



**COMMONWEALTH OF KENTUCKY
TRANSPORTATION CABINET**

Frankfort, Kentucky 40622
www.transportation.ky.gov/

Matthew G. Bevin
Governor

Greg Thomas
Secretary

March 13, 2019

**CONTRACT ID NO. 19-9001
ADDENDUM # 1**

**Subject: Boone County
Letting August 16, 2019**

- (1) Revised - Page 4**
- (2) Revised - Page 5**
- (3) Revised - Page 14**

Proposal revisions are available at

<http://transportation.ky.gov/Construction-Procurement/Pages/Design-Build-Projects.aspx>.

If you have any questions, please contact us at 502-564-3500.

Sincerely,

A handwritten signature in cursive script that reads "Rachel Mills".

Rachel Mills, P.E.
Director
Division of Construction Procurement

RM:mr
Enclosures



An Equal Opportunity Employer M/F/D

The DBT must be prequalified in at least one of the following work items to be registered as an eligible bidder for the project. Since the project is an alternate bid project, the company shall need only to be prequalified in a work item corresponding with its bid type:

<u>Alternate Pavement Type</u>	<u>Qualifications for Bidder</u>
Asphalt Pavement	A, C2
Concrete Pavement	A, B

The bidding company for the DBT shall be prequalified prior to submission of the Statements of Qualifications. Organizations and individuals providing other services shall be prequalified and possess a Certificate of Eligibility prior to performing the work.

4.1.2 PROFESSIONAL SERVICES PREQUALIFICATION

The DBT shall provide all necessary services to design and construct all permanent and temporary portions of the project, inclusive of acquiring all rights of way/easements and relocating all affected utilities. Work shall conform to current KYTC, federal, and AASHTO standards, practices, policies, guidelines and specifications where applicable. Additional documents identified within the scope of work shall be provided under separate cover as part of the contract documents. KYTC standards, practices, policies, guidelines and specifications shall control in case of a conflict. The standard of care for all such services performed or furnished under this Agreement shall be the care and skill ordinarily used by members of the engineering profession practicing under similar conditions at the same time and locality.

Design firms prequalified shall perform only those tasks which they are prequalified to complete. The DBT shall need to have KYTC prequalifications in a variety of disciplines in order to perform. KYTC prequalifications shall include but not be limited to:

Geotechnical	Laboratory Testing Services
Geotechnical	Drilling Services
Geotechnical	Engineering Services
Highway Design	Rural Roadway Design
Highway Design	Urban Roadway Design
Highway Design	Surveying
Right-of-Way	Acquisition Services
Right-of-Way	Relocation Services
Legal Services	Titles (According to statewide contract list)
Legal Services	Condemnations (According to statewide contract list)
Structure Design	Spans Under 500 Ft
Traffic Operations	Traffic Engineering Services
Traffic Operations	Electrical Engineering Traffic Signal Services
Traffic Operations	Electrical Engineering Roadway Lighting Services
Environmental	Hazmat (for ROW acquisition)

Note – Utility design prequalifications are not required with the Statement of Qualifications. Should the DBT be asked by a utility owner to provide a prequalified

utility engineering service during the delivery phase of the project, the awarded DBT must obtain the required qualifications prior to providing those services.

The DBT shall be aware that changes to the preliminary design documents may require the DBT to be prequalified in additional areas.

Design firms shall be sufficiently staffed and capable of performing the required work on this contract. These design firms may be subcontractors responsible for the design and engineering of the project.

There may be multiple consultants working on the DBT. However, one consultant shall be designated as the Lead Designer. The DBT shall include qualified engineers and surveyors to be in direct responsible charge of engineering and surveying endeavors and who are professionally registered in the state of Kentucky. Designs prepared for the project shall be signed and stamped by a licensed Kentucky Professional Engineer. To qualify for selection, interested DBTs shall be prequalified through KYTC for the performance of the work. Licensure shall be acquired prior to performing any work when prequalification requires work be performed by a licensed individual. Services requiring prequalification may only be performed by firms prequalified for those services at the time of performance of the services.

4.2 STATEMENTS OF QUALIFICATIONS (SOQ)

A DBT shall submit one (1) unbound version of the SOQ, and one (1) CD/DVD or one (1) USB “thumb” drive containing two (2) electronic files of the SOQ as follows:

- A. One electronic searchable single file PDF which does not restrict printing of copying text, images, and other content.
- B. One electronic password-protected single file PDF which restricts copying of text, images, and other content.

The SOQ shall be received no later than 4:00 p.m. Eastern Time on March 29, 2019. The KYTC shall reject any proposal received after aforementioned time and date and return it unopened to the DBT. In order to be considered, the original SOQ shall be signed in blue ink by an authorized representative of the DBT.

The submittal shall either be mailed or hand-delivered to:

Ms. Rachel Mills, P.E., Director
 Division of Construction Procurement
 200 Mero Street, 3rd Floor
 Frankfort, KY 40622

The outside cover of the package containing the proposal shall be marked:

Statement of Qualifications for
 Boone County Graves Road Interchange
 Item No. 6-78
 CID No. 19-9001
 Design-Build: FY 2019 Design Build #1

For each of the ranking topics, the SOQ Technical Evaluation Team will determine the highest ranked Proposer within each ranking topic, with the highest ranked Proposer receiving the maximum number of points. Lower ranked Proposers will receive commensurately lower rankings based on a relative comparison to the highest ranked Proposer. Evaluations and rankings will consider Department identified strengths, weaknesses, and committed betterments found within the SOQ.

The Department may request the Proposer to affirm an identified committed betterment found within the SOQ. This affirmation request will be made in writing to the Proposer prior to final rankings. If an affirmation request is made by the Department, the Proposer shall respond in writing within 48hrs either confirming the Department's understanding or clarifying the intent. Any response to the affirmation request may not expound upon the information within the SOQ.

The rankings will be based on the information provided by the Proposer within the SOQ, independent investigation of any information, prior experiences with the Proposer by the Department, existing public information, and evaluation information obtained from the owners of previous projects.

The Technical Evaluation Team will present the findings, rankings, and shall make a recommendation to the Executive Level Evaluation Team. This Executive Level Evaluation Team will consist of designees of:

- A. KYTC Deputy Secretary
- B. KYTC State Highway Engineer
- C. KYTC Assistant State Highway Engineer (Project Development)
- D. KYTC Assistant State Highway Engineer (Project Delivery & Preservation)
- E. KYTC District 6 Chief District Engineer

The Executive Level Evaluation Team will examine the Technical Level Evaluation Team's findings and will render ultimate selection of the Short-listed Proposers with concurrence from the Technical SOQ Evaluation Team. **The Executive Level Evaluation Team will Short-list 3 DBTs.**

The Deputy Secretary has final authority to determine the best interests of the Department in selection of the Short-listed Proposers.

5. RESTRICTIONS ON PARTICIPATION IN DESIGN-BUILD CONTRACTS

To facilitate this procurement, various rules have been established and are described in the following paragraphs.

5.1 CAMPAIGN FINANCE LAW STATEMENT PURSUANT TO KRS 45A.110 AND KRS 45A.115

Each member of the DBT shall certify that neither he/she nor any member of his/her immediate family having an interest of ten percent (10%) or more in any business entity involved in the performance of this project, has contributed more than the amount specified in KRS 121.056 (2), to the campaign of the gubernatorial candidate elected at the last election preceding the date of this solicitation. Each member of the DBT further swears under the penalty of perjury, as provided by KRS 523.020, that neither he/she nor the company which he/she represents, has knowingly violated any provisions of the