



**TRANSPORTATION CABINET**

Frankfort, Kentucky 40622  
www.transportation.ky.gov/

**Steven L. Beshear**  
Governor

**Michael W. Hancock, P.E.**  
Secretary

November 4, 2013

CONTRACT ID NO. 13-9001  
ADDENDUM # 4

Subject: Hopkins County  
Letting December 6, 2013

- (1) Revised - Section 4 - Addenda Process
- (2) Revised - Section 6.11 - Last Day for Question Submittals
- (3) Revised - Section 8.1 - Bid Items Compatible with Site Manager
- (4) Revised - Section 8.3 - Payment Schedule for Mobilization
- (5) Added - Section 11.2 - Right-of-Way - Building Removal

Proposal revisions are available at <http://transportation.ky.gov/Construction-Procurement/>.

If you have any questions, please contact us at 502-564-3500.

Sincerely,

A handwritten signature in blue ink that reads "Ryan Griffith".

Ryan Griffith  
Acting Director  
Division of Construction Procurement

RG:lar  
Enclosures



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#### **4. ADDENDA PROCESS**

All questions prior to the award shall be directed to:

Name: Ryan Griffith, P.E., Director, Division of Construction Procurement

Phone Number: 502-564-3500 Fax: 502-564-8961

Email: [Ryan.Griffith@ky.gov](mailto:Ryan.Griffith@ky.gov)

Or see the website at: <http://transportation.ky.gov/Construction-Procurement/Pages/default.aspx>

Addenda to this solicitation may be necessary prior to the closing date and will be furnished by mail, email, or the web to all prospective DBTs if prior to receipt date and to all DBTs determined to be eligible for award if after receipt date. The KYTC will respond to questions that are received by 4:00 pm (EST) 7 days prior to the technical proposal submittal. The responses will be posted 3 days prior the submittal deadline.

## 6.11 Technical & Price Proposal Submission Requirements

The submittal process will involve a 2 step process (Technical And Price Proposal) and below is a schedule of dates for the submittal:

<i>Date</i>	<i>Submittal</i>
September 9, 2013	Request for Proposals (draft)
September, 19, 2013	Pre-Bid Meeting (Mandatory)
October 1, 2013	Request for Proposals (final)
November 11, 2013	Last Day for Questions
November 18, 2013	Technical Proposal Submittal
December 6, 2013	Price Proposal Submittal
December 2013	Project Award
October 1, 2015 or before	Substantial Completion Date

### **Technical Proposal**

A DBT may submit only one proposal. The format and content are as specified. Alternate proposals shall not be allowed. Each proposal shall be prepared simply and economically, providing a straightforward, concise description of the DBT's ability to meet the requirements of this solicitation. Fancy bindings, colored displays or promotional materials shall receive no evaluation credit. Emphasis shall be on completeness and clarity of content. The KYTC retains the right to request, receive and consider additional information and clarifications throughout the evaluation process.

DBTs shall submit one (1) original and ten (10) copies of the technical proposal and any preliminary plans under a single sealed cover. Proposals shall be received no later than 4:00 p.m., Eastern Standard Time, on 11-18-2013. The KYTC shall reject any proposal received after 4:00 p.m. on 11-18-2013 and shall return it unopened to the proposer. In order to be considered, the original proposal shall be signed in blue ink by an authorized representative of the DBT.

The proposal shall be clear and concise, and provide the Scoring Committee with an understanding of the DBT's ability to undertake and complete the proposed work in a thorough manner. The proposal is limited to 25 pages 8.5" by 11" which will include resumes, similar projects, project schedule, and technical write-up. The DBT may provide unlimited 11" by 17" pages for supporting details and graphics (ie plan sheets, profiles, etc). A page is defined as an 8.5" by 11" or 11" by 17" sheet which contains text, pictures, graphs, charts, plan sheets, or any other graphics. An 11" by 17" sheet shall not contain only text but shall contain pictures, graphs, charts, plans, or other graphics. Any preliminary plans shall be completed with a readable scale on 11" by 17" sheets. The proposals shall either be mailed or hand delivered to:

Mr. Ryan Griffith, P.E., Director  
Division of Construction Procurement  
200 Mero Street, 3<sup>rd</sup> Floor  
Frankfort, KY 40622

The outside cover of the package containing the proposal shall be marked:  
Technical Proposal for  
Hopkins County I 69/Pennyrile Parkway Interchange  
Item No. 2-225.00  
Design-Build: 2013 Design Build #1

**Price Proposal**

The total price offered by the DBT for its Proposal for all work specified in the Contract is referred to herein as the "Price Proposal".

Provide a hardcopy Price Proposal bearing original signature(s) by an authorized employee of the Proposer. All other methods of submitting the Price Proposal shall be considered non-responsive and ineligible for Award.

Provide a Bid Bond. The KYTC Bid Bond form is available on-line at:

<http://transportation.ky.gov/Organizational-Resources/Forms/TC%2014-14.pdf>

or by contacting the KYTC at 502-564-3500. Each Proposer shall submit a Bid Bond with its Proposal in the amount of at least five percent of the Proposal Price, issued by a surety meeting the requirements of the Contract. Alternatively, DBTs may submit cash, a certified check, or a cashier's check payable to KYTC in this amount. A Bid Bond shall not be conditioned in any way to modify the minimum five percent required. Proposals that fail to include a Bid Bond or cash deposit in compliance with this subsection shall be deemed non-responsive and shall be rejected by KYTC. This bond shall be submitted with the Price Proposal in the same sealed envelope and will not be opened until completion of the review of the Technical Proposals.

KYTC shall not accept Price Proposals by facsimile or electronic transmission. Any Price Proposal that fails to meet the deadline or delivery requirement shall be rejected and returned to the DBT without having been opened, considered, or evaluated. The KYTC shall not be responsible for a late Bid due to failure of the DBT to allow sufficient time for delivery of the Bid.

KYTC shall not open the Price Proposal until the completion of the evaluation of the Technical Proposals.

Sealed Price Proposals shall be submitted by mail or hand by 2:00 PM (EST) December 6, 2013 to:

Mr. Ryan Griffith, P.E., Director  
Division of Construction Procurement  
200 Mero Street, 3<sup>rd</sup> Floor  
Frankfort, KY 40622

The outside cover of the package containing the proposal shall be marked:

Price Proposal for  
Hopkins County I 69/Pennyrile Parkway Interchange  
Item No. 2-225.00  
Design-Build: 2013 Design Build #1

## 8.1 Governing Regulations

All services, including but not limited to survey, design and construction work, performed by the DBT and all subcontractors, shall be in compliance with all applicable AASHTO Design Standards, KYTC Department of Highway's Standard Specifications for Road and Bridge Construction, Standard Drawings, Manuals and Guidelines. As a part of the DBT's technical proposal submittal, a general schedule outlining the item listed in section 18.1 of the RFP. A comprehensive schedule detailing all project milestone dates must be prepared for Department review and approval within 30 days of the award of the contract. This schedule shall show all major design and construction activities and the critical path to completion.

In addition, the DBT shall be required to furnish the Department with a complete breakdown of the lump sum bid items established for this project at the time of submittal of the DBT price proposal. This breakdown of bid items shall be compatible with SiteManager. In order to document how the lump sum bid price was determined, the DBT must supply, using standard KYTC bid item codes whenever possible, quantities, units, and prices to support the lump sum bid submitted. Any non-standard bid items used must be thoroughly explained in the bid proposal. The breakdown shall include materials to be used in the work, and shall be in sufficient detail to provide KYTC with a means to check partial payment requests.

The fact that the bid items for this Design-Build project are general rather than specific shall not relieve the DBT of the requirement that all work performed and all materials furnished shall be in reasonable conformity with the specifications. The DBT's Consultant shall reference in the plans the appropriate Construction and Material Specifications Item Number for all work to be performed and all materials to be furnished.

It will be the responsibility of the DBT to acquire and utilize the necessary KYTC manuals that apply to the design and construction work required to complete this project.

## 8.3 Basis of Payment

All items covered by Construction and Material Specifications, Supplemental Specifications, Proposal and Special Provision notes with unit price as a basis of payment shall be included in the Lump Sum bid item established for the project. "In progress" payments for the project shall be made utilizing the supplemental cost information supplied for each of the components identified as parts of the "all inclusive" single Lump Sum bid item.

Contrary to the Standard Specifications, payment schedule for Mobilization will be as follows:

- 1) 1<sup>st</sup> 50% payment will be made on first pay estimate that Contractor's total earned value on actual roadway construction items, other than Mobilization, exceeds \$10,000 and
- 2) the 2<sup>nd</sup> 50% payment will be made on first pay estimate on which the Contractor has earned 5 percent or more of the Contract amount on construction items, excluding Mobilization

## **11.2 Building Removal**

The inspection of the structure on Parcel 10 revealed no ACM. The DBT will be required to submit the Notice of Intent (NOI) to Division of Air Quality (DAQ) in Owensboro 10 days prior to the beginning demolition activities. The contact information is provided below:

Owensboro Region  
Mac Cann, Supervisor  
3032 Alvey Park Dr. W.  
STE 700  
Owensboro, KY 42303-2191  
(270) 687-7304