



DISADVANTAGED BUSINESS ENTERPRISE (DBE) INTERSTATE CERTIFICATION AFFIDAVIT

SECTION 1: INSTRUCTIONS

Per 49 C.F.R . §26.85, all out-of-state applicants must submit the following:

1. A completed *DBE Uniform Certification Application* form with all supporting documents and any other information submitted to your home state or any other state related to your firm's certification
NOTE: This includes affidavits of no change, updated tax documents, any notices of changes submitted to your home state, and all correspondence with your home state's UCP or any other recipient concerning your application or status as a DBE firm.
2. Notices or correspondence from states other than your home state relating to your status as an applicant or certified DBE in those states
NOTE: For example, if you have been denied certification or decertified in state C, or subject to a decertification action, you must inform KYTC's OCRSBD and provide all documentation concerning this action.
3. If a certification appeal was filed with the US DOT, you must inform KYTC's OCRSBD and provide the firm's letter of appeal and DOT's response.

IMPORTANT NOTE: In the Commonwealth of Kentucky, any false statement is sufficient cause for denial of DBE certification, revocation of a prior approval or suspension, and may be subject the person and/or entity making the false statement to any and all civil and criminal penalties under applicable federal and state laws.

SECTION 2: DOCUMENTATION CHECKLIST

1. I have included a complete copy of my home state DBE file, which includes:

Application Form(s) <input type="checkbox"/> Yes <input type="checkbox"/> No	Supporting Documents <input type="checkbox"/> Yes <input type="checkbox"/> No	Taxes <input type="checkbox"/> Yes <input type="checkbox"/> No
No Change Affidavits <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable	Notices of Change <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable	

2. I have included any notices related to approvals, denials, or decertifications from states other than my home state:

Yes No Not applicable

3. I have included DOT appeal letters and responses. Yes No Not Applicable

SECTION 3: CERTIFICATION

NOTE: This form must be signed and sworn to by each owner of the application firm. Use additional forms if needed.

I, _____ (*printed name*), in the City/County of _____
being duly sworn deposes and says that he or she is _____ (*title*)
of _____ (*organization name*) and hereby declares under penalty that the

information in this affidavit is true and correct as of the date hereby given. The undersigned attests that this packet contains all the information required by Section 1 items 1-3 and the information is complete and, as required in Section 1 item 1, an identical copy of the information submitted to my firm's home state. Further, I declare under penalty of perjury that all facts in my most recent on-site report remain true and correct.

SIGNATURE

DATE

SECTION 4: NOTARIZATION (*Notary Certificate with Notary Seal*)

City/County of _____ Commonwealth/State of _____

The foregoing instrument was subscribed and sworn before me this _____ day of _____, 20__

By _____ (*name of person/DBE applicant*)

Notary Signature _____ Notary Registration # _____

My Commission expires: _____ (*date*)