



**TRANSPORTATION CABINET**

Frankfort, Kentucky 40622  
www.transportation.ky.gov/

**Steven L. Beshear**  
Governor

**Michael W. Hancock, P.E.**  
Secretary

October 10, 2013

CONTRACT ID NO. 13-9001  
ADDENDUM # 1

Subject: Hopkins County  
Letting December 6, 2013

- (1) Added - Section 6.20 Registration With The Secretary of State By A Foreign Entity
- (2) Added - Section 6.21 Price Proposal Submittal
- (3) Added - Section 10.4 Tree Clearing Restrictions
- (4) Added - Section Appendix M

Proposal revisions are available at <http://transportation.ky.gov/Construction-Procurement/>.

If you have any questions, please contact us at 502-564-3500.

Sincerely,

A handwritten signature in blue ink that reads "Ryan Griffith".

Ryan Griffith  
Acting Director  
Division of Construction Procurement

RG:ks  
Enclosures



An Equal Opportunity Employer M/F/D

## Hopkins County 2-225.00 Addendum 1

### **6.20 REGISTRATION WITH THE SECRETARY OF STATE BY A FOREIGN ENTITY**

Pursuant to KRS 176.085(1)(b), an agency, department, office, or political subdivision of the Commonwealth of Kentucky shall not award a state contract to a person that is a foreign entity required by [KRS 14A.9-010](#) to obtain a certificate of authority to transact business in the Commonwealth (“certificate”) from the Secretary of State under [KRS 14A.9-030](#) unless the person produces the certificate within fourteen (14) days of the bid or proposal opening. If the foreign entity is not required to obtain a certificate as provided in [KRS 14A.9-010](#), the foreign entity should identify the applicable exception. Foreign entity is defined within [KRS 14A.1-070](#).

**For all foreign entities required to obtain a certificate of authority to transact business in the Commonwealth, if a copy of the certificate is not received by the contracting agency within the time frame identified above, the foreign entity’s solicitation response shall be deemed non-responsive or the awarded contract shall be cancelled.**

Businesses can register with the Secretary of State at <https://secure.kentucky.gov/sos/ftbr/welcome.aspx>

### **6.21 PRICE PROPOSAL SUBMITTAL**

The following forms are required in the price proposal submittal along with any other forms identified within the request for proposals:

1. Required Affidavit for bidders, offerors, and contractors
2. Non-Collusion Certification
3. Certificate of Organization
4. Certification of Performance
5. Certification for Federal-Aid Contract
6. Certification of Bid Proposal/DBE
7. DBE Sub-Contractor Bidders List
8. Violation of Tax and Employment Law declaration (Section 6.16)
9. Campaign Finance Law Statement Pursuant to KRS 45A.110 & KRS 45A.115
10. Conflict of Interest

#### **10.4 TREE CLEARING RESTRICTIONS**

The DBT will only be allowed to clear trees on the project between October 15<sup>th</sup> thru March 31<sup>st</sup> without entering into a Indian Bat Conservation Memorandum of Agreement (IBCMOA) with USFW. If the DBT wishes to clear trees outside of these limitation it is their responsibility to coordinate with USFW and pay any fees associated with this clearing.

## Appendix M

Contract ID:

### DBE SUB-CONTRACTOR BIDDERS LIST

The Department of Transportation Federal Regulations require that the Kentucky Transportation Cabinet provide a bidders list to be maintained in the Office of Personnel Management, Small Business Development Branch (49 CFR 26:11) for each federally funded project awarded.

Project No.

List all quotes/bids received on this project.

DBE (Disadvantaged Business Enterprise) Contractors, Consultants, and Suppliers submitting quotes/bids for this project:

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.
- 8.

DBE (Disadvantaged Business Enterprise) Contractors, Consultants, and Suppliers contacted who did NOT submit quotes/bids for this project.

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.
- 8.

Non-DBE (Disadvantaged Business Enterprise) Contractors, Consultants, and Suppliers submitting quote/bids for this project.

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.
- 8.

If you need additional space, please attach a separate page. If you need assistance regarding this form, please contact Melvin Bynes at (502)564-3601.

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