

CALL NO. 100

CONTRACT ID. 102206

ALLEN COUNTY

FED/STATE PROJECT NUMBER HSIP 9010 003

DESCRIPTION SCOTTSVILLE-FRANKLIN ROAD (KY 100)

WORK TYPE OPERATIONS (MAINTENANCE)

PRIMARY COMPLETION DATE 10/31/2010

LETTING DATE: June 25, 2010

Sealed Bids will be received in the Division of Construction Procurement and/or the 1st floor of the Transportation Cabinet Office Building until 10:00 AM EASTERN DAYLIGHT TIME June 25, 2010. Bids will be publicly opened and read at 10:00 AM EASTERN DAYLIGHT TIME.

DBE CERTIFICATION REQUIRED - 18%

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DBE General Plan Inc	ciudea []			
BID 🗌	PROPOSAL ISSUED TO:			
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SPECIMEN	Address	City	State	

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PART I SCOPE OF WORK

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ADMINISTRATIVE DISTRICT - 03

PROJECT(S) IDENTIFICATION AND DESCRIPTION:

COUNTY - ALLEN PCN - MP00201001001

HSIP 9010 003

SCOTTSVILLE-FRANKLIN ROAD (KY 100) FROM 0.070 MILES WEST OF FANNIE-TOM GAINES ROAD (MP 3.035) TO INTERSECTION OF FANNIE-TOM GAINES ROAD (MP 3.105), A DISTANCE OF 0.07 MILES.

GRADE & DRAIN.

GEOGRAPHIC COORDINATES LATITUDE 36^41'16" LONGITUDE 86^21'44"

AVERAGE DAILY TRAFFIC - 2260 AVERAGE MAINLINE WIDTH - 20.0 FEET

COMPLETION DATE(S):

COMPLETION DATE - October 31, 2010 APPLIES TO ENTIRE CONTRACT

CONTRACT NOTES

PROPOSAL ADDENDA

All addenda to this proposal must be applied when calculating bid and certified in the bid packet submitted to the Kentucky Department of Highways. Failure to use the correct and most recent addenda may result in the bid being rejected.

BID SUBMITTAL

Bidder must use the Department's Expedite Bidding Program available on the Internet web site of the Department of Highways, Division of Construction Procurement. (www.transportation.ky.gov/contract)

The Bidder must download the bid file located on the web site to prepare a bid packet for submission to the Department. The bidder must include the completed bid packet printed from the Program along with the disk created by said program or submit electronically using Bid Express.

JOINT VENTURE BIDDING

Joint Venture bidding is permissible. However, both companies MUST purchase a bidding proposal. Either proposal may be submitted but must contain the company names and signatures of both parties where required. A joint bid bond of 5% may be submitted for both companies or each company may submit a separate bond of 5%.

UNDERGROUND FACILITY DAMAGE PROTECTION

The contractor is advised that the Underground Facility Damage Protection Act of 1994, became law January 1, 1995. It is the contractor's responsibility to determine the impact of the act regarding this project, and take all steps necessary to be in compliance with the provision of the act.

01/01/2009

FEDERAL CONTRACT NOTES

The Kentucky Department of Highways, in accordance with the Regulations of the United States Department of Transportation 23 CFR 635.112 (h), hereby notifies all bidders that failure by a bidder to comply with all applicable sections of the current Kentucky Standard Specifications, including, but not limited to the following, may result in a bid not being considered responsive and thus not eligible to be considered for award:

102.02 Current Capacity Rating 102.10 Delivery of Proposals

102.08 Irregular Proposals 102.14 Disqualification of Bidders

102.09 Proposal Guaranty

CIVIL RIGHTS ACT OF 1964

The Kentucky Department of Highways, in accordance with the provisions of Title VI of the Civil Rights Act of 1964 (78 Stat. 252) and the Regulations of the Federal Department of Transportation (49 C.F.R., Part 21), issued pursuant to such Act, hereby notifies all bidders that it will affirmatively insure that the contract entered into pursuant to this advertisement will be awarded to the lowest responsible bidder without discrimination on the ground of race, color, or national origin.

NOTICE TO ALL BIDDERS

To report bid rigging activities call: 1-800-424-9071.

The U.S. Department of Transportation (DOT) operates the above toll-free "hotline" Monday through Friday, 8:00 a.m. to 5:00 p.m. eastern time. Anyone with knowledge of possible bid rigging, bidder collusion, or other fraudulent activities should use the "hotline" to report such activities.

The "hotline" is part of the DOT's continuing effort to identify and investigate highway construction contract fraud and abuse and is operated under the direction of the DOT Inspector General. All information will be treated confidentially and caller anonymity will be respected.

FHWA 1273

Contrary to Paragraph VI of FHWA 1273, contractors on National Highway System (NHS) Projects of \$1 million or more are no longer required to submit Form FHWA-47.

Contrary to Form FHWA-1273, Section V, paragraph 2.b personal addresses and full social security numbers (SSN) shall not be included on weekly payroll submissions by contractors and subcontractors. Contractors and subcontractors shall include the last four digits of the employee's SSN as an individually identifying number for each employee on the weekly payroll submittal. This in no way changes the requirement that contractors and subcontractors maintain complete SSN and home addresses for employees and provide this information upon request of KYTC, FHWA, and the U.S. Department of Labor.

SECOND TIER SUBCONTRACTS

Second Tier subcontracts on federally assisted projects shall be permitted. However, in the case of DBE's, second tier subcontracts will only be permitted where the other subcontractor is also a DBE. All second tier subcontracts shall have the consent of both the Contractor and the Engineer.

DISADVANTAGED BUSINESS ENTERPRISE PROGRAM

It is the policy of the Kentucky Transportation Cabinet ("the Cabinet") that Disadvantaged Business Enterprises ("DBE") shall have the opportunity to participate in the performance of highway construction projects financed in whole or in part by Federal Funds in order to create a level playing field for all businesses who wish to contract with the Cabinet. To that end, the Cabinet will comply with the regulations found in 49 CFR Part 26, and the definitions and requirements contained therein shall be adopted as if set out verbatim herein.

The Cabinet, contractors, subcontractors, and sub-recipients shall not discriminate on the basis of race, color, national origin, or sex in the performance of work performed pursuant to Cabinet contracts. The contractor shall carry out applicable requirements of 49 CFR 26 in the award and administration of federally assisted highway construction projects. The contractor will include this provision in all its subcontracts and supply agreements pertaining to contracts with the Cabinet.

Failure by the contractor to carry out these requirements is a material breach of its contract with the Cabinet, which may result in the termination of the contract or such other remedy as the Cabinet deems necessary.

DBE GOAL

The Disadvantaged Business Enterprise (DBE) goal established for this contract, as listed on the front page of the proposal, is the percentage of the total value of the contract.

The contractor shall exercise all necessary and reasonable steps to ensure that Disadvantaged Business Enterprises participate in a least the percent of the contract as set forth above as goals for this contract.

OBLIGATION OF CONTRACTORS

Each contractor prequalified to perform work on Cabinet projects shall designate and make known to the Cabinet a liaison officer who is assigned the responsibility of effectively administering and promoting an active program for utilization of DBEs.

If a formal goal has not been designated for the contract, all contractors are encouraged to consider DBEs for subcontract work as well as for the supply of material and services needed to perform this work.

Contractors are encouraged to use the services of banks owned and controlled by minorities and women.

CERTIFICATION OF CONTRACT GOAL

Contractors shall include the following certification in bids for projects for which a DBE goal has been established. BIDS SUBMITTED WHICH DO NOT INCLUDE CERTIFICATION OF DBE PARTICIPATION WILL NOT BE READ PUBLICLY. These bids will not be considered for award by the Cabinet and they will be returned to the bidder.

"The bidder certifies that it has secured participation by Disadvantaged Business Enterprises ("DBE") in the amount of _____ percent of the total value of this contract and that the DBE participation is in compliance with the requirements of 49 CFR 26 and the policies of the Kentucky Transportation Cabinet pertaining to the DBE Program."

The certification statement is located in the printed bid packet. All contractors must certify their DBE participation on that page. DBEs utilized in achieving the DBE goal must be certified and prequalified for the work items at the time the bid is submitted.

DBE PARTICIPATION PLAN

All bidders are encouraged to submit their General DBE Participation Plan with their bid on the official form. Lowest responsive bidders whose bid packages include DBE Participation Plans may be awarded the contract at the next Awards Committee meeting provided that the DBE goal is met. The DBE Participation Plan shall include the following:

- 1. Name and address of DBE Subcontractor(s) and/or supplier(s) intended to be used in the proposed project;
- 2. Description of the work each is to perform including the work item , unit, quantity, unit price and total amount of the work to be performed by the individual DBE;
- 3. The dollar value of each proposed DBE subcontract and the percentage of total project contract value this represents. DBE participation may be counted as follows:
 - a) If DBE suppliers and manufactures assume actual and contractual responsibility, the dollar value of materials to be furnished will be counted toward the goal as follows:
 - The entire expenditure paid to a DBE manufacturer;
 - 60 percent of expenditures to DBE suppliers that are not manufacturers provided the supplier is a regular dealer in the product involved. A regular dealer must be engaged in, as its principal business and in its own name, the sale of products to the public, maintain an inventory and own and operate distribution equipment; and
 - the amount of fees or commissions charged by the DBE firms for a bona fide service, such as professional, technical, consultant, or managerial services and assistance in the procurement of essential personnel,

facilities, equipment, materials, supplies, delivery of materials and supplies or for furnishing bonds, or insurance, providing such fees or commissions are determined to be reasonable and customary.

- b) The dollar value of services provided by DBEs such as quality control testing, equipment repair and maintenance, engineering, staking, etc.;
- c) The dollar value of joint ventures. DBE credit for joint ventures will be limited to the dollar amount of the work actually performed by the DBE in the joint venture;
- 4. Written and signed documentation of the bidder's commitment to use a DBE contractor whose participation is being utilized to meet the DBE goal; and
- 5. Written and signed confirmation from the DBE that it is participating in the contract as provided in the prime contractor's commitment.

The apparent low bidder who does not submit a General DBE Participation Plan with the bid shall submit it within 10 calendar days after receipt of notification that they are the apparent low bidder. The project will not be considered for award prior to submission and approval of the apparent low bidder's DBE Participation Plan.

Detailed DBE Participation Plan forms will be included in the Contractor Package presented to successful bidders following the awarding of the project. The Detailed DBE Participation Plan must be completed and returned to Contract Procurement in accordance with Cabinet policy. A copy of the blank estimate will be included with the Detailed DBE Participation Plan to list sequence items by PCN (Project Control Number).

Changes to DBE Participation Plans must be approved by the Cabinet. The Cabinet may consider extenuating circumstances including, but not limited to, changes in the nature or scope of the project, the inability or unwillingness of a DBE to perform the work in accordance with the bid, and/or other circumstances beyond the control of the prime contractor.

CONSIDERATION OF GOOD FAITH EFFORTS REQUESTS

If the DBE participation submitted in the bid by the apparent lowest responsive bidder does not meet or exceed the DBE contract goal, the apparent lowest responsive bidder must submit a Good Faith Effort Package to satisfy the Cabinet that sufficient good faith efforts were made to meet the contract goals prior to submission of the bid. Efforts to increase the goal after bid submission will not be considered in justifying the good faith effort, unless the contractor can show that the proposed DBE was solicited prior to the letting date. DBEs utilized in achieving the DBE goal must be certified and prequalified for the work items at the time the bid is submitted. One complete set and nine (9) copies of this information must be received in the office of the Division of Contract Procurement no later than 12:00 noon of the tenth calendar day after receipt of notification that they are the apparent low bidder.

Where the information submitted includes repetitious solicitation letters it will be acceptable to submit a sample representative letter along with a distribution list of the firms solicited. Documentation of DBE quotations shall be a part of the good faith effort submittal as necessary to demonstrate compliance with the factors listed below which the Cabinet considers in judging good faith efforts. This documentation may include written subcontractors' quotations, telephone log notations of verbal quotations, or other types of quotation documentation.

The Good Faith Effort Package shall include, but may not be limited to information showing evidence of the following:

- 1. Whether the bidder attended any pre-bid meetings that were scheduled by the Cabinet to inform DBEs of subcontracting opportunities;
- 2. Whether the bidder provided solicitations through all reasonable and available means:
- 3. Whether the bidder provided written notice to all DBEs listed in the DBE directory at the time of the letting who are prequalified in the areas of work that the bidder will be subcontracting;
- 4. Whether the bidder followed up initial solicitations of interest by contacting DBEs to determine with certainly whether they were interested. If a reasonable amount of DBEs within the targeted districts do not provide an intent to quote or no DBEs are prequalified in the subcontracted areas, the bidder must notify the DBE Liaison in the Office of Minority Affairs to give notification of the bidder's inability to get DBE quotes;
- 5. Whether the bidder selected portions of the work to be performed by DBEs in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate DBE participation, even when the prime contractor might otherwise perform these work items with its own forces;
- 6. Whether the bidder provided interested DBEs with adequate and timely information about the plans, specifications, and requirements of the contract;
- 7. Whether the bidder negotiated in good faith with interested DBEs not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached;
- 8. Whether quotations were received from interested DBE firms but were rejected as unacceptable without sound reasons why the quotations were considered unacceptable. The fact that the DBE firm's quotation for the work is not the lowest quotation received will not in itself be considered as a sound reason for rejecting the quotation as unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a DBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy DBE goals;

- 9. Whether the bidder specifically negotiated with subcontractors to assume part of the responsibility to meet the contract DBE goal when the work to be subcontracted includes potential DBE participation;
- 10. Whether the bidder made any efforts and/or offered assistance to interested DBEs in obtaining the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal; and
- 11. Any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include DBE participation.

FAILURE TO MEET GOOD FAITH REQUIREMENT

Where the apparent lowest responsive bidder fails to submit sufficient participation by DBE firms to meet the contract goal and upon a determination by the Good Faith Committee based upon the information submitted that the apparent lowest responsive bidder failed to make sufficient reasonable efforts to meet the contract goal, the bidder will be offered the opportunity to meet in person for administrative reconsideration. The bidder will be notified of the Committee's decision within 24 hours of its decision. The bidder will have 24 hours to request reconsideration of the Committee's decision. The reconsideration meeting will be held within two days of the receipt of a request by the bidder for reconsideration.

The request for reconsideration will be heard by the Office of the Secretary. The bidder will have the opportunity to present written documentation or argument concerning the issue of whether it met the goal or made an adequate good faith effort. The bidder will receive a written decision on the reconsideration explaining the basis for the finding that the bidder did or did not meet the goal or made adequate Good Faith efforts to do so.

The result of the reconsideration process is not administratively appealable to the Cabinet or to the United States Department of Transportation.

The Cabinet reserves the right to award the contract to the next lowest responsive bidder or to rebid the contract in the event that the contract is not awarded to the low bidder as the result of a failure to meet the good faith requirement.

SANCTIONS FOR FAILURE TO MEET DBE REQUIREMENTS OF THE PROJECT

Failure by the prime contractor to fulfill the DBE requirements of a project under contract or to demonstrate good faith efforts to meet the goal constitutes a breach of contract. When this occurs, the Cabinet will hold the prime contractor accountable, as would be the case with all other contract provisions. Therefore, the contractor's failure to carry our the DBE contract requirements shall constitute a breach of contract and as such the Cabinet reserves the right to exercise all administrative remedies at its disposal including, but not limited to the following:

- Disallow credit toward the DBE goal;
- Withholding progress payments;

- Withholding payment to the prime in an amount equal to the unmet portion of the contract goal; and/or
- Termination of the contract.

PROMPT PAYMENT

The prime contractor will be required to pay the DBE within seven (7) working days after he or she has received payment from the Kentucky Transportation Cabinet for work performed or materials furnished.

CONTRACTOR REPORTING

All contractors must keep detailed records and provide reports to the Cabinet on their progress in meeting the DBE requirement on any highway contract. These records may include, but shall not be limited to payroll, lease agreements, cancelled payroll checks, executed subcontracting agreements, etc. Prime contractors will be required to submit certified reports on monies paid to each DBE subcontractor or supplier utilized to meet a DBE goal.

Payment information that needs to be reported includes date the payment is sent to the DBE, check number, Contract ID, amount of payment and the check date. Before Final Payment is made on this contract, the Prime Contractor will certify that all payments were made to the DBE subcontractor and/or DBE suppliers.

The Prime Contractor should supply the payment information at the time the DBE is compensated for their work. Form to use is located at: http://transportation.ky.gov/construction/forms/DBEcheck.xls

Photocopied payments and completed form to be submitted to: Office of Civil Rights and Small Business Development 6th Floor West 200 Mero Street Frankfort, KY 40622

DEFAULT OR DECERTIFICATION OF THE DBE

If the DBE subcontractor or supplier is decertified or defaults in the performance of its work, and the overall goal cannot be credited for the uncompleted work, the prime contractor may utilize a substitute DBE or elect to fulfill the DBE goal with another DBE on a different work item. If after exerting good faith effort in accordance with the Cabinet's Good Faith Effort policies and procedures, the prime contractor is unable to replace the DBE, then the unmet portion of the goal may be waived at the discretion of the Cabinet.

06/29/2009

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Letting Date:	ioi I	Contract ID Number	=	Project Number:		
		Designated DBE Goal %		1		
	Prime Contractor		DBE Company Name			
			Address			
		0	City, State, Zip			
			Federal Tax ID			
Гуре of DE	3E Work: (a	Type of DBE Work: (all applicable) Support Support Manufacturer Support Manufacturer			Other	
temized w	orked to b	nv:				
Supplier 60% Y/N	Item Number	Description of Participation Item	Unit of Measure	Quantity to be Performed by DBE	DBE Unit Price **	Dollar Amount (based on DBE
				•		,
					Total other Page	
	**Note: 60 is a regular cousiness and operate distr	**Note: 60 percent of expenditures to DBE suppliers that are not manufacturers provided the supplier is a regular dealer in the product involved. A regular dealer must be engaged in, as its principal business and in its own name, the sale of products to the public, maintain an inventory and own and operate distribution equipment	d the supplier cipal own and	Total This DBE Total Bid % Credited towar	ted toward Goal, this DBE	
	Pr	Prime Contractor's Signature:	Title:			Date:
	*This forn	DBE Participant Signature: *This form must be completed for each DBE participant	Title:			Date:
	1115 1011	Il lings be completed for each participalit				

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KYTC DBE Payments

updated 2/28/08

Prime Contractor		Cont-ID			
DBE Contractor		CHECK #			
PAYMENT DATE		Amount of Payment			
Use the se	Use the section below to show multiple payments using the same check				
Cont-ID	Amount	Cont-ID	Amount		
Comments:					

attach copy of check here

Mail to:
Office of Civil Rights and Small Business Development
200 Mero Street
6th Floor West TCOB
Frankfort, KY 40622

SPECIAL NOTE FOR CULVERT EXTENSIONS

I. DESCRIPTION.

Except as provided herein, perform all work in accordance with the Department's 2008 Standard Specifications, interim Supplemental Specifications, Standard and Sepia Drawings, and Special Notes and Special Provisions, current editions. Section references are to the Standard Specifications. This project shall consist of furnishing all labor, equipment, materials, and incidentals for the following:

(1) Contractor staking; (2) Site preparation; (3) Removing existing concrete masonry headwalls and wing walls; (4) Foundation preparation and construction of reinforced concrete culvert extensions; (5) Guardrail; (6) Temporary and permanent erosion and water pollution control; and (7) Any other work specified as part of this contract.

II. MATERIALS.

Provide for sampling and testing of all materials in accordance with the Department's Sampling Manual. Make materials available for sampling a sufficient time in advance of the use of the materials to allow for the necessary time for testing unless otherwise specified in these notes.

- A. Maintain and Control Traffic. See Traffic Control Plan.
- **B. Erosion Control.** See Special Note for Erosion Control.
- **C. Site Preparation.** Relocate and/or remove all soil, rock, cribbing, or any other material at the sites as required by the work. Obtain the Engineer's approval of materials to be reused for backfilling the culvert extensions and for use as channel lining prior to use. Reuse suitable materials encountered in-place at the existing culvert sites. Transport materials between sites and/or furnish additional materials for embankments from approved borrow sites obtained by the Contractor at no additional cost to the Department (see Special Note for waste and Borrow).
- **D. Foundation Preparation.** Furnish materials according to Section 603, the drawings, and as directed by the Engineer.
- **E. Reinforced Concrete Culvert Extensions.** Furnish Class A Concrete and deformed Steel Reinforcement according to Sections 601 and 602. Contrary to Section 602.03.03, field bending bars will be allowed; however, obtain the Engineers approval of proposed field bending methods prior to bending. Furnish additional reinforcement to provide adequate splice lengths with existing culvert steel as determined by the Engineer.

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- **F. Channel Lining.** At the Contractor's option, the Engineer will allow reuse of suitable solid rock excavation and/or concrete and stone masonry removed from existing structures, with all reinforcing steel removed and rubblized to the approximate size of cyclopean stone. Obtain the engineers approval of the materials to be reused prior to placement. Furnish additional Channel Lining Class II as required to complete the work.
- **G.Guardrail.** See Special Note for Guardrail.
- **H. Traffic Bound Base.** Use Crushed Limestone Size Number 2, 57, and 610 as directed by the Engineer.

III. CONSTRUCTION.

- A. Maintain and Control Traffic. See Traffic Control Plan.
- **B. Staking.** Be responsible for field layout and survey of the proposed culvert extensions and roadway widening. See Special Note for Staking.
- **C. Erosion Control.** See Special Note for Erosion Control.
- **D. Site Preparation.** Be responsible for all Site Preparation, including but not limited to Clearing and Grubbing; Tree and Stump removal; Temporary Fencing; Roadway Excavation and Structure Excavation; Embankment and Embankment in Place; removal of obstructions or any other items (other than concrete masonry); Grading, Reshaping, and Compacting; Ditching and Shouldering, and cleaning culverts; obtaining borrow and waste sites and disposal of materials, waste, and debris; cleaning inlet and outlet ditches; and restoration, cleanup and final dressing.

Prior to beginning work at each site, remove existing fencing, construct temporary fencing, and maintain during construction to provide positive barrier to adjacent property owners livestock. Clear and Grub only the minimum area required for construction and/or as directed by the Engineer. Limit clearing and grubbing to the absolute minimum required to construct the culvert extensions, roadway approaches, and guardrail. Obtain the Engineer's approval before removing trees and stumps from the cleared areas.. Phase construction such that the potential for erosion is as minimal as possible.

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Excavate as necessary to remove the portion of the existing structure necessary for construction of the extension. Perform any other ditching or grading as directed by the Engineer. Stockpile suitable materials for incorporation into the work as approved by the Engineer.

Be responsible for all excavation (common, roadway, structure, solid rock, and unclassified) required for foundation preparation, toe walls, and all other excavation required for the culvert extensions. Excavate rock in channel as required to allow for construction of foundation and construction of culvert extensions.

Be responsible for all embankment, embankment in place, and borrow required for backfilling culvert extension, shoulder transitions, widened shoulders for guardrail, entrances and road approaches, and all other embankment required to complete the work as shown on the drawings.

Provide positive drainage of slopes and ditches at all times during and upon completion of construction. Waste all removed materials not incorporated into the work at sites off the right of way obtained by the Contractor at no additional cost to the Department (see Special Note for Waste and Borrow). Perform all excavation and removal of obstructions only as approved or directed by the Engineer

- **E. Culvert Extensions.** Remove headwalls and wingwalls at the existing culvert ends to sound concrete masonry as directed by the Engineer. Remove unsound concrete masonry and additional concrete masonry as required to allow for a minimum splicing length of 20 inches of existing steel reinforcement in good condition. Before removing any concrete masonry saw around the perimeter of the removal area on the interior and exterior of the culvert barrel to a depth of 1 inch; however take care not to cut into steel reinforcement in the existing culvert. Do not kink or unnecessarily bend exposed steel reinforcement. Remove structure excavation to solid rock or as directed by the Engineer, and prepare foundation. Straighten existing steel reinforcement prior to splicing. Splice the existing and new steel reinforcement a minimum of 20 inches. Place additional reinforcement in the splice area to provide adequate splices with the existing reinforcement as determined by the Engineer. Construct the culvert extensions as shown on the drawings. Obtain the Engineer's approval of the final centerline, flow line, length, and skew, and revised dimensions and steel pattern, if any, of each extension prior to placing concrete.
- **F. Embankments.** Backfill culvert extensions and construct embankments, slopes, roadway, shoulders and ditches as shown on the drawings. Construct entrances and road approaches so that adequate sight distance over guardrail is provided for vehicles entering the roadway. Warp and tie the embankment slopes into the adjacent existing roadway to match existing slopes and ditches. Provide positive drainage of slopes and ditches at all times during and upon completion of construction.

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- **G. Ditching and Shouldering**. Construct ditches and shoulders to provide positive drainage. Transition ditching and shouldering between existing typical section and the reconstructed roadway at culvert extension sites according to Section 209. Remove and replace entrance pipe or construct new entrance pipe as necessary to provide proper drainage. Clean all new and existing cross drainage and entrance structures within the limits of ditching areas and the new construction according to Section 209.03.B.
- **H. Channel Lining.** Place Class II Channel Lining to protect wing walls and slopes as directed by the Engineer. In addition to the requirements of section 703, the Engineer may require additional hand placement.
- **I. Guardrail.** See Special Note for Guardrail.
- **J. Entrances and Approaches.** Reconstruct entrances and approaches to provide sight distance over guardrail for vehicles entering the roadway. Obtain the engineer's approval of the final line and grade of each entrance and approach. Install entrance pipe and maintain and restore surfacing on entrances and road approaches with Traffic Bound Base; use compacted Crushed Limestone Size No. 2, 57, and 610 as directed by the Engineer.
- **K. Final Dressing, Clean Up, Seeding and Protection, and Restoration.** See Special Note for Erosion Control..
- **L. Right-of-Way Limits.** The Department has not determined exact Right-of-Way limits. Limit work activities and operations to obvious Right-of-Way; Permanent or Temporary Easements; and staging areas secured by the Contractor at no additional cost to the Department. Be responsible for encroachments onto private lands.
- **M. Property Damage.** Be responsible for all damage to public and/or private property resulting from the work. Repair or replace damaged roadway features in like kind materials and design as directed by the Engineer at no additional cost to the Department. Repair or replace damaged private property in like kind materials and design to the satisfaction of the owner and the Engineer at no additional cost to the Department.
- **N. Disposal of Waste.** Dispose of all removed concrete masonry not incorporated into channel lining, reinforcing steel, excess and unsuitable excavation, and other waste and debris off the right-of-way at sites obtained by the Contractor at no additional cost to the Department (see Special Note for Waste and Borrow).
- **O. On-Site Inspection.** Before submitting a bid for the work, make a thorough inspection of the site and determine existing conditions so that the work can be expeditiously performed after a contract is awarded. The Department will consider submission of a bid to be evidence of this inspection having been made. The Department does not warrant or give any guarantee as to

Culvert Extensions HSIP 9010 (003) Page 5 of 7

the accuracy of the data and information shown and no claims for money or time extensions will be considered if the conditions encountered, items used or omitted, and final quantities required are not in accordance with the information shown.

P. Caution. Consider the information shown on the drawings and the type of work listed on the summaries and herein as approximate only. Verify all dimensions and designs and obtain the Engineer's approval of any changes required to accomplish the work. Understand that any reference to rock, earth, or any other material on the drawings, cross sections, summaries, and these notes, whether in numbers or words, letters, or lines, is solely for the Department's information and do not take the information as an accurate evaluation of the materials and conditions to be encountered during construction. The bidder must draw his own conclusions as to the conditions to be encountered.

Q. Utilities. See utility Clearance Notes.

R. Control. Perform all work under the absolute control of the Department of Highways. Obtain the Engineer's approval of all designs required to be furnished by the Contractor prior to incorporation into the work. The Department reserves the right to have other work performed by other contractors and its own forces and to permit public utility companies and others to do work during the construction within the limits of, or adjacent to, the project. Conduct operations and cooperate with such other parties so that interference with such other work will be reduced to a minimum. The Department will not honor any claims for money or time extension created by the operations of such other parties.

Should a difference of opinion arise as to the rights of the Contractor and others working within the limits of, or adjacent to, the project, the Engineer will decide as to the respective rights of the various parties involved in order to assure the completion of the Department's work in a satisfactory manner, and his decision shall be final and binding upon the Contractor.

IV. MEASUREMENT.

Quantities shown on the summaries and drawings are approximate only. The Department will measure for payment only the bid items listed and the actual quantities of each incorporated in the work. All other items required to complete the construction shall be incidental to the listed bid items.

A. Maintain and Control Traffic. See Traffic Control Plan.

B. Site Preparation. The Department will measure Site Preparation as one lump sum per site. The Department will not measure Clearing and Grubbing; Tree and Stump Removal; Temporary Fencing; Roadway Excavation and Structure Excavation; Foundation Preparation, including but not limited to drilling to confirm suitability of foundation, fabric

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wrapped coarse aggregate at weep holes, Structure Excavation Solid Rock, Foundation Undercut and all excavation below plan elevation regardless of depth, cofferdams, shoring, and dewatering, and Foundation Seals; Common Excavation or backfill, Embankment, and Embankment in Place; removal of obstructions or any other items (other than concrete masonry); Grading, Reshaping, and Compacting; Ditching and Shouldering, and cleaning culverts; obtaining waste sites and disposal of materials, waste, and debris; cleaning inlet and outlet ditches; and restoration, cleanup and final dressing. but shall be incidental to site preparation.

- **C. Erosion Control.** See Special Note for Erosion Control.
- **D. Guardrail.** See Special Note for Guardrail.
- **E. Channel Lining Class II.** The Department will measure Channel Lining Class II obtained off site in tons; however, the Department will not measure solid rock excavation, structure excavation, and rubblized masonry reused as channel lining, but shall be incidental to Site Preparation and Remove Concrete masonry as applicable.
- **F. Entrances and Approaches.** The Department will measure Entrance Pipe and Traffic Bound Base according to the Standard Specifications. The Department will not measure other items used to maintain and construct entrances and approaches, but shall be incidental to Site Preparation.

V. PAYMENT.

The Department will make payment only for the bid items listed. All other items required to complete the construction shall be incidental to the listed bid items.

- A. Maintain and Control Traffic. See Traffic Control Plan.
- **B. Site Preparation.** Payment at the Contract lump sum price shall be full compensation for furnishing all labor, materials, equipment and incidentals for Site Preparation, including but not limited to Clearing and Grubbing; Tree and Stump Removal; Temporary Fencing; Roadway Excavation and Structure Excavation; Foundation Preparation, including but not limited to drilling to confirm suitability of foundation, fabric wrapped coarse aggregate at weep holes, Structure Excavation Solid Rock, Foundation Undercut and all excavation below plan elevation regardless of depth, cofferdams, shoring, and dewatering, Foundation Seals; Common Excavation or backfill, Embankment, and Embankment in Place; removal of obstructions or any other items (other than concrete masonry); Grading, Reshaping, and Compacting; Ditching and Shouldering, and cleaning culverts; obtaining waste sites and

ALLEN COUNTY HSIP 9010 003

Culvert Extensions HSIP 9010 (003) Page 7 of 7

disposal of materials, waste, and debris; cleaning inlet and outlet ditches; and restoration, cleanup and final dressing..

- **C. Erosion Control.** See Special Note for Erosion Control.
- **D. Guardrail.** See Special Note for Guardrail.
- **E. Channel Lining Class II.** Payment at the Contract unit price per ton shall be full compensation for all labor, materials, equipment and incidentals to furnish and place Class II Channel Lining obtained off site, including hand placement if necessary.

SPECIAL NOTE FOR EROSION CONTROL

I. DESCRIPTION

Perform all erosion and water pollution control work in accordance with the Department's 2008 Standard Specifications, these notes, and current editions of applicable Supplemental Specifications, Special Provisions and Special Notes, and Standard and Sepia Drawings, and as directed by the Engineer. Section references are to the Standard Specifications. This work shall consist of:

(1) Developing and preparing a Best Management Practices Plan (BMP) tailored to suit the specific construction phasing for each site within the project; (2) Preparing the project site for construction, including locating, furnishing, installing, and maintaining temporary and/or permanent erosion and water pollution control measures as required by the BMP prior to beginning any earth disturbing activity on the project site; (3) Clearing and grubbing and removal of all obstructions as required for construction; (4) Removing all erosion control devices when no longer needed; (5) Restoring all disturbed areas as nearly as possible to their original condition; (6) Preparing seedbeds and permanently seeding all disturbed areas; and (7) Providing a Kentucky Erosion Prevention and Sediment Control Program (KEPSC) qualified inspector; and (8) Performing any other work to prevent erosion and/or water pollution as specified by this contract, required by the BMP, or as directed by the Engineer.

II. MATERIALS

Furnish materials in accordance with these notes, the Standard Specifications and current editions of applicable Supplemental Specifications, Special Provisions and Special Notes, and Standard and Sepia Drawings. Provide for all materials to be sampled and tested in accordance with the Department's Sampling Manual. Unless directed otherwise by the Engineer, make the materials available for sampling a sufficient time in advance of the use of the materials to allow for the necessary time for testing.

III. CONSTRUCTION

Be advised, these Erosion Control Plan Notes do not constitute a BMP plan for the project. Prepare a specific BMP plan for the project jointly with the Engineer in accordance with Section 213 and the supplemental specifications. Provide a unique BMP at each project site using good engineering practices taking into account existing site conditions, the type of work to be performed, and the construction phasing, methods and techniques to be utilized to complete the work. Be responsible for all erosion prevention, sediment control, and water pollution prevention measures required by the BMP for each site. Represent and warrant compliance with the Clean Water Act (33 USC Section 1251 et seq.), the 404 Permit, the 401 Water Quality Certification, and applicable state and

Erosion Control Page 2 of 4

local government agency laws, regulations, rules, specifications, and permits. Contrary to Section 105.05, in case of discrepancy between theses notes, the Standard Specifications, Supplemental Specifications, Special Provisions, Special Notes, Standard and Sepia Drawings, and such state and local government agency requirements, adhere to the most restrictive requirement.

Conduct operations in such a manner as to minimize the amount of disturbed ground during each phase of the construction and limit the haul roads to the minimum required to perform the work. Preserve existing vegetation not required to be removed by the work or the contract. Seed and/or mulch disturbed areas at the earliest opportunity. Use silt fence, silt traps, temporary ditches, brush barriers, erosion control blankets, sodding, channel lining, and other erosion control measures in a timely manner as required by the BMP and as directed or approved by the Engineer. Prevent sediment laden water from leaving the project, entering an existing drainage structure, or entering a steam.

Provide for erosion control measures to be in place and functioning prior to any earth disturbance within a drainage area. Compute the volume and size of silt control devices necessary to control sediment during each phase of construction. Remove sediment from silt traps before they become a maximum of ½ full. Maintain silt fence by removing accumulated trappings and/or replacing the geotextile fabric when it becomes clogged, damaged, or deteriorated, or when directed by the Engineer. Properly dispose of all materials trapped by erosion control devices at approved sites off the right of way obtained by the Contractor at no additional cost to the Department (See Special Note for Waste and Borrow).

As work progresses, add or remove erosion control measures as required by the BMP applicable to the Contractor's project phasing and construction methods and techniques. Update the volume calculations and modify the BMP as necessary throughout the duration of the project. Ensure that an updated BMP is kept on site and available for public inspection throughout the life of the project.

After all construction is complete, restore all disturbed areas in accordance with Section 212. completely remove all temporary erosion control devices not required as part of the permanent erosion control from the construction site. Prior to removal, obtain the Engineer's concurrence of items to be removed. Grade the remaining exposed earth (both on and off the Right of-Way) as nearly as possible to its original condition, or as directed by the Engineer. Prepare the seed bed areas and sow all exposed earthen areas with the applicable seed mixture(s) according to Section 212.03.03.

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IV. MEASUREMENT

Erosion Control Blanket. If required by the BMP, the Department will measure Erosion Control Blanket according to Section 212.04.07.

Sodding. If required by the BMP, the Department will measure Sodding according to Section 212.04.08.

Channel Lining. If required by the BMP, the Department will measure Channel Lining according to Sections 703.04.04-703.04.07.

Erosion Control. Contrary to Sections 212.04 and 213.04, other than Erosion Control Blankets, Sodding, and Channel Lining, the Department will measure Erosion Control as one lump sum. The Department will not measure developing and updating, and maintaining a BMP plan for each site; providing a KEPSC qualified inspector; locating, furnishing, installing, inspecting, maintaining, and removing erosion and water pollution control items; Topsoil Furnished and Placed, Spreading Stockpiled Topsoil; Topdressing Fertilizer, Seeding and Protection, Special Seeding Crown Vetch, and Temporary Mulch; Sedimentation Basin and Clean Sedimentation Basin, Silt Trap Type "A" and Clean Silt Trap Type "A"; Silt Trap Type "B" and Clean Silt Trap Type "B"; Silt Trap Type "C" and Clean Silt Trap Type "C"; Temporary Silt Fence and Clean Temporary Silt Fence; Plants, Vines, Shrubs, and Trees; Gabion and Dumped Stone Deflectors and Riffle Structures; Boulders; and Temporary Ditches and clean Temporary Ditches; and all other erosion and water pollution control items required by the BMP or the Engineer, but shall be incidental to Erosion Control.

V. Basis of Payment

Erosion Control Blanket. If not listed as a bid item, but required by the BMP, the Department will pay for Erosion Control Blankets as Extra Work according to Sections 104.03 and 109.04.

Sodding. If not listed as a bid item, but required by the BMP, the Department will pay for Sodding as Extra Work according to Sections 104.03 and 109.04.

Channel Lining. If not listed as a bid item, but required by the BMP, the Department will pay for Channel Lining as Extra Work according to Sections 104.03 and 109.04.

ALLEN COUNTY HSIP 9010 003

Erosion Control Page 4 of 4

> Erosion Control. Contrary to Sections 212.05 and 213.05, other than Erosion Control Blanket, Sodding, and Channel Lining, payment at the Contract lump sum price for Erosion Control, shall be full compensation for all materials, equipment, labor and incidentals necessary to complete the erosion and water pollution control work as specified in these notes, Sections 212 and 213, the Supplemental Specifications, applicable Special Provisions and Special Notes, and Standard and Sepia Drawings, including but not limited to developing and updating, and maintaining a BMP plan for each site; providing a KEPSC qualified inspector; locating, furnishing, installing, inspecting, maintaining, and removing erosion and water pollution control items; Topsoil Furnished and Placed, Spreading Stockpiled Topsoil; Topdressing Fertilizer, Seeding and Protection, Special Seeding Crown Vetch, and Temporary Mulch; Sedimentation Basin and Clean Sedimentation Basin, Silt Trap Type "A" and Clean Silt Trap Type "A"; Silt Trap Type "B" and Clean Silt Trap Type "B"; Silt Trap Type "C" and Clean Silt Trap Type "C"; Temporary Silt Fence and Clean Temporary Silt Fence; Plants, Vines, Shrubs, and Trees; Gabion and Dumped Stone Deflectors and Riffle Structures; Boulders; and Temporary Ditches and clean Temporary Ditches; and all other erosion and water pollution control items required by the BMP or the Engineer.

SPECIAL NOTE FOR STAKING

Perform Contractor Staking according to Section 201; except, in addition to the requirements of Section 201, perform the following:

- 1. Contrary to Section 201, perform items 1-4 usually performed by the Engineer; and
- 2. Verify the box culvert drawings and revise culvert dimensions and steel pattern, alignment, and grade as necessary to provide proper alignment of culvert with stream channels and the roadway lines and grades, and to ensure provide positive drainage upon completion of construction; and
- 3. Determine dimensions for special posts for Single Face-BR Guardrail Case I and guardrail layout as shown on the drawings; and
- 4. Verify entrance pipe lengths, determine flow line elevations and slopes to provide positive drainage; and
- 5. Prior to incorporating into the work, obtain the Engineers approval of all designs and revisions to be provided by the Contractor; and
- 6. Produce and furnish to the Engineer "As Built" plans; and
- 7. Perform any and all other staking operations required to control and construct the work.

SPECIAL PROVISION FOR WASTE AND BORROW SITES

The contractor is advised that it is their responsibility to gain U.S. Army Corp of Engineer's approval before utilizing a waste or borrow site that involves "Waters of the United States". "Waters of the United States" are defined as perennial or intermittent streams, ponds or wetlands. Ephemeral streams are also considered jurisdictional waters, and are typically dry except during rainfall, but have a defined drainage channel. Questions concerning any potential impacts to "Waters..." should be brought to the attention of the appropriate District Office for the Corps of Engineers for a determination, prior to disturbance. Any fees associated with obtaining approval from the U.S. Army Corp of Engineer or other appropriate regulatory agencies for waste and borrow sites is the responsibility of the contractor.

01/01/2009

SPECIAL NOTES FOR GUARDRAIL HSIP 9010(003)

I. DESCRIPTION

Except as provided herein, perform all work in accordance with the Department's 2008 Standard Specifications, interim Supplemental Specifications, Standard and Sepia Drawings, and Special Notes and Special Provisions, current editions. Article references are to the Standard Specifications. This project shall consist of furnishing all labor, equipment, materials, and incidentals for the following:

(1) Construct Guardrail - Single Face-W Beam, Guardrail-Steel W Beam-Single Face A, Guardrail-Steel W Beam-Single Face BR Case I, End Treatments, and Terminal Sections; (2) Maintain and control traffic; and (3) Control erosion and water pollution; and (4) All other work specified in the Contract.

II. MATERIALS

Provide for sampling and testing of all materials in accordance with the Department's Sampling Manual. Make materials available for sampling a sufficient time in advance of the use of the materials to allow for the necessary time for testing unless otherwise specified in these notes.

- A. Maintain and Control Traffic. See Traffic Control Plan.
- **B. Erosion Control.** See Special Notes for Erosion Control.
- **C. Guardrail Posts.** Furnish steel posts, no alternate. Furnish wood or composite plastic offset blocks.

III. CONSTRUCTION METHODS

- A. Maintain and Control Traffic. See Traffic Control Plan.
- **B. Erosion Control.** See Special Notes for Erosion Control.
- **C. Staking.** See Special Note for Staking.

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- **D. Shoulder Preparation.** Prepare the shoulder for the guardrail installation, including regrading, reshaping, adding and compacting of suitable materials on the new and existing shoulders to provide proper template or foundation for the guardrail.
- **E. Guardrail Installation**. Guardrail locations shown on the drawings are approximate only. Determine proposed termini and obtain the Engineer's approval for each individual guardrail installation prior to installation. Construct radii at entrances and road intersections as directed by the Engineer. Do not allow the guardrail to block sight distance for vehicles entering the roadway. When installing guardrail, do not leave blunt end exposed where it would be hazardous to the public. When it is not practical to complete the construction of the rail or the permanent end treatments first, the Engineer may require a temporary end by connecting at least 25 feet of rail to the last post, and by slightly flaring, and burying the end of the rail completely into the existing shoulder. If left overnight, place a drum with bridge panel as detailed on Standard Drawings for Miscellaneous Traffic Control Devices in advance of the guardrail end and maintain during use.
- **G. Delineation for Guardrail.** Install delineators for guardrail and object markers as shown on the Sepia Drawings.
- H. Final Dressing, Clean Up, Restoration, and Seeding and Protection. See Special Note for Erosion Control.
- **I. Property Damage and Restoration.** Be responsible for all damage to public and/or private property resulting from the work. Restore any roadway features disturbed by the work or the Contractor's operations in like kind materials and design as directed by the Engineer at no additional cost to the Department.. Restore all damaged private property in like kind materials and design to the owner's and Engineer's satisfaction at no additional cost to the Department or the owner.
- **J. Waste.** Dispose of all waste off the right-of-way at sites obtained by the Contractor at no additional cost to the Department (see Special Note for Waste and Borrow).
- **K.** Utilities. See Utility Clearance Notes.
- **L. Right-of-Way Limits.** The Department has not determined exact Right-of-Way limits. Limit work activities and operations to obvious Right-of-Way; Permanent or Temporary Easements; work areas secured by the Department through consent and release of the adjacent property owners; and staging areas secured by the Contractor at no additional cost to the Department. Be responsible for encroachments onto private lands.

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IV. METHOD OF MEASUREMENT

- A. Maintain and Control Traffic. See Traffic Control Plan.
- **B. Erosion Control.** See Special Note for Erosion Control.
- **C. Staking.** See Special Note for Staking.
- **D. Shoulder Preparation.** The Department will not measure shoulder preparation for guardrail installation, but shall be incidental to Site Preparation (see Special Notes for Culvert Extensions).
- **E. Guardrail Steel W Beam Single Face A.** See Detail Drawing for Guardrail Steel W Beam (Single Face BR).
- **F. Guardrail Steel W Beam Single Face BR.** See Detail Drawing for Guardrail Steel W Beam (Single Face BR).

V. BASIS OF PAYMENT

- A. Maintain and Control Traffic. See Traffic Control Plan.
- **B. Erosion Control.** See Special Note for Erosion Control.
- C. Staking. See Special note for Staking.
- **D. Guardrail Steel W Beam Single Face A.** See Detail Drawing for Guardrail Steel W Beam (Single Face BR).
- **E. Guardrail Steel W Beam Single Face BR.** See Detail Drawing for Guardrail Steel W Beam (Single Face BR).

TRAFFIC CONTROL PLAN HSIP 9010(003)

TRAFFIC CONTROL GENERAL

Except as provided herein, maintain and control traffic in accordance with the 2008 Standard Specifications and interim Supplemental Specifications, Standard and Sepia Drawings, and Special Notes and Special Provisions, current editions. Except for the roadway and traffic control bid items listed, The Department will measure and pay for all items of work necessary to maintain and control traffic at the lump sum bid price "Maintain and Control Traffic".

Contrary to Section 106.01, the Engineer will allow used traffic control devices, in like new condition, at the beginning of the work and maintained in like new condition until completion of the work.

Reduce the speed limit in the work zone to 45 MPH when lane closures are in place, when drop-offs greater than 4" are within 10 feet of traffic, or when workers are present within 10 feet of traffic. Remove or cover the reduced speed limit signs at all other times.

If requested by the Contractor and approved by the Engineer, establish zones for double fines for speeding violations when workers are present. Notify the Engineer a minimum of 12 hours prior to using the double fine signs. Dual mount "WARNING FINE DOUBLED IN WORK ZONE" signs and "END DOUBLE FINE" signs. Remove or cover the double fine signs when workers are not present in the double fine zone for more than a two hour period of time. The Department will not measure furnishing, erecting, covering and uncovering, and maintaining double fine work zone signs requested by the Contractor for separate payment, but shall be incidental to Maintain and Control Traffic.

PROJECT PHASING & CONSTRUCTION PROCEDURES

At the discretion of the Engineer, days and hours may be specified when lane closures will not be allowed.

Maintain alternating one way traffic when the work in progress requires a lane closure. Provide a minimum clear lane width of 9 feet; however, provide for passage of vehicles of up to 16 feet in width. If traffic should be stopped due to construction operations, and a school bus on an official run arrives on the scene, make provisions for the passage of the bus as quickly as possible.

Traffic Control Plan HSIP 9010(003) Page 2 of 5

LANE & SHOULDER CLOSURES

The Engineer will permit lane closures to remain in place during nonworking hours only when dropoffs greater than 4 inches required by the actual work in progress would be within ten feet of traffic if the lane closure were not in place. If lane closures during non working hours are permitted, the Engineer will require the Contractor to perform work on the controlling item(s) at each site during all available daylight hours on every working day, Saturdays, Sundays, and holidays, until the drop-off requiring the lane closure during nonworking hours can be removed. Unless permitted by the Engineer when drop-offs greater than 4 inches within 10 feet of traffic are required by the actual work in progress, do not leave lane closures in place during non-working hours.

Two weeks prior to beginning work, provide the Engineer a proposed schedule of lane closures for approval. The Department will provide public notification.

The Engineer will allow shoulder closures during non-working hours; however do not park equipment or store materials on a closed shoulder during non-working hours.

Take these restrictions into account when preparing bid. The Department will not consider any claims for money or allow time extensions for any delays to the Contractor as a result of these restrictions.

SIGNS

Relocate and reset or cover existing permanent signs as required by the work. Obtain the Engineer's approval before removing or covering an existing sign. The Department will not measure relocating and resetting or covering existing permanent signs, but shall be incidental to Maintain and Control Traffic.

Contrary to section 112.04.02, the Department will only measure long term traffic control signs (signs intended to be continuously in place for more than 3 days) for payment; the Department will not measure short term signs (signs intended to be left in place for 3 days or less) for payment but shall be incidental to Maintain and Control Traffic.

Contrary to Section 112.04.02, The Department will measure individual traffic control signs only once for payment, regardless of how many times they are set, reset, removed, and relocated during the duration of the project. The Department will not measure replacements for damaged signs or signs directed to be replaced by the Engineer due to poor legibility or reflectivity. Retain possession of the signs upon completion of the work.

Traffic Control Plan HSIP 9010(003) Page 3 of 5

During and/or upon completion of construction, the Department will erect any additional permanent signing deemed necessary by the Engineer. The Engineer will coordinate the Department's operations with the Contractor's work.

BARRICADES

Barricades used in lieu of barrels and cones for channelization or delineation will be incidental to Maintain and Control Traffic according to Section 112.04.01. Barricades used to protect pavement removal areas, drop-offs greater than 4 inches, and culvert extensions will be bid as each according to Section 112.04.04. The Department will measure for payment the maximum number of barricades in concurrent use at the same time on a single day on all sections of the contract. Individual barricades will be measured only once for payment, regardless of how many times they are set, reset, removed, and relocated during the duration of the project. Replacements for barricades directed by the Engineer to be replaced due to damage, poor condition, or reflectivity will not be measured for payment. Retain possession of barricades after construction is complete

CHANGEABLE MESSAGE SIGNS

If deemed necessary by the Engineer, the Department will furnish, operate, and maintain changeable message signs in advance of and within the project at locations determined by the Engineer.

TRAFFIC COORDINATOR

In addition to the requirements of Section 112.03.12(B), during any period when a lane closure is in place, the Project Traffic Coordinator shall arrange for qualified personnel to be present on the project at all times to inspect the traffic control and to maintain the signing and devices. Provide the project personnel with access on the project to a radio or telephone to be used in case of emergencies or accidents.

TEMPORARY ENTRANCES

The Engineer will not require the Contractor to provide continuous access to farms, single family, duplex, or triplex residential properties during working hours; however, provide reasonable egress and ingress to each such property when actual operations are not in progress at that location. Limit the time during which a farm or residential entrance is blocked to the minimum required for actual operations in the vicinity of the entrance, and do not extend the time for the Contractor's convenience, and in no case allow an entrance closure to exceed six (6) hours. Notify all residents twenty-four hours in advance of any driveway or entrance closings and make any accommodations necessary to meet the access needs of disabled residents.

Traffic Control Plan HSIP 9010(003) Page 4 of 5

Maintain direct access to all side streets and roads, schools, churches, commercial properties and apartments or apartment complexes of four or more units at all times.

The Department will measure for payment crushed stone required for constructing and maintaining any temporary entrances necessary to provide temporary access; however, no direct payment will be allowed for temporary entrance pipe, excavation and/or embankment, or any other incidentals.

COORDINATION OF WORK

Be advised that other projects may be in progress within or in the near vicinity of this project. The traffic control of those projects may affect this project and the traffic control of this project may affect those projects. Coordinate the work on this project with the work of the other contractors. In case of conflict, the Engineer will determine the relative priority to give to work phasing on the various projects.

PAVEMENT MARKINGS

The Department does not anticipate replacement of pavement markings will be required. If the Contractors operations damage or obliterate the existing pavement markings, place temporary and permanent striping according to Sections 112 and 713 at no additional cost to the Department.

PAVEMENT EDGE DROP-OFFS

Treat pavement edges and drop-offs that traffic is not expected to cross, except accidentally, as follows:

Less than 2" – No protection required.

2" to 4" – Place plastic drums, vertical panels, or barricades at 50 foot spacing. During daylight working hours only, the Engineer will allow use of cones in place of plastic drums, panels, and barricades. Wedge with crushed stone with a 1:1 or flatter slope in daylight hours, or 3:1 or flatter slope during nighttime hours, when work is not active in the drop-off area.

ALLEN COUNTY HSIP 9010 003

Traffic Control Plan HSIP 9010(003) Page 5 of 5

4 inches and greater – Protect with a lane or shoulder closure using plastic drums, vertical panels, or barricades at 25 foot spacing. The Engineer will not allow or permit use of cones for lane or shoulder closures for drop-offs 4 inches or greater. Place Type III Barricades facing oncoming traffic in both directions at each drop off. Do not maintain traffic less than 6 feet from the drop off. If lane closures during non working hours are permitted as specified above, provide flaggers at all times and perform work on the controlling item(s) at each site during all available daylight hours on every working day, Saturdays, Sundays, and holidays, until the drop-off requiring the lane closure during nonworking hours can be removed.



TRANSPORTATION CABINET

Steven L. Beshear Governor

Department of Highways District 3 Office 900 Morgantown Road Bowling Green, KY 42101 (270) 746-7898 Michael W. Hancock, P.E. Acting Secretary

TO:

Ralph Divine, Acting Executive Director

Division of Right of Way and Utilities

ATTENTION:

Jeff Nakken, Right of Way Specialist I

Division of Right of Way and Utilities

FROM:

Kelly Divine

Right of Way Supervisor

District Three - Bowling Green

DATE:

November 6, 2009

SUBJECT:

Allen County

MP 3.100

KY 100

HSIP; Culvert Extension

Right of Way Certification

Subject project will be constructed within the limits of the existing rights of way acquired in accordance with policies and procedures of the Transportation Cabinet.

There are no buildings involved on this project, thus no asbestos abatement is required.

There is no water or monitoring wells located within the limits of this project.



DEPARTMENTAL MEMORANDUM

MEMO To: Tim Sharp, P.E., Branch Manager

Project Delivery & Preservation Branch 1

District Three – Bowling Green

FROM: J.C. Puryear, Jr.

District Utilities Supervisor District Three - Bowling Green

DATE: November 10, 2009

SUBJECT: County: ALLEN

Road Name: KY-100, Franklin - Scottsville Road

Mile Point: 3.100

Description: Culvert Extension at Stoney Point

The following are Special Notes for Utility Clearance that explains the restrictions for the road contractor and the Impact on Road Construction on the subject project. These notes should be made a part of the proposal for the subject project.

According to District Three Office Project Delivery & Preservation Personnel, and based upon the field locations of the utilities in these areas, there is <u>No Anticipated Utilities Involvement</u> on this Project. However, if due to location error or unforeseen circumstances, modifications shall be performed in the field as needed to avoid conflicts. Please contact this office if you have questions concerning these documents.

UTILITY NOTES TO BE INCLUDED IN THE PROPOSAL SPECIAL NOTES FOR UTILITY CLEARANCE IMPACT ON CONSTRUCTION

ALLEN COUNTY

Road Name: KY-100, Franklin Scottsville Road

Mile Point: 3.100

Description: Culvert Extension at Stoney Point

The following companies have facilities within the area of the subject project.

ELECTRIC: Tri-County Electric Membership Cooperative Corporation

405 College Street, P.O. Box 40 Lafayette, Tennessee 37083

Mr. Paul Thompson, General Manager

Steve Linville, Engineer

Telephone Number: (615) 666-2111

<u>Tri-County Electric Membership Cooperative Corporation</u> - The Electric Corporation has No expected relocations and/or adjustments within the limits of the project.

TELEPHONE: North Central Telephone Cooperative Incorporated

872 HWY 52 East By-Pass, P.O. Box 70

Lafayette, Tennessee 37083 Mr. Troy Davis, Engineer

Telephone Number: (615) 666-2151 **Cellular Telephone:** (615) 888-6670

<u>North Central Telephone Cooperative Incorporated</u> - The Telephone Corporation has No expected relocations and/or adjustments within the limits of the project.

WATER: Simpson County Water District

523 Highway US-31W By-pass, P.O. Box 10180

Bowling Green, Kentucky 42102

Mr. Alan Vilines, P.E., General Manager **Telephone Number:** (270) 842-0052

Mr. Jonathan Schubarth, P.E., Manager of Engineering

Mr. Tim Minnicks, Engineer

<u>Simpson County Water District</u> - The Water Company has No expected relocations and/or adjustments within the limits of the project.

UTILITY NOTES TO BE INCLUDED IN THE PROPOSAL SPECIAL NOTES FOR UTILITY CLEARANCE IMPACT ON CONSTRUCTION

SUBJECT PROJECT: ALLEN COUNTY

Road Name: KY-100, Franklin - Scottsville Road Mile Point: 3.100

Description: Culvert Extension at Stoney Point

The Roadway Contractor is advised to review the following notes that describe the impact of utilities on the scheduling of the project.

The Roadway Contractor should note that this may not be a complete list of the utility owners involved. The Roadway Contractor is advised to contact the KY-811 one-call system; however, the Roadway Contractor should be aware that owners of underground facilities are not required to be members of the KY-811 one-call system. It may be necessary for the Roadway Contractor to contact the County Court Clerk to determine what utility companies have facilities in the project area. KY-811 NUMBER: (Call Before You Dig) Telephone Number: 1-800-752-6007

COORDINATION WITH UTILITY FACILITY OWNERS

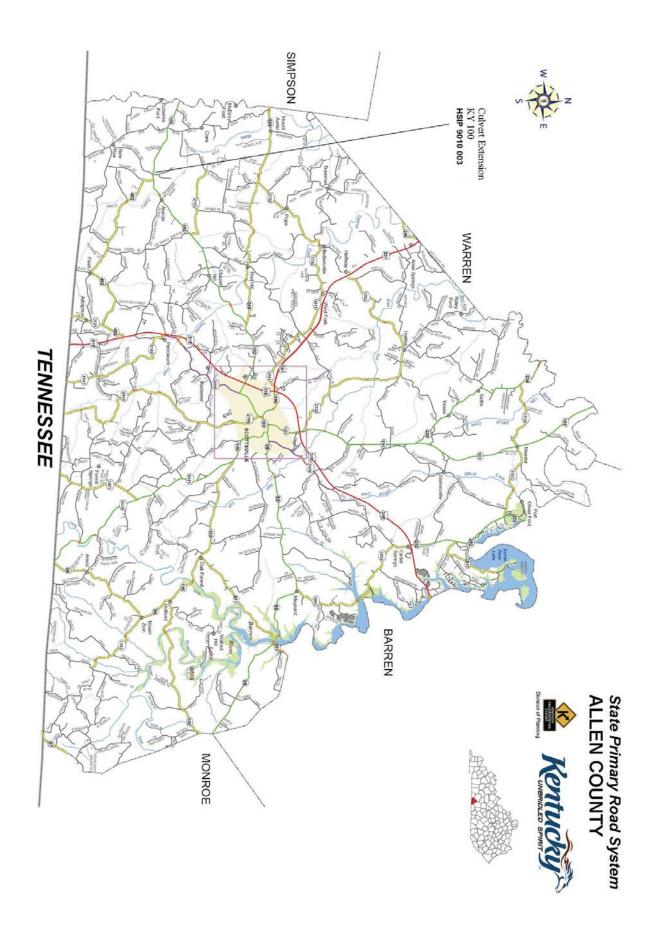
The Roadway Contractor will be responsible for contacting all utility facility owners on the subject project to have existing facilities located in the field. The Roadway Contractor will coordinate his activities with the utility facility owners to minimize and, where possible, avoid conflicts with utility facilities.

Where conflicts with utility facilities are unavoidable the Roadway Contractor will coordinate any necessary relocation work with the facility owner.

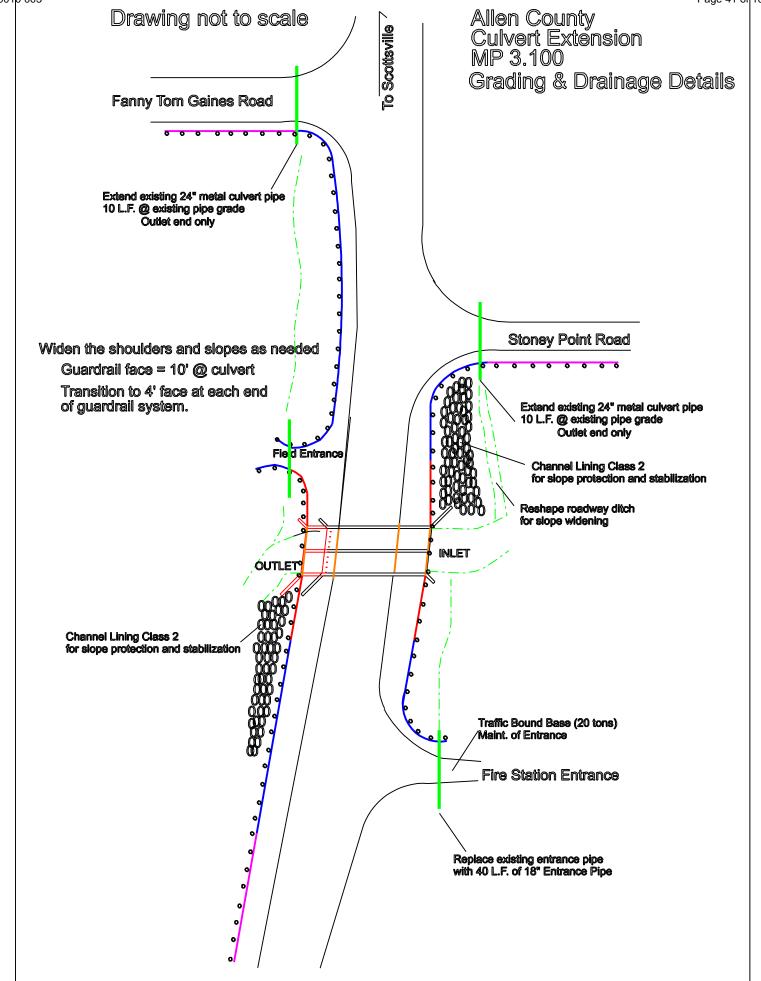
PROTECTION OF UTILITY FACILITIES

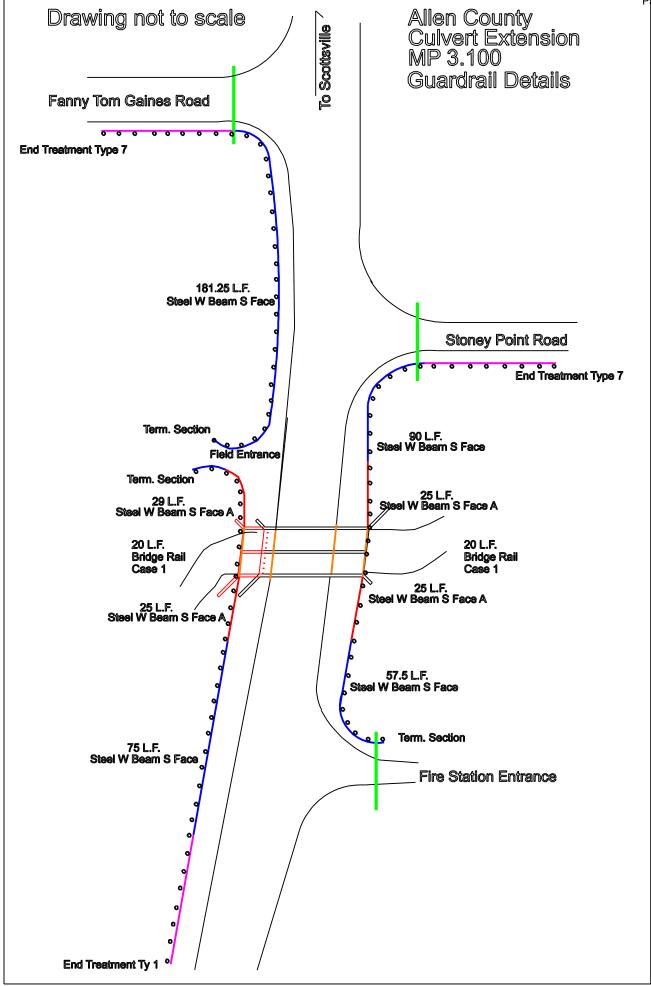
The location of utilities provided in the contact document has been furnished by the facility owners and/or by reviewing record drawings and may not be accurate. It will be the Roadway Contractor's responsibility to locate the utilities before excavating by calling the various utility owners and by examining any supplemental information supplied by the Cabinet. If necessary, the Roadway Contractor shall determine the exact location and elevation of utilities by hand digging to expose utilities before excavating in the area of the utility. The cost for repair and any other associated costs for any damage to utilities caused by the Roadway Contractor's operation shall be borne by the Roadway Contractor.

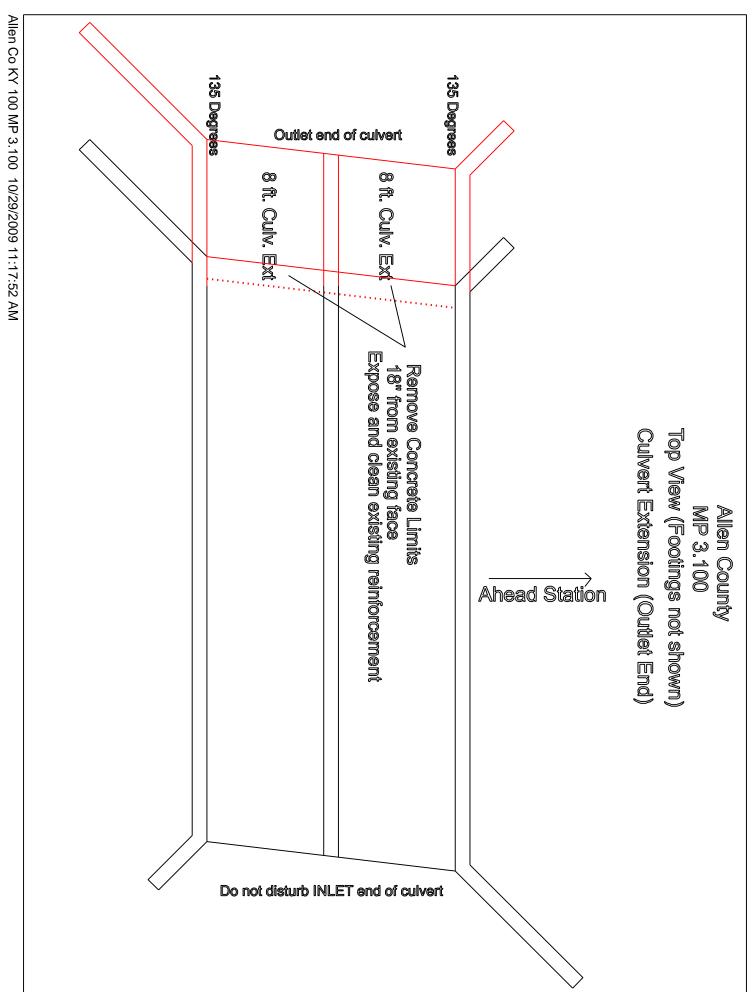
The Roadway Contractor is advised to contact the KY-811 one-call system; however, the Roadway Contractor should be aware that owners of underground facilities are not required to be members of the KY-811 one-call system. It may be necessary for the Roadway Contractor to contact the County Court Clerk to determine what utility companies have facilities in the project area.

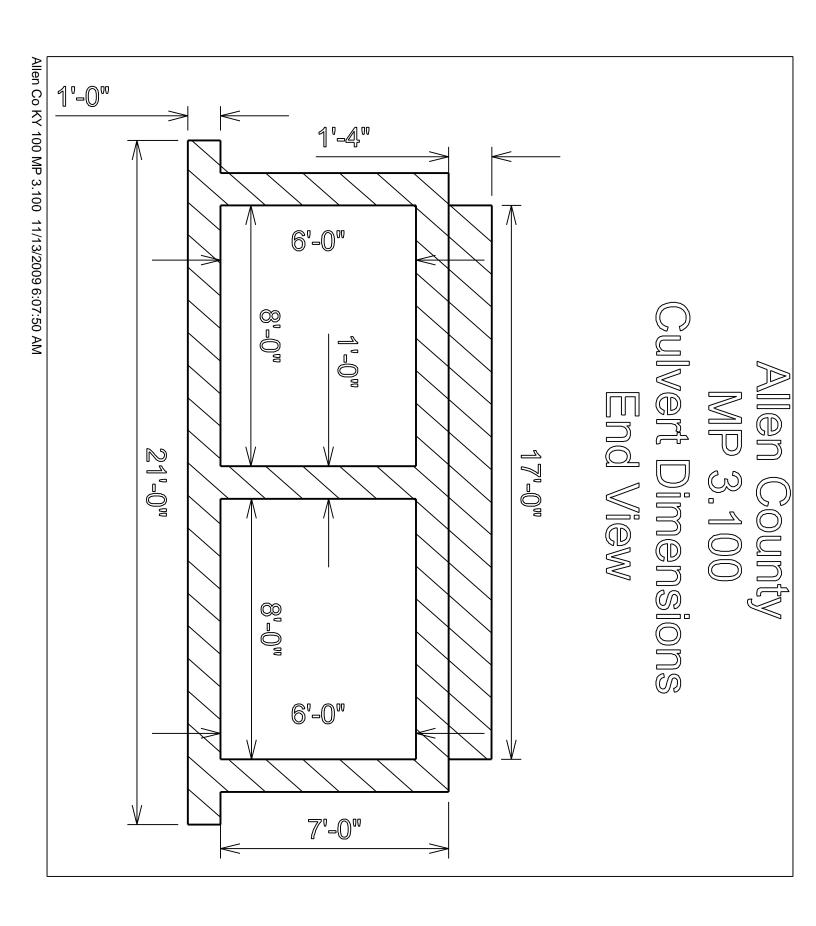


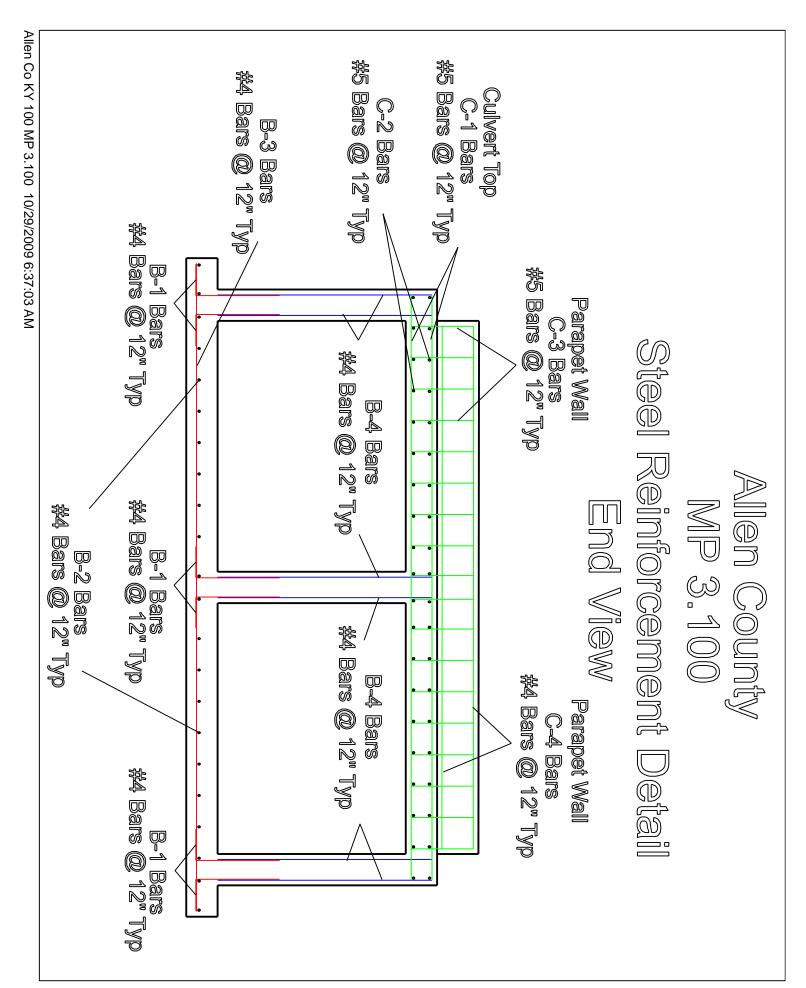
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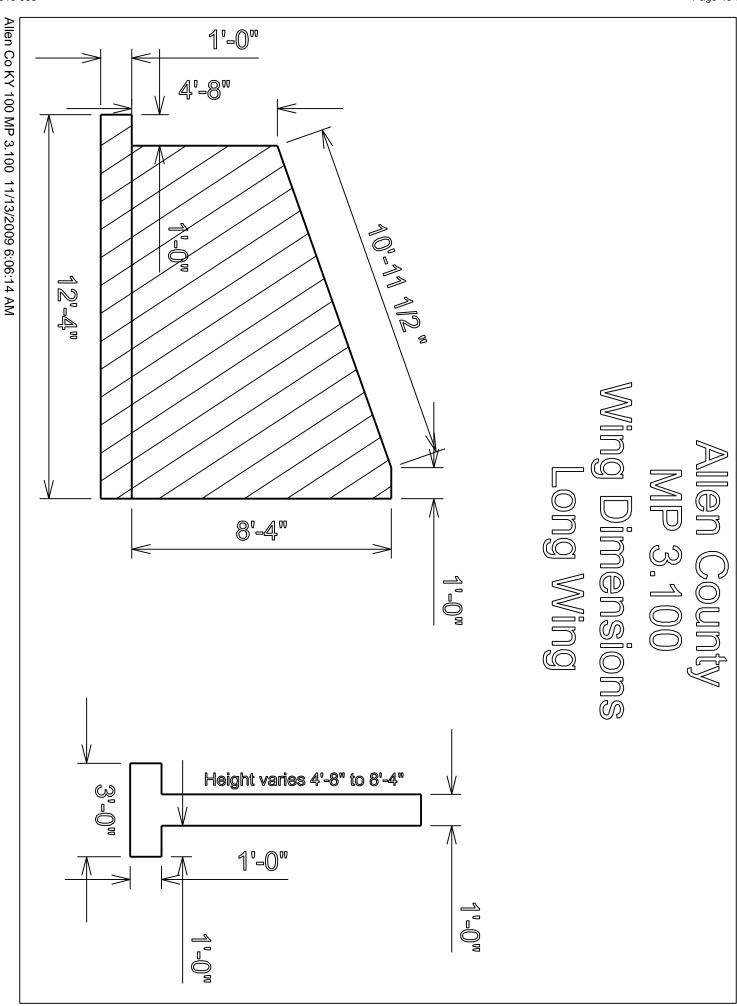


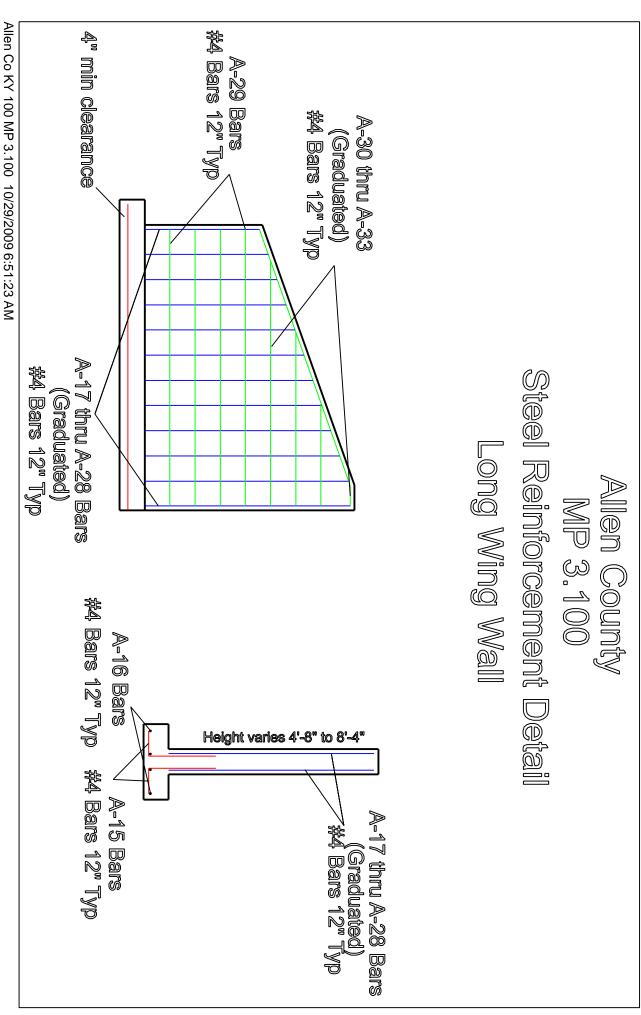


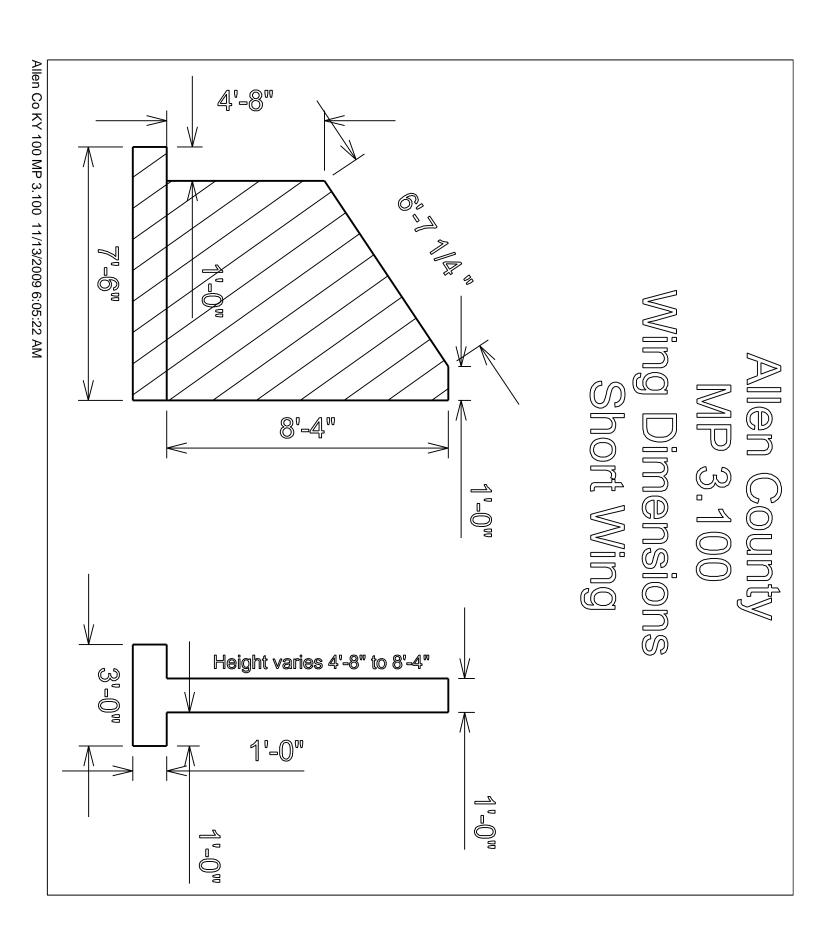


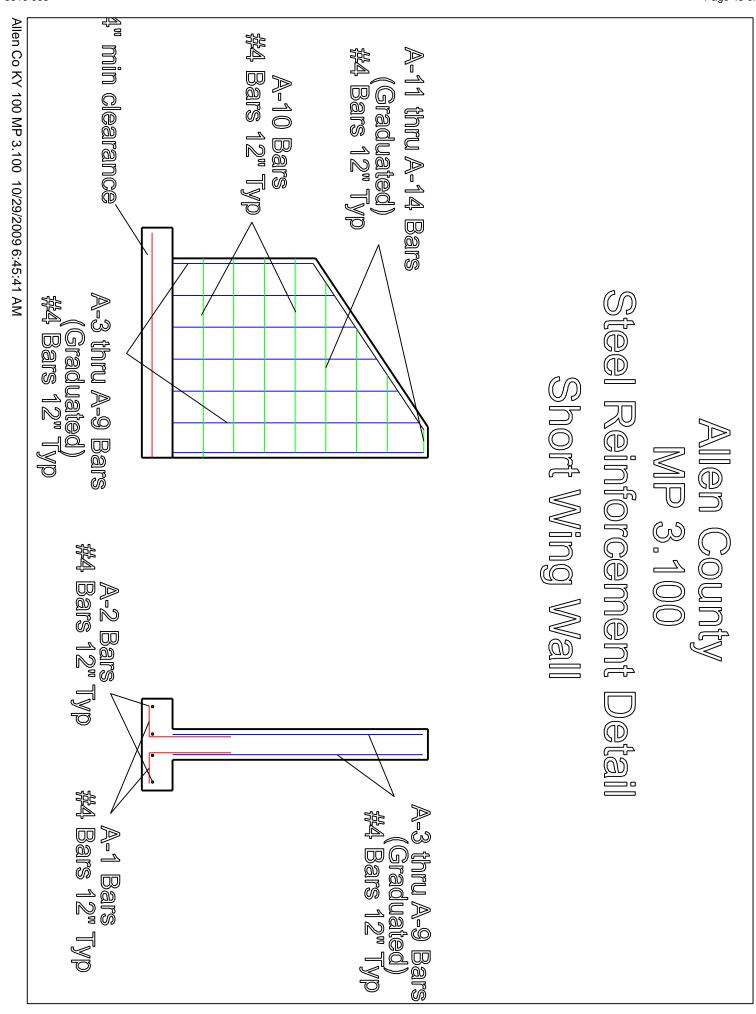


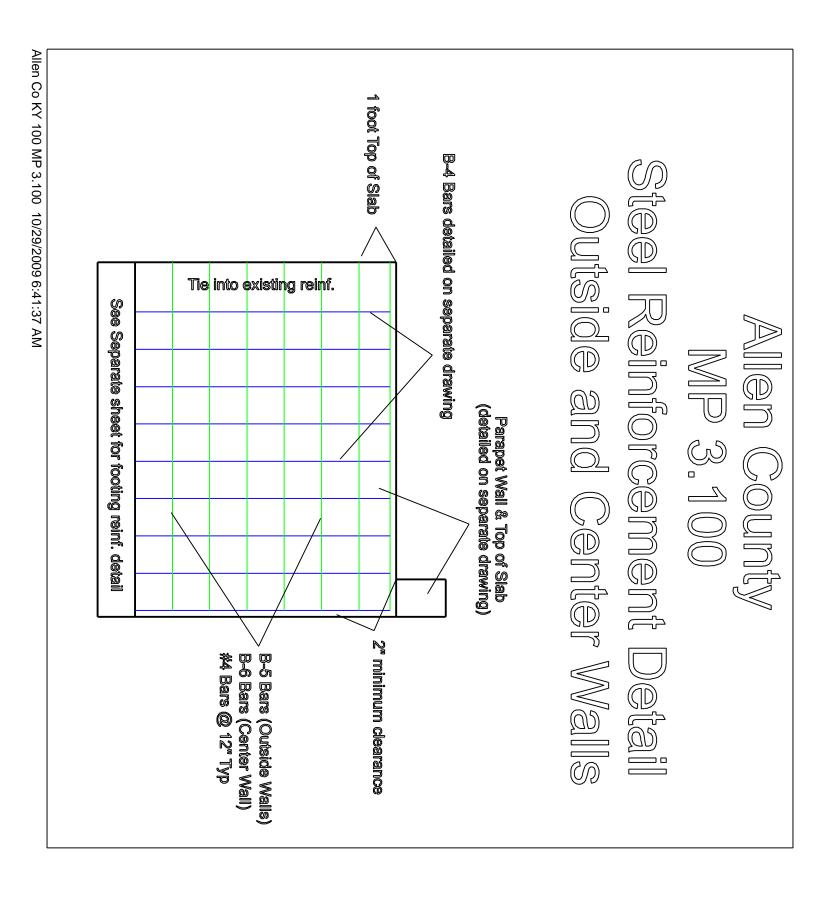




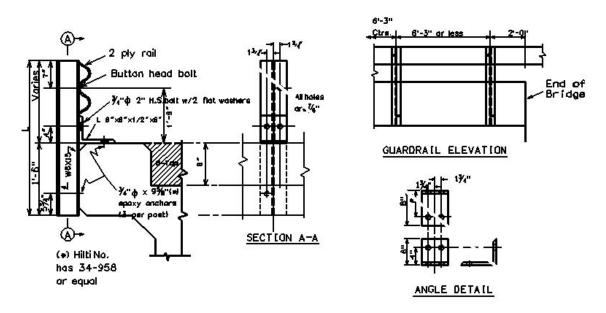








GUARDRAIL ON BRIDGE, CASE I BLACKTOP FLUSH WITH CURB OR ABOVE



Culvert MP	D=	W=	L=	No. Posts	LF of 2 PLY Rail
MP 3.090	0	0	45"	8	40

L = Length of Guardrail Post

D = Curb Height

W = Width of Bridge Curb

Warrants - Use Case I when guardrail can be bolted to the back of the bridge curb. <u>Use Case I</u> where the bridge surface is flush with the curb top. Use Case I where the clear distance between the faces of the guardrail is less than 20 Ft and the curb width is less than 18 Inches.

NOTES - If the dimension from the top of the existing riding surface to the top of the curb is 2 Inches or less, the surface shall be paved flush to the top of the curb, and **CASE I Guardrail** shall be used. Asphalt paving will be done only when specified in the Contract. Additional paving material that includes asphalt surface and/or leveling and wedging that is required to bring the riding surface flush with the curb top shall be paid as separate bid items.

If concrete bridge rail needs to be removed from the bridge, include a bid item for Bridge rail removal.

guardrailbridgecase1

PART II SPECIFICATIONS AND STANDARD DRAWINGS

SPECIFICATIONS REFERENCE

Any reference in the plans or proposal to the *Standard Specifications for Road and Bridge Construction, Edition of 2004*, and *Standard Drawings, Edition of 2000* are superseded by *Standard Specifications for Road and Bridge Construction, Edition of 2008* and *Standard Drawings, Edition of 2003 with the 2008 Revision.*

SUBSECTION: REVISION:				
	KEPSC Kentucky Erosion Prevention and Sediment Control			
SUBSECTION: REVISION:	101.03 Definitions. Replace the definition for Specifications – <i>Special Provisions</i> with the following:			
	Additions and revisions to the Standard and Supplemental Specifications covering conditions peculiar to and individual project.			
SUBSECTION: REVISION:	102.03 Replace the first sentence of the first paragraph with the following:			
	Submit the Bid Proposal on forms furnished on the Department internet website (http://transportation.ky.gov/contract/), including the Bid Packet and disk created from the Expedite Bidding Program.			
	Delete the last paragraph.			
SUBSECTION: REVISION:	102.04 Issuance of Bid Proposal Form. Replace Heading with the following:			
	102.04 Bidder Registration.			
	Replace the first sentence of the first paragraph with the following:			
	The Department reserves the right to disqualify or refuse to place a bidder on the eligible bidder's list for a project for any of the following reasons:			
	Replace the last sentence of the subsection with the following:			
	The Department will resume placing the bidder on the eligible bidder's list for projects after the bidder improves his operations to the satisfaction of the State Highway Engineer.			
SUBSECTION: REVISION:	102.06 Examination of Plans, Specifications, Special Provisions, Special Notes, and Site of Work. Replace the first paragraph with the following:			
	Examine the site of the proposed work, the Bid Proposal, Plans, specifications, contract forms, and bulletins and addendums posted to the Department's website and the Bid Express Bidding Service Website before submitting the Bid Proposal. The Department considers the submission of a Bid Proposal prima facie evidence that the bidder has made such examination and is satisfied as to the conditions to be encountered in performing the work and as to the requirements of the Contract.			
SUBSECTION: REVISION:	102.07.01 General. Replace the first sentence with the following:			
	Submit the Bid Proposal on forms furnished on the Department internet website (http://transportation.ky.gov/contract/), including the Bid Packet and disk created from the Expedite Bidding Program.			
	Insert the following after the first sentence of the third paragraph:			
	Bid proposals submitted electronically shall use an eligible Digital ID issued by Bid Express.			

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SUBSECTION:	102.07.02 Computer Bidding.
REVISION:	Replace the first paragraph with the following:
	Subsequent to registering for a specific project, use the Department's Expedite Bidding Program on the internet website of the Department of Highways, Division of Construction Procurement (http://transportation.ky.gov/contract/). Download the bid file from the Bid Express Bidding Service Website to prepare a Bid Proposal for submission to the Department. Include the completed Bid Packet produced by the Expedite Bidding Program and submit it along with the disk created by said program or submit electronically through Bid Express Bidding Service.
	Replace the second paragraph with the following:
	In case of a dispute, the printed Bid Proposal and bid item sheets created by the Expedite Bidding Program take precedence over any bid submittal.
SUBSECTION:	102.08 Irregular Bid Proposals.
REVISION:	Replace point four of the first paragraph with the following:
	 fails to submit a disk created from the Expedite Bidding Program, unless the bid proposal is submitted electronically through the Bid Express Bidding Service.
	Replace point one of the second paragraph with the following:
	 when the Bid Proposal is on a form other than that furnished by the Department or printed from other than the Expedite Bidding Program, or when the form is altered or any part is detached; or
SUBSECTION:	102.09 Bid Proposal Guaranty.
REVISION:	Insert the following after the first sentence:
	Bid proposals submitted electronically through Bid Express Bidding Service where a bid bond was not used must have a guaranty in the form of a cashier's check or certified check in an amount no less than the amount indicated on the submitted electronic bid.
SUBSECTION:	102.10 Delivery of Bid Proposals.
REVISION:	Replace paragraph with the following:
	Return Bid Proposal in an envelope that is clearly marked indicating the contents. When sent by mail, address the sealed Bid Proposal to the Department at the address and in care of the office and official receiving the Bid Proposals. Submit all Bid Proposals prior to the time and at the place specified in the Notice to Contractors. The Department will time-stamp and return to the bidder unopened Bid Proposals received after the time for opening of bids. Bids submitted electronically shall be done using Bid Express Bidding Services. All bids submitted electronically must be done in accordance with the requirements of the Bid Express Bidding Service.
SUBSECTION:	102.11 Withdrawal or Revision of Bid Proposals.
REVISION:	Replace the paragraph with the following:
	A bidder may withdraw or revise a Bid Proposal after depositing the Bid Proposal with the Department, provided the Department receives the request for such withdrawal or revision in writing before the time set for opening Bid Proposals. Bid Proposals submitted electronically can be withdrawn in accordance the requirements of the Bid Express Bidding Service.

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SUBSECTION:	103.02 Award of Contract.
REVISION:	Replace the first sentence of the third paragraph with the following:
	The Department will normally award the Contract within 10 working days after the date of receiving Bid Proposals unless the Department deems it best to hold the Bid Proposals of any or all bidders for a period not to exceed 60 calendar days for final disposition of award.
SUBSECTION:	105.03 Record Plans.
REVISION:	Replace the section with the following:
	Record Plans are those reproductions of the original Plans on which the accepted Bid Proposal was based and, and signed by a duly authorized representative of the Department. The Department will make these plans available for inspection in the Central Office at least 24 hours prior to the time of opening bids and up to the time of letting of a project or projects. The quantities appearing on the Record Plans are the same as those on which Bid Proposals are received. The Department will use these Record Plans as the controlling plans in the prosecution of the Contract. The Department will not make any changes on Record Plans subsequent to their issue unless done so by an approved contract modification. The Department will make 2 sets of Record Plans for each project, and will maintain one on file in the Central Office and one of file in the District Office. The Department will furnish the Contractor with the following: 1 full size, 2 half size and an electronic file copy of the Record Plans at the Pre-Construction conference.
SUBSECTION:	105.12 Final Inspection and Acceptance of Work.
REVISION:	Insert the following paragraphs after the first paragraph:
	Notify the Engineer when all electrical items are complete. A notice of the electrical work completion shall be made in writing to the Contractor. Electrical items will be inspected when the electrical work is complete and are not subject to waiting until the project as a whole has been completed. The Engineer will notify the Division of Traffic Operations within 3 days that all electrical items are complete and ready for a final inspection. A final inspection will be completed within 90 days after the Engineer notifies the Division of Traffic Operations of the electrical work completion. Energize all electrical items prior to notifying the Engineer that all electrical items are complete. Electrical items must remain operational until the Division of Traffic Operations has inspected and accepted the electrical portion of the project. Payment for the electrical service is the responsibility of the Contractor from the time the electrical items are energized until the Division of Traffic Operations has accepted the work.
	Complete all corrective work within 90 calendar days of receiving the original electrical inspection report. Notify the Engineer when all corrective work is complete. The Engineer will notify the Division of Traffic Operations that the corrective work has been completed and the project is ready for a follow-up inspection. Upon re-inspection, if additional corrective work is required, complete within the same 90 calendar day allowance. The Department will not include time between completion of the corrective work and the follow up electrical inspection(s). The 90 calendar day allowance is cumulative regardless of the number of follow-up electrical inspections required. The Department will assume responsibility for the electrical service on a project once the Division of Traffic Operations gives final acceptance of the electrical items on the project. The Department will also assume routine maintenance of those items. Any damage done to accepted electrical work items by other Contractors shall be the responsibility of the Prime Contractor. The Department will not be responsible for repairing damage done by other contractors during the construction of the remaining project.
	Failure to complete the electrical corrective work within the 90 calendar day allowance will result in

Failure to complete the electrical corrective work within the 90 calendar day allowance will result in penalties assessed to the project. Penalties will be assessed at ½ the rate of liquidated damages established for the contract.

Replace the following in the second sentence of the second paragraph:

Replace Section 213 with Section 212.

Delete the fifth paragraph from the section.

SUBSECTION:	105.13 Claim Resolution Process.		
REVISION:	Replace the last sentence of the 3. Bullet with the following:		
	If the Contractor did not submit an as-bid schedule at the Pre-Construction Meeting or a written narrative in accordance with Subsection 108.02, the Cabinet will not consider the claim for delay.		
	Delete the last paragraph from the section.		
SUBSECTION: REVISION:	106.10 Field Welder Certification Requirements. Insert the following sentence before the first sentence of the first paragraph:		
	All field welding must be performed by a certified welder unless otherwise noted.		
SUBSECTION: REVISION:	108.02 Progress Schedule. Insert the following prior to the first paragraph:		
	Specification 108.02 applies to all Cabinet projects except the following project types: Right of Way Mowing and/or Litter Removal Waterborne Paint Striping Projects that contain Special Provision 82 Projects that contain the Special Note for CPM Scheduling		
	Insert the following paragraph after paragraph two:		
	Working without the submittal of a Written Narrative is violation of this specification and additionally voids the Contractor's right to delay claims.		
	Insert the following paragraph after paragraph six:		
	The submittal of bar chart or Critical Path Method schedule does not relieve the Contractor's requirement to submit a Written Narrative schedule.		
	Insert the following at the beginning of the first paragraph of A) Written Narrative.:		
	Submit the Written Narrative Schedule using form TC 63-50 available at the Division of Construction's website (http://www.transportation.ky.gov/construction/ResCenter/ResCenter.htm).		
	Replace Part A) Written Narrative 1. And 2. with the following:		
	 Provide a description that includes how the Contractor will sequence and stage the work, how the Contractor plans to maintain and control traffic being specific and detailed, and what equipment and crew sizes are planned to execute the work. Provide a list of project milestones including, if applicable, winter shut-downs, holidays, or special events. The Contractor shall describe how these milestones and other dates effect the prosecution of the work. Also, include start date and completion date milestones for the contract, each project if the contract entails multiple projects, each phase of work, site of work, or segment of work as divided in the project plans, proposal, or as subdivided by the Contractor. 		

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SUBSECTION:	110.01 Mobilization.
REVISION:	Replace paragraph three with the following:
	Do not bid an amount for Mobilization that exceeds 5 percent of the sum of the total amounts bid for all items in the Bid Proposal, excluding Mobilization, Demobilization, and contingent amounts established for adjustments and incentives. The Department will automatically adjust any Bid Proposals that are in excess of this amount down to 5 percent to compare Bid Proposals and award the Contract. The Department will award a Contract for the actual amount bid when the amount bid for Mobilization is less than 5 percent, or the Department will award the Contract for the adjusted bid amount of 5 percent when the amount bid for Mobilization is greater than 5 percent. If any errors in unit bid prices for other Contract items in a Contractor's Bid Proposal are discovered after bid opening and such errors reduce the total amount bid for all other items, excluding Mobilization, Demobilization, and contingent amounts established for adjustments and incentives, so that the percent bid for Mobilization is larger than 5 percent, the Department will adjust the amount bid for Mobilization to 5 percent of the sum of the corrected total bid amounts.
SUBSECTION: REVISION:	110.02 Demobilization. Replace the third paragraph with the following:
	Bid an amount for Demobilization that is a minimum of \$1,000 or 1.5 percent of the sum of the total amounts bid for all other items in the Bid Proposal, excluding Mobilization, Demobilization, and contingent amounts established for adjustments and incentives. The Department will automatically adjust any Bid Proposal that is less than this amount up to \$1,000 or 1.5 percent to compare Bid Proposals and award the Contract. The Department will award a Contract for the actual amount bid when the amount bid for demobilization exceeds 1.5 percent, or the Department will award the Contract for the adjusted bid amount when the amount bid for demobilization is less than the minimum of \$1,000 or less than 1.5 percent of the sum of the total amounts bid for all other items in the Bid Proposal, excluding Mobilization, Demobilization, and contingent amounts established for adjustments and incentives.
SUBSECTION: REVISION:	110.04 Payment. Insert the following paragraph following the demobilization payment schedule (4 th paragraph):
	The Department will withhold an amount equal to \$1,000 for demobilization, regardless of the schedule listed above. The \$1,000 withheld for demobilization will be paid when the final estimate is paid.
SUBSECTION: REVISION:	112.03.01 General Traffic Control. Replace paragraph three with the following:
1111111111	All flaggers shall be trained in current MUTCD flagging procedures. Proof of training must be available for review at the Department's request. Flagging credentials must be current within the last 5 years.
SUBSECTION: PART: REVISION:	112.03.11 Temporary Pavement Markings. B) Placement and Removal of Temporary Striping. Replace the 2 nd sentence of the first paragraph with the following:

On interstates and parkways, and other roadways approved by the State Highway Engineer, install pavement striping that is 6 inches in width.

SUBSECTION: 112.03.12 Project Traffic Coordinator (PTC). **REVISION:** Add the following at the end of the subsection:

After October 1, 2008 the Department will require the PTC to have successfully completed the applicable qualification courses. Personnel that have not successfully completed the applicable courses by that date will not be considered qualified. Prior to October 1, 2008, conform to Subsection 108.06 A) and ensure the designated PTC has sufficient skill and experience to properly perform the task.

(Effective with the April 23, 2010 Letting)

SUBSECTION: REVISION:

112.03.15 Non-Compliance of Maintain and Control of Traffic.

Add the following section:

112.03.15 Non-Compliance of Maintain and Control of Traffic. It is the Contractor's responsibility to conform to the traffic control requirements in the TCP, Proposal, plan sheets, specifications, and the Manual on Uniform Traffic Control Devices.

Unless specified elsewhere in the contract, a penalty will be assessed in the event of non-compliance with Maintain and Control of Traffic requirements. These penalties will be assessed when the Contractor fails to correct a situation or condition of non-compliance with the contract traffic control requirements after being notified by the Engineer. The calculation of accrued penalties for non-compliance will be based upon the date/time of notification by the Engineer.

The amount of the penalty assessed for non-compliance will be determined based upon the work zone duration, as defined by the MUTCD, and will be the greatest of the different calculation methods indicated below:

A) Long-term stationary work that occupies a location more than 3 days.

Correct the non-compliant issue within 24 hours from initial notification by the Engineer. If the issue is not corrected within 24 hours from the initial notification, a penalty for non-compliance will be assessed on a daily basis beginning from the initial notification of non-compliance. The Contractor will be assessed a \$1,000 daily penalty or the amount equal to the contract liquidated damages in Section 108.09, whichever of the 2 is greater. The penalty for non-compliance will escalate as follows for continued non-compliance after the initial notification.

3 Days after Notification

\$1,500 daily penalty or 1.5 times the contract liquidated damages daily charge rate in Section 108.09, whichever is greater.

7 Days after Notification

\$2,000 daily penalty or double the contract liquidated damages daily charge rate in Section 108.09, whichever is greater.

B) Intermediate-term stationary work that occupies a location more than one daylight period up to 3 days, or nighttime work lasting more than 1 hour.

Correct the non-compliant issue within 4 hours from initial notification by the Engineer. If the issue is not corrected within 4 hours from notification, a penalty for non-compliance will be assessed on an hourly basis beginning from the initial notification of non-compliance. The penalty for non-compliance will be assessed at \$200 per hour.

C) Short-term stationary is daytime work that occupies a location for more than 1 hour within a single daylight period.

Correct the non-compliant issue within 1 hour from initial notification by the Engineer. If the issue is not corrected within 1 hour from notification, a penalty for non-compliance will be assessed on an hourly basis beginning from the initial notification of non-compliance. The penalty for non-compliance will be assessed at \$200 per hour.

If the Contractor remains in violation of the Maintain and Control of Traffic requirements, or if the Department determines it to be in the public's interest, work will be suspended in accordance with Section 108.08 until the deficiencies are corrected. The Department reserves the right to correct deficiencies by any means available and charge the Contractor for labor, equipment, and material costs incurred in emergency situations.

SUBSECTION:	206.03.02 Embankment
REVISION:	Replace the last paragraph with the following:
	When rock roadbed is specified, construct the upper 2 feet of the embankment according to Subsection 204.03.09 A).
	204.03.09 A).
SUBSECTION:	213.03.03 Inspection and Maintenance.
REVISION:	Insert the following paragraph after the second paragraph:
	When the Contractor is required to obtain the KPDES permit, it is their responsibility to ensure compliance with the inspection and maintenance requirements of the permit. The Engineer will perform
	verification inspections a minimum of once per month and within 7 days of a ½ inch or greater rainfall
	event. The Engineer will document these inspections using Form TC 63-61 A. The Engineer will
	provide copies of the inspection only when improvements to the BMP's are required. Verification
	inspections performed by the Engineer do not relieve the Contractor of any responsibility for compliance with the KPDES permit. Initiate corrective action within 24 hours of any noted deficiency and complete
	the work within 5 days.
SUBSECTION:	213.03.05 Temporary Control Measures.
PART: REVISION:	E) Temporary Seeding and Protection. Replace the first paragraph with the following:
REVISION:	Replace the first paragraph with the following.
	Apply an Annual Rye seed mix at a rate of 100 pounds per acre during the months of March through
	August. In addition to the Annual Rye, add 10 pounds of German Foxtail-Millet (Setaria italica), when
	performing temporary seeding during the months of June through August. During the months of
	September through February, apply Winter Wheat or Rye Grain at a rate of 100 pounds per acre. Obtain the Engineer's approval prior to the application of the seed mixture.
	the Engineer's approval prior to the appreciation of the seed mixture.
SUBSECTION:	213.03.05 Temporary Control Measures.
PART:	F) Temporary Mulch.
REVISION:	Replace the last sentence with the following:
	Place temporary mulch to an approximate 2-inch loose depth (2 tons per acre) and anchor it into the soil
	by mechanically crimping it into the soil surface or applying tackifier to provide a protective cover.
	Regardless of the anchoring method used, ensure the protective cover holds until disturbance is required
	or permanent controls are in installed.
SUBSECTION:	303.05 Payment.
REVISION:	Replace the second paragraph of the section with the following:
	The Department will make payment for Drainage Blanket-Type II (ATDB) according to the Lot Pay
	Adjustment Schedule for Specialty Mixtures in Section 402.
SUBSECTION:	401.02.04 Special Requirements for Dryer Drum Plants.
PART:	F) Production Quality Control.
REVISION:	Replace the first sentence with the following:
	Stop mixing operations immediately if, at any time, a failure of the automatic electronic weighing
	system of the aggregate feed, asphalt binder feed, or water injection system control occurs.

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CLIDCE CTION.	401 02 04 Cmarial Dagwinson	anta for Davin Davin Di	omto		
SUBSECTION: REVISION:	401.02.04 Special Requirement	ents for Dryer Drum Pi	ants.		
KEVISION.	Add the following:				
	402.01.01, the Department wasphalt binder and lowering Ensure the equipment for the equipment operation is not per 2) Injection equipment of mixtures; 3) Injects water into the equipment of mixtures of the equipment of mixtures;	vill allow the use of wat the mixture temperature or water injection meets at computer controls are mitted); at has variable controls to the flow of asphalt binder	ter injection system e for production of s the following requ e automatically cou that introduce wate er prior to contacting tem that operate wh	nirements: pled to the plants controls (manu	
SUBSECTION:	401.03.01 Preparation of Mix	xtures.			
REVISION:	Replace the last sentence of		vith the following:		
	Do not use asphalt binder wh	nile it is foaming in a st	orage tank.		
SUBSECTION:	401.03.01 Preparation of Mi	xtures.			
REVISION:	Replace the third paragraph a		Temperature table	with the following:	
	following table:	MIXING AND LAYING	TEMPERATURES	(°F)	
			Ī		
	Material		Minimum	Maximum	
	Material Aggregates		Minimum 240		
		cled Asphalt Pavement		Maximum	
	Aggregates Aggregates used with Recyc	PG 64-22	240 240 230	Maximum 330 — 330	
	Aggregates Aggregates used with Recyc (RAP) Asphalt Binders	PG 64-22 PG 76-22	240 240 230 285	Maximum 330 — 330 350	
	Aggregates Aggregates used with Recyc (RAP) Asphalt Binders Asphalt Mixtures at Plant	PG 64-22 PG 76-22 PG 64-22 HMA	240 240 230 285 250	Maximum 330 — 330 350 350 330	
	Aggregates Aggregates used with Recyc (RAP) Asphalt Binders	PG 64-22 PG 76-22	240 240 230 285	Maximum 330 — 330 350	
	Aggregates Aggregates used with Recyc (RAP) Asphalt Binders Asphalt Mixtures at Plant	PG 64-22 PG 76-22 PG 64-22 HMA PG 76-22 HMA	240 240 230 285 250 310	Maximum 330 — 330 350 350 330 350	
	Aggregates Aggregates used with Recycle (RAP) Asphalt Binders Asphalt Mixtures at Plant (Measured in Truck) Asphalt Mixtures at Project	PG 64-22 PG 76-22 PG 64-22 HMA PG 76-22 HMA PG 64-22 WMA PG 76-22 WMA PG 64-22 HMA	240 240 230 285 250 310 230 250 230	Maximum 330 330 350 350 330 350 275 300 330	
	Aggregates Aggregates used with Recycle (RAP) Asphalt Binders Asphalt Mixtures at Plant (Measured in Truck) Asphalt Mixtures at Project (Measured in Truck	PG 64-22 PG 76-22 PG 64-22 HMA PG 76-22 HMA PG 64-22 WMA PG 76-22 WMA PG 64-22 HMA PG 76-22 HMA	240 240 230 285 250 310 230 250 230 300	Maximum 330 — 330 350 330 350 275 300 330 350 350	
	Aggregates Aggregates used with Recycle (RAP) Asphalt Binders Asphalt Mixtures at Plant (Measured in Truck) Asphalt Mixtures at Project	PG 64-22 PG 76-22 PG 64-22 HMA PG 76-22 HMA PG 64-22 WMA PG 76-22 WMA PG 64-22 HMA PG 76-22 HMA PG 64-22 WMA	240 240 230 285 250 310 230 250 230 300 210	Maximum 330 330 350 350 350 275 300 330 350 275	
	Aggregates Aggregates used with Recycle (RAP) Asphalt Binders Asphalt Mixtures at Plant (Measured in Truck) Asphalt Mixtures at Project (Measured in Truck	PG 64-22 PG 76-22 PG 64-22 HMA PG 76-22 HMA PG 64-22 WMA PG 76-22 WMA PG 64-22 HMA PG 76-22 HMA	240 240 230 285 250 310 230 250 230 300	Maximum 330 — 330 350 330 350 275 300 330 350 350	
SUBSECTION:	Aggregates Aggregates used with Recycle (RAP) Asphalt Binders Asphalt Mixtures at Plant (Measured in Truck) Asphalt Mixtures at Project (Measured in Truck When Discharging)	PG 64-22 PG 76-22 PG 64-22 HMA PG 76-22 HMA PG 64-22 WMA PG 76-22 WMA PG 64-22 HMA PG 76-22 HMA PG 64-22 WMA PG 76-22 WMA	240 240 230 285 250 310 230 250 230 300 210	Maximum 330 330 350 350 350 275 300 330 350 275	
SUBSECTION: REVISION:	Aggregates Aggregates used with Recycle (RAP) Asphalt Binders Asphalt Mixtures at Plant (Measured in Truck) Asphalt Mixtures at Project (Measured in Truck When Discharging)	PG 64-22 PG 76-22 PG 64-22 HMA PG 76-22 HMA PG 64-22 WMA PG 76-22 WMA PG 64-22 HMA PG 76-22 HMA PG 64-22 WMA PG 76-22 WMA	240 240 230 285 250 310 230 250 230 300 210	Maximum 330 330 350 350 350 275 300 330 350 275	

injection systems.

may be furnished either as hot mix asphalt (HMA) or warm mix asphalt (WMA) produced with water

SUBSECTION				
REVISION:	Add the following subsection:			
	402.01.01 Warm Mix Asphalt (WMA) Evaluation and Approval. The Department will evaluate trial production of WMA by use of a water injection system provided the system is installed according to the manufacturer's requirements and satisfies the requirements of Section 401. Evaluation will include production and placement of WMA to demonstrate adequate mixture quality including volumetric properties and density by Option A as specified in Subsection 402.03.02 D). Do not place WMA for evaluation on Department projects. Provided production and placement operations satisfy the applicable quality levels, the Department will approve WMA production on Department projects using the water injection system as installed on the specific asphalt mixing plant evaluated.			
SUBSECTION: REVISION:	402.05.02 Asphalt Mixtures and Mixtures With RAP. Replace Subsection Title as below:			
	402.05.02 Asphalt Mixtures, HMA and WMA, Including Mixtures With RAP.			
SUBSECTION: REVISION:	402.05.02 Asphalt Mixtures, HMA and WMA, Including Mixtures With RAP. Replace the paragraph with the following:			
	The Department will pay for the mixture at the Contract unit bid price and apply a Lot Pay Adjustment for each lot placed based on the degree of compliance with the specified tolerances. Using the appropriate Lot Pay Adjustment Schedule, the Department will assign a pay value for the applicable properties within each sublot and average the sublot pay values to determine the pay value for a given property for each lot. The Department will apply the Lot Pay Adjustment for each lot to a defined unit price of \$50.00 per ton. The Department will calculate the Lot Pay Adjustment using all possible incentives and disincentives but will not allow the overall pay value for a lot to exceed 1.00.			
SUBSECTION: PART: REVISION:	402.05.02 Asphalt Mixtures, HMA and WMA, Including Mixtures With RAP. C) Conventional and RAP Mixtures Placed on Shoulders. Replace title with the following:			
	HMA, WMA, and RAP Mixtures Placed on Shoulders.			
SUBSECTION: PART: REVISION:	402.05.02 Asphalt Mixtures, HMA and WMA, Including Mixtures With RAP. D) Conventional and RAP Mixtures Placed Monolithically as Asphalt Pavement Wedge. Replace the title with the following:			
	HMA, WMA, and RAP Mixtures Placed Monolithically as Asphalt Pavement Wedge.			
SUBSECTION: PART: TABLES: REVISION:	402.05.02 Asphalt Mixtures, HMA and WMA, Including Mixtures With RAP. Lot Pay Adjustment Schedule, Compaction Option A, Base and Binder Mixtures VMA Replace the VMA table with the following:			
	VMA Pay Value Deviation			
	From Minimum			
	1.00 • min. VMA 0.95 0.1-0.5 below min.			
	0.90 0.6-1.0 below min. (1) > 1.0 below min.			

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SUBSECTION: | 402.05.02 Asphalt Mixtures, HMA and WMA, Including Mixtures With RAP.

PART: Lot Pay Adjustment Schedule, Compaction Option A, Surface Mixtures

TABLES: VMA

REVISION: Replace the VMA table with the following:

VMA		
Pay Value	Deviation	
	From Minimum	
1.00	• min. VMA	
0.95	0.1-0.5 below min.	
0.90	0.6-1.0 below min.	
(1)	> 1.0 below min.	

SUBSECTION: 402.05.02 Asphalt Mixtures, HMA and WMA, Including Mixtures With RAP.

PART: Lot Pay Adjustment Schedule, Compaction Option B Mixtures

TABLE: VMA

REVISION: Replace the VMA table with the following:

VMA		
Pay Value	Deviation From Minimum	
1.00	• min. VMA	
0.95	0.1-0.5 below min.	
0.90	0.6-1.0 below min.	
(2)	> 1.0 below min.	

SUBSECTION: 403.03.03 Preparation of Mixture.

PART: C) Mix Design Criteria.

NUMBER: 1) Preliminary Mix Design.

REVISION: Replace the last two sentences of the paragraph and table with the following:

Complete the volumetric mix design at the appropriate number of gyrations as given in the table below for the number of 20-year ESAL's. The Department will define the relationship between ESAL classes, as given in the bid items for Superpave mixtures, and 20-year ESAL ranges as follows:

	Number of Gyrations			
Class	ESAL's (millions)	$N_{ m initial}$	$N_{ m design}$	$N_{ m max}$
2	< 3.0	6	50	75
3	3.0 to < 30.0	7	75	115
4	≥ 30.0	8	100	160

SUBSECTION: 403.03.09 Leveling and Wedging, and Scratch Course.

PART: A) Leveling and Wedging.

REVISION: Replace the first sentence of the first paragraph with the following:

Conform to the gradation requirements (control points) of AASHTO M 323 for base, binder, or surface as the Engineer directs.

SUBSECTION: 403.03.09 Leveling and Wedging, and Scratch Course.

PART: | B) Scratch Course.

REVISION: Replace the second sentence of the first paragraph with the following:

Conform to the gradation requirements (control points) of AASHTO M 323 for base, binder, or surface as the Engineer directs.

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CLIDGECTION	407 01 DESCRIPTION
SUBSECTION:	407.01 DESCRIPTION.
REVISION:	Replace the first sentence of the paragraph with the following:
	Construct a pavement wedge composed of a hot-mixed or warm-mixed asphalt mixture.
SUBSECTION:	409.01 DESCRIPTION.
REVISION:	Replace the first sentence of the paragraph with the following:
	Use reclaimed asphalt pavement (RAP) from Department projects or other approved sources in hot mix asphalt (HMA) or warm mix asphalt (WMA) provided mixture requirements are satisfied.
SUBSECTION:	410.01 DESCRIPTION.
REVISION:	Delete the second sentence of the paragraph.
SUBSECTION:	410.03.01 Corrective Work.
REVISION:	Replace the last sentence of the paragraph with the following:
	Provide a final surface comparable to the adjacent pavement that does not require corrective work in
	respect to texture, appearance, and skid resistance.
SUBSECTION:	410.03.02 Ride Quality.
PART:	B) Requirements.
· ·	
NUMBER:	1) Category A.
REVISION:	Replace the last sentence of the first paragraph with the following:
	At the Department's discretion a pay deduction of \$1200 per 0.1 lens mile section may be applied in
	At the Department's discretion, a pay deduction of \$1200 per 0.1-lane-mile section may be applied in lieu of corrective work.
	lieu of confective work.
SUBSECTION:	410.03.02 Ride Quality.
PART:	B) Requirements.
	2) Category B.
NUMBER: REVISION:	
REVISION:	Replace the second and third sentence of the first paragraph with the following:
	When the IRI is greater than 90 for a 0.1-mile section, perform corrective work, or remove and replace
	the pavement to achieve the specified IRI. At the Department's discretion, a pay deduction of \$750 per
	0.1-lane-mile section may be applied in lieu of corrective work.
	0.1-tane-time section may be applied in new of corrective work.
SUBSECTION:	410.05 PAYMENT.
REVISION:	Add the following sentence to the end of the first paragraph:
TILL VIDIOIN.	1244 are 1010 wing believed to the end of the first paragraph.
	The sum of the pay value adjustments for ride quality shall not exceed \$0 for the project as a whole.
	The same of the pay that adjustments for five quality shall not encode 40 for the project as a whole.
SUBSECTION:	413.05.02 CL3 SMA BASE 1.00D PG76-22.
REVISION:	Insert the following sentence between the first and second sentence of the first paragraph:
112 / 101011	most are rolls wing sentence octaven are first and second sentence of the first paragraph.
	The Department will calculate the Lot Pay Adjustment using all possible incentives and disincentives
	but will not allow the overall pay value for a lot to exceed 1.00.
L	

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SUBSECTION: 413.05.02 CL3 SMA BASE 1.00D PG 76-22. TABLE: JOINT DENSITY TABLE

REVISION: Replace the joint density table with the following:

LANI	E DENSITY
Pay Value	Test Result (%)
1.05	95.0-96.5
1.00	93.0-94.9
0.95	92.0-92.9 or 96.6-97.0
0.90	91.0-91.9 or 97.1-97.5
(1)	< 91.0 or > 97.5

SUBSECTION: 413.05.03 CL3 SMA SURF 0.50A PG76-22 and CL3 SMA SURF 0.38A PG76-22.

REVISION: Insert the following sentence between the first and second sentence of the first paragraph:

> The Department will calculate the Lot Pay Adjustment using all possible incentives and disincentives but will not allow the overall pay value for a lot to exceed 1.00.

413.05.03 CL3 SMA SURF 0.50A PG76-22 and CL3 SMA SURF 0.38A PG76-22. **SUBSECTION:**

TABLE: JOINT DENSITY TABLE

REVISION: Replace the joint density table with the following:

	DENSITY	
Pay Value	Lane Density	Joint Density
	Test Result (%)	Test Result (%)
1.05	95.0-96.5	92.0-96.0
1.00	93.0-94.9	90.0-91.9
0.95	92.0-92.9 or 96.6-97.0	89.0-89.9 or 96.1-96.5
0.90	91.0-91.9 or 97.1-97.5	88.0-88.9 or 96.6-97.0
0.75		< 88.0 or > 97.0
(1)	< 91.0 or > 97.5	

SUBSECTION: 501.05.02 Ride Quality.

Add the following sentence to the end of the first paragraph: **REVISION:**

The sum of the pay value adjustments for the ride quality shall not exceed \$0 for the project as a whole.

SUBSECTION: 505.03.04 Detectable Warnings.

Replace the first sentence with the following: **REVISION:**

Install detectable warning pavers at all sidewalk ramps and on all commercial entrances according to the

Standard Drawings.

SUBSECTION:	505.04.04 Detectable Warnings.
REVISION:	Replace the paragraph with the following:
	The Department will measure the quantity in square feet. All retrofit applications for maintenance projects will require the removal of existing sidewalks to meet the requirements of the standard drawings applicable to the project. The cost associated with the removal of the existing sidewalk will be incidental to the detectable warnings bid item or incidental to the bid item for the construction of the concrete sidewalk unless otherwise noted.
SUBSECTION:	505.05 PAYMENT.
REVISION:	Add the following to the bid item table:
	CodePay ItemPay Unit23158ES505Detectable WarningsSquare Foot
SUBSECTION:	509.01 DESCRIPTION.
REVISION:	Replace the second paragraph with the following:
	The Department may allow the use of similar units that conform to the National Cooperative Highway Research Program (NCHRP) 350 Test Level 3 (TL-3) requirements and the typical features depicted by the Standard Drawings. Obtain the Engineers approval prior to use. Ensure the barrier wall shape, length, material, drain slot dimensions and locations typical features are met and the reported maximum deflection is 3 feet or less from the NCHRP 350 TL-3 for Test 3 – 11 (pickup truck impacting at 60 mph at a 25-degree angle.)
SUBSECTION: REVISION:	601.03.02 Concrete Producer Responsibilities. Add the following to the first paragraph:
	If a concrete plant becomes unqualified during a project and there are no other qualified plants in the region, the Department will provide qualified personnel to witness and ensure the producer follows the required specifications. The Department will assess the Contractor a \$100 per hour charge for this service.
SUBSECTION:	606.02.11 Coarse Aggregate.
REVISION:	Replace with the following:
	Conform to Section 805, size No. 8 or 9-M.
SUBSECTION:	609.04.06 Joint Sealing.
REVISION:	Replace Subsection 601.04 with the following:
	Subsection 606.04.08.
SUBSECTION:	609.05 Payment.
REVISION:	Replace the Pay Unit for Joint Sealing with the following:
	See Subsection 606.05.
SUBSECTION:	701.03.06 Initial Backfill.
REVISION:	Replace the first sentence of the last paragraph with the following:
	When the Contract specifies, perform quality control testing to verify compaction according to KM 64-512.

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SUBSECTION:

701.03.08 Testing of Pipe.

REVISION:

Replace and rename the subsection with the following:

701.03.08 Inspection of Pipe. The engineer will visually inspect all pipe. The Department will require camera/video inspection on a minimum of 50 percent of the linear feet of all installed pipe structures. Conduct camera/video inspection according to KM 64-114. The pipe to be installed under pavement will be selected first. If the total linear feet of pipe under pavement is less than 50 percent of the linear feet of all pipe installed, the Engineer will randomly select installations from the remaining pipe structures on the project to provide for the minimum inspection requirement. The pipe will be selected in complete runs (junction-junction or headwall-headwall) until the total linear feet of pipe to be inspected is at least 50 percent of the total linear feet of all installed pipe on the project.

Unless the Engineer directs otherwise, schedule the inspections no sooner than 30 days after completing the installation and completion of earthwork to within 1 foot of the finished subgrade. When final surfacing conflicts with the 30-day minimum, conduct the inspections prior to placement of the final surface. The contractor must ensure that all pipe are free and clear of any debris so that a complete inspection is possible.

Notify the Engineer immediately if distresses or locations of improper installation are discovered. When camera testing shows distresses or improper installation in the installed pipe, the Engineer may require additional sections to be tested. Provide the video and report to the Engineer when testing is complete in accordance with KM 64-114.

Pipes that exhibit distress or signs of improper installation may necessitate repair or removal as the Engineer directs. These signs include, but are not limited to: deflection, cracking, joint separation, sagging or other interior damage. If corrugated metal or thermoplastic pipes exceed the deflection and installation thresholds indicated in the table below, provide the Department with an evaluation of each location conducted by a Professional Engineer addressing the severity of the deflection, structural integrity, environmental conditions, design service life, and an evaluation of the factor of safety using Section 12, "Buried Structures and Tunnel Liners," of the AASHTO LRFD Bridge Design Specifications. Based on the evaluation, the Department may allow the pipe to remain in place at a reduced unit price as shown in the table below. Provide 5 business days for the Department to review the evaluation. When the pipe shows deflection of 10 percent or greater, remove and replace the pipe. When the camera/video or laser inspection results are called into question, the Department may require direct measurements or mandrel testing.

The Cabinet may elect to conduct Quality Assurance verifications of any pipe inspections.

SUBSECTION:

701.04.07 Testing.

REVISION:

Replace and rename the subsection with the following:

701.04.07 Pipeline Video Inspection. The Department will measure the quantity in linear feet along the pipe invert of the structure inspected. When inspection above the specified 50 percent is performed due to a disagreement or suspicion of additional distresses and the Department is found in error, the Department will measure the quantity as Extra Work according to Subsection 104.03. However, if additional distresses or non-conformance is found, the Department will not measure the additional inspection for payment.

SUBSECTION:

701.05 PAYMENT.

REVISION:

Add the following pay item to the list of pay items:

<u>Code</u> <u>Pay Item</u>

23131ER701 Pipeline Video Inspection

Pay Unit Linear Foot

TABLE: REVISION: PIPE DEFLECTION DETERMINED BY CAMERA TESTING Replace this table with the following table and note: PIPE DEFLECTION Amount of Deflection (%) Payment 0.0 to 5.0 100% of the Unit Bid Price 5.1 to 9.9 50% of the Unit Bid Price 10 or greater Remove and Replace Provide Structural Analysis as indicated above. Based on the structural analysis, pipe may be allowed to remain in place at the reduced unit price. SUBSECTION: TABLE: PIPE DEFLECTION DETERMINED BY MANDREL TESTING Delete this table. SUBSECTION: REVISION: Replace with the following: Conform to Section 842 and Section 846. SUBSECTION: Replace the first sentence of the second paragraph with the following: On interstates and parkways, and other routes approved by the State Highway Engineer, install pavements in the total of inches in wind the power.	SUBSECTION:	701.05 PAYMENT			
PIPE DEFLECTION Amount of Deflection (%) Payment 0.0 to 5.0 100% of the Unit Bid Price 5.1 to 9.9 50% of the Unit Bid Price 10 or greater Remove and Replace (1) Provide Structural Analysis as indicated above. Based on the structural analysis, pipe may be allowed to remain in place at the reduced unit price. SUBSECTION: TABLE: REVISION: REVI	·			ING	
Amount of Deflection (%) Quantity of Deflection (%) Remove and Replace Provide Structural Analysis as indicated above. Based on the structural analysis, pipe may be allowed to remain in place at the reduced unit price. SUBSECTION: TABLE: REVISION: TABLE: REVISION: T13.02.01 Paint. Replace with the following: Conform to Section 842 and Section 846. SUBSECTION: REVISION: REVISION: REVISION: On interstates and parkways, and other routes approved by the State Highway Engineer, install pavement.	REVISION:	Replace this table with the following	ig table and note.		
0.0 to 5.0 100% of the Unit Bid Price 5.1 to 9.9 10 or greater Remove and Replace (1) Provide Structural Analysis as indicated above. Based on the structural analysis, pipe may be allowed to remain in place at the reduced unit price. SUBSECTION: TABLE: REVISION: PIPE DEFLECTION DETERMINED BY MANDREL TESTING Delete this table. SUBSECTION: REVISION: REVISION: REVISION: REVISION: REVISION: REVISION: Replace the first sentence of the second paragraph with the following: On interstates and parkways, and other routes approved by the State Highway Engineer, install paverner.			PIPE DEFLECT	ON	
5.1 to 9.9 10 or greater Remove and Replace (1) Provide Structural Analysis as indicated above. Based on the structural analysis, pipe may be allowed to remain in place at the reduced unit price. SUBSECTION: TABLE: PIPE DEFLECTION DETERMINED BY MANDREL TESTING Delete this table. SUBSECTION: 713.02.01 Paint. REVISION: Replace with the following: Conform to Section 842 and Section 846. SUBSECTION: 713.03 CONSTRUCTION. REVISION: Replace the first sentence of the second paragraph with the following: On interstates and parkways, and other routes approved by the State Highway Engineer, install pavement.		Amount of Deflection (9	%) Pa	nyment	
10 or greater Remove and Replace (1) Provide Structural Analysis as indicated above. Based on the structural analysis, pipe may be allowed to remain in place at the reduced unit price. SUBSECTION: 701.05 PAYMENT PIPE DEFLECTION DETERMINED BY MANDREL TESTING Delete this table. SUBSECTION: 713.02.01 Paint. REVISION: Replace with the following: Conform to Section 842 and Section 846. SUBSECTION: 713.03 CONSTRUCTION. REVISION: Replace the first sentence of the second paragraph with the following: On interstates and parkways, and other routes approved by the State Highway Engineer, install pavement.		0.0 to 5.0	10	00% of the Unit Bid Price	
(1) Provide Structural Analysis as indicated above. Based on the structural analysis, pipe may be allowed to remain in place at the reduced unit price. SUBSECTION: 701.05 PAYMENT TABLE: PIPE DEFLECTION DETERMINED BY MANDREL TESTING Delete this table. SUBSECTION: 713.02.01 Paint. REVISION: Replace with the following: Conform to Section 842 and Section 846. SUBSECTION: 713.03 CONSTRUCTION. REVISION: Replace the first sentence of the second paragraph with the following: On interstates and parkways, and other routes approved by the State Highway Engineer, install pavements.		5.1 to 9.9	50	0% of the Unit Bid Price (1)	
SUBSECTION: 701.05 PAYMENT TABLE: PIPE DEFLECTION DETERMINED BY MANDREL TESTING Delete this table. SUBSECTION: 713.02.01 Paint. REVISION: Replace with the following: Conform to Section 842 and Section 846. SUBSECTION: 713.03 CONSTRUCTION. REVISION: Replace the first sentence of the second paragraph with the following: On interstates and parkways, and other routes approved by the State Highway Engineer, install pavements.		10 or greater	R	emove and Replace	
TABLE: PIPE DEFLECTION DETERMINED BY MANDREL TESTING Delete this table. SUBSECTION: 713.02.01 Paint. REVISION: Replace with the following: Conform to Section 842 and Section 846. SUBSECTION: 713.03 CONSTRUCTION. REVISION: Replace the first sentence of the second paragraph with the following: On interstates and parkways, and other routes approved by the State Highway Engineer, install pavements.		allowed to remain in place at the		ed on the structural analysis, pipe may	, be
REVISION: Delete this table. SUBSECTION: 713.02.01 Paint. REVISION: Replace with the following: Conform to Section 842 and Section 846. SUBSECTION: 713.03 CONSTRUCTION. REVISION: Replace the first sentence of the second paragraph with the following: On interstates and parkways, and other routes approved by the State Highway Engineer, install pavements.				TD 10	
SUBSECTION: 713.02.01 Paint. REVISION: Replace with the following: Conform to Section 842 and Section 846. SUBSECTION: 713.03 CONSTRUCTION. REVISION: Replace the first sentence of the second paragraph with the following: On interstates and parkways, and other routes approved by the State Highway Engineer, install pavements.			ED BY MANDREL TES	TING	
Conform to Section 842 and Section 846. SUBSECTION: 713.03 CONSTRUCTION. REVISION: Replace the first sentence of the second paragraph with the following: On interstates and parkways, and other routes approved by the State Highway Engineer, install pavements.					
SUBSECTION: 713.03 CONSTRUCTION. REVISION: Replace the first sentence of the second paragraph with the following: On interstates and parkways, and other routes approved by the State Highway Engineer, install pavements	REVISION:	Replace with the following:			
REVISION: Replace the first sentence of the second paragraph with the following: On interstates and parkways, and other routes approved by the State Highway Engineer, install pavements		Conform to Section 842 and Section	on 846.		
On interstates and parkways, and other routes approved by the State Highway Engineer, install pavement	SUBSECTION:	713.03 CONSTRUCTION.			
	REVISION:	Replace the first sentence of the se	cond paragraph with the	following:	
striping that is 6 inches in width.		On interstates and parkways, and o striping that is 6 inches in width.	ther routes approved by t	he State Highway Engineer, install paver	nent
SUBSECTION: 713.03.03 Paint Application.					
REVISION: Replace the second paragraph with the following table:	REVISION:	Replace the second paragraph with	the following table:		
Material Paint Application Rate Glass Beads Application Rate		Material	Paint Application Rat	e Glass Beads Application Ra	ite
4 inch waterborne paint Min. of 16.5 gallons/mile Min. of 6 pounds/gallon			Min. of 16.5 gallons/m	ile Min. of 6 pounds/gallon	
6 inch waterborne paint Min. of 24.8 gallons/mile Min. of 6 pounds/gallon			Min. of 24.8 gallons/mi	le Min. of 6 pounds/gallon	
6 inch durable waterborne paint Min. of 36 gallons/mile Min. of 6 pounds/gallon		6 inch durable waterborne paint	Min. of 36 gallons/mile	Min. of 6 pounds/gallon	
SUBSECTION: 713.03.04 Marking Removal. REVISION: Replace the last sentence of the paragraph with the following:			ragraph with the followin	g:	
Vacuum all marking material and removal debris concurrently with the marking removal operation.		Vacuum all marking material and r	removal debris concurren	tly with the marking removal operation.	
SUBSECTION: 713.05 PAYMENT.					
REVISION: Insert the following codes and pay items below the Pavement Striping – Permanent Paint:	REVISION:	Insert the following codes and pay	items below the Pavemen	nt Striping – Permanent Paint:	
Code Pay Item Pay Unit		Code Pay Item		Pay I Init	
23159EN Durable Waterborne Marking – 6 IN W Linear Foot			rne Marking – 6 IN W		
23160EN Durable Waterborne Marking – 6 IN Y Linear Foot		23160EN Durable Waterbo	rne Marking – 6 IN Y	Linear Foot	
SUBSECTION: 714.03 CONSTRUCTION.	SURSECTION:	714 03 CONSTRUCTION			
REVISION: Insert the following paragraph at the end of the third paragraph:			ne end of the third paragra	aph:	
Use Type I Tape for markings on bridge decks, JPC pavement and JPC intersections. Thermoplastic should only be used for markings on asphalt pavement.				ent and JPC intersections. Thermoplastic	

SUBSECTION:	714.03.07 Marking Removal.
REVISION:	Replace the third sentence of the paragraph with the following:
KE VISION.	Replace the tilled sentence of the paragraph with the following.
	Vacuum all marking material and removal debris concurrently with the marking removal operation.
SUBSECTION:	716.01 DESCRIPTION.
REVISION:	Insert the following after the first sentence:
	Energize lighting as soon as it is fully functional and ready for inspection. Ensure that lighting remains operational until the Division of Traffic Operations has provided written acceptance of the electrical work.
	WOIK.
SUBSECTION:	716.02.01 Roadway Lighting Materials.
REVISION:	Replace the third sentence of the paragraph with the following:
	Submit for material approval an electronic file of descriptive literature, drawings, and any requested
	design data.
OELOPIOS:	717 THEDMODI ACTIC INTERCECTION MARKINGS
SECTION: REVISION:	717 – THERMOPLASTIC INTERSECTION MARKINGS. Replace the section name with the following:
KEVISION:	Replace the section name with the following.
	INTERSECTION MARKINGS.
SUBSECTION:	717.01 DESCRIPTION:
REVISION:	Replace the paragraph with the following:
	Furnish and install thermoplastic or Type I tape intersection markings (Stop Bars, Crosswalks, Turn
	Arrows, etc.) Thermoplastic markings may be installed by either a machine applied, screed extrusion
	process or by applying preformed thermoplastic intersection marking material.
SUBSECTION:	717.02 MATERIALS AND EQUIPMENT.
REVISION:	Insert the following subsection:
	717.02.06 Type I Tape. Conform to Section 836.
SUBSECTION:	717.03.03 Application.
REVISION:	Insert the following part to the subsection:
	B) Type I Tape Intersection Markings. Apply according to the manufacturer's recommendations. Cut
	all tape at pavement joints when applied to concrete surfaces.
	and the first second se
SUBSECTION:	717.03.05 Proving Period.
PART:	A) Requirements.
REVISION:	Insert the following to this section:
	2) Type I Tage. Dyning the province posited encrys that the province province protocol sharps as signs
	2) Type I Tape. During the proving period, ensure that the pavement marking material shows no signs of failure due to blistering, excessive cracking, bleeding, staining, discoloration, oil content of the
	pavement materials, drippings, chipping, spalling, poor adhesion to the pavement, loss of
	retroreflectivity, vehicular damage, and normal wear. Type I Tape is manufactured off site and
	warranted by the manufacturer to meet certain retroreflective requirements. As long as the material is
	adequately bonded to the surface and shows no signs of failure due to the other items listed in
	Subsection 714.03.06 A) 1), retroreflectivity readings will not be required. In the absence of readings,
	the Department will accept tape based on a nighttime visual observation.

SUBSECTION:	717.03.06 Marking Removal.	1 3 4 6 11 1	
REVISION:	Replace the third sentence of the	e paragraph with the following:	
	Vacuum all marking material ar	nd removal debris concurrently with the marking remo	oval operation.
SUBSECTION:	717.05 PAYMENT.		
REVISION:	Insert the following bid item co	des:	
	Code 06563 20782NS714 23251ES717, 23264ES717 23252ES717, 23265ES717 23253ES717 23254ES717 23255ES717 23268ES717-23270ES717 23256ES717	Pay Unit Pave Marking – R/R X Bucks 16 IN Pave Marking Thermo – Bike Pave Mark TY I Tape X-Walk, Size Pave Mark TY I Tape Stop Bar, Size Pave Mark TY I Tape Cross Hatch Pave Mark TY I Tape Dotted Lane Extension Pave Mark TY I Tape Arrow, Type Pave Mark TY I Tape- ONLY	Pay Item Linear Foot Each Linear Foot Linear Foot Square Foot Linear Foot Each
	23257ES717	Pave Mark TY I Tape- SCHOOL	Each
	23266ES717	Pave Mark TY 1 Tape R/R X Bucks-16 IN	Linear Foot
	23267ES717	Pave Mark TY 1 Tape-Bike	Each
SUBSECTION: REVISION:		with the following: oved Materials includes the Aggregate Source List, the gate Sources, and the Concrete Restriction List.	e list of Class A and
SUBSECTION: REVISION:	805.04 CONCRETE. Replace the "AASHTO T 160"	reference in first sentence of the third paragraph with	"KM 64-629"
SUBSECTION: TABLE: PART: REVISION:	AGGREGATE SIZE USE Cement Concrete Structures and	TANCE OF NON-SPECIFICATION COARSE AGO I Incidental Construction g Overlays" with "8 or 9-M for Waterproofing Overla	

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SUBSECTION: 805.15 GRADATION ACCEPTANCE OF NON-SPECIFICATION COARSE AGGREGATE. **REVISION:** Replace the "SIZES OF COARSE AGGREGATES" table in with the following:

					S	IZES (SIZES OF COARSE AGGREGATES	RSE A	GREG	ATES							
	Sieve		Α	MOUNT	S FINER TH	AN EACI	AMOUNTS FINER THAN EACH LABORATORY SIEVE (SQUARE OPENINGS) PERCENTAGE BY WEIGHT	IORY SII	EVE (SQU,	ARE OPEN	INGS) PEI	RCENTAG	EBY WEI	GHT			
Aggregate Size	Nominal (3) Maximum Aggregate Size	4 inch	3 1/2 inch	3 inch	2 1/2 inch	2 inch	1 1/2 inch	1 inch	3/4 inch	1/2 inch	3/8 inch	No. 4	No. 8	No. 16	No. 30	No. 100	No. 200
1	3 1/2 inch	100	90-100		25-60		0-15		0-5								
2	2 1/2 inch			100	90-100	35-70	0-15		0-5								
23	2 inch			100		40-90		0-15		5-0							
3	2 inch				100	90-100	35-70	0-15		6-0							
357	2 inch				100	95-100		35-70		0.5-01		0-5					
4	1 1/2 inch					100	90-100	20-55	0-15		0-5						
467	1 1/2 inch					100	95-100		35-70		10-30	0-5					
5	1 inch						100	90-100	20-55	0-10	0-5						
57	1 inch						100	95-100		25-60		0-10	0-5				
610	1 inch						100	85-100		40-75		15-40					
67	3/4 inch							100	90-100		20-55	0-10	0-5				
68	3/4 inch							100	90-100		30-65	5-25	0-10	0-5			
710	3/4 inch							100	80-100		30-75	0-30					
78	1/2 inch								100	90-100	40-75	5-25	0-10	0-5			
8	3/8 inch									100	85-100	10-30	0-10	0-5			
9-M	3/8 inch									100	75-100	0-25	0-5				
10 ⁽²⁾	No. 4										100	85-100				10-30	
11 ⁽²⁾	No. 4										100	40-90	10-40			0-5	
DENSE GRADED AGGREGATE (I)	3/4 inch							100	70-100		50-80	30-65			10-40		413
CRUSHED STONE BASE (1)	1 ½ inch				100		90-100		60-95		30-70	15-55			5-20		0-8

Note: The Department will allow blending of same source/same type aggregate when precise procedures are used such as cold feed, belt, or equivalent and combining of sizes or types of aggregate using the weigh hopper at concrete plants or controlled feed belts at the pugmill to obtain designated sizes.

Gradation performed by wet sieve KM 64-620 or AASHIO T 11/1 2/.
Sizes shown for convenience and are not to be considered as coarse aggregates.

Nominal Maximum Size is the largest sieve on the gradation table for an aggregate size on which any material may be retained.

SUBSECTION:	805.16 SAMPLING AND TESTING.
REVISION:	Replace the "AASHTO T 160" method with the "KM 64-629" method for the Concrete Beam Expansion
KE VISION:	•
	Test.
	D. I. d. #167716 D. 20107 d. 1. 11. 11. 11. 11. 11. 11. 11. 1
	Replace the "ASTM D 3042" method with the "KM 64-625" method for Insoluble Residue.
SUBSECTION:	810.04.01 Coating Requirements.
REVISION:	Replace the "Subsection 806.07" references with "Subsection 806.06"
SUBSECTION:	810.06.01 Polyvinyl Chloride (PVC) Pipe.
PART:	B) Culvert and Entrance Pipe.
REVISION:	Replace the title with the following:
	B) Culvert Pipe, Storm Sewer, and Entrance Pipe.
	b) Curveter spe, Storm Sewer, and Emande ripe.
SUBSECTION:	837.03 APPROVAL.
REVISION:	
VEATOION:	Replace the last sentence with the following:
	The Department will comple and evaluate for approval each let of the appropriate material delicery of firm
	The Department will sample and evaluate for approval each lot of thermoplastic material delivered for
	use per contract prior to installation of the thermoplastic material. Do not allow the installation of
	thermoplastic material until it has been approved by the Division of Materials. Allow the Department a
	minimum of 10 working days to evaluate and approve thermoplastic material.
GTID GT	227 22 24 2
SUBSECTION:	837.03.01 Composition.
REVISION:	COMPOSITION Table:
	Replace
	Lead Chromate 0.0 max. 4.0 min.
	with
	Heavy Metals Content Comply with 40 CFR 261
SECTION:	DIVISION 800 MATERIAL DETAILS
REVISION:	Add the following section in Division 800
	SECTION 846 – DURABLE WATERBORNE PAINT
	846.01 DESCRIPTION. This section covers quick-drying durable waterborne pavement striping paint
	for permanent applications. The paint shall be ready-mixed, one-component, 100% acrylic waterborne
	striping paint suitable for application on such traffic-bearing surfaces as Portland cement concrete,
	bituminous cement concrete, asphalt, tar, and previously painted areas of these surfaces.
	846.02 Approval. Select materials that conform to the composition requirements below. Provide
	independent analysis data and certification for each formulation stating the total concentration of each
	heavy metal present, the test method used for each determination, and compliance to 40 CFR 261 for
	leachable heavy metals content. Submit initial samples for approval before beginning striping
	operations. The initial sample may be sent from the manufacture of the paint. The Department will
	randomly sample and evaluate the paint each week that the striping operations are in progress.
	The non-volatile portion of the vehicle shall be composed of a 100% acrylic polymer as
	determined by infrared spectral analysis. The acrylic resin used shall be a 100% cross-linking acrylic as
	evidenced by infrared peaks at wavelengths 1568, 1624, and 1672 cm-1 with intensities equal to those
	produced by an acrylic resin known to be 100% cross-linking.

Supplemental Specifications to The Standard Specifications for Road and Bridge Construction, 2008 Edition

(Effective with the April 23, 2010 Letting)

DATNIT COMPOSITION				
Property and Test Method	PAINT COMPOSITION Yellow	White		
Daytime Color (CIELAB)	L* 81.76	L* 93.51		
Spectrophotometer using	a* 19.79	a* -1.01		
illuminant D65 at 45°	b* 89.89	b* 0.70		
illumination and 0° viewing with	Maximum allowable variation	Maximum allowable variation		
a 2° observer	2.0• E*	2.0• E*		
Nighttime Color (CIELAB)	L* 86.90	L* 93.45		
Spectrophotometer using	a* 24.80	a* -0.79		
illuminant A at 45° illumination	b* 95.45	b* 0.43		
and 0° viewing with a 2° observer	Maximum allowable variation	Maximum allowable variation		
	2.0• E*	2.0• E*		
Heavy Metals Content	Comply with 40 CFR 261	Comply with 40 CFR 261		
Titanium Dioxide	NA	10% by weight of pigment		
ASTM D 4764		min.		
VOC	1.25 lb/gal max.	1.25 lb/gal max.		
ASTM D 2369 and D 4017				
Contrast Ratio	0.97	0.99		
(at 15 mils wft)				

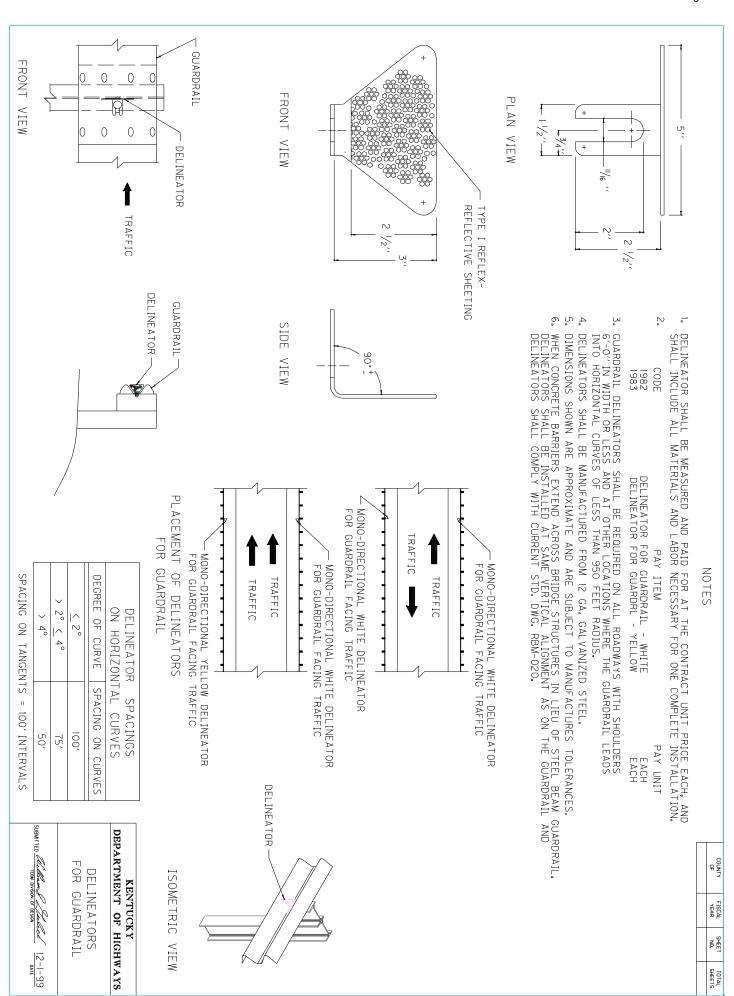
846.02.01 Manufacturers Certification. Provide a certification of analysis for each lot of traffic paint produced stating conformance to the requirements of this section. Report the formulation identification, traffic paint trade name, color, date of manufacturer, total quantity of lot produced, actual quantity of traffic paint represented, sampling method utilized to obtain the samples, and data for each sample tested to represent each lot produced.

846.03 ACCEPTANCE PROCEDURES FOR NON-SPECIFICATION DURABLE WATERBORNE PAVEMENT STRIPING PAINT. When non-specification paint is inadvertently incorporated into the work the Department will accept the material with a reduction in pay. The percentage deduction is cumulative based on its compositional properties, but will not exceed 60 percent. The Department will calculate the payment reduction on the unit bid price for the routes where the non-specification paint was used.

DURABLE WATERBORNE PAVEMENT STRIPING PAINT REDUCTION SCHEDULE						
Non- conforming Property	Resin	Color	Contrast	TiO ₂	VOC	Heavy Metals Content
Reduction Rate	60%	10%	10%	10%	60%	60%

STANDARD DRAWINGS THAT APPLY

GUARDRAIL TRANSITION FROM NORMAL SHOULDER TO NARROW BRIDGE	RBB-010-04
TYPICAL GUARDRAIL INSTALLATIONS	RBI-001-09
TYPICAL GUARDRAIL INSTALLATIONS	RBI-002-06
INSTALLATION OF GUARDRAIL END TREATMENT TYPE 1	RBI-004-03
STEEL BEAM GUARDRAIL (W-BEAM)	RBR-001-11
GUARDRAIL COMPONENTS	
GUARDRAIL TERMINAL SECTIONS	RBR-010-05
GUARDRAIL POSTS	RBR-015-04
GUARDRAIL POSTS	
GUARDRAIL END TREATMENT TYPE 1	RBR-020-03
GUARDRAIL END TREATMENT TYPE 7	RBR-050-05
CHANNEL LINING CLASS II AND III	RDD-040-04
PIPE BEDDING FOR CULVERTS, ENTRANCE AND STORM SEWER PIPE	RDI-020-08
PIPE BEDDING FOR CULVERTS, ENTRANCE AND STORM SEWER REINFORCEI)
CONC. PIPE	
PIPE BEDDING, TRENCH CONDITION	
PIPE BEDDING, TRENCH CONDITION REINFORCED CONC. PIPE	RDI-026
COATINGS, LININGS AND PAVINGS FOR NON-STRUCTURAL PLATE PIPE	
SILT TRAP - TYPE A	RDX-220-04
SILT TRAP - TYPE B	RDX-225
SILT TRAP - TYPE C	
CURVE WIDENING AND SUPERELEVATION TRANSITIONS	RGS-001-06
MISCELLANEOUS STANDARDS PART 1	
ONE POINT PROCTER FAMILY OF CURVES	
APPROACHES, ENTRANCES, AND MAIL BOX TURNOUT	RPM-110-05
NETTING	
LANE CLOSURE TWO-LANE HIGHWAY CASE I	
LANE CLOSURE TWO-LANE HIGHWAY CASE II	TTC-105-01
SHOULDER CLOSURE	TTC-135-01
POST SPLICING DETAIL	
WORK ZONE SPEED LIMIT AND DOUBLE FINE SIGNS	TTD-120



LEN COUN				Contract ID:
SIP-9010 00 11EW NO. SHEEL OO	TH HELICAL DED SEAM (HELICAL CORR.) TH LONGITUDINAL EAM (ANNULAR CORR.)) Y PIPE WITH HELICAL PIPE	INUED FOR CULVERTS, ENTRANCE, NC. PIPE" AND DETAIL SHEET REINFORCED CONC. PIPE" FOR REQUIREMENTS.		ENTUCKY KENTUCKY DEPARTMENT OF HIGHWAYS CULVERT, ENTRANCE & STORM SEWER PIPE TYPES & COVER HEIGHTS APPROVED APPROVED OPPOORT
	CSPHS: CORRUGATED STEEL PIPE WITH HELICAL COCK SEAM OR HELICAL WELDED SEAM (HELICAL C CSPLS: CORRUGATED STEEL PIPE WITH LONGITUDINAL RIVETED OR SPOT WELDED SEAM (ANNULAR CORR.) CAPHS: CORRUGATED ALUMINUM ALLOY PIPE WITH HELICAL LOCK SEAM (HELICAL CORR.) HDPE: HIGH DENSITY POLYETHYLENE PIPE PVC: POLYVINYL CHLORIDE SRS: SPIRAL RIB STEEL SRA: SPIRAL RIB ALUMINUM	1. <u></u>		5 TO 9. PE. SHEET 2 OF 8
TS IN FEET (3) 40- 45- 50- 55- 60- 45 50 55 60 65	12 GA. 12 GA. C3. 12 GA. C4. C5. 10 GA. C5. C5. C6. 10 GA. C5. C5. C5. C5. C5. C5. C5. C5. C5. C5	12 GA. 10	12 GA. 10	UGES OF VIER ET.
CIRCULAR PIPE COVER HEIGHT - 15- 20- 25- 30- 35- 40	16 GA. 12 GA. 16 GA. 16 GA. 16 GA. 16 GA. 17 GA. 18 GA. 19	12 GA. 10 GA. 12 GA. 14 GA. 15 GA. 15 GA. 16 GA. 16 GA. 17 GA. 18 GA. 19 GA. 1	GA. 12 GA. 12 GA. 14 GA. 16 GA. 17 GA. 18 GA. 19 GA	NOTES FOR CORRUGATED STEEL PIPE ITEMS SHOWN ARE BASED ON ALUMINUM-COATED TYPE 2 AS PER AASHTO M-274. ALUMINUM COATED TYPE 2 STEEL IS ONLY PERMITTED IN Ph RANGES SHOWN IN THE TABLES. URRENT STANDARD DRAWING RDI-OOI FOR EXPLANATION OF COVER HEIGHTS LESS THAN 2 FEET. CAP, SRS AND SRA ARE SHOWN IN GAGE. AUM COVER HEIGHT MEASURED FROM TOP OF PIPE TO SUB GRADE ELEVATION SHALL GOVERN OF PIPE TO BE USED FOR ENTIRE LENGTH OF PIPE INSTALLATION. UM COVER HEIGHT FOR ENTRANCE PIPE SHALL BE 0.5 FEET. SIRCULAR STRUCTURAL PLATE SHALL BE 5% VERTICALLY ELONGATED. SURRENT STANDARD DRAWING RDI-O35 FOR COATINGS, LININGS AND PAVINGS FOR NON-STRUCTURAL
2- 5- 10	293.**/2" CSPHS(1) 293.**/2" CSPLS(1) 293.**/2" CAPHS 1- 293.**/2" CAPHS 1- 283.**/2" CAPHS 1- 1- 1- 1- 1- 1- 1- 1- 1- 1- 1- 1- 1-	2%1"x1/2" CSPHS(1) 2%3"x1/2" CSP	29/3 "x 1/2" CSPHS (1) 29/3 "x 1/2" CSPLS (1) 29/3 "x 1/2" CAPHS SRS (1) SRA HOPE RCP (10) 10- 11- 12- 13- 14- 15- 14- 16- 16- 16- 16- 16- 16- 16- 16- 16- 16	GAGES FOR CORRUCATED STEEL PIPE ITEMS SHOWN ARE BASED ON ALUMINUM-COATED TYPE 2 STEEL AS PER AASHTO M-274. ALUMINUM COATED TYPE 2 STEEL IS ONLY PERMITTED IN Ph RAN WHEN CORRUGATED STEEL PIPE IS ZINC COATED TYPE 2 STEEL IS ONLY PERMITTED IN Ph RAN HAN SHOWN IN THE TABLES. SEE CURRENT STANDARD DRAWING RDI-OOI FOR EXPLANATION OF COVER HEIGHTS LESS THAN 2 FE CSP, CAP, SRS AND SRA ARE SHOWN IN GAGE. MAXIMUM COVER HEIGHT MEASURED FROM TOP OF PIPE TO SUB GRADE ELEVATION SHALL GOVERN GAGE OF PIPE TO BE USED FOR ENTIRE LENGTH OF PIPE INSTALLATION. MINIMUM COVER HEIGHT FOR ENTRANCE PIPE SHALL BE 0.5 FEET. ALL CIRCULAR STRUCTURAL PLATE SHALL BE 5% VERTICALLY ELONGATED. SEE CURRENT STANDARD DRAWING RDI-O35 FOR COATINGS, LININGS AND PAVINGS FOR NON-STRUCT SEE CURRENT STANDARD DRAWING RDI-O35 FOR COATINGS, LININGS AND PAVINGS FOR NON-STRUCT
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ALLEN COUNTY Contract ID: 102206 HSIP₁₉₀ 78 of 109 SEWER PIPE TYPES DEPARTMENT OF HIGHWAYS 115-120 115-04-25-SHEET CSPHS: CORRUGATED STEEL PIPE WITH HELICAL LOCK SEAM OR HELICAL WELDED SEAM (HELICAL CORR.) COVER HEIGHTS 48" PIPE - 54" PIPE -01 ITEM NO. -01 15 CSPLS: CORRUGATED STEEL PIPE WITH LONGITUDINAL RIVETED OR SPOT WELDED SEAM (ANNULAR CORR.) CAPHS: CORRUGATED ALUMINUM ALLOY PIPE WITH HELICAL LOCK SEAM (HELICAL CORR.) CULVERT & KENTUCKY TEBM ON SHOW OF BESIGN 105--50 COUNTY OF 00 105 <u></u>62 RCP: CIRCULAR REINFORCED CONCRETE PIPE STORM ంర APPROVED_ HDPE: HIGH DENSITY POLYETHYLENE PIPE 95-100 95-100 LEGEND 95 95 ω SRA: SPIRAL RIB ALUMINUM PVC: POLYVINYL CHLORIDE SHEET 3 OF SRS: SPIRAL RIB STEEL 85-90 985 10 GA. SEE DETAIL SHEET "PIPE BEDDING FOR CULVERTS, ENTRANCE, AND STORM SEWER REINFORCED CONC. PIPE" AND DETAIL SHEET "PIPE BEDDING TRENCH CONDITION REINFORCED CONC. PIPE" FOR RCP COVER HEIGHT AND BEDDING REQUIREMENTS. 80-85 80-85 CIRCULAR PIPE COVER HEIGHTS IN FEET ⁷⁵ ⁷⁵-88 ĞĀ. 10 GA. ∞ Š 55 55 0 GAGES FOR CORRUGATED STEEL PIPE ITEMS SHOWN ARE BASED ON ALUMINUM-COATED TYPE 2 STEEL AS PER AASHTO M-274. ALUMINUM COATED TYPE 2 STEEL IS ONLY PERMITTED IN Ph RANGES OF 5 TO 9. WHEN CORRUGATED STEEL PIPE IS ZINC COATED (GALVANIZED) THE GAGE SHALL BE ONE GAGE HEAVIER THAN SHOWN IN THE TABLES. 65-70 65-70 SEE CURRENT STANDARD DRAWING RDI-OOI FOR EXPLANATION OF COVER HEIGHTS LESS THAN 2 FEET. 60-65 60-65 8 GA. ω 55-60 55-60 8 GA. Ğ. MAXIMUM COVER HEIGHT MEASURED FROM TOP OF PIPE TO SUBGRADE ELEVATION SHALL GOVERN GAGE OF PIPE TO BE USED FOR ENTIRE LENGTH OF PIPE INSTALLATION. ALL CIRCULAR STRUCTURAL PLATE SHALL BE 5% VERTICALLY ELONGATED. 9 50-55 50-55 45-50 45-50 54" DIA, PIPE IS MINIMUM SIZE FOR COVER HEIGHTS GREATER THAN 65 FEET. 12 GA. 12 GA. 10 GA. 12 GA. 40-45 45 G**A.** 10 GA. ĞĀ. ĞĀ. ĞĀ. 0 ĞĀ. SEE CURRENT STANDARD DRAWING RDI-035 FOR COATINGS, LININGS AND PAVINGS FOR NON-STRUCTURAL PIPE. 2 2 ⊴ 35-40 35-40 Ğ. 0 Ğ ĞĀ. 2 2 ≥ 30-35 30-GA. 0 2 25-30 25-30 ĞĀ. 2 20-25 20-25 CSP, CAP, SRS AND SRA ARE SHOWN IN GAGE. Ğ. Ğ. NOTES 14 GA. WALL) 14 GA. 14 GA. 15-20 15-2 4 12 GA. 14 GA. 12 GA. GA. (PROFILE 14 GA. ⁻0 € GA. GA. ⁻ 5 14 GA. 4 ĞÅ. 7 2 4 - 2 5 5 RIBBED 5 2 2 2 2%3"×1/2" CSPHS(1) 2%3"×1/2" CSPLS(1) 2%3"×1/2" CAPHS SRS (1) (6 2%3"×½" CSPHS(1 2%3"×½" CSPLS(1 3"×I" CSPHS (1 23".x1/2" CAPHS 3".x1" CAPHS TYPE 3"x1" CSPLS 5"x1" CSPHS SRS SRA HDPE RCP RCP SRA PVC PIPE

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PART III

EMPLOYMENT, WAGE AND RECORD REQUIREMENTS

Contract ID: 102206

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REQUIRED CONTRACT PROVISIONS FEDERAL-AID CONSTRUCTION CONTRACTS

	Pa	age
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ATTACHMENTS

A. Employment Preference for Appalachian Contracts (included in Appalachian contracts only)

I. GENERAL

- 1. These contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.
- 2. Except as otherwise provided for in each section, the contractor shall insert in each subcontract all of the stipulations contained in these Required Contract Provisions, and further require their inclusion in any lower tier subcontract or purchase order that may in turn be made. The Required Contract Provisions shall not be incorporated by reference in any case. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with these Required Contract Provisions.
- A breach of any of the stipulations contained in these Required Contract Provisions shall be sufficient grounds for termination of the contract.
- 4. A breach of the following clauses of the Required Contract Provisions may also be grounds for debarment as provided in 29 CFR 5.12:

Section I, paragraph 2; Section IV, paragraphs 1, 2, 3, 4, and 7; Section V, paragraphs 1 and 2a through 2g.

- 5. Disputes arising out of the labor standards provisions of Section IV (except paragraph 5) and Section V of these Required Contract Provisions shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the U.S. Department of Labor (DOL) as set forth in 29 CFR 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the DOL, or the contractor's employees or their representatives.
- 6. **Selection of Labor:** During the performance of this contract, the contractor shall not:

- a. discriminate against labor from any other State, possession, or territory of the United States (except for employment preference for Appalachian contracts, when applicable, as specified in Attachment A), or
- b. employ convict labor for any purpose within the limits of the project unless it is labor performed by convicts who are on parole, supervised release, or probation.

II. NONDISCRIMINATION

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$10,000 or more.)

- 1. **Equal Employment Opportunity:** Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630 and 41 CFR 60) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The Equal Opportunity Construction Contract Specifications set forth under 41 CFR 60-4.3 and the provisions of the American Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:
- a. The contractor will work with the State highway agency (SHA) and the Federal Government in carrying out EEO obligations and in their review of his/her activities under the contract.
- b. The contractor will accept as his operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, preapprenticeship, and/or on-the-job training."

- 2. **EEO Officer:** The contractor will designate and make known to the SHA contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active contractor program of EEO and who must be assigned adequate authority and responsibility to do so.
- 3. **Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:
- a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.

- b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.
- c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minority group employees.
- d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.
- e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means
- 4. **Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minority groups in the area from which the project work force would normally be derived.
- a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minority group applicants. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority group applicants may be referred to the contractor for employment consideration.
- b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, he is expected to observe the provisions of that agreement to the extent that the system permits the contractor's compliance with EEO contract provisions. (The DOL has held that where implementation of such agreements have the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Executive Order 11246, as amended.)
- c. The contractor will encourage his present employees to refer minority group applicants for employment. Information and procedures with regard to referring minority group applicants will be discussed with employees.
- 5. **Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:
- a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.
- b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.
- c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly takecorrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with his obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of his avenues of appeal.

6. Training and Promotion:

- a. The contractor will assist in locating, qualifying, and increasing the skills of minority group and women employees, and applicants for employment.
- b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision.
- c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.
- d. The contractor will periodically review the training and promotion potential of minority group and women employees and will encourage eligible employees to apply for such training and promotion.
- 7. **Unions:** If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use his/her best efforts to obtain the cooperation of such unions to increase opportunities for minority groups and women within the unions, and to effect referrals by such unions of minority and female employees. Actions by the contractor either directly or through a contractor's association acting as agent will include the procedures set forth below:
- a. The contractor will use best efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minority group members and women for membership in the unions and increasing the skills of minority group employees and women so that they may qualify for higher paying employment.
- b. The contractor will use best efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.
- c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the SHA and shall set forth what efforts have been made to obtain such information.
- d. In the event the union is unable to provide the contractor with a reasonable flow of minority and women referrals within thetime limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin,

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age or disability; making full efforts to obtain qualified and/or qualifiable minority group persons and women. (The DOL has held that it shall be no excuse that the union with which the contractor has a collective bargaining agreement providing for exclusive referral failed to refer minority employees.) In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the SHA.

- 8. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment: The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment.
- a. The contractor shall notify all potential subcontractors and suppliers of his/her EEO obligations under this contract.
- b. Disadvantaged business enterprises (DBE), as defined in 49 CFR 23, shall have equal opportunity to compete for and perform subcontracts which the contractor enters into pursuant to this contract. The contractor will use his best efforts to solicit bids from and to utilize DBE subcontractors or subcontractors with meaningful minority group and female representation among their employees. Contractors shall obtain lists of DBE construction firms from SHA personnel.
- c. The contractor will use his best efforts to ensure subcontractor compliance with their EEO obligations.
- 9. **Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following completion of the contract work and shall be available at reasonable times and places for inspection by authorized representatives of the SHA and the FHWA.
- a. The records kept by the contractor shall document the following:
- (1) The number of minority and non-minority group members and women employed in each work classification on the project;
- (2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women;
- (3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minority and female employees; and
- (4) The progress and efforts being made in securing the services of DBE subcontractors or subcontractors with meaningful minority and female representation among their employees.
- b. The contractors will submit an annual report to the SHA each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on Form FHWA-1391. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data.

III. NONSEGREGATED FACILITIES

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$10,000 or more.)

- a. By submission of this bid, the execution of this contract or subcontract, or the consummation of this material supply agreement or purchase order, as appropriate, the bidder, Federal-aid construction contractor, subcontractor, material supplier, or vendor, as appropriate, certifies that the firm does not maintain or provide for its employees any segregated facilities at any of its establishments, and that the firm does not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. The firm agrees that a breach of this certification is a violation of the EEO provisions of this contract. The firm further certifies that no employee will be denied access to adequate facilities on the basis of sex or disability.
- b. As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, restrooms and washrooms, restaurants and other eating areas, timeclocks, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive, or are, in fact, segregated on the basis of race, color, religion, national origin, age or disability, because of habit, local custom, or otherwise. The only exception will be for the disabled when the demands for accessibility override (e.g. disabled parking).
- c. The contractor agrees that it has obtained or will obtain identical certification from proposed subcontractors or material suppliers prior to award of subcontracts or consummation of material supply agreements of \$10,000 or more and that it will retain such certifications in its files.

IV. PAYMENT OF PREDETERMINED MINIMUM WAGE

(Applicable to all Federal-aid construction contracts exceeding \$2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural minor collectors, which are exempt.)

1. General:

a. All mechanics and laborers employed or working upon the site of the work will be paid unconditionally and not less often than once a week and without subsequent deduction or rebate on any account [except such payroll deductions as are permitted by regulations (29 CFR 3) issued by the Secretary of Labor under the Copeland Act (40 U.S.C. 276c)] the full amounts of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment. The payment shall be computed at wage rates not less than those contained in the wage determination of the Secretary of Labor (hereinafter "the wage determination") which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor or its subcontractors and such laborers and mechanics. The wage determination (including any additional classifications and wage rates conformed under paragraph 2 of this Section IV and the DOL poster (WH-1321) or Form FHWA-1495) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers. For the purpose of this Section, contributions made or costs reasonably anticipated for bona fide fringe benefits under Section 1(b)(2) of the Davis-Bacon Act (40 U.S.C. 276a) on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of Section IV, paragraph 3b, hereof. Also, for the purpose of this Section, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs, which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics

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shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in paragraphs 4 and 5 of this Section IV.

- b. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein, provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed.
- c. All rulings and interpretations of the Davis-Bacon Act and related acts contained in 29 CFR 1, 3, and 5 are herein incorporated by reference in this contract.

2. Classification:

- a. The SHA contracting officer shall require that any class of laborers or mechanics employed under the contract, which is not listed in the wage determination, shall be classified in conformance with the wage determination.
- b. The contracting officer shall approve an additional classification, wage rate and fringe benefits only when the following criteria have been met:
- (1) the work to be performed by the additional classification requested is not performed by a classification in the wage determination;
- (2) the additional classification is utilized in the area by the construction industry;
- (3) the proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination; and
- (4) with respect to helpers, when such a classification prevails in the area in which the work is performed.
- c. If the contractor or subcontractors, as appropriate, the laborers and mechanics (if known) to be employed in the additional classification or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the DOL, Administrator of the Wage and Hour Division, Employment Standards Administration, Washington, D.C. 20210. The Wage and Hour Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
- d. In the event the contractor or subcontractors, as appropriate, the laborers or mechanics to be employed in the additional classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. Said Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary

e. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraph 2c or 2d of this Section IV shall be paid to all workers performing work in the additional classification from the first day on which work is performed in the classification.

3. Payment of Fringe Benefits:

- a. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor or subcontractors, as appropriate, shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly case equivalent thereof.
- b. If the contractor or subcontractor, as appropriate, does not make payments to a trustee or other third person, he/she may consider as a part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, provided, that the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

4. Apprentices and Trainees (Programs of the U.S. DOL) and Helpers:

a. Apprentices:

- (1) Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the DOL, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State apprenticeship agency recognized by the Bureau, or if a person is employed in his/her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State apprenticeship agency (where appropriate) to be eligible for probationary employment as an apprentice.
- (2) The allowable ratio of apprentices to journeyman-level employees on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any employee listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate listed in the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor or subcontractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman-level hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.
- (3) Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level ofprogress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable

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classification. If the Administrator for the Wage and Hour Division determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

(4) In the event the Bureau of Apprenticeship and Training, or a State apprenticeship agency recognized by the Bureau, withdraws approval of an apprenticeship program, the contractor or subcontractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the comparable work performed by regular employees until an acceptable program is approved.

b. Trainees:

- (1) Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the DOL, Employment and Training Administration.
- (2) The ratio of trainees to journeyman-level employees on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.
- (3) Every trainee must be paid at not less than the rate specified in the approved program for his/her level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman-level wage rate on the wage determination which provides for less than full fringe benefits for apprentices, in which case such trainees shall receive the same fringe benefits as apprentices.
- (4) In the event the Employment and Training Administration withdraws approval of a training program, the contractor or subcontractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Helpers:

Helpers will be permitted to work on a project if the helper classification is specified and defined on the applicable wage determination or is approved pursuant to the conformance procedure set forth in Section IV.2. Any worker listed on a payroll at a helper wage rate, who is not a helper under a approved definition, shall be paid not less than the applicable wage rate on the wagedetermination for the classification of work actually performed.

5. Apprentices and Trainees (Programs of the U.S. DOT):

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of

Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

6. Withholding:

The SHA shall upon its own action or upon written request of an authorized representative of the DOL withhold, or cause to be withheld, from the contractor or subcontractor under this contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to Davis-Bacon prevailing wage requirements which is held by the same prime contractor, as much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the SHA contracting officer may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

7. Overtime Requirements:

No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers, mechanics, watchmen, or guards (including apprentices, trainees, and helpers described in paragraphs 4 and 5 above) shall require or permit any laborer, mechanic, watchman, or guard in any workweek in which he/she is employed on such work, to work in excess of 40 hours in such workweek unless such laborer, mechanic, watchman, or guard receives compensation at a rate not less than one-and-one-half times his/her basic rate of pay for all hours worked in excess of 40 hours in such workweek.

8. Violation:

Liability for Unpaid Wages; Liquidated Damages: In the event of any violation of the clause set forth in paragraph 7 above, the contractor and any subcontractor responsible thereof shall be liable to the affected employee for his/her unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory) for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer, mechanic, watchman, or guard employed in violation of the clause set forth in paragraph 7, in the sum of \$10 for each calendar day on which such employee was required or permitted to work in excess of the standard work week of 40 hours without payment of the overtime wages required by the clause set forth in paragraph 7.

9. Withholding for Unpaid Wages and Liquidated Damages:

The SHA shall upon its own action or upon written request of any authorized representative of the DOL withhold, or cause to be withheld, from any monies payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any

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liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph 8 above.

V. STATEMENTS AND PAYROLLS

(Applicable to all Federal-aid construction contracts exceeding \$2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural collectors, which are exempt.)

1. Compliance with Copeland Regulations (29 CFR 3):

The contractor shall comply with the Copeland Regulations of the Secretary of Labor which are herein incorporated by reference.

2. Payrolls and Payroll Records:

- a. Payrolls and basic records relating thereto shall be maintained by the contractor and each subcontractor during the course of the work and preserved for a period of 3 years from the date of completion of the contract for all laborers, mechanics, apprentices, trainees, watchmen, helpers, and guards working at the site of the work.
- b. The payroll records shall contain the name, social security number, and address of each such employee; his or her correct classification; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalent thereof the types described in Section 1(b)(2)(B) of the Davis Bacon Act); daily and weekly number of hours worked; deductions made; and actual wages paid. In addition, for Appalachian contracts, the payroll records shall contain a notation indicating whether the employee does, or does not, normally reside in the labor area as defined in Attachment A, paragraph 1. Whenever the Secretary of Labor, pursuant to Section IV, paragraph 3b, has found that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in Section 1(b)(2)(B) of the Davis Bacon Act, the contractor and each subcontractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, that the plan or program has been communicated in writing to the laborers or mechanics affected, and show the cost anticipated or the actual cost incurred in providing benefits. Contractors or subcontractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprentices and trainees, and ratios and wage rates prescribed in the applicable programs.
- c. Each contractor and subcontractor shall furnish, each week in which any contract work is performed, to the SHA resident engineer a payroll of wages paid each of its employees (including apprentices, trainees, and helpers, described in Section IV, paragraphs 4 and 5, and watchmen and guards engaged on work during the preceding weekly payroll period). The payroll submitted required to be maintained under paragraph 2b of this Section V. This information may be submitted in any form desired. Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal stock number 029-005-0014-1), U.S. Government Printing Office, Washington, D.C. 20402. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors.
- d. Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his/her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

- (1) that the payroll for the payroll period contains the information required to be maintained under paragraph 2b of this Section V and that such information is correct and complete;
- (2) that such laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in the Regulations, 29 CFR 3;
- (3) that each laborer or mechanic has been paid not less that the applicable wage rate and fringe benefits or cash equivalent for the classification of worked performed, as specified in the applicable wage determination incorporated into the contract.
- e. The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 2d of this Section V.
- f. The falsification of any of the above certifications may subject the contractor to civil or criminal prosecution under 18 U.S.C. 1001 and 31 U.S.C. 231.
- g. The contractor or subcontractor shall make the records required under paragraph 2b of this Section V available for inspection, copying, or transcription by authorized representatives of the SHA, the FHWA, or the DOL, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the SHA, the FHWA, the DOL, or all may, after written notice to the contractor, sponsor, applicant, or owner, take such actions as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

VI. RECORD OF MATERIALS, SUPPLIES, AND LABOR

- 1. On all Federal-aid contracts on the National Highway System, except those which provide solely for the installation of protective devices at railroad grade crossings, those which are constructed on a force account or direct labor basis, highway beautification contracts, and contracts for which the total final construction cost for roadway and bridge is less than \$1,000,000 (23 CFR 635) the contractor shall:
- a. Become familiar with the list of specific materials and supplies contained in Form FHWA-47, "Statement of Materials and Labor Used by Contractor of Highway Construction Involving Federal Funds," prior to the commencement of work under this contract.
- b. Maintain a record of the total cost of all materials and supplies purchased for and incorporated in the work, and also of the quantities of those specific materials and supplies listed on Form FHWA-47, and in the units shown on Form FHWA-47.
- c. Furnish, upon the completion of the contract, to the SHA resident engineer on Form FHWA-47 together with the data required in paragraph 1b relative to materials and supplies, a final labor summary of all contract work indicating the total hours worked and the total amount earned.
- At the prime contractor's option, either a single report covering all contract work or separate reports for the contractor and for each subcontract shall be submitted.

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VII. SUBLETTING OR ASSIGNING THE CONTRACT

- 1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the State. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635).
- a. "Its own organization" shall be construed to include only workers employed and paid directly by the prime contractor and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor, assignee, or agent of the prime contractor.
- b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid on the contract as a whole and in general are to be limited to minor components of the overall contract.
- 2. The contract amount upon which the requirements set forth in paragraph 1 of Section VII is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.
- 3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the SHA contracting officer determines is necessary to assure the performance of the contract.
- 4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the SHA contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the SHA has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

VIII. SAFETY: ACCIDENT PREVENTION

- 1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provideall safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the SHA contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.
- 2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).

IX. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, the following notice shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

NOTICE TO ALL PERSONNEL ENGAGED ON FEDERAL-AID HIGHWAY PROJECTS

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined not more that \$10,000 or imprisoned not more than 5 years or both."

X. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$100,000 or more.)

By submission of this bid or the execution of this contract, or subcontract, as appropriate, the bidder, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

- 1. That any facility that is or will be utilized in the performance of this contract, unless such contract is exempt under the Clean Air Act, as amended (42 U.S.C. 1857 et seq., as amended by Pub.L. 91-604), and under the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 et seq., as amended by Pub.L. 92-500), Executive Order 11738, and regulations in implementation thereof (40 CFR 15) is not listed, on the date of contract award, on the U.S. Environmental Protection Agency (EPA) List of Violating Facilities pursuant to 40 CFR 15.20.
- That the firm agrees to comply and remain in compliance with all the requirements of Section 114 of the Clean Air Act and Section 308 of the Federal Water Pollution Control Act and all regulations and guidelines listed thereunder.
- 3. That the firm shall promptly notify the SHA of the receipt of any communication from the Director, Office of Federal Activities, EPA, indicating that a facility that is or will be utilized for the contract is under consideration to be listed on the EPA List of Violating Facilities
- 4. That the firm agrees to include or cause to be included the requirements of paragraph 1 through 4 of this Section X in every nonexempt subcontract, and further agrees to take such action as the government may direct as a means of enforcing such requirements.

XI. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

1. Instructions for Certification - Primary Covered Transactions:

(Applicable to all Federal-aid contracts - 49 CFR 29)

- a. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
- b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.
- c. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowinglyrendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause of default.
- d. The prospective primary participant shall provide immediate written notice to the department or agency to whom this proposal is submitted if any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- e. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the department or agency to which

this proposal is submitted for assistance in obtaining a copy of those regulations.

- f. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
- g. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the nonprocurement portion of the "Lists of Parties Excluded From Federal Procurement or Nonprocurement Programs" (Nonprocurement List) which is compiled by the General Services Administration.
- i. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- j. Except for transactions authorized under paragraph f of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

* * * * *

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Primary Covered Transactions

- 1. The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a 3-year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph 1b of this certification; and
- d. Have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- 2. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

* * * * *

2. Instructions for Certification - Lower Tier Covered Transactions:

(Applicable to all subcontracts, purchase orders and other lower tier transactions of \$25,000 or more - 49 CFR 29)

- a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.
- b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
- c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.
- d. The terms "covered transaction," "debarred," "suspended," "ineligible," "primary covered transaction," "participant," "person," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.

- e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
- f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List
- h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

* * * *

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions:

- 1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- 2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

* * * *

XII. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING

(Applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 - 49 CFR 20)

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

- a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and

- submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- 2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.
- 3. The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

ALLEN COUNTY HSIP 9010 003

KENTUCKY TRANSPORTATION CABINET DEPARTMENT OF HIGHWAYS

EMPLOYMENT REQUIREMENTS RELATING TO NONDISCRIMINATION OF EMPLOYEES (APPLICABLE TO FEDERAL-AID SYSTEM CONTRACTS)

AN ACT OF THE KENTUCKY GENERAL ASSEMBLY TO PREVENT DISCRIMINATION IN EMPLOYMENT

KRS CHAPTER 344 EFFECTIVE JUNE 16, 1972

The contract on this project, in accordance with KRS Chapter 344, provides that during the performance of this contract, the contractor agrees as follows:

- 1. The contractor shall not fail or refuse to hire, or shall not discharge any individual, or otherwise discriminate against an individual with respect to his compensation, terms, conditions, or privileges of employment, because of such individual's race, color, religion, national origin, sex, disability or age (between forty and seventy); or limit, segregate, or classify his employees in any way which would deprive or tend to deprive an individual of employment opportunities otherwise adversely affect his status as an employee, because of such individual's race, color, religion, national origin, sex, disability or age (between forty and seventy). The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.
- 2. The contractor shall not print or publish or cause to be printed or published a notice or advertisement relating to employment by such an employer or membership in or any classification or referral for employment by the employment agency, indicating any preference, limitation, specification, or discrimination, based on race, color, religion, national origin, sex, disability or age (between forty and seventy), except that such notice or advertisement may indicate a preference, limitation, or specification based on religion, or national origin when religion, or national origin is a bona fide occupational qualification for employment.
- 3. If the contractor is in control of apprenticeship or other training or retraining, including on-the-job training programs, he shall not discriminate against an individual because of his race, color, religion, national origin, sex, disability or age (between forty and seventy), in admission to, or employment in any program established to

provide apprenticeship or other training.

4. The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representative of the contractor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment. The contractor will take such action with respect to any subcontract or purchase order as the administrating agency may direct as a means of enforcing such provisions, including sanctions for non-compliance.

REVISED: 12-3-92

ALLEN COUNTY HSIP 9010 003 Contract ID: 102206 Page 91 of 109

EXECUTIVE BRANCH CODE OF ETHICS

In the 1992 regular legislative session, the General Assembly passed and Governor Brereton Jones signed Senate Bill 63 (codified as KRS 11A), the Executive Branch Code of Ethics, which states, in part:

KRS 11A.040 (6) provides:

No present or former public servant shall, within six (6) months of following termination of his office or employment, accept employment, compensation or other economic benefit from any person or business that contracts or does business with the state in matters in which he was directly involved during his tenure. This provision shall not prohibit an individual from returning to the same business, firm, occupation, or profession in which he was involved prior to taking office or beginning his term of employment, provided that, for a period of six (6) months, he personally refrains from working on any matter in which he was directly involved in state government. This subsection shall not prohibit the performance of ministerial functions, including, but not limited to, filing tax returns, filing applications for permits or licenses, or filing incorporation papers.

KRS 11A.040 (8) states:

A former public servant shall not represent a person in a matter before a state agency in which the former public servant was directly involved, for a period of one (1) year after the latter of:

- a) The date of leaving office or termination of employment; or
- b) The date the term of office expires to which the public servant was elected.

This law is intended to promote public confidence in the integrity of state government and to declare as public policy the idea that state employees should view their work as a public trust and not as a way to obtain private benefits.

If you have worked for the executive branch of state government within the past six months, you may be subject to the law's prohibitions. The law's applicability may be different if you hold elected office or are contemplating representation of another before a state agency.

Also, if you are affiliated with a firm which does business with the state and which employs former state executive-branch employees, you should be aware that the law may apply to them.

In case of doubt, the law permits you to request an advisory opinion from the Executive Branch Ethics Commission, Room 136, Capitol Building, 700 Capitol Avenue, Frankfort, Kentucky 40601; telephone (502) 564-7954.

HIGHWAY BASIC HOURLY RATES

FRINGE BENEFIT PAYMENTS COMBINED

CRA	\mathbf{FT}	S:
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Allen, Calloway, Christian	Logan, Simpson, Todd, Trigg & W	arren Counties:
•	24.52	
All Counties:		
	24.84	10.23
	37.64	
	25.09	
Butler, Edmonson, Logan,		
	29.27	13.08
Allen & Simpson Counties:		
Electricians	21.85	10.35
Ballard, Caldwell, Callowa	y, Carlisle, Christian, Crittenden, l	Fulton (Except a 5 mile radius of
City Hall in Fulton), Gra	ives, Hickman, Livingston, Lyon,	Marshall, McCracken & Trigg
Counties:		
Electricians:		
Electricians	28.27	25.5% + 5.25
Cable Splicers receive \$.2	25 per hour additional.	
Daviess, Hancock, Hende	erson, Hopkins, McLean, Muhler	nberg, Ohio, Union & Webster
Counties:		
Electricians:		
	27.73	
Heilarc Welding & Cable	Splicing27.98	27.85% + 5.34
	nile radius of City Hall in Fulton):	
Electricians	24.50	10.26

<u>Butler County</u> (Eastern eighth, including the Townships of Decker, Lee & Tilford); Edmonson County (Northern three-fourths, including the Townships of Asphalt, Bee Spring,

Edmonson County (Northern three-fourths, including the Townships of Asphalt, Bee Spring, Brownsville, Grassland, Huff, Kyrock, Lindseyville, Mammoth Cave, Ollie, Prosperity, Rhoda, Sunfish & Sweden):

Ironworkers:

Structural; Ornamental; Reinforcing;

One/Federal-State Sheet 1 of 12

HIGHWAY BASIC HOURLY RATES

FRINGE BENEFIT PAYMENTS COMBINED

CRAFTS: (continued)

Butler County (Townships of Aberdeen, Bancock, Casey, Dexterville, Dunbar, Elfie, Gilstrap, Huntsville, Logansport, Monford, Morgantown, Provo, Rochester, and South Hill & Welchs Creek); Caldwell County (Northeastern third, including the Township of Creswell); Christian County (Northern third, including Townships of Apex, Crofton, Kelly, Mannington and Wynns); Crittenden County (Northeastern half, including the Townships of Grove, Mattoon, Repton, Shady Grove and Tribune); Muhlenberg County (Townships of Bavier, Beech Creek Junction, Benton, Brennen, Browder, Central City, Cleaton, Depoy, Drakesboro, Eunis, Graham, Hillside, Luzerne, Lynn City, Martwick, McNary, Moorman, Millport, Nelson, Paradise, Powderly, South Carrollton, Tarina and Weir);

Daviess, Hancock, Henderson, Hopkins, McLean, Ohio, Union and Webster Counties:

<u>Butler County</u> (Southern third, including the Townships of Boston, Berrys Lick, Dimple, Jetson, Quality, Sharer, Sugar Grove and Woodbury);

<u>Christian County</u> (Eastern two-thirds, including the Townships of Bennettstown, Casky, Herndon, Hopkinsville, Howell, Masonville, Pembroke and Thompsonville);

Edmonson County (Southern fourth, including the Townships of Chalybeate & Rocky Hill);

<u>Muhlenberg County</u> (Southern eighth, including the Townships of Dunnior, Penrod & Rosewood);

Allen, Logan, Simpson, Todd and Warren Counties:

<u>Caldwell County</u> (Southwestern two-thirds, including the Townships of Cedar Bluff, Cider, Claxton, Cobb, Crowtown, Dulaney, Farmersville, Fredonia, McGowan, Otter Pond and Princeton);

<u>Christian County</u> (Western third, excluding the Townships of Apex, Crofton, Kelly, Mannington, Wynns, Bennettstown, Casky, Herndon, Hopkinsville, Howell, Masonville, Pembroke and Thompsonville);

<u>Crittenden County</u> (Southwestern half, including the Townships of Crayne, Dycusburg, Frances, Marion, Mexico, Midway, Sheridan and Told);

Ballard, Calloway, Carlisle, Fulton, Graves, Hickman, Livingston, Lyon, Marshall, McCracken and Trigg Counties:

Ironworkers

Projects with a total contract cost of \$20,000,000.00 or above

One/Federal-State Sheet 2 of 12

HIGHWAY BASIC HOURLY RATES

FRINGE BENEFIT PAYMENTS COMBINED

CRAFTS: (continued)		
Ballard, Caldwell, Calloway, Carlisle,	Christian Crittandan Fulton Grav	as Hickman Honkins
Livingston, Lyon, Marshall, McCrack		es, mekman, mopkins,
		15.06
Millwrights:		
Daviess, Hancock, Henderson, McLea		
Millwrights:	23.38	14.01
Ballard County:		
Painters:	20.56	12.50
Bridges and Dams		
All Other Work		
Spray, Blast, Steam, High and Haza	ardous (Including Lead Abatement)	and All Epoxy – 1.00
Premium.		
Edmonson County:		
Painters:	10.50	10.20
Brush & Roller	18.50	10.30
Spray, Sandblast, Power Tools,	10.50	10.20
Waterblast & Steam Cleaning		
Daviess, Hancock, Henderson, McLea	in, Onio, Union & Webster Counties	:
Painters:		
Bridges, Locks & Dams:	25.60	10.05
	25.60	
	25.85	
	26.60	
	27.60	10.05
All Other Work:	24.45	40.07
	24.45	
	24.70	
	25.45	
	26.45	10.05
PAINTER CLASSIFICATIONS		
GROUP 1 – Brush & Roller		
GROUP 2 – Plasterers		
GROUP 3 – Spray; Sandblast; Pow		g; Brush & Roller of
	ch Koate & Coal Tar Epoxy	
GROUP 4 – Spray of Mastics, Creoso	tes, Kwinch Koate & Coal Tar Epox	y

One/Federal-State Sheet 3 of 12

HIGHWAY BASIC HOURLY RATES

FRINGE BENEFIT PAYMENTS COMBINED

Allen, Butler, Logan, Muhlenberg, Simpson, Todd & Warren Counties: Painters:
Bridges, Locks & Dams
Brush & Roller
Bridges, Locks & Dams
Spray: Sandblast: Power Tools: Waterblast & Steem
Spray; Sandblast; Power Tools; Waterblast & Steam Cleaning
All Other Work
All Other Work
Brush & Roller
All Other Work
Spray; Sandblast; Power Tools; Waterblast & Steam
Cleaning
All Other Work – High Time Pay
Over 35 feet (up to 100 feet) - \$1.00 above base wage
100 feet and over - \$2.00 above base wage
During spray painting and sandblasting operations, pot tenders shall receive the same wage rates as the spray painter or nozzle operator
Caldwell, Calloway, Carlisle, Christian, Crittenden, Fulton, Graves, Hickman, Hopkins,
Livingston, Lyon, Marshall, McCracken & Trigg Counties:
Painters:
Bridges and Dams
All Other Work
Waterblasting units with 3500 PSI and above - \$.50 premium
Spraypainting and all abrasive blasting - \$1.00 premium
Work 40 ft. and above ground level - \$1.00 premium
Allen, Butler, Edmonson, Simpson, Warren Counties:
Plumber/Steamfitter 30.00 14.17
Ballard, Caldwell, Calloway, Carlisle, Christian, Crittenden, Fulton, Graves, Hickman, Livingston, Lyon, Marshall, McCracken & Trigg Counties:
Plumbers & Steamfitters
Daviess, Hancock, Henderson, Hopkins, Logan, McLean, Muhlenberg, Ohio, Todd, Union &
webster Counties:
Webster Counties: Plumbers & Pipefitters
Plumbers & Pipefitters

One/Federal-State Sheet 4 of 12

LABORERS:

Ballard, Calloway, Carlisle, Fulton, Graves, Hickman, Livingston, Lyon, Marshall and McCracken Counties:

GROUP 1 - Aging & Curing of Concrete, Asbestos Abatement Worker, Asphalt Plant, Asphalt, Batch Truck Dump, Carpenter Tender, Cement Mason Tender, Cleaning of Machines, Concrete, Demolition, Dredging, Environmental -Nuclear Radiation, Toxic & Hazardous Waste - Level D, Flagperson, Grade Checker, Hand Digging & Hand Back Filling, Highway Marker Placer, Landscaping, Mesh Handler & Placer, Puddler, Railroad, Nip-Rap & Grouter, Right-of-Way, Sign, Guard Rail & Fence Installer, Signal Person, Sound Barrier Installer, Storm & Sanitary Sewer, Swamper, Truck Spotter & Dumper & Wrecking of Concrete Forms, General Cleanup.

BASE RATE	19.73
FRINGE BENEFITS	10.53

GROUP 2 - Batter Board Man (Sanitary & Storm Sewer), Brickmason Tender, Mortar Mixer Operator, Scaffold Builder, Burner & Welder, Bushammer, Chain Saw Operator, Concrete Saw Operator, Deckhand Scow Man, Dry Cement Handler, Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level C, Forklift Operator for Masonary, Form Setter, Green Concrete Cutting, Hand Operated Grouter & Grinder Machine Operator, Jackhammer, Pavement Breaker, Paving Joint Machine, Pipelayer, Plastic Pipe Fusion, Power Driven Georgia Buggy & Wheelbarrow, Power Post Hole Digger, Precast Manhole Setter, Walk-Behind Tamper, Walk-Behind Trencher, Sand Blaster, Concrete Chipper, Surface Grinder, Vibrator Operator and Wagon Driller.

BASE RATE	19.98
FRINGE BENEFITS	10.53

GROUP 3 - Asphalt Luteman & Raker, Gunnite Nozzleman, Gunnite Operator & Mixer, Grout Pump Operator, Blaster, Side Rail Setter, Rail Paved Ditches, Screw Operator, Tunnel (Free Air), and Water Blaster.

BASE RATE	20.03
FRINGE BENEFITS	10.53

GROUP 4 - Caisson Worker (Free Air), Cement Finisher, Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Levels A & B, Miner & Driller (Free Air), Tunnel Blaster and Tunnel Mucker (Free Air), Directional & Horizontal Boring, Air Track Drillers (All Types), Powderman & Blasters, Troxler & Concrete Tester if Laborer is Utilized.

BASE RATE	20.63
FRINGE BENEFITS	10.53

One/Federal-State Sheet 5 of 12

LABORERS:

Allen, Butler, Caldwell, Christian, Daviess, Edmonson, Hancock, Hopkins, Logan, McLean, Muhlenberg, Ohio Simpson, Todd, Trigg and Warren Counties;

GROUP 1 - Aging & Curing of Concrete, Asbestos Abatement Worker, Asphalt Plant, Asphalt, Batch Truck Dump, Carpenter Tender, Cement Mason Tender, Cleaning of Machines, Concrete, Demolition, Dredging, Environmental -Nuclear Radiation, Toxic & Hazardous Waste - Level D, Flagperson, Grade Checker, Hand Digging & Hand Back Filling, Highway Marker Placer, Landscaping, Mesh Handler & Placer, Puddler, Railroad, Nip-Rap & Grouter, Right-of-Way, Sign, Guard Rail & Fence Installer, Signal Person, Sound Barrier Installer, Storm & Sanitary Sewer, Swamper, Truck Spotter & Dumper & Wrecking of Concrete Forms, General Cleanup.

BASE RATE	20.76
FRINGE BENEFITS	9.50

GROUP 2 - Batter Board Man (Sanitary & Storm Sewer), BrickMason Tender, Mortar Mixer Operator, Scaffold Builder, Burner & Welder, Bushammer, Chain Saw Operator, Concrete Saw Operator, Deckhand Scow Man, Dry Cement Handler, Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level C, Forklift Operator for Masonary, Form Setter, Green Concrete Cutting, Hand Operated Grouter & Grinder Machine Operator, Jackhammer, Pavement Breaker, Paving Joint Machine, Pipelayer, Plastic Pipe Fusion, Power Driven Georgia Buggy & Wheelbarrow, Power Post Hole Digger, Precast Manhole Setter, Walk-Behind Tamper, Walk-Behind Trencher, Sand Blaster, Concrete Chipper, Surface Grinder, Vibrator Operator and Wagon Driller.

BASE RATE	21.01
FRINGE BENEFITS	9.50

GROUP 3 - Asphalt Luteman & Raker, Gunnite Nozzleman, Gunnite Operator & Mixer, Grout Pump Operator, Blaster, Side Rail Setter, Rail Paved Ditches, Screw Operator, Tunnel (Free Air), and Water Blaster.

BASE RATE	21.06
FRINGE BENEFITS	9.50

GROUP 4 - Caisson Worker (Free Air), Cement Finisher, Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Levels A & B, Miner & Driller (Free Air), Tunnel Blaster and Tunnel Mucker (Free Air), Directional & Horizontal Boring, Air Track Drillers (All Types), Powderman & Blasters, Troxler & Concrete Tester if Laborer is Utilized.

BASE RATE	21.66
FRINGE BENEFITS	9.50

One/Federal-State Sheet 6 of 12

LABORERS:

Crittenden, Henderson, Union and Webster Counties:

GROUP 1 - Aging & Curing of Concrete, Asbestos Abatement Worker, Asphalt Plant, Asphalt, Batch Truck Dump, Carpenter Tender, Cement Mason Tender, Cleaning of Machines, Concrete, Demolition, Dredging, Environmental -Nuclear Radiation, Toxic & Hazardous Waste - Level D, Flagperson, Grade Checker, Hand Digging & Hand Back Filling, Highway Marker Placer, Landscaping, Mesh Handler & Placer, Puddler, Railroad, Nip-Rap & Grouter, Right-of-Way, Sign, Guard Rail & Fence Installer, Signal Person, Sound Barrier Installer, Storm & Sanitary Sewer, Swamper, Truck Spotter & Dumper & Wrecking of Concrete Forms, General Cleanup.

BASE RATE	20.01
FRINGE BENEFITS	10.25

GROUP 2 - Batter Board Man (Sanitary & Storm Sewer), Brickmason Tender, Mortar Mixer Operator, Scaffold Builder, Burner & Welder, Bushammer, Chain Saw Operator, Concrete Saw Operator, Deckhand Scow Man, Dry Cement Handler, Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level C, Forklift Operator for Masonary, Form Setter, Green Concrete Cutting, Hand Operated Grouter & Grinder Machine Operator, Jackhammer, Pavement Breaker, Paving Joint Machine, Pipelayer, Plastic Pipe Fusion, Power Driven Georgia Buggy & Wheelbarrow, Power Post Hole Digger, Precast Manhole Setter, Walk-Behind Tamper, Walk-Behind Trencher, Sand Blaster, Concrete Chipper, Surface Grinder, Vibrator Operator and Wagon Driller.

BASE RATE	20.26
FRINGE BENEFITS	10.25

GROUP 3 - Asphalt Luteman & Raker, Gunnite Nozzleman, Gunnite Operator & Mixer, Grout Pump Operator, Blaster, Side Rail Setter, Rail Paved Ditches, Screw Operator, Tunnel (Free Air), and Water Blaster.

BASE RATE	20.31
FRINGE RENEFITS	10.25

GROUP 4 - Caisson Worker (Free Air), Cement Finisher, Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Levels A & B, Miner & Driller (Free Air), Tunnel Blaster and Tunnel Mucker (Free Air), Directional & Horizontal Boring, Air Tack Drillers (All Types), Powderman & Blasters, Troxler & Concrete Tester if Laborer is Utilized.

BASE RATE	20.91
FRINGE BENEFITS	10.25

One/Federal-State Sheet 7 of 12

Truck Driver Classifications for:

Allen, Butler, Edmons	son, Logan, Simpson & Warren Counties:	
Greaser, Tire Changer		
	BASE RATEFRINGE BENEFITS	
Truck Mechanic.	BASE RATEFRINGE BENEFITS	
	lat Bed, all Terrain Vehicles when used to haul materials, and to pull building materials and equipment, Tandem Axle Datypes.	
	BASE RATE	19.44
	FRINGE BENEFITS	12.02
	when used in transporting materials, Ross Carrier, Fork Liferials, Driver on Pavement Breaker.	t when used to
	BASE RATEFRINGE BENEFITS	
Euclid and other Heav	y Earth Moving Equipment, Low Boy, Articulator Cat, Five	Axle Vehicle.
	BASE RATEFRINGE BENEFITS	
•	cations for: <u>Caldwell, Carlisle, Christian, Crittenden, Fulton, Graveshall, McCracken, Todd & Trigg Counties</u> :	ves, Hickman,
Greaser, Tire Changer		
	BASE RATEFRINGE BENEFITS	
Truck Mechanic.		
	BASE RATEFRINGE BENEFITS	

One/Federal-State Sheet 8 of 12

Single Axle Dump, Flat Bed, all Terrain Vehicles when used to haul materials, Semi Trailer or Pole Trailer when used to pull building materials and equipment, Tandem Axle Dump, Driver of Distributor, Mixer all types.

BASE RATE	24.19
FRINGE BENEFITS	
Euclid, other Heavy Earth Moving Equipment, Low Boy, Articulator Cat, Winch & A-Frame when used in transporting materials, Ross Carrier.	Five Axle Vehicle,
BASE RATE	24.20
FRINGE BENEFITS	4.15

Truck Driver Classifications for:

Daviess, Hancock, Henderson, Hopkins, McLean, Muhlenberg, Ohio, Union & Webster Counties:

Greaser, Tire Changer.

BASE RATE	19.23
FRINGE BENEFITS	

Truck Mechanic.

BASE RATE	19.46
FRINGE BENEFITS	9.20

Single Axle Dump, Flat Bed, all Terrain Vehicle when used to haul materials, Semi Trailer or Pole Trailer when used to pull building materials and equipment, Tandem Axle Dump, Driver of Distributors, Mixer all types.

BASE RATE	19.53
FRINGE BENEFITS	9.20

Euclid and other Heavy Earth Moving Equipment, Low Boy, Articulator Cat, Five Axle Vehicle, Winch & A-Frame when used in transporting materials, Ross Carrier, Fork Lift when used to transport building materials, Driver on Pavement Breaker.

BASE RATE	19.54
FRINGE BENEFITS	9 20

One/Federal-State Sheet 9 of 12

OPERATING ENGINEERS:

A-frame Winch Truck, Auto Patrol, Backfiller, Batcher Plant, Bituminous Paver, Bituminous Transfer Machine, Boom Cat, Bulldozer, Mechanic, Cableway, Carry-All Scoop, Carry Deck Crane, Central Compressor Plant, Clamshell, Concrete Mixer (21 cu. ft. or over), Concrete Paver, Truck Mounted Concrete Pump, Core Drill, Crane, Crusher Plant, Derrick, Derrick Boat, Ditching and Trenching Machine, Dragline, Dredge Operator, Dredge Engineer, Elevating Grader and Loaders, Grade-All, Gurries, Heavy Equipment Robotics Operator/Mechanic, High Lift, Hoe-Type Machine, Hoist (two or more drums), Hoisting Engine (two or more drums), Horizontal Directional Drill Operator, Hydrocrane, Hyster, KeCal Loader, LeTourneau, Locomotive, Mechanic; Mechanically Operated Laser Screed, Mechanic Welder, Mucking Machine, Motor Scraper, Orangepeel Bucket, Piledriver, Power Blade, Pumpcrete, Push Dozer, Rock Spreader attached to equipment, Rotary Drill, Roller (bituminous), Scarifier, Scoopmobile, Shovel, Side Boom, Subgrader, Tailboom, Telescoping type Forklift, Tow or Push boat, Tower Crane (French, German and other types), Tractor Shovel, Truck Crane, Tunnel Mining Machines, including Moles, Shields or similar types of Tunnel Mining Equipment.

BASE RATE	24.60
FRINGE BENEFITS	12.65

Air Compressor (over 900 cu. ft. per min.), Bituminous Mixer, Boom Type Tamping Machine, Bull Float, Concrete Mixer (under 21 cu. ft.), Dredge Engineer, Electric Vibrator, Compactor/Self-Propelled Compactor, Elevator (one drum or buck hoist), Elevator (when used to hoist building material), Finish Machine, Firemen & Hoist (one drum), Flexplane, Forklift (regardless of lift height), Form Grader, Joint Sealing Machine, Outboard Motor Boat, Power Sweeper (riding type), Roller (rock), Ross Carrier, Skid Mounted or Trailer Mounted Concrete Pump, Skid Steer Machine with all attachments, Switchman or Brakeman, Throttle Valve Person, Tractair and Road Widening Trencher, Tractor (50 H.P. or over), Truck Crane Oiler, Tugger, Welding Machine, Well Points, and Whirley Oiler.

BASE RATE	22.18
FRINGE BENEFITS	12.65

All off road material handling equipment, including Articulating Dump Trucks, Greaser on grease facilities servicing heavy equipment.

BASE RATE	22.56
FRINGE BENEFITS	12.65

One/Federal-State Sheet 10 of 12

OPERATING ENGINEERS: (continued)

Bituminous Distributor, Burlap and Curing Machine, Cement Gun, Concrete Saw, Conveyor, Deckhand Oiler, Grout Pump, Hydraulic Post Driver, Hydro Seeder, Mud Jack, Oiler, Paving Joint Machine, Power Form handling equipment, Pump, Roller (Earth), Steerman, Tamping Machine, Tractor (under 50 H.P.) and Vibrator.

BASE RATE	21.92
FRINGE BENEFITS	12.65

Cranes - with booms 150 ft. and over (including jib), and where the length of the Boom in combination with the length of the piling equals or exceeds 150 ft. - \$1.00 above Group 1 rate.

Employees assigned to work below ground level are to be paid 10% above basic wage rate. This does not apply to open cut work.

Fringe benefit amounts are applicable for all hours worked except when otherwise noted.

These rates are listed pursuant to the Kentucky Determination No. CR-09-I HWY dated July 1, 2009 and/or Federal Decision No.KY20100025 dated March 12, 2010, modification #0 dated March 12, 2010, modification #1 dated March 19, 2010, modification # 2 dated April 2, 2010, modification #3 dated May 7, 2010 and modification #4 dated May 28, 2010.

One/Federal-State Sheet 11 of 12

No laborer, workman or mechanic shall be paid at a rate less than that of a Journeyman except those classified as bona fide apprentices.

Apprentices or trainees shall be permitted to work as such subject to Administrative Regulations adopted by the Commissioner of Workplace Standards. Copies of these regulations will be furnished upon request from any interested person.

Before using apprentices on the job the contractor shall present to the Contracting Officer written evidence of registration of such employees in a program of a State apprenticeship and training agency approved and recognized by the U. S. Bureau of Apprenticeship and Training. In the absence of such a State agency, the contractor shall submit evidence of approval and registration by the U. S. Bureau of Apprenticeship and Training.

The contractor shall submit to the Contracting Officer, written evidence of the established apprenticeship-journeyman ratios and wage rates in the project area, which will be the basis for establishing such ratios and rates for the project under the applicable contract provisions.

TO: EMPLOYERS/EMPLOYEES

PREVAILING WAGE SCHEDULE:

The wages indicated on this wage schedule are the least permitted to be paid for the occupations indicated. When an employee works in more than one classification, the employer must record the number of hours worked in each classification at the prescribed hourly base rate.

OVERTIME:

Overtime is to be paid after an employee works eight (8) hours a day or forty (40) hours a week, whichever gives the employee the greater wages. At least time and one-half the base rate is required for all overtime. A laborer, workman or mechanic and an employer may enter into a written agreement or a collective bargaining agreement to work more than eight (8) hours a calendar day but not more than ten (10) hours a calendar day for the straight time hourly rate. Wage violations or questions should be directed to the designated Engineer or the undersigned.

Ryan Griffith, Director
Division of Construction

Procurement

Frankfort, Kentucky 40622

One/Federal-State Sheet 12 of 12

ALLEN COUNTY HSIP 9010 003

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NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY (Executive Order 11246)

- 1. The Offeror's or Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Specifications" set forth herein.
- 2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate work force in each trade on all construction work in the covered area, are as follows:

GOALS FOR FEMALE	
PARTICIPATION IN	
EACH TRADE	
6.9%	
	PARTICIPATION IN EACH TRADE

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally-assisted) performed in the covered area. If the contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the contractor also is subject to the goals for both its federally involved and non-federally involved construction.

The Contractor's compliance with the Executive Order and the regulations in CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4, 3(a), and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

3. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs within ten (10) working days of award of any construction subcontract in excess of \$10,000.00 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address and telephone number of the subcontractor; employer identification number of the subcontractor; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the subcontract is to be performed. The notification shall be mailed to:

Evelyn Teague, Regional Director Office of Federal Contract Compliance Programs 61 Forsyth Street, SW, Suite 7B75 Atlanta, Georgia 30303-8609

4. As used in this Notice, and in the contract resulting from this solicitation, the "covered area" is Allen County.

PART IV

INSURANCE

INSURANCE

The Contractor shall carry the following insurance in addition to the insurance required by law:

- 1. Contractor's Public Liability Insurance not less than \$100,000.00 for damages arising out of bodily injuries to or death to one person. Not less than \$300,000.00 for damages arising out of bodily injuries to or death to two or more persons.
- 2. Contractor's Property Damages Liability Insurance. Not less than \$100,000.00 for all damages arising out of injury or destruction of property in any one accident. Not less than \$300,000.00 for all damages during the policy period.
- 3. Contractor's Protective Public Liability and Property Damage Insurance. The contractor shall furnish evidence with respect to operations performed for him by subcontractors that he carries in his own behalf for the above stipulated amounts.
- 4. The insurance required above must be evidenced by a Certificate of Insurance and this Certificate of Insurance must contain one of the following statements:
 - a. "policy contains no deductible clauses."
 b. "policy contains ______ (amount) deductible property damage clause but company will pay claim and collect the deductible from the insured."
- 5. WORKMEN'S COMPENSATION INSURANCE. The contractor shall furnish evidence of coverage of all his employees or give evidence of self-insurance by submitting a copy of a certificate issued by the Workmen's Compensation Board.

PART V

BID ITEMS

ALLEN COUNTY HSIP 9010 003

KENTUCKY TRANSPORTATION CABINET DEPARTMENT OF HIGHWAYS FRANKFORT, KY 40622

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CONTRACT ID: 102206

COUNTY: ALLEN

PROPOSAL: HSIP 9010 003

PAGE: 1 LETTING: 06/25/10 CALL NO: 100

LINE NO	ITEM	DESCRIPTION	APPROXIMATE (!	UNIT PRICE	TRUOMA
	SECTION 0001	ROADWAY				
0010	00020 	TRAFFIC BOUND BASE	20.000	TON		
0020	 00441 	ENTRANCE PIPE-18 IN	40.000	LF		
0030	 00464 	CULVERT PIPE-24 IN	20.000	LF		
0040	01982 	DELINEATOR FOR GUARDRAIL-WHITE	8.000	EACH		
0050	 02187 	SITE PREPARATION	1.000	EACH		
0060	 02351 	GUARDRAIL-STEEL W BEAM-S FACE	404.000	LF		
0070	 02355 	GUARDRAIL-STEEL W BEAM-S FACE A	104.000	LF		
0080	02360 	GUARDRAIL TERMINAL SECTION NO 1	3.000	EACH		
0090	 02367 	GUARDRAIL END TREATMENT TYPE 1	1.000	EACH		
0100	 02371 	GUARDRAIL END TREATMENT TYPE 7	2.000	EACH		
0110	02403 	REMOVE CONCRETE MASONRY	9.000	CUYD		
0120	02483 	CHANNEL LINING CLASS II	250.000	TON		
0130	02562 	SIGNS	200.000	SQFT		
0140	02565 	OBJECT MARKER TYPE 2	4.000	EACH		
0150	02650	MAINTAIN & CONTROL TRAFFIC	(1.00)	LS		:
0160	02726	STAKING	(1.00)	LS		:
0170	08100 	CONCRETE-CLASS A	27.000	CUYD		
0180	08150 	STEEL REINFORCEMENT	2,276.000	LB		
0190	08805 	GUARDRAIL-BRIDGE CASE I	40.000	LF		
0200	 21415ND 	EROSION CONTROL	(1.00)	LS		
	SECTION 0002	DEMOBILIZATION		<u>-</u>		

ALLEN COUNTY HSIP 9010 003

KENTUCKY TRANSPORTATION CABINET DEPARTMENT OF HIGHWAYS FRANKFORT, KY 40622

Contract ID: 102206 Page 109 of 109

CONTRACT ID: 102206

COUNTY: ALLEN

PROPOSAL: HSIP 9010 003

PAGE: 2 LETTING: 06/25/10

CALL NO: 100

LINE NO	ITEM	DESCRIPTION		APPROXIMATE UNIT QUANTITY	UNIT PRICE	AMOUNT
0210	02569	DEMOBILIZATION	(AT LEAST 1.5%)	LUMP		
	 	TOTAL BID				