION OF EXISTING WORK

- A. Existing work to remain shall be protected from damage. Work damaged by the Contractor shall be repaired to match existing work.

3.5 BACKFILL OF STRUCTURES

- A. All existing structures to be abandoned shall have equipment removed and walls demolished a minimum of two feet below finish grade. The portion of the demolished structures remaining below grade shall be backfilled with concrete, masonry, etc., from the demolition or any backfill material which is acceptable to the Engineer. The top two (2) feet of the backfill shall be made up of topsoil and graded to match the existing ground. It shall be free of any of the demolition material. The entire backfill shall be compacted in such a manner as to prevent settlement.
- B. All existing demolished basins shall have some method of positive drainage thru the bottom slab as approved by the Engineer.
- C. It is the responsibility of the Contractor to dispose of all excess demolition material from the site as soon as practicable.

3.6 SALVAGE MATERIAL

- A. All equipment, pumps, controls, valves, piping, etc., is the property of the Owner and care shall be taken in its removal so not to damage it in any way. Such salvage material shall be removed and delivered to the Owner to a site designated by him. The Owner has the right to refuse any salvage material, and in such cases it is the responsibility of the Contractor to dispose of the unwanted material.

END OF SECTION 024100

DIVISION 31
EARTHWORK

SECTION 312000 - EARTHWORK

PART 1 - GENERAL

1.1 SCOPE OF WORK

- A. Provide all materials, labor, equipment and services necessary to do all clearing and grubbing, excavation, backfilling, providing of additional fill material and topsoil, control of surface drainage and ground water, finished site grading and erosion control required to construct the work as shown.

1.2 RELATED WORK SPECIFIED ELSEWHERE

- A. State and local code requirements shall control the disposal of trees and shrubs.
- B. All burning shall be controlled by applicable local regulations.
- C. EXCAVATION SUPPORT AND PROTECTION – Section 31 50 00
- D. EROSION AND SEDIMENTATION CONTROL – Section 31 25 00

1.3 JOB CONDITIONS

- A. Weather: Earthwork operations shall be suspended at any time when satisfactory results cannot be obtained on account of rain, snow, ice, drought or other adverse weather conditions.
- B. Existing Utilities: Prior to commencement of work, the Contractor shall locate existing underground utilities in areas of the work. If utilities are to remain in place, provide adequate means of protection during earthwork operations.
- C. Use of Explosives: The Contractor (or any of his Subcontractors) shall not bring explosives onto site or use in work without prior written permission from the Owner. All activities involving explosives shall be in compliance with the rules and regulations of the State Department of Mines, and Minerals, Division of Explosives and Blasting. Contractor is solely responsible for handling, storage, and use of explosive materials when their use is permitted.
- D. Protection of Persons and Property:
 - 1. Barricade open excavations occurring as part of this work and post with warning lights.
 - a. Operate warning lights as recommended by authorities having jurisdiction.
 - b. Protect structures, utilities, sidewalks, pavements, and other facilities from damage caused by settlement, lateral movement, undermining, washout, and other hazards created by earthwork operations.

- E. Dust Control: Use all means necessary to control dust on or near the project site where such dust is caused by the Contractor's operations or directly results from conditions left by the Contractor.

1.4 UTILITY LINE ACTIVITIES COVERED UNDER NATIONWIDE PERMIT # 12

All activities involving utility line construction covered under NATIONWIDE PERMIT # 12 shall meet the following conditions:

- A. The general Water Quality Certification is limited to the crossing of intermittent and perennial streams by utility lines.
- B. The construction of permanent or temporary access roads will impact less than 300 linear feet of intermittent and perennial streams and less than one acre of jurisdictional wetlands.
- C. Utility lines shall be located at least 50 feet away from a stream which appears as a blue line on a USGA 7 ½ minute topographic map except where the utility line alignment crosses the stream. Utility lines that cross streams shall be constructed by methods that maintain normal stream flow and allow for a dry excavation. Water pumped from the excavation shall be contained and allowed to settle prior to re-entering the stream. Excavation equipment and vehicles shall operate outside of the flowing portion of the stream. Spoil material from the utility line excavation shall not be allowed to enter the flowing portion of the stream.
- D. The activities shall not result in any permanent changes in preconstruction elevation contours in waters or wetlands or stream dimension, pattern or profile.
- E. Utility line construction projects through jurisdictional wetlands shall not result in conversion of the area to non-wetland status.
- F. Measures shall be taken to prevent or control spills of fuels, lubricants, or other toxic materials used in construction from entering the watercourse.
- G. Removal of riparian vegetation in the utility line right-of-way shall be limited to that necessary for equipment access. Effective erosion and sedimentation control measures must be employed at all times during the project to prevent degradation of waters of the Commonwealth. Site regarding and reseeding will be accomplished with 14 days after disturbance.
- H. To the maximum extent practicable, all in stream work under this certification shall be performed during low flow.
- I. Heavy equipment, e.g. bulldozers, backhoes, draglines, etc., if required for this project, should not be used or operated within the stream channel. In those instances where such in stream work is unavoidable, then it shall be performed in such a manner and duration as to minimize turbidity and disturbance to substrates and bank or riparian vegetation.
- J. Any fill shall be of such composition that it will not adversely affect the biological, chemical, or physical properties of the receiving waters and/or cause violations of water quality standards. If riprap is utilized, it is to be of such weight and size that bank stress or slump conditions will not be created because of its placement.

- K. Removal of existing riparian vegetation should be restricted to the minimum necessary for project construction.
- L. Should evidence of stream pollution or jurisdictional wetland impairment and/or violations of water quality standards occur as a result of this activity (either from a spill or other forms of water pollution), the Kentucky Division of Water shall be notified immediately by calling 800/928-2380.

PART 2 - PRODUCTS

2.1 SOIL MATERIALS

A. Definitions:

1. Satisfactory soil materials are defined as those complying with ASTM D2487 soil classification groups GW, GP, GM, SM, SW, SP, GC, SC, ML, and CL.
2. Unsatisfactory soil materials are defined as those complying with ASTM D2487 soil classification groups MH, CH, OL, OH and PT. The Contractor shall notify the Engineer if these soil materials are encountered.
3. Subbase Material: Naturally or artificially graded mixture of natural or crushed gravel, crushed stone, crushed slag, natural or crushed sand.
4. Drainage Fill: Washed, evenly graded mixture of crushed stone, or uncrushed gravel, with 100 percent passing a 1/2 inch sieve and not more than 5 percent passing a No. 4 sieve.
5. Backfill and Fill Materials: Satisfactory soil materials free of debris, waste, frozen materials, vegetable, and other deleterious matter.

PART 3 - EXECUTION

3.1 CLEARING AND GRUBBING

- A. Work shall consist of cutting and removing designated trees, stumps, brush, logs, removal of fences, or other loose and projecting material. Unless otherwise specified, it shall also include the grubbing of stumps, roots, and other natural obstructions which, in the opinion of the Engineer, must be removed to execute properly the construction work and operate properly the facility upon the completion of construction.
- B. Trees, bushes, and all natural vegetation shall only be removed with the approval of the Engineer. No cleared or grubbed materials shall be used in backfills or embankment fills. All stumps, roots, and other objectionable material shall be grubbed up so that no roots larger than 3 inches in diameter remain less than 18 inches below the ground surface. All holes and depressions left by grubbing operations shall be filled with suitable material and compacted to grade, as recommended in Paragraph 3.06.
- C. Disposal shall be by burning or other methods satisfactory to the Engineer; however, burning will be permitted only when the Contractor has obtained written permission from the local regulatory agency.

- D. The Contractor shall also remove from the site and satisfactorily dispose of all miscellaneous rubbish including, but not limited to, masonry, scrap metal, rock, pavement, etc., that is under the fill or to be removed as shown on the Drawings, specified herein, or directed by the Engineer.
- E. Existing improvements, adjacent property, utility and other facilities, and trees, plants, and brush that are not to be removed shall be protected from injury or damage resulting from the Contractor's operations.
- F. Trees and shrubs, designated to remain or that are beyond the clearing and grubbing limit, which are injured or damaged during construction operations shall be treated or replaced at the Contractor's expense by experienced tree surgery personnel.

3.2 EROSION CONTROL

- A. Temporary measures shall be applied throughout the construction period to control and to minimize siltation to adjacent properties and waterways. Such measures shall include, but not be limited to, the use of berms, silt barriers, gravel or crushed stone, mulch, slope drains and other methods.
- B. These temporary measures shall be applied to erodible material exposed by any activity associated with the construction of this project.
- C. Refer to Section 31 25 00, Erosion and Sedimentation Control for requirements.

3.3 EXCAVATION

- A. Excavation of every description and of whatever substances encountered within the grading limits of the project shall be performed to the lines and grades indicated on the Drawings. All excavation shall be performed in the manner and sequence as required for the work.
- B. All excavated materials that meet the requirements for fill, subgrades or backfill shall be stockpiled within the site for use as fill or backfill, or for providing the final site grades. Where practicable, suitable excavated material shall be transported directly to any place in the fill areas within the limits of the work. All excavated materials that are not suitable for fill, and any surplus of excavated material that is not required for fill shall be disposed of by the Contractor.
- C. The site shall be kept free of surface water at all times. The Contractor shall install drainage ditches, dikes and shall perform all pumping and other work necessary to divert or remove rainfall and all other accumulations of surface water from the excavations. The diversion and removal of surface water shall be performed in a manner that will prevent flooding and/or damage to other locations within the construction area where it may be detrimental. The Contractor shall provide, install and operate sufficient trenches, sumps, pumps, hose piping, well points, deep wells, etc., necessary to depress and maintain the ground water level at least two (2) feet below the base of the excavation during all stages of construction operations. The ground water table shall be lowered in advance of excavation and maintained a minimum of two (2) feet below the lowest excavation subgrade made until the excavation is backfilled or the

structure has sufficient strength and weight to withstand horizontal and vertical soil and water pressures from natural ground water.

- D. Excavations for concrete structural slabs on grade shall extend two (2) feet below the indicated bottom of slabs. The over-excavation shall be backfilled with 18 inches, compacted thickness, of over lot fill material or suitable material as herein specified. The remaining six (6) inches of over-excavation shall be backfilled with porous fill material. The porous fill layer shall extend beyond the limits of the concrete slab a minimum of two (2) feet on all sides as indicated on the Drawings. The porous fill shall be crushed stone or gravel and shall have the following U.S. Standard Sieve gradation:

Sieve	1-1/2	1	3/4	1/2	3/8
% Passing	Min 100	95±5	58±17	Max 15	Max 5

- E. Excavations for the construction shall be carefully made to the depths required. Bottoms for footings and grade beams shall be level, clean and clear of loose material, the lower sections true to size. Bottoms of footings and grade beams, in all locations, shall be at a minimum depth of 30 inches below adjacent exterior finished grade or 30 inches below adjacent existing grade, whichever is lower, whether so indicated or not. Footings and grade beam bottoms shall be inspected by the Engineer before any concrete is placed thereon.
- F. In excavations for structures where, in the opinion of the Engineer, the ground is spongy or otherwise unsuitable for the contemplated foundation, the Contractor shall remove such unsuitable material and replace it with suitable material properly compacted.
- G. Sheet piling and shoring shall be provided as necessary for the protection of the work and for the safety of the personnel. The clearances and types of the temporary structures, insofar as they affect the character of the finished work, will be subject to the review of the Engineer, but the Contractor shall be responsible for the adequacy of all sheet piling, bracing and cofferdamming. All shoring, bracing and sheet piling shall be removed as the excavations are backfilled in a manner such as to prevent injurious caving; or, if so directed by the Engineer, shall be left in place. Sheet piling left in place shall be cut off 18 inches below the surface.
- H. Excavation for structures which have been carried below the depths indicated without specific instructions shall be refilled to the proper grade with suitable material properly compacted, except that in excavation for columns, walls or footings, the concrete footings shall extend to this lower depth. All work of this nature shall be at the Contractor's expense.

3.4 FILL

- A. All existing fill below structures and paved areas must be stripped. The upper six (6) inches of the natural subgrade below shall be scarified and recompact at optimum moisture to at least ninety-five percent (95%) of Standard Proctor Density ASTM D 698 (latest revision).
- B. All vegetation, such as roots, brush, heavy sods, heavy growth of grass and all decayed vegetable matter, rubbish and other unsuitable material within the area upon which fill is to be placed shall be stripped or otherwise removed before the fill is started. In no case will such objectionable material be allowed to remain in or under the fill area. Existing fill from excavated areas on site shall be used as fill for open and/or planted areas. Additional fill stockpiled at the site can be used for structural fill if approved by the Engineer. Any additional

material necessary for establishing the indicated grades shall be furnished by the Contractor and approved by the Engineer. All fill material shall be free from trash, roots and other organic material. The best material to be used in fills shall be reserved for backfilling pipe lines and for finishing and dressing the surface. Material larger than 3 inches maximum dimension shall not be permitted in the upper 6 inches of the fill area. Fill material shall be placed in successive layers and thoroughly tamped or rolled in a manner approved by the Engineer, each layer being moistened or dried such that the specified degree of compaction shall be obtained. No fill shall be placed or compacted in a frozen condition or on top of frozen material. No fill material shall be placed when free water is standing on the surface of the area where the fill is to be placed and no compaction of fill will be permitted with free water on any point of the surface of the fill to be compacted.

- C. Where concrete slabs are placed on earth, all loam and organic or other unsuitable material shall be removed. Where fill is required to raise the subgrade for concrete slabs to the elevations as indicated on the Drawings or as required by the Engineer, such fill shall consist of suitable material and shall be placed in layers. Each layer shall be moistened or dried such that the specified degree of compaction shall be obtained. All compaction shall be accomplished in a manner and with equipment as approved by the Engineer. When the subgrade is part fill and part excavation or natural ground, the excavated or natural ground portion shall be scarified to a depth of 12 inches and compacted as specified for adjacent fill.

3.5 BACKFILLING

- A. After completion of footings, grade beams and other construction below the elevation of the final grades and prior to backfilling, all forms shall be removed and the excavation shall be cleaned of all trash and debris. Material for backfilling shall be as specified for suitable material, placed and compacted as specified hereinafter. Backfill shall be placed in horizontal layers of the thickness specified and shall have a moisture content such that the required degree of compaction is obtained. Each layer shall be compacted by mechanical tampers or by other suitable equipment approved by the Engineer to the specified density. Special care shall be taken to prevent wedging action or eccentric loading upon or against the structure. Trucks and machinery used for grading shall not be allowed within 45 degrees above the bottom of the footings or grade beams.
- B. The trenches shall be backfilled following visual inspection by the Engineer and prior to pressure testing. The trenches shall be carefully backfilled with the excavated materials approved for backfilling, or other suitable materials, free from large clods of earth or stones. Each layer shall be compacted to a density at least equal to that of the surrounding earth and in such a manner as to permit the rolling and compaction of the filled trench with the adjoining earth to provide the required bearing value, so that paving, if required, can proceed immediately after backfilling is completed.

3.6 COMPACTION

- A. Suitable material as hereinbefore specified shall be placed in maximum 8" horizontal layers. Compaction shall be performed by rolling with approved tamping rollers, pneumatic-tired rollers, three wheel power rollers or other approved equipment. The degree of compaction required is expressed as a percentage of the maximum dry density obtained by the test procedure presented in ASTM D-698. Laboratory moisture density tests shall be performed on

all fill material. Material shall be moistened or aerated as necessary to provide the moisture content that will readily facilitate obtaining the specified compaction. Compaction requirements shall be as specified below:

Fill Utilized For	Required Density (%)	Maximum Permissible Lift Thickness As Compacted, Inches
Backfill & Utility Trenches Under Foundations & Pavements	95-100	8
Backfill Around Structures	95-100	8
Field and Utility Trench Backfill Under Sidewalks and Open Areas	90-100	8

- B. Field density tests shall be performed in sufficient number to insure that the specified density is being obtained. Tests shall be in accordance with ASTM Standards D 1556 or D 2922/D 3017 and shall be performed as authorized by the Engineer. Payment for field density tests shall be by the Contractor. Contractor shall provide suitable notification for coordination of testing. Delays due to the lack of adequate advance notification shall be the responsibility of the Contractor.

3.7 SITE GRADING

- A. Where indicated or directed, topsoil shall be removed without contamination with subsoil and spread on areas already graded and prepared for topsoil, or transported and stockpiled convenient to areas for later application, or at locations specified. Topsoil shall be stripped to full depth and, when stored, shall be kept separate from other excavated materials and piled free of roots, stones, and other undesirable materials.
- B. Following stripping, fill areas shall be scarified to a minimum depth of six (6) inches to provide bond between existing ground and the fill material. Material should be placed in successive horizontal layers not exceeding twelve (12) inches uncompacted thickness. In general, layers shall be placed approximately parallel to the finished grade line.
- C. In general and unless otherwise specified, the Contractor may use any type of earth moving equipment he has at his disposal, provided such equipment is in satisfactory condition and of such type and capacity that the work may be accomplished properly and the grading schedule maintained. During construction, the Contractor shall route equipment at all times, both when loaded and empty, over the layers as they are placed, and shall distribute the travel evenly over the entire area.
- D. The material in the layers shall be of the proper moisture content before rolling or tamping to obtain the prescribed compaction. Wetting or drying throughout the layer shall be required. Should the material be too wet to permit proper compaction or rolling, all work on the fill thus affected shall be delayed until the material has dried to the required moisture content. If the material is too dry, it shall be sprinkled with water and manipulated to obtain the uniform moisture content required throughout a layer before it is compacted.

- E. Each layer of the fill shall be compacted by rolling or tamping to the standard specified in Paragraph 3.06 and not less than 90% maximum density at optimum moisture content as determined by field density tests made by the Standard Proctor method. In general and unless otherwise specified, the Contractor may use any type of compaction equipment such as sheepsfoot rollers, pneumatic rollers, smooth rollers and other such equipment he has at his disposal, provided such equipment is in satisfactory condition and is of such design, type, size, weight, and quantity to obtain the required density in the embankment. If at any time the required density is not being obtained with the equipment then in use by the Contractor, the Engineer may require that different and/or additional compaction equipment be obtained and placed in use at once to obtain the required compaction.
- F. The Contractor shall be responsible for the stability of all embankments and shall replace any portion which, in the opinion of the Engineer, has become displaced due to carelessness or negligence on the part of the Contractor.

3.8 TOPSOIL

- A. Provide all labor, materials, equipment and services required for furnishing and placing topsoil. Samples of topsoil shall be submitted to the Engineer for review before topsoil is placed. The material shall be good quality loam and shall be fertile, friable, mellow; free from stones larger than one (1) inch, excessive gravel, junk metal, glass, wood, plastic articles, roots and shall have a liberal amount of organic matter. Light sand loam or heavy clay loam will not be acceptable.
- B. The topsoil shall be 3 inches thick in all areas to be seeded. No topsoil shall be placed until the area to be covered is excavated or filled to the required grade. Imported backfill material will be stockpiled on site for structure backfilling and top soiling.

END OF SECTION 312000

SECTION 312319 - DEWATERING

PART 1 - GENERAL

1.1 SCOPE OF WORK

- A. Furnish all labor and equipment required to dewater all excavations.
- B. Dewatering of all excavations shall be the responsibility of the Contractor, and no additional compensation will be allowed for same unless specifically included as a bid item.

1.2 RELATED WORK SPECIFIED ELSEWHERE

- A. Earthwork is included in Section 31 20 00.
- B. Erosion and Sedimentation Control is included in Section 31 25 00.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION

3.1 GENERAL

- A. Dewatering equipment shall be of adequate size and quantity to assure maintaining proper conditions for installing pipe, concrete, backfill or other material or structure in the excavation.
- B. Dewatering shall include proper removal of any and all liquid, regardless of its source, from the excavation and the use of all practical means available to prevent surface runoff from entering any excavation.
- C. The site shall be kept free of surface water at all times. The Contractor shall install drainage ditches, dikes and shall perform all pumping and other work necessary to divert or remove rainfall and all other accumulations of surface water from the excavations. The diversion and removal of surface water shall be performed in a manner that will prevent flooding and/or damage to other locations within the construction area where it may be detrimental. The Contractor shall provide, install and operate sufficient trenches, sumps, pumps, hose piping, well points, deep wells, etc., necessary to depress and maintain the ground water level at least two (2) feet below the base of the excavation during all stages of construction operations. The ground water table shall be lowered in advance of excavation and maintained a minimum of two (2) feet below the lowest excavation subgrade made until the structure has sufficient strength and weight to withstand horizontal and vertical soil and water pressures from natural ground water.
- D. Dewatering operations should not discharge into the sanitary sewer system, or into any ditch, pipe or other conveyance that leads to a regulated water body, except as authorized by a KPDES permit.

END OF SECTION 312319

SECTION 312500 - EROSION AND SEDIMENTATION CONTROL (Areas Less Than One Acre)

PART 1 - GENERAL

1.1 SCOPE OF WORK

- A. Furnish all labor, materials, and equipment required for erecting, maintaining and removing temporary erosion and sedimentation controls as shown on the Drawings and as specified herein and as recommended by state and local regulatory agencies.
- B. Temporary erosion controls include, but are not limited to grassing, mulching, seeding, providing erosion control and turf reinforcement mats on all disturbed surfaces including waste area surfaces and stockpile and borrow area surfaces; scheduling work to minimize erosion and providing interceptor ditches at those locations which will ensure that erosion during construction will be either eliminated or maintained within acceptable limits.
- C. Temporary sedimentation controls include, but are not limited to, silt dams, traps, barriers, and appurtenances on sloped surfaces which will ensure that sedimentation pollution will be either eliminated or maintained within acceptable limits.
- D. Contractor is responsible for providing and maintaining effective temporary erosion and sediment control measures prior to and during construction or until final controls become effective.
- E. The Contractor shall be responsible for placement of erosion and sedimentation controls. Prior to construction, the Contractor shall develop an erosion control plan and submit to the Engineer for review. Prior to excavation, fill or grade work, the Contractor shall place controls in locations required by the erosion control plan. If during the course of construction, the Engineer determines additional controls are required, the Contractor shall furnish, install and maintain additional mulching, blankets and/or sediment barriers to control erosion and sedimentation to the satisfaction of the Engineer.
- F. The Contractor shall notify the appropriate state agency before beginning construction, and shall implement erosion control measures as may be required by state and federal agencies. If disturbed area is greater than one acre, Contractor shall submit a signed Notice of Intent form to the Division of Water at least 48 hours prior to beginning of construction activity.
- G. The Contractor shall inspect and repair all erosion and sedimentation controls every seven (7) days and after each rainfall of 0.5 inch or greater.
- H. Bare soil areas must be seeded, mulched, or covered after 14 days if no work will be done in the area within the next 7 days.

1.2 RELATED WORK

- A. Dewatering is included in this Division, Section 31 23 19.
- B. Final erosion protection measures where required are included in this Section.

C. Utility Line Stream Crossings – Division 2

PART 2 – PRODUCTS

2.1 SEED

A. The seed mixture to be sown shall be in the following proportions:

Common Name	Proportion By Weight	% of Purity	% of Germination
Fine Lawn Fescue	40	90	85
Chewings Fescue	25	90	85
Italian Rye Grass	20	90	85
Red Top	10	90	85
White Clover	5	95	90

B. All seed shall be fresh and clean and shall be delivered mixed, in unopened packages, bearing a guaranteed analysis of the seed mixture.

2.2 FERTILIZER

- A. Just prior to the planting of turf, evenly broadcast 15 pounds per thousand square feet of fertilizer, 10-10-10 (nitrogen, phosphorus, potassium). Disc or harrow fertilizer 2 to 4 inches into the soil.
- B. Fertilizer shall be delivered to the site in the original unopened container bearing the manufacturer’s guarantee analysis. Any fertilizer that becomes caked or damaged making it unsuitable for use, will not be accepted.

2.3 SOD

- A. Sod shall be at least 70% Bluegrass, strongly rooted and free of weeds.
- B. It shall be mowed to a height not to exceed 3” before lifting, and shall be of uniform thickness with not over 1-1/2” of soil.

2.4 MULCH

- A. Mulch for seeded areas shall be Conwed Hydro Mulch, Silva-Fiber, or equal. It shall be suitable for use in a water slurry or for application with hydraulic equipment.
- B. Clean straw is acceptable as mulch. It shall be spread at the rate of one (1) bale per 1,000 feet (approximately 2” loose depth).
- C. Mulch on slopes at or greater than 3:1 shall be held in place with turf reinforcement mat.

- D. Mulch on areas subject to surface water run-off or in drainage ditches shall be held in place with turf reinforcement mat.

2.5 EROSION CONTROL BLANKETS

- A. Erosion Control Blanket shall be made up of biodegradable and/or photodegradable products such as jute, wood fiber, coconut fiber, straw and degradable plastic netting. They shall degrade at a rate of approximately 6 months to 24 months.
- B. Erosion Control Blanket shall be installed on slopes less than 3:1.

2.6 TURF REINFORCEMENT MAT

- A. Where indicated on the Contract Drawings or as described in the Specifications, in all ditches and drainage channels and on all slopes equal to or greater than 3:1, Turf Reinforcement Mat shall be installed for long-term erosion control.
- B. Turf Reinforcement Mat shall consist of top and bottom heavy weight netting and biodegradable matrix such as coconut fiber or aspen curled wood excelsior, as manufactured by Western Excelsior Excel PP5-8 Turf Reinforcement Mat or equal. Product shall degrade at a minimum rate of 36 months.
- C. Where slope and hydraulic conditions are severe, a synthetic matrix may be used, based on manufacturer's recommendations.

2.7 SILT FENCE

- A. Temporary Silt Fence shall consist of woven geotextile fabric attached to 2" X 2" X 48" tall hardwood stakes.
 - 1. Exposed Fabric shall be 36" and a minimum of 4" shall be buried in trench as shown on the Detail Drawings.
 - 2. Stakes shall be at 6' centers unless stated otherwise on Contract Documents.
- B. Temporary Reinforced Silt Fence
 - 1. For areas of steep slopes and high flows, where indicated on the Contract Drawings, or as directed by state or local regulations, Reinforced Silt Fence shall be installed.
 - 2. Fabric shall be woven monofilament geotextile attached to 11 gauge steel fencing of 2" X 4" grid.
 - 3. Stakes shall be 5' tall steel and shall be installed on 4' centers.
 - 4. Fabric and fencing shall be buried in trench as shown on the Detail Drawings.
- C. Spacing of Silt Fences on slopes shall be according to the following table, or as directed by state or local regulatory agencies:

Slope Angle	Soil Type		
	Silty	Clays	Sandy
Very Steep (1:1)	50 ft.	75 ft.	100 ft.
Steep (2:1)	75 ft.	100 ft.	125 ft.
Moderate (4:1)	100 ft.	125 ft.	150 ft.
Slight (10:1)	125 ft.	150 ft.	200 ft.

- D. If runoff flows along the uphill side of the silt fence, Contractor shall install “J-hooks” every 40 to 80 feet. These are curved sections of silt fence above the continuous fence that serve as small dams to stop and hold the flow to allow sediment to settle.

2.8 FIBER ROLLS

- A. On long slopes less than 10:1, and where indicated on the Contract Drawings or recommended by the regulatory agency, Fiber Rolls shall be installed.
- B. Fiber Rolls shall be made of wood shavings, coconut fiber or other similar material encased in heavy duty netting.
- C. Wooden stakes at 4’-0” on center shall be used to anchor the Fiber Rolls along the contours of the slope.

2.9 AGGREGATE SILT CHECKS

- A. Where needed to slow flow velocity, to cause ponding or to protect storm water inlet structures, Aggregate Silt Checks shall be installed.
- B. Aggregate Silt Checks shall consist of rock of various sizes ranging from 2” to 6” contained in or placed on geotextile filter fabric. Pea-stone or gravel-filled bags are acceptable for temporary silt checks in low-flow conditions.

2.10 RIP RAP

- A. Rip Rap shall be installed at the outlets of storm drains and on channel banks as noted on the Contract Drawings and/or recommended by state and local regulatory agencies.
- B. Rip Rap shall have no less than 80%, by volume, of individual stones that range in size from 0.0247 to 1.483 cubic feet.

2.11 CONSTRUCTION ENTRANCE PAD

- A. Contractor shall construct entrance pads at all locations where vehicles will enter or exit the site.
- B. Pad shall be a minimum of 20 feet wide, 50 feet long and 6” thick, and consist of No. 2 stone laid on top of filter fabric.

PART 3 - EXECUTION

3.1 GENERAL

- A. Erosion and sediment control practices shall be consistent with the requirements of the state and local regulatory agencies and in any case shall be adequate to prevent erosion of disturbed and/or regraded areas.
- B. Contractor is responsible for notifying the state regulatory agency concerning inclusion under the NPDES General Permit for Storm Water Discharges From Construction Activities.
- C. Gravity sewer lines and force mains that cross streams shall be constructed by methods that maintain normal stream flow and allow for a dry exaction. Water pumped from the excavation shall be contained and allowed to settle prior to reentering the stream. Excavation equipment and vehicles shall operate outside of the flowing portion of the stream. Spoil material from the sewer line excavation shall not be allowed to enter the flowing portion of the stream. The provisions of this condition shall apply to all types of utility line stream crossings.
- D. Removal of riparian vegetation in the utility line right-of-way shall be limited to that necessary for equipment access. Effective erosion and sedimentation control measures must be employed at all times during the project to prevent degradation of waters of the Commonwealth. Site regrading and reseeding will be accomplished within 14 days after disturbance.

3.2 SEEDING

- A. The areas to be seeded shall be thoroughly tilled to a depth of at least 4" by discing, harrowing, or other approved methods until the condition of the soil is acceptable to the Engineer. After harrowing or discing, the seed bed shall be dragged and/or hand raked to finish grade.
- B. The incorporation of the fertilizer and the agricultural lime may be a part of the tillage operation and shall be applied no less than 24 hours nor more than 48 hours before the seed is to be sown.
- C. Seed shall be broadcast either by hand or approved sowing equipment at the rate of ninety (90) pounds per acre (two pounds per 1,000 square feet), uniformly distributed over the area. Broadcasting seeding during high winds will not be permitted. The seed shall be drilled or raked into a depth of approximately 2 inch and the seeded areas shall be lightly raked to cover the seed and rolled. Drilling seeding shall be done with approved equipment with drills not more than 3 inches apart. All ridges shall be smoothed out, and all furrows and wheel tracks likely to develop into washes, shall be removed.
- D. After the seed has been sown, the areas so seeded shall be mulched with clean straw at the rate of one (1) bale per 1,000 feet (approximately 2 inch loose depth). Mulch on slopes and in all ditches and drainage channels shall be held in place with erosion control blankets.
- E. Areas seeded shall be watered and protected until a uniform stand develops, and then inspected periodically and maintained appropriately. Displaced mulch shall be replaced or any damage to the seeded area shall be repaired promptly, both in a manner to cause minimum disturbance to the existing stand of grass. If necessary to obtain a uniform stand, the Contractor shall

refertilize, reseed and remulch as needed. Scattered bare spots up to one (1) square yard in size will be allowed up to a maximum of 10 percent of any area.

- F. Payment for seeding and mulching shall be included in the Contractor's bid.

3.3 SOD

- A. To install, bring soil to final grade and clear of trash, wood, rock, and other debris. Apply topsoil, fertilizer at approximately 1000 lbs per acre.
- B. Use sod within 36 hours of cutting. Lay sod in straight lines. Butt joints tightly, but do not overlap joints or stretch sod. Stagger joints in adjacent rows in a brickwork type pattern. Use torn or uneven pieces on the end of the row.
- C. Notch into existing grass. Anchor sod with pins or stakes if placed on slopes greater than 3:1. Roll or tamp sod after installation and water immediately. Soak to a depth of 4 to 6 inches. Replace sod that grows poorly. Do not cut or lay sod in extremely wet or cold weather. Do not mow regularly until sod is well established.

3.4 INSTALLATION OF EROSION AND SEDIMENT CONTROL DEVICES

- A. All erosion and sediment control products and materials shall be installed per manufacturer's recommendations and in accordance with the Kentucky Erosion Prevention and Sediment Control Field Guide.
- B. Contractor shall pay special attention to the trenching-in of the bottoms of silt fence, the staking of sediment barriers, and the stapling of erosion control blankets.

3.5 MAINTENANCE OF EROSION AND SEDIMENT CONTROL DEVICES

- A. Erosion and sedimentation controls shall be inspected weekly and after rain events of 0.5 inch or greater. Replace silt fencing as needed, filter stone which is dislodged, erosion control blanket which is damaged, and make other necessary repairs.
- B. Remove sediment from fences and barriers when it accumulates to half the height of the barrier, or more often as needed.

3.6 CLEAN UP

- A. Upon completion of the project and/or establishment of satisfactory turf, vegetation or permanent erosion control structures, Contractor shall remove all temporary devices and properly dispose of such.

END OF SECTION 312500

SECTION 315000 - EXCAVATION SUPPORT AND PROTECTION

PART 1 - GENERAL

1.1 SCOPE OF WORK

- A. This Section includes, but is not limited to, the following:
 - 1. Shoring and bracing necessary to protect existing buildings, streets, walkways, utilities, and other improvements and excavation against loss of ground or caving embankments.
 - 2. Maintenance of shoring and bracing.
 - 3. Removal of shoring and bracing, as required.
- B. Types of shoring and bracing systems include, but are not limited to, the following:
 - 1. Steel H-section (soldier) piles.
 - 2. Timber lagging.
 - 3. Steel sheet piles.
 - 4. Portable Steel Trench Box.
- C. Building excavation is specified in another Section.

1.2 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.3 SUBMITTALS

- A. General: Submit the following in accordance with Conditions of Contract and Division 01 Specification Section 013323.

Layout drawings for excavation support system and other data prepared by, or under the supervision of, a qualified professional engineer. System design and calculations must be acceptable to local authorities having jurisdiction. This submittal is for information only. Engineer's review is not for adequacy design, but to verify that it has been designed by a licensed professional. Design of shoring is part of means and methods of construction and remains solely the responsibility of the contractor.

1.4 QUALITY ASSURANCE

- A. Engineer Qualifications: A professional engineer legally authorized to practice in jurisdiction where Project is located, and experienced in providing successful engineering services for excavation support systems similar in extent required for this Project.

- B. Supervision: Engage and assign supervision of excavation support system to a qualified professional engineer foundation consultant.
- C. Regulations: Comply with codes and ordinances of governing authorities having jurisdiction.

1.5 JOB CONDITIONS

- A. Before starting work, verify governing dimensions and elevations. Verify condition of adjoining properties. Take photographs to record any existing settlement or cracking of structures, pavements, and other improvements. Prepare a list of such damages, verified by dated photographs, and signed by Contractor and others conducting investigation.
- B. Survey adjacent structures and improvements, employing qualified professional engineer, establishing exact elevations at fixed points to act as benchmarks. Clearly identify benchmarks and record existing elevations.
- C. During excavation, resurvey benchmarks weekly, maintaining accurate log of surveyed elevations for comparison with original elevations. Promptly notify Engineer if changes in elevations occur or if cracks, sags, or other damage is evident.

1.6 EXISTING UTILITIES

- A. Protect existing active sewer, water, gas, electricity and other utility services and structures.
- B. Notify municipal agencies and service utility companies having jurisdiction. Comply with requirements of governing authorities and agencies for protection, relocation, removal, and discontinuing of services.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. General: Provide adequate shoring and bracing materials which will support loads imposed. Materials need not be new, but should be in serviceable condition.
- B. Structural Steel: ASTM A 36.
- C. Steel Sheet Piles: ASTM A 328.
- D. Timber Lagging: Any species, rough-cut, mixed hardwood, nominal 3 inches thick, unless otherwise indicated.
- E. Portable Steel Trench Box shall be OSHA approved.

PART 3 - EXECUTION

3.1 SHORING

- A. Wherever shoring is required, locate the system to clear permanent construction and to permit forming and finishing of concrete surfaces. Provide shoring system adequately anchored and braced to resist earth and hydrostatic pressures.
- B. Shoring systems retaining earth on which the support or stability of existing structures is dependent must be left in place at completion of work.

3.2 BRACING

- A. Locate bracing to clear columns, floor framing construction, and other permanent work. If necessary to move a brace, install new bracing prior to removal of original brace.
- B. Do not place bracing where it will be cast into or included in permanent concrete work, except as otherwise acceptable to Engineer.
- C. Install internal bracing, if required, to prevent spreading or distortion of braced frames.
- D. Maintain bracing until structural elements are supported by other bracing or until permanent construction is able to withstand lateral earth and hydrostatic pressures.
- E. Remove sheeting, shoring, and bracing in stages to avoid disturbance to underlying soils and damage to structures, pavements, facilities, and utilities.
- F. Repair or replace, as acceptable to Engineer, adjacent work damaged or displaced through installation or removal of shoring and bracing work.

END OF SECTION 315000

DIVISION 33
UTILITIES

SECTION 330523 – STEEL CASING PIPE

PART 1 - GENERAL

1.1 SCOPE OF WORK

- A. Provide all labor, materials, equipment and services required to furnish and install all steel casing pipe in encasement pipes under railroad and highway crossings as shown on the Drawings and/or specified herein.

1.2 RELATED WORK SPECIFIED ELSEWHERE

- A. Earthwork: Section 312000
- B. Piping: Division 33

1.3 SUBMITTALS

- A. Descriptive literature, catalog cuts, and dimensional prints clearly indicating all dimensions and materials of construction, shall be submitted on all items specified herein to the Engineer for review before ordering.
- B. At the time of submission, the Contractor shall, in writing, call the Engineer’s attention to any deviations that the submittals may have from the requirements of the Contract Drawings and Specifications.
- C. Comply with all requirements of Section 01 33 23.
- D. In accordance with the requirements of the General and Special Conditions and this Section, the following table includes, but is not limited to, the items required to be submitted:

Item Description	Shop Drawings	Product Data	Schedules	Installation Data	Parts Lists	Wiring Diagram	Samples	O & M Manual	Certificates	Warranty	Report	Other
Carrier Pipe		X							X			
Casing Pipe		X										
Casing Spacers		X		X								
Casing End Seals		X		X								

1.4 EXISTING CONDITIONS

- A. The existing piping & other utilities shown on the Contract Drawings is based on the best available information. The Engineer makes no guarantee as to the accuracy of the locations or type of piping or utility depicted. All new piping which ties into existing lines must be made compatible with that piping.
- B. So that piping conflicts may be avoided, Contractor shall locate the utility (vertically & horizontally) well ahead of the pipe laying operation to confirm exact locations of existing piping before installing any new piping.
- C. Contractor shall provide all fittings and adapters necessary to complete all connections to existing piping.

PART 2 - PRODUCTS

2.1 CARRIER PIPE

- A. Carrier pipe shall be as specified in the applicable Division 33 section unless otherwise noted.

2.2 CASING PIPE

- A. Casing pipe shall be steel, plain end, have a minimum yield point strength of 35,000 psi and conform to ASTM A 252 Grade 2 or ASTM A 139 Grade B without hydrostatic tests. The steel pipe shall have welded joints and be in at least 18 foot lengths.
- B. The diameter of the casing pipe shall be as follows:

Carrier Pipe Nominal Diameter (Inches)															
4	6	8	10	12	14	15	16	18	20	21	24	27	30	33	36
Casing Pipe Nominal Diameter (Inches)															
10	12	16	18	20	24	24	30	30	30	36	36	42	48	50	50

For carrier pipe sizes greater than 36-inches nominal diameter, the casing pipe diameter size shall be determined by the Engineer or as shown on the Contract Drawings.

- C. The wall thickness of the casing pipe shall be as follows:

Casing Pipe Nominal Diameter (Inches)								
Under 20	20 & 22	24	30	36	38	42	48	50
Casing Pipe Nominal Thickness (Inches)								
.250 (1/4")	.281 (9/32")	.312 (5/16")	.406 (13/32")	.469 (15/32")	.500 (1/2")	.562 (9/16")	.625 (10/16")	.656 (21/32")

However, should casing pipe thickness be specified or required on Highway or Railroad permit approval sheets, said permit thickness requirement shall govern. Permit approval sheets will be made available to the Contractor.

2.3 CASING SPACERS

- A. **Stainless Steel Casing Spacers:** Stainless steel casing spacers shall be bolt-on style with a shell made in two (2) sections of heavy T-304 stainless steel. Connecting flanges shall be ribbed for extra strength. The shell shall be lined with a PVC liner .090" thick with 85-90 durometer. All nuts and bolts are to be 18-8 stainless steel. Runners shall be made of ultra high molecular weight polymer with inherent high abrasion resistance and a low coefficient of friction. Runners shall be supported by risers made of heavy T-304 stainless steel. The supports shall be mig welded to the shell and all welds shall be fully passivated. Stainless steel casing spacers shall be made by Cascade Waterworks Mfg. Co., or equal.

2.4 CASING END SEALS

- A. The annual space between the steel casing pipe and the carrier pipe shall be sealed by use of interlocking link pipe seals. Seals shall be modular mechanical type, consisting of interlocking synthetic rubber links shaped to continuously fill the annular space between the pipe and wall sleeve. Links shall be loosely assembled with bolts to form a continuous rubber belt around the pipe with pressure plate under each bolt head and nut. After the seal assembly is positioned in the sleeve, tightening of the bolts shall cause the rubber sealing elements to expand and provide an absolutely water-tight seal between the pipe and wall sleeve. Bolts and hardware shall be constructed of 316 stainless steel. Seals shall be "Link-Seal Model S-316 Modular Seal" as manufactured by PSI-Thunderline/Link-Seal, Houston, TX, or approved equal.
- B. The Contractor shall determine the required diameter of each casing/carrier pipe installation according to the manufacturer's recommendations before ordering and installing the seal. Carrier pipe shall be accurately centered in the casing pipe and link seals shall be sized, installed and tightened in accordance with the manufacturer's instructions or per method approved by the Engineer.

PART 3 - EXECUTION

3.1 CROSSINGS - GENERAL

- A. Where designated on the drawings, crossings beneath state maintained roads, not to be disturbed shall be accomplished by boring and jacking a casing pipe.
- B. Steel casing pipe for crossings shall be bored and/or jacked (or open cut installed where indicated on the Drawings) into place to the elevations shown on the drawings. All joints between lengths shall be solidly butt-welded with a smooth non-obstructing joint inside. The casing pipe shall be installed without bends. The carrier pipe shall be installed after the casing pipe is in place, and shall extend a minimum of two (2) feet beyond each end of the casing to facilitate making joint connections. The carrier shall be braced and centered with casing spacers within the casing pipe to preclude possible flotation. Casing spacers shall be installed a maximum of eight (8) feet apart along the length of the carrier pipe within the casing pipe, within two (2) feet of each side of a pipe joint, and the rest evenly spaced. The height of the supports and runners combined shall be sufficient to keep the carrier pipe at least 0.75" from the casing pipe wall at all times. Manufacturer's recommendations may govern these requirements.
- C. At each end of the casing pipe, the carrier pipe shall be sealed with casing end seals. The end seals shall extend a minimum of 12 inches in each direction from the end of the casing pipe.
- D. Wood skids are not an acceptable method of supporting the carrier pipe.

3.2 CROSSING - RAILROAD

- A. All water or sewer line crossings of railroads shall be prominently marked at railroad right-of-way lines, on both sides of the track crossing, by durable, weatherproof signs located over the center of the water line. When possible, signs shall be located so that when standing at one sign, the other marker is visible. Signs shall show the following:
 - 1. Name and address of Owner.
 - 2. Contents of pipe.
 - 3. Pressure in pipe.
 - 4. Pipe depth below grade at point of sign.
 - 5. Emergency telephone number in event of pipe rupture.
- B. Contractor must adhere to all safety requirements of the Railway line involved in the crossing.
 - 1. All operations shall be conducted so as to not interfere with, interrupt, or endanger the operation of trains nor damage, destroy, or endanger the integrity of railroad facilities. The Contractor shall provide written acknowledgment to the Railway line that the Contractor and its employees have received, read, and understood the safety rules. Operations will be subject to inspection at any and all time.
 - 2. All cranes, lifts, or other equipment that will be operated in the vicinity of the railroad's electrification and power transmission facilities shall be electrically grounded in an approved manner.
 - 3. At all times, while work is in progress, a field supervisor with no less than twelve (12) months experience in the operation of the equipment being used shall be present. If

boring equipment or similar machines are being used, the machine operator shall also have a minimum of twelve (12) months experience in the operation of the equipment being used.

4. Whenever equipment or personnel are working closer than fifteen (15) feet from the centerline of an adjacent track, that track shall be considered as being obstructed. Operations closer than fifteen (15) feet from the centerline of the track shall be conducted only with the permission of, and as directed by, a duly qualified railroad employee present at the site of the work.
 5. Crossing the tracks at grade by equipment and personnel is prohibited except by prior arrangement with, and as directed by, the railroad line. A separate permit must be obtained, by the Contractor, for any "at grade" crossing of the tracks.
- C. All railroad costs incurred by the Railway line due to work associated with the crossing (inspection, flagging, track work, etc.) shall be paid by the Owner. However, it is the Contractor's responsibility to coordinate the work with the Railway.
- D. Contractor shall notify the Railway line's area engineer a minimum of 14 working days prior to desired start of construction.

3.3 BORING AND JACKING

- A. The Contractor shall excavate his own pits, as he may deem necessary, and will set his own line and grade stakes which shall be checked by the Engineer. Permits, as required, will be furnished or obtained by the Owner, but shall be in the Contractor's hands before any excavating is commenced.
- B. The boring method shall consist of pushing the pipe into the earth with a boring auger rotating within the pipe to remove the spoil.
1. The front of the pipe shall be provided with mechanical arrangements or devices that will positively prevent the auger from leading the pipe so that there will be no unsupported excavation ahead of the pipe.
 2. The auger and cutting head arrangement shall be removable from within the pipe in the event an obstruction is encountered. If the obstruction cannot be removed without excavation in advance of the pipe, the pipe shall be abandoned in place and immediately filled with grout.
 3. The over-cut by the cutting head shall not exceed the outside diameter of the pipe by more than 2 inch. If voids should develop or if the bored hole diameter is greater than the outside diameter of the pipe by more than approximately 1 inch, grouting or other approved methods must be used to fill such voids.
 4. The face of the cutting head shall be arranged to provide a reasonable obstruction to the free flow of soft or poor material.
 5. Any method which does not have this boring arrangement will not be permitted. Contractor's boring arrangement plans and methods must be submitted to, and approved by, the Engineer.
- C. In the event an obstruction is encountered in boring which cannot be removed and it becomes necessary to withdraw the casing and commence elsewhere, the hole from which the casing is withdrawn shall be completely backfilled with coarse sand rammed in.

- D. Insurance to be furnished by the Contractor to cover this type of work shall be adequate to meet the requirements of the Railroad and/or State or County Highway Departments. Insurance shall consist of comprehensive general liability and automobile liability insurance.
- E. Before award of the contract, the Contractor shall furnish a statement of his experience of such work, or if inexperienced, shall advise the Owner as to whom he will sublet the work and give a statement of the experience of the subcontractor, which shall be satisfactory to the Owner.

3.4 CONTRACTOR'S RESPONSIBILITIES

- A. Obtain a copy of the Highway Encroachment and/or Railroad Permit before beginning construction.
- B. Attend a preconstruction meeting at the construction site with the City Inspector, Railroad Inspector, Highway Inspector Engineer, and Contractor being present.

END OF SECTION 330523.16

SECTION 331113 - WATER DISTRIBUTION PIPING

PART 1 - GENERAL

1.1 SCOPE OF WORK

- A. Provide all labor, materials, equipment and services required for furnishing and installing all piping and appurtenances specified herein.

1.2 RELATED WORK SPECIFIED ELSEWHERE

- A. Valves - Utility Services: Section 331216
- B. Horizontal Directional Drilling: Section 330524

1.3 SUBMITTALS

- A. A notarized certification shall be furnished for all pipe and fittings that verifies compliance with all applicable specifications.
- B. The requirement for this certification does not eliminate the need for shop drawings submittals in compliance with Section 013323.
- C. In accordance with the requirements of the General and Special Conditions and this Section, the following table includes, but is not limited to, the items required to be submitted:

Item Description	Shop Drawings	Product Data	Schedules	Installation Data	Parts Lists	Wiring Diagram	Samples	O & M Manual	Certificates	Warranty	Report	Other
Pipe and Fittings		X							X			
Couplings and Adapters		X										
Detectable UG Tape		X		X								
Tracer Wire		X		X								
Trench Baffles		X		X								
PE Tubing		X										
Corp. Stops and Fittings		X		X								

1.4 EXISTING CONDITIONS

- A. The existing piping shown on the Contract Drawings is based on the best available information. The Engineer makes no guarantee as to the accuracy of the locations or type of piping depicted. All new piping which ties into existing lines must be made compatible with that piping.
- B. So that piping conflicts may be avoided, Contractor shall open up his trench well ahead of the pipe laying operation to confirm exact locations of existing piping before installing any new piping.
- C. Contractor shall provide all fittings and adapters necessary to complete all connections to existing piping.

1.5 UTILITY LINE ACTIVITIES COVERED UNDER NATIONWIDE PERMIT # 12

- A. All activities involving utility line construction covered under the US Army Corps of Engineers NATIONWIDE PERMIT # 12 shall meet the following conditions:
 - 1. Utility Line Activities. Activities required for the construction, maintenance, repair, and removal of utility lines and associated facilities in waters of the United States, provided the activity does not result in the loss of greater than 1/2-acre of waters of the United States for each single and complete project. Utility lines: This NWP authorizes the construction, maintenance, or repair of utility lines, including outfall and intake structures, and the associated excavation, backfill, or bedding for the utility lines, in all waters of the United States, provided there is no change in pre-construction contours. This NWP also authorizes temporary structures, fills, and work necessary to conduct the utility line activity.
 - 2. Appropriate measures must be taken to maintain normal downstream flows and minimize flooding to the maximum extent practicable, when temporary structures, work, and discharges, including cofferdams, are necessary for construction activities, access fills, or dewatering of construction sites. Temporary fills must consist of materials, and be placed in a manner, that will not be eroded by expected high flows. Temporary fills must be removed in their entirety and the affected areas returned to pre-construction elevations. The areas affected by temporary fills must be revegetated, as appropriate.
 - 3. Notification: The permittee must submit a pre-construction notification to the US Army Corps district engineer prior to commencing the activity if any of the following criteria are met: (1) The activity involves mechanized land clearing in a forested wetland for the utility line right-of-way; (2) a section 10 permit is required; (3) the utility line in waters of the United States, excluding overhead lines, exceeds 500 feet; (4) the utility line is placed within a jurisdictional area (i.e., water of the United States), and it runs parallel to or along a stream bed that is within that jurisdictional area; (5) discharges that result in the loss of greater than 1/10-acre of waters of the United States; (6) permanent access roads are constructed above grade in waters of the United States for a distance of more than 500 feet; or (7) permanent access roads are constructed in waters of the United States with impervious materials.

- B. All activities involving utility line construction covered under KENTUCKY GENERAL CERTIFICATION of Nationwide Permit # 12 shall meet the following conditions:

The general Water Quality Certification applies to surface waters of the Commonwealth as defined in 401KAR10:001 Chapter 10, Section 1(80): Surface waters means those waters having well-defined banks and beds, either constantly or intermittently flowing, lakes and impounded waters; marshes and wetlands; and any subterranean waters flowing in well-defined channels and having a demonstrable hydrologic connection with the surface.

1. The activity will not occur within surface waters of the Commonwealth identified by the Kentucky Division of Water as Outstanding State or National Resource Water, Cold Water Aquatic Habitat, or Exceptional Waters.
2. The activity will not occur within surface waters of the Commonwealth identified as perpetually-protected (e.g. deed restriction, conservation easement) mitigation sites.
3. This general water quality certification does not authorize the installation of utility lines in a linear manner within the stream channel or below the top of the stream bank.
4. For a single crossing, impacts from the construction and maintenance corridor in surface waters shall not exceed 50 feet of bank disturbance.
5. This general certification shall not apply to nationwide permits issued for individual crossings which are part of a larger utility line project where the total cumulative impacts from a single and complete linear project exceed ½ acre of wetlands or 300 linear feet of surface waters. Cumulative impacts include utility line crossings, permanent or temporary access roads, headwalls, associated bank stabilization areas, substations, pole or tower foundations, maintenance corridor, and staging areas.
6. Stream impacts under Conditions 4 and 5 of this certification are defined as the length of bank disturbed. For the utility line crossing and roads, only one bank length is used in calculation of the totals.
7. Stream impacts covered under this General Water Quality Certification and undertaken by those persons defined as an agricultural operation under the Agricultural Water Quality Act must be completed in compliance with the Kentucky Agricultural Water Quality Plan (KWQP).
8. The Kentucky Division of Water may require submission of a formal application for an individual certification for any project if the project has been determined to likely have a significant adverse effect upon water quality or degrade the waters of the Commonwealth so that existing uses of the water body or downstream waters are precluded.
9. Activities that do not meet the conditions of this General Water Quality Certification require an Individual Section 401 Water Quality Certification.
10. Blasting of stream channels, even under dry conditions, is not allowed under this general water quality certification.
11. Utility lines placed parallel to the stream shall be located at least 50 feet from an intermittent or perennial stream, measured from the top of the stream bank. The cabinet

may allow construction within the 50 foot buffer if avoidance and minimization efforts are shown and adequate methods are utilized to prevent soil from entering the stream.

12. Utility line stream crossings shall be constructed by methods that maintain flow and allow for a dry excavation. Water pumped from the excavation shall be contained and allowed to settle prior to re-entering the stream. Excavation equipment and vehicles shall operate outside of the flowing portion of the stream. Spoil material from the excavation shall not be allowed to enter the flowing portion of the stream.
13. The activities shall not result in any permanent changes in pre-construction elevation contours in surface waters or wetlands or stream dimension, pattern or profile.
14. Utility line activities which impact wetlands shall not result in conversion of the area to non-wetland status. Mechanized land clearing of forested wetlands for the installation or maintenance of utility lines is not authorized under this certification.
15. Activities qualifying for coverage under this General Water Quality Certification are subject to the following conditions:
 - a. Erosion and sedimentation pollution control plans and Best Management Practices must be designed, installed, and maintained in effective operating condition at all times during construction activities so that violations of state water quality standards do not occur.
 - b. Sediment and erosion control measures, such as check-dams constructed of any material, silt fencing, hay bales, etc., shall not be placed within surface waters of the Commonwealth, either temporarily or permanently, without prior approval by the Kentucky Division of Water's Water Quality Certification Section. If placement of sediment and erosion control measures in surface waters is unavoidable, design and placement of temporary erosion control measures shall not be conducted in such a manner that may result in instability of streams that are adjacent to, upstream, or downstream of the structures. All sediment and erosion control devices shall be removed and the natural grade restored within the completion timeline of the activities.
 - c. Measures shall be taken to prevent or control spills of fuels, lubricants, or other toxic materials used in construction from entering the watercourse.
 - d. Removal of riparian vegetation shall be limited to that necessary for equipment access.
 - e. To the maximum extent practicable, all in-stream work under this certification shall be performed under low-flow conditions.
 - f. Heavy equipment, e.g. bulldozers, backhoes, draglines, etc., if required for this project, should not be used or operated within the stream channel. In those instances in which such in-stream work is unavoidable, then it shall be performed in such a manner and duration as to minimize turbidity and disturbance to substrates and bank or riparian vegetation.
 - g. Any fill shall be of such composition that it will not adversely affect the biological, chemical, or physical properties of the receiving waters and/or cause violations of water quality standards. If rip-rap is utilized, it should be of such weight and size that bank stress or slump conditions will not be created because of its placement.
 - h. If there are water supply intakes located downstream that may be affected by increased turbidity and suspended solids, the permittee shall notify the operator when such work will be done.

- i. Should evidence of stream pollution or jurisdictional wetland impairment and/or violations of water quality standards occur as a result of this activity (either from a spill or other forms of water pollution), the Kentucky Division of Water shall be notified immediately by calling (800) 928-2380.
- 16. Non-compliance with the conditions of this general certification or violation of Kentucky state water quality standards may result in civil penalties.

1.6 CONSTRUCTION IN A FLOODPLAIN

- A. No material shall be placed in the stream or in the flood plain to form construction pads, coffer dams, access roads, etc. unless prior approval has been obtained from the Environmental and Public Protection Cabinet.
- B. The trench shall be backfilled as closely as possible to the original contour. All excess material from construction of the trench shall be disposed of outside the flood plain unless the applicant has received prior approval from the Cabinet to fill within the flood plain.

PART 2 - PRODUCTS

2.1 DUCTILE IRON PIPE AND FITTINGS

- A. Ductile iron pipe shall conform to ANSI/AWWA C151/A21.51, latest revision, pressure class 350, with push-on joints unless otherwise noted on Drawings.
- B. The interior of the pipe shall be cement-mortar lined with bituminous seal coat in accordance with ANSI/AWWA C104/A21.4, latest revision. Thickness of the lining shall be as set forth in the ANSI/AWWA C104/A21.4 specification unless otherwise directed by the Engineer. The exterior of all pipe, unless otherwise specified, shall receive either coal tar or asphalt base coating a minimum of 1 mil thick.
- C. Each piece of pipe shall bear the manufacturer's name or trademark, the year in which it was produced and the letters "DI" or the word "DUCTILE". Pipe manufacturer shall furnish notarized certificate of compliance to the above AWWA or ANSI specifications.
- D. Fittings shall be pressure class 350 ductile iron and have mechanical-joints or push-on joints in accordance with ANSI/AWWA C110/A21.10, latest revision and shall conform to the details and dimensions shown therein. Fittings shall have interior cement-mortar lining as specified hereinbefore for the pipe. Compact ductile iron fittings meeting the requirements of ANSI/AWWA C153/A21.53, latest revision, will also be acceptable.
- E. Joints for ductile iron pipe and fittings, as described hereinbefore, shall be rubber-gasket joints and be in accordance with ANSI/AWWA C111/A21.11, latest revision. Joints shall have the same pressure rating as the pipe or fitting of which they are a part. Joints shall be installed per the manufacturer's recommendations.

- F. Provide ANSI/AWWA C110/A21.10 mechanical joint plugs and locked or restrained pipe joints where indicated on Drawings. Fittings under structures shall be mechanical joint with retainer glands.

2.2 MOLECULARLY ORIENTED POLYVINYL CHLORIDE (PVCO) PRESSURE PIPE

- A. PVCO pipe shall be Ultra Blue as manufactured by JM Eagle, Los Angeles, CA. PVCO pipe shall conform to AWWA C909 (Cast Iron OD pipe).
- B. AWWA C-909 Ultra Blue (Outside Diameter compatible with Cast Iron O.D.)
 - 1. 6-inch through 12-inch - PVCO plastic pipe shall conform to ANSI/AWWA C-909, Pressure Class 235, Ultra Blue. PVC pipe shall have a maximum laying length of 20 feet, with bell end and elastomeric gasket, and with plain end for CIOD ductile-iron fittings. Elastomeric gasket shall conform with the requirements of ASTM F-477. The seal of the National Sanitation Foundation Testing Laboratory must appear on each pipe.
- C. Fittings shall be pressure class 350 ductile iron and have mechanical-joint ends in accordance with ANSI/AWWA C153/A21.53, latest revision. Compact ductile iron fittings shall conform to the details and dimensions shown therein. Fittings shall have interior cement-mortar lining as specified hereinbefore for the pipe. Full bodied fittings per ANSI/AWWA C110/A21.10, latest revision will also be acceptable.
- D. The basis of acceptance of PVC plastic water main pipe will be a written, notarized certification, accompanied by a copy of test results, that the pipe and pipe material has been sampled, tested and inspected in accordance with the designated standard specifications. These certifications shall be obtained from the manufacturer and delivered to the Engineer's or Owner's representative on the project site. A sufficient number of tests and certifications shall be made so as to be representative of the complete project. Copies of the test results shall be kept on file by the manufacturer and shall be available for review by the Engineer or Owner upon request.
- E. Pipe shall be visually inspected on the project site for proper markings which shall include manufacturer's name or trademark, nominal pipe size, pressure rating for water at 73.4 degrees F., plastic pipe material designation code (e.g. PVC 1120), dimension ratio, AWWA or ASTM designation and pressure class with which the pipe complies, and the National Sanitation Foundation NSF 14 Seal of Approval for drinking water.

2.3 POLYVINYL CHLORIDE PLASTIC (PVC) PIPE

- A. ASTM D2241 (Outside Diameter compatible with Iron Pipe O.D.)
 - 1. 1-inch through 36-inch - PVC plastic pipe shall conform to ASTM Specification - D2241 (latest edition); Product Standards PS-22-70 NBS; Standard Dimension Ratio SDR 21 (200 psi); Maximum Length - 20 feet; Pressure Rating - 200 psi at 73.4o F. (SDR-21). Elastomeric gasket shall conform with the requirements of ASTM F-477. The seal of the National Sanitation Foundation Testing Laboratory must appear on each pipe.
 - a. Fittings, adaptors or specials shall be furnished, as required, to connect the plastic pipe to the cast or ductile iron mechanical joint valves, fittings, and pipe.

- b. Joints shall be push-on joints conforming to ASTM D-3139.
- B. Fittings shall be pressure class 350 ductile iron and have mechanical-joints or push-on joints in accordance with ANSI/AWWA C110/A21.10, latest revision, and shall conform to the details and dimensions shown therein. Fittings shall have interior cement-mortar lining as specified hereinbefore for the pipe. Compact ductile iron fittings meeting the requirements of ANSI/AWWA C153/A21.53, latest revision, will also be acceptable.
- C. The basis of acceptance of PVC plastic water main pipe will be a written, notarized certification, accompanied by a copy of test results, that the pipe and pipe material has been sampled, tested and inspected in accordance with the designated standard specifications. These certifications shall be obtained from the manufacturer and delivered to the Engineer's or Owner's representative on the project site. A sufficient number of tests and certifications shall be made so as to be representative of the complete project. Copies of the test results shall be kept on file by the manufacturer and shall be available for review by the Engineer or Owner upon request.
- D. Pipe shall be visually inspected on the project site for proper markings which shall include manufacturer's name or trademark, nominal pipe size, pressure rating for water at 73.4 degrees F., plastic pipe material designation code (e.g. PVC 1120), dimension ratio, AWWA or ASTM designation and pressure class with which the pipe complies, and the National Sanitation Foundation NSF 14 Seal of Approval for drinking water.

2.4 MECHINCAL JOINT PIPE RESTRAINTS

- A. Restrained Follower Glands for Ductile Iron Pipe: Restraint for standard mechanical joint fittings on ductile iron pipe shall be incorporated in the design of the follower gland and shall utilize multiple wedge segments that act against the pipe, increasing their resistance as the line pressure increases. The assembled joint shall maintain the maximum flexibility and deflection of all nominal pipe sizes after burial. Restraining gland, wedge segments, and actuating bolts shall be manufactured of high strength ductile iron conforming to the requirements of ASTM A536, Grade 65-45-12. Wedge segments shall be heat treated to a hardness of 370 BHN minimum. Dimensions shall be compatible with standardized mechanical joints conforming to the requirements AWWA C111/ANSI A21.11 and AWWA C153/ANSI 21.53 (latest revision). Breakaway tops shall be incorporated in the design of the actuating bolts to visually ensure proper torque. The actuating bolts must assures precise and consistent operating torque of the breakaway top. The design of the restraining device shall be such that the gland body evenly bears the stress of the restraining load. The mechanical joint restraining devices shall have a minimum working pressure rating of 350psi for sizes 3'-12" and 250psi for 14" and larger and provide no less than a safety factor of 2:1. Restraint shall be UL Listed and FM approved in applicable sizes.
- B. Restrained Follower Glands for Molecularly Oriented PVCO Pipe: Restraint for use on PVCO pipe shall be made specifically for use on PVCO pipe. The standard mechanical joint fittings shall be incorporated in the design of the follower gland and shall utilize multiple wedge segments that act against the pipe, increasing their resistance as the line pressure increases. The assembled joint shall maintain the maximum flexibility and deflection of all nominal pipe sizes after burial. Restraining gland, wedge segments, and actuating bolts shall be manufactured of high strength ductile iron conforming to the requirements of ASTM A536, Grade 65-45-12. Dimensions shall be compatible with standardized mechanical joints conforming to the

requirements AWWA C111/ANSI A21.11 and AWWA C153/ANSI 21.53 (latest revision). Breakaway tops shall be incorporated in the design of the actuating bolts to visually ensure proper torque. The actuating bolts must positively assure precise and consistent operating torque of the breakaway top. The mechanical joint restraining devices shall have a working pressure rating of 200psi minimum and provide no less than a safety factor of 2:1. Restraint shall be FM approved in applicable sizes.

- C. All Thread Rod: Where indicated on the drawings or as directed by the Engineer, mechanical joint ends that are required to be restrained by use of all thread rods, shall be 3/4-inch in diameter and constructed of 304 stainless steel. Fastening nuts shall also be constructed of 304 stainless steel. Each joint shall be restrained with a minimum of 3 rods per joint.

2.5 COUPLING AND ADAPTORS

- A. Flexible couplings shall be of the sleeve type with a middle ring, two wedge shaped resilient gaskets at each end, two follower rings, and a set of steel trackhead bolts. The middle ring shall be flared at each end to receive the wedge portion of the gaskets. The follower rings shall confine the outer ends of the gaskets, and tightening of the bolts shall cause the follower rings to compress the gaskets against the pipe surface, forming a leak-proof seal. Flexible couplings shall be steel with minimum wall thickness of the middle ring or sleeve installed on pipe being 5/16-inch for pipe smaller than 10 inches, 3/8-inch for pipe 10 inches or larger. The minimum length of the middle ring shall be 5-inches for pipe sizes up to 10 inches and 7 inches for pipe 10 inches to 30 inches. The pipe stop shall be removed. Gaskets shall be suitable for 250 psi pressure rating or at rated working pressure of the connecting pipe. Couplings shall be harnessed and be designed for 250 psi.
- B. Flanged adapters shall have one end suitable for bolting to a pipe flange and the other end of flexible coupling similar to that described hereinbefore. All pressure piping with couplings or adapters shall be harnessed with full threaded rods spanning across the couplings or adapters. The adapters shall be furnished with bolts of an approved corrosion resistant steel alloy, extending to the adjacent pipe flanges. Flanges on flanged adapter (unless otherwise indicated or required) shall be faced and drilled ANSI B16.1 Class 125.
- C. Flexible couplings and flanged adapters shall be as manufactured by Dresser, Rockwell, or equal, per the following, unless otherwise specified and/or noted on the Drawings:
- D. Steel couplings for joining same size, plain-end, steel, cast iron, and PVC plastic pipe -

Dresser	Rockwell
Style 138	411

- E. Transition couplings for joining pipe of different outside diameters-

Dresser	Rockwell
Style 162 (4"-12")	413 steel (2"-24")
Style 62 (2"-24")	415 steel (6"-48")
	433 cast (2"-16")
	435 cast (2"-12")

- F. Flanged adapters for joining plain-end pipe to flanged pipe, fittings, valves and equipment.

<u>Dresser</u>	<u>Rockwell</u>
Style 127 cast (3"-12")	912 cast (3"-12")
Style 128 steel (3"-48" C.I. Pipe)	913 steel (3" and larger)
Style 128 steel (2"-96" steel pipe)	

2.6 DETECTABLE UNDERGROUND UTILITY WARNING TAPES

- A. Detectable underground utility warning tapes which can be located from the surface by a pipe detector shall be installed directly above nonmetallic (PVC, polyethylene, concrete) pipe.
- B. The tape shall consist of a minimum thickness 0.35 mils solid aluminum foil encased in a protective inert plastic jacket that is impervious to all know alkalis, acids, chemical reagents and solvents found in the soil.
- C. The minimum overall thickness of the tape shall be 5.5 mils and the width shall not be less than 2" with a minimum unit weight of 2-1/2 pounds/1" x 1,000'. The tape shall be color coded and imprinted with the legend as follows:

<u>Type of Utility</u>	<u>Color Code</u>	<u>Legend</u>
Water	Blue	Caution Buried Water Line Below

- D. Detectable underground tape shall be "Detect Tape" as manufactured by Allen Systems, or equal.
- E. Installation of detectable tapes shall be per manufacturer's recommendations and shall be as close to the grade as is practical for optimum protection and detectibility. Allow a minimum of 18" between the tape and the line.
- F. Payment for detectable tapes shall be included in the linear foot price bid of the appropriate bid item(s) unless it is listed as a separate payment item in the bid schedule.

2.7 TRACER WIRE

- A. Tracer wire shall be 12 gauge copper wire with 30-mil polyethylene jacket. Tracer wire shall be installed with all buried piping, "duct" taped to top of pipe.
- B. Split Bolt connectors are required when connecting two (2) pieces of tracer wire. Wire and connector shall be wrapped with electrical tape.
- C. Tracer wire shall be brought up into access boxes (test boxes). Access boxes shall be cast iron with a permanently attached 3" x 12" ABS tube with flared end. The access box shall have a tamper-resistant cast iron locking lid with stainless steel terminal connectors on the bottom side to attach the tracer wire. Access boxes shall be installed at a maximum of 500 linear feet apart, end of line, or where shown on the Drawings. Access box shall be Valvco as manufactured by Valvco, Inc., or approved equal.

- D. Payment for tracer wire and boxes shall be included in the linear foot price bid of the appropriate bid item(s) unless it is listed as a separate payment item in the bid schedule

2.8 CONCRETE PIPE ANCHORS, THRUST BLOCKS, CRADLE OR ENCASEMENT

- A. Where indicated on the Drawings, required by the Specifications or as directed by the Engineer, concrete pipe anchors, thrust blocks, cradles or encasements shall be installed.
- B. Concrete shall be 3000 psi, and reinforcing bars shall be installed as indicated on the details.

2.9 PRE-FABRICATED TRENCH BAFFLES

- A. Where indicated on the Drawings, required by the Specifications, or as directed by the Engineer, Contractor shall install pre-fabricated trench baffles in the pipeline trench.
- C. The baffle shall be self-supporting, made of ABS (Acrylonitrile Butadiene Styrene) or comparable material, and shall provide a watertight seal around the pipe by use of an elastomeric PVC flexible coupling. The purpose of the baffle is to stop the flow of groundwater along the trench, and around the pipe. The trench baffle shall be "Ripley's Dam" as manufactured by EJP, or equal.

2.10 CONNECTION OF NEW WATER MAINS TO EXISTING SYSTEM

- A. The Contractor shall connect the new water main to existing water main where shown on the Drawings or directed by the Engineer, and shall furnish all necessary equipment and materials required to complete the connection.

PART 3 - EXECUTION

3.1 EXCAVATION FOR PIPELINE TRENCHES

- A. Unless otherwise directed by the Engineer, trenches in which pipes are to be laid shall be excavated in open cut to the depths required by field conditions or as specified by the Engineer. In general this shall be interpreted to mean that machine excavation in earth shall not extend below an elevation permitting the pipe to be properly bedded. Installation shall be in accordance with ANSI/AWWA C600 for ductile iron and Cast Iron O.D. (AWWA) PVC pipe or ASTM F-645 for Iron Pipe O.D. (ASTM) PVC pipe except as modified herein.
- B. If the foundation is good firm earth and the machine excavation has been accomplished as set out hereinbefore, the remainder of the material shall be excavated by hand, then the earth pared or molded to give full support to the lower quadrant of the barrel of each pipe. Where bell and spigot is involved, bell holes shall be excavated during this latter operation to prevent the bells from being supported on undisturbed earth. If for any reason the machine excavation in earth is carried below an excavation that will permit the type of bedding specified above, then a layer of granular material shall be placed so that the lower quadrant of the pipe will be securely bedded in compact granular fill.

- C. Excavation may be undercut to a depth below the required invert elevation that will permit laying the pipe in a bed of granular material to provide continuous support for the bottom quadrant of the pipe. When this method is used, the bedding shall be as set out in Paragraph 3.02 hereinafter.
- D. Trenches shall be of sufficient width to provide free working space on each side of the pipe and to permit proper backfilling around the pipe, but unless specifically authorized by the Engineer, trenches shall in no case be excavated or permitted to become wider than 2'-0" plus the nominal diameter of the pipe at the level of or below the top of the pipe. If the trench does become wider than 2'-0" at the level of or below the top of the pipe, special precaution may be necessary, such as providing compacted, granular fill up to top of the pipe or providing pipe with additional crushing strength as determined by the Engineer after taking into account the actual trench loads that may result and the strength of the pipe being used. The Contractor shall bear the cost of such special precautions as are necessary.
- E. All excavated materials shall be placed a minimum of two feet (2') back from the edge of the trench.
- F. Before laying the pipe, the trench shall be opened far enough ahead to reveal obstructions that may necessitate changing the line or grade of the pipeline.
- G. The trench shall be straight and uniform so as to permit laying pipe to lines and grades given by the Engineer. It shall be kept free of water during the laying of the pipe and until the pipeline has been backfilled. Removal of trench water shall be at the Contractor's expense. Dry conditions shall be maintained in the excavations until the backfill has been placed. During the excavation, the grade shall be maintained so that it will freely drain and prevent surface water from entering the excavation at all times. When directed by Owner, temporary drainage ditches shall be installed to intercept or direct surface water which may affect work. All water shall be pumped or drained from the excavation and disposed of in a suitable manner without damage to adjacent property or to other work.
- H. Minimum cover of 30" shall be provided for all pipelines, except those located in the State Highway Right of Way. Those shall have a minimum cover of 42".

3.2 PIPE BEDDING

- A. All pipe shall be supported on a bed of manufactured sand, derived from limestone, with gradation results similar to those shown in the table below. Contractor shall submit gradation test results to the Engineer for approval. Manufactured sand shall be washed and contain no fine particles and/or dust. In no case shall pipe be supported directly on rock. Bedding shall be provided in earth bottom trenches, as well as rock bottom trenches. Bedding material shall be free from large rock, foreign material, frozen earth, and shall be acceptable to the Engineer. Bedding shall be a minimum of 6" below pipe barrel. Contractor shall be responsible for all dust control associated with the use of Manufactured Sand.

Sieve	% Retained	Cumulated % Retained	% Passing
3/8" (9.5mm)	0	0	100
#4 (4.76mm)	5	5	95

#18 (2.36mm)	43	48	52
#16 (1.18mm)	23	71	29
#30 (0.6mm)	8	79	21
#50 (0.3mm)	4	83	17
#100 (0.15mm)	3	86	14
#200 (75µm)	3.7	89.8	10.2
Pan (0µm)	1.1	100.0	0

- B. In all cases the foundation for pipes shall be prepared so that the entire load of the backfill on top of the pipe will be carried on the barrel of the pipe so that none of the load will be carried on the bells.
- C. Where flexible pipe is used, the bedding shall be placed up to at least the spring line (horizontal center line) of the pipe. The bedding material and procedures shall conform to ASTM D 2321 and any Technical Specifications set out hereinafter. If conditions warrant, the Engineer may require the bedding to be placed above the springline of the pipe. Granular bedding shall be Size #9-m or ASTM C 33, Size #7 crushed stone, fine gravel, or sand, and is not a separate pay item.
- D. Where undercutting and granular bedding is involved it shall be of such depth that the bottom of the bells of the pipe will be at least three inches above the bottom of the trench as excavated. Undercutting is not a separate pay item.
- E. In wet, yielding mucky locations where pipe is in danger of sinking below grade or floating out of line or grade, or where backfill materials are of such a fluid nature that such movements of the pipe might take place during the placing of the backfill, the pipe must be weighted or secured permanently in place by such means as will prove effective. When ordered by the Engineer, yielding and mucky materials in subgrades shall be removed below ordinary trench depth in order to prepare a proper bed for the pipe. Crushed stone or other such granular material, if necessary, as determined by the Engineer to replace poor subgrade material, shall be a separate pay item and classified as "Special Granular Fill". Removal of poor material is not a separate pay item.
- F. Installation shall be in accordance with ASTM D 2321 except as modified hereinafter.

3.3 SPECIAL GRANULAR FILL

- A. As noted in Paragraph 3.02E, granular material for "Special Granular Fill" when directed by the Engineer shall be Department of Transportation crushed limestone, Size #57. Payment for "Special Granular Fill" must have approval from the Engineer prior to installation.

3.4 LAYING PIPE

- A. The laying of pipe in finished trenches shall be commenced at the lowest point so the spigot ends point in the direction of flow.

- B. All pipes shall be laid with ends abutting and true to line and grade as given by the Engineer. Supporting of pipes shall be as set out hereinbefore under "Pipe Bedding" and in no case shall the supporting of pipes on blocks be permitted.
- C. Before each piece of pipe is lowered into the trench, it shall be thoroughly inspected to insure that it is clean. Each piece of pipe shall be lowered separately unless special permission is given otherwise by the Engineer. No piece of pipe or fitting which is known to be defective shall be laid or placed in the lines. If any defective pipe or fitting shall be discovered after the pipe is laid, it shall be removed and replaced with a satisfactory pipe or fitting without additional charge. In case a length of pipe is cut to fit in a line it shall be so cut as to leave a smooth end at right angles to the longitudinal axis of the pipe.
- D. Pipe shall not be laid on solid rock. A pad of granular material as specified in Paragraph 3.02 "Pipe Bedding", shall be used as a pipe bedding. Pipe bedding is not a separate pay item. Irregularities in subgrade in an earth trench shall be corrected by use of granular material.
- E. When ordered by the Engineer, unsuitable materials in subgrades shall be removed below ordinary trench depth in order to prepare a proper bed for the pipe.
- F. When laying of pipe is stopped for any reason, the exposed end of such pipe shall be closed with a plywood or fabricated plug fitted into the pipe bell, so as to exclude earth or other material, and precautions taken to prevent flotation of pipe by runoff into trench.
- G. No backfilling (except for securing pipe in place) over pipe will be allowed until the Engineer has had an opportunity to make an inspection of the joints, alignment and grade, in the section laid.

3.5 BACKFILLING PIPELINE TRENCHES

- A. Backfilling of pipeline trenches shall be accomplished as shown on the Drawings and with details set forth hereinafter. Before final acceptance, the Contractor will be required to level off all trenches or to bring the trench up to grade. The Contractor shall also remove from roadways, rights-of-way and/or private property all excess earth or other materials resulting from construction. In the event that pavement is not placed immediately following trench backfilling in paved areas, the Contractor shall be responsible for maintaining the trench surface in a level condition at proper pavement grade at all times. Under pavement, all trench backfill shall be in accordance with Method C as shown on the Detail Drawings. All other trench backfill shall be in accordance with Method A or B.
- B. Method "A" - Backfilling in Open Terrain:

Backfilling of pipeline trenches in open terrain shall be accomplished in the following manner:

1. The lower portion of the trench, from the pipe bedding to a point 12" above the top of the pipe, shall be backfilled with material free from rock and/or material acceptable to the Engineer. This material shall be placed in a manner approved by the Engineer, and shall be carefully compacted to avoid displacement of the pipe. Compaction shall be accomplished by hand-tamping or by approved mechanical methods.
2. The upper portion of the trench above the compacted portion shall be backfilled with material which is free from large rock. Incorporation of rock having a volume exceeding one-half cubic foot is prohibited. Backfilling this portion of the trench may be

accomplished by any means approved by the Engineer. The trench backfill shall be heaped over or leveled as directed by the Engineer.

C. Method "B" - Backfilling Under Sidewalks & Unpaved Driveways:

Backfilling of pipeline trenches under sidewalks and unpaved driveways shall be accomplished in the following manner:

1. The lower portion of the trench, from the pipe bedding to a point 12 inches above the top of the pipe, shall be backfilled with material free from rock and/or material acceptable to the Engineer. This material shall be placed in a manner to avoid displacement of the pipe. Compaction shall be accomplished by hand-tapping or by approved mechanical methods.
2. The middle portion of the trench, from a point 12" above the top of the pipe to a point 6" below the grade line, shall be backfilled with material free from rock and/or acceptable to the Engineer. This material shall be placed and compacted in layers of approximately 6 inches. Water (puddling) may be used as required to obtain maximum compaction.
 - a. Upon approval of the Engineer, the Contractor may backfill the middle portion of the trench with crushed stone, fine gravel, or sand in lieu of materials which require compaction.
3. The upper portion of the trench shall be temporarily backfilled and maintained with crushed stone or gravel until such time as the sidewalk is constructed or the driveway surface is restored.

D. Method "C" - Backfilling Under Streets, Roads, and Paved Driveways:

Backfilling of pipeline trenches under streets, roads and paved driveways shall be accomplished in the following manner:

1. The lower portion of the trench from the pipe bedding to a point 6" below the bottom of the pavement or concrete sub-slab, shall be backfilled with # 9 crushed stone.
2. The upper portion of the trench, from a point 6" below the bottom of the pavement or concrete sub-slab to grade, shall be backfilled with a base course of dense graded aggregate. At such time that pavement replacement is accomplished, the excess base course shall be removed as required.

E. Trenches outside existing sidewalks, driveways, streets, and highways shall be backfilled in accordance with Method "A". Trenches within the limits of sidewalk and unpaved driveways shall be backfilled in accordance with Method "B". Trenches within the paving limits of existing streets, highways and driveways shall be backfilled in accordance with Method "C". All methods are shown on the Detail Drawings. When directed by the Engineer, the Contractor shall wet backfill material to assure maximum compaction.

1. Before final acceptance, the Contractor will be required to level off all trenches or to bring the trench up to grade. The Contractor shall also remove from roadways, rights-of-ways and/or private property all excess earth or other materials resulting from construction.

2. In the event that pavement is not placed immediately following trench backfilling in streets and highways, the Contractor shall be responsible for maintaining the trench surface in a level condition at proper pavement grade at all times.

3.6 SETTLEMENT OF TRENCHES

- A. Whenever lines are in, or cross, driveways and streets, the Contractor shall be responsible for any trench settlement which occurs within these rights-of-way within one (1) year from the time of final acceptance of the work. If paving shall require replacement because of trench settlement within this time, it shall be replaced by the Contractor at no extra cost to the Owner. Repair of settlement damage shall meet the approval of the Owner.

3.7 CONCRETE THRUST BLOCKS, CRADLE, ANCHORS OR ENCASEMENT

- A. Concrete thrust blocks, cradle, anchors or encasement shall be placed where shown on the Drawings, required by the Specifications, or as directed by the Engineer.
- B. For cradle and encasement, concrete shall be 3000 psi and shall be mixed sufficiently wet to permit it to flow under the pipe to form a continuous bed.
- C. For thrust blocks and anchors, concrete shall be 3000 psi, and shall be formed or be sufficiently stiff to maintain the forms indicated on the Details.
- D. In tamping concrete, care shall be taken not to disturb the grade or line of the pipe or injure the joints. Concrete placed outside the specified limits or without authorization from the Engineer will not be subject to payment.
- E. Water mains shall have concrete thrust or “kicker” blocks at all pipe intersections and changes of direction to resist forces acting on the pipeline. All reducers (increasers) shall be anchored.

3.8 TESTING

- A. All pressure piping (lines not laid to grade) shall be given a hydrostatic test of at least 1.5 times the normal operating pressure of the pipe (at its lowest elevation), but not to exceed the rated working pressure of the pipe or valves. Note: Engineer shall verify test pressure. Loss of pressure during the test shall not exceed 0 psi in a 4 hour period and 5 psi in a 24 hour period. Any test results that do not meet both of these requirements shall constitute a failure of the pressure test.
- B. No water leakage in pipelines, when tested under the hydrostatic test described above, shall be allowed.
- C. Contractor shall furnish a recording gauge and recording pressure charts during duration of test. Recording pressure charts shall be turned over to the Engineer at conclusion of tests. The pressure recording device shall be suitable for outside service, with a range from 0-200 psig, 24-hour clock, and shall be approved by the Engineer.

- D. Pipelines shall be tested before backfilling at joints except where otherwise required by necessity or convenience.
- E. Duration of test shall be not less than four (4) hours where joints are exposed and not less than 24 hours where joints are covered.
- F. Where leaks are visible at exposed joints, evident on the surface where joints are covered, and/or identified by isolating a section of pipe, the joints shall be repaired and leakage must be minimized, regardless of total leakage as shown by test.
- G. All pipe, fittings, valves, and other materials found to be defective under test shall be removed and replaced at no additional expense to the Owner.
- H. Lines which fail to meet tests shall be repaired and retested as necessary until test requirements are complied with.
- I. Where nonmetallic joint compounds are used, pipelines should be held under normal operating pressure for at least three days before testing.
- J. The Owner will provide initial water for testing the pressure piping. Should the first test fail to pass, all additional water required for subsequent tests shall be furnished at the Contractor's expense.
- K. The cost of testing of pressure piping is incidental and is to be included in the Contractor's unit Contract Price.

3.9 CLEAN UP

- A. Upon completion of installation of the piping and appurtenances, the Contractor shall remove all debris and surplus construction materials resulting from the Work. The Contractor shall grade the ground along each side of pipe trenches in a uniform and neat manner leaving the construction area in a shape as near as possible to the original ground line.

3.10 DISINFECTION OF POTABLE WATER LINES

- A. The new potable waterlines shall not be placed in service--either temporarily or permanently--until they have been thoroughly disinfected in accordance with AWWA Standard C651 latest edition, and to the satisfaction of the Engineer.
- B. After testing, a solution of hypochlorite using HTH or equal shall be introduced into the section of the line being disinfected sufficient to insure a chlorine dosage of at least 50 ppm in the main. While the solution is being applied, the water should be allowed to escape at the ends of the line until tests indicate that a dosage of at least 50 ppm has been obtained throughout the pipe. Open and close all valves and cocks while chlorinating agent is in the piping system. The chlorinated water shall be allowed to remain in the pipe for 24 hours, after which a residual of at least 25 ppm shall be obtained. The disinfection shall be repeated until 25 ppm is obtained after which time the main shall be thoroughly flushed until the residual chlorine content is not greater than 1.0 ppm, and then may be connected to the system. Also, no additional payment will be allowed for providing taps for chlorine injection and/or flushing, if necessary. The Contractor is

responsible for the disposal of highly chlorinated water flushed from the main, and shall follow the requirements of AWWA C655, latest edition, for dechlorinating the disinfecting water.

- C. The new water line shall not be put into service until bacteriological samples taken at the points specified herein are examined and shown to be negative after disinfection, following the requirements of "Standard Methods for Examination of Water and Wastewater". Two consecutive sets of acceptable samples, taken at least 24 hours apart shall be collected from the new line. Samples are to be taken and tested at every 1200 feet of new water line, at each branch and at each dead end.
- D. If trench water has entered the pipe, or excessive quantities of dirt or debris have entered the pipe, samples shall be taken at intervals of approximately 200 feet and the locations identified. Samples shall be taken of water that has stood in the new line for at least 16 hours after flushing is completed.
- E. If the initial disinfection does not produce satisfactory bacteriological results, the new line shall be refushed and resampled. If samples fail, the line shall be rechlorinated by the continuous-feed or slug method until satisfactory results are obtained.
- F. All testing documentation shall be submitted to the Owner.

3.11 ALTERNATE METHOD OF INSTALLATION

- A. In lieu of traditional trenching methods for pipe installation, with the approval of the Engineer, the Contractor may choose to install the pipe utilizing the trenchless technology of horizontal directional drilling (HDD). Horizontal directional drilling shall be as specified in Section 330523.13.

END OF SECTION 331113

SECTION 331216 - VALVES

PART 1 - GENERAL

1.1 SCOPE OF WORK

- A. Provide all labor, materials, equipment and services required to furnish and install all valves shown on the Drawings and/or specified herein.

1.2 RELATED WORK SPECIFIED ELSEWHERE

- A. Drawings and General Provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification sections, apply to work of this Section.
- B. Piping is specified in Division 33 Specification sections.

1.3 SUBMITTALS

- A. Descriptive literature, catalog cuts, and dimensional prints clearly indicating all dimensions and materials of construction, shall be submitted on all items specified herein to the Engineer for review before ordering. Comply with provisions of Section 013323.
- B. At the time of submission, the Contractor shall, in writing, call Engineer's attention to any deviations that the submittals may have from the requirements of the Engineer's Contract Drawings and Specifications.
- C. In accordance with the requirements of the General and Special Conditions and this Section, the following table includes, but is not limited to, the items required to be submitted:

Item Description	Shop Drawings	Product Data	Schedules	Installation Data	Parts Lists	Wiring Diagram	Samples	O & M Manual	Certificates	Warranty	Report	Other
Valves	X	X			X							
Valve Boxes		X										
Access Manhole	X	X										
Yard Hydrant	X	X			X							
Tapping Sleeve, Valve	X	X		X	X							

PART 2 - PRODUCTS

2.1 GATE VALVES

- A. Gate valves shall conform with AWWA C-509 or AWWA C-515 standard, and shall be of the resilient seat type, iron body, fully bronze mounted, non-rising stem and have a design working pressure of 250 psi. All assembly bolts shall be stainless steel. Valves shall be of standard manufacturer and of the highest quality both as to materials and workmanship.
- B. All gate valves shall be furnished with mechanical joint connections, unless otherwise shown on the Drawings or specified hereinafter.
- C. An epoxy coating conforming to AWWA C-550 shall be applied to the interior and exterior ferrous surfaces of the valve except for finished or seating surfaces.
- D. All gate valves shall have the name or monogram of the manufacturer, the year the valve casting was made, the size of the valve, and the working water pressure cast on the body of the valve.
- E. Gate valves 12" and smaller shall be installed in a vertical position. Gate valves greater than 12" shall have the bonnet mounted in the horizontal position and have a bevel gear actuator. Gate valves shall be provided with a 2-inch square operating nut and shall be opened by turning to the left (counter-clockwise). All valve operating nuts shall be set within a cast iron valve box. There shall be a maximum 48" depth of valve operating nut. Contractor must use extension stems, if necessary, to raise operator nut within 48" of final grade.

2.2 VALVE BOXES - BURIED VALVES (EXCEPT AIR RELEASE AND SEWAGE COMBINATION VALVES)

- A. Valve boxes shall be of 5-1/4 inch standard cast iron, two-piece, screw type valve box with drop cover marked "WATER", "SEWER", "DRAIN", as applicable. Valve boxes for gate valves larger than 8 inches shall be three-piece. Valve boxes shall be accurately centered over valve operating nut, and backfill thoroughly tamped about them. Valve boxes shall not rest on the valves but shall be supported on crushed stone fill. They shall be set vertically and properly cut and/or adjusted so that the tops of boxes will be at grade in any paving, walk or road surface, and in grass plots, fields, woods or other open terrain. Valve boxes and covers shall be as manufactured by Tyler Corporation, Opelika Foundry, Bingham & Taylor, or equal.
- B. Wherever valve boxes fall outside of the pavement, the top of the box shall be set in a cast-in-place concrete slab 18" x 18" x 4" thick with the top of the slab and box flush with the top of the ground. This provision shall apply to all new and all existing valve boxes which fall within the limits of the contract, unless otherwise stated on the plans or ordered by the Engineer.
- C. Valve boxes shall have extension stems, where necessary when operating nut is raised to be within 2 feet of existing grade.

- D. Wherever valve boxes fall outside of the pavement, the top of the box shall be set in a cast-in-place concrete slab 18” x 18” x 4” thick with the top of the slab and box flush with the top of the ground. This provision shall apply to all new and all existing valve boxes which fall within the limits of the contract, unless otherwise stated on the plans or ordered by the Engineer.

PART 3 - EXECUTION

3.1 INSTALLATION

- A. All valves shall be installed in accordance with details on the Contract Drawings and with the manufacturer's recommendations.
- B. All valves shall be anchored in accordance with the details on the Contract Drawings.

END OF SECTION 331216

SECTION 331219 - HYDRANTS

PART 1 - GENERAL

1.1 SCOPE OF WORK

- A. Provide all labor, materials, equipment and services required for furnishing and installing all hydrants and appurtenances specified herein.

1.2 RELATED WORK SPECIFIED ELSEWHERE

- A. Earthwork: Section 312000
- B. Valves - Utilities Services: Section 331216
- C. Water Distribution Piping: Section 331113

1.3 SUBMITTALS

- A. Submit shop drawings and product data in accordance with Section 013323 of this specification.
- B. Descriptive literature, catalog cuts, and dimensional prints clearly indicating all dimensions and materials of construction, shall be submitted on all items specified herein to the Engineer for review before ordering.
- C. In accordance with the requirements of the General and Special Conditions and this Section, the following table includes, but is not limited to, the items required to be submitted:

Item Description	Shop Drawings	Product Data	Schedules	Installation Data	Parts Lists	Wiring Diagram	Samples	O & M Manual	Certificates	Warranty	Report	Other
Hydrants	X	X		X	X							

PART 2 - PRODUCTS

2.1 FIRE HYDRANTS

- A. The Contractor shall furnish and install fire hydrants and auxiliary gate valves where shown on the Drawings or directed by the Engineer. Hydrants shall conform in all respects to the most recent requirements of AWWA C502. Hydrant barrel shall have safety breakage feature above the ground line. All hydrants shall have 6-inch mechanical joint shoe connection, two (2) 2-1/2-inch discharge nozzles, and one (1) 4 1/2-inch pumper nozzle with rubber gasketed caps fitted with cap chains. Cap nuts are to be five (5) sided. Connection threads shall be National Standard Thread. Main valve shall have 5-1/4-inch full opening and be of the compression type opening against water pressure so that valve remains closed should barrel be broken off.
- B. Hydrants shall be fully bronze mounted. Main valve shall have a threaded bronze seat ring assembly of such design that it is easily removable by unscrewing from a threaded bronze drain ring. Bronze drain ring shall have multiple ports providing positive automatic drainage as the main valve is opened or closed. Drainage waterways shall be completely bronze to prevent rust and corrosion.
- C. The operating nut shall be five (5) sided bronze or bronze with a five (5) sided ductile iron cap, and mounted so that a counter clockwise motion will open the valve. There must be cast on top an arrow and the word "Open" indicating the direction of turn to open the hydrant.
- D. Operating stem shall be equipped with anti-friction thrust bearing to reduce operating torque and assure easy opening. Stop shall be provided to limit stem travel. Stem threads shall be enclosed in a permanently sealed lubricant reservoir protected from weather and the waterway with O-ring seals.
- E. Hydrants shall be shop tested to 300 psi pressure with main valve both opened and closed. Under test the valve shall not leak, the automatic drain shall function and there shall be no leakage into the bonnet.
- F. Type of shoe connection shall be mechanical joint and size shall be six inches (6").
- G. Hydrants shall be given two (2) coats of enamel high visibility paint to be selected by the Owner.
- H. Hydrants shall be Mueller Super Centurion Model A-423, or approved equal.

PART 3 - EXECUTION

3.1 SETTING OF FIRE HYDRANTS

- A. Location:
 - 1. Hydrants shall be located as shown or as directed so as to provide complete accessibility and minimize the possibility of damage from vehicles or injury to pedestrians.
 - 2. When placed behind the curb, the hydrant barrel shall be set so that the pumper or hose nozzle cap will be a minimum of five feet (5') from the back of curb.

3. When set in the lawn space between the curb and the sidewalk or between the sidewalk and the property line, no portion of the hydrant or nozzle cap shall be within six inches (6") of the sidewalk.

B. Position:

1. All hydrants shall be set plumb with not less than two (2) cubic feet of crushed stone and shall have their nozzles parallel with the roadway, with the pumper nozzle facing toward the roadway. Hydrants shall be set to the established grade, with nozzles at least eighteen inches (18") above the ground, as shown or as directed by the Engineer.

C. Connection to Main:

1. Each hydrant shall be connected to the main with a six-inch (6") restrained joint ductile iron branch controlled by an independent six -inch (6") gate valve, unless otherwise specified.

D. Hydrant Drainage in Pervious Soil:

1. Whenever a hydrant is set in soil that is pervious, drainage shall be provided at the base of the hydrant by placing uncrushed course aggregate (AAHSTO M-43) No. 57 from the bottom of the trench to at least six inches (6") above the drain opening in the hydrant and to a distance of one foot (1') around the elbow. No drainage system shall be connected to a sewer.

E. Hydrant Drainage in Impervious Soil:

1. Whenever a hydrant is set in clay or impervious soil, a drainage pit two feet (2') in diameter and three feet (3') deep shall be excavated below each hydrant and filled compactly with uncrushed course aggregate (AASHTO M-43) No. 57 under and around the elbow of the hydrant and to a level of six inches (6") above the drain opening. No drainage pit shall be connected to a sewer (see Standard Details).

3.2 ANCHORAGE

- A. The bowl of each hydrant shall be tied to the pipe with suitable anchor couplings, as shown on the Standard Details in the Drawings or as directed by the Owner or Engineer.

3.3 FIRE HYDRANT WRENCHES

- A. One (1) hydrant wrench shall be furnished for each ten (10) hydrants or less. When the number of hydrants furnished and installed exceeds twenty-five (25), one (1) hydrant repair kit shall be supplied at no additional cost to the Owner.

END OF SECTION 331219

Standard Sanitary Sewer Bid Item Descriptions

S BYPASS PUMPING This item shall include all labor, equipment, and materials needed to complete a bypass pumping and/or hauling operation for diversion of sewage during sanitary sewer construction. Examples of such operations when bypass pumping and/or hauling may be necessary is during force main tie-ins, manhole invert reconstruction, insertion of new manholes into existing mains, or other similar construction. There may be more than one bypass pumping/hauling operation on a project. This item shall be paid for each separate bypass pumping/hauling operation occurrence as called out on the plans or directed by the engineer and actually performed. There will be no separate bid items defined for length, duration, or volume of sewage pumped or hauled in each occurrence. If a bypass pumping/hauling operation is called out on the plans; but, conditions are such that the bypass pumping/hauling operation is not needed or utilized, no payment will be made under this item. The contractor shall draw his own conclusions as to what labor, equipment, and materials may be needed for each bypass pumping/hauling occurrence. The contractor should be prepared to handle the maximum volume of the sewer being bypassed, even during a storm event. This item shall not be paid separately, but shall be considered incidental, when bypass pumping and/or hauling is needed during cast-in-place-pipe (CIPP) and/or point repair operations. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid EACH (EA).

S CIPP LATERAL SERVICE INVESTIGATION This item shall include all equipment, materials, labor and incidentals necessary to enter the sewer in compliance with all safety/confined space requirements and perform the identification, assessment and pre-measurement of all existing and abandoned laterals for the placement of Cured-In-Place-Pipe lining. This item shall be in payment for all lateral service investigation for all sewer segments to be lined as a part of this contract. This bid item shall include bypass pumping when required. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Payment for this item shall be LUMP SUM (LS).

S CIPP LATERAL REINSTATEMENT This item is to pay for installing a Cured-In-Place-Pipe liner in service laterals and service/mainline connections to stabilize structural defects and construction inadequacies. This bid item shall include all labor, equipment, materials and incidentals necessary to perform the service lateral reinstatement in accordance with the plans and specifications. Work under this item shall include bypass pumping, sewer flow control, pre-installation cleaning, sealing connections to existing sewer main, pre- and post- construction CCTV inspection and final testing of the CIPP system. This item shall also include the "top hat" required by the specifications. All CIPP lateral reinstatements shall be paid under this item regardless of the size or length of reinstatement. No separate bid items of varying sizes or length of CIPP lateral reinstatement will be provided in the contract. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Payment for this item shall be EACH (EA) for each CIPP lateral reinstatement complete and ready for use.

S CIPP LINER This bid Item is to pay for rehabilitation of existing sanitary sewers using the Cured-In-Place-Pipe method. This bid item description applies to all CIPP sizes included in the contract.

All CIPP Liner items of all varying sizes shall include all labor, materials, customer notification, testing, necessary permits, ingress and egress procedures, bypass pumping, pre-construction video, sediment and root removal, dewatering, traffic control, erosion and sediment control, excavation pits, removal and replacement of manhole frames and covers as necessary to facilitate the lining work, sealing at manholes and service connections, clearing and grubbing, pipeline cleaning, re-cleaning and video inspection as many times as necessary, debris collection and disposal, root removal, pre- and post-construction video inspection, all digital inspection footage, final report preparation and approval, the cost of potable water from the Owner, required compliance tests, site restoration, site cleanup, sealing of liner at manholes, acceptance testing and all other rehabilitation work and incidentals not included under other pay items necessary to complete the rehabilitation per the plans and specifications. There will be no separate payment for acceptance testing of the lined pipe; but shall be considered incidental to this item. Pay under this item shall be by each size bid in the contract. Pay measurement shall be from center of manhole to center of manhole. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid LINEAR FEET (LF).

S CIPP PROTRUDING LATERAL REMOVAL This item includes all equipment, materials, labor and incidentals necessary to enter the sewer in compliance with all safety/confined space requirements, remove a sufficient amount of the protruding tap to insure a proper and safe Cured-In-Place-Pipe lining insertion and perform pre-installation CCTV. This bid item shall include bypass pumping when required. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. Payment for this item shall be EACH (EA) for each protruding lateral removed.

S CONCRETE PIPE ANCHOR This item shall be constructed on the sewer pipe at the locations shown on the plans in accordance with sanitary sewer specifications and standard drawings. Payment for concrete anchors will be made at the contract unit price each in place complete and ready for use. Each concrete anchor of sewer pipe or force main shall be paid under one bid item per contract regardless of the sizes of carrier pipe being anchored in the contract. No separate bid items will be established for size variations. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid EACH (EA) when complete.

S DIRECTIONAL BORE Payment under this item is made whenever the plans or specifications specifically show directional boring is to be utilized in order to minimize the impact of open cut for the installation of force main or gravity sewer under streets, creeks, and etc. Payment under this item shall include the specified bore pipe, labor, and equipment. No separate payment shall be made for bore pipe installed in the bore whether used as a carrier pipe or an encasement of a separate carrier pipe. This item shall also include pipe anchors at each end of the bore when specified to prevent the creep or contraction of the bore pipe. Carrier pipe installed within a bore pipe shall be paid separately under pipe items. Payment under this item shall not be size specific and no separate bid items will be established for size variations. The bore pipe sizes to be included under this item shall be as shown on the plans and/or in the specifications. Any and all directional bores in each contract shall be paid under one directional bore bid item included in the contract regardless of size. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid LINEAR FEET (LF).

S ENCASEMENT CONCRETE Includes all labor, equipment, excavation, concrete, reinforcing

steel, backfill, restoration, and etc., to construct the concrete encasement of the sewer or force main as shown on the plans, and in accordance with the specifications and standard drawings. Payment under this item shall be in addition to the carrier pipe as paid under separate bid items. Carrier pipe is not included in this bid item. Any and all concrete encasement shall be paid under one bid item included in the contract regardless of the size of the carrier pipe or the volume of concrete or steel reinforcement as specified in the plans and specifications. No separate bid items will be established for size variations. Measurement of pay quantity shall be from end of concrete to end of concrete. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid LINEAR FEET (LF) when complete.

S ENCASEMENT STEEL BORED This item shall include the steel encasement pipe size as specified on the plans and in the specifications, casing spacers, end seals, labor, and equipment to bore and install the encasement in accordance with the plans and specifications, complete and ready for use. The size shall be the measured internal diameter of the encasement pipe. The sizes of encasement to be paid under the size ranges specified in the bid items shall be as follows:

- Range 1 = All encasement sizes greater than 2 inches to and including 6 inches
- Range 2 = All encasement sizes greater than 6 inches to and including 10 inches
- Range 3 = All encasement sizes greater than 10 inches to and including 14 inches
- Range 4 = All encasement sizes greater than 14 inches to and including 18 inches
- Range 5 = All encasement sizes greater than 18 inches to and including 24 inches
- Range 6 = All encasement sizes greater than 24 inches

(Encasement sizes of 2 inches internal diameter or less shall not be paid separately; but, shall be considered incidental to the carrier pipe.) Payment under this bid item shall not include the carrier pipe. Carrier pipe shall be paid under a separate bid item. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid LINEAR FEET (LF).

S ENCASEMENT STEEL OPEN CUT This item shall include the steel encasement pipe size as specified on the plans and in the specifications, casing spacers, end seals, labor, and equipment to open cut install the encasement in accordance with the plans and specifications, complete and ready for use. The size shall be the measured internal diameter of the encasement pipe. The size encasement to be paid under the size ranges specified in the bid items shall be as follows:

- Range 1 = All encasement sizes greater than 2 inches to and including 6 inches
- Range 2 = All encasement sizes greater than 6 inches to and including 10 inches
- Range 3 = All encasement sizes greater than 10 inches to and including 14 inches
- Range 4 = All encasement sizes greater than 14 inches to and including 18 inches
- Range 5 = All encasement sizes greater than 18 inches to and including 24 inches
- Range 6 = All encasement sizes greater than 24 inches

(Encasement sizes of 2 inches internal diameter or less shall not be paid separately; but, shall be considered incidental to the carrier pipe.) Payment under this bid item shall not include the carrier pipe. Carrier pipe shall be paid under a separate bid item. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid LINEAR FEET (LF).

S FORCE MAIN This description shall apply to all PVC and ductile iron and polyethylene/plastic pipe bid items of every size and type, except those bid items defined as “Special”. This item includes the pipe specified by the plans and specifications, all fittings (including, but not limited to, bends, tees, reducers, plugs, and caps), tracing wire with test boxes (if required by specification), polyethylene wrap (when specified), labor, equipment, excavation, bedding, restoration, testing, backfill, and etc., required to install the specified new pipe and new fittings at the locations shown on the plans, or as directed, in accordance with the specifications and standard drawings complete and ready for use. No additional payment will be made for rock excavation. This bid item includes material and placement of flowable fill under existing and proposed pavement, and wherever else specified on the plans or in the specifications. This item shall also include pipe anchors on polyethylene pipe runs as shown on the plans or required by the specifications to prevent the creep or contraction of the pipe. Measurement of quantities under this item shall be through fittings, encasements, and directional bores (only when a separate carrier pipe is specified within the directional bore pipe). No separate payment will be made under pipe items when the directional bore pipe is the carrier pipe. Measurements shall be further defined to be to the center of tie-in where new pipe contacts existing pipe at the center of connecting fittings, to the outside face of vault or structure walls, or to the point of main termination at dead ends. Please refer to the Utility Company’s Specifications. If the Company does not have specifications, KYTC’s Specifications shall be referenced. This item shall be paid LINEAR FEET (LF).

S FORCE MAIN AIR RLS/VAC VLV This bid item description shall apply to all force main air release/vacuum valve installations of every size except those defined as “Special”. This item shall include the air release/vacuum valve, main to valve connecting line or piping, manhole/vault/structure, access casting or doors, tapping the main, labor, equipment, excavation, proper backfill and restoration required to install the air release/vacuum valve at the location shown on the plans or as directed in accordance with the specifications and standard drawings complete and ready for use. All air release/vacuum valves on a project shall be paid under one bid item regardless of size. No separate pay items will be established for size variations. Only in the case of the uniqueness of a particular air release/vacuum valve would a separate bid item be established. Please refer to the Utility Company’s Specifications. If the Company does not have specifications, KYTC’s Specifications shall be referenced. This item shall be paid EACH (EA) when complete.

S FORCE MAIN DIRECTIONAL BORE Payment under this item is made whenever the plans or specifications specifically show directional boring is to be utilized in order to minimize the impact of open cut for the installation of sewer or force main under streets, buildings, creeks, and etc. Payment under this item shall include the specified bore pipe, labor, and equipment. No separate payment shall be made for bore pipe installed in the bore whether used as a carrier pipe or an encasement of a separate carrier pipe. This item shall also include pipe anchors at each end of the bore when specified to prevent the creep or contraction of the bore pipe. Carrier pipe installed within a bore pipe shall be paid separately under pipe items. Payment under this item shall not be size specific and no separate bid items will be established for size variations. The bore pipe sizes to be included under this item shall be as shown on the plans and/or in the specifications. Any and all directional bores in each contract shall be paid under one directional bore bid item included in the contract regardless of size. Please refer to the Utility Company’s Specifications. If the Company does not have specifications, KYTC’s Specifications shall be referenced. This item shall be paid LINEAR FEET (LF).

S FORCE MAIN POINT RELOCATE This item is intended for payment for horizontal and/or vertical relocation of a short length of an existing main at the locations shown on the plans. This bid item is to be used to relocate an existing force main at point locations such as to clear a conflict at a

proposed drainage structure, pipe or any other similar short relocation situation, and where the existing pipe material is to be reused. The contractor shall provide any additional pipe or fitting material needed to complete the work as shown on the plans and specifications. The materials provided shall be of the same type and specification as those that exist. Substitution of alternative materials shall be approved by the engineer in advance on a case by case basis. New polyethylene wrap is to be provided (if wrap exists or is specified in the specifications to be used). If it is necessary that the pipe be disassembled for relay, payment under this item shall also include replacement of joint gaskets as needed. Bedding and backfill shall be provided and performed the same as with any other pipe installation as detailed in the plans and specifications. Payment under this item shall be for each location requiring an existing main to be relocated horizontally or vertically regardless of pipe size or relocation length. No separate pay items will be established for pipe size variations or relocation segment length variations. Force Main Relocate shall not be paid on a linear feet basis; but shall be paid EACH (EA) at each location when complete and placed in service. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced.

S FORCE MAIN TAP SLEVE/VALVE RANGE 1 OR 2 This item shall include the specified tapping sleeve, valve, valve box, concrete pad around valve box (when required in specifications or plans), labor, and equipment to install the specified tapping sleeve and valve, complete and ready for use in accordance with the plans and specifications. The size shall be the measured internal diameter of the live pipe to be tapped. The size tapping sleeve and valve to be paid under sizes 1 or 2 shall be as follows:

- Range 1 = All live tapped main sizes up to and including 8 inches
- Range 2 = All live tapped main sizes greater than 8 inches

Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid EACH (EA) when complete.

S FORCE MAIN TIE-IN This bid description shall be used for all force main tie-in bid items of every size except those defined as "Special". This item includes all labor, equipment, excavation, fittings, sleeves, reducers, couplings, blocking, anchoring, restoration, testing and backfill required to make the force main tie-in as shown on the plans and in accordance with the specifications complete and ready for use. This bid item shall include purge and sanitary disposal of any sewage from any abandoned segments of force main. Pipe for tie-ins shall be paid under separate bid items. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid EACH (EA) when complete.

S FORCE MAIN VALVE This description shall apply to all force main valves of every size required in the plans and specifications, except those bid items defined as "Special". Payment under this description is to be for gate or butterfly force main valves being installed with new force main. This item includes the valve as specified in the plans and specifications, polyethylene wrap (if required by specification), labor, equipment, excavation, anchoring (if any), valve box and valve stem extensions, backfill, concrete pad around valve box (if required by specification), restoration, testing, and etc., required to install the specified valve at the location shown on the plans in accordance with the specifications and standard drawings complete and ready for use. If required on plans and/or proposed adjoining DIP is restrained, force main valves shall be restrained. Force main valve restraint shall be considered incidental to the force main valve and adjoining pipe. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be

referenced. This item shall be paid EACH (EA) when complete.

S FORCE MAIN VALVE BOX ADJUST Includes all labor, equipment, valve box and valve stem extensions (if required), excavation, backfill, concrete pad around valve box (when specified in specifications or plans), restoration, and etc., to adjust the top of the force main valve box to finished grade complete and ready for use. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid EACH (EA) when complete.

S LATERAL CLEANOUT This item shall be for payment for installation of a cleanout in a service lateral line. This item shall include furnishing and installation of a tee, vertical pipe of whatever length required, and threaded cap. The cleanout shall extend from the lateral to final grade elevation. The size of the cleanout shall be equivalent to the size of the lateral. The cleanout materials shall meet the same specification as those for the lateral. The cleanout shall be installed at the locations shown on the plans or as directed by the engineer. Only one pay item shall be established for cleanout installation. No separate pay items shall be established for size or height variances. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid EACH (EA) when complete.

S LATERAL LOCATE This bid item is to pay for all labor, equipment, and materials needed in locating an existing sanitary sewer service lateral for tie-in of the lateral to new mainline sewers and/or for the relocation of a lateral. This bid item shall be inclusive of any and all methods and efforts required to locate the lateral for tie-in or relocation of the lateral. Locating methods to be included under this items shall include, but are not limited to, those efforts employing the use of video cameras from within an existing sanitary sewer main or lateral, electronic locating beacons and/or tracers inserted into the sanitary sewer main or lateral, careful excavation as a separate operation from mainline sewer or lateral excavation, the use of dyes to trace the flow of a lateral, or any combination of methods required to accurately locate the lateral. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid EACH (EA).

S LATERAL LONG SIDE This bid item description shall apply to all service lateral installations of every size up to and including 6 inch internal diameter, except those lateral bid items defined as "Special". This item includes the specified piping material, main tap, bends, clean outs, labor, equipment, excavation, backfill, testing, and restoration, at the locations shown on the plans or as directed, in accordance with the specifications and standard drawings, complete and ready for use. This bid item is to pay for service lateral installations where the ends of the lateral connection are on opposite sides of the public roadway. The new lateral must cross the centerline of the public roadway to qualify for payment as a long side lateral. The length of the service lateral is not to be specified. Payment under this item shall not be restricted by a minimum or maximum length. The contractor shall draw his own conclusions as to the length of piping that may be needed. Payment under this item shall include boring, jacking, or excavating across the public roadway for placement. Placement of a service lateral across a private residential or commercial entrance alone shall not be reason to make payment under this item. Private or commercial entrances shall not be considered a public roadway in defining payment under this item. No additional payment will be made for rock excavation or for bedding required in rock excavation. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid EACH (EA) when complete.

S LATERAL SHORT SIDE This bid item description shall apply to all service lateral installations of every size up to and including 6 inch, except those lateral bid items defined as “Special”. This item includes the specified piping material, main tap tee, bends, clean outs, labor, equipment, excavation, backfill, testing, and restoration, at the locations shown on the plans or as directed, in accordance with the specifications and standard drawings, complete and ready for use. This bid item is to pay for lateral installations where both ends of the lateral connection are on the same side of the public roadway, or when an existing lateral crossing a public roadway will remain and is being extended, reconnected, or relocated with all work on one side of the public roadway centerline as shown on the plans. The length of the service lateral is not to be specified and shall not be restricted to any minimum or maximum length. Payment shall be made under this item even if the lateral crosses a private residential or commercial entrance; but, not a public roadway. Private or commercial entrances shall not be considered a public roadway in defining payment under this item. The contractor shall draw his own conclusions as to the length of piping that may be needed. No additional payment will be made for rock excavation or for bedding required in rock excavation. Please refer to the Utility Company’s Specifications. If the Company does not have specifications, KYTC’s Specifications shall be referenced. This item shall be paid EACH (EA) when complete.

S LINE MARKER This item is for payment for furnishing and installing a sewer utility line marker as specified by the utility owner specifications and plans. A line marker may consist of a post or monument of whatever materials specified and shall include markings and/or signage on same as specified by plans or specifications. This item shall include all labor, equipment, and materials needed for complete installation of the marker. This item shall be paid EACH (EA) when complete.

S MANHOLE Payment under this item is for the installation of new 4 foot interior diameter sanitary sewer manhole. Payment for manholes will be made at the contract unit price each in place complete and ready for use at the locations shown on plans in accordance with specifications and standard drawings. Manholes shall include concrete base, barrel sections, cone section or slab top, steps, excavation, backfilling, air testing, restoration, and cleanup in accordance with the specifications and standard drawings. Payment shall be made under this item regardless of whether the base is to be precast or cast-in-place (doghouse). All materials, except casting, shall be new and unused. An existing casting from an existing abandoned or removed manhole is to be reused and shall be considered incidental to this item. When a new casting is specified, or an existing casting is unavailable, it shall be paid as a separate bid item. Anchoring of casting, new or used, shall be considered incidental to this bid item. No additional compensation will be paid for manhole height variations. No additional payment will be made for rock excavation. Please refer to the Utility Company’s Specifications. If the Company does not have specifications, KYTC’s Specifications shall be referenced. This item shall be paid EACH (EA) when complete.

S MANHOLE ABANDON/REMOVE Payment under this item is for the partial removal and/or filling of any sanitary sewer manhole regardless of size or depth that no longer serves any purpose. Payment shall be made regardless of whether the manhole is or is not in conflict with other work. Any manhole requiring partial removal, but not total removal, in order to clear a conflict with other work shall be paid under this item. All manholes partially removed shall be removed to a point at least one foot below final grade, one foot below roadway subgrade, or one foot clear of any other underground infrastructure, whichever is lowest. If partial removal of an abandoned manhole is elected by the contractor, the remaining manhole structure shall be refilled with flowable fill. Payment for disposal of a sanitary sewer manhole will be made under this item only. Please refer to the Utility Company’s

Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid EACH (EA) when complete.

S MANHOLE ADJUST TO GRADE Payment under this item is for the adjustment of sanitary sewer casting elevation on all sizes of existing sanitary manholes. This work shall be performed in accordance with the sanitary sewer specifications. Payment shall be made under this bid item regardless of the amount of adjustment necessary to a sanitary sewer manhole casting or diameter of the manhole. Work under this pay item may be as simple as placing a bed of mortar under a casting; but, shall also be inclusive of installation of adjusting rings, and /or addition, removal, or replacement of barrel sections. The existing casting is to be reused unless a new casting is specified on the plans. New casting, when specified, shall be paid as a separate bid item. Anchoring of the casting shall be incidental to this item. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid EACH (EA) when complete.

S MANHOLE CASTING STANDARD Payment under this bid items is for furnishing of a new standard traffic baring casting for sanitary manholes meeting the requirements of the sanitary sewer specifications and standard drawings. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid EACH (EA) when installed.

S MANHOLE CASTING WATERTIGHT Payment under this bid item is for furnishing of a new watertight traffic baring casting for sanitary manholes meeting the requirements of the sanitary sewer specifications and standard drawings. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid EACH (EA) when installed.

S MANHOLE RECONSTRUCT INVERT This bid item is to pay for all labor, equipment, and material for rework of the manhole bench to redirect or eliminate flow, such as when the flow of a pipe or pipes are being removed or redirected. This work will be as specified in the plans, specifications, or directed by the engineer. This work may consist of, but is not limited to, removal of concrete and/or placement of concrete in elimination or redirect of flow. This item shall also include providing and placement of a rubber seal or boot as required by utility specification, standard drawing or plan. The contractor shall draw his own conclusions as to the effort and scope of work needed to comply with the specifications, standard drawings, and plans. No payment shall be made under this bid when MANHOLE TAP EXISTING, or MANHOLE TAP EXISTING ADD DROP are being paid at the same location, as this type of work is included in those items. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid EACH (EA) when complete.

S MANHOLE TAP EXISTING This bid item is to pay for all labor, equipment, and material for coring one opening in an existing manhole base, addition of a rubber seal as specified, and rework of the manhole bench to direct the additional pipe flow. The bid item shall be paid for each core opening added to a single manhole. This bid item shall also include any rework of the existing manhole bench due to the elimination of other existing pipes and flow. This work will be as specified in the plans, specifications, or directed by the engineer. This work may consist of, but is not limited to, removal of concrete and/or placement of concrete in the addition, elimination, or redirect of flow. The contractor shall draw his own conclusions as to the effort and scope of work needed to comply with the

specifications, standard drawings, and plans. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid EACH (EA) when complete.

S MANHOLE TAP EXISTING ADD DROP This bid item is to pay for all labor, equipment, and material for coring one opening in an existing manhole base, addition of a rubber seal as specified, addition of a vertical drop pipe to the outside of the manhole, placement of reinforcing steel and concrete to encase vertical pipe, and rework of the manhole bench to direct the additional pipe flow. The bid item shall be paid for each drop added to a single manhole. This bid item shall also include any rework of the existing manhole bench due to the elimination of other existing pipes and flow. This work will be as specified in the plans, specifications, or directed by the engineer. This work may consist of, but is not limited to, removal of concrete and/or placement of concrete in the addition, elimination, or redirect of flow. The contractor shall draw his own conclusions as to the effort and scope of work needed to comply with the specifications, standard drawings, and plans. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid EACH (EA) when complete.

S MANHOLE WITH DROP Payment under this item is for the installation of new 4 foot interior diameter sanitary sewer manhole with drop. Payment for drop manholes will be made at the contract unit price each in place complete and ready for use at the locations shown on plans in accordance with specifications and standard drawings. Drop manholes shall include concrete base, barrel sections, drop materials, cone section or slab top, steps, excavation, backfilling, air testing, restoration, and cleanup. Payment shall be made under this item regardless of whether the base is to be precast or cast-in-place (doghouse). All materials, except casting, shall be new and unused. An existing casting from an existing abandoned or removed manhole is to be reused and shall be considered incidental to this item. When a new casting is specified, or an existing casting is unavailable, it shall be paid as a separate bid item. Anchoring of casting, new or used, shall be considered incidental to this bid item. No additional compensation will be paid for manhole height variations. No additional payment will be made for rock excavation. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid EACH (EA) when complete.

S MANHOLE WITH LINING Payment under this item is for the installation of new 4 foot interior diameter sanitary sewer manhole with corrosion resistant lining. Payment for manholes will be made at the contract unit price each in place complete and ready for use at the locations shown on plans in accordance with specifications and standard drawings. Manholes shall include concrete base, barrel sections, cone section or slab top, steps, lining, excavation, backfilling, air testing, restoration, and cleanup in accordance with the standard drawings. Payment shall be made under this item regardless of whether the base is to be precast or cast-in-place (doghouse). All materials, except casting, shall be new and unused. An existing casting from an existing abandoned or removed manhole is to be reused and shall be considered incidental to this item. When a new casting is specified, or an existing casting is unavailable, it shall be paid as a separate bid item. Anchoring of casting, new or used, shall be considered incidental to this bid item. No additional compensation will be paid for manhole height variations. No additional payment will be made for rock excavation. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid EACH (EA) when complete.

S MANHOLE WITH TRAP Payment under this item is for the installation of a new manhole with

trap. Payment for trap manholes will be made at the contract unit price each in place complete and ready for use at the locations shown on plans in accordance with specifications and standard drawings. Trap manholes shall include concrete base, manhole structure and trap materials, cone section or slab top, steps, excavation, backfilling, air testing, restoration, and cleanup. All materials, except casting, shall be new and unused. Payment shall be made under this item regardless of whether the base is to be precast or cast-in-place (doghouse). An existing casting from an existing abandoned or removed manhole is to be reused and shall be considered incidental to this item. When a new casting is specified, or an existing casting is unavailable, it shall be paid as a separate bid item. Anchoring of casting, new or used, shall be considered incidental to this bid item. No additional compensation will be paid for manhole height variations. No additional payment will be made for rock excavation. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid EACH (EA) when complete.

S PIPE This description shall apply to all PVC and ductile iron gravity sewer pipe bid items of every size and type 8 inches internal diameter and larger, except those bid items defined as "Special". This item includes the pipe specified by the plans and specifications, all fittings (including, but not limited to, tap tees and couplings for joining to existing similar or dissimilar pipes), polyethylene wrap (if required by specification), labor, equipment, excavation, bedding, restoration, pressure or vacuum testing, temporary testing materials, video inspection, backfill, and etc., required to install the specified new pipe and new fittings at the locations shown on the plans, or as directed, in accordance with the specifications and standard drawings complete and ready for use. This bid item shall include material and placement of flowable fill under existing and proposed pavement, and wherever specified on the plans or in the specifications. No additional payment will be made for rock excavation. Measurement of quantities under this item shall be through fittings and encasements to a point at the outside face of manhole barrels, or to the point of main termination at dead ends or lamp holes. Carrier pipe placed within an encasement shall be paid under this item and shall include casing spacers and end seals. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid LINEAR FEET (LF).

S PIPE POINT REPAIR This item is to be used to pay for repair of short lengths of existing sanitary sewer pipe that, through prior video inspection or other means, are known to have pre-existing failure. Pipe Point Repair may be needed in preparation for installation of cured-in-place-pipe (CIPP) lining or other instances where failure is known and repair is prudent. The size of pipe shall not be defined in separate bid items. All diameter sizes of point repair shall be paid under this one item. The materials to be used to make the repair shall be as defined on the plans or in the specifications. This bid item shall include all excavation, pipe materials, joining materials to connect old and new pipe, bedding, and backfill to complete the repair at the locations shown on the plans or as directed by the engineer, complete and ready for use. This bid item shall include bypass pumping when required. Measurement shall be from contact point to contact point of old and new pipe. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid LINEAR FEET (LF).

S PUMP STATION This item is for payment for installation of sanitary pump stations including above or below ground structure for housing of the pumps. This item shall include all pumps, piping, fittings, valves, electrical components, building materials, concrete, any other appurtenances, labor, equipment, excavation, and backfill, to complete the pump station installation as required by the plans, standard drawings, and specifications, complete and ready for use. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall

be referenced. This item shall be paid LUMP SUM (LS) for each when complete.

S STRUCTURE ABANDON This item is to be used to pay for abandonment of larger above or below ground sewer structures such as air release/vacuum valve vaults, pump stations, tanks, etc. Payment under this time shall not be limited to size or scope; however structures with connecting pipes of 2 inches or less shall not be paid under this item; but, shall be considered incidental to sewer construction, (i.e., abandonment of standard air release/vacuum valves up to and including 2 inches would not be paid under this item). Payment under this item shall include all labor, equipment, and compacted fill or flowable fill for abandonment of the structure in place and restoration complete. No separate bid items will be established for size or structure variations. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid EACH (EA) when complete.

S STRUCTURE REMOVAL This item is to be used to pay for removal of larger above or below ground sewer structures such as air release/vacuum valve vaults, pump stations, tanks, and etc. Payment under this time shall not be limited to size or scope; however, structures with connecting pipes of 2 inches or less shall not be paid under this item; but, shall be considered incidental to sewer construction, (i.e., removal of standard air release/vacuum valves and their structure up to and including 2 inches would not be paid under this item). Payment under this item shall include all labor, equipment, and compacted backfill for removal of the structure and restoration complete. No separate bid items will be established for size or structure variations. Please refer to the Utility Company's Specifications. If the Company does not have specifications, KYTC's Specifications shall be referenced. This item shall be paid EACH (EA) when complete.

Sanitary Sewer Specifications and Standard Drawings



La Grange Utilities Commission

412 E. Jefferson St.
La Grange Kentucky
502-222-9325

Scot Treece
Director

Updated 1-10-22

TABLE OF CONTENTS

REVISED 09/08/2020

Section Page

1.0	General
2.0	Materials and Testing
3.0	Grading and Site Preparation
4.0	Pipe Laying, Jointing and Testing
5.0	Manholes and Special Structures
6.0	Pipe Encasement and Collars
7.0	Backfill
8.0	Tunneling, Boring and Jacking
9.0	Acceptance Test for Sewers
10.0	Seeding
11.0	Pavement Replacement
12.0	Measurements and Payments
13.0	Subsidiary Items

STANDARD SANITARY SEWER SPECIFICATIONS

SS-1.0 GENERAL

SS-1.1 Description: Sanitary sewer construction shall consist of furnishing all labor, materials and equipment for the complete installation of sewers and appurtenances in accordance with the La Grange Utilities Commission Standard Drawings, Plans, General Provisions and Covenants, Street Specifications and Standards, and these Specifications.

SS-1.2 Design Requirements: Sewers shall be designed to meet LUC standards, LUC must review and approve all projects prior to submission to the Division of Water.

- a. Minimum grade for gravity sewers are as follows:
8" pipe - .9%
All others shall be 2 times the 10 States Standard.
- b. Sewer lines shall be placed in streets whenever possible. When it is apparent that other utilities will parallel the sanitary sewer, the sewer shall be placed at least 5 feet from the proposed location of these utilities. Sewer lines shall be placed a min of 5 feet from the limits of the easement. Sewer easements shall be a minimum of 30' and to LUC only. The Director shall make exceptions.
- c. Sewer lines traversing rear or side property lines or if laid out of roadway shall be SDR 26, class 350 lined ductile or C-900 PVC.
- d. Manholes shall be placed where it is not likely that surface water will enter the manhole. Rim elevations shall be established above the 50-year flood elevation. If it is apparent after construction that surface water will enter the manhole, then "sewer guards" will be required.
- e. Manholes in turf areas shall be constructed with a concrete pad formed around the manhole rim. The radius of the pad shall be 24" from the center of the manhole lid and have a minimum thickness of 4" with rebar for structural integrity. Exceptions to this requirement will be made on a case-by-case basis.
- f. Six -inch sewer laterals for deep mains may be laid at 45-degree angle from the main to achieve a minimum of 4-6ft. depth. In rock, a vertical drop is permissible providing appropriate support is provided to prevent crushing the pipe.
- g. The service line shall terminate at a cap. A 3-foot piece of rebar as well as a 4 to 10' foot 2 x 4 should be driven in the ground at the termination point.
- h. Maximum spacing between manholes shall be 400 feet.
- i. As-built drawings are required on all projects before acceptance of new construction.
- j. The designing engineer shall certify by his own measurements that the manhole invert elevations shown on the "As Built" drawings are correct.

- k. The developer is responsible for all manhole elevations. If elevations change for any reason the developer will raise elevation of manhole by LUC specifications. If a builder changes the elevations it shall be worked out between the builder and the developer.
- l. Tying into existing manhole: Any tie in to an existing manhole shall be approved by the Director, and if approved, be inspected by LUC personnel. Coring and booting is the only approved method for a tie in of a LUC manhole. If someone breaks into a manhole, the whole manhole will be replaced at his or her expense.
- m. Construction shall not begin until approval by the Kentucky Division of Water has been granted and a pre-construction conference has been held.
- n. Force Main Pipe shall be laid with #12 Copperhead solid wire with copperhead connectors directly above in trench so that the line can be located in the future. The wire shall be pulled up in all valve boxes; valve boxes should be installed before the discharge manhole and at the beginning of the line such as the valve vault. If the force main is longer than 500 feet additional valve boxes may be required to access tracer wire.
- o. Submittals on all materials should be presented to La Grange Utilities and written approval shall be required before construction may begin.
- p. Refer to LUC water specifications for information on gate valves, tapping sleeves, grip rings, etc.

SS-1.3 Specification Modifications: It is understood that throughout this section these specifications may be modified or deleted by the Director.

SS-1.4 Revisions of Standards: When reference is made to a Standard Specification (ASTM, AWWA) the specification referred to shall be understood to mean the latest revision of said specification as amended at the time of the Notice to Bidders, except as noted on the Plans or in the Special Provisions.

SS-2.0 MATERIALS AND TESTING

SS-2.1 Scope: This section governs the furnishing of all pipe, fittings and other materials as required to complete the work as shown on the Plans and as provided for in the Special Provisions. The materials specified are to be used under normal conditions. Vitrified clay, HDPE, and fiberglass-reinforced pipe may be approved for special applications if installed by manufacturer's recommendations. Material submittals should be submitted to LUC for approval prior to the start of construction, Written approval is required prior to construction.

SS-2.2 General:

- a. Requirements: Furnish pipe of materials, joint types, sizes, and strength class indicated and specified. Higher strengths may be furnished at Contractor's option if approved by the Director, at no additional cost to the Owner.
- b. Manufacturer: The manufacturer shall be experienced in the design, manufacture and commercial supplying of the specific material.
- c. Inspection and Testing: To be performed by the manufacturer's quality control personnel in conformance with applicable standards. Testing may be witnessed by Owner, Engineer, or approved independent testing laboratory. Upon request of the Owner, and prior to delivery, the Contractor shall provide three (3) copies of certified test reports indicating that material does conform to the specifications.

SS-2.3 Ductile Iron Pipe: Conform to ANSI A21.51, except as otherwise specified herein. (Epoxy lined or approved equal)

- a. Design: Design of pipe shall be in accordance with ANSI A21.50 laying conditions B or F. Minimum wall thickness shall be for Class 2.
- b. Joints: Mechanical and push-on joints for ductile iron pipe and fittings shall conform to the requirements of ANSI A21.11. (Epoxy lined or approved equal)
- c. Gaskets shall be neoprene or other synthetic rubber material. Natural rubber gaskets will not be acceptable. Gaskets shall be rated for intended use (gravity sewer or pressure main).
- d. Fittings: Fittings shall be in accordance with ANSI A21.10 and shall have a pressure rating of not less than that specified for pipe. Fittings used with ductile iron pipe shall be ductile iron. Fittings for pipe with mechanical joints shall have mechanical joints. Fittings for pipe with push-on joints shall be either mechanical joint or push-on joint. (Epoxy lined or approved equal)
- e. Lining: Polyethylene in accordance with ANSI/ASTM D 1248 or two component epoxy per manufacturer's recommendations. Cement-mortar lining is not acceptable. Glass lined may be approved but will require written approval from the Director.
- f. Coating: Bituminous per manufacturer's recommendations.
- g. Furnish all specials, taps, plugs and wall fittings as required.

SS-2.4 Poly Vinyl Chloride (PVC) Sewer Pipe: Conform to ASTM D-3034, except as otherwise specified herein.

- a. General: Furnish maximum pipe lengths manufactured by the supplier, except fittings, closures and specials.
- b. Design: The minimum wall thickness for PVC Pipe shall conform to SDR-26. Pipe bedding shall conform to LUC specifications (section SS-4.4).
- c. Joints: Flexible gasketed joints shall be compression type with a gasket confined in either the spigot end or the bell end of the pipe. Rubber gasket rings shall conform to the requirements of ASTM D-1869. Gaskets shall be neoprene or other synthetic material. Natural rubber gaskets will not be acceptable.

- d. Fittings: Fittings defined as tee connections suitable for assembly to 6-inch house or building sewers connection to existing sewer mains shall be saddle-type fittings of PVC Plastic. Connections to new or inactive sanitary sewers shall be with Tee Wye fittings.

SS-2.5 Sanitary Sewer Force Main: Shall be PVC and conform to the requirements of ANSI/AWWA C900-89. Pressure Class 200. (Ultra Blue Only C-900 size)

- a. Bends in sanitary sewer force main shall be by restrained joint ductile iron fittings restrained in accordance with manufacturer's recommendations (**Grip rings required on all mechanical joint fittings**). Sanitary sewer force main shall be installed with locating tracer wire. Tracer wire shall be insulated #12 solid Copperhead with copperhead connectors. Tracer wire shall be secured to the top of the main by tape a minimum of 3 times in each standard length of pipe. Tracer wire shall be looped to the top of valve boxes for access and at ends for conductivity.
- b. A valve box will be added to house the tracer wire where the force main dumps into a manhole.
- c. Where sanitary sewer force mains must cross watermain, the force main shall be installed below the watermain with not less than a 24-inch separation.
- d. Buried gate valves on force mains, two (2") inch through twelve (12") inch inclusive shall be Mueller Gate Valves, mechanical joint, resilient seated wedge disk or approved equal. Valve shaft shall have an "O" ring seal with a two (2") inch square operating nut. Valve shall open in a counter-clockwise direction. Buried gate valves shall have valve boxes which shall be two-piece, twenty-four (24") inch, screw type, bottom section and sixteen (16") inch screw type, top section with lid marked "SEWER". Gate valves to be epoxy coated and have all stainless-steel bolts.

SS-2.6 Reinforcing Steel: Reinforcing steel shall be placed as shown on the Plans and shall conform to ASTM Specifications as follows:

- a. Bars and rods shall be deformed billet-steel conforming to ASTM A-615, Grade 40.
- b. Welded wire fabric shall conform to ASTM A-185, Grade 40.
- c. Fabricated steel bar and rod mats shall conform to ASTM A-184. Bar material shall conform to ASTM A-615, Grade 40.
- d. Smooth bars shall be round carbon steel bars conforming to ASTM A-306, Grade 60.

SS-2.7 Manhole Materials:

- a. General: Manholes shall conform to the applicable La Grange Utilities Commission Standard Drawing. (Flat top manholes are not allowed unless approved in writing by the Director) **All new manholes must be xypex and precast** as defined in these specifications and applicable La Grange Utilities Commission Standard Drawings. (Manholes must be moved with approved lifting rings and spreader bar, any damage to manhole will result in rejection from La Grange Utilities.)
- b. Brick and mortar manholes: Repair to existing brick manholes use materials as follows:
 1. Mortar: Mortar and plaster casting for masonry manhole units shall be two parts Portland Cement to one-part Masonry Cement to six parts plaster sand mixed with the least amount of potable water necessary to provide a workable mortar.
 2. Brick: Clay brick shall conform to ASTM C-32, Grade SS or SM. For Grade SM, the maximum water absorption by 5-hour boiling shall not exceed 12.0 percent for individual brick or 9.0 percent for the average of five bricks.
- c. Precast Concrete: Precast concrete manholes shall conform to ASTM C-478. Joints shall be of material as specified for reinforced concrete pipe joints or a bitumastic material or performed flexible joint sealants applied in accordance with manufacturer's recommendations.
- d. Waterproofing: Waterproofing will be required to all manholes. 12" mastic wrap is required on the outside of all joints.
- e. Flexible connectors designed to produce a positive watertight connection for pipes entering precast manholes shall be provided. These connectors shall be the "A-LOK" produced by A-LOK Products, Inc. or approved equal such as a boot held with a stainless steel band and two stainless steel bands around the pipe. .
- f. Interior coating: Where manholes are subject to excessive hydrogen sulfide exposure, the City requires manholes be lined with a one-part urethane Tnemec Series 434 Perma-Shield H2S or equal. Interior coating shall be applied prior to delivery to the site and touched up where necessary.

SS-2.8 Manhole Casting and Lid: Frame and lid shall be the standard La Grange Utilities Commission type as detailed in the LUC standard drawings for frame and lid.

- a. Iron Castings:
 1. Iron castings shall conform to La Grange Utilities Commission standard drawings.

2. Manhole rims and covers shall be machined or ground at touching surfaces to prevent rocking and to seat firmly. Any set not perfectly matched shall be removed and replaced at no cost to LUC.
3. Castings shall be clean and whole, and without blow or sand holes or any other surface defects which would impair serviceability. Plugging or filling of holes or other defects will not be permitted.
4. Parting fins and pouring gates shall be removed.
5. Castings shall be thoroughly cleaned and painted with two coatings asphaltum paint before being delivered to the site.

SS-2.9 Bedding Aggregate: All materials used for crushed stone pipe bedding shall conform to applicable City Standard Drawings.

- a. For 8" and smaller pipe #68 stone required
- b. For 10" and larger pipe #57 stone required.

SS-3.0 GRADING AND SITE PREPARATION

SS-3.1 Scope: This section governs the furnishings of all labor, equipment tools, materials, and the performances of all work for clearing, grubbing, and demolition, wholly or in any part, at locations shown on the Plans, or as provided for in the Special Provisions. Clearing, grubbing and demolition shall be done only to that extent which is necessary for the prosecution of the construction of the sewers.

SS-3.2 Definitions:

- a. Clearing: Clearing shall consist of removing all vegetable matter, such as trees, brush, down timber, rotten wood, rubbish and other objectionable combustible materials, found on or above the surface of the site. It may include removing fences, lumber, waste dumps and trash, and the salvaging of such of the materials as may be specified. This item shall include the disposal of the debris resulting from the clearing operations.
- b. Grubbing: Grubbing shall consist of removing and disposing of stumps, roots, buried trees and brush, wood piling, wood curb planking, wood culverts, wood catch basins and drains, and wood stairs appearing on or below the surface of the ground which has not been included in section entitled "Clearing".

- c. Demolition: Demolition shall consist of demolishing, removing, disposing, or incorporating into backfill all non-vegetable matter appearing above, on, or below the ground surface. This shall include all material derived from the demolition of Portland Cement Concrete items such as base courses, curbs, curb and gutters, sidewalks, floors, steps, driveways, drainage structures of all sorts, fences, and other miscellaneous items such as foundations or wall of any sort, and iron or steel items, and shall include all asphaltic items such as pavement and base courses.

SS-3.3 Construction Details:

- a. Limits of Work: The limits for clearing, grubbing and demolition shall, in no case, extend beyond the limits of the right-of-way, city property lines, or easements, unless otherwise specified on the Plans or Special Provisions.
- b. Protection of Existing Facilities: The Contractor shall be responsible for protecting any improvement of any agency, public or private, in the vicinity of clearing, grubbing or demolition operations. When necessary the Contractor shall enlist the assistance of the affected agencies in the location of their facilities. The Contractor will not be responsible for the cost to any agency for assistance in the location of its facilities, but he shall be responsible for the cost of all damages to such facilities arising because of his carelessness or negligence.
 - 1. Private Sewer Facilities: The Contractor shall make every reasonable effort to protect private sewer facilities. They are not shown on the Plans. When these facilities are disturbed or damaged by the work, the Contractor shall make necessary repairs to the facilities for continuous service prior to the close of the work day.
 - 2. Property Pins: All property or lot corner pins or stakes shown on the Plans and disturbed by this construction will be properly referenced by the Contractor prior to removal, and reset by a certified surveyor at the expense of the Contractor upon completion of the project.

SS-3.4 Clearing: Clearing shall be performed in advance of the construction operation so as not to delay the progress of the work. The refuse resulting from clearing shall be hauled to a waste site secured by the Contractor, or if permitted by the Special Provisions may be burned in the area indicated on the Plans in such a manner as to meet all applicable requirements of the Federal, State, County and Municipal regulations concerning health, safety and public welfare.

SS-3.5 Grubbing: Grubbing shall be kept abreast of the “Clearing” as nearly as the sequence of operations may permit. All stumps, roots, and other objectionable material within the disturbed area shall be removed to a depth of at least twelve (12) inches below the finished grade elevation. Disposal of debris from grubbing shall be as described in “Clearing” above.

SS-3.6 Demolition: If portions of existing improvements are to be left in place, the limits of pavement removal shall be laid out as neat, straight lines a minimum distance of six (6) inches beyond the anticipated edges of excavation. If the pavement removal limits are approximately parallel to and three (3) feet or less from an existing pavement joint, previous cut, or curb, the limits of removal shall be extended to the joint, cut, or curb. Sufficient portions of the pavement shall be removed to provide for the proper grade and alignment of the new construction. Disposal of debris from demolition shall be described in SS-3.4 "Clearing".

SS-3.7 Environmental Protection Procedures:

- a. General: Contractor shall take all measures to minimize disturbed areas. Compliance with the following procedures for protection of existing greenery is required.
- b. Trees: All reasonable effort shall be made to save as many trees as possible. If trees can be saved by trimming, this shall be done in accordance with acceptable pruning practices. Any tree removed shall be replaced with like species of nursery stock outside the temporary Sewer Right-of-Way. All trees within 30 feet of either side of sewer centerline which are specifically to be removed or saved have been marked on the Plans with the following notations.
 1. Trees marked "S" shall be saved.
 2. Trees "Xed" out shall be removed.
- c. Shrubbery, Small Plants and Flowers: Prior to the start of construction, property owners will be notified of the proposed starting date. One purpose of this notification is so that the property owners may remove any shrubbery, small plants or flowers that they, the property owners, desire to save.

SS-4.0 PIPE LAYING, JOINTING AND TESTING

SS-4.1 Scope: This section governs the furnishing of all labor, equipment, materials and tools for the installation of all pipes, fittings, specials and appurtenances as shown on the Plans, City Standard Drawings or as provided for in the Special Provisions.

SS-4.2 Handling:

- a. Handle pipe materials and fittings in a manner to insure installation in sound and undamaged condition. Do not drop or bump. Use slings, lifting lugs, hooks, and other devices designed to protect pipe, joint elements and coatings. In handling plastic pipe of ten (10) feet in length or greater, a double sling will be required unless otherwise approved by the Engineer or onsite inspector.
- b. Ship, move and store with provisions to prevent movement or shock contact with adjacent units.

SS-4.3 Installation:

- a. All work shall be in accordance with the following standards:

Ky State Division Of Water

La Grange Utilities Commission.

- b. Utilize equipment, methods and materials insuring installation to lines and grades indicated.
 - 1. Batter Boards and Laser: The Contractor shall provide and maintain in good working order, on the site, at all times, a laser beam (designed to lay a minimum of 400 feet) . The laser must be checked at the beginning of each day and at least once between manholes and at any other time the Engineer/Onsite Inspector or Director deems necessary to insure the proper line and grade of the pipe. Maintain the following tolerances from true alignment and grade:

Alignment	3 inches
Grade	1 inch

Joint deflection shall not exceed the maximum allowable deflection per joint according to ASTM C-425, ASTM C-594 and AWWA C-600. Only one correction for alignment and/or grade shall be made between adjacent manholes.

- 2. Except where pipe sections are being encased in concrete, no pipe is to be supported by blocks.
 - 3. All transition in sewer main line and grade must be within a manhole.
- c. Install pipe of size, material, strength class, and joint type with embedment as shown on the Plans. Reinforced concrete pipe with elliptical reinforcement shall be installed and positioned in accordance with the pipe manufacturer’s pipe markings indicating top and bottom pipe.
- d. Pipes installed on grades in excess of 20% shall be anchored securely with concrete anchors spaced as follows:

Grade	Maximum Anchor Spacing
20% - 35%	36 ft.
35% - 50%	24 ft.
greater than 50%	16 ft.

- e. whenever possible, commence laying at downstream end of line and install pipe with spigot or tongue end downstream.

- f. Clean interior of all pipe, fittings, and joints prior to installation. Exclude entrance of foreign matter during discontinuance of installation. Close open ends of pipe with snug fitting closures. Do not let water fill trench. Include provisions to prevent flotation should water control measures prove inadequate. Remove water, sand, mud and other undesirable materials from trench before removal of end cap.
- g. Install pipe only when weather and trench conditions are suitable. Do not lay in water. Brace or anchor pipe as required to prevent displacement after establishing final position.

SS-4.4 Pipe Bedding: The sewer trench shall be carried to a point not less than six (6) inches below bottom of pipe bell. Crushed stone bedding, compacted to full width of trench, shall then be placed and compacted to bottom of pipe with proper allowance for bell joints. After each length of pipe being laid has been shoved “home” and placed in proper alignment, it shall be securely anchored and held in position by crushed stone deposited simultaneously on each side of the pipe. This crushed stone backfill shall extend to a point not less than twelve (12) inches above the top of the pipe bell. If unstable conditions are encountered and it is determined by the Engineer/Onsite Inspector that the bedding specified will not provide suitable support for the pipe, additional excavation to the limits determined by the Engineer will be required. This additional excavation shall be backfilled with crushed stone material approved by the Engineer. LUC observer, at their discretion, may require additional stone over pipe. Except in roadways, backfill above bedding shall be dirt with no stone over 8” diameter. Top 12” of the ditch line shall have no stone over 1.5” in diameter mixed with topsoil.

SS-4.5 Jointing:

- a. General Requirements:
 - 1. Locate joints to provide for differential movements at changes in type of pipe embedment, concrete collars, and structures. Support pipe from wall of manhole to first joint in normal sewer trench with concrete cradle structurally continuous with base slab or footing.
 - 2. Clean and lubricate all joint and gasket surfaces with lubricant recommended by pipe manufacturer.
 - 3. Utilize methods and equipment capable of fully homing or making up joints without damage.
 - 4. Check joint opening and deflection for specification limits.
 - 5. Examine each piece of pipe prior to installation for soundness and specification compliance.
- b. Provisions for Jointing PVC Pipe: Check gasket position and condition after assembly prior to installation of next pipe section.

SS-4.6 Cutting: Cut in neat workmanlike manner without damage to pipe.

Observe specifications regarding joint locations. Smooth cut by power grinding to remove burrs and sharp edges.

Repair lining as required and approved.

SS-4.7 Temporary Plugs:

1. Plugs: Provide and install plugs as manufactured by pipe supplier or as fabricated by Contractor if approved. Plugs shall be watertight against heads up to twenty (20) feet of water. Secure plugs in place in a manner to facilitate removal when required to connect pipe.
2. Location: Plugs shall be installed as specified or where shown on Plans. Also the open end of the sewer shall be plugged at the end of the work day with a suitable mechanical plug to prevent entry of foreign material until work is resumed.

SS-4.8 Connections to Existing Pipelines and Structures:

- a. Connect pipe to existing structures and pipelines where indicated. Observe pertinent articles of specifications pertaining to joint locations.
- b. **This method only with Director approval.** Prepare structure by making an opening with at least two (2") inches clearance all around fitting to be inserted. Connector gasket shall be placed on pipe. Pipe shall be positioned in manhole wall prior to grouting. Opening between pipe and manhole shall be filled with an expansive grout in such a manner that a watertight condition will result.
- c. **This method only with Director approval.** Manholes to be built on an existing sewer shall be constructed in such a manner as will not disturb services of existing sewer. The manhole base, walls and invert shall be completed before the top half of the sewer pipe is cut or broken away. Rough edges of the pipe thus exposed shall be covered with expansive grout, in such a manner as to produce a smooth and acceptable finish. Any portion of the existing sewer damaged by the Contractor shall be repaired at no expense to the City.
- d. Connections between different pipe materials shall be made using proprietary transition coupling unless otherwise specified on the Plans.

SS-4.9 Service Lines and Connections:

- a. All property service connections shall be 6" in diameter unless otherwise specified.
- b. Tee wyes and saddles for service lines shall be installed at a 45 degree angle measured from the horizontal center line of the pipe for pipe sizes eight inches (8") through fifteen inches (15") in diameter. Service lines shall not be

installed in pipe sizes 18 inches (18") in diameter or larger unless approved by the City Director. Wyes shall be 8" x 6" with DVW branch and shall be installed on new sewer mains as the mains are installed.

- c. Service lines under streets shall be installed by the Contractor/Developer extending from the main to the limits of the street right-of-way and shall be installed prior to construction of the street at a slope not less than two feet per 100 feet (2.0%). Service lines for each building unit shall be connected to the mains by means of a tee wye and shall extend at least to the street right-of-way, but never less than ten feet (10') from the sewer main. Individual service lines shall not connect directly into manholes unless approved by the Director. The only approved method for connecting directly into a manhole is to core and boot the manhole using a double stainless-steel strap on the boot. Inside the structure a tee must be installed and extended to the flow line and a section to the top of the structure. Service lines shall be adequately plugged to prevent foreign matter from entering the pipe during construction. All service lines shall be constructed bell to spigot or shall have a solid glued sleeve.
- d. Saddles are only to be used to connect to existing sanitary sewers. The saddle shall be installed over a hole sized to fit the saddle opening (4" minimum). Cut shall be made with non-impact rotary equipment.
- e. The service line shall terminate at a cap. A 3ft. piece of rebar as well as a 4 to 10' foot 2 x 4 should be driven in the ground at the termination point and tamped accordingly.
- f. The Contractor/Developer shall maintain an accurate record for the production of the as-built drawings of the location, size and direction of each tee wye, and location, size and length of each building service line. Locations will be referenced to the pipe line stationing as shown on the plans, or the distance from the first downstream manhole.

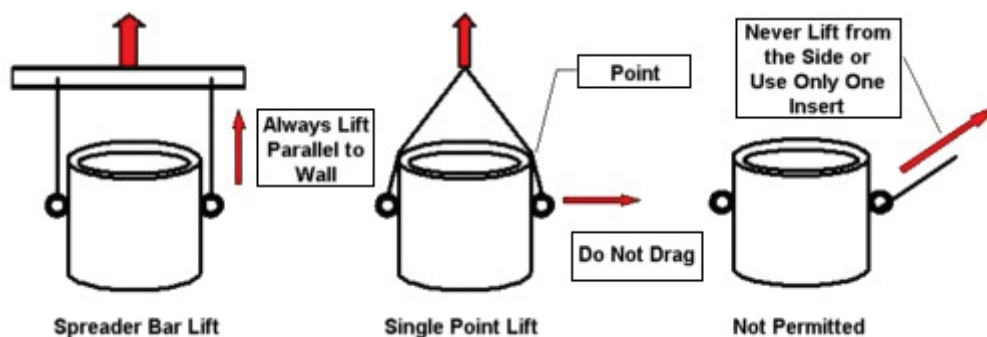
SS-5.0 MANHOLES AND SPECIAL STRUCTURES

SS-5.1 Scope: This section governs the furnishing of all labor, equipment, tools and materials, and the performance of all work incidental to the construction of manholes, drop manholes and special sewer structures complete with covers, steps, fittings and appurtenances as required in accordance with the Plans, Special Provisions, and City Standard Plans.

SS-5.2 General: As used herein special structures refers to manholes on large sewers, special junction structures, metering stations, siphons and similar structures constructed on the pipeline. Manholes and special structures may be constructed of precast concrete sections, cast-in place concrete on existing mains or where space does not permit a precast manhole (only with Directors approval). All manholes are required to be in the roadway where possible. LUC will make no exceptions where it is possible to put sewers in the road. (Any deviation from this will require the Directors and Engineers approval). Cost should not be a factor in determining the placement of sewer mains. Any manhole placed in earth areas shall have a minimum of 36" concrete collar.

SS-5.3 Handling:

- a. Handle materials in a manner to insure installation in sound and undamaged condition. Do not drop or bump. Manholes must be moved with approved lifting rings and spreader bar, any damage to manhole will result in rejection from La Grange Utilities.



SS-5.4 Construction:

- a. General: Manholes and special structures shall be constructed at locations indicated and in accordance with details as shown on the La Grange Utilities Commission Standard Drawings. Any manhole deemed to be in a location of corrosion, will be required to be lined with an epoxy sealer or approved equal for sealing out corrosion.
- b. Precast Wall and Reducing Cone Sections: Handle with care to avoid damage to joint ends of each section. Damaged sections may be subject to rejection at the discretion of the Director or Observer. When using O-ring joints, care shall be exercised in placing the O-ring on the spigot end, and lowering the bell section on to the spigot end so that a watertight seal is obtained. When using bitumastic joints both spigot and bell ends shall be primed with solvent material compatible to the adhesive in the mastic. Approved bitumastic material shall completely fill the joints so that a minimum of one-fourth inch bead of material is visible after jointing, to be smoothed off after completion of the jointing operation. When a flexible preformed butyl rubber or bituminous polymer compounded with modifiers is used to seal jointed sections of manholes, the extrusion of sealant from the joint is not required. The vertical spacing between manhole sections shall not exceed one-fourth inch. Preformed joint sealers remain flexible at temperatures as low as 0 degrees Fahrenheit. All bitumastic materials or preformed flexible joint sealants shall not be applied to wet or damp surfaces.
- c. Cast-in-Place: Consolidate concrete with mechanical vibrators to eliminate entrapped air voids and rock pockets. Forms shall be supported in such a manner as to prevent any movement of the forms while concrete is being cured. Any movement of the forms may be cause for rejection. **(This method only with Directors approval)**

- d. Invert Channels: Alignment of the invert channels shall be as shown on the Contract Drawings. When no specific details and dimensions are given, changes in flow direction shall be smooth, uniform and made with the longest radius possible. The cross-section shape of invert channels shall match the lower halves of the entering and exiting pipes. The surfaces of the channels shall be steel-troweled to produce a dense, smooth surface. When filling openings around pipes through manhole walls, mortar and/or masonry units shall be placed so that the resulting joints are watertight. Mortar used in the joint closure shall not interfere with the invert channel. **(This method only with Directors approval)**

SS-5.5 Curing: Cast-in-place concrete shall be adequately protected from freezing and loss of moisture for the first 24 hours. The curing methods and materials to be used shall be approved by the Engineer and Director.

SS-5.6 Manhole Rings: All rings for manhole covers shall be set to match the existing surfaces, except in flood plains where the Plans indicate that the ring is to be set at an elevation higher than existing ground. Each ring shall be set on a full mortar bed of bitumastic material, if approved by the Director or Observer

SS-5.7 Waterproofing:

- b. All manhole joints are to be wrapped with 12” bitumastic wrap. All joints to be clean and dry when applied and installed on smooth surface.
- c. Chimney seals to be installed on all manholes. Chimney Seals shall be installed in accordance with the Manufacturer’s Specification for installation. The product manufacturer shall certify the Installer and proof of such certification shall be provided to La Grange Utilities Commission prior to installation of chimney seals. In addition, the installer shall carry a copy of his/her certification at all times during installation. Chimney Seals shall be installed after the binder course is placed when the manholes are constructed in roadways and after rough grading in all other areas.
- d. Only 6 to 9 inches of concrete donuts may be used to raise manholes in streets. Plastic raisers may be allowed if approved by Director.

SS-6.0 PIPE ENCASEMENT AND COLLARS

SS-6.1 Scope: This section governs the furnishing of all labor, equipment, tools and materials, and the performance of all work for the encasement of pipe in concrete or installation of concrete collars at locations shown on the Plans.

SS-6.2 General: Tools or partial encasement of pipe in concrete shall be used where the required safe supporting strength cannot be obtained by other bedding methods. Concrete encasement shall also be provided at locations to protect public water supplies or where there exists the possibility that standard bedding may be eroded by currents of water under and around the pipe.

SS-6.3 **Materials:**

- a. Concrete: Concrete whether reinforced or nonreinforced shall be approved by the Director. Reinforcing: Reinforcing steel used in concrete encasements shall be ASTM A-615, Grade 40.

SS-6.4 Construction: Concrete encasement shall be constructed at locations indicated and in accordance with details as shown on the Plans or City Standard Drawings. Start and terminate encasement at a pipe joint. Suitably support and block pipe to maintain position and prevent flotation. Form to dimensions indicated or construct full width of trench.

- a. Joints: If a single section of encasement is not constructed continuously (concrete is not placed in a single deposit) construction joints shall be provided in the encasement to coincide with pipe joints. Construction joints shall be keyed continuously around the encasement. Longitudinal reinforcement shall be continuous through construction joints.
- b. Curing: Concrete encasement shall be protected and cured so as to prevent excessive evaporation of moisture or freezing.
- c. Backfilling: Backfill trench only after concrete encasement has set and can sufficiently support the loads imposed by backfill and backfill operations.

SS-7.0 **BACKFILL**

SS-7.1 Scope: This section governs the furnishings of all labor, equipment, tools and materials, and the performance of all operations necessary for the proper replacement of backfill material in pipe trenches.
General:

- a. The backfill material when in roadways shall be manufactured sand, and shall be brought up to the sub grade of the roadway. (Min. amount of depth for sand shall be 5' in depth)
- b. Jetting of manufactured sand may be required.
- c. Unless otherwise specified, all sewer trenches and excavation around structures shall be backfilled to the original surface of the ground with earth, earth and rock or other acceptable material. When earth and rock is used it shall be placed and thoroughly consolidated with sufficient earth to completely fill all voids between the rocks. The Contractor shall so sort and stockpile the excavated material so that the proper material is available for backfill. **No Rocks larger than 8" shall be used in the backfill in any direction and the top 12" of backfill shall contain no rock larger than 1.5" mixed with topsoil.**
- d. The backfill material shall be compacted to a minimum of 80.0 percent of optimum density as determined by the Standard Proctor Test or shall be

compacted to a density equivalent to the density of the immediate adjoining soil. The top six inches of backfill in street right-of-way shall be compacted to a minimum density of 95.0 percent of optimum density as determined by the Standard Proctor Test. Backfill material shall be placed and compacted only when its moisture content is within 2.0 percent of optimum moisture content as determined by Standard Proctor Test.

- e. The combination of the thickness of the layer, the method of compaction and the type of compaction equipment shall be at the discretion of the Contractor subject to obtaining the densities as specified above.
- f. The quality of the compactions shall be subject to compaction tests when deemed necessary by the Engineer. It shall be the Contractor's responsibility to make necessary excavation in order to accommodate compaction tests at locations specified by the Engineer. The compaction tests will be performed at no cost to the Contractor. If the quality of the compaction does not meet the above requirements, the material will be removed and replaced to meet the above requirement, at the expense of the Contractor.
- g. In areas marked "Garden" or "Flower Garden" on the Plans, the topsoil as excavated shall be stockpiled and replaced to original elevation, location and depth.
- h. Backfill material shall be carefully placed to avoid damage or displacement of sewer or structures.
- i. Backfill shall not be placed when material contains frost, is frozen, or a blanket of snow prevents proper compaction. Backfill shall not contain waste material, trees, organic material, rubbish, etc.

SS-7.2 Backfill of Pipe Trenches:

- a. The area below a plane twelve inches above the top of pipe bell shall be backfilled in accordance with the specifications for "Pipe Bedding"
- b. The backfill material when in roadways shall be manufactured sand, and shall be brought up to the sub grade of the roadway. (Min. amount of depth for sand shall be 5' in depth)
- c. Backfill above a plane twelve inches above the top of pipe bell shall be made with suitable earth, (only when out of roadway) earth and rock, or other acceptable material except that the area below a plane one foot above the pipe bell shall not contain any excavated rock. When earth and rock is used, the maximum dimension of the rock shall not exceed 8 inches.
- d. In some cases, the LUC Director or observer may require backfill to be the same as if it were in a roadway.

SS-7.3 Backfill Around Structure:

- a. No backfill shall be placed over or around any structure until the concrete or mortar therein has set and can sufficiently support the loads imposed by the backfill without damage.
- b. The Contractor shall use utmost care to avoid any wedging action between the side of the excavation and the structure that would cause any movement of the structure. Any damage caused by premature backfill or by the use of equipment on or near a structure will be the responsibility of the Contractor.
- c. Backfill shall be placed and compacted on all sides of the structure simultaneously, and operations shall be so conducted that the backfill is always at approximately the same elevation on all sides of the structure.
- d. No excavated rock larger than four (4) inches maximum diameter shall be placed within one (1) foot of the exterior surface of any structure
- e. The area below a plane twelve inches above the top of pipe bell shall be backfilled in accordance with the specifications for "Pipe Bedding"
Top soil in the top 12" rock shall not exceed 1.5".

SS-8.0 TUNNELING, BORING AND JACKING

SS-8.1 Scope: This section governs the furnishing of all labor, materials and equipment, and the performance of all operations necessary for the construction of tunnels complete with lining, bulkheads and sand fill at locations shown on the Plans or where constructed at the Contractor's option when approved to pass the utilities, streets or obstructions without open excavation.

SS-8.2 Tunnel Cross Section: Construct circular in cross section of size indicated. Alternate size and shape may be submitted for approval subject to the following:

- a. Best suited to proposed method of excavation and lining.
- b. Clear cross-sectional area not less than clear area of circular section indicated.
- c. Invert at grade consistent with adjoining open cut construction.

SS-8.3 Materials:

- a. Steel Casings: Steel casings for bored or jacked construction shall be steel pipe conforming to ASTM A-139 with minimum diameter as shown on the Plans.

1. Minimum wall thickness shall be in accordance with the following table:

**Diameter Nominal Wall Thickness
of Casing Under Railroads All Other Uses**

24" 0.406" 0.281"

26" 0.438" 0.281"

28" 0.469" 0.312"

30" 0.469" 0.312"

32" 0.500" 0.312"

34" 0.500" 0.312"

36" 0.500" 0.344"

2. Steel shall be Grade B under railroads and Grade A on all other uses.

b. Joints:

1. Steel pipe shall have welded joints in accordance with AWWA C-206.

SS-8.4 Construction:

- a. General: Before starting work, complete details of the method of operation and liner materials to be used shall be submitted to the Engineer. The sewer, in the area to be tunneled, bored or jacked, shall be completed before the construction of adjacent portions of the same sewer lateral. The purpose of this requirement is to allow for slight discrepancies in alignment and grade which may occur in the tunneled, bored or jacked installation, so minor adjustments in the adjacent sewer can be made. The maximum allowable deviation in alignment and grade of sewer pipe shall be as shown on the Construction Plans.
- b. Excavation: Excavate by approved methods applicable to materials encountered. Boring operations shall be performed by experienced crews using a rotary-type boring machine designed especially for this purpose. Include dewatering and chemical soil stabilization or grouting if necessary due to existing field conditions. Conduct excavation in a manner to prevent disturbing the overlaying and adjacent material.
- c. End Seals: Use only link seals unless another method approved by Director.
- d. Casing spacers: Carrier pipe shall be supported inside the casing pipe per the City Standard Drawings.

SS-9.0 ACCEPTANCE TESTS FOR SEWERS

SS-9.1 Scope: This section governs the furnishing of all labor, equipment, tools and materials, and the performance of any or all acceptance tests as required by the Plans, Special Provisions, and these specifications. As-built drawings are required on all projects before acceptance of new construction.

A La Grange Utilities Commission approved representative must be on-site and approve all testing. *Additional test may be called for by the Director of the utility if improper work is noted or changes are made over a pipe trench, digging, excavating, etc. Any pipeline found not conforming to these requirements shall be replaced by the*

Contractor at no additional cost to the City, and shall then be retested. The City may, prior to the end of the warranty (guarantee) period, conduct another deflection test with City personnel. Any pipeline found not conforming to these requirements shall be replaced by the Contractor at no additional cost to the City, and the Contractor shall provide an additional warranty (guarantee) for not less than one (1) year for that portion of pipeline so replaced. The City also reserves the right to conduct deflection tests on any sewer installation. Mandrel shall be similar or equal to the "Wortco 9-Arm Mandrel" five (5) percent deflection for flexible or semi-rigid pipe as approved by the Director.

SS-9.2 Acceptance Tests for Gravity Sewers:

SS-9.2.1 Visual Inspection:

1. Contractor shall clean pipe of excess mortar, joint sealant and other dirt and debris prior to inspection. Method shall be by water ball cleaning or jetting.
2. Sewer will be inspected by flashing a light between manholes and/or by physical passage where space permits. Determine from illumination and/or physical inspection the presence of any misaligned, displaced or broken pipe and the presence of visible infiltration or other defects.
3. Correct defects as required prior to conducting leakage tests.

SS-9.2.2 Deflection Test: to be performed on the full length of all lines prior to acceptance.

1. A deflection test shall be performed on all gravity sewers. The test shall be performed after final backfill has been in place for at least 30 days. No pipe shall exceed a deflection of 5 %. The mandrel shall have a diameter equal to 95% of the inside diameter of the pipe. The test shall be performed without mechanical pulling devices.

SS-9.2.3 Exfiltration Leakage Test: to be performed on the full length of all lines over eighteen (18) inches I.D. prior to acceptance.

1. Contractor may perform leakage testing by exfiltration on sewer pipe larger than eighteen (18") inches I.D.
2. Furnish all labor, equipment, tools and materials required including bulkheads, water and all miscellaneous items required to perform the tests.
3. Test all sewer pipe over eighteen (18) inches I.D. when required by LUC after either the completed backfill or partial backfill sufficient to stabilize the position of the pipe in both alignment and grade is accomplished. Contractor may select sections of the project for testing at any time by notifying the Engineer 24 hours in advance.

- 4. Perform at depths of water as measured above centerline of pipe of not less than two (2) feet or more than ten (10) feet (consideration shall be given for water table above said centerline).
- 5. Maintain test as necessary to locate all leaks but not less than two hours.
- 6. Repeat as necessary after repair of leaks and defects until leakage, as measured, does not exceed 0.15 gallons per inch of internal diameter per hour per 100 feet of pipe length (200 gal/inch of I.D./day/mile).
- 7. Protect manholes and other structures by means of bulkheads to prevent bursting pressures from being applied inside the structure.
- 8. Dewater pipe upon completion of testing.

SS-9.2.4 Air Leakage Test to be performed on the full length of all lines prior to acceptance.

- 2. Contractor may perform air tests for all pipe sizes.
- 3. Furnish all facilities required including necessary piping connections, test pumping equipment, pressure gauges, bulkheads, regulator to avoid over- pressurization and all miscellaneous items required.
- e. The pipe plug for introducing air to the sewer line shall be equipped with two taps. One tap will be used to introduce air into the line being tested, through suitable valves and fittings, so that the input air may be regulated. The second tap will be fitted with valves and fittings to accept a pressure test gauge indicating internal pressure in the sewer pipe. An additional valve and fitting will be incorporated on the tap used to check internal pressure so that a second test gauge may be attached to the internal pressure tap. The pressure test gauge will also be used to indicate loss of air pressure due to leaks in the sewer line.
- f. The pressure test gauge shall meet the following minimum specifications:

Size (Diameter)	4 ½ inches
Pressure Range	0-15 P.S.I.
Figure Intervals	1 P.S.I. increments
Minor Subdivisions	0.05 P.S.I.
Pressure Tube	Bourdon tube or diaphragm ±0.25% of maximum scale reading
Dial	White coated aluminum with black lettering, 270-degree arc and mirror edge
Pipe Connection	Low male ½" N.P.T.

Calibration data will be supplied with all pressure test gauges. Certification of pressure test gauge will be required from the gauge manufacturer. This certification and calibration data will be available to the Engineer whenever air tests are performed.

4. Test each reach of sewer pipe between manholes after completion of the installation of pipe and appurtenances and the backfill of sewer trench.
5. Plug ends of line and cap or plug all connections to withstand internal pressure. One of the plugs provided must have two taps for connecting equipment. After connecting air control equipment to the air hose, monitor air pressure so that internal pressure does not exceed 5.0 psig. After reaching 4.0 psig, throttle the air supply to maintain between 4.0 and 4.5 psig for at least two (2) minutes in order to allow equilibrium between air temperature and pipe walls. During this time, check all plugs to detect any leakage. If plugs are found to leak, bleed off air, tighten plugs, and again begin supplying air. After temperature has stabilized, the pressure is allowed to decrease to 4.0 psig. At 4.0 psig, begin timing to determine the time required for pressure to drop to 3.0 psig. If the time, in seconds, for the air pressure to decrease from 4.0 psig to 3.0 psig is greater than that shown on the table below, the pipe shall be presumed free of defects.

Pipe Size	Required Time Per 100 LF	Maximum Required Time
8"	70 sec.	227 sec.
10"	110 sec.	283 sec.
12"	158 sec.	340 sec.
15"	248 sec.	425 sec.
18"	356 sec.	510 sec.
21"	485 sec.	595 sec.
24"	634 sec.	680 sec.
27"	765 sec.	765 sec.
30"	851 sec.	851 sec.
33"	935 sec.	935 sec.

If air test fails to meet above requirements, repeat test as necessary after all leaks and defects have been repaired. Prior to acceptance all constructed sewer lines shall satisfactorily pass the low-pressure air test.

6. In areas where ground water is known to exist, install a one-half inch diameter capped pipe nipple, approximately ten (10") inches long, through manhole wall on top of one of the sewer lines entering the manhole. This shall be done at the time the sewer line is installed. Immediately prior to the performance of the line acceptance test, ground water level shall be determined by removing pipe cap, blowing

air through pipe nipple into the ground so as to clear it, and then connecting a clear plastic tube to pipe nipple. The hose shall be held vertically and a measurement of height in feet of water shall be taken after the water stops rising in this plastic tube. The height in feet shall be divided by 2.3 to establish the pounds of pressure that will be added to all readings.

SS-9.2.5 Video Gravity Sewers: to be performed on the full length of all lines prior to acceptance.

1. Video should be in color.
2. Video will include an audio narrative as well as a written description of findings.

SS-9.3 Acceptance Tests for Pressure Sewage Force Mains:

- a. Perform hydrostatic pressure and leakage tests. Conform to AWWA C-600 procedures as modified herein. Test shall apply to all pressure sewers. Perform after backfilling.
 - b. Test separately in segments between sectionalizing valves, between a sectionalizing valve and a test plug, or between test plugs. Select test segments such that adjustable seated valves are isolated for individual checking. Contractor shall furnish and install test plugs at no additional cost to the Owner, including all anchors, braces and other devices to withstand hydrostatic pressure on plugs. Contractor shall be responsible for any damage to public or private property caused by failure of plugs. Limit full rate of line to available venting capacity.
 - c. Pressure Test: Conduct at 1.5 times maximum operating pressure determined by following formula: **(Or 150 PSI whichever is greater)**
 $P_{pt} = 0.650 (OP - GE)$ in which
 P_{pt} = test pressure in psi at gauge elevation
 OP = operating pressure in feet as indicated for highest elevation of the hydraulic gradient on each section of the line.
 GE = elevation in feet at center line of gauge. Perform satisfactorily prior to determining leakage.
 - d. Leakage Test: Conduct at maximum operating pressure determined by following formula:
 $P_{lt} = 0.433 (OP - GE)$ in which
 P_{lt} = test pressure in psi at gauge elevation
 OP and GE = as in previous article.
3. All joints shall be watertight and free from leaks.
- e. Deflection Test: Gravity pipeline #f flexible materials shall also be tested by pulling a mandrel. The test shall be conducted not less than one (1) month

after backfill has been properly installed. The maximum allowable deflection shall not exceed five (5) percent of the pipe's internal diameter.

SS-9.5 Acceptance Test for Manholes

All manholes shall be vacuum tested by the contractor at his expense. The Contractor will furnish appropriate equipment and manpower for this purpose. When vacuum testing manholes, the following criteria are to be used:

1. This method is applicable to all manholes.
2. All lift holes shall be plugged with an approved non-shrink grout.
3. No standing water shall be allowed in the manhole excavation that may affect the accuracy of the test.
4. All pipes and other openings into the manhole shall be plugged and securely braced to prevent displacement of the plugs while the vacuum is drawn.
5. Installation and operation of vacuum equipment shall be in accordance with equipment specifications and instructions provided by the manufacturer.
6. A vacuum of 10 inches of mercury shall be drawn and the vacuum pump shut off. The time for the vacuum to drop to 9 inches of mercury shall be recorded.
7. Acceptance for four (4) foot diameter manholes shall be defined as when the time to drop to 9 inches of mercury meets or exceeds the following:

Manhole Depth Diameter Time to Drop 1" of HG

	10 ft. or less	4 ft. 60 seconds
1	10 ft. to 15 ft.	4 ft. 75 seconds
	15 ft. to 20 ft.	4 ft. 90 seconds

8. For manholes five (5) foot in diameter, add an additional 15 seconds and for manholes six (6) foot in diameter, add an additional 30 seconds to the time requirements for four (4) foot diameter manholes. +If the manhole fails to test, necessary repairs shall be made with a non-shrink grout while the vacuum is still being drawn. Re-testing shall proceed until a satisfactory test is obtained.

SS-10.0 SEEDING

SS-10.1 Scope: This section governs the furnishing of all labor, equipment, tools and materials, and the performance of all work for seeding, complete as

specified in the Special Provisions and/or as shown on the Plans. All grassed areas disturbed which lie outside the Contractor’s normal trenching operation areas shall be restored to a condition equal to or better than existing prior to construction. All provisions of Section TS-9 shall apply except as amended herein.

SS-10.2 The seeding mixture used to seed areas off street rights-of-way that are not maintained shall be as follows:

Kind of Seed	Min. Pure Live Seed (%)	Rate Lbs. Per Acre
Alta Fescue or Kentucky 31 Fescue (Festuca Eliator Var. Arundinaces)	75	90
Rye Grass (Lolium Perenne 80 or L. Miltiflorum)	80	50
Total		140#/acre

SS-10.3 Sowing shall be accomplished by use of an approved mechanical seeder or drill (hand spreader can be used in small area), making sure that successive seed strips overlap to provide uniform coverage. Seed should be drilled to a depth of one-half (½”) inch.

SS-11.0 PAVEMENT REPLACEMENT

SS-11.1 Scope: This Section covers the furnishing of all labor, equipment, tools and materials, and the performance of all work for the replacement of pavement including sidewalks, driveways, and curbing, as specified on the Plans in the Special Provisions.

SS-11.2 Definitions: Pavement, as used herein, shall include Portland Cement Concrete (PCC), asphaltic concrete, asphaltic and lime or cement treated surface courses, and other similar types of construction, including sidewalks, driveways, and curbing. Replacement, as used herein, shall mean reconstruction of the entire structural section of all pavements removed in excavated areas, including sidewalks, driveways, and curbing, and reconstruction or restoration of damaged pavement surfaces outside of excavation limits.

SS-11.3 General: In all areas of pavement removal replace pavement upon completion of sewer installation. All pavement not designated for removal that is damaged by the Contractor’s operations shall be required, restored or replaced depending upon the degree of damage. Prior to pavement replacement, all edges that were previously cut but have been subsequently damaged, shall be re-cut, and all adjacent undermined and heaved pavement shall be removed to the edge of the undisturbed trench.

SS-11.4 Pavement Replacement:

- a. General: Removed pavement shall be replaced in conformance with the typical sections shown on the City Standard Drawings, Plans, and Technical Street Specifications, the requirements specified in the Special Provisions, and will generally be replaced in kind. Replacement shall include construction of all courses upon the subgrade for a complete pavement structural section. Restoration of damaged surfaces shall be as directed by the Engineer. Final pavement joints must be parallel or perpendicular to the street centerline.
- b. Subgrade Compaction: Subgrade compaction shall conform to SS-7.0.

SS-12.0 MEASUREMENTS AND PAYMENTS

SS-12.1 Scope: This section covers the method of measurement and basis of payment for the furnishing of all labor, equipment, tools and materials and for the performance of all related work necessary to complete the sewer and appurtenances. (This section only applies if the contractor is being paid by the Utility not a Developer.)

SS-12.2 Method of Measurement: The amount of completed and accepted work shall be measured or determined as follows:

1. Pipe Sewer:
 - a. Open Trenched: Measurement of various size, type and depth pipe sewer will be in linear feet, as listed on the Bid, based on the true length of pipe computed from inside wall to inside wall of manholes along centerline of pipe sewer. Depth range as listed on the Bid and shown on the Plans is the average trench depth between adjacent manholes and will not be measured unless changed field conditions result in a change in the Plans by the Engineer.
 - b. Tunneled, Bored or Jacked: Measurement will be made in linear feet for the applicable size of cast iron pipe sewer, tunneled, bored or jacked as listed in the Bid, based on the true length of pipe shown on the Plans, unless changed in the field by the Engineer.
2. Manholes: Measurement will be made for the applicable type, size and depth of manholes as listed in the Bid. The manhole depth shall be determined by measuring from top of casting to outlet pipe flowline.
3. Encasement: Standard concrete encasement will be measured in linear feet for the applicable size pipe, as listed in the Bid. Concrete collars will be measured as one (1') linear foot of concrete encasement based on true length of encasement along the centerline of pipe.
4. Seeding: Seeded areas will be measured horizontally in linear feet along centerline of sewer, regardless of width of disturbed area or type of seed used. Seeding will be measured only when centerline of sewer lies in grassed areas

to be seeded, as shown on the Plans. When centerline of sewer lies in areas that are not grassed, such as street paving, driveways, parking areas, gardens, etc., no measurement will be made. Areas that are disturbed which lie outside the Contractor's normal trenching areas will not be measured for payment, but shall be restored to condition equal to or better than that existing prior to construction. When sewer ends in a grassed area, measurement will be made only to centerline of manhole.

5. Rock: Measurement of the quantity of excavated rock will be in cubic yards, as listed in the Bid, based on the true lengths and depths as measured in the field. Pay line width shall be the outside diameter of the pipe plus twelve (12") inches. The minimum pay line width shall be twenty-four (24") inches.

SS-12.3 Basis of Payment

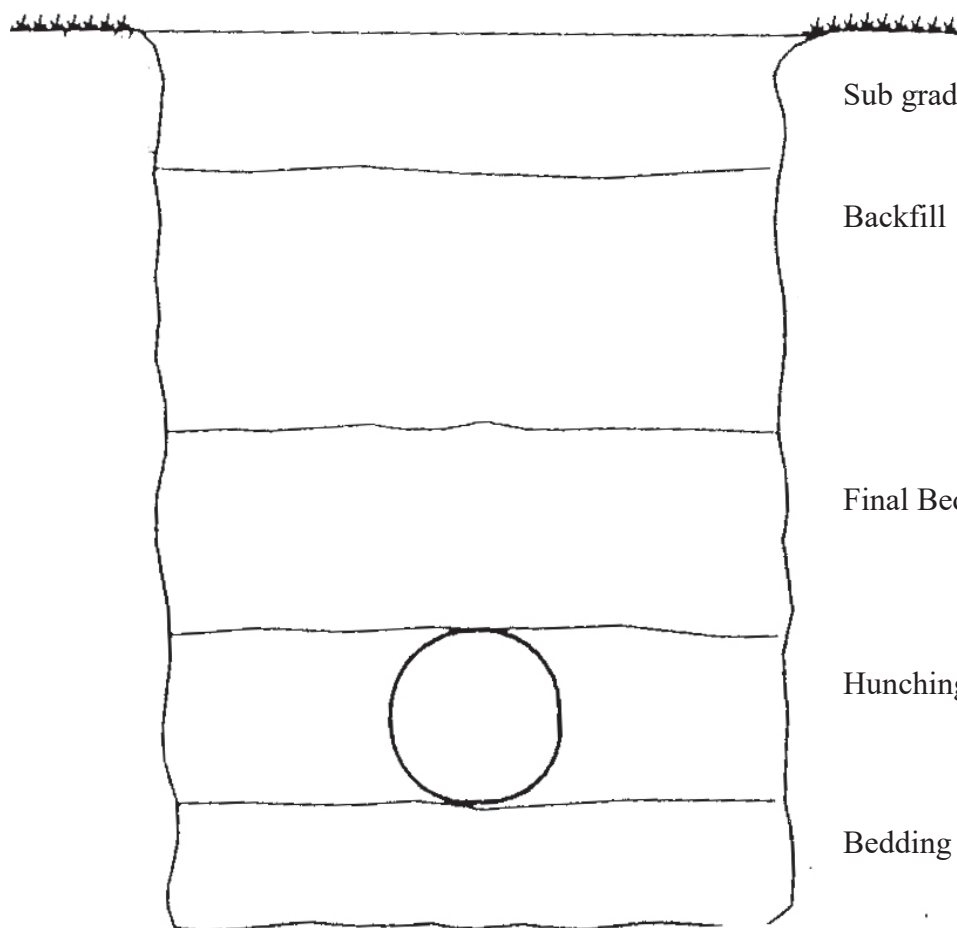
Payment for the completed and accepted work shall be made as follows:

1. Pipe Sewer:
 - a. Open Trenched: Payment will be made at the contract unit price per linear foot for the applicable size, type and depth of pipe sewer, as listed in the Bid. Such payment and price shall constitute full compensation for all labor, materials, equipment and for the performance of all work necessary to complete the sewer, including excavation, sheeting and shoring, dewatering, preparation of bedding, installation of pipe sewer, backfilling, compaction, disposal of excess materials and replacement of pavement.
 - b. Tunneled, Bored or Jacked: Payment will be made at the contract unit price per linear foot for cast iron pipe sewer, tunneled, bored or jacked as listed in the Bid. Such payment and price shall constitute full compensation for all labor, material, equipment and for the performance of all work necessary to complete the sewer, including all excavation, sheeting and shoring, dewatering, installation of casing pipe, tunnel liner plate, grouting, installation of carrier pipe, sand fill, end seals, backfilling compaction and disposal of excess material, including all cost of jacking and pit(s).
2. Manholes: Payment will be made at the contract unit price per each for the applicable type, size and depth of manholes as listed in the Bid. Such payment and price shall constitute full compensation for all work necessary to complete the manholes, including excavation, sheeting and shoring, dewatering, concrete base, manhole steps, manhole ring and cover, waterproofing, reinforced concrete, backfilling, compaction and disposal of excess material.
3. Encasement: Payment shall be made at the contract unit price per linear foot of encasement, for the applicable size pipe as listed in the Bid. Such payment shall constitute full compensation for all labor, materials, equipment and for the performance of all work necessary to complete the item, including reinforced concrete collars.

4. Seeding: Payment will be made at the contract unit price per linear foot for seeding, regardless of type of seed, as listed in the Bid. Such payment shall constitute full compensation for all labor, materials, equipment and work necessary to complete the item, including grading, tilling, fertilizing, seed application, compaction and mulching.
5. Rock: Payment will be made at the contract unit price per cubic yard, as listed in the Bid. Such payment and price shall constitute full compensation for all labor, material, equipment, and all work necessary to complete the rock removal.

SS-13.0 SUBSIDIARY ITEMS

Sewer Pipe Bedding



Sub grade - 1. In turf areas, clean soil with no rock over 1.5 inches
2. Under existing or proposed pavement or sidewalk, conform to City road Spec.

Backfill - 1. In turf areas, soil with no rock over 6 inches in dimension
2. Under existing or proposed pavement or sidewalk, use jetted manufactured sand, or # 57 crushed stone.

Final Bedding - Min. 12 inches above top of pipe.
For 8" and smaller pipe #68 stone required
For 10" and larger pipe #57 stone required.

Hunching Bedding - Area around pipe.
For 8" and smaller pipe #68 stone required
For 10" and larger pipe #57 stone required

Bedding - Min. 6 inches below bottom of pipe.
For 8" and smaller pipe #68 stone required
For 10" and larger pipe #57 stone required.

LA GRANGE UTILITIES COMMISSION NOTES:

At selected low points in topography, install perforated pipe under drains to drain upstream pipe bedding.

All rock backfill must be even across the ditch before the next layer of backfill is installed.

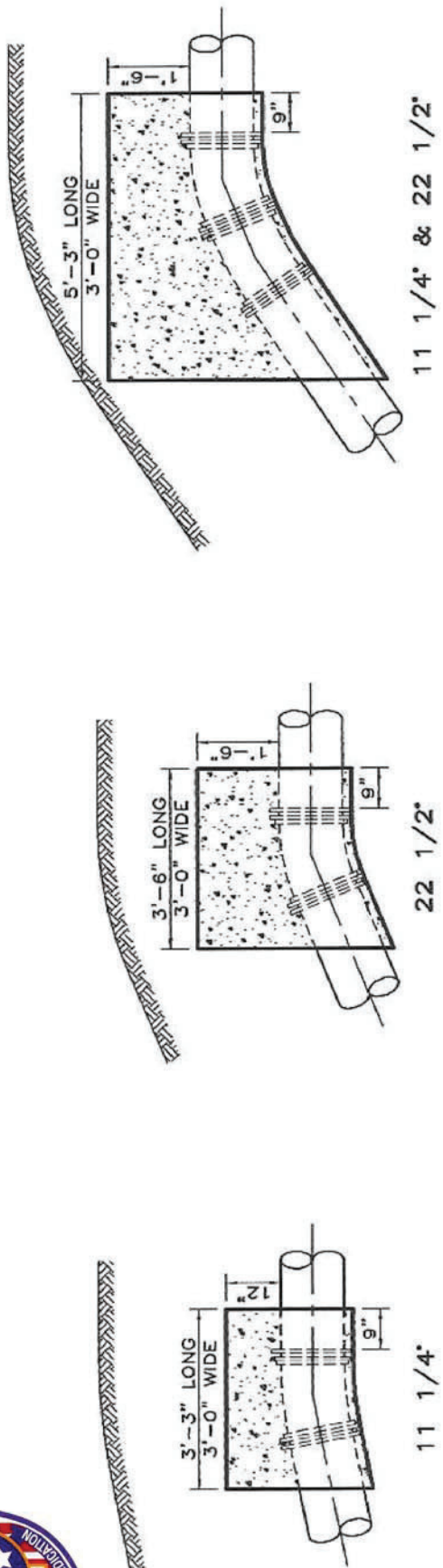
Stranded # 8 copper tracer wire placed on force mains and taped to pipe as well as looped at all valve boxes. Valve boxes should be placed every 600 feet for tracer wire access.



STANDARD DRAWINGS

LA GRANGE UTILITIES COMMISSION
412 E. Jefferson Street
LA GRANGE, KY. 40031

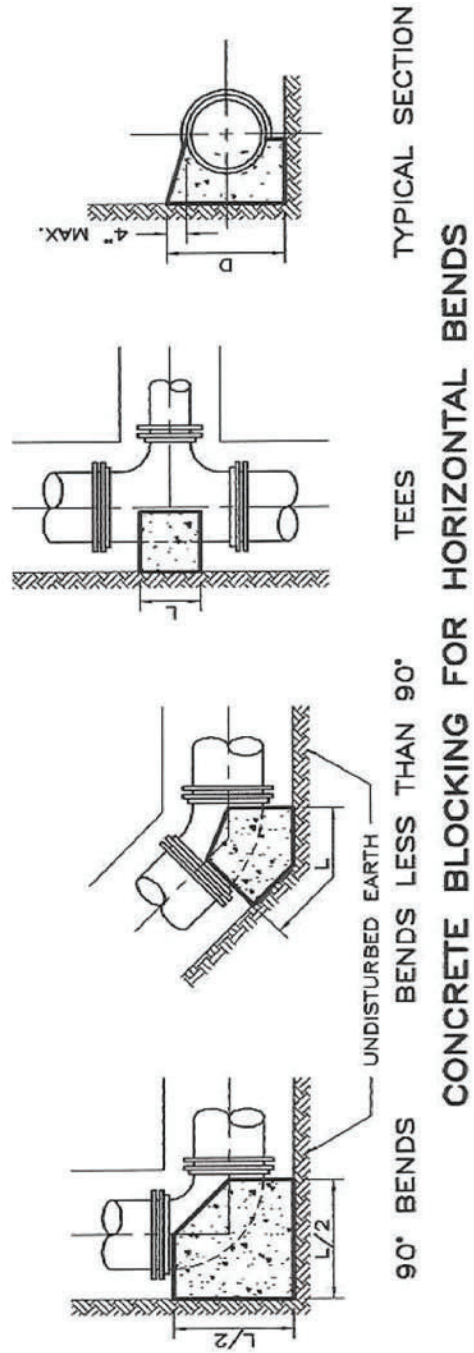
Date 3-1-2006



CONCRETE BLOCKING FOR VERTICAL BENDS

SIZE OF PIPE	BENDS					
	DEGREE OF BEND		45°		90°	
	L	D	L	D	L	D
3", 4", 6"	8"	6"	10"	6"	20"	6"
8"	9"	8"	14"	8"	24"	8"
12"	14"	12"	22"	12"	30"	15"
16"	18"	16"	24"	16"	33"	18"

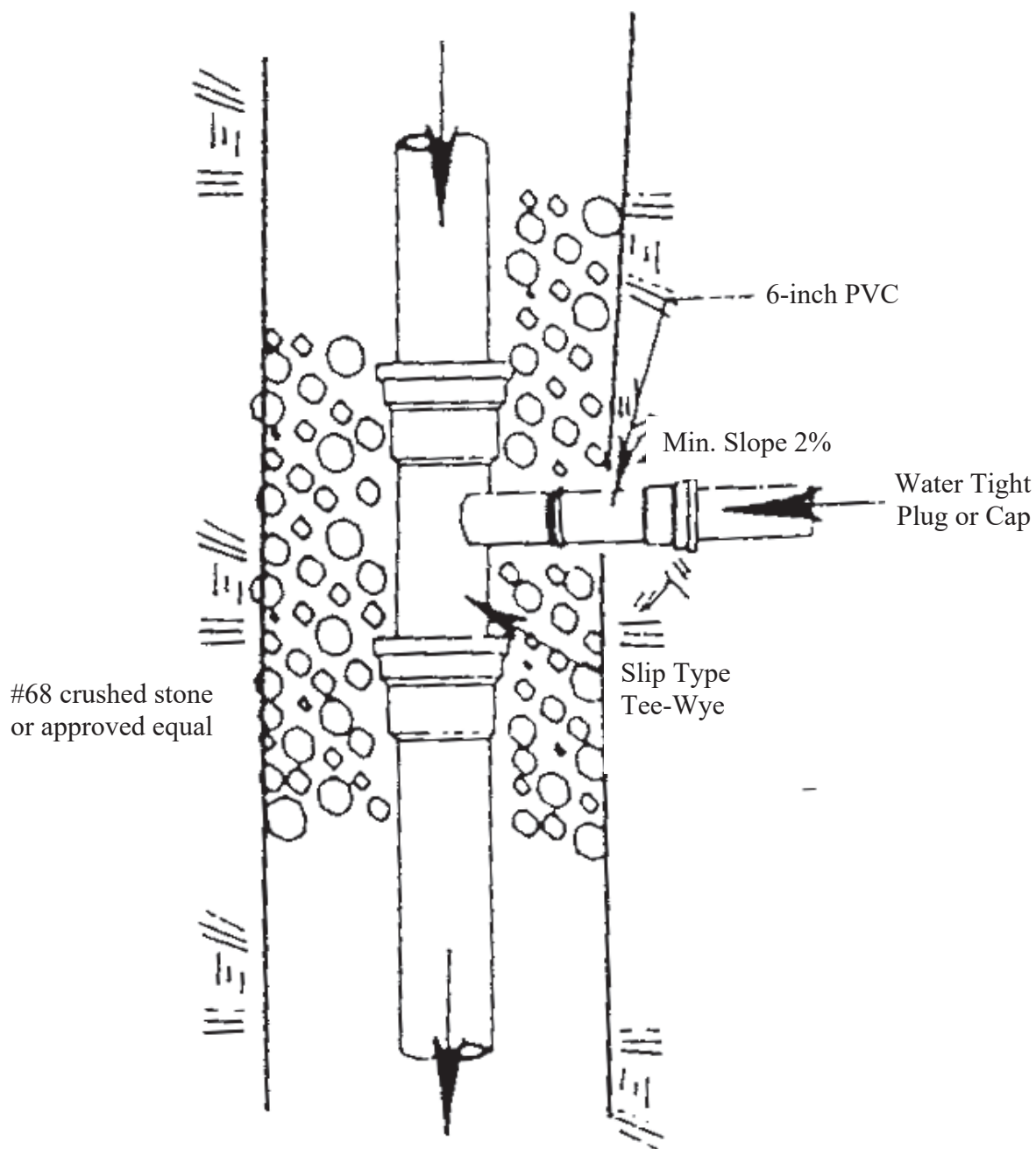
RUN	TEES					
	BRANCH		8"		12"	
	L	D	L	D	L	D
3", 4", 6"	16"	7"				
8"	14"	8"	18"	12"		
12"	9"	12"	18"	12"	24"	18"
16"	8"	16"	14"	16"	28"	16"



CONCRETE BLOCKING FOR HORIZONTAL BENDS

Concrete Blocking For Sewer Force Mains

Typical Plan View for Sewer Connection



STANDARD DRAWINGS

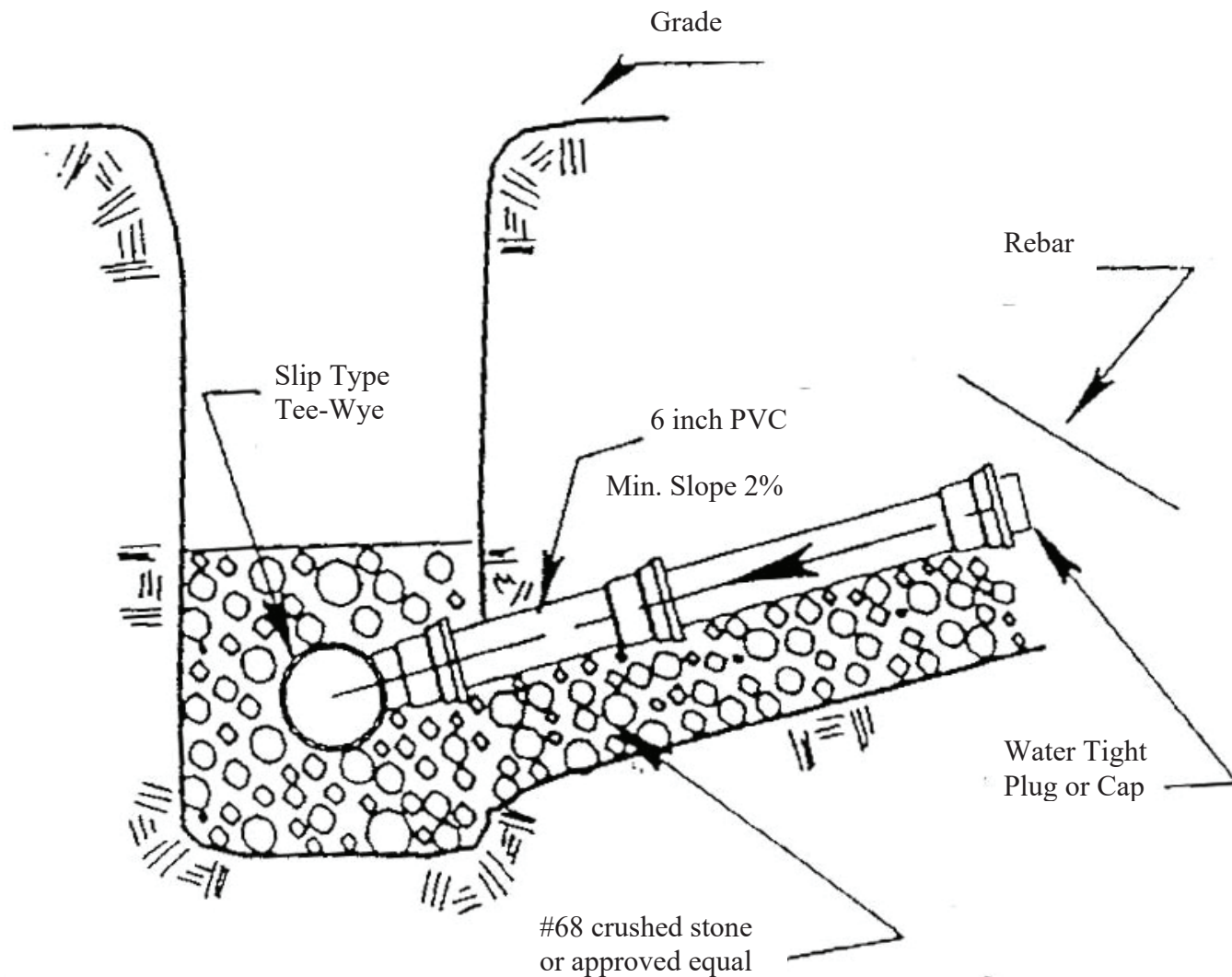
LA GRANGE UTILITIES COMMISSION

412 E. Jefferson Street

LA GRANGE, KY. 40031

Date 3-1-2006

House Connection For Shallow Sewer



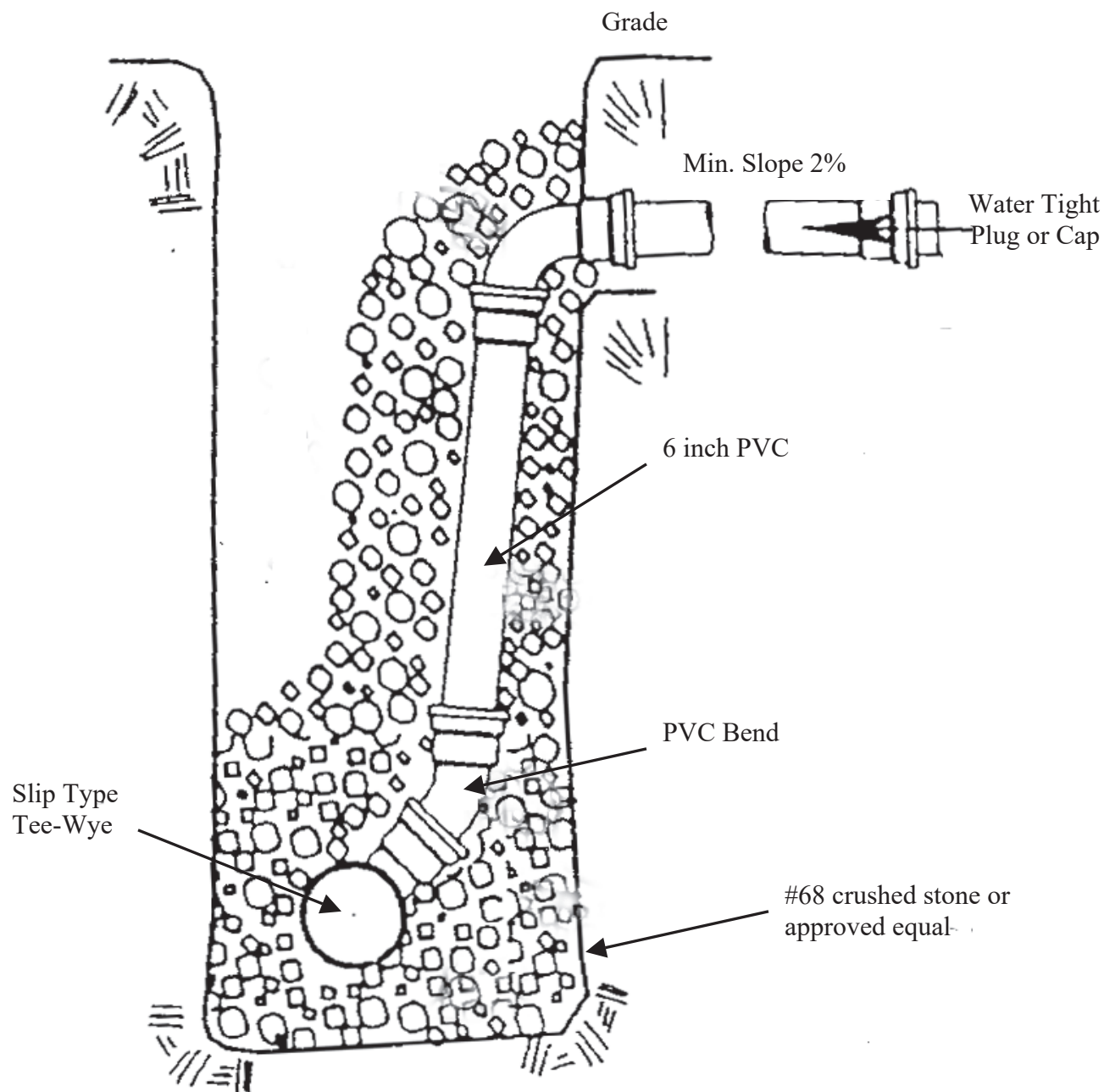
STANDARD DRAWINGS

LA GRANGE UTILITIES COMMISSION

412 E. Jefferson Street
LA GRANGE, KY. 40031

Date 3-1-2006

House Connection For Deep Sewer In Rock or Confined Location



STANDARD DRAWINGS

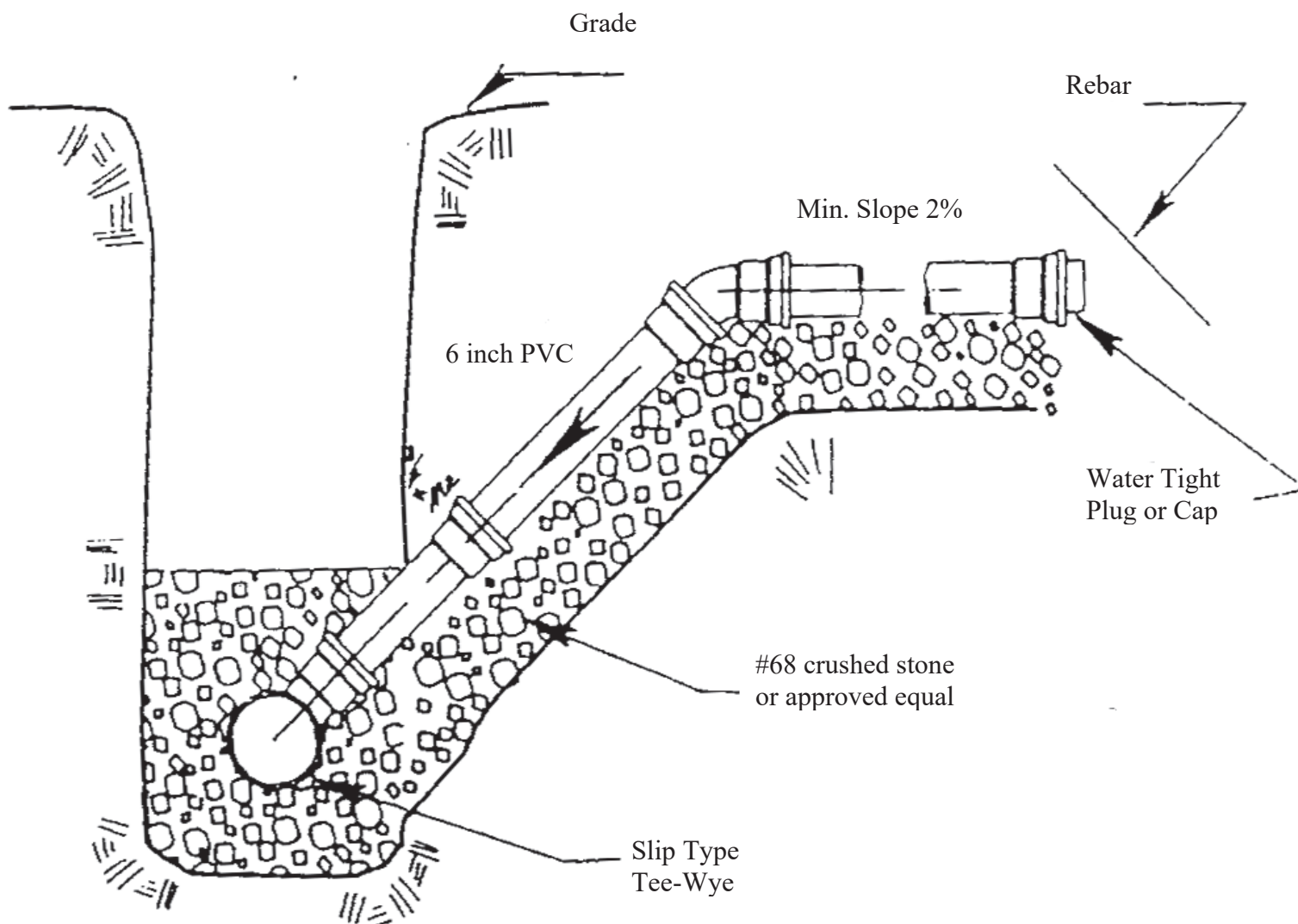
LA GRANGE UTILITIES COMMISSION

412 E. Jefferson Street

LA GRANGE, KY. 40031

Date 3-1-2006

House Connection For Deep Sewer In Earth



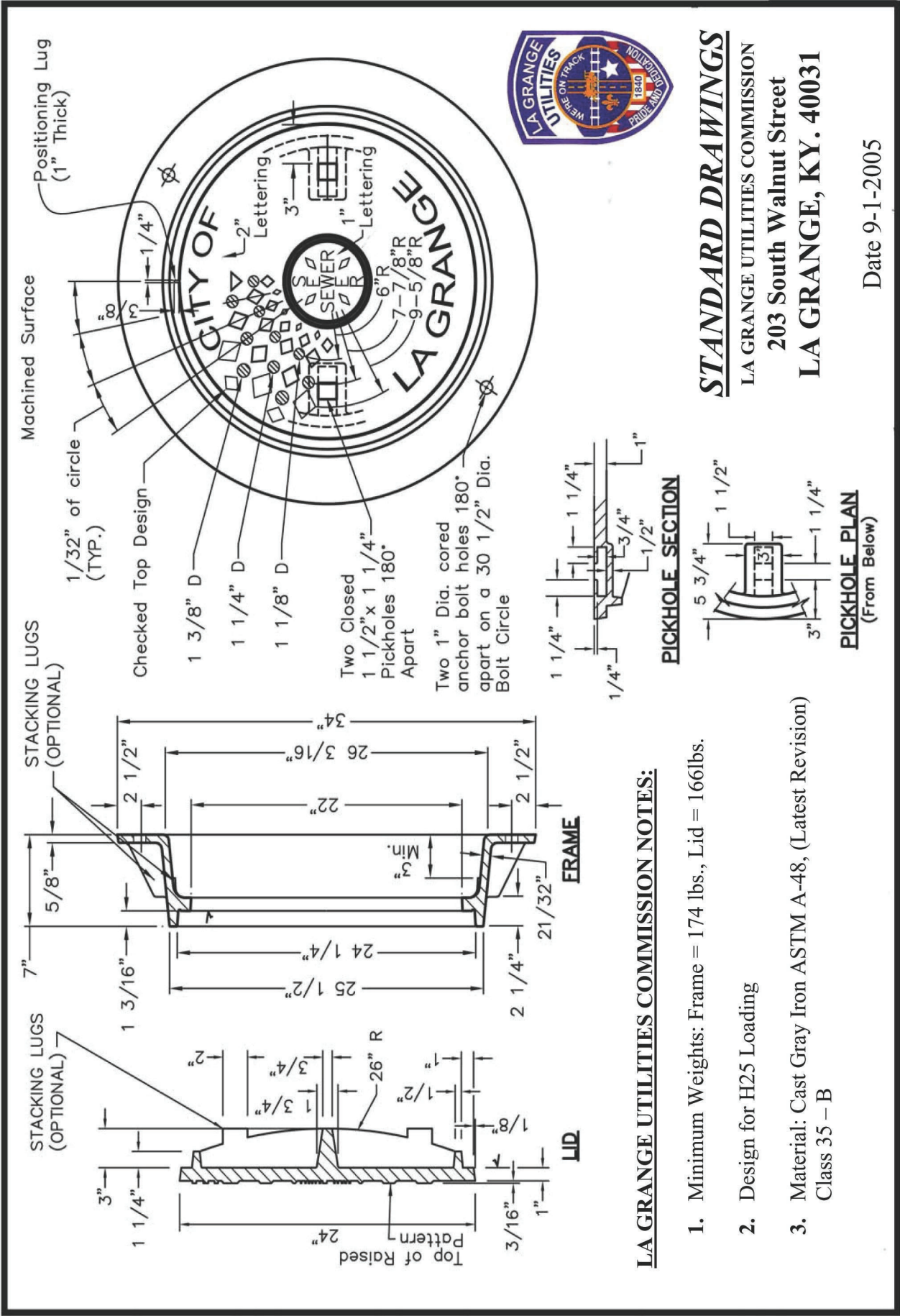
STANDARD DRAWINGS

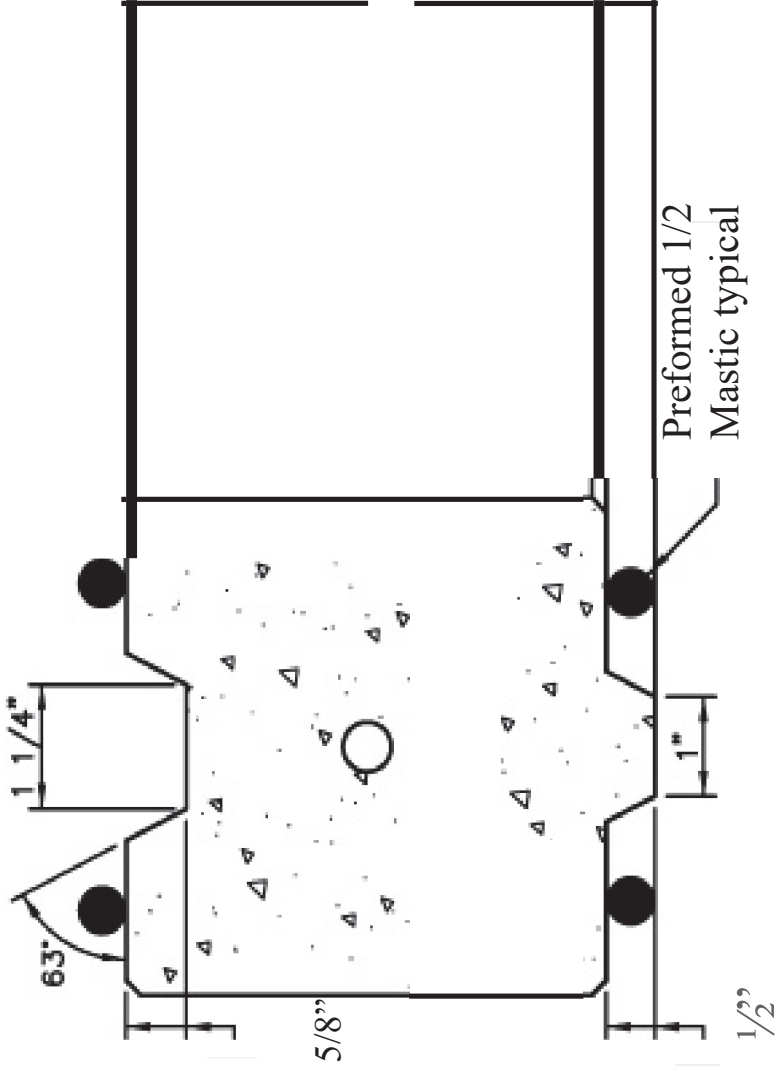
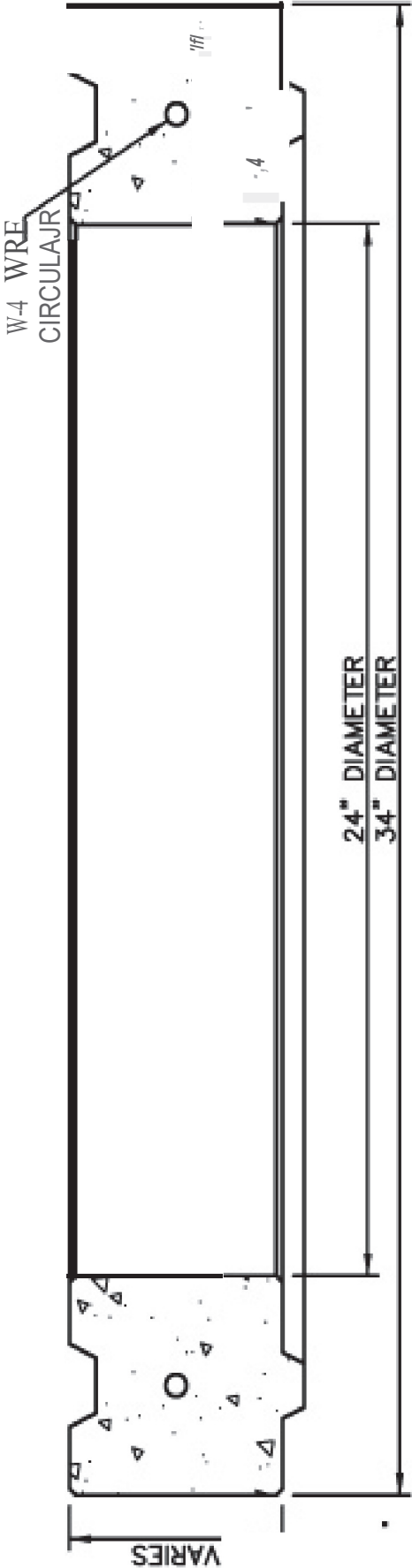
LA GRANGE UTILITIES COMMISSION

412 E. Jefferson Street
LA GRANGE, KY. 40031

Date 3-1-2006

Standard La Grange Utilities Manhole Frame & Lid





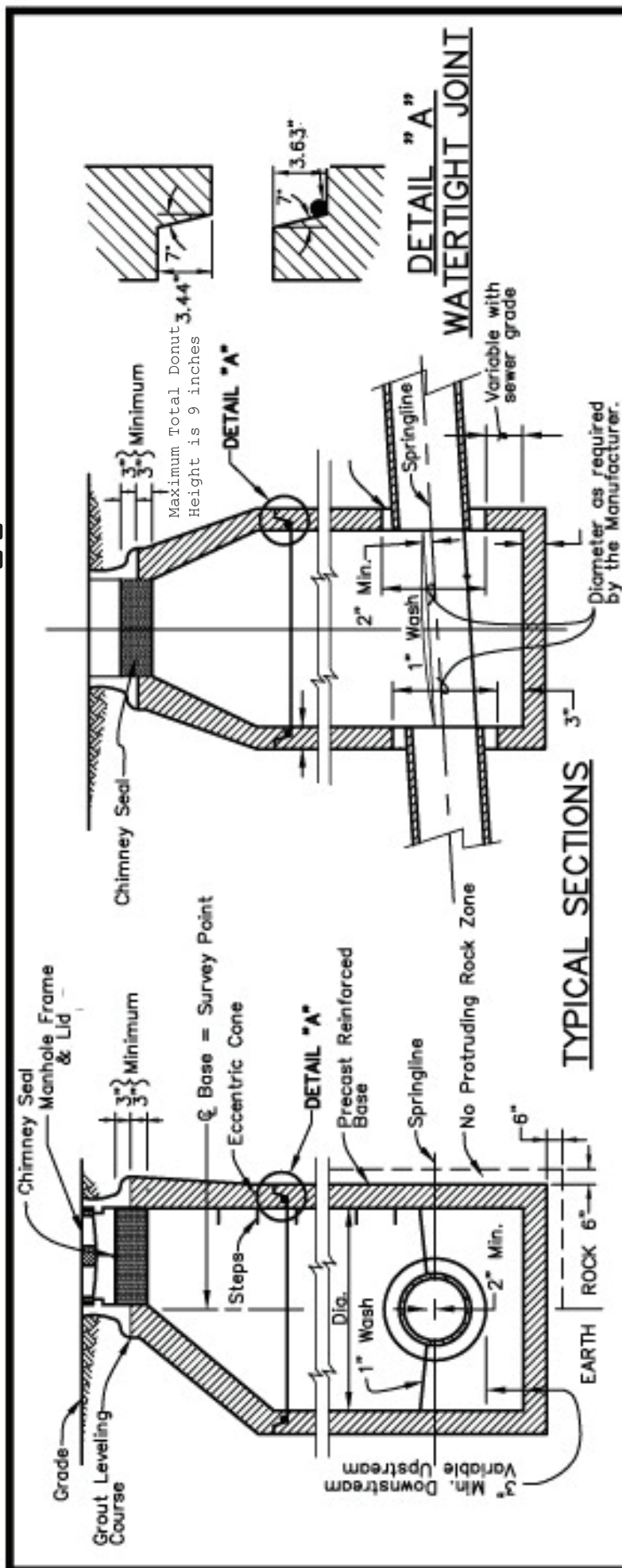
NOTES:

- 1. CONCRETE STRENGTH-4500 PSI @ 28 DAYS
- 2. REINFORC, EMENT ASTM A-615 & ASTM A-615M (LATEST REVISION) **GRADE 60**
- 3. DESIGN LOAD -ASTM C478 & ASTM C478M (LATEST REVISION) ON MANHOLE ONLY.
- 4. COLLAR THICKNESS- 3", 4", 6", 9"
- 5. ALL EDGES HAVE A 3/16 x 45° CHAMFER.



STANDARD DRAWINGS
GRANGE UTILITIES COMMISSION
203 South W

La Grange Utilities Commission Standard Manhole Type 1



Manhole inverts on 8" pipe shall have a min. constant grade of .9% unless any line exceeds 10%, at which time the variable grade note applies.

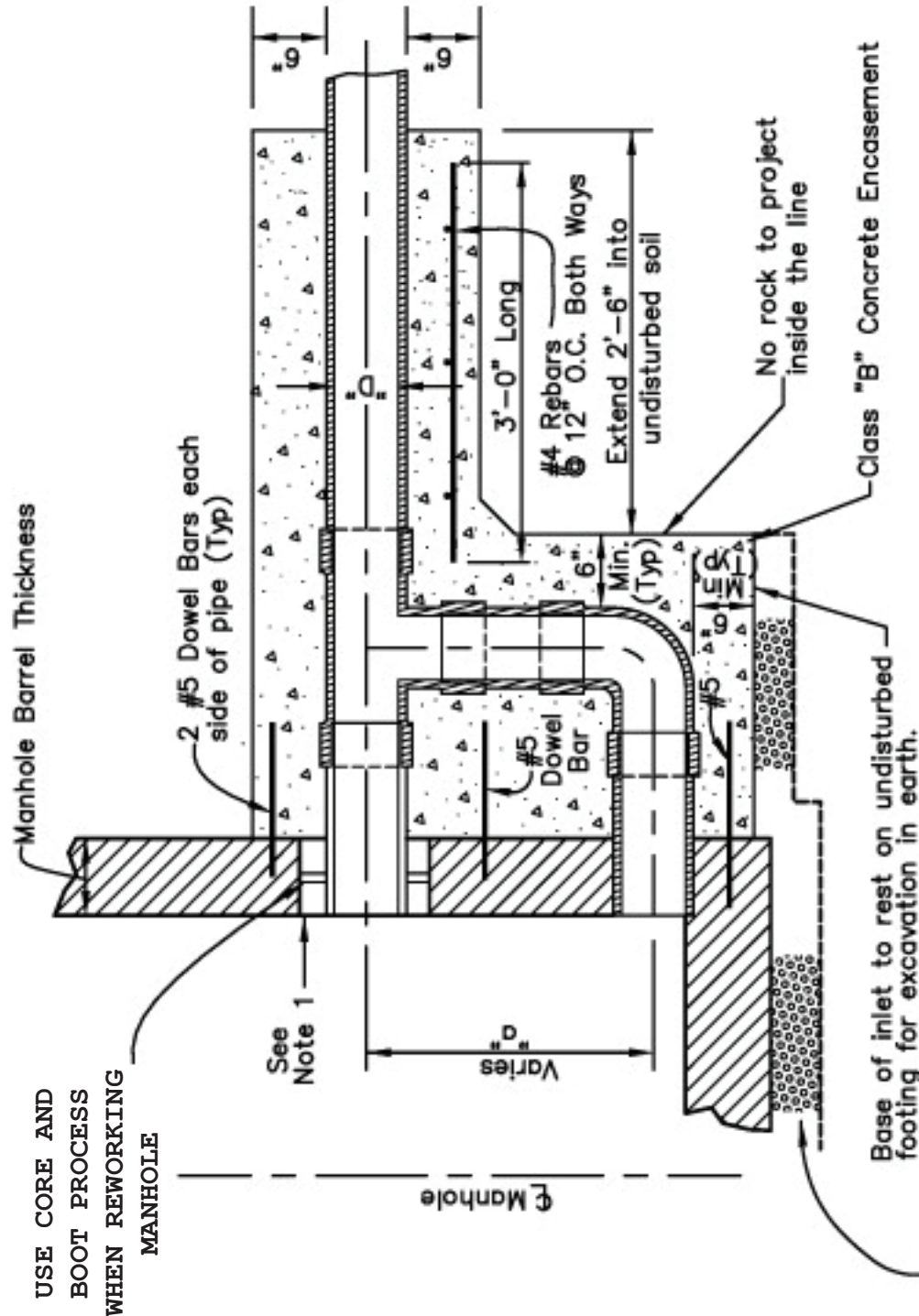
All new manholes must be precast. Manholes must be moved with approved lifting rings and spreader bar, any damage to manhole will result in rejection from La Grange Utilities.

Waterproofing: Waterproofing will be required to all manholes. 12" mastic wrap is required on the outside of all joints (clean and apply bonding agent per manf. Spec. before application).

Flexible connectors designed to produce a positive watertight connection for pipes entering precast manholes shall be provided. These connectors shall be the A-LOK produced by A-LOK Products, Inc. or approved equal such as a boot held with a stainless-steel band and two stainless steel bands around the pipe.

Interior coating: Where manholes are subject to excessive hydrogen sulfide exposure, the City requires manholes be lined with a one-part urethane Tnemec Series 434 Perma-Shield H2S or equal. Interior coating shall be applied prior to delivery to the site and touched up.

P.V.C. Vertical Drop Inlet



P.V.C. DROP INLET CHART		
Minimum Dimensions to ϵ	"D"	I.D. of Pipe & Drop Inlet
16"	4"	
20"	6"	
24"	8"	
26"	9"	
27"	10"	
31"	12"	
37"	15"	
47"	18"	
51"	21"	
64"	24"	
73"	27"	



STANDARD DRAWINGS
LA GRANGE UTILITIES COMMISSION
203 South Walnut Street
LA GRANGE, KY. 40031

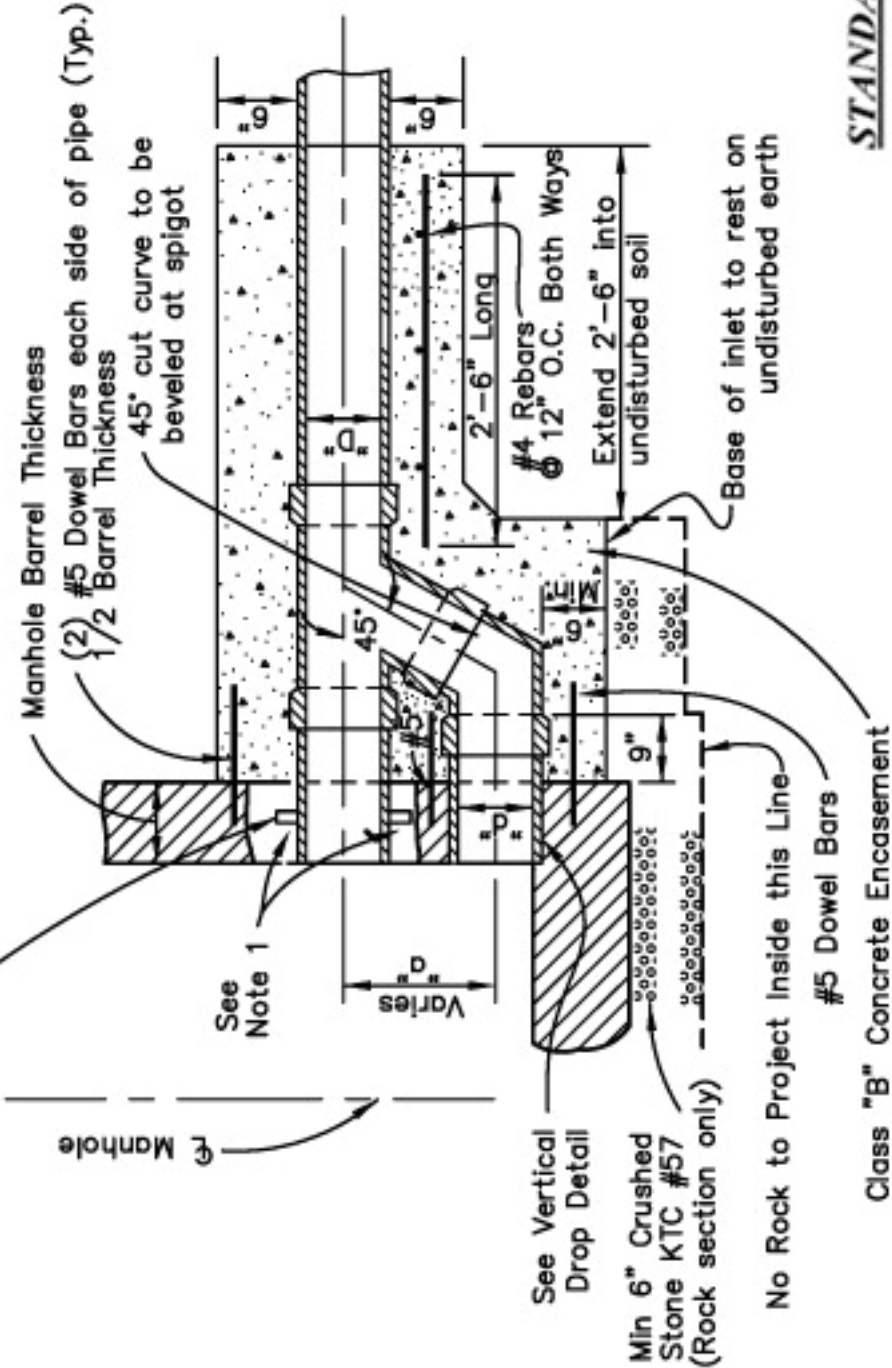
NOTE

1. Sewer Pipe Connection to precast manhole with Positive Seal Gasketing System (A.S.T.M. C-923) Gasket shall be as manufactured by the Press Seal Gasket Co., A-Lok or Kor-N-Seal manhole pipe connectors or approved equal for sanitary sewers.

3-1-2006

P.V.C. Slanting Drop Inlet

USE CORE AND
BOOT PROCESS
WHEN REWORKING
MANHOLE



P.V.C. DROP
INLET CHART

Minimum Dimensions to	"D" of Pipe & Drop Inlet
16"	4"
20"	6"
24"	8"
26"	9"
27"	10"
31"	12"
37"	15"
47"	18"
51"	21"
64"	24"
73"	27"

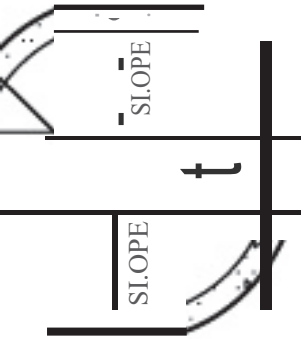


STANDARD DRAWINGS
LA GRANGE UTILITIES COMMISSION
203 South Walnut Street
LA GRANGE, KY. 40031

NOTE:
1. Sewer pipe connection to precast manhole with Positive Seal Gasketing System (A.S.T.M. C-923). Gasket shall be as manufactured A-lok or Kor-N-Seal Manhole pipe connectors or approved equal for sanitary sewers

3-1-2006

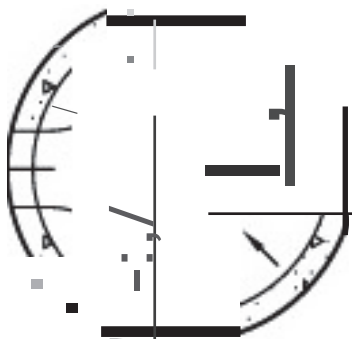
Flowline to be finished, ...



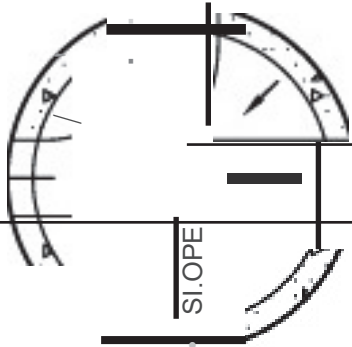
TYPICAL STRAIGHT FLOW



TYPICAL CURVE FLOW



TYPICAL 4-WAY FLOW



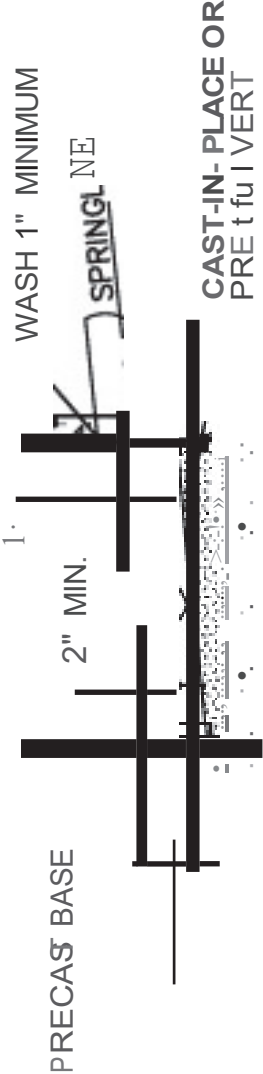
TYPICAL 3-WAY FLOW



MINIMUM DISTANCE BETWEEN
OPENING FOR PIPE



TYPICAL CHANNELIZATION DETAILS
FOR SANITARY SEWERS



TYPICAL CROSS SECTION

STANDARD DRAWINGS
LAGRANGE UTILITIES COMMISSION
412 E. Jefferson Street
LA GRANGE, KY. 40031

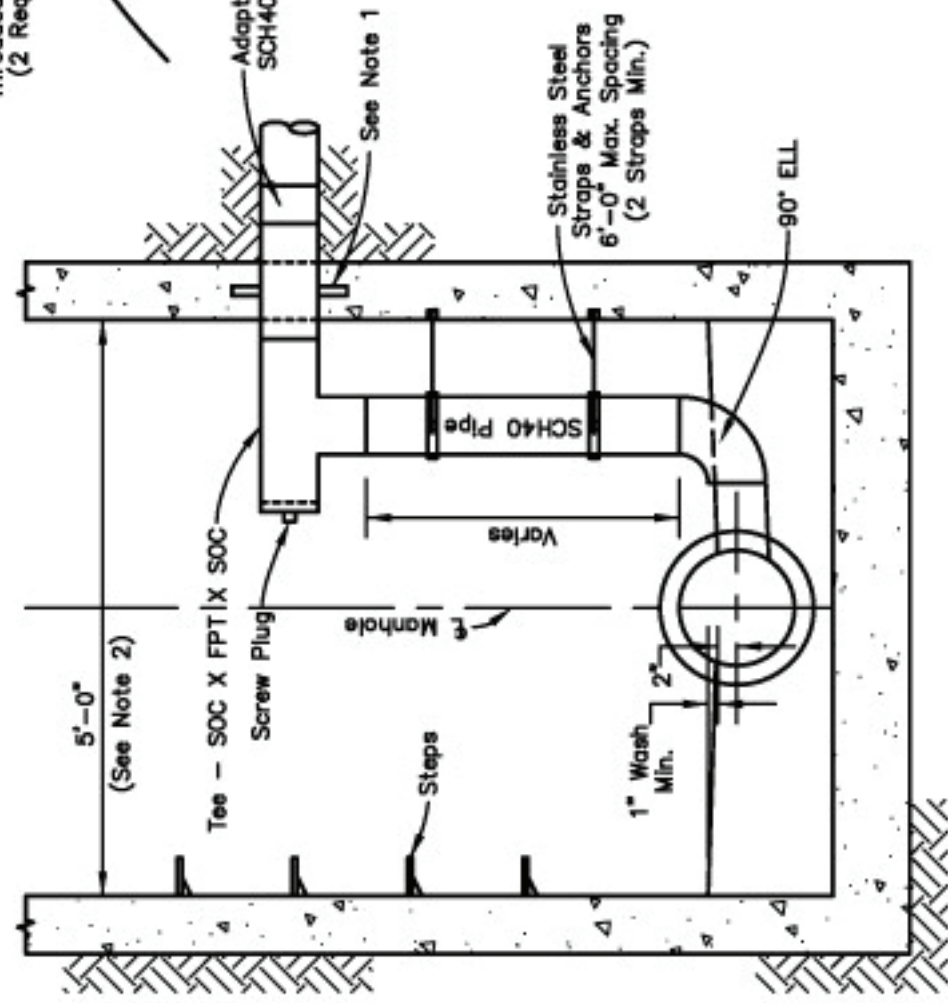
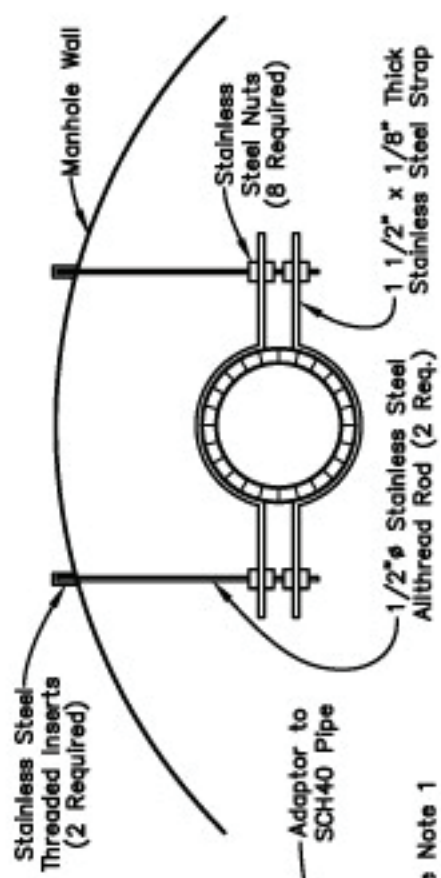
Date 9-1-2005

Force Main into Manhole Detail

3-1-2006

NOTES:

1. Sewer pipe connection to precast manhole with Positive Seal Gasketing System (A.S.T.M. C-923). Gasket shall be as manufactured by A-lak or Kor-N-Seal Manhole gasket pipe connectors or approved equal for sanitary sewers.
2. The minimum diameter will be 5'-0" for a 2-inch thru 6-inch sewer. For pipe sizes greater than 6-inches, the manhole diameter will need to be approved by MSD.

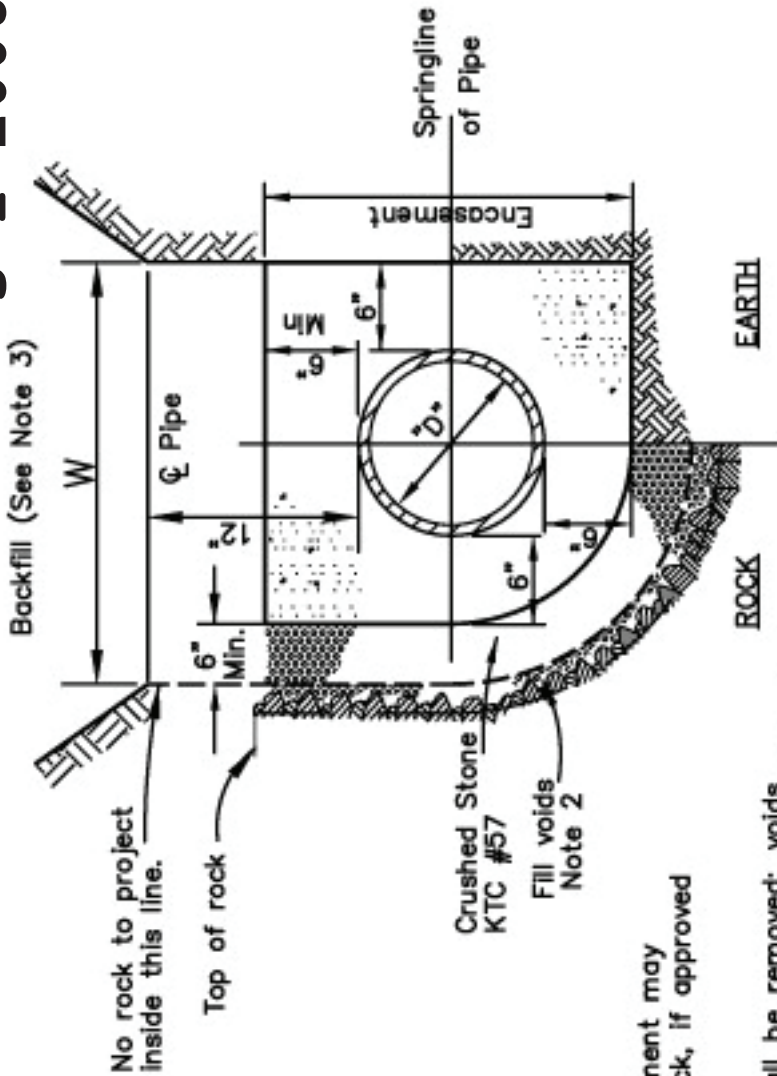


STANDARD DRAWINGS
LA GRANGE UTILITIES COMMISSION
203 South Walnut Street
LA GRANGE, KY. 40031

CONCRETE ENCASEMENT

3-1-2006

Maximum Allowable Trench Width 12" Above Outside Top of Pipe "W"	Inside Diameter of Pipe "D"
2'-6"	4"
2'-8"	6"
2'-10"	8"
3'-0"	10"
3'-5"	12"
3'-9"	15"
4'-1"	18"
4'-4"	21"
4'-8"	24"
5'-1"	27"
5'-5"	30"
5'-10"	33"
6'-2"	36"
6'-8"	39"
6'-11"	42"
7'-6"	48"
D+2t+ 2'-8"	over 48"



NOTES:

1. The concrete encasement may be placed against rock, if approved by the engineer.
2. All rock loosened shall be removed; voids created by such removal shall be filled with Crushed Stone KTC #57.
3. Backfill shall be as noted on the drawings.
4. Contractor shall encase pipe a minimum of 6-inches above the pipe or to existing rock line, as shown on drawings.
5. Contractor shall keep pipe from floating during the placement of concrete.
6. If concrete is placed against sheeting, tarred or other suitable paper shall be first attached to the sheeting. The sheeting will not be allowed to be removed until the concrete has sufficiently cured.
7. Class "B" concrete shall be used.



STANDARD DRAWINGS
LA GRANGE UTILITIES COMMISSION
203 South Walnut Street
LA GRANGE, KY. 40031

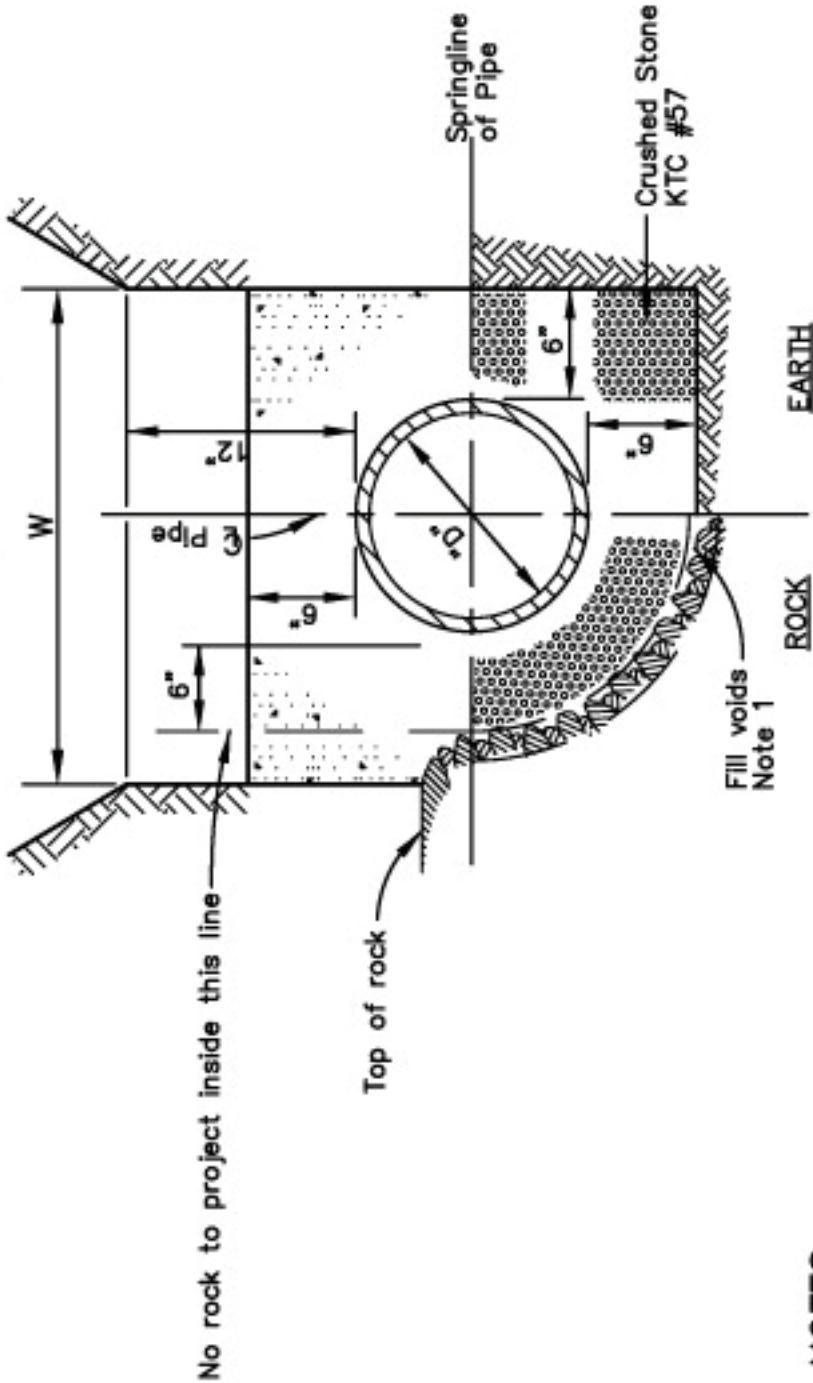
CONCRETE CAP

3-1-2006

Backfill (See Note 5)

Maximum Allowable Trench Width 12" Above Outside Top of Pipe "W"	Inside Diameter of Pipe "D"
2'-6"	4"
2'-8"	6"
2'-10"	8"
3'-0"	10"
3'-5"	12"
3'-9"	15"
4'-1"	18"
4'-4"	21"
4'-8"	24"
5'-1"	27"
5'-5"	30"
5'-10"	33"
6'-2"	36"
6'-8"	39"
6'-11"	42"
7'-6"	48"
D+2t+2'-8"	over 48"

If "W" is exceeded, a Concrete Cradle shall be placed at such locations at the Contractor's expense. Applies to excavations in rock or earth.



NOTES:

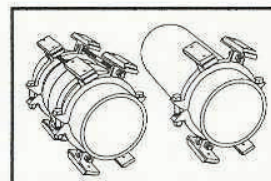
1. All rock loosened shall be removed; voids created by such removal shall be refilled with Crushed Stone KTC #57.
2. If concrete is placed against sheeting, tarred or other suitable paper shall be first attached to the sheeting. The sheeting will not be allowed to be removed until the concrete has sufficiently cured.
3. The concrete cap may be placed against rock, if approved by the engineer.
4. Class "B" concrete shall be used.
5. Backfill shall be as noted on the drawing.
6. Remove stone for pipe bells to provide full contact of bedding.



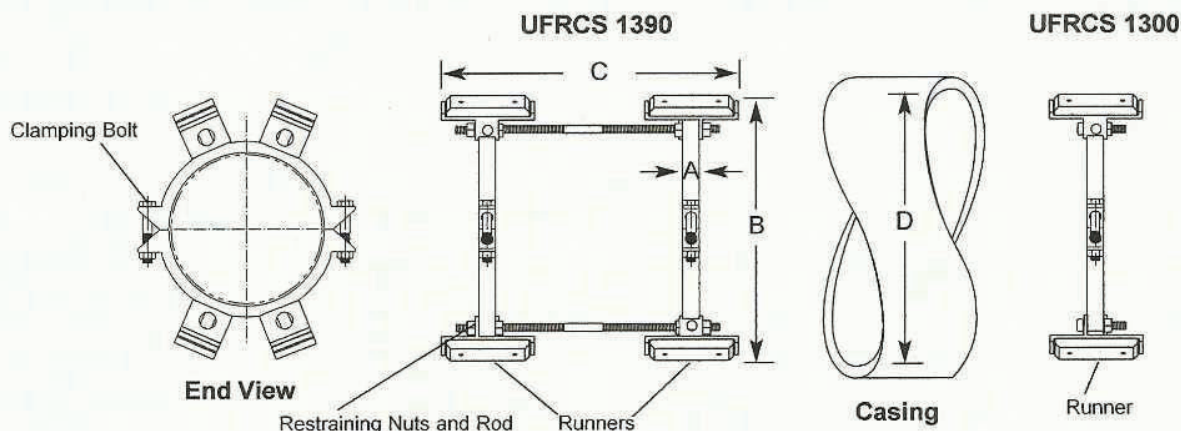
STANDARD DRAWINGS
LA GRANGE UTILITIES COMMISSION
203 South Walnut Street
LA GRANGE, KY. 40031

SUBMITTAL INFORMATION

Uni-Flange Series Casing Spacers 10" - 12" UFRCS



CASING SPACERS FOR SUPPORT OF 10" AND 12" PIPE BARREL AND PIPE BELL JOINT



RESTRAINED CASING SPACER FOR PIPE BELL JOINT - UFRCS1390

NOM. PIPE SIZE	ACTUAL PIPE O.D.	CATALOG NUMBER	APPROX. SHIPPING WEIGHT	A	B (APPROX.)	C (APPROX.)	D MIN. CASING SIZE (ID)	RUNNER QTY.	✓ SUBMITTED ITEMS
10"	11.10"	UFRCS1390-C-10	69.8	1-3/8"	19-3/4"	16"	21.5"	8	
12"	13.20"	UFRCS1390-C-12	75.8	1-3/8"	21-7/8"	18"	23"	8	

CASING SPACER FOR SUPPORT OF PIPE BARREL - UFRCS1300

NOM. PIPE SIZE	ACTUAL PIPE O.D.	CATALOG NUMBER	APPROX. SHIPPING WEIGHT	A	B (APPROX.)	C (APPROX.)	D MIN. CASING SIZE (ID)	RUNNER QTY.	✓ SUBMITTED ITEMS
10"	11.10"	UFRCS1300-C-10	33.1	1-3/8"	19-3/4"	N/A	21.5"	4	
12"	13.20"	UFRCS1300-C-12	36.1	1-3/8"	21-7/8"	N/A	23"	4	

FEATURES

- High strength ductile iron, ASTM A536, 65,000 psi tensile strength, 45,000 psi yield strength, 12% elongation capability. (Grade 65-45-12)
- Restraining Rods/Nuts: High Strength, Low Alloy ASTM A242, ANSI/AWWA C111/A21.11
- Clamping Bolts / Nuts: SAEJ 429, Grade 5
- Runners: Ultra high molecular weight polymer
Coefficient of Friction .10 ASTM D-1894 Tensile Impact 600-1200 ft. lbs. / in²
Dielectric Constant 2.3 ASTM D-150
Temperature Range -220 F. to +1800 F. continuous service
- 10" - 12" Sizes: Runners installed on tie rod ears.
- The UFRCS1390 provides joint restraint. The UFRCS1300 provides pipe support only.

The Ford Meter Box Company considers the information in this submittal form to be correct at the time of publication. Item and option availability, including specifications, are subject to change without notice. Please verify that your product information is current.



The Ford Meter Box Company, Inc.
P.O. Box 443, Wabash, Indiana U.S.A. 46992-0443
Phone: 260-563-3171 / Fax: 800-826-3487
Overseas Fax: 260-563-0167
<http://www.fordmeterbox.com>

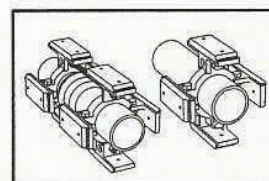
04/09/01

Submitted By:

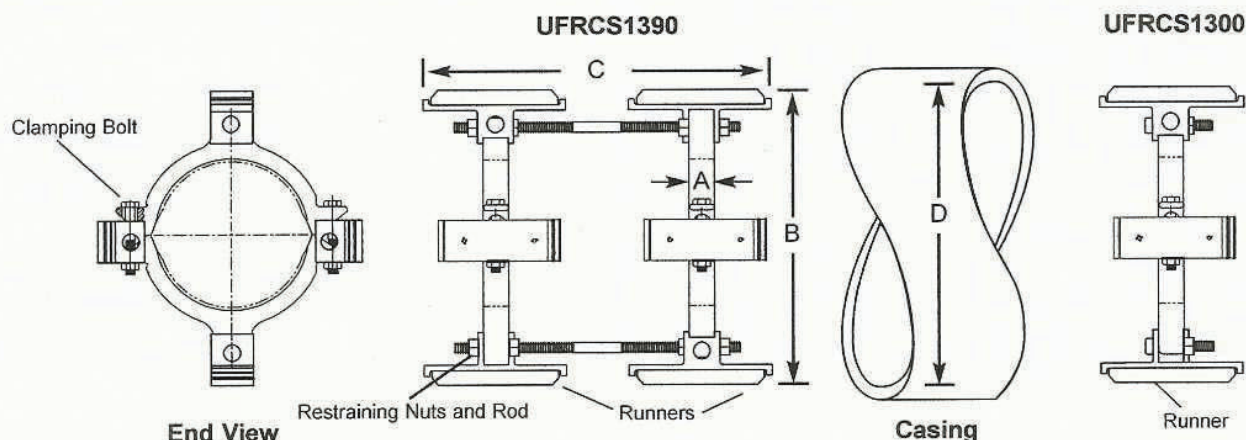


SUBMITTAL INFORMATION

Uni-Flange Series Casing Spacers 4" - 8"UFRCS



CASING SPACERS FOR SUPPORT OF 4", 6" AND 8" PIPE BARREL AND PIPE BELL JOINT



RESTRAINED CASING SPACER FOR PIPE BELL JOINT - UFRCS1390

NOM. PIPE SIZE	ACTUAL PIPE O.D.	CATALOG NUMBER	APPROX. SHIPPING WEIGHT	A	B (APPROX.)	C (APPROX.)	D MIN. CASING SIZE (ID)	RUNNER QTY.	✓ SUBMITTED ITEMS
4"	4.80"	UFRCS1390-C-4	31.7	1-1/8"	11-3/4"	12"	12.4"	8	
6"	6.90"	UFRCS1390-C-6	33.7	1-1/8"	13-3/8"	13"	13.6"	8	
8"	9.05"	UFRCS1390-C-8	44.9	1-1/8"	17-1/8"	15"	17.5"	8	

CASING SPACER FOR SUPPORT OF PIPE BARREL - UFRCS1300

NOM. PIPE SIZE	ACTUAL PIPE O.D.	CATALOG NUMBER	APPROX. SHIPPING WEIGHT	A	B (APPROX.)	C (APPROX.)	D MIN. CASING SIZE (ID)	RUNNER QTY.	✓ SUBMITTED ITEMS
4"	4.80"	UFRCS1300-C-4	14.2	1-1/8"	11-3/4"	N/A	12.4"	4	
6"	6.90"	UFRCS1300-C-6	15.2	1-1/8"	13-3/8"	N/A	13.6"	4	
8"	9.05"	UFRCS1300-C-8	21.4	1-1/8"	17-1/8"	N/A	17.5"	4	

FEATURES

- High strength ductile iron, ASTM A536, 65,000 psi tensile strength, 45000 psi yield strength, 12% elongation capability. (Grade 65-45-12)
- Restraining Rods/Nuts: High Strength, Low Alloy ASTM A242, ANSI/AWWA C111/A21.11
- Clamping Bolts / Nuts: SAEJ 429, Grade 5
- Runners: - Ultra high molecular weight polymer - Tensile Impact 600-1200 ft. lbs. / in²
 - Coefficient of Friction .10 - ASTM D-1894 - Dielectric Constant 2.3 ASTM D- 150
 - Temperature Range -220 F. to +1800 F. continuous service
- 4" - 8" Sizes: Runners installed on tie rod ears and clamping bolt pads.
- The UFRCS1390 provides joint restraint. The UFRCS1300 provides pipe support only.

The Ford Meter Box Company considers the information in this submittal form to be correct at the time of publication. Item and option availability, including specifications, are subject to change without notice. Please verify that your product information is current.



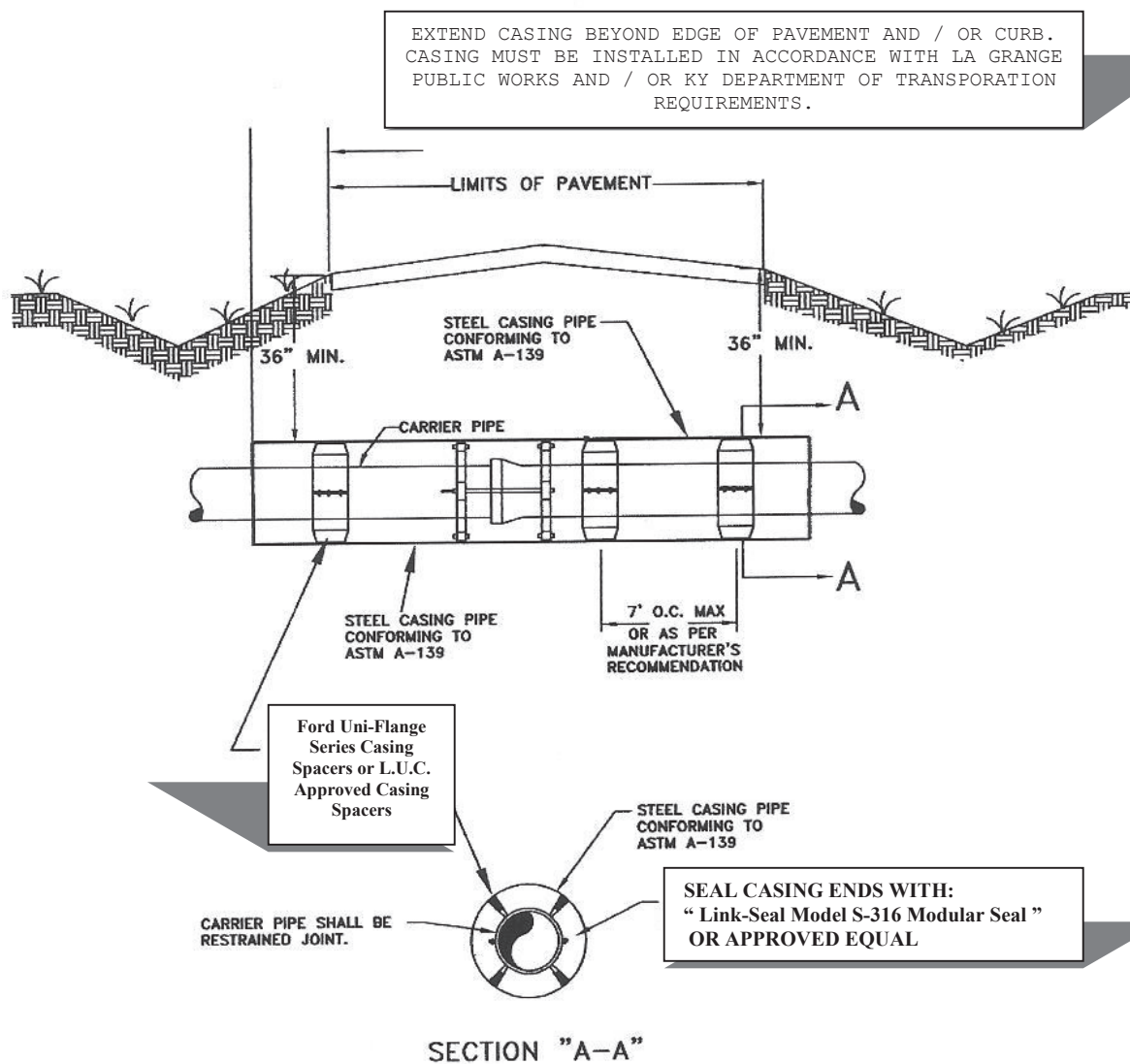
The Ford Meter Box Company, Inc.
 P.O. Box 443, Wabash, Indiana U.S.A. 46992-0443
 Phone: 260-563-3171 / Fax: 800-826-3487
 Overseas Fax: 260-563-0167
<http://www.fordmeterbox.com>

10/30/00

Submitted By:



Casing & Boring Detail



STANDARD **DRAWINGS**

LA GRANGE UTILITIES COMMISSION
412 E. Jefferson Street
LA GRANGE, KY. 40031

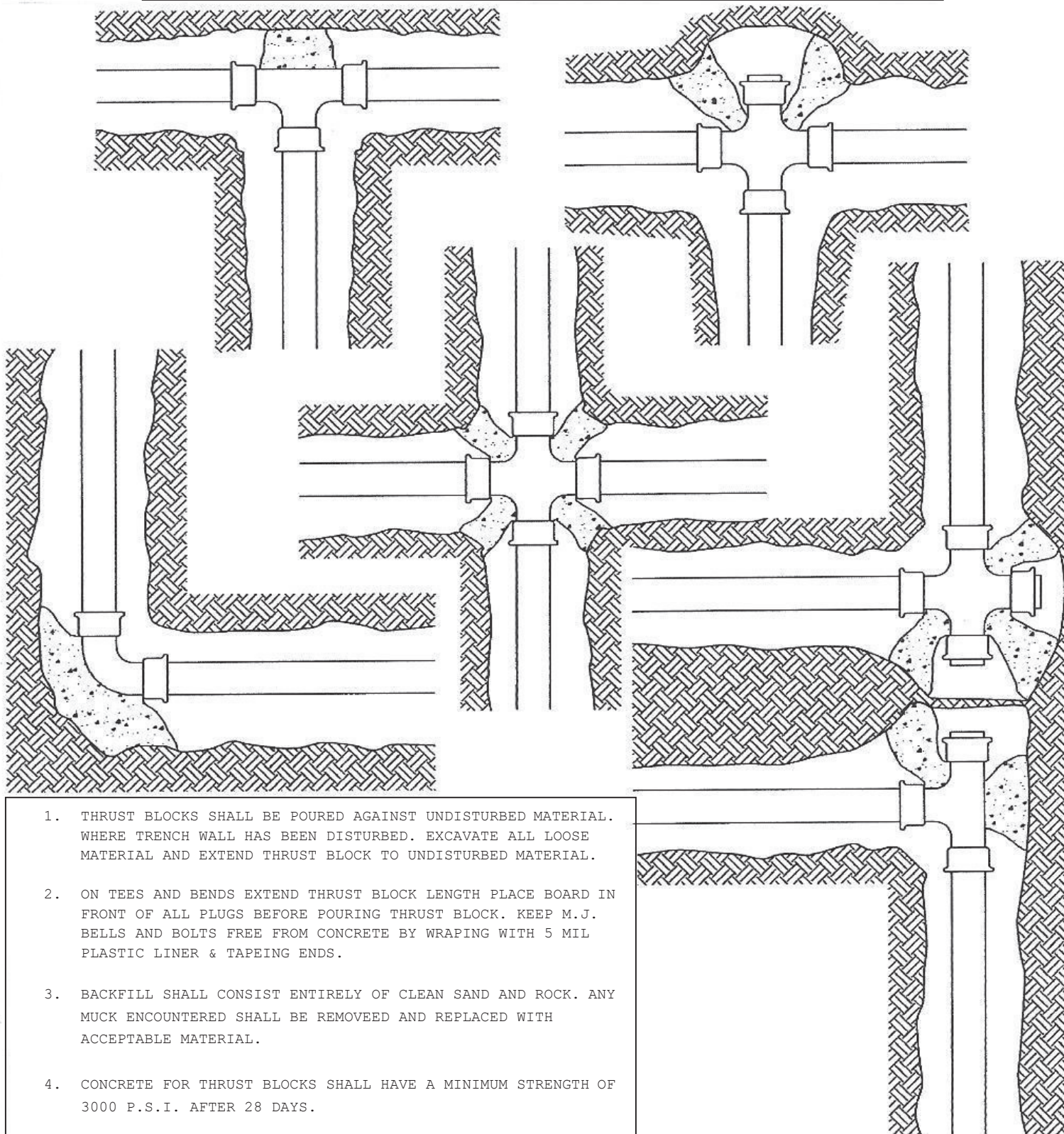




La Grange Utilities Commission Concrete Blocking Details



03-2004

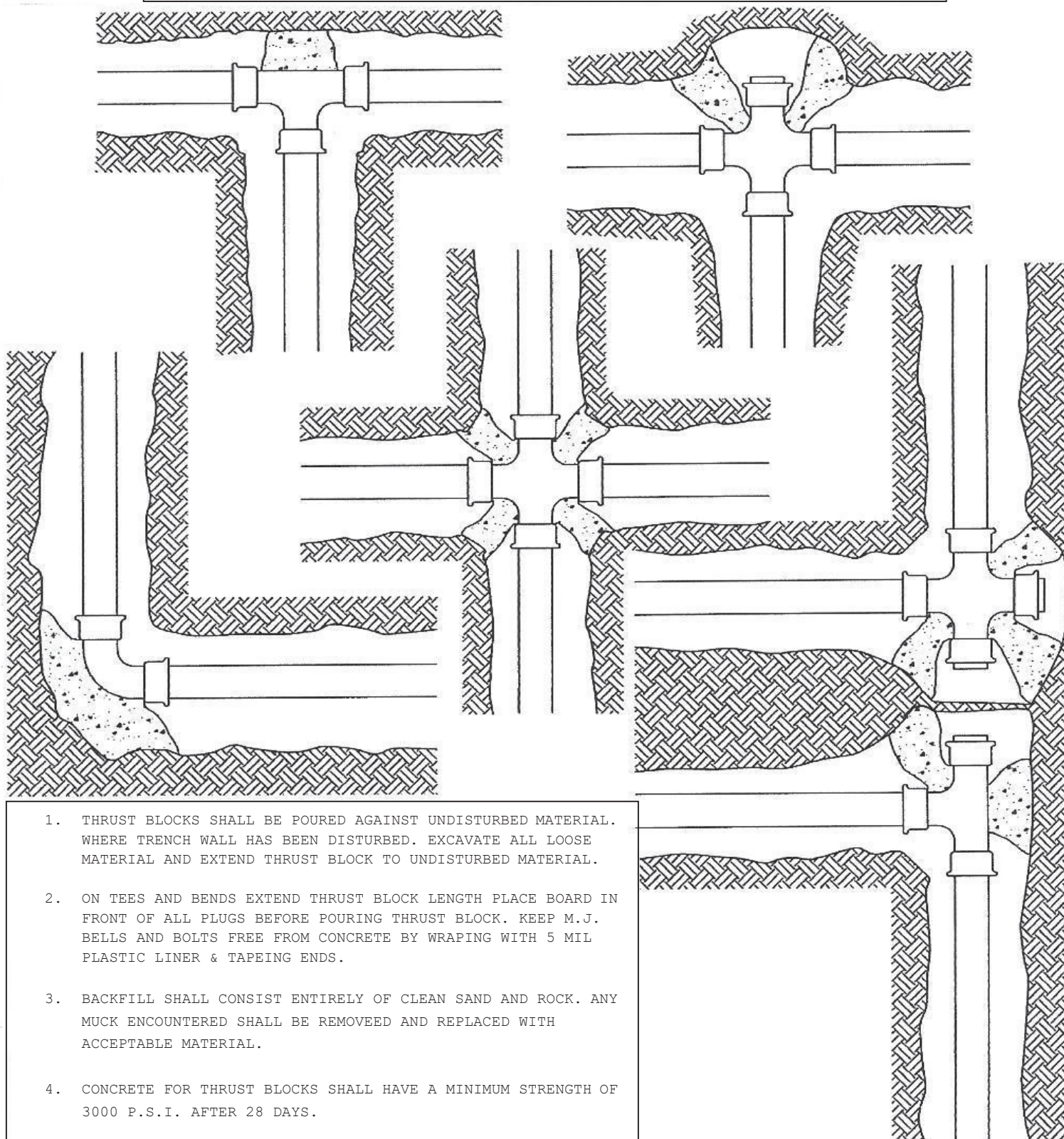


1. THRUST BLOCKS SHALL BE POURED AGAINST UNDISTURBED MATERIAL. WHERE TRENCH WALL HAS BEEN DISTURBED. EXCAVATE ALL LOOSE MATERIAL AND EXTEND THRUST BLOCK TO UNDISTURBED MATERIAL.
2. ON TEES AND BENDS EXTEND THRUST BLOCK LENGTH PLACE BOARD IN FRONT OF ALL PLUGS BEFORE POURING THRUST BLOCK. KEEP M.J. BELLS AND BOLTS FREE FROM CONCRETE BY WRAPING WITH 5 MIL PLASTIC LINER & TAPEING ENDS.
3. BACKFILL SHALL CONSIST ENTIRELY OF CLEAN SAND AND ROCK. ANY MUCK ENCOUNTERED SHALL BE REMOVED AND REPLACED WITH ACCEPTABLE MATERIAL.
4. CONCRETE FOR THRUST BLOCKS SHALL HAVE A MINIMUM STRENGTH OF 3000 P.S.I. AFTER 28 DAYS.
5. THRUST BLOCK SITES COMPUTED AT 150 P.S.I. PRESSURE AND 2000 P.S.F SOIL BEARING CAPACITY.



La Grange Utilities Commission Concrete Blocking Details

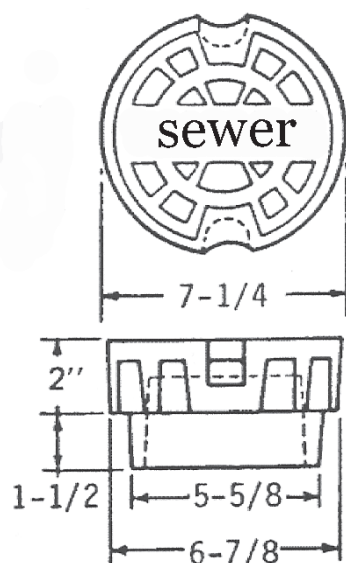
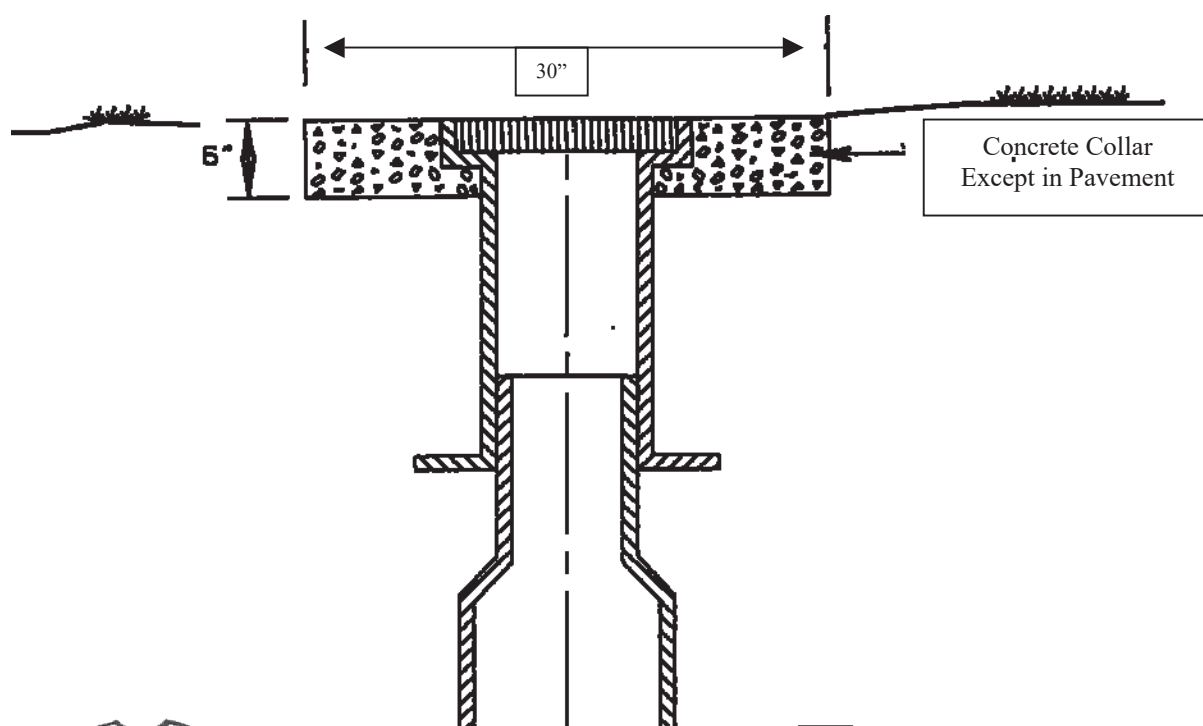
03-2004



1. THRUST BLOCKS SHALL BE POURED AGAINST UNDISTURBED MATERIAL. WHERE TRENCH WALL HAS BEEN DISTURBED. EXCAVATE ALL LOOSE MATERIAL AND EXTEND THRUST BLOCK TO UNDISTURBED MATERIAL.
2. ON TEES AND BENDS EXTEND THRUST BLOCK LENGTH PLACE BOARD IN FRONT OF ALL PLUGS BEFORE POURING THRUST BLOCK. KEEP M.J. BELLS AND BOLTS FREE FROM CONCRETE BY WRAPING WITH 5 MIL PLASTIC LINER & TAPEING ENDS.
3. BACKFILL SHALL CONSIST ENTIRELY OF CLEAN SAND AND ROCK. ANY MUCK ENCOUNTERED SHALL BE REMOVED AND REPLACED WITH ACCEPTABLE MATERIAL.
4. CONCRETE FOR THRUST BLOCKS SHALL HAVE A MINIMUM STRENGTH OF 3000 P.S.I. AFTER 28 DAYS.
5. THRUST BLOCK SITES COMPUTED AT 150 P.S.I. PRESSURE AND 2000 P.S.F SOIL BEARING CAPACITY.
6. All mechanical joint fittings are required to have grip rings.

Cast Iron Screw Type Valve Box With Cast Iron Cap

30" SQ. X 6" Thick Concrete Pad
Surrounding Box, Min. 3,000 P.S.I.
Poured In Place.



STANDARD DRAWINGS

**LA GRANGE UTILITIES
COMMISSION**

**412 E. Jefferson Street
LA GRANGE, KY. 40031**

Date 3-1-2006



SPECIAL NOTE

Pending U.S. Army Corps of Engineers 404 Permit KYTC Item #: 5-483.3

The contractor should be aware that for this project a Clean Water Act 404 permit has been submitted to the U.S. Army Corps of Engineers (USACE) and approval is currently pending. No work shall occur in a Water of the United States (stream or wetland) until the USACE 404 permit has been approved and secured. It is anticipated the permit will be secured within 60 days of award.



ANDY BESHEAR
GOVERNOR

REBECCA W. GOODMAN
SECRETARY

ENERGY AND ENVIRONMENT CABINET
DEPARTMENT FOR ENVIRONMENTAL PROTECTION

ANTHONY R. HATTON
COMMISSIONER

300 SOWER BOULEVARD
FRANKFORT, KENTUCKY 40601

June 24, 2022

Danny Peake
Kentucky Transportation Cabinet (KYTC)
200 Mero St
Frankfort, KY 40622

Re: §401 Water Quality Certification
I-71 - Oldham Co
I-71 Interchange
WQC No: WQC2022-062-1
AI No.: 169456; Activity ID: APE20220001
KYTC Item No.: 5-483.3
USACE ID No.: LRL-2021-607
UTs to North Fork Currys Fork
Oldham County, Kentucky

Dear Mr. Peake:

Pursuant to Section 401 of the Clean Water Act (CWA) and 40 CFR 121.7(c), the Commonwealth of Kentucky certifies it has reasonable assurances that applicable water quality standards under Kentucky Administrative Regulations Title 401, Chapter 10, established pursuant to Sections 301, 302, 303, 304, 306, and 307 of the CWA, will not be violated by the above referenced project provided that the U.S. Army Corps of Engineers authorizes the activity under a federal license or permit, and the attached conditions are met.

Other permits from the Division of Water may be required for this activity. Projects that disturb one acre or more of land or is part of a larger common plan of development or sale that will ultimately disturb one acre or more of land require a Kentucky Pollution Discharge Elimination System (KPDES) Stormwater Permit; contact the Surface Water Permits Branch (502-564-3410 or SWPBSupport@ky.gov). A Groundwater Protection Plan is required if activities listed in Section 2(2) of 401 KAR 5:037 are conducted. A Water Withdrawal Application is required for activities proposing raw water withdrawals of 10,000 gallons per day or more; contact the Watershed Management Branch (502-564-3410).

All future correspondence on this project must reference AI No. **169456**. **The attached document is your official Water Quality Certification; please read it carefully.** Please contact Wesley Harrod by phone at 502-782-6589 or email at Wesley.Harrod@ky.gov if you have any questions.

Sincerely,

A handwritten signature in black ink that reads "Samantha Vogeler". The signature is written in a cursive style with a horizontal line underneath.

Samantha Vogeler, Supervisor
Water Quality Certification Section
Kentucky Division of Water

SV:WH

Attachment

cc: Adam Michels, KYTC: Frankfort (via email: adam.michels@ky.gov)
Andrew Logsdon, KYTC: Frankfort (via email: Andrew.Logsdon@ky.gov)
Dave Harmon, KYTC: Frankfort (via email: Dave.Harmon@ky.gov)
Norma Condra, USACE: Louisville District (via email: Norma.C.Condra@usace.army.mil)
Lee Andrews, USFWS: Frankfort (via email: kentuckyes@fws.gov)
Perry Thomas, Salt River Basin Coordinator (via email: perryt@ky.gov)
Todd Giles, Louisville Regional Field Office (via email: todd.giles@ky.gov)

KTC Water Quality Certification
I-71 - Oldham Co
Facility Requirements
Permit Number: WQC 2022-062-1
Activity ID No.:APE20220001

ACTV0000000002 (AI 169456 I-71 - Oldham Co) Interchange Construction:

Submittal/Action Requirements:

Condition No.	Condition
S-1	<p>Prior to any construction activity, Kentucky Transportation Cabinet (KYTC) shall submit to the Water Quality Section Project Manager a copy of the receipt of purchase of 2,372 stream Adjusted Mitigation Units (AMUs) from an approved Kentucky in-lieu fee (ILF) program fund. Credits must be purchased prior to impacts. Mitigation banks and ILF programs are considered approved as defined in the April 10, 2008 Final Rule, 40 CFR Part 230: Compensatory Mitigation for Losses of Aquatic Resources. This condition is necessary to allow impacts to occur. Compensatory mitigation is the method to approve impacts and entire losses of a water resource. The Division can approve impacts and loss based on the confidence that the resource will be replaced and not result in a net loss of aquatic resources. Compensatory mitigation is the method of compliance for the Commonwealth's water quality standards. [33 CFR 332, 40 CFR 230, 401 KAR 10:031 Section 2(1)(a)]</p>
S-2	<p>KYTC shall submit monthly photographs and a narrative discussion documenting the status of the approved stream work to the Water Quality Certification Project Manager or Supervisor. Electronic submissions are acceptable. This condition is necessary for the Division of Water to be informed of the ongoing activity for the purposes of site visits to ensure implementation of Kentucky Regulatory Statutes and Administrative Regulations; the Division will monitor the environment to afford more effective and efficient control practices, to identify changes and conditions in ecological systems, and to warn of emergency conditions. submit a written report : Due monthly, by the 15th of the month. [401 KAR 10:030 Section 1, 401 KAR 10:031 Section 2(1)(a), KRS 224.10-100, KRS 224.70-110]</p>
S-3	<p>KYTC shall notify the Water Quality Certification Project Manager or Supervisor of the scheduled start of construction activities at least two weeks before the start of construction. This condition is necessary for the Division of Water to be informed of the ongoing activity for the purposes of site visits to ensure implementation of Kentucky Regulatory Statutes and Administrative Regulations; the Division will monitor the environment, minimize impact to aquatic resources, protect the use and designation of resources, allow more effective and efficient control practices, identify changes and conditions in ecological systems as a result of activities, and to warn of emergency conditions. [401 KAR 10:030 Section 1, 401 KAR 10:031 Section 2(1)(a), KRS 224.10-100, KRS 224.70-110]</p>
S-4	<p>KYTC shall notify the Water Quality Certification Project Manager or Supervisor of substantial completion of construction no later than two weeks post-construction. This condition is necessary for the Division of Water to be informed of the ongoing activity for the purposes of site visits to ensure implementation of Kentucky Regulatory Statutes and Administrative Regulations; the Division will monitor the environment, minimize impact to aquatic resources, protect the use and designation of resources, allow more effective and efficient control practices, identify changes and conditions in ecological systems as a result of activities, and to warn of emergency conditions. [401 KAR 10:030 Section 1, 401 KAR 10:031 Section 2(1)(a), KRS 224.10-100, KRS 224.70-110]</p>
S-5	<p>KYTC shall submit as-built drawings within 90 days after substantial completion of construction to the Water Quality Certification Section Project Manager or Supervisor. This condition is necessary to monitor the aquatic resources, minimize impact to aquatic resources, protect the use and designation of resources, allow more effective and efficient control practices, identify changes and conditions in ecological systems as a result of activities, and to warn of emergency conditions. [401 KAR 10:030 Section 1, 401 KAR 10:031 Section 2(1)(a), KRS 224.10-100, KRS 224.70-110]</p>

KTC Water Quality Certification
I-71 - Oldham Co
Facility Requirements
Permit Number: WQC 2022-062-1
Activity ID No.:APE20220001

ACTV0000000002 (AI 169456 I-71 - Oldham Co) Interchange Construction:

Narrative Requirements:

Condition No.	Condition
T-1	<p>The work approved by this certification shall be limited to 38.388876/-85.390057]:</p> <ul style="list-style-type: none">-Construction of a new interchange ramp on I-71 in Oldham County.-919 linear feet of impacts to ephemeral streams.-713 linear feet of impacts to intermittent streams.-642 linear feet of impacts to perennial streams. <p>This condition is necessary to confirm activities approved by this certification. [401 KAR 10:030 Section 1, 401 KAR 9:010 Section 1(a)(2), KRS 224.10-100, KRS 224.70-110]</p>
T-2	<p>All work performed under this certification shall adhere to the design and specifications set forth in the following document(s):</p> <ul style="list-style-type: none">-Application for Permit to Construct Across or Along a Stream and/or Water Quality Certification received on 03/22/2022.-Pre-filing Meeting Request received on 04/21/2022.-Certification Request received on 04/21/2022-Revised Mitigation Table Including OLDA Waters.msg-RE Notice of Deficiency #1.msg <p>This condition is necessary to confirm activities approved by this certification. [401 KAR 10:030 Section 1, 401 KAR 9:010 Section 1(a)(2), KRS 224.10-100, KRS 224.70-110]</p>
T-3	<p>KYTC is responsible for preventing degradation of waters of the Commonwealth from soil erosion. An erosion and sediment control plan must be designed, implemented, and maintained in effective operating condition at all times during construction. This condition is necessary to prevent and minimize objectionable deposits and pollution and protect the use of the stream. [401 KAR 10:030 Section 1, 401 KAR 10:031 Section 2(1)(a), KRS 224.10-100, KRS 224.70-110]</p>
T-4	<p>No in-stream operations or activities shall be conducted during fish spawning season (April 1 through June 30), due to the potential impacts of increased sediment load and associated water quality and designated aquatic habitat impacts. This condition is necessary to monitor the aquatic resources, minimize impact to aquatic resources, protect the use and designation of resources, allow more effective and efficient control practices, identify changes and conditions in ecological systems as a result of activities, and to warn of emergency conditions. [401 KAR 10:030 Section 1, 401 KAR 10:031 Section 4(1)(c,h), KRS 224.10-100, KRS 224.70-110]</p>
T-5	<p>Heavy equipment (e.g. bulldozers, backhoes, draglines, etc.), if required for this project, should not be used or operated within the stream channel. In those instances where such instream work is unavoidable, then it shall be performed in such a manner and duration as to minimize re-suspension of sediments and disturbance to the channel, banks, or riparian vegetation. This condition is necessary to prevent and minimize objectionable deposits and pollution and protect the use of the stream. [401 KAR 10:030 Section 1, 401 KAR 10:031 Section 2(1)(a), KRS 224.10-100, KRS 224.70-110]</p>

KTC Water Quality Certification

I-71 - Oldham Co

Facility Requirements

Permit Number: WQC 2022-062-1

Activity ID No.:APE20220001

ACTV0000000002 (AI 169456 I-71 - Oldham Co) Interchange Construction:

Narrative Requirements:

Condition No.	Condition
T-6	Erosion and sediment pollution control plans and Best Management Practices must be designed, installed, and maintained in effective operating condition at all times during construction activities so that violations of state water quality standards do not occur. This condition is necessary to prevent and minimize objectionable deposits and pollution and protect the use of the stream. [401 KAR 10:030 Section 1, 401 KAR 10:031 Section 2(1)(a), KRS 224.10-100, KRS 224.70-110]
T-7	Remove all sediment and erosion control measures after re-vegetation has become well-established. This condition is necessary to prevent and minimize objectionable deposits and pollution and protect the use of the stream. [401 KAR 10:030 Section 1, 401 KAR 10:031 Section 2(1)(a), KRS 224.10-100, KRS 224.70-110]
T-8	Any fill or riprap shall be of a composition that shall not cause violations of water quality standards by adversely affecting the biological, chemical, or physical properties of waters of the Commonwealth. If riprap is used, it shall be of a weight and size that bank stress or slump conditions shall not occur. This condition is necessary to prevent and minimize objectionable deposits and pollution and protect the use of the stream. [401 KAR 10:030 Section 1, 401 KAR 10:031 Section 2(1)(a), KRS 224.10-100, KRS 224.70-110]
T-9	Sediment and erosion control measures (e.g., check-dams, silt fencing, or hay bales) shall not be placed within surface waters of the Commonwealth, either temporarily or permanently, without prior approval by the Kentucky Division of Water's Water Quality Certification Section. If placement of sediment and erosion control measures in surface waters is unavoidable, placement shall not be conducted in such a manner that may cause instability of streams that are adjacent to, upstream, or downstream of the structures. All sediment and erosion control measures shall be removed and the natural grade restored prior to withdrawal from the site. This condition is necessary to prevent and minimize objectionable deposits and pollution and protect the use of the stream. [401 KAR 10:030 Section 1, 401 KAR 10:031 Section 2(1)(a), KRS 224.10-100, KRS 224.70-110]
T-10	Measures shall be taken to prevent or control spills of fuels, lubricants, or other toxic materials used in construction from entering the watercourse. This condition is necessary to prevent water pollution as prohibited by statute. [401 KAR 10:030 Section 1, 401 KAR 10:031 Section 2(1)(a), KRS 224.10-100, KRS 224.70-110]
T-11	To the maximum extent practicable, all in-stream work under this certification shall be performed during low flow. This condition is necessary to prevent and minimize objectionable deposits and pollution and protect the use of the stream. [401 KAR 10:030 Section 1, 401 KAR 10:031 Section 2(1)(a), KRS 224.10-100, KRS 224.70-110]
T-12	Removal of existing riparian vegetation shall be restricted to the minimum necessary for project construction. This condition is necessary to minimize negative effects to the environment, protect the use of the stream, and protect aquatic resources. [401 KAR 10:030 Section 1, 401 KAR 10:031 Section 2(1)(a), KRS 224.10-100, KRS 224.70-110]

KTC Water Quality Certification

I-71 - Oldham Co

Facility Requirements

Permit Number: WQC 2022-062-1

Activity ID No.:APE20220001

ACTV0000000002 (AI 169456 I-71 - Oldham Co) Interchange Construction:

Narrative Requirements:

Condition No.	Condition
T-13	Should stream pollution, wetland impairment, and/or violations of water quality standards occur as a result of this activity (either from a spill or other forms of water pollution), the Kentucky Division of Water shall be notified immediately by calling 800/564-2380. This condition is necessary to monitor the aquatic resources, minimize impact to aquatic resources, protect the use and designation of resources, allow more effective and efficient control practices, identify changes and conditions in ecological systems as a result of activities, and to warn of emergency conditions. [401 KAR 10:030 Section 1, 401 KAR 10:031 Section 2(1)(a), KRS 224.10-100, KRS 224.70-110]
T-14	This Water Quality Certification expires on June 24, 2027. This condition is necessary for the issuance of the certification. [KRS 224.10-100, KRS 224.16-050(2), KRS 224.70-110]
T-15	Other permits from the Division of Water may be required for this activity. If this activity occurs within a floodplain, a Permit to Construct Across or Along a Stream may be required. Please contact the Floodplain Management Section Supervisor (502-564-3410) for more information prior to construction. If the project will disturb one acre or more of land, or is part of a larger common plan of development or sale that will ultimately disturb one acre or more of land, a Kentucky Pollution Discharge Elimination System (KPDES) Stormwater Permit shall be required. Please contact the Surface Water Permits Branch (502-564-3410 or SWPBSupport@ky.gov) for more information. A Groundwater Protection Plan is required if any of the activities listed in Section 2(2) of 401 KAR 5:037 are conducted. A Water Withdrawal Application is required for any activities proposing raw water withdrawals of 10,000 gallons per day or more. For technical assistance contact the Watershed Management Branch at 502-564-3410 or visit eec.ky.gov. This condition is necessary for confirm authorized impacts, the appropriate responsible party, monitor the aquatic resources, minimize impact to aquatic resources, protect the use and designation of resources, allow more effective and efficient control practices, identify changes and conditions in ecological systems as a result of activities, and to warn of emergency conditions. [KRS 224.10-100, KRS 224.16-050(2), KRS 224.70-110]
T-16	If there is a transfer or conveyance of the project site during the issued WQC term for the approved activity, the KYTC shall submit written notice to the Water Quality Certification Section Project Manager or Supervisor of the transfer or conveyance of the project site or any part of the project site at least 60 days prior to the transfer or conveyance of the project site. The notification shall include the WQC number; the Agency Interest (AI) No.; the name, mailing address, email address, and telephone number of the current owner; the name, mailing address, and telephone number of the prospective transferee; the proposed effective date of transfer/conveyance; and a copy of the documentation evidencing the transfer/conveyance. Failure to comply with this condition does not negate the validity or enforceability of this certification. This condition is necessary for confirm authorized impacts, the appropriate responsible party, monitor the aquatic resources, minimize impact to aquatic resources, protect the use and designation of resources, allow more effective and efficient control practices, identify changes and conditions in ecological systems as a result of activities, and to warn of emergency conditions. [401 KAR 10:030 Section 1, 401 KAR 9:010 Section 1(a)(2), KRS 224.10-100, KRS 224.70-110]

KyTC BMP Plan for Project CID ## - #####



Kentucky Transportation Cabinet

Highway District 5 (1)

And

_____ (2), Construction

Kentucky Pollutant Discharge Elimination System

Permit KYR10

Best Management Practices (BMP) plan

Groundwater protection plan

For Highway Construction Activities

For

New I-71 Interchange @ MP 20.6

Item No. 5-483.30

Project: CID ## - #####

KyTC BMP Plan for Project CID ## -

Project information

Note – (1) = Design (2) = Construction (3) = Contractor

1. Owner – Kentucky Transportation Cabinet, District 5 (1)
2. Resident Engineer: (2)
3. Contractor name: (2)
Address: (2)

Phone number: (2)
Contact: (2)
Contractors agent responsible for compliance with the KPDES permit requirements (3):
4. Project Control Number (2)
5. Route (Address) – I-71 @ MP 20.6
6. Latitude/Longitude (project mid-point) – 38-23-26 N, 85-23-35 W
7. County (project mid-point) - Oldham
8. Project start date (date work will begin): (2)
9. Projected completion date: (2)

KyTC BMP Plan for Project CID ## -

A. Site description:

1. Nature of Construction Activity (from letting project description) – Construction of new I-65 interchange at MP 114
2. Order of major soil disturbing activities (2) and (3)
3. Projected volume of material to be moved – 176,236 Cu. Yd.
4. Estimate of total project area (acres) – 33.74 Ac.
5. Estimate of area to be disturbed (acres) – 33.61 Ac.
6. Post construction runoff coefficient will be included in the project drainage folder. Persons needing information pertaining to the runoff coefficient will contact the resident engineer to request this information. (1)
7. Data describing existing soil condition – Refer to Geotechnical Notes in the plan set and Geotechnical Report. (1) & (2)
8. Data describing existing discharge water quality (if any) – Refer to Geotechnical Notes in the plan set and Geotechnical Report. Note: The water quality will not be worsened by the project. The only drainage construction will consist of lengthening the existing box culverts and pipe outlets. (1) & (2)
9. Receiving water name – North Fork Curry's Fork(1)
10. TMDLs and Pollutants of Concern in Receiving Waters: (1 DEA)
11. Site map – Project layout sheet plus the erosion control sheets in the project plans that depict Disturbed Drainage Areas (DDAs) and related information. These sheets depict the existing project conditions with areas delineated by DDA (drainage area bounded by watershed breaks and right of way limits), the storm water discharge locations (either as a point discharge or as overland flow) and the areas that drain to each discharge point. These plans define the limits of areas to be disturbed and the location of control measures. Controls will be either site specific as designated by the designer or will be annotated by the contractor and resident engineer before disturbance commences. The project layout sheet shows the surface waters and wetlands.

KyTC BMP Plan for Project CID ## -

12. Potential sources of pollutants:

The primary source of pollutants is solids that are mobilized during storm events. Other sources of pollutants include oil/fuel/grease from servicing and operating construction equipment, concrete washout water, sanitary wastes and trash/debris. (3)

B. Sediment and Erosion Control Measures:

1. Plans for highway construction projects will include erosion control sheets that depict Disturbed Drainage Areas (DDAs) and related information. These plan sheets will show the existing project conditions with areas delineated by DDA within the right of way limits, the discharge points and the areas that drain to each discharge point. Project managers and designers will analyze the DDAs and identify Best Management Practices (BMPs) that are site specific. The balance of the BMPs for the project will be listed in the bid documents for selection and use by the contractor on the project with approval by the resident engineer.

Projects that do not have DDAs annotated on the erosion control sheets will employ the same concepts for development and managing BMP plans.

2. Following award of the contract, the contractor and resident engineer will annotate the erosion control sheets showing location and type of BMPs for each of the DDAs that will be disturbed at the outset of the project. This annotation will be accompanied by an order of work that reflects the order or sequence of major soil moving activities. The remaining DDAs are to be designated as "Do Not Disturb" until the contractor and resident engineer prepare the plan for BMPs to be employed. The initial BMP's shall be for the first phase (generally Clearing and Grubbing) and shall be modified as needed as the project changes phases. The BMP Plan will be modified to reflect disturbance in additional DDA's as the work progresses. All DDA's will have adequate BMP's in place before being disturbed.
3. As DDAs are prepared for construction, the following will be addressed for the project as a whole or for each DDA as appropriate:
 - Construction Access – This is the first land-disturbing activity. As soon as construction begins, bare areas will be stabilized with gravel and temporary mulch and/or vegetation.

KyTC BMP Plan for Project CID ## -

- At the beginning of the project, all DDAs for the project will be inspected for areas that are a source of storm water pollutants. Areas that are a source of pollutants will receive appropriate cover or BMPs to arrest the introduction of pollutants into storm water. Areas that have not been opened by the contractor will be inspected periodically (once per month) to determine if there is a need to employ BMPs to keep pollutants from entering storm water.
- Clearing and Grubbing – The following BMP's will be considered and used where appropriate.
 - Leaving areas undisturbed when possible.
 - Silt basins to provide silt volume for large areas.
 - Silt Traps Type A for small areas.
 - Silt Traps Type C in front of existing and drop inlets which are to be saved
 - Diversion ditches to catch sheet runoff and carry it to basins or traps or to divert it around areas to be disturbed.
 - Brush and/or other barriers to slow and/or divert runoff.
 - Silt fences to catch sheet runoff on short slopes. For longer slopes, multiple rows of silt fence may be considered.
 - Temporary Mulch for areas which are not feasible for the fore mentioned types of protections.
 - Non-standard or innovative methods.
- Cut & Fill and placement of drainage structures - The BMP Plan will be modified to show additional BMP's such as:
 - Silt Traps Type B in ditches and/or drainways as they are completed
 - Silt Traps Type C in front of pipes after they are placed
 - Channel Lining
 - Erosion Control Blanket
 - Temporary mulch and/or seeding for areas where construction activities will be ceased for 21 days or more.
 - Non-standard or innovative methods
- Profile and X-Section in place – The BMP Plan will be modified to show elimination of BMP's which had to be removed and the addition of new BMP's as the roadway was shaped. Probably changes include:
 - Silt Trap Type A, Brush and/or other barriers, Temporary Mulch, and any other BMP which had to be removed for final grading to take place.
 - Additional Silt Traps Type B and Type C to be placed as final drainage patterns are put in place.
 - Additional Channel Lining and/or Erosion Control Blanket.
 - Temporary Mulch for areas where Permanent Seeding and Protection cannot be done within 21 days.
 - Special BMP's such as Karst Policy

KyTC BMP Plan for Project CID ## -

- Finish Work (Paving, Seeding, Protect, etc.) – A final BMP Plan will result from modifications during this phase of construction. Probably changes include:
 - Removal of Silt Traps Type B from ditches and drainways if they are protected with other BMP's which are sufficient to control erosion, i.e. Erosion Control Blanket or Permanent Seeding and Protection on moderate grades.
 - Permanent Seeding and Protection
 - Placing Sod
 - Planting trees and/or shrubs where they are included in the project
- BMP's including Storm Water Management Devices such as velocity dissipation devices and Karst policy BMP's to be installed during construction to control the pollutants in storm water discharges that will occur after construction has been completed are : Areas of New Albany Shale uncovered during construction are to be capped with clay soil (classification CL or CH).(1)

C. Other Control Measures

1. No solid materials, including building materials, shall be discharged to waters of the commonwealth, except as authorized by a Section 404 permit.
2. Waste Materials

All waste materials that may leach pollutants (paint and paint containers, caulk tubes, oil/grease containers, liquids of any kind, soluble materials, etc.) will be collected and stored in appropriate covered waste containers. Waste containers shall be removed from the project site on a sufficiently frequent basis as to not allow wastes to become a source of pollution. All personnel will be instructed regarding the correct procedure for waste disposal. Wastes will be disposed in accordance with appropriate regulations. Notices stating these practices will be posted in the office.

3. Hazardous Waste

All hazardous waste materials will be managed and disposed of in the manner specified by local or state regulation. The contractor shall notify the Section Engineer if there any hazardous wastes being generated at the project site and how these wastes are being managed. Site personnel will be instructed with regard to proper storage and handling of hazardous wastes when required. The Transportation Cabinet will file for generator, registration when appropriate, with the Division of Waste Management and advise the contractor regarding waste management requirements.

KyTC BMP Plan for Project CID ## -

4. Spill Prevention

The following material management practices will be used to reduce the risk of spills or other exposure of materials and substances to the weather and/or runoff.

➤ **Good Housekeeping:**

The following good housekeeping practices will be followed onsite during the construction project.

- An effort will be made to store only enough product required to do the job
- All materials stored onsite will be stored in a neat, orderly manner in their appropriate containers and, if possible, under a roof or other enclosure
- Products will be kept in their original containers with the original manufacturer's label
- Substances will not be mixed with one another unless recommended by the manufacturer
- Whenever possible, all of the product will be used up before disposing of the container
- Manufacturers' recommendations for proper use and disposal will be followed
- The site contractor will inspect daily to ensure proper use and disposal of materials onsite

➤ **Hazardous Products:**

These practices will be used to reduce the risks associated with any and all hazardous materials.

- Products will be kept in original containers unless they are not resealable
- Original labels and material safety data sheets (MSDS) will be reviewed and retained
- Contractor will follow procedures recommended by the manufacturer when handling hazardous materials
- If surplus product must be disposed of, manufacturers' or state/local recommended methods for proper disposal will be followed

The following product-specific practices will be followed onsite:

➤ **Petroleum Products:**

KyTC BMP Plan for Project CID ## -

Vehicles and equipment that are fueled and maintained on site will be monitored for leaks, and receive regular preventative maintenance to reduce the chance of leakage. Petroleum products onsite will be stored in tightly sealed containers, which are clearly labeled and will be protected from exposure to weather.

The contractor shall prepare an Oil Pollution Spill Prevention Control and Countermeasure plan when the project that involves the storage of petroleum products in 55 gallon or larger containers with a total combined storage capacity of 1,320 gallons. This is a requirement of 40 CFR 112.

This project (will / will not) (3) have over 1,320 gallons of petroleum products with a total capacity, sum of all containers 55 gallon capacity and larger.

➤ **Fertilizers:**

Fertilizers will be applied at rates prescribed by the contract, standard specifications or as directed by the resident engineer. Once applied, fertilizer will be covered with mulch or blankets or worked into the soil to limit exposure to storm water. Storage will be in a covered shed. The contents of any partially used bags of fertilizer will be transferred to a sealable plastic bin to avoid spills.

➤ **Paints:**

All containers will be tightly sealed and stored indoors or under roof when not being used. Excess paint or paint wash water will not be discharged to the drainage or storm sewer system but will be properly disposed of according to manufacturers' instructions or state and local regulations.

➤ **Concrete Truck Washout:**

Concrete truck mixers and chutes will not be washed on pavement, near storm drain inlets, or within 75 feet of any ditch, stream, wetland, lake, or sinkhole. Where possible, excess concrete and wash water will be discharged to areas prepared for pouring new concrete, flat areas to be paved that are away from ditches or drainage system features, or other locations that will not drain off site. Where this approach is not possible, a shallow earthen wash basin will be excavated away from ditches to receive the wash water

➤ **Spill Control Practices**

In addition to the good housekeeping and material management practices discussed in the previous sections of this plan, the following practices will be followed for spill prevention and cleanup:

KyTC BMP Plan for Project CID ## -

- Manufacturers' recommended methods for spill cleanup will be clearly posted. All personnel will be made aware of procedures and the location of the information and cleanup supplies.
- Materials and equipment necessary for spill cleanup will be kept in the material storage area. Equipment and materials will include as appropriate, brooms, dust pans, mops, rags, gloves, oil absorbents, sand, sawdust, and plastic and metal trash containers.
- All spills will be cleaned up immediately after discovery.
- The spill area will be kept well ventilated and personnel will wear appropriate protective clothing to prevent injury from contact with a hazardous substance.
- Spills of toxic or hazardous material will be reported to the appropriate state/local agency as required by KRS 224 and applicable federal law.
- The spill prevention plan will be adjusted as needed to prevent spills from reoccurring and improve spill response and cleanup.
- Spills of products will be cleaned up promptly. Wastes from spill clean up will be disposed in accordance with appropriate regulations.

D. Other State and Local Plans

This BMP plan shall include any requirements specified in sediment and erosion control plans, storm water management plans or permits that have been approved by other state or local officials. Upon submittal of the NOI, other requirements for surface water protection are incorporated by reference into and are enforceable under this permit (even if they are not specifically included in this BMP plan). This provision does not apply to master or comprehensive plans, non-enforceable guidelines or technical guidance documents that are not identified in a specific plan or permit issued for the construction site by state or local officials. (1)

E. Maintenance

1. The BMP plan shall include a clear description of the maintenance procedures necessary to keep the control measures in good and effective operating condition.
- Maintenance of BMPs during construction shall be a result of weekly and post rain event inspections with action being taken by the contractor to correct deficiencies.
 - Post Construction maintenance will be a function of normal highway maintenance operations. Following final project acceptance by the cabinet, district highway crews will be responsible for identification and correction of deficiencies regarding ground cover and cleaning of storm water BMPs. The project manager shall identify any BMPs that will be for

KyTC BMP Plan for Project CID ## -

the purpose of post construction storm water management with specific guidance for any non-routine maintenance. (1)

F. Inspections

Inspection and maintenance practices that will be used to maintain erosion and sediment controls:

- All erosion prevention and sediment control measures will be inspected at least once each week and following any rain of one-half inch or more.
- Inspections will be conducted by individuals that have successfully completed the KEPSC-RI course as required by Section 213.02.02 of the Standard Specifications for Road and Bridge Construction, current edition.
- Inspection reports will be written, signed, dated, and kept on file.
- Areas at final grade will be seeded and mulched within 14 days.
- Areas that are not at final grade where construction has ceased for a period of 21 days or longer and soil stock piles shall receive temporary mulch no later than 14 days from the last construction activity in that area.
- All measures will be maintained in good working order; if a repair is necessary, it will be initiated within 24 hours of being reported.
- Built-up sediment will be removed from behind the silt fence before it has reached halfway up the height of the fence.
- Silt fences will be inspected for bypassing, overtopping, undercutting, depth of sediment, tears, and to ensure attachment to secure posts.
- Sediment basins will be inspected for depth of sediment, and built-up sediment will be removed when it reaches 50 percent of the design capacity and at the end of the job.
- Diversion dikes and berms will be inspected and any breaches promptly repaired. Areas that are eroding or scouring will be repaired and re-seeded / mulched as needed.
- Temporary and permanent seeding and mulching will be inspected for bare spots, washouts, and healthy growth. Bare or eroded areas will be repaired as needed.
- All material storage and equipment servicing areas that involve the management of bulk liquids, fuels, and bulk solids will be inspected weekly for conditions that represent a release or possible release of pollutants to the environment.

KyTC BMP Plan for Project CID ## -

G. Non – Storm Water discharges

It is expected that non-storm water discharges may occur from the site during the construction period. Examples of non-storm water discharges include:

- Water from water line flushings.
- Water form cleaning concrete trucks and equipment.
- Pavement wash waters (where no spills or leaks of toxic or hazardous materials have occurred).
- Uncontaminated groundwater and rain water (from dewatering during excavation).

All non-storm water discharges will be directed to the sediment basin or to a filter fence enclosure in a flat vegetated infiltration area or be filtered via another approved commercial product.

H. Groundwater Protection Plan (3)

This plan serves as the groundwater protection plan as required by 401 KAR 5:037.

- Contractors statement: (3)

The following activities, as enumerated by 401 KAR 5:037 Section 2 that require the preparation and implementation of a groundwater protection plan, will or may be may be conducted as part of this construction project:

_____ 2. (e) land treatment or land disposal of a pollutant;

_____ 2. (f) Storing, ..., or related handling of hazardous waste, solid waste or special waste, ..., in tanks, drums, or other containers, or in piles, (This does not include wastes managed in a container placed for collection and removal of municipal solid waste for disposal off site);

_____ 2. (g) Handling of materials in bulk quantities (equal or greater than 55 gallons or 100 pounds net dry weight transported held in an individual container) that, if released to the environment, would be a pollutant;

_____ 2. (j) Storing or related handling of road oils, dust suppressants,, at a central location;

KyTC BMP Plan for Project CID ## - #####

_____ 2. (k) Application or related handling of road oils, dust suppressants or deicing materials, (does not include use of chloride-based deicing materials applied to roads or parking lots);

_____ 2. (m) Installation, construction, operation, or abandonment of wells, bore holes, or core holes, (this does not include bore holes for the purpose of explosive demolition);

Or, check the following only if there are no qualifying activities

_____ There are no activities for this project as listed in 401 KAR 5:037 Section 2 that require the preparation and implementation of a groundwater protection plan.

The contractor is responsible for the preparation of a plan that addresses the

401 KAR 5:037 Section 3. (3) Elements of site specific groundwater protection plan:

- (a) General information about this project is covered in the Project information;
- (b) Activities that require a groundwater protection plan have been identified above;
- (c) Practices that will protect groundwater from pollution are addressed in section C. Other control measures.
- (d) Implementation schedule – all practices required to prevent pollution of groundwater are to be in place prior to conducting the activity;
- (e) Training is required as a part of the ground water protection plan. All employees of the contractor, sub-contractor and resident engineer personnel will be trained to understand the nature and requirements of this plan as they pertain to their job function(s). Training will be accomplished within one week of employment and annually thereafter. A record of training will be maintained by the contractor with a copy provide to the resident engineer.
- (f) Areas of the project and groundwater plan activities will be inspected as part of the weekly sediment and erosion control inspections
- (g) Certification (see signature page.)

KyTC BMP Plan for Project CID ## - #####

Contractor and Resident Engineer Plan certification

The contractor that is responsible for implementing this BMP plan is identified in the Project Information section of this plan.

The following certification applies to all parties that are signatory to this BMP plan:

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations. Further, this plan complies with the requirements of 401 KAR 5:037. By this certification, the undersigned state that the individuals signing the plan have reviewed the terms of the plan and will implement its provisions as they pertain to ground water protection.

Resident Engineer and Contractor Certification:

(2) Resident Engineer signature

Signed _____ title _____, _____
Typed or printed name² signature

(3) Signed _____ title _____, _____
Typed or printed name¹ signature

1. Contractors Note: to be signed by a person who is the owner, a responsible corporate officer, a general partner or the proprietor or a person designated to have the authority to sign reports by such a person in accordance with 401 KAR 5:060 Section 9. This delegation shall be in writing to: Manager, KPDES Branch, Division of Water, 14 Reilly Road, Frankfort Kentucky 40601. Reference the Project Control Number (PCN) and KPDES number when one has been issued.
2. KyTC note: to be signed by the Chief District Engineer or a person designated to have the authority to sign reports by such a person (usually the resident engineer) in accordance with 401 KAR 5:060 Section 9. This delegation shall be in writing to: Manager, KPDES Branch, Division of Water, 14 Reilly Road, Frankfort Kentucky 40601 Reference the Project Control Number (PCN) and KPDES number when one has been issued.

Sub-Contractor Certification

Revised 3/4/2016

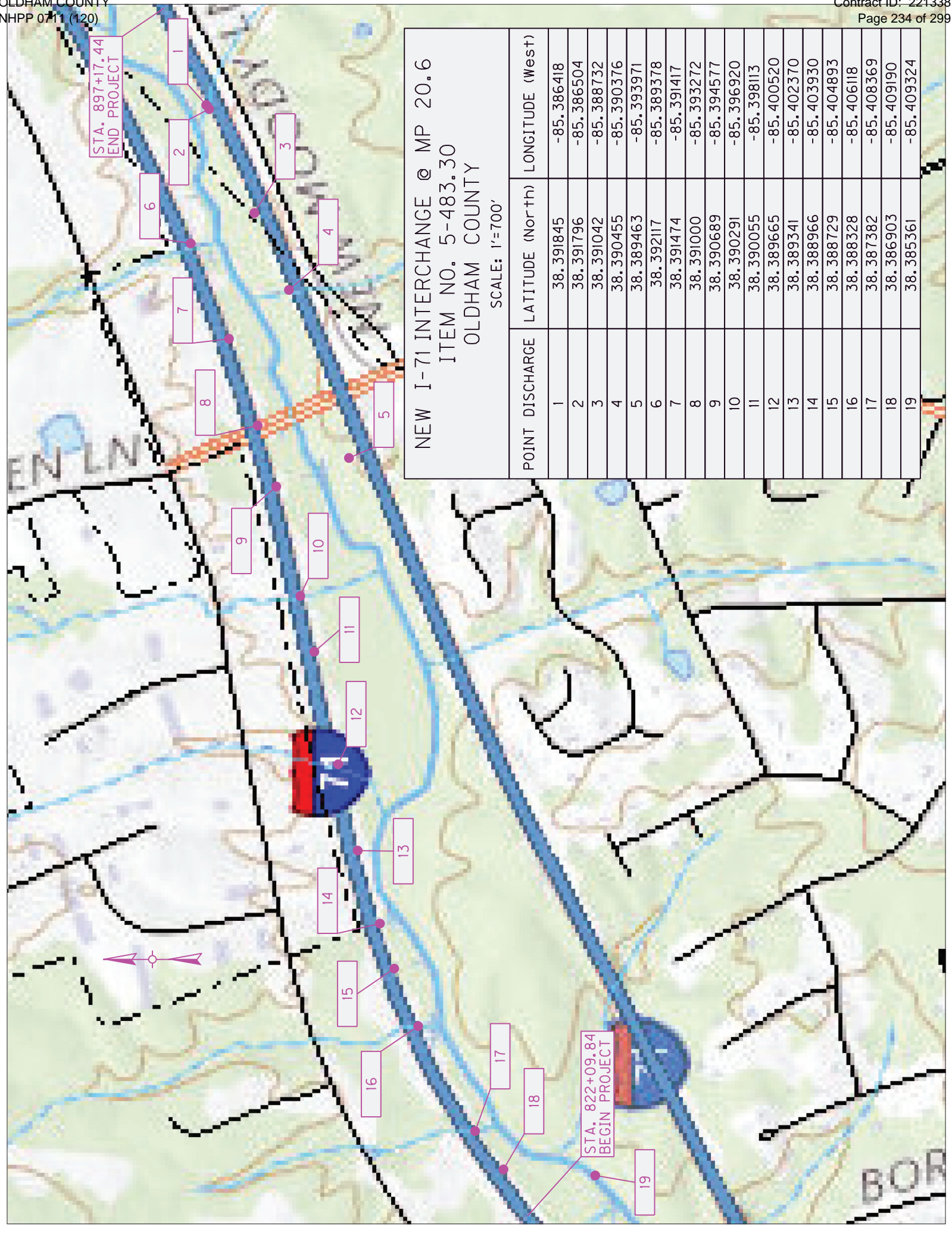
New I-71 Interchange @ MP 20.6

5-483.30

Oldham County

KPDES NOI for Stormwater Discharges Associated with Construction
Activity Under the KPDES General Permit

eNOI transaction ID - 326c2cb5-73d8-4bc1-a4e1-5d3fa575c899



NEW I-71 INTERCHANGE @ MP 20.6
ITEM NO. 5-483.30
OLDHAM COUNTY
SCALE: 1"=700'

STA. 897+17.44
END PROJECT

STA. 822+09.84
BEGIN PROJECT



ANDY BESHEAR
GOVERNOR

REBECCA W. GOODMAN
SECRETARY

ENERGY AND ENVIRONMENT CABINET
DEPARTMENT FOR ENVIRONMENTAL PROTECTION

ANTHONY R. HATTON
COMMISSIONER

300 SOWER BOULEVARD
FRANKFORT, KENTUCKY 40601

December 13, 2021

Charles Berger
KYTC District 5
8310 Westport Rd
Louisville, KY 40242

Re: KYR10 Coverage Acknowledgment
KPDES No.: KYR10P992
New I-71 Interchange @ MP 20.6
Permit Type: Construction
AI ID: 169456
Oldham County, Kentucky

Dear Charles Berger :

The discharges associated with the Notice of Intent you submitted have been approved for coverage under the "Kentucky Pollutant Discharge Elimination System (KPDES) General Permit for Storm Water Discharges Associated with Construction Activities (KYR100000)" master general permit. Your coverage becomes effective on the date of this letter, and will automatically terminate two years from the effective date of your coverage unless an extension is requested prior to the termination date, until the KYR100000 master general permit expires on November 30, 2024, or the Division of Water revokes coverage, whichever comes first. During this period of coverage all discharges shall comply with the conditions of the KYR100000 master general permit. This permit and links to the eNOI (and permit coverage extension) and eNOT forms can be found on our website:

<https://cec.ky.gov/Environmental-Protection/Water/PermitCert/KPDES/Documents/KYR10PermitPage.pdf>.

Any person aggrieved by the issuance of a permit final decision may demand a hearing pursuant to KRS 224.10-420(2) within thirty (30) days from the date of the issuance of this letter. Any demand for a hearing on the permit shall be filed in accordance with the procedures specified in KRS 224.10-420, 224.10-440, 224.10-470, and the regulations promulgated thereto. The request for hearing should be submitted in writing to the Energy and Environment Cabinet, Office of Administrative Hearings, 211 Sower Boulevard, Frankfort, Kentucky 40601 and the Commonwealth of Kentucky, Energy and Environment Cabinet, Division of Water, 300 Sower Boulevard, Frankfort, Kentucky 40601. For your record keeping purposes, it is recommended that these requests be sent by certified mail. The written request must conform to the appropriate statutes referenced above.

Any questions concerning the general permit and its requirements should be directed to me at 502-782-7123 or email me at Karina.Villanueva@ky.gov

Construction Site GPS Coordinates: 38.390556, -85.393056
Receiving Water: Oldham County Fiscal Cour

Sincerely,

A handwritten signature in black ink, appearing to read "Karina Villanueva", written over a horizontal line.

Karina Villanueva
Surface Water Permits Branch
Division of Water

cc: Charles Berger, eNOI Preparer
Todd Giles, Louisville Regional Office
Shaw Hokanson, Division of Water
Jim Silliman, Oldham County Fiscal Court



GENERAL CONDITIONS:

1. Discharges of dredged or fill material into "waters of the U.S." must be minimized or avoided to the maximum extent practicable at the project site (i.e. on-site). In determining the minimal impact threshold, the Districts will consider the direct, secondary, and cumulative impacts of the fill or work and any mitigation measures.
2. The permittee shall provide a mitigation/monitoring plan for impacts resulting from the placement of fill into "waters of the U.S." in excess of 300 linear feet of intermittent or perennial stream; the filling of greater than 0.10 acre (4,356 sq. feet) of waters of the U.S; or work causing more than minimal effects, to compensate for impacts to the "waters of the U.S." These impact thresholds are applied for each crossing. When mitigation is required, the permittee will develop the mitigation site concurrently with, or in advance of, the site construction unless the Corps determines on a project specific basis that it is not practical to do so. This will ensure that aquatic functions are not lost for long periods of time (e.g. temporal loss) which could adversely affect water quality and wildlife. The requirement for conservation easements or deed restrictions will be determined on a project specific basis.
3. The permittee shall ensure that sedimentation and soil erosion control measures are in place prior to commencement of construction activities. These measures will remain in place and be properly maintained throughout construction. Sedimentation and soil control measures shall include the installation of straw bale barriers, silt fencing and/or other approved methods to control sedimentation and erosion. Sedimentation and erosion controls will not be placed in "waters of the U.S." except if specifically approved by the District.
4. The permittee shall ensure that areas disturbed by any construction activity, including channel and stream banks, are immediately stabilized and revegetated with a combination of non-invasive plants (grasses, legumes and shrubs) which are compatible with the affected area and will not compete with native vegetation.
5. The permittee shall ensure that no in-stream construction activity is performed during periods of high stream flow or during the fish spawning season (April 1 through June 30) without first contacting the Kentucky Department of Fish and Wildlife Resources (KDFWR) for their expertise on impacts to the fishery resource. Additionally, the discharge of dredged and/or fill material in known waterfowl breeding and wintering areas must be avoided to the maximum extent practicable.
6. The permittee will ensure that the activity authorized will not disrupt movement of those aquatic species indigenous to the waterbody, including those species which normally migrate through the area, unless the activity's specific purpose is to impound water.
7. The permittee shall ensure that all construction equipment is refueled and maintained on an upland site away from existing streams, drainageways and wetland areas. Heavy equipment working in wetlands must be placed on mats or other measures must be taken to minimize soil disturbance.

8. The permittee must comply with any case specific special conditions added by the Corps or by the State Section 401 Water Quality Certification (WQC). The conditions imposed in the State Section 401 WQC are also conditions of this LOP.

9. The permittee shall ensure that no activity authorized by the LOP may cause more than a minimal adverse effect on navigation.

10. The permittee shall ensure proper maintenance of any structure or fill authorized by the LOP, in good condition and in conformance with the terms and conditions of the LOP, including maintenance to ensure public safety. The permittee is not relieved of this requirement if the permitted activity is abandoned, although the permittee may make a good faith transfer to a third party. Should the permittee wish to cease to maintain the authorized activity or desire to abandon it without a good faith transfer, the permittee must obtain a modification to the LOP from the Corps, which may require restoration of the area.

11. The permittee shall not perform any work within any Wild and Scenic Rivers or in any river officially designated as a "study river" for possible inclusion in the system, unless the appropriate Federal agency, with direct management responsibility for such river, has determined in writing that the proposed activity authorized by the LOP will not adversely affect the Wild and Scenic River designation or study status. Information on Wild and Scenic Rivers may be obtained from the appropriate Federal Land Management agency in the area (e.g. U.S. Forest Service, Bureau of Land Management, the National Parks Service, or the U.S. Fish and Wildlife Service).

12. The permittee shall not perform any work under the LOP which is likely to jeopardize the continued existence of a threatened or endangered species or a species proposed for such designation, as identified under the Federal Endangered Species Act, or which is likely to destroy or adversely modify the critical habitat of such species. The permittee shall notify the Corps and coordinate the proposed action with the USFWS to determine if any listed species or critical habitat might be affected and/or adversely modified by the proposed work. No activity is authorized under the LOP which "may affect" a listed species or critical habitat, unless Section 7 consultation addressing the effects of the proposed activity has been completed. At the direction of the Corps, the permittee shall complete the necessary consultation with the USFWS, satisfying the requirements of Section 7(a)(2) of the Endangered Species Act. The permittee shall not begin work until notified by the District Engineer that the requirements of the Endangered Species Act have been satisfied and that the activity is authorized. Authorization of an activity under the LOP does not authorize the "take" of a threatened or endangered species as defined under the Federal Endangered Species Act. In the absence of separate authorization (e.g., an ESA Section 10 Permit, a Biological Opinion with "incidental take" provisions, etc.) from the U.S. Fish and Wildlife Service, both lethal and non-lethal "takes" of protected species are in violation of the Endangered Species Act.

Obligations under Section 7 of the Act must be reconsidered by the Corps Districts if (1) new information reveals impacts of the proposed action may affect listed species or critical habitat in a manner not previously considered, (2) the proposed action is subsequently modified to include activities which were not considered during consultation, or (3) new species are listed or critical habitat designated that might be affected

by the proposed action.

13. The permittee shall not perform any activity under the LOP which may affect historic properties listed, or eligible for listing, in the National Register of Historic Places until the District Engineer has complied with the provisions of 33 CFR Part 325, Appendix C. The permittee must notify the District Engineer if the activity authorized by the LOP may affect any historic properties listed, determined to be eligible or which the permittee has reason to believe may be eligible for listing on the National Register of Historic Places, and shall not begin construction until notified by the District Engineer that the requirements of the National Historic Preservation Act have been satisfied and that the activity is authorized. Information on the location and existence of historic resources can be obtained from the Kentucky Heritage Council.

If the permittee discovers any previously unknown historic or archaeological remains while accomplishing the activity authorized by the LOP, work must be immediately stopped and this office immediately notified regarding the discovery. The District will initiate the Federal, Tribal and State coordination required to determine if the remains warrant a recovery effort or if the site is eligible for listing in the National Register of Historic Places.

14. The permittee shall not perform any work under the LOP where the discharge of dredged and/or fill material will occur in the proximity of a public water supply intake.

15. No activity, including structures or work in "waters of the U.S." or discharges of dredged or fill material may consist of unsuitable materials (e.g. trash, debris, car bodies, asphalt, etc.) and that materials used for construction or discharge must be free from toxic pollutants in toxic amounts.

16. The permittee shall, to the maximum extent practicable, design the project to maintain pre-construction downstream flow conditions. Furthermore, the work must not permanently restrict or impede the passage of normal or expected high flows and the structure or discharge of fill must withstand expected high flows. The project must provide, to the maximum extent practicable, for retaining excess flows from the site and for establishing flow rates from the site similar to pre-construction conditions.

17. The permittee shall ensure that all temporary fills, authorized under the LOP, be removed in their entirety and the affected areas returned to pre-construction elevation.

18. Representatives from the Corps of Engineers and/or the State of Kentucky may inspect any authorized activity or mitigation site at any time deemed necessary to ensure compliance with the terms and conditions of the LOP, Section 401 WQC, and applicable laws.

19. All work authorized by this LOP must be completed within five years after the date of the Corps authorization letter. If you find you need more time to complete the authorized activity, submit your request for a time extension to this office for consideration at least three months before the expiration date.

20. The permittee, after completion of work under the LOP, shall submit a signed certification letter regarding the completed work and required mitigation, if applicable. The certification letter will include a statement that the work was done in accordance with the LOP authorization including compliance with all general and special conditions and completion of mitigation work.

21. You must allow representatives from this office to inspect the authorized activity at any time deemed necessary to ensure that it is being or has been accomplished with the terms and conditions of the LOP.

22. For Section 10 waters, if future operations by the United States require the removal, relocation, or other alteration, of the structure or work authorized, or if, in the opinion of the Secretary of the Army or his authorized representative, said structure or work shall cause unreasonable obstruction to the free navigation of the navigable waters, the permittee will be required, upon due notice from the Corps of Engineers, to remove, relocate, or alter the structural work or obstructions caused thereby, without expense to the United States. No claim shall be made against the United States on account of any such removal or alteration.

PART II

SPECIFICATIONS AND STANDARD DRAWINGS

SPECIFICATIONS REFERENCE

Any reference in the plans or proposal to previous editions of the *Standard Specifications for Road and Bridge Construction* and *Standard Drawings* are superseded by *Standard Specifications for Road and Bridge Construction, Edition of 2019* and *Standard Drawings, Edition of 2020*.

SUPPLEMENTAL SPECIFICATIONS

The contractor shall use the Supplemental Specifications that are effective at the time of letting.
The Supplemental Specifications can be found at the following link:

<http://transportation.ky.gov/Construction/Pages/Kentucky-Standard-Specifications.aspx>

SPECIAL NOTE FOR PORTABLE CHANGEABLE MESSAGE SIGNS

This Special Note will apply when indicated on the plans or in the proposal.

1.0 DESCRIPTION. Furnish, install, operate, and maintain variable message signs at the locations shown on the plans or designated by the Engineer. Remove and retain possession of variable message signs when they are no longer needed on the project.

2.0 MATERIALS.

2.1 General. Use LED Variable Message Signs Class I, II, or III, as appropriate, from the Department's List of Approved Materials.

Unclassified signs may be submitted for approval by the Engineer. The Engineer may require a daytime and nighttime demonstration. The Engineer will make a final decision within 30 days after all required information is received.

2.2 Sign and Controls. All signs must:

- 1) Provide 3-line messages with each line being 8 characters long and at least 18 inches tall. Each character comprises 35 pixels.
- 2) Provide at least 40 preprogrammed messages available for use at any time. Provide for quick and easy change of the displayed message; editing of the message; and additions of new messages.
- 3) Provide a controller consisting of:
 - a) Keyboard or keypad.
 - b) Readout that mimics the actual sign display. (When LCD or LCD type readout is used, include backlighting and heating or otherwise arrange for viewing in cold temperatures.)
 - c) Non-volatile memory or suitable memory with battery backup for storing pre-programmed messages.
 - d) Logic circuitry to control the sequence of messages and flash rate.
- 4) Provide a serial interface that is capable of supporting complete remote control ability through land line and cellular telephone operation. Include communication software capable of immediately updating the message, providing complete sign status, and allowing message library queries and updates.
- 5) Allow a single person easily to raise the sign to a satisfactory height above the pavement during use, and lower the sign during travel.
- 6) Be Highway Orange on all exterior surfaces of the trailer, supports, and controller cabinet.
- 7) Provide operation in ambient temperatures from -30 to + 120 degrees Fahrenheit during snow, rain and other inclement weather.
- 8) Provide the driver board as part of a module. All modules are interchangeable, and have plug and socket arrangements for disconnection and reconnection. Printed circuit boards associated with driver boards have a conformable coating to protect against moisture.
- 9) Provide a sign case sealed against rain, snow, dust, insects, etc. The lens is UV stabilized clear plastic (polycarbonate, acrylic, or other approved material) angled to prevent glare.
- 10) Provide a flat black UV protected coating on the sign hardware, character PCB, and appropriate lens areas.
- 11) Provide a photocell control to provide automatic dimming.

11

- 12) Allow an on-off flashing sequence at an adjustable rate.
- 13) Provide a sight to aim the message.
- 14) Provide a LED display color of approximately 590 nm amber.
- 15) Provide a controller that is password protected.
- 16) Provide a security device that prevents unauthorized individuals from accessing the controller.
- 17) Provide the following 3-line messages preprogrammed and available for use when the sign unit begins operation:

/KEEP/RIGHT/⇒⇒⇒/	/MIN/SPEED/**MPH/
/KEEP/LEFT/⇐⇐⇐/	/ICY/BRIDGE/AHEAD/ /ONE
/LOOSE/GRAVEL/AHEAD/	LANE/BRIDGE/AHEAD/
/RD WORK/NEXT/**MILES/	/ROUGH/ROAD/AHEAD/
/TWO WAY/TRAFFIC/AHEAD/	/MERGING/TRAFFIC/AHEAD/
/PAINT/CREW/AHEAD/	/NEXT/***/MILES/
/REDUCE/SPEED/**MPH/	/HEAVY/TRAFFIC/AHEAD/
/BRIDGE/WORK/***() FT/	/SPEED/LIMIT/**MPH/
/MAX/SPEED/**MPH/	/BUMP/AHEAD/
/SURVEY/PARTY/AHEAD/	/TWO/WAY/TRAFFIC/

*Insert numerals as directed by the Engineer.

Add other messages during the project when required by the Engineer.

2.3 Power.

- 1) Design solar panels to yield 10 percent or greater additional charge than sign consumption. Provide direct wiring for operation of the sign or arrow board from an external power source to provide energy backup for 21 days without sunlight and an on-board system charger with the ability to recharge completely discharged batteries in 24 hours.

3.0 CONSTRUCTION. Furnish and operate the variable message signs as designated on the plans or by the Engineer. Ensure the bottom of the message panel is a minimum of 7 feet above the roadway in urban areas and 5 feet above in rural areas when operating. Use Class I, II, or III signs on roads with a speed limit less than 55 mph. Use Class I or II signs on roads with speed limits 55 mph or greater.

Maintain the sign in proper working order, including repair of any damage done by others, until completion of the project. When the sign becomes inoperative, immediately repair or replace the sign. Repetitive problems with the same unit will be cause for rejection and replacement.

Use only project related messages and messages directed by the Engineer, unnecessary messages lessen the impact of the sign. Ensure the message is displayed in either one or 2 phases with each phase having no more than 3 lines of text. When no message is needed, but it is necessary to know if the sign is operable, flash only a pixel.

When the sign is not needed, move it outside the clear zone or where the Engineer directs. Variable Message Signs are the property of the Contractor and shall be removed from the project when no longer needed. The Department will not assume ownership of these signs.

4.0 MEASUREMENT. The final quantity of Variable Message Sign will be

11
the actual number of individual signs acceptably furnished and operated during the project. The Department will not measure signs replaced due to damage or rejection.

5.0 PAYMENT. The Department will pay for the Variable Message Signs at the unit price each. The Department will not pay for signs replaced due to damage or rejection. Payment is full compensation for furnishing all materials, labor, equipment, and service necessary to, operate, move, repair, and maintain or replace the variable message signs. The Department will make payment for the completed and accepted quantities under the following:

<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
02671	Portable Changeable Message Sign	Each

Effective June 15, 2012

SPECIAL NOTE FOR TURF REINFORCING MAT

1.0 DESCRIPTION. Install turf reinforcement mat at locations specified in the Contract or as the Engineer directs. Section references herein are to the Department's Standard Specifications for Road and Bridge Construction, current edition.

2.0 MATERIALS.

2.1 Turf Reinforcement Mat (TRM). Use a Turf Reinforcement Mat defined as permanent rolled erosion control product composed of non-degradable synthetic fibers, filaments, nets, wire mesh and/or other elements, processed into a three-dimensional matrix of sufficient thickness and from the Department's List of Approved Materials. Mats must be 100% UV stabilized materials. For TRMs containing degradable components, all physical property values must be obtained on the non-degradable portion of the matting exclusively. Ensure product labels clearly show the manufacturer or supplier name, style name, and roll number. Ensure labeling, shipment and storage follows ASTM D-4873. The Department will require manufacturer to provide TRMs that are machine constructed web of mechanically or melt bonded nondegradable fibers entangled to form a three dimensional matrix. The Department will require all long term performance property values in table below to be based on non degradable portion of the matting alone. Approved methods include polymer welding, thermal or polymer fusion, or placement of fibers between two high strength biaxially oriented nets mechanically bound by parallel stitching with polyolefin thread. Ensure that mats designated in the plans as Type 4 mats, are not to be manufactured from discontinuous or loosely held together by stitching or glued netting or composites. Type 4 mats shall be composed of geosynthetic matrix that exhibits a very high interlock and reinforcement capacities with both soil and root systems and with high tensile modulus. The Department will require manufacturer to use materials chemically and biologically inert to the natural soil environments conditions. Ensure the blanket is smolder resistant without the use of chemical additives. When stored, maintain the protective wrapping and elevate the mats off the ground to protect them from damage. The Department will not specify these materials for use in heavily acidic coal seam areas or other areas with soil problems that would severally limit vegetation growth.

- A) Dimensions. Ensure TRMs are furnished in strips with a minimum width of 4 feet and length of 50 feet.
- B) Weight. Ensure that all mat types have a minimum mass per unit area of 7 ounces per square yard according to ASTM D 6566.
- C) Performance Testing: The Department will require AASHTO's NTPEP index testing. The Department will also require the manufacturer to perform internal MARV testing at a Geosynthetic Accreditation Institute – Laboratory Accreditation Program (GAI-LAP) accredited laboratory for tensile strength, tensile elongation, mass per unit area, and thickness once every 24,000 yds of production or whatever rate is required to ensure 97.7% confidence under ASTM D4439& 4354. The Department will require Full scale testing for slope and channel applications shear stress shall be done under ASTM D 6459, ASTM D 6460-07 procedures.

2.2 Classifications

The basis for selection of the type of mat required will be based on the long term shear stress level of the mat of the channel in question or the degree of slope to protect and will be designated in the contract. The Type 4 mats are to be used at structural backfills protecting critical

11F

structures, utility cuts, areas where vehicles may be expected to traverse the mat, channels with large heavy drift, and where higher factors of safety, very steep slopes and/or durability concerns are needed as determined by project team and designer and will be specified in the plans by designer.

Turf Reinforcement Matting					
Properties ¹	Type 1	Type 2	Type 3	Type 4	Test Method
Minimum tensile Strength lbs/ft	125	150	175	3000 by 1500	ASTM D6818 ²
UV stability (minimum % tensile retention)	80	80	80	90	ASTM D4355 ³ (1000-hr exposure)
Minimum thickness (inches)	0.25	0.25	0.25	0.40	ASTM D6525
Slopes applications	2H:1V or flatter	1.5H:1V or flatter	1H:1V or flatter	1 H: 1V or greater	
Shear stress lbs/ft ² Channel applications	6.0 ⁴	8.0 ⁴	10.0 ⁴	12.0 ⁴	ASTM D6459 ASTM D6460-07

¹ For TRMs containing degradable components, all physical property values must be obtained on the non-degradable portion of the matting alone.

²Minimum Average Roll Values for tensile strength of sample material machine direction.

³Tensile Strength percentage retained after stated 1000 hr duration of exposure under ASTM D4355 testing. Based on nondegradable components exclusively.

⁴Maximum permissible shear design values based on short-term (0.5 hr) vegetated data obtained by full scale flume testing ASTM D6459, D6460-07. Based on nondegradable components exclusively. Testing will be done at Independent Hydraulics Facility such as Colorado State University hydraulics laboratory, Utah State University hydraulics laboratory, Texas Transportation Institute (TTI) hydraulics and erosion control laboratory.

2.3 Quality Assurance Sampling, Testing, and Acceptance

- A) Provide TRM listed on the Department's List of Approved Materials. Prior to inclusion on the LAM, the manufacturer of TRM must meet the physical and performance criteria as outlined in the specification and submit a Letter Certifying compliance of the product under the above ASTM testing procedures and including a copy of report from Full Scale Independent Hydraulics Facility that Fully Vegetated Shear Stress meets shear stress requirements tested under D6459 and D6460-07.
- B) Contractors will provide a Letter of Certification from Manufacturer stating the product name, manufacturer, and that the product MARV product unit testing results meets Department criteria. Provide Letters once per project and for each product.
- C) Acceptance shall be in accordance with ASTM D-4759 based on testing performed by a Geosynthetic Accreditation Institute – Laboratory Accreditation Program (GAI-LAP) accredited laboratory using Procedure A of ASTM D-4354.

Current mats meeting the above criteria are shown on the Department’s List of Approved Materials.

2.4 Fasteners. When the mat manufacturer does not specify a specific fastener, use steel wire U-shaped staples with a minimum diameter of 0.09 inches (11 gauge), a minimum width of one inch and a minimum length of 12 inches. Use a heavier gauge when working in rocky or clay soils and longer lengths in sandy soils as directed by Engineer or Manufacturer’s Representative. Provide staples with colored tops when requested by the Engineer.

3.0 CONSTRUCTION. When requested by the Engineer, provide a Manufacturer’s Representative on-site to oversee and approve the initial installation of the mat. When requested by the Engineer, provide a letter from the Manufacturer approving the installation. When there is a conflict between the Department’s criteria and the Manufacturer’s criteria, construct using the more restrictive. The Engineer and Manufacturer’s Representative must approve all alternate installation methods prior to execution. Construct according to the Manufacturer’s recommendations and the following as minimum installation technique:

3.1 Site Preparation. Grade areas to be treated with matting and compact. Remove large rocks, soil clods, vegetation, roots, and other sharp objects that could keep the mat from intimate contact with subgrade. Prepare seedbed by loosening the top 2 to 3 inch of soil.

3.2 Installation. Install mats according to Standard Drawing Sepias “Turf Mat Channel Installation” and “Turf Mat Slope Installation.” Install mats at the specified elevation and alignment. Anchor the mats with staples with a minimum length of 12 inches. Use longer anchors for installations in sandy, loose, or wet soils as directed by the Engineer or Manufacturer’s Representative. The mat should be in direct contact with the soil surface.

4.0 MEASUREMENT. The Department will measure the quantity of Turf Reinforcement Mat by the square yard of surface covered. The Department will not measure preparation of the bed, providing a Manufacturer’s Representative, topsoil, or seeding for payment and will consider them incidental to the Turf Reinforcement Mat. The Department will not measure any reworking of slopes or channels for payment as it is considered corrective work and incidental to the Turf Reinforcement Mat. Seeding and protection will be an incidental item.

5.0 PAYMENT. The Department will make payment for the completed and accepted quantities under the following:

<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
23274EN11F	Turf Reinforcement Mat 1	Square Yard
23275EN11F	Turf Reinforcement Mat 2	Square Yard
23276EN11F	Turf Reinforcement Mat 3	Square Yard
23277EN11F	Turf Reinforcement Mat 4	Square Yard

June 15, 2012

SPECIAL NOTE FOR BARCODE LABEL ON PERMANENT SIGNS

1.0 DESCRIPTION. Install barcode label on sheeting signs. Section references herein are to the Department's Standard Specifications for Road and Bridge Construction, current edition.

2.0 MATERIALS. The Department will provide the Contractor with a 2 inch x 1 inch foil barcode label for each permanent sheeting sign. A unique number will be assigned to each barcode label.

The Contractor shall contact the Operations and Pavement Management Branch in the Division of Maintenance at (502) 564-4556 to obtain the barcode labels.

3.0 CONSTRUCTION. Apply foil barcode label in the lower right quadrant of the sign back. Signs where the bottom edge is not parallel to the ground, the lowest corner of the sign shall serve as the location to place the barcode label. The barcode label shall be placed no less than one-inch and no more than three inches from any edge of the sign. The barcode must be placed so that the sign post does not cover the barcode label.

Barcodes shall be applied in an indoor setting with a minimum air temperature of 50°F or higher. Prior to application of the barcode label, the back of the sign must be clean and free of dust, oil, etc. If the sign is not clean, an alcohol swab shall be used to clean the area. The area must be allowed to dry prior to placement of the barcode label.

Data for each sign shall include the barcode number, MUTCD reference number, sheeting manufacturer, sheeting type, manufacture date, color of primary reflective surface, installation date, latitude and longitude using the North American Datum of 1983 (NAD83) or the State Plane Coordinates using an x and y ordinate of the installed location.

Data should be provided electronically on the TC 71-229 Sign Details Information and TC 71-230 Sign Assembly Information forms. The Contractor may choose to present the data in a different format provided that the information submitted to the Department is equivalent to the information required on the Department TC forms. The forms must be submitted in electronic format regardless of which type of form is used. The Department will not accept PDF or handwritten forms. These completed forms must be submitted to the Department prior to final inspection of the signs. The Department will not issue formal acceptance for the project until the TC 71-229 and TC-230 electronic forms are completed for all signs and sign assemblies on the project.

4.0 MEASUREMENT. The Department will measure all work required for the installation of the barcode label and all work associated with completion and submission of the sign inventory data (TC 71-229 and TC 71-230).

The installation of the permanent sign will be measured in accordance to Section 715.

5.0 PAYMENT. The Department will make payment for the completed and accepted quantities under the following:

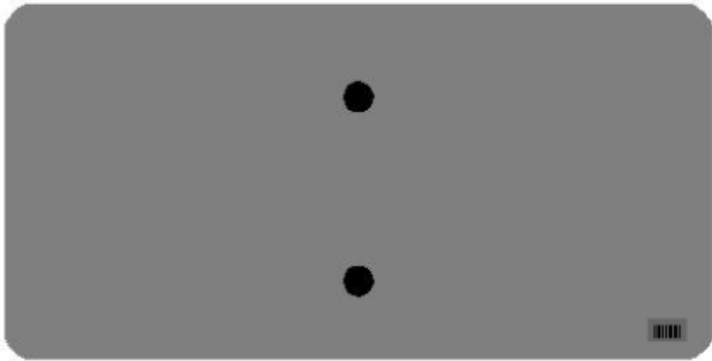
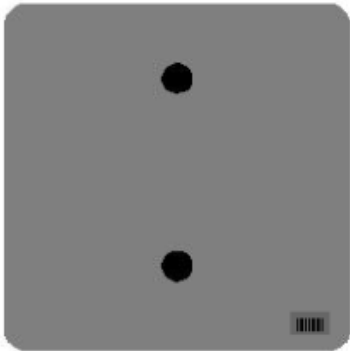
<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
24631EC	Barcode Sign Inventory	Each

The Department will not make payment for this item until all barcodes are installed and sign inventory is complete on every permanent sign installed on the project. The Department will make payment for installation of the permanent sign in accordance to Section 715. The Department will consider payment as full compensation for all work required under this special note.

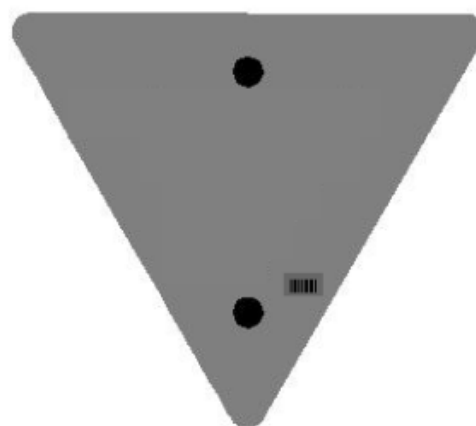
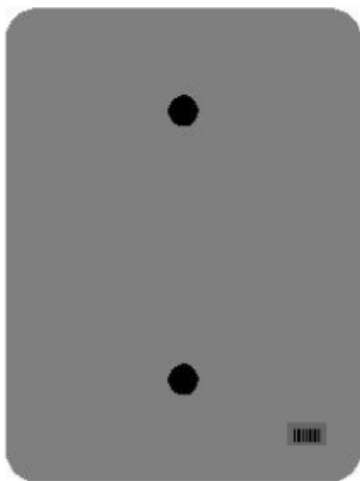
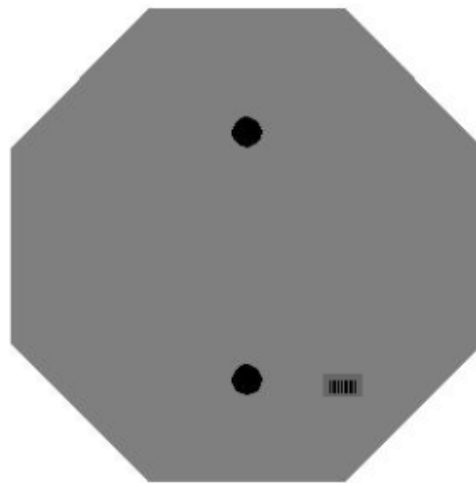
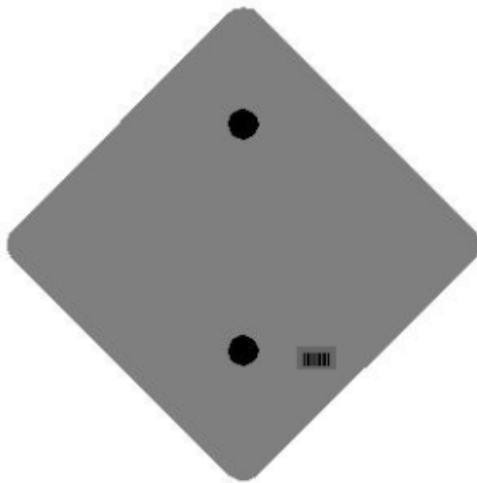
One Sign Post



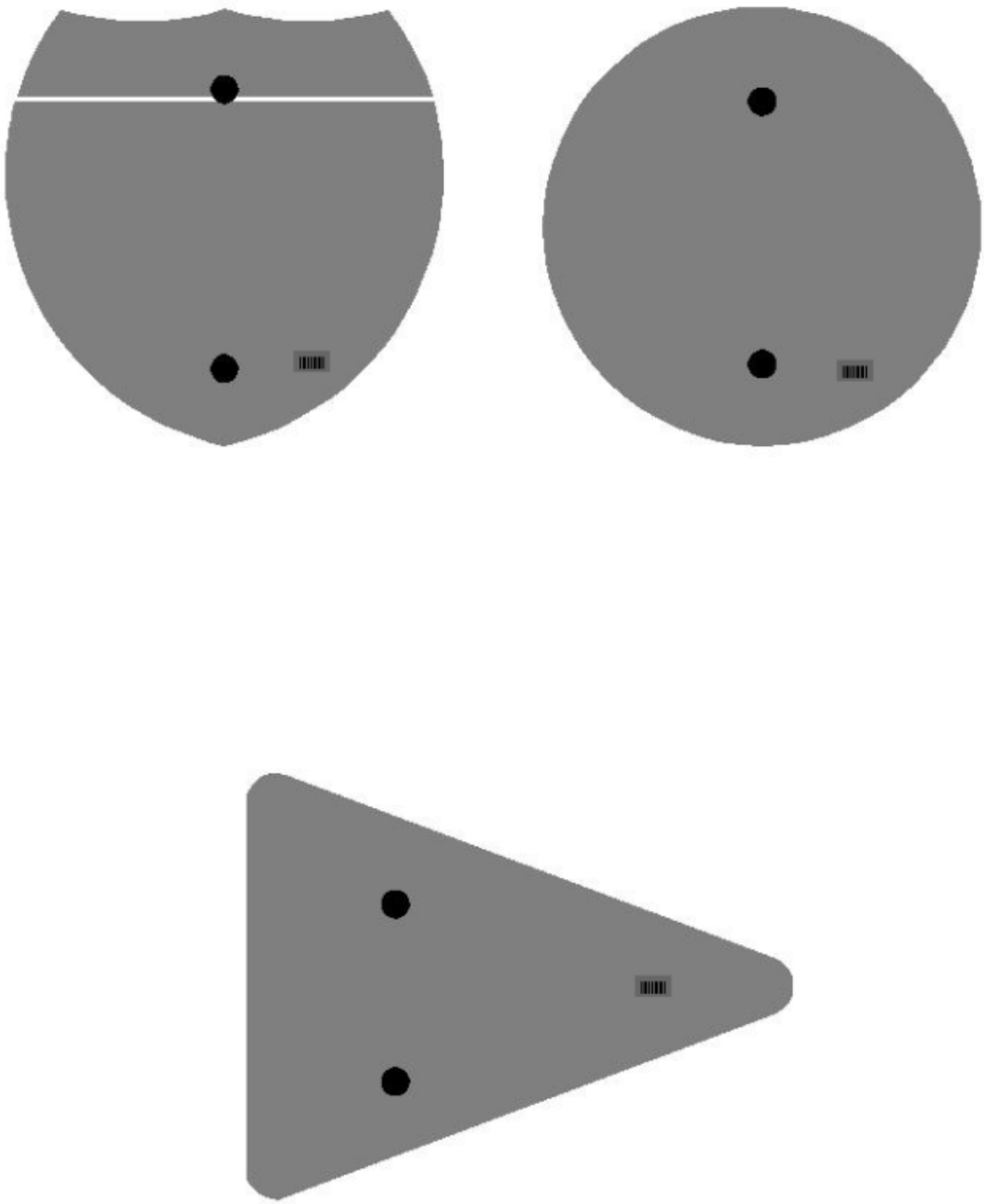
↑
2" Wide Post



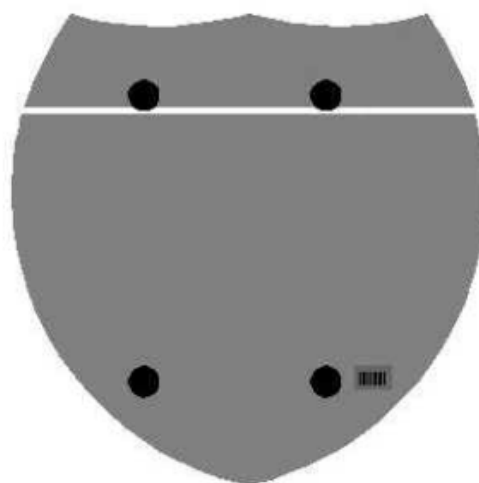
One Sign Post



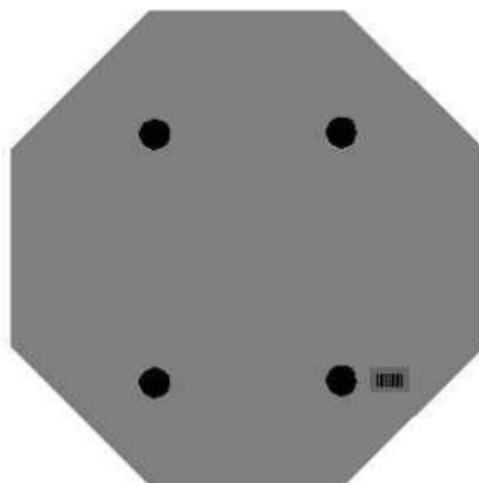
One Sign Post



Double Sign Post

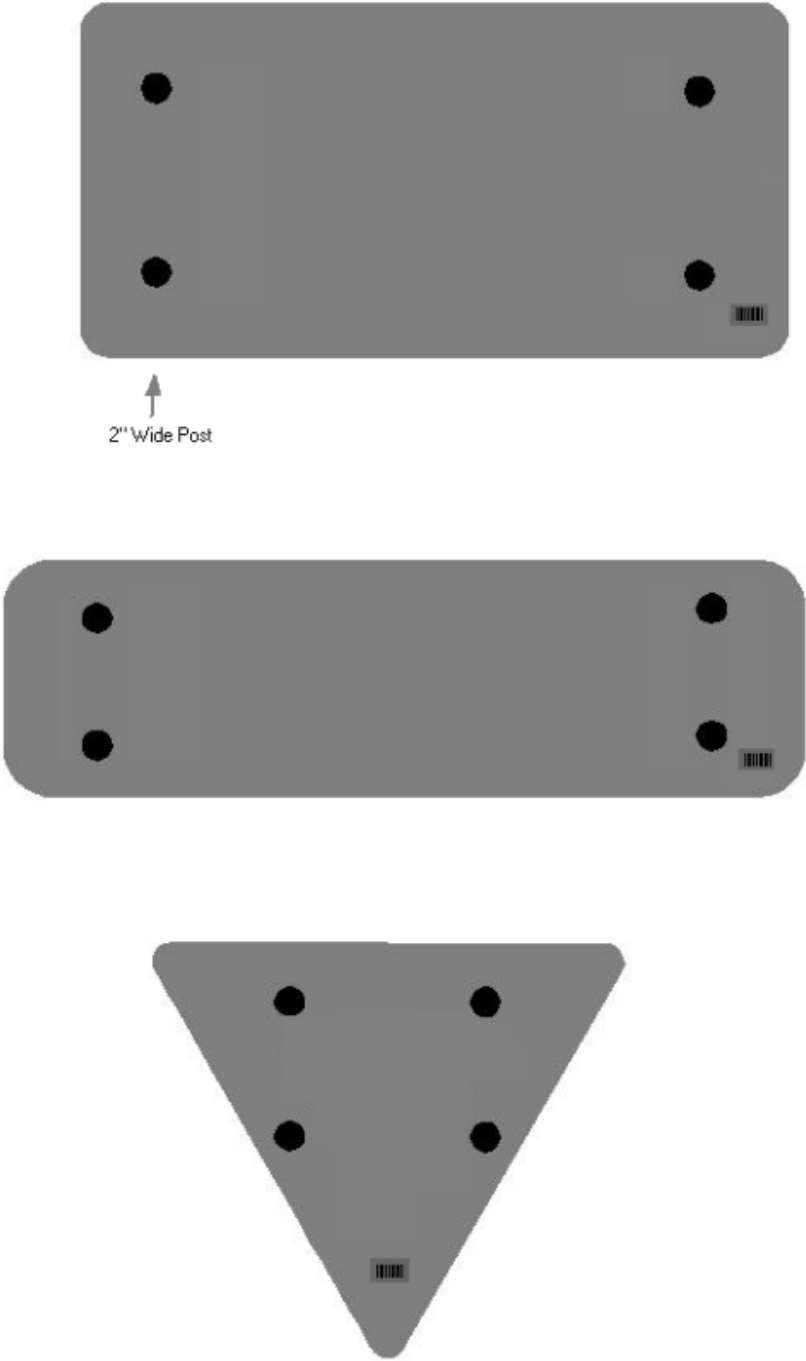


Interstate
Shield



48" Stop

2 Post Signs



SPECIAL NOTE FOR LONGITUDINAL PAVEMENT JOINT ADHESIVE

1. **DESCRIPTION.** This specification covers the requirements and practices for applying an asphalt adhesive material to the longitudinal joint of the surface course of an asphalt pavement. Apply the adhesive to the face of longitudinal joint between driving lanes for the first lane paved. Then, place and compact the adjacent lane against the treated face to produce a strong, durable, waterproof longitudinal joint.
2. **MATERIALS, EQUIPMENT, AND PERSONNEL.**

2.1 Joint Adhesive. Provide material conforming to Subsection 2.1.1.

2.1.1 Provide an adhesive conforming to the following requirements:

Property	Specification	Test Procedure
Viscosity, 400 ° F (Pa·s)	4.0 – 10.0	ASTM D 4402
Cone Penetration, 77 ° F	60 – 100	ASTM D 5329
Flow, 140 ° F (mm)	5.0 max.	ASTM D 5329
Resilience, 77 ° F (%)	30 min.	ASTM D 5329
Ductility, 77 ° F (cm)	30.0 min.	ASTM D 113
Ductility, 39 ° F (cm)	30.0 min.	ASTM D 113
Tensile Adhesion, 77 ° F (%)	500 min.	ASTM D 5329, Type II
Softening Point, ° F	171 min.	AASHTO T 53
Asphalt Compatibility	Pass	ASTM D 5329

Ensure the temperature of the pavement joint adhesive is between 380 and 410 °F when the material is extruded in a 0.125-inch-thick band over the entire face of the longitudinal joint.

2.2. Equipment.

2.2.1 Melter Kettle. Provide an oil-jacketed, double-boiler, melter kettle equipped with any needed agitation and recirculating systems.

2.2.2 Applicator System. Provide a pressure-feed-wand applicator system with an applicator shoe attached.

2.3 Personnel. Ensure a technical representative from the manufacturer of the pavement joint adhesive is present during the initial construction activities and available upon the request of the Engineer.

3. **CONSTRUCTION.**

3.1 Surface Preparation. Prior to the application of the pavement joint adhesive, ensure the face of the longitudinal joint is thoroughly dry and free from dust or any other debris that would inhibit adhesion. Clean the joint face by the use of compressed air.

11N

Ensure this preparation process occurs shortly before application to prevent the return of debris on the joint face.

3.2 Pavement Joint Adhesive Application. Ensure the ambient temperature is a minimum of 40 ° F during the application of the pavement joint adhesive. Prior to applying the adhesive, demonstrate competence in applying the adhesive according to this note to the satisfaction of the Engineer. Heat the adhesive in the melter kettle to the specified temperature range. Pump the adhesive from the melter kettle through the wand onto the vertical face of the cold joint. Apply the adhesive in a continuous band over the entire face of the longitudinal joint. Do not use excessive material in either thickness or location. Ensure the edge of the extruded adhesive material is flush with the surface of the pavement. Then, place and compact the adjacent lane against the joint face. Remove any excessive material extruded from the joint after compaction (a small line of material may remain).

3.3 Pavement Joint Adhesive Certification. Furnish the joint adhesive's certification to the Engineer stating the material conforms to all requirements herein prior to use.

3.4 Sampling and Testing. The Department will require a random sample of pavement joint adhesive from each manufacturer's lot of material. Extrude two 5 lb. samples of the heated material and forward the sample to the Division of Materials for testing. Reynolds oven bags, turkey size, placed inside small cardboard boxes or cement cylinder molds have been found suitable. Ensure the product temperature is 400°F or below at the time of sampling.

4. MEASUREMENT. The Department will measure the quantity of Pavement Joint Adhesive in linear feet. The Department will not measure for payment any extra materials, labor, methods, equipment, or construction techniques used to satisfy the requirements of this note. The Department will not measure for payment any trial applications of Pavement Joint Adhesive, the cleaning of the joint face, or furnishing and placing the adhesive. The Department will consider all such items incidental to the Pavement Joint Adhesive.
5. PAYMENT. The Department will pay for the Pavement Joint Adhesive at the Contract unit bid price and apply an adjustment for each manufacturer's lot of material based on the degree of compliance as defined in the following schedule. When a sample fails on two or more tests, the Department may add the deductions, but the total deduction will not exceed 100 percent.

11N

Pavement Joint Adhesive Price Adjustment Schedule						
Test	Specification	100% Pay	90% Pay	80% Pay	50% Pay	0% Pay
Joint Adhesive Referenced in Subsection 2.1.1						
Viscosity, 400 ° F (Pa•s)			3.0-3.4	2.5-2.9	2.0-2.4	≤1.9
ASTM D 3236	4.0-10.0	3.5-10.5	10.6-11.0	11.1-11.5	11.6-12.0	≥ 12.1
Cone Penetration, 77 ° F			54-56	51-53	48-50	≤ 47
ASTM D 5329	60-100	57-103	104-106	107-109	110-112	≥ 113
Flow, 140 ° F (mm) ASTM D 5329	≤ 5.0	≤ 5.5	5.6-6.0	6.1-6.5	6.6-7.0	≥ 7.1
Resilience, 77 ° F (%) ASTM D 5329	≥ 30	≥ 28	26-27	24-25	22-23	≤ 21
Tensile Adhesion, 77 ° F (%) ASTM D 5329	≥ 500	≥ 490	480-489	470-479	460-469	≤ 459
Softening Point, ° F AASHTO T 53	≥ 171	≥ 169	166-168	163-165	160-162	≤ 159
Ductility, 77 ° F (cm) ASTM D 113	≥ 30.0	≥ 29.0	28.0-28.9	27.0-27.9	26.0-26.9	≤ 25.9
Ductility, 39 ° F (cm) ASTM D 113	≥ 30.0	≥ 29.0	28.0-28.9	27.0-27.9	26.0-26.9	≤ 25.9

Code
20071EC

Pay Item
Joint Adhesive

Pay Unit
Linear Foot

May 7, 2014

PART III

EMPLOYMENT, WAGE AND RECORD REQUIREMENTS

FHWA-1273 -- Revised July 5, 2022

REQUIRED CONTRACT PROVISIONS FEDERAL-AID CONSTRUCTION CONTRACTS

- I. General
- II. Nondiscrimination
- III. Non-segregated Facilities
- IV. Davis-Bacon and Related Act Provisions
- V. Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- IX. Implementation of Clean Air Act and Federal Water Pollution Control Act
- X. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
- XI. Certification Regarding Use of Contract Funds for Lobbying
- XII. Use of United States-Flag Vessels:

ATTACHMENTS

A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only)

I. GENERAL

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under title 23, United States Code, as required in 23 CFR 633.102(b) (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services). 23 CFR 633.102(e).

The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider. 23 CFR 633.102(e).

Form FHWA-1273 must be included in all Federal-aid design-build contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services) in accordance with 23 CFR 633.102. The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Contracting agencies may reference Form FHWA-1273 in solicitation-for-bids or request-for-proposals documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract). 23 CFR 633.102(b).

2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work

performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract. 23 CFR 633.102(d).

3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.

4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. 23 U.S.C. 114(b). The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors. 23 U.S.C. 101(a).

II. NONDISCRIMINATION (23 CFR 230.107(a); 23 CFR Part 230, Subpart A, Appendix A; EO 11246)

The provisions of this section related to 23 CFR Part 230, Subpart A, Appendix A are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR Part 60, 29 CFR Parts 1625-1627, 23 U.S.C. 140, Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d et seq.), and related regulations including 49 CFR Parts 21, 26, and 27; and 23 CFR Parts 200, 230, and 633.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR Part 60, and 29 CFR Parts 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with 23 U.S.C. 140, Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), and Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d et seq.), and related regulations including 49 CFR Parts 21, 26, and 27; and 23 CFR Parts 200, 230, and 633.

The following provision is adopted from 23 CFR Part 230, Subpart A, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

1. Equal Employment Opportunity: Equal Employment Opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (see 28 CFR Part 35, 29 CFR Part 1630, 29 CFR Parts 1625-1627, 41 CFR Part 60 and 49 CFR Part 27) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140, shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR Part 35 and 29 CFR Part 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract. 23 CFR 230.409 (g)(4) & (5).

b. The contractor will accept as its operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, sexual orientation, gender identity, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."

2. EEO Officer: The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.

3. Dissemination of Policy: All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action or are substantially involved in such action, will be made fully cognizant of and will implement the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer or other knowledgeable company official.

b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.

d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

4. Recruitment: When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.

a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.

b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.

c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.

5. Personnel Actions: Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, sexual orientation, gender identity, national origin, age or disability. The following procedures shall be followed:

a. The contractor will conduct periodic inspections of project sites to ensure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.

b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action

within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.

6. Training and Promotion:

a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs (i.e., apprenticeship and on-the-job training programs for the geographical area of contract performance). In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.

7. Unions: If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women. 23 CFR 230.409. Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:

a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.

b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide

sufficient referrals (even though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.

8. Reasonable Accommodation for Applicants /

Employees with Disabilities: The contractor must be familiar with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established thereunder. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.

9. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment:

The contractor shall not discriminate on the grounds of race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.

a. The contractor shall notify all potential subcontractors, suppliers, and lessors of their EEO obligations under this contract.

b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.

10. Assurances Required:

a. The requirements of 49 CFR Part 26 and the State DOT's FHWA-approved Disadvantaged Business Enterprise (DBE) program are incorporated by reference.

b. The contractor, subrecipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate, which may include, but is not limited to:

- (1) Withholding monthly progress payments;
- (2) Assessing sanctions;
- (3) Liquidated damages; and/or
- (4) Disqualifying the contractor from future bidding as non-responsible.

c. The Title VI and nondiscrimination provisions of U.S. DOT Order 1050.2A at Appendixes A and E are incorporated by reference. 49 CFR Part 21.

11. Records and Reports: The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.

a. The records kept by the contractor shall document the following:

(1) The number and work hours of minority and non-minority group members and women employed in each work classification on the project;

(2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and

(3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women.

b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on [Form FHWA-1391](#). The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

III. NONSEGREGATED FACILITIES

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of more than \$10,000. 41 CFR 60-1.5.

As prescribed by 41 CFR 60-1.8, the contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, sexual orientation, gender identity, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location under the contractor's control where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

IV. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size), in accordance with 29 CFR 5.5. The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. 23 U.S.C. 113. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. 23 U.S.C. 101. Where applicable law requires that projects be treated as a project on a Federal-aid highway, the provisions of this subpart will apply regardless of the location of the project. Examples include: Surface Transportation Block Grant Program projects funded under 23 U.S.C. 133 [excluding recreational trails projects], the Nationally Significant Freight and Highway

Projects funded under 23 U.S.C. 117, and National Highway Freight Program projects funded under 23 U.S.C. 167.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

1. Minimum wages (29 CFR 5.5)

a. All laborers and mechanics employed or working upon the site of the work, will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph 1.d. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph 1.b. of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

b.(1) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:

(i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and

(ii) The classification is utilized in the area by the construction industry; and

(iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(2) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(3) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(4) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs 1.b.(2) or 1.b.(3) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

c. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

d. If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program. Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

2. Withholding (29 CFR 5.5)

The contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor under this contract, or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics,

including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the contracting agency may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

3. Payrolls and basic records (29 CFR 5.5)

a. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

b.(1) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the contracting agency. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the payrolls shall only need to include an individually identifying number for each employee (e.g., the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the contracting agency for transmission to the State DOT, the FHWA or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the contracting agency.

(2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or

subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(i) That the payroll for the payroll period contains the information required to be provided under 29 CFR 5.5(a)(3)(ii), the appropriate information is being maintained under 29 CFR 5.5(a)(3)(i), and that such information is correct and complete;

(ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in 29 CFR part 3;

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

(3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 3.b.(2) of this section.

(4) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under 18 U.S.C. 1001 and 31 U.S.C. 231.

c. The contractor or subcontractor shall make the records required under paragraph 3.a. of this section available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the FHWA may, after written notice to the contractor, the contracting agency or the State DOT, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

4. Apprentices and trainees (29 CFR 5.5)

a. Apprentices (programs of the USDOL).

Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State

Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice.

The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

b. Trainees (programs of the USDOL).

Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration.

The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration.

Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the

corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.

d. Apprentices and Trainees (programs of the U.S. DOT).

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. 23 CFR 230.111(e)(2). The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

5. Compliance with Copeland Act requirements. The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract as provided in 29 CFR 5.5.

6. Subcontracts. The contractor or subcontractor shall insert Form FHWA-1273 in any subcontracts and also require the subcontractors to include Form FHWA-1273 in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.

7. Contract termination: debarment. A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

8. Compliance with Davis-Bacon and Related Act requirements. All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract as provided in 29 CFR 5.5.

9. Disputes concerning labor standards. As provided in 29 CFR 5.5, disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor

set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

10. Certification of eligibility (29 CFR 5.5)

a. By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

c. The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT

Pursuant to 29 CFR 5.5(b), the following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchmen and guards.

1. Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek. 29 CFR 5.5.

2. Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in paragraph 1 of this section, the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph 1 of this section, in the sum currently provided in 29 CFR 5.5(b)(2)* for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph 1 of this section. 29 CFR 5.5.

* \$27 as of January 23, 2019 (See 84 FR 213-01, 218) as may be adjusted annually by the Department of Labor; pursuant to the Federal Civil Penalties Inflation Adjustment Act of 1990).

3. Withholding for unpaid wages and liquidated damages.

The FHWA or the contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph 2 of this section. 29 CFR 5.5.

4. Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraphs 1 through 4 of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs 1 through 4 of this section. 29 CFR 5.5.

VI. SUBLETTING OR ASSIGNING THE CONTRACT

This provision is applicable to all Federal-aid construction contracts on the National Highway System pursuant to 23 CFR 635.116.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).

a. The term "perform work with its own organization" in paragraph 1 of Section VI refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions: (based on longstanding interpretation)

- (1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;
- (2) the prime contractor remains responsible for the quality of the work of the leased employees;
- (3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and
- (4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or

equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract. 23 CFR 635.102.

2. Pursuant to 23 CFR 635.116(a), the contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. Pursuant to 23 CFR 635.116(c), the contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract. (based on long-standing interpretation of 23 CFR 635.116).

5. The 30-percent self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements. 23 CFR 635.116(d).

VII. SAFETY: ACCIDENT PREVENTION

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR Part 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract. 23 CFR 635.108.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR Part 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704). 29 CFR 1926.10.

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance

with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).

VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR Part 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 11, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT (42 U.S.C. 7606; 2 CFR 200.88; EO 11738)

This provision is applicable to all Federal-aid construction contracts in excess of \$150,000 and to all related subcontracts. 48 CFR 2.101; 2 CFR 200.326.

By submission of this bid/proposal or the execution of this contract or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, subcontractor, supplier, or vendor agrees to comply with all applicable standards, orders

or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401-7671q) and the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251-1387). Violations must be reported to the Federal Highway Administration and the Regional Office of the Environmental Protection Agency. 2 CFR Part 200, Appendix II.

The contractor agrees to include or cause to be included the requirements of this Section in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements. 2 CFR 200.326.

X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200. 2 CFR 180.220 and 1200.220.

1. Instructions for Certification – First Tier Participants:

a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.

b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction. 2 CFR 180.320.

c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default. 2 CFR 180.325.

d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances. 2 CFR 180.345 and 180.350.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180, Subpart I, 180.900-180.1020, and 1200. "First Tier Covered Transactions" refers to any covered transaction between a recipient or subrecipient of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant

who has entered into a covered transaction with a recipient or subrecipient of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction. 2 CFR 180.330.

g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold. 2 CFR 180.220 and 180.300.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. 2 CFR 180.300; 180.320, and 180.325. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. 2 CFR 180.335. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the System for Award Management website (<https://www.sam.gov/>). 2 CFR 180.300, 180.320, and 180.325.

i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default. 2 CFR 180.325.

* * * * *

2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:

a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:

(1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency, 2 CFR 180.335;.

(2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property, 2 CFR 180.800;

(3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification, 2 CFR 180.700 and 180.800; and

(4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default. 2 CFR 180.335(d).

(5) Are not a corporation that has been convicted of a felony violation under any Federal law within the two-year period preceding this proposal (USDOT Order 4200.6 implementing appropriations act requirements); and

(6) Are not a corporation with any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted, or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability (USDOT Order 4200.6 implementing appropriations act requirements).

b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant should attach an explanation to this proposal. 2 CFR 180.335 and 180.340.

3. Instructions for Certification - Lower Tier Participants:

(Applicable to all subcontracts, purchase orders, and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200). 2 CFR 180.220 and 1200.220.

a. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances. 2 CFR 180.365.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180, Subpart I, 180.900 – 180.1020, and 1200. You may contact the person to which this proposal is

submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a recipient or subrecipient of Federal funds and a participant (such as the prime or general contractor). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a recipient or subrecipient of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated. 2 CFR 1200.220 and 1200.332.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold. 2 CFR 180.220 and 1200.220.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the System for Award Management website (<https://www.sam.gov/>), which is compiled by the General Services Administration. 2 CFR 180.300, 180.320, 180.330, and 180.335.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment. 2 CFR 180.325.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Participants:

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals:

(a) is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency, 2 CFR 180.355;

(b) is a corporation that has been convicted of a felony violation under any Federal law within the two-year period preceding this proposal (USDOT Order 4200.6 implementing appropriations act requirements); and

(c) is a corporation with any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted, or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability. (USDOT Order 4200.6 implementing appropriations act requirements)

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant should attach an explanation to this proposal.

XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000. 49 CFR Part 20, App. A.

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier

subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

XII. USE OF UNITED STATES-FLAG VESSELS:

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, or any other covered transaction. 46 CFR Part 381.

This requirement applies to material or equipment that is acquired for a specific Federal-aid highway project. 46 CFR 381.7. It is not applicable to goods or materials that come into inventories independent of an FHWA funded-contract.

When oceanic shipments (or shipments across the Great Lakes) are necessary for materials or equipment acquired for a specific Federal-aid construction project, the bidder, proposer, contractor, subcontractor, or vendor agrees:

1. To utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels. 46 CFR 381.7.

2. To furnish within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, 'on-board' commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (b)(1) of this section to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Office of Cargo and Commercial Sealift (MAR-620), Maritime Administration, Washington, DC 20590. (MARAD requires copies of the ocean carrier's (master) bills of lading, certified onboard, dated, with rates and charges. These bills of lading may contain business sensitive information and therefore may be submitted directly to MARAD by the Ocean Transportation Intermediary on behalf of the contractor). 46 CFR 381.7.

**ATTACHMENT A - EMPLOYMENT AND MATERIALS
PREFERENCE FOR APPALACHIAN DEVELOPMENT
HIGHWAY SYSTEM OR APPALACHIAN LOCAL ACCESS
ROAD CONTRACTS (23 CFR 633, Subpart B, Appendix B)**

This provision is applicable to all Federal-aid projects funded under the Appalachian Regional Development Act of 1965.

1. During the performance of this contract, the contractor undertaking to do work which is, or reasonably may be, done as on-site work, shall give preference to qualified persons who regularly reside in the labor area as designated by the DOL wherein the contract work is situated, or the subregion, or the Appalachian counties of the State wherein the contract work is situated, except:

a. To the extent that qualified persons regularly residing in the area are not available.

b. For the reasonable needs of the contractor to employ supervisory or specially experienced personnel necessary to assure an efficient execution of the contract work.

c. For the obligation of the contractor to offer employment to present or former employees as the result of a lawful collective bargaining contract, provided that the number of nonresident persons employed under this subparagraph (1c) shall not exceed 20 percent of the total number of employees employed by the contractor on the contract work, except as provided in subparagraph (4) below.

2. The contractor shall place a job order with the State Employment Service indicating (a) the classifications of the laborers, mechanics and other employees required to perform the contract work, (b) the number of employees required in each classification, (c) the date on which the participant estimates such employees will be required, and (d) any other pertinent information required by the State Employment Service to complete the job order form. The job order may be placed with the State Employment Service in writing or by telephone. If during the course of the contract work, the information submitted by the contractor in the original job order is substantially modified, the participant shall promptly notify the State Employment Service.

3. The contractor shall give full consideration to all qualified job applicants referred to him by the State Employment Service. The contractor is not required to grant employment to any job applicants who, in his opinion, are not qualified to perform the classification of work required.

4. If, within one week following the placing of a job order by the contractor with the State Employment Service, the State Employment Service is unable to refer any qualified job applicants to the contractor, or less than the number requested, the State Employment Service will forward a certificate to the contractor indicating the unavailability of applicants. Such certificate shall be made a part of the contractor's permanent project records. Upon receipt of this certificate, the contractor may employ persons who do not normally reside in the labor area to fill positions covered by the certificate, notwithstanding the provisions of subparagraph (1c) above.

5. The provisions of 23 CFR 633.207(e) allow the contracting agency to provide a contractual preference for the use of mineral resource materials native to the Appalachian region.

6. The contractor shall include the provisions of Sections 1 through 4 of this Attachment A in every subcontract for work which is, or reasonably may be, done as on-site work.

**KENTUCKY TRANSPORTATION CABINET
DEPARTMENT OF HIGHWAYS**

**EMPLOYMENT REQUIREMENTS
RELATING TO
NONDISCRIMINATION OF EMPLOYEES
(APPLICABLE TO FEDERAL-AID SYSTEM CONTRACTS)**

**AN ACT OF THE KENTUCKY GENERAL ASSEMBLY
TO PREVENT DISCRIMINATION IN EMPLOYMENT**

**KRS CHAPTER 344
EFFECTIVE JUNE 16, 1972**

The contract on this project, in accordance with KRS Chapter 344, provides that during the performance of this contract, the contractor agrees as follows:

1. The contractor shall not fail or refuse to hire, or shall not discharge any individual, or otherwise discriminate against an individual with respect to his compensation, terms, conditions, or privileges of employment, because of such individual's race, color, religion, national origin, sex, disability or age (forty and above); or limit, segregate, or classify his employees in any way which would deprive or tend to deprive an individual of employment opportunities or otherwise adversely affect his status as an employee, because of such individual's race, color, religion, national origin, sex, disability or age forty (40) and over. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.

2. The contractor shall not print or publish or cause to be printed or published a notice or advertisement relating to employment by such an employer or membership in or any classification or referral for employment by the employment agency, indicating any preference, limitation, specification, or discrimination, based on race, color, religion, national origin, sex, or age forty (40) and over, or because the person is a qualified individual with a disability, except that such a notice or advertisement may indicate a preference, limitation, or specification based on religion, national origin, sex, or age forty (40) and over, or because the person is a qualified individual with a disability, when religion, national origin, sex, or age forty (40) and over, or because the person is a qualified individual with a disability, is a bona fide occupational qualification for employment.

3. If the contractor is in control of apprenticeship or other training or retraining, including on-the-job training programs, he shall not discriminate against an individual because of his race, color, religion, national origin, sex, disability or age forty (40) and over, in admission to, or employment in any program established to provide apprenticeship or other training.

4. The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representative of the contractor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment. The contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for non-compliance.

Revised: January 25, 2017

Standard Title VI/Non-Discrimination Assurances

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees as follows:

1. **Compliance with Regulations:** The contractor (hereinafter includes consultants) will comply with the Acts and the Regulations relative to Non-discrimination in Federally-assisted programs of the U.S. Department of Transportation, **Federal Highway Administration**, as they may be amended from time to time, which are herein incorporated by reference and made a part of this contract.
2. **Non-discrimination:** The contractor, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor will not participate directly or indirectly in the discrimination prohibited by the Acts and the Regulations, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR Part 21.
3. **Solicitations for Subcontracts, Including Procurements of Materials and Equipment:** In all solicitations, either by competitive bidding, or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials, or leases of equipment, each potential subcontractor or supplier will be notified by the contractor of the contractor's obligations under this contract and the Acts and the Regulations relative to Non-discrimination on the grounds of race, color, or national origin.
4. **Information and Reports:** The contractor will provide all information and reports required by the Acts, the Regulations, and directives issued pursuant thereto and will permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Recipient or the **Federal Highway Administration** to be pertinent to ascertain compliance with such Acts, Regulations, and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish the information, the contractor will so certify to the Recipient or the **Federal Highway Administration**, as appropriate, and will set forth what efforts it has made to obtain the information.
5. **Sanctions for Noncompliance:** In the event of a contractor's noncompliance with the Non-discrimination provisions of this contract, the Recipient will impose such contract sanctions as it or the **Federal Highway Administration** may determine to be appropriate, including, but not limited to:
 - a. withholding payments to the contractor under the contract until the contractor complies; and/or
 - b. cancelling, terminating, or suspending a contract, in whole or in part.
6. **Incorporation of Provisions:** The contractor will include the provisions of paragraphs one through six in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Acts, the Regulations and directives issued pursuant thereto. The contractor will take action with respect to any subcontract or procurement as the Recipient or the **Federal Highway Administration** may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that if the contractor becomes involved in, or is threatened with litigation by a subcontractor, or supplier because of such direction, the contractor may request the Recipient to enter into any litigation to protect the interests of the Recipient. In addition, the contractor may request the United States to enter into the litigation to protect the interests of the United States.

Standard Title VI/Non-Discrimination Statutes and Authorities

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the “contractor”) agrees to comply with the following non-discrimination statutes and authorities; including but not limited to:

- Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d *et seq.*, 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21;
- The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. § 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
- Federal-Aid Highway Act of 1973, (23 U.S.C. § 324 *et seq.*), (prohibits discrimination on the basis of sex);
- Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. § 794 *et seq.*), as amended, (prohibits discrimination on the basis of disability); and 49 CFR Part 27;
- The Age Discrimination Act of 1975, as amended, (42 U.S.C. § 6101 *et seq.*), (prohibits discrimination on the basis of age);
- Airport and Airway Improvement Act of 1982, (49 USC § 471, Section 47123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex);
- The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms “programs or activities” to include all of the programs or activities of the Federal-aid recipients, sub-recipients and contractors, whether such programs or activities are Federally funded or not);
- Titles II and III of the Americans with Disabilities Act, which prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§ 12131 -- 12189) as implemented by Department of Transportation regulations at 49 C.F.R. parts 37 and 38;
- The Federal Aviation Administration’s Non-discrimination statute (49 U.S.C. § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex);
- Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures non-discrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations;
- Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100);
- Title IX of the Education Amendments of 1972, as amended, which prohibits you from discriminating because of sex in education programs or activities (20 U.S.C. 1681 *et seq.*).

EXECUTIVE BRANCH CODE OF ETHICS

In the 1992 regular legislative session, the General Assembly passed and Governor Brereton Jones signed Senate Bill 63 (codified as KRS 11A), the Executive Branch Code of Ethics, which states, in part:

KRS 11A.040 (7) provides:

No present or former public servant shall, within six (6) months following termination of his office or employment, accept employment, compensation, or other economic benefit from any person or business that contracts or does business with, or is regulated by, the state in matters in which he was directly involved during the last thirty-six (36) months of his tenure. This provision shall not prohibit an individual from returning to the same business, firm, occupation, or profession in which he was involved prior to taking office or beginning his term of employment, or for which he received, prior to his state employment, a professional degree or license, provided that, for a period of six (6) months, he personally refrains from working on any matter in which he was directly involved during the last thirty-six (36) months of his tenure in state government. This subsection shall not prohibit the performance of ministerial functions, including but not limited to filing tax returns, filing applications for permits or licenses, or filing incorporation papers, nor shall it prohibit the former officer or public servant from receiving public funds disbursed through entitlement programs.

KRS 11A.040 (9) states:

A former public servant shall not represent a person or business before a state agency in a matter in which the former public servant was directly involved during the last thirty-six (36) months of his tenure, for a period of one (1) year after the latter of:

- a) The date of leaving office or termination of employment; or
- b) The date the term of office expires to which the public servant was elected.

This law is intended to promote public confidence in the integrity of state government and to declare as public policy the idea that state employees should view their work as a public trust and not as a way to obtain private benefits.

If you have worked for the executive branch of state government within the past six months, you may be subject to the law's prohibitions. The law's applicability may be different if you hold elected office or are contemplating representation of another before a state agency.

Also, if you are affiliated with a firm which does business with the state and which employs former state executive-branch employees, you should be aware that the law may apply to them.

In case of doubt, the law permits you to request an advisory opinion from the Executive Branch Ethics Commission, 1025 Capital Center Drive, Suite 104, Frankfort, Kentucky 40601; telephone (502) 564-7954.

Revised: May 23, 2022

"General Decision Number: KY20220038 06/24/2022

Superseded General Decision Number: KY20210038

State: Kentucky

Construction Type: Highway

Counties: Anderson, Bath, Bourbon, Boyd, Boyle, Bracken, Breckinridge, Bullitt, Carroll, Carter, Clark, Elliott, Fayette, Fleming, Franklin, Gallatin, Grant, Grayson, Greenup, Hardin, Harrison, Henry, Jefferson, Jessamine, Larue, Lewis, Madison, Marion, Mason, Meade, Mercer, Montgomery, Nelson, Nicholas, Oldham, Owen, Robertson, Rowan, Scott, Shelby, Spencer, Trimble, Washington and Woodford Counties in Kentucky.

HIGHWAY CONSTRUCTION PROJECTS (excluding tunnels, building structures in rest area projects & railroad construction; bascule, suspension & spandrel arch bridges designed for commercial navigation, bridges involving marine construction; and other major bridges).

Note: Contracts subject to the Davis-Bacon Act are generally required to pay at least the applicable minimum wage rate required under Executive Order 14026 or Executive Order 13658. Please note that these Executive Orders apply to covered contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but do not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1(a)(2)-(60).

If the contract is entered into on or after January 30, 2022, or the contract is renewed or extended (e.g., an option is exercised) on or after January 30, 2022:	<ul style="list-style-type: none">. Executive Order 14026 generally applies to the contract.. The contractor must pay all covered workers at least \$15.00 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract in 2022.
If the contract was awarded on or between January 1, 2015 and January 29, 2022, and the contract is not renewed or extended on or after January 30, 2022:	<ul style="list-style-type: none">. Executive Order 13658 generally applies to the contract.. The contractor must pay all covered workers at least \$11.25 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on that contract in 2022.

The applicable Executive Order minimum wage rate will be adjusted annually. If this contract is covered by one of the Executive Orders and a classification considered necessary for performance of work on the contract does not appear on this wage determination, the contractor must still submit a

conformance request.

Additional information on contractor requirements and worker protections under the Executive Orders is available at <https://www.dol.gov/agencies/whd/government-contracts>.

Modification Number	Publication Date
0	01/07/2022
1	02/11/2022
2	02/18/2022
3	02/25/2022
4	05/06/2022
5	06/10/2022
6	06/24/2022

BRIN0004-003 06/01/2021

BRECKENRIDGE COUNTY

	Rates	Fringes
BRICKLAYER.....	\$ 29.57	14.75

BRKY0001-005 06/01/2021		

BULLITT, CARROLL, GRAYSON, HARDIN, HENRY, JEFFERSON, LARUE, MARION, MEADE, NELSON, OLDHAM, SHELBY, SPENCER, & TRIMBLE COUNTIES:

	Rates	Fringes
BRICKLAYER.....	\$ 29.57	15.10

BRKY0002-006 06/01/2021		

BRACKEN, GALLATIN, GRANT, MASON & ROBERTSON COUNTIES:

	Rates	Fringes
BRICKLAYER.....	\$ 30.87	15.87

BRKY0007-004 06/01/2021		

BOYD, CARTER, ELLIOT, FLEMING, GREENUP, LEWIS & ROWAN COUNTIES:

	Rates	Fringes
BRICKLAYER.....	\$ 36.19	19.54

BRKY0017-004 06/01/2021		

ANDERSON, BATH, BOURBON, BOYLE, CLARK, FAYETTE, FRANKLIN, HARRISON, JESSAMINE, MADISON, MERCER, MONTGOMERY, NICHOLAS, OWEN, SCOTT, WASHINGTON & WOODFORD COUNTIES:

	Rates	Fringes
BRICKLAYER.....	\$ 30.87	15.87

CARP0064-001 04/01/2022		

CARPENTER.....	\$ 30.84	22.19
Diver.....	\$ 46.64	22.19
PILEDRIVERMAN.....	\$ 31.09	22.19

ELEC0212-008 06/07/2021		

BRACKEN, GALLATIN and GRANT COUNTIES

	Rates	Fringes
ELECTRICIAN.....	\$ 32.32	19.85

ELEC0212-014 11/25/2019		

BRACKEN, GALLATIN & GRANT COUNTIES:

	Rates	Fringes
Sound & Communication Technician.....	\$ 24.35	12.09

ELEC0317-012 06/01/2021		

BOYD, CARTER, ELLIOT & ROWAN COUNTIES:

	Rates	Fringes
ELECTRICIAN (Wiremen).....	\$ 35.10	27.47

ELEC0369-007 05/31/2021		

ANDERSON, BATH, BOURBON, BOYLE, BRECKINRIDGE, BULLITT, CARROLL, CLARK, FAYETTE, FRAONKLIN, GRAYSON, HARDIN, HARRISON, HENRY, JEFFERSON, JESSAMINE, LARUE, MADISON, MARION, MEADE, MERCER, MONTGOMERY, NELSON, NICHOLAS, OLDHAM, OWEN, ROBERTSON, SCOTT, SHELBY, SPENCER, TRIMBLE, WASHINGTON, & WOODFORD COUNTIES:

	Rates	Fringes
ELECTRICIAN.....	\$ 33.85	18.72

ELEC0575-002 11/29/2021		

FLEMING, GREENUP, LEWIS & MASON COUNTIES:

	Rates	Fringes
ELECTRICIAN.....	\$ 35.00	19.76

ENGI0181-018 07/01/2021		

	Rates	Fringes
POWER EQUIPMENT OPERATOR		
GROUP 1.....	\$ 34.80	17.85
GROUP 2.....	\$ 31.94	17.85
GROUP 3.....	\$ 32.39	17.85
GROUP 4.....	\$ 31.62	17.85

OPERATING ENGINEER CLASSIFICATIONS

GROUP 1 - A-Frame Winch Truck; Auto Patrol; Backfiller; Batcher Plant; Bituminous Paver; Bituminous Transfer

Machine; Boom Cat; Bulldozer; Mechanic; Cableway; Carry-All Scoop; Carry Deck Crane; Central Compressor Plant; Cherry Picker; Clamshell; Concrete Mixer (21 cu. ft. or Over); Concrete Paver; Truck-Mounted Concrete Pump; Core Drill; Crane; Crusher Plant; Derrick; Derrick Boat; Ditching & Trenching Machine; Dragline; Dredge Operator; Dredge Engineer; Elevating Grader & Loaders; Grade-All; Gurries; Heavy Equipment Robotics Operator/Mechanic; High Lift; Hoe-Type Machine; Hoist (Two or More Drums); Hoisting Engine (Two or More Drums); Horizontal Directional Drill Operator; Hydrocrane; Hyster; KeCal Loader; LeTourneau; Locomotive; Mechanic; Mechanically Operated Laser Screed; Mechanic Welder; Mucking Machine; Motor Scraper; Orangepeel Bucket; Overhead Crane; Piledriver; Power Blade; Pumpcrete; Push Dozer; Rock Spreader, attached to equipment; Rotary Drill; Roller (Bituminous); Rough Terrain Crane; Scarifier; Scoomobile; Shovel; Side Boom; Subgrader; Tailboom; Telescoping Type Forklift; Tow or Push Boat; Tower Crane (French, German & other types); Tractor Shovel; Truck Crane; Tunnel Mining Machines, including Moles, Shields or similar types of Tunnel Mining Equipment

GROUP 2 - Air Compressor (Over 900 cu. ft. per min.); Bituminous Mixer; Boom Type Tamping Machine; Bull Float; Concrete Mixer (Under 21 cu. ft.); Dredge Engineer; Electric Vibrator; Compactor/Self-Propelled Compactor; Elevator (One Drum or Buck Hoist); Elevator (When used to Hoist Building Material); Finish Machine; Firemen & Hoist (One Drum); Flexplane; Forklift (Regardless of Lift Height); Form Grader; Joint Sealing Machine; Outboard Motor Boat; Power Sweeper (Riding Type); Roller (Rock); Ross Carrier; Skid Mounted or Trailer Mounted Concrete Pump; Skid Steer Machine with all Attachments; Switchman or Brakeman; Throttle Valve Person; Tractair & Road Widening Trencher; Tractor (50 H.P. or Over); Truck Crane Oiler; Tugger; Welding Machine; Well Points; & Whirley Oiler

GROUP 3 - All Off Road Material Handling Equipment, including Articulating Dump Trucks; Greaser on Grease Facilities servicing Heavy Equipment

GROUP 4 - Bituminous Distributor; Burlap & Curing Machine; Cement Gun; Concrete Saw; Conveyor; Deckhand Oiler; Grout Pump; Hydraulic Post Driver; Hydro Seeder; Mud Jack; Oiler; Paving Joint Machine; Power Form Handling Equipment; Pump; Roller (Earth); Steerman; Tamping Machine; Tractor (Under 50 H.P.); & Vibrator

CRANES - with booms 150 ft. & Over (Including JIB), and where the length of the boom in combination with the length of the piling leads equals or exceeds 150 ft. - \$1.00 over Group 1 rate

EMPLOYEES ASSIGNED TO WORK BELOW GROUND LEVEL ARE TO BE PAID 10%

ABOVE BASIC WAGE RATE. THIS DOES NOT APPLY TO OPEN CUT WORK.

IRON0044-009 06/01/2022

BRACKEN, GALLATIN, GRANT, HARRISON, ROBERTSON,
BOURBON (Northern third, including Townships of Jackson, Millersburg, Ruddel Mills & Shawhan);
CARROLL (Eastern third, including the Township of Ghent);
FLEMING (Western part, excluding Townships of Beechburg, Colfax,

Elizaville, Flemingsburg, Flemingsburg Junction, Foxport, Grange City, Hillsboro, Hilltop, Mount Carmel, Muses Mills, Nepton, Pecksridge, Plummers Landing, Plummers Mill, Poplar Plains, Ringos Mills, Tilton & Wallingford);
MASON (Western two-thirds, including Townships of Dover, Lewisburg, Mays Lick, Maysville, Minerva, Moranburg, Murphysville, Ripley, Sardis, Shannon, South Ripley & Washington);
NICHOLAS (Townships of Barefoot, Barterville, Carlisle, Ellisville, Headquarters, Henryville, Morningglory, Myers & Oakland Mills);
OWEN (Townships of Beechwood, Bromley, Fairbanks, Holbrook, Jonesville, Long Ridge, Lusby's Mill, New, New Columbus, New Liberty, Owenton, Poplar Grove, Rockdale, Sanders, Teresita & Wheatley);
SCOTT (Northern two-thirds, including Townships of Biddle, Davis, Delaplain, Elmville, Longlick, Muddy Ford, Oxford, Rogers Gap, Sadieville, Skinnersburg & Stonewall)

	Rates	Fringes
IRONWORKER		
Fence Erector.....	\$ 30.28	22.30
Structural.....	\$ 31.87	22.30

IRON0070-006 06/01/2022		

ANDERSON, BOYLE, BRECKINRIDGE, BULLITT, FAYETTE, FRANKLIN, GRAYSON, HARDIN, HENRY, JEFFERSON, JESSAMINE, LARUE, MADISON, MARION, MEADE, MERCER, NELSON, OLDHAM, SHELBY, SPENCER, TRIMBLE, WASHINGTON & WOODFORD
BOURBON (Southern two-thirds, including Townships of Austerlity, Centerville, Clintonville, Elizabeth, Hutchison, Littlerock, North Middletown & Paris);
CARROLL (Western two-thirds, including Townships of Carrollton, Easterday, English, Locust, Louis, Prestonville & Worthville);
CLARK (Western two-thirds, including Townships of Becknerville, Flanagan, Ford, Pine Grove, Winchester & Wyandotte);
OWEN (Eastern eighth, including Townships of Glenmary, Gratz, Monterey, Perry Park & Tacketts Mill);
SCOTT (Southern third, including Townships of Georgetown, Great Crossing, Newtown, Stampling Ground & Woodlake);

	Rates	Fringes
IRONWORKER.....	\$ 31.79	24.30

* IRON0769-007 06/01/2022		

BATH, BOYD, CARTER, ELLIOTT, GREENUP, LEWIS, MONTGOMERY & ROWAN
CLARK (Eastern third, including townships of Bloomingdale, Hunt, Indian Fields, Kiddville, Loglick, Rightangele & Thomson);
FLEMING (Townships of Beechburg, Colfax, Elizaville, Flemingsburg, Flemingsburg Junction, Foxport, Grange City, Hillsboro, Hilltop, Mount Carmel, Muses Mills, Nepton, Pecksridge, Plummers Landing, Plummers Mill, Poplar Plains, Ringos Mills, Tilton & Wallingford);
MASON (Eastern third, including Townships of Helena, Marshall, Orangeburg, Plumville & Springdale);
NICHOLAS (Eastern eighth, including the Township of Moorefield Sprout)

	Rates	Fringes
IRONWORKER		
ZONE 1.....	\$ 33.71	27.69
ZONE 2.....	\$ 34.11	27.69
ZONE 3.....	\$ 35.71	27.69

- ZONE 1 - (no base rate increase) Up to 10 mile radius of Union Hall, 1643 Greenup Ave, Ashland, KY.
- ZONE 2 - (add \$0.40 per hour to base rate) 10 to 50 mile radius of Union Hall, 1643 Greenup Ave, Ashland, KY.
- ZONE 3 - (add \$2.00 per hour to base rate) 50 mile radius & over of Union Hall, 1643 Greenup Ave, Ashland, KY.

LABO0189-003 07/01/2021

BATH, BOURBON, BOYD, BOYLE, BRACKEN, CARTER, CLARK, ELLIOTT, FAYETTE, FLEMING, FRANKLIN, GALLATIN, GRANT, GREENUP, HARRISON, JESSAMINE, LEWIS, MADISON, MASON, MERCER, MONTGOMERY, NICHOLAS, OWEN, ROBERTSON, ROWAN, SCOTT, & WOOLFORD COUNTIES

	Rates	Fringes
Laborers:		
GROUP 1.....	\$ 23.51	16.22
GROUP 2.....	\$ 23.76	16.22
GROUP 3.....	\$ 23.81	16.22
GROUP 4.....	\$ 24.41	16.22

LABORERS CLASSIFICATIONS

- GROUP 1 - Aging & Curing of Concrete; Asbestos Abatement Worker; Asphalt Plant; Asphalt; Batch Truck Dump; Carpenter Tender; Cement Mason Tender; Cleaning of Machines; Concrete; Demolition; Dredging; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level D; Flagperson; Grade Checker; Hand Digging & Hand Back Filling; Highway Marker Placer; Landscaping, Mesh Handler & Placer; Puddler; Railroad; Rip-rap & Grouter; Right-of-Way; Sign, Guard Rail & Fence Installer; Signal Person; Sound Barrier Installer; Storm & Sanitary Sewer; Swamper; Truck Spotter & Dumper; Wrecking of Concrete Forms; General Cleanup
- GROUP 2 - Batter Board Man (Sanitary & Storm Sewer); Brickmason Tender; Mortar Mixer Operator; Scaffold Builder; Burner & Welder; Bushhammer; Chain Saw Operator; Concrete Saw Operator; Deckhand Scow Man; Dry Cement Handler; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level C; Forklift Operator for Masonary; Form Setter; Green Concrete Cutting; Hand Operated Grouter & Grinder Machine Operator; Jackhammer; Pavement Breaker; Paving Joint Machine; Pipelayer; Plastic Pipe Fusion; Power Driven Georgia Buggy & Wheel Barrow; Power Post Hole Digger; Precast Manhole Setter; Walk-Behind Tamper; Walk-Behind Trencher; Sand Blaster; Concrete Chipper; Surface Grinder; Vibrator Operator; Wagon Driller
- GROUP 3 - Asphalt Luteman & Raker; Gunnite Nozzleman; Gunnite Operator & Mixer; Grout Pump Operator; Side Rail Setter; Rail Paved Ditches; Screw Operator; Tunnel (Free Air); Water Blaster

GROUP 4 - Caisson Worker (Free Air); Cement Finisher;
Environmental - Nuclear, Radiation, Toxic & Hazardous Waste
- Levels A & B; Miner & Driller (Free Air); Tunnel Blaster;
& Tunnel Mucker (Free Air); Directional & Horizontal
Boring; Air Track Drillers (All Types); Powdermen &
Blasters; Troxler & Concrete Tester if Laborer is Utilized

LAB00189-008 07/01/2021

ANDERSON, BULLITT, CARROLL, HARDIN, HENRY, JEFFERSON, LARUE,
MARION, MEADE, NELSON, OLDHAM, SHELBY, SPENCER, TRIMBLE &
WASHINGTON COUNTIES

	Rates	Fringes
Laborers:		
GROUP 1.....	\$ 23.51	16.22
GROUP 2.....	\$ 23.76	16.22
GROUP 3.....	\$ 23.81	16.22
GROUP 4.....	\$ 24.41	16.22

LABORERS CLASSIFICATIONS

GROUP 1 - Aging & Curing of Concrete; Asbestos Abatement
Worker; Asphalt Plant; Asphalt; Batch Truck Dump; Carpenter
Tender; Cement Mason Tender; Cleaning of Machines;
Concrete; Demolition; Dredging; Environmental - Nuclear,
Radiation, Toxic & Hazardous Waste - Level D; Flagperson;
Grade Checker; Hand Digging & Hand Back Filling; Highway
Marker Placer; Landscaping, Mesh Handler & Placer; Puddler;
Railroad; Rip-rap & Grouter; Right-of-Way; Sign, Guard Rail
& Fence Installer; Signal Person; Sound Barrier Installer;
Storm & Sanitary Sewer; Swamper; Truck Spotter & Dumper;
Wrecking of Concrete Forms; General Cleanup

GROUP 2 - Batter Board Man (Sanitary & Storm Sewer);
Brickmason Tender; Mortar Mixer Operator; Scaffold Builder;
Burner & Welder; Bushhammer; Chain Saw Operator; Concrete
Saw Operator; Deckhand Scow Man; Dry Cement Handler;
Environmental - Nuclear, Radiation, Toxic & Hazardous Waste
- Level C; Forklift Operator for Masonary; Form Setter;
Green Concrete Cutting; Hand Operated Grouter & Grinder
Machine Operator; Jackhammer; Pavement Breaker; Paving
Joint Machine; Pipelayer; Plastic Pipe Fusion; Power Driven
Georgia Buggy & Wheel Barrow; Power Post Hole Digger;
Precast Manhole Setter; Walk-Behind Tamper; Walk-Behind
Trencher; Sand Blaster; Concrete Chipper; Surface Grinder;
Vibrator Operator; Wagon Driller

GROUP 3 - Asphalt Luteman & Raker; Gunnite Nozzleman;
Gunnite Operator & Mixer; Grout Pump Operator; Side Rail
Setter; Rail Paved Ditches; Screw Operator; Tunnel (Free
Air); Water Blaster

GROUP 4 - Caisson Worker (Free Air); Cement Finisher;
Environmental - Nuclear, Radiation, Toxic & Hazardous Waste
- Levels A & B; Miner & Driller (Free Air); Tunnel Blaster;
& Tunnel Mucker (Free Air); Directional & Horizontal
Boring; Air Track Drillers (All Types); Powdermen &
Blasters; Troxler & Concrete Tester if Laborer is Utilized

LAB00189-009 07/01/2021

BRECKINRIDGE & GRAYSON COUNTIES

	Rates	Fringes
Laborers:		
GROUP 1.....	\$ 23.51	16.22
GROUP 2.....	\$ 23.76	16.22
GROUP 3.....	\$ 23.81	16.22
GROUP 4.....	\$ 24.41	16.22

LABORERS CLASSIFICATIONS

GROUP 1 - Aging & Curing of Concrete; Asbestos Abatement Worker; Asphalt Plant; Asphalt; Batch Truck Dump; Carpenter Tender; Cement Mason Tender; Cleaning of Machines; Concrete; Demolition; Dredging; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level D; Flagperson; Grade Checker; Hand Digging & Hand Back Filling; Highway Marker Placer; Landscaping, Mesh Handler & Placer; Puddler; Railroad; Rip-rap & Grouter; Right-of-Way; Sign, Guard Rail & Fence Installer; Signal Person; Sound Barrier Installer; Storm & Sanitary Sewer; Swamper; Truck Spotter & Dumper; Wrecking of Concrete Forms; General Cleanup

GROUP 2 - Batter Board Man (Sanitary & Storm Sewer); Brickmason Tender; Mortar Mixer Operator; Scaffold Builder; Burner & Welder; Bushhammer; Chain Saw Operator; Concrete Saw Operator; Deckhand Scow Man; Dry Cement Handler; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level C; Forklift Operator for Masonary; Form Setter; Green Concrete Cutting; Hand Operated Grouter & Grinder Machine Operator; Jackhammer; Pavement Breaker; Paving Joint Machine; Pipelayer; Plastic Pipe Fusion; Power Driven Georgia Buggy & Wheel Barrow; Power Post Hole Digger; Precast Manhole Setter; Walk-Behind Tamper; Walk-Behind Trencher; Sand Blaster; Concrete Chipper; Surface Grinder; Vibrator Operator; Wagon Driller

GROUP 3 - Asphalt Luteman & Raker; Gunnite Nozzleman; Gunnite Operator & Mixer; Grout Pump Operator; Side Rail Setter; Rail Paved Ditches; Screw Operator; Tunnel (Free Air); Water Blaster

GROUP 4 - Caisson Worker (Free Air); Cement Finisher; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Levels A & B; Miner & Driller (Free Air); Tunnel Blaster; & Tunnel Mucker (Free Air); Directional & Horizontal Boring; Air Track Drillers (All Types); Powdermen & Blasters; Troxler & Concrete Tester if Laborer is Utilized

PAIN0012-005 06/11/2005

BATH, BOURBON, BOYLE, CLARK, FAYETTE, FLEMING, FRANKLIN, HARRISON, JESSAMINE, MADISON, MERCER, MONTGOMERY, NICHOLAS, ROBERTSON, SCOTT & WOODFORD COUNTIES:

	Rates	Fringes
PAINTER		
Bridge/Equipment Tender and/or Containment Builder..	\$ 18.90	5.90

Brush & Roller.....	\$ 21.30	5.90
Elevated Tanks; Steeplejack Work; Bridge & Lead Abatement.....	\$ 22.30	5.90
Sandblasting & Waterblasting.....	\$ 22.05	5.90
Spray.....	\$ 21.80	5.90

PAIN0012-017 05/01/2015		

BRACKEN, GALLATIN, GRANT, MASON & OWEN COUNTIES:

	Rates	Fringes
PAINTER (Heavy & Highway Bridges - Guardrails - Lightpoles - Striping)		
Bridge Equipment Tender and Containment Builder.....	\$ 20.73	9.06
Brush & Roller.....	\$ 23.39	9.06
Elevated Tanks; Steeplejack Work; Bridge & Lead Abatement.....	\$ 24.39	9.06
Sandblasting & Water Blasting.....	\$ 24.14	9.06
Spray.....	\$ 23.89	9.06

PAIN0118-004 06/01/2018		

ANDERSON, BRECKINRIDGE, BULLITT, CARROLL, GRAYSON, HARDIN,
HENRY, JEFFERSON, LARUE, MARION, MEADE, NELSON, OLDHAM, SHELBY,
SPENCER, TRIMBLE & WASHINGTON COUNTIES:

	Rates	Fringes
PAINTER		
Brush & Roller.....	\$ 22.00	12.52
Spray, Sandblast, Power Tools, Waterblast & Steam Cleaning.....	\$ 23.00	12.52

PAIN1072-003 12/01/2021		

BOYD, CARTER, ELLIOTT, GREENUP, LEWIS and ROWAN COUNTIES

	Rates	Fringes
Painters:		
Bridges; Locks; Dams; Tension Towers & Energized Substations.....	\$ 35.06	21.15
Power Generating Facilities.	\$ 31.82	21.15

PLUM0248-003 06/01/2022		

BOYD, CARTER, ELLIOTT, GREENUP, LEWIS & ROWAN COUNTIES:

	Rates	Fringes
Plumber and Steamfitter.....	\$ 38.50	22.40

PLUM0392-007 06/01/2018		

BRACKEN, CARROLL (Eastern Half), GALLATIN, GRANT, MASON, OWEN &

ROBERTSON COUNTIES:

	Rates	Fringes
Plumbers and Pipefitters.....	\$ 32.01	19.67

PLUM0502-003 08/01/2021		

BRECKINRIDGE, BULLITT, CARROLL (Western Half), FRANKLIN
(Western three-fourths), GRAYSON, HARDIN, HENRY, JEFFERSON,
LARUE, MARION, MEADE, NELSON, OLDHAM, SHELBY, SPENCER, TRIMBLE &
WASHINGTON COUNTIES

	Rates	Fringes
PLUMBER.....	\$ 38.07	20.78

SUKY2010-160 10/08/2001		

	Rates	Fringes
Truck drivers:		
GROUP 1.....	\$ 16.57	7.34
GROUP 2.....	\$ 16.68	7.34
GROUP 3.....	\$ 16.86	7.34
GROUP 4.....	\$ 16.96	7.34

TRUCK DRIVER CLASSIFICATIONS

GROUP 1 - Mobile Batch Truck Tender

GROUP 2 - Greaser; Tire Changer; & Mechanic Tender

GROUP 3 - Single Axle Dump; Flatbed; Semi-trailer or Pole
Trailer when used to pull building materials and equipment;
Tandem Axle Dump; Distributor; Mixer; & Truck Mechanic

GROUP 4 - Euclid & Other Heavy Earthmoving Equipment &
Lowboy; Articulator Cat; 5-Axle Vehicle; Winch & A-Frame
when used in transporting materials; Ross Carrier; Forklift
when used to transport building materials; & Pavement
Breaker

WELDERS - Receive rate prescribed for craft performing
operation to which welding is incidental.

=====

Note: Executive Order (EO) 13706, Establishing Paid Sick Leave
for Federal Contractors applies to all contracts subject to the
Davis-Bacon Act for which the contract is awarded (and any
solicitation was issued) on or after January 1, 2017. If this
contract is covered by the EO, the contractor must provide
employees with 1 hour of paid sick leave for every 30 hours
they work, up to 56 hours of paid sick leave each year.
Employees must be permitted to use paid sick leave for their
own illness, injury or other health-related needs, including
preventive care; to assist a family member (or person who is
like family to the employee) who is ill, injured, or has other
health-related needs, including preventive care; or for reasons
resulting from, or to assist a family member (or person who is

like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at <https://www.dol.gov/agencies/whd/government-contracts>.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of ""identifiers"" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than ""SU"" or ""UAVG"" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

Survey Rate Identifiers

Classifications listed under the ""SU"" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

- * an existing published wage determination
- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a position on a wage determination matter
- * a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour National Office because National Office has responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations
Wage and Hour Division
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative

Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

=====

END OF GENERAL DECISIO"

Fringe benefit amounts are applicable for all hours worked except when otherwise noted.

No laborer, workman or mechanic shall be paid at a rate less than that of a Journeyman except those classified as bona fide apprentices.

Apprentices or trainees shall be permitted to work as such subject to Administrative Regulations adopted by the Commissioner of Workplace Standards. Copies of these regulations will be furnished upon request from any interested person.

Before using apprentices on the job the contractor shall present to the Contracting Officer written evidence of registration of such employees in a program of a State apprenticeship and training agency approved and recognized by the U. S. Bureau of Apprenticeship and Training. In the absence of such a State agency, the contractor shall submit evidence of approval and registration by the U. S. Bureau of Apprenticeship and Training.

The contractor shall submit to the Contracting Officer, written evidence of the established apprenticeship-journeyman ratios and wage rates in the project area, which will be the basis for establishing such ratios and rates for the project under the applicable contract provisions.

TO: EMPLOYERS/EMPLOYEES

PREVAILING WAGE SCHEDULE:

The wages indicated on this wage schedule are the least permitted to be paid for the occupations indicated. When an employee works in more than one classification, the employer must record the number of hours worked in each classification at the prescribed hourly base rate.

OVERTIME:

Overtime is to be paid to an employee at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty (40) hours in such workweek. Wage violations or questions should be directed to the designated Engineer or the undersigned.

Director
Division of Construction Procurement
Frankfort, Kentucky 40622
502-564-3500

**NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION
TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY
(Executive Order 11246)**

1. The Offeror's or Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Specifications" set forth herein.
2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate work force in each trade on all construction work in the covered area, are as follows:

GOALS FOR MINORITY PARTICIPATION IN EACH TRADE	GOALS FOR FEMALE PARTICIPATION IN EACH TRADE
11.2%	6.9%

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally-assisted) performed in the covered area. If the contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the contractor also is subject to the goals for both its federally involved and non-federally involved construction.

The Contractor's compliance with the Executive Order and the regulations in CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4, 3(a), and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

3. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs within ten (10) working days of award of any construction subcontract in excess of \$10,000.00 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address and telephone number of the subcontractor; employer identification number of the subcontractor; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the subcontract is to be performed. The notification shall be mailed to:

**Evelyn Teague, Regional Director
Office of Federal Contract Compliance Programs
61 Forsyth Street, SW, Suite 7B75
Atlanta, Georgia 30303-8609**

4. As used in this Notice, and in the contract resulting from this solicitation, the "**covered area**" is Oldham County.

PART IV

INSURANCE

Refer to
Kentucky Standard Specifications for Road and Bridge Construction,
current edition

PART V

BID ITEMS

221338

PROPOSAL BID ITEMS

Report Date 7/26/22

Page 1 of 7

Section: 0001 - PAVING

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRIC	FP	AMOUNT
0010	00001		DGA BASE	19,614.00	TON		\$	
0020	00003		CRUSHED STONE BASE	8,460.00	TON		\$	
0040	00018		DRAINAGE BLANKET-TYPE II-ASPH	7,922.00	TON		\$	
0050	00078		CRUSHED AGGREGATE SIZE NO 2	59,127.00	TON		\$	
0060	00100		ASPHALT SEAL AGGREGATE	163.00	TON		\$	
0070	00103		ASPHALT SEAL COAT	19.00	TON		\$	
0080	00214		CL3 ASPH BASE 1.00D PG64-22	12,361.00	TON		\$	
0090	00216		CL3 ASPH BASE 1.00D PG76-22	7,297.00	TON		\$	
0100	00336		CL3 ASPH SURF 0.38A PG76-22	3,594.00	TON		\$	
0110	00339		CL3 ASPH SURF 0.38D PG64-22	44.00	TON		\$	
0120	00356		ASPHALT MATERIAL FOR TACK	46.00	TON		\$	
0140	02602		FABRIC-GEOTEXTILE CLASS 1	93,405.00	SQYD		\$	
0150	02604		FABRIC-GEOTEXTILE CLASS 1A	20,765.00	SQYD		\$	
0160	02677		ASPHALT PAVE MILLING & TEXTURING	101.00	TON		\$	

Section: 0002 - ROADWAY

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRIC	FP	AMOUNT
0180	00078		CRUSHED AGGREGATE SIZE NO 2	7,414.00	TON		\$	
0190	01000		PERFORATED PIPE-4 IN	231.00	LF		\$	
0200	01010		NON-PERFORATED PIPE-4 IN	48.00	LF		\$	
0210	01015		INSPECT & CERTIFY EDGE DRAIN SYSTEM	1.00	LS		\$	
0220	01020		PERF PIPE HEADWALL TY 1-4 IN	1.00	EACH		\$	
0230	01024		PERF PIPE HEADWALL TY 2-4 IN	1.00	EACH		\$	
0240	01028		PERF PIPE HEADWALL TY 3-4 IN	4.00	EACH		\$	
0250	01811		STANDARD CURB AND GUTTER MOD	697.00	LF		\$	
0260	01917		STANDARD BARRIER MEDIAN TYPE 2	724.00	SQYD		\$	
0270	01921		STANDARD BARRIER MEDIAN TYPE 4	70.00	SQYD		\$	
0280	01982		DELINEATOR FOR GUARDRAIL MONO DIRECTIONAL WHITE	56.00	EACH		\$	
0290	01983		DELINEATOR FOR GUARDRAIL MONO DIRECTIONAL YELLOW	44.00	EACH		\$	
0300	01987		DELINEATOR FOR GUARDRAIL BI DIRECTIONAL WHITE	7.00	EACH		\$	
0310	02013		BARRICADE-TYPE II	4.00	EACH		\$	
0320	02014		BARRICADE-TYPE III	12.00	EACH		\$	
0330	02091		REMOVE PAVEMENT	4,587.00	SQYD		\$	
0340	02159		TEMP DITCH	6,620.00	LF		\$	
0350	02160		CLEAN TEMP DITCH	3,310.00	LF		\$	
0360	02230		EMBANKMENT IN PLACE	177,431.00	CUYD		\$	
0370	02242		WATER	125.00	MGAL		\$	
0380	02262		FENCE-WOVEN WIRE TYPE 1	4,646.00	LF		\$	
0390	02265		REMOVE FENCE	1,277.00	LF		\$	
0400	02350		ADJUST GUARDRAIL	150.00	LF		\$	
0410	02360		GUARDRAIL TERMINAL SECTION NO 1	2.00	EACH		\$	
0420	02367		GUARDRAIL END TREATMENT TYPE 1	12.00	EACH		\$	
0430	02369		GUARDRAIL END TREATMENT TYPE 2A	13.00	EACH		\$	

Report Date 7/26/22

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRIC	FP	AMOUNT
0440	02381		REMOVE GUARDRAIL	5,424.00	LF		\$	
0450	02395		REMOVE GUARDRAIL TERMINAL SECT	1.00	EACH		\$	
0460	02396		REMOVE GUARDRAIL END TREATMENT	22.00	EACH		\$	
0470	02429		RIGHT-OF-WAY MONUMENT TYPE 1	14.00	EACH		\$	
0480	02432		WITNESS POST	5.00	EACH		\$	
0490	02483		CHANNEL LINING CLASS II	64.00	TON		\$	
0500	02484		CHANNEL LINING CLASS III	131.00	TON		\$	
0510	02545		CLEARING AND GRUBBING 44 ACRES	1.00	LS		\$	
0520	02562		TEMPORARY SIGNS	699.00	SQFT		\$	
0540	02585		EDGE KEY	120.00	LF		\$	
0550	02603		FABRIC-GEOTEXTILE CLASS 2	21,939.00	SQYD		\$	
0560	02650		MAINTAIN & CONTROL TRAFFIC	1.00	LS		\$	
0570	02653		LANE CLOSURE	5.00	EACH		\$	
0580	02671		PORTABLE CHANGEABLE MESSAGE SIGN	6.00	EACH		\$	
0590	02676		MOBILIZATION FOR MILL & TEXT	1.00	LS		\$	
0600	02696		SHOULDER RUMBLE STRIPS	19,076.00	LF		\$	
0610	02701		TEMP SILT FENCE	6,622.00	LF		\$	
0620	02703		SILT TRAP TYPE A	44.00	EACH		\$	
0630	02704		SILT TRAP TYPE B	44.00	EACH		\$	
0640	02705		SILT TRAP TYPE C	44.00	EACH		\$	
0650	02706		CLEAN SILT TRAP TYPE A	44.00	EACH		\$	
0660	02707		CLEAN SILT TRAP TYPE B	44.00	EACH		\$	
0670	02708		CLEAN SILT TRAP TYPE C	44.00	EACH		\$	
0680	02720		SIDEWALK-4 IN CONCRETE	833.00	SQYD		\$	
0690	02726		STAKING	1.00	LS		\$	
0700	02775		ARROW PANEL	4.00	EACH		\$	
0710	02900		INSTALL TEMP CRASH CUSHION	2.00	EACH		\$	
0720	03171		CONCRETE BARRIER WALL TYPE 9T	6,220.00	LF		\$	
0730	05950		EROSION CONTROL BLANKET	9,769.00	SQYD		\$	
0740	05952		TEMP MULCH	142,456.00	SQYD		\$	
0750	05953		TEMP SEEDING AND PROTECTION	106,311.00	SQYD		\$	
0760	05963		INITIAL FERTILIZER	11.00	TON		\$	
0770	05964		MAINTENANCE FERTILIZER	7.00	TON		\$	
0780	05985		SEEDING AND PROTECTION	212,621.00	SQYD		\$	
0790	05989		SPECIAL SEEDING CROWN VETCH	37,105.00	SQYD		\$	
0800	05992		AGRICULTURAL LIMESTONE	132.00	TON		\$	
0810	06401		FLEXIBLE DELINEATOR POST-M/W	135.00	EACH		\$	
0820	06404		FLEXIBLE DELINEATOR POST-M/Y	54.00	EACH		\$	
0830	06542		PAVE STRIPING-THERMO-6 IN W	27,342.00	LF		\$	
0840	06543		PAVE STRIPING-THERMO-6 IN Y	10,812.00	LF		\$	
0850	06546		PAVE STRIPING-THERMO-12 IN W	3,001.00	LF		\$	
0860	06547		PAVE STRIPING-THERMO-12 IN Y	44.00	LF		\$	
0870	06550		PAVE STRIPING-TEMP REM TAPE-W	7,873.00	LF		\$	
0880	06551		PAVE STRIPING-TEMP REM TAPE-Y	4,820.00	LF		\$	
0890	06565		PAVE MARKING-THERMO X-WALK-6 IN	317.00	LF		\$	
0900	06567		PAVE MARKING-THERMO STOP BAR-12IN	26.00	LF		\$	
0910	06568		PAVE MARKING-THERMO STOP BAR-24IN	153.00	LF		\$	
0920	06573		PAVE MARKING-THERMO STR ARROW	11.00	EACH		\$	
0930	06574		PAVE MARKING-THERMO CURV ARROW	33.00	EACH		\$	

Report Date 7/26/22

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRIC	FP	AMOUNT
0940	06576		PAVE MARKING-THERMO ONLY	1.00	EACH		\$	
0950	06610		INLAID PAVEMENT MARKER-MW	157.00	EACH		\$	
0960	06612		INLAID PAVEMENT MARKER-BY	61.00	EACH		\$	
0970	06613		INLAID PAVEMENT MARKER-B W/R	310.00	EACH		\$	
0980	06614		INLAID PAVEMENT MARKER-B Y/R	66.00	EACH		\$	
0990	08903		CRASH CUSHION TY VI CLASS BT TL3	1.00	EACH		\$	
1000	10020NS		FUEL ADJUSTMENT	112,166.00	DOLL	\$1.00	\$	\$112,166.00
1010	10030NS		ASPHALT ADJUSTMENT	91,473.00	DOLL	\$1.00	\$	\$91,473.00
1020	20430ED		SAW CUT	400.00	LF		\$	
1030	20432ES112		REMOVE CRASH CUSHION	1.00	EACH		\$	
1040	20591EC		REMOVE BARRIER	151.00	LF		\$	
1050	21289ED		LONGITUDINAL EDGE KEY	9,660.00	LF		\$	
1060	21341ND		BOLLARDS	12.00	EACH		\$	
1070	21802EN		G/R STEEL W BEAM-S FACE (7 FT POST)	7,353.00	LF		\$	
1080	22520EN		PAVE MARKING-THERMO YIELD BAR-36 IN	40.00	LF		\$	
1090	22664EN		WATER BLASTING EXISTING STRIPE	5,395.00	LF		\$	
1100	23158ES505		DETECTABLE WARNINGS	280.00	SQFT		\$	
1110	23274EN11F		TURF REINFORCEMENT MAT 1	285.00	SQYD		\$	
1120	23275EN11F		TURF REINFORCEMENT MAT 2	2.00	SQYD		\$	
1130	23624EC		REMOVE AND RESET CRASH CUSHION	1.00	EACH		\$	
1140	24280EC		PAVE MARK THERMO CHEVRON-48 IN	57.00	LF		\$	
1150	24679ED		PAVE MARK THERMO CHEVRON	621.00	SQFT		\$	
1160	24683ED		PAVE MARKING-THERMO DOTTED LANE EXTEN	4,319.00	LF		\$	
1170	24814EC		PIPELINE INSPECTION	972.00	LF		\$	
1180	24845EC		UTILITY COORDINATION	1.00	LS		\$	
1190	26136EC		PORTABLE QUEUE WARNING ALERT SYSTEM	10.00	MONT		\$	
1200	26137EC		QUEUE WARNING PCMS	40.00	MONT		\$	
1210	26138EC		QUEUE WARNING PORTABLE RADAR SENSORS	40.00	MONT		\$	

Section: 0003 - DRAINAGE

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRIC	FP	AMOUNT
1230	00464		CULVERT PIPE-24 IN	93.00	LF		\$	
1240	00466		CULVERT PIPE-30 IN	449.00	LF		\$	
1250	00469		CULVERT PIPE-42 IN	25.00	LF		\$	
1260	00471		CULVERT PIPE-54 IN	207.00	LF		\$	
1270	00521		STORM SEWER PIPE-15 IN	12.00	LF		\$	
1280	00522		STORM SEWER PIPE-18 IN	70.00	LF		\$	
1290	00524		STORM SEWER PIPE-24 IN	85.00	LF		\$	
1300	00526		STORM SEWER PIPE-30 IN	66.00	LF		\$	
1360	01208		PIPE CULVERT HEADWALL-24 IN	1.00	EACH		\$	
1370	01210		PIPE CULVERT HEADWALL-30 IN	6.00	EACH		\$	
1380	01310		REMOVE PIPE	131.00	LF		\$	
1390	01393		METAL END SECTION TY 3-24 IN	6.00	EACH		\$	
1400	01394		METAL END SECTION TY 3-30 IN	2.00	EACH		\$	
1410	01396		METAL END SECTION TY 3-42 IN	1.00	EACH		\$	

Report Date 7/26/22

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRIC	FP	AMOUNT
1420	01480		CURB BOX INLET TYPE B	1.00	EACH		\$	
1430	01490		DROP BOX INLET TYPE 1	1.00	EACH		\$	
1440	01502		DROP BOX INLET TYPE 5A	1.00	EACH		\$	
1450	01559		DROP BOX INLET TYPE 13G	2.00	EACH		\$	
1460	01643		JUNCTION BOX-24 IN	2.00	EACH		\$	
1470	01718		REMOVE INLET	1.00	EACH		\$	
1480	01757		MANHOLE TYPE A MOD	1.00	EACH		\$	
1490	01761		MANHOLE TYPE B	2.00	EACH		\$	
1500	01767		MANHOLE TYPE C	3.00	EACH		\$	
1510	01771		FRAME AND LID TY 1	6.00	EACH		\$	
1520	02607		FABRIC-GEOTEXTILE CLASS 2 FOR PIPE	2,102.00	SQYD	\$2.00	\$	\$4,204.00
1530	02625		REMOVE HEADWALL	14.00	EACH		\$	
1540	21583NN		MODIFY EXISTING DRAINAGE BOX	1.00	EACH		\$	
1550	24026EC		PIPE CULVERT HEADWALL-54 IN	2.00	EACH		\$	

Section: 0004 - BRIDGE - 26390

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRIC	FP	AMOUNT
1560	03295		EXPAN JOINT REPLACE 2 IN	173.00	LF		\$	
1570	03299		ARMORED EDGE FOR CONCRETE	132.00	LF		\$	
1580	08151		STEEL REINFORCEMENT-EPOXY COATED	150.00	LB		\$	
1590	21969NN		BEARING REPLACEMENT	9.00	EACH		\$	

Section: 0005 - BRIDGE - 28503

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRIC	FP	AMOUNT
1600	02403		REMOVE CONCRETE MASONRY	4.00	CUYD		\$	
1610	08003		FOUNDATION PREPARATION	1.00	LS		\$	
1620	08100		CONCRETE-CLASS A	17.40	CUYD		\$	
1630	08150		STEEL REINFORCEMENT	1,148.00	LB		\$	
1640	08707		WATER GATE TYPE 3	1.00	EACH		\$	

Section: 0006 - BRIDGE - 28504

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRIC	FP	AMOUNT
1650	02403		REMOVE CONCRETE MASONRY I-71SB STATION 835+58.61 - 5X5 RCBC	14.00	CUYD		\$	
1660	08003		FOUNDATION PREPARATION I-71SB STATION 835+58.61 - 5X5 RCBC	1.00	LS		\$	
1670	08100		CONCRETE-CLASS A I-71SB STATION 835+58.61 - 5X5 RCBC	15.60	CUYD		\$	
1680	08150		STEEL REINFORCEMENT I-71SB STATION 835+58.61 - 5X5 RCBC	1,154.00	LB		\$	
1690	08707		WATER GATE TYPE 3 I-71SB STATION 835+58.61 - 5X5 RCBC	1.00	EACH		\$	

Report Date 7/26/22

Section: 0007 - BRIDGE - 28505

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRIC	FP	AMOUNT
1700	02403		REMOVE CONCRETE MASONRY RAMP L STA. 410+33.69 - 6X6 RCBC	8.00	CUYD		\$	
1710	08002		STRUCTURE EXCAV-SOLID ROCK RAMP L STA. 410+33.69 - 6X6 RCBC	6.00	CUYD		\$	
1720	08003		FOUNDATION PREPARATION RAMP L STA. 410+33.69 - 6X6 RCBC	1.00	LS		\$	
1730	08100		CONCRETE-CLASS A RAMP L STA. 410+33.69 - 6X6 RCBC	50.10	CUYD		\$	
1740	08150		STEEL REINFORCEMENT RAMP L STA. 410+33.69 - 6X6 RCBC	3,859.00	LB		\$	
1750	08707		WATER GATE TYPE 3 RAMP L STA. 410+33.69 - 6X6 RCBC	1.00	EACH		\$	

Section: 0008 - BRIDGE - 28506

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRIC	FP	AMOUNT
1760	02403		REMOVE CONCRETE MASONRY RAMP I STATION 113+92.74 - 6X4 RCBC	4.00	CUYD		\$	
1770	08003		FOUNDATION PREPARATION RAMP I STATION 113+92.74 - 6X4 RCBC	1.00	LS		\$	
1780	08100		CONCRETE-CLASS A RAMP I STATION 113+92.74 - 6X4 RCBC	18.90	CUYD		\$	
1790	08150		STEEL REINFORCEMENT RAMP I STATION 113+92.74 - 6X4 RCBC	1,467.00	LB		\$	

Section: 0009 - BRIDGE - 28507

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRIC	FP	AMOUNT
1800	02403		REMOVE CONCRETE MASONRY RAMP G STATION 83+30.08 - 8X4 RCBC	8.00	CUYD		\$	
1810	08002		STRUCTURE EXCAV-SOLID ROCK	4.00	CUYD		\$	
1820	08003		FOUNDATION PREPARATION RAMP G STATION 83+30.08 - 8X4 RCBC	1.00	LS		\$	
1830	08100		CONCRETE-CLASS A RAMP G STATION 83+30.08 - 8X4 RCBC	36.60	CUYD		\$	
1840	08150		STEEL REINFORCEMENT RAMP G STATION 83+30.08 - 8X4 RCBC	2,725.00	LB		\$	

Section: 0010 - SEWER

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRIC	FP	AMOUNT
1850	24425EC		REMOVE PIPE	1.00	LS		\$	
1860	02220		FLOWABLE FILL SEWER	10.00	CUYD		\$	
1870	15000		S BYPASS PUMPING SEWER	2.00	EACH		\$	
1880	15016		S ENCASEMENT STEEL BORED RANGE 3 SEWER	280.00	LF		\$	

Report Date 7/26/22

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRIC	FP	AMOUNT
1890	15026		S FORCE MAIN AIR RLS/VAC VLV 02 IN SEWER	1.00	EACH		\$	
1900	15060		S FORCE MAIN PVC 06 INCH SEWER	2,024.00	LF		\$	
1910	15074		S FORCE MAIN TIE-IN 06 INCH SEWER	2.00	EACH		\$	
1920	15123		S LINE MARKER SEWER	5.00	EACH		\$	

Section: 0011 - SIGNING

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRIC	FP	AMOUNT
1930	06400		GMSS GALV STEEL TYPE A	9,459.00	LB		\$	
1940	06405		SBM ALUMINUM PANEL SIGNS	2,537.00	SQFT		\$	
1950	06406		SBM ALUM SHEET SIGNS .080 IN	189.00	SQFT		\$	
1960	06407		SBM ALUM SHEET SIGNS .125 IN	986.00	SQFT		\$	
1970	06410		STEEL POST TYPE 1	2,075.00	LF		\$	
1980	06412		STEEL POST MILE MARKERS	2.00	EACH		\$	
1990	06441		GMSS GALV STEEL TYPE C	6,709.00	LB		\$	
2000	06451		REMOVE SIGN SUPPORT BEAM	19.00	EACH		\$	
2010	06490		CLASS A CONCRETE FOR SIGNS	50.00	CUYD		\$	
2020	06491		STEEL REINFORCEMENT FOR SIGNS	994.00	LB		\$	
2030	20418ED		REMOVE & RELOCATE SIGNS	4.00	EACH		\$	
2040	20419ND		ROADWAY CROSS SECTION	18.00	EACH		\$	
2050	20912ND		BARRIER WALL POST	3.00	EACH		\$	
2060	21373ND		REMOVE SIGN	7.00	EACH		\$	
2070	21596ND		GMSS TYPE D	27.00	EACH		\$	
2080	21813NN		REMOVE AND RELOCATE SHEET SIGNS	3.00	EACH		\$	
2090	22400NN		REMOVE AND RELOCATE SIGN ASSEMBLY	1.00	EACH		\$	
2100	24631EC		BARCODE SIGN INVENTORY	80.00	EACH		\$	

Section: 0012 - LIGHTING

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRIC	FP	AMOUNT
2110	04701		POLE 40 FT MTG HT	63.00	EACH		\$	
2120	04720		BRACKET 4 FT	30.00	EACH		\$	
2130	04723		BRACKET 10 FT	23.00	EACH		\$	
2140	04725		BRACKET 15 FT	10.00	EACH		\$	
2150	04740		POLE BASE	63.00	EACH		\$	
2160	04750		TRANSFORMER BASE	63.00	EACH		\$	
2170	04761		LIGHTING CONTROL EQUIPMENT	2.00	EACH		\$	
2180	04780		FUSED CONNECTOR KIT	126.00	EACH		\$	
2190	04797		CONDUIT-3 IN	436.00	LF		\$	
2200	04820		TRENCHING AND BACKFILLING	13,030.00	LF		\$	
2210	04832		WIRE-NO. 12	9,078.00	LF		\$	
2220	20391NS835		ELECTRICAL JUNCTION BOX TYPE A	7.00	EACH		\$	
2230	21543EN		BORE AND JACK CONDUIT	214.00	LF		\$	
2240	24589ED		LED LUMINAIRE	63.00	EACH		\$	

Report Date 7/26/22

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRIC	FP	AMOUNT
2250	24851EC		CABLE-NO. 10/3C DUCTED	15,195.00	LF		\$	

Section: 0013 - WATERLINE

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRIC	FP	AMOUNT
2260	02690		SAFELOADING WATER	10.00	CUYD		\$	
2270	14015		W ENCASEMENT STEEL OPEN CUT RANGE 4 WATER	250.00	LF		\$	
2280	14019		W FIRE HYDRANT ASSEMBLY WATER	1.00	EACH		\$	
2290	14038		W PIPE DUCTILE IRON 10 INCH WATER	215.00	LF		\$	
2300	14061		W PIPE PVC 10 INCH WATER	190.00	LF		\$	
2310	14074		W PLUG EXISTING MAIN WATER	2.00	EACH		\$	
2320	14096		W TIE-IN 10 INCH WATER	6.00	EACH		\$	
2330	14107		W VALVE 10 INCH WATER	2.00	EACH		\$	

Section: 0014 - DEMOBILIZATION &/OR MOBILIZATION

LINE	BID CODE	ALT	DESCRIPTION	QUANTITY	UNIT	UNIT PRIC	FP	AMOUNT
2340	02568		MOBILIZATION	1.00	LS		\$	
2350	02569		DEMOBILIZATION	1.00	LS		\$	