COMMONWEALTH OF KENTUCKY
TRANSPORTATION CABINET
FRANKFORT, KY 40622

MANUAL TITLE: ***Construction Procurement Guidance Manual*** **REVISION NO.:** **9**

DATE REQUESTED: **August 25, 2016** **REPRINT:**

REQUESTED BY: **Brad Webb** **NEW:**

MINOR REVISION

CHAPTER/ SECTION	EXPLANATION	OLD PAGES TO BE DELETED	NEW PAGES TO BE ADDED
	The purpose of this printing is to include the following revised procedure in the *Construction Procurement Guidance Manual*. This revision also includes one index update.		
CP-00	Table of Contents	CP-01	CP-01
CP-300	Confidentiality of Engineer's Estimate	CP-312	CP-312
Produced & Distributed by Organizational Management Branch			

COMMONWEALTH OF KENTUCKY
TRANSPORTATION CABINET
FRANKFORT, KY 40622

MANUAL TITLE: ***Construction Procurement Guidance Manual*** **REVISION NO.:** **8**

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CHAPTER/ SECTION	EXPLANATION	OLD PAGES TO BE DELETED	NEW PAGES TO BE ADDED
	The purpose of this printing is to include the following revised procedures in the *Construction Procurement Guidance Manual*. This revision also includes ONE index update.		
CP-00	Table of Contents	CP-01	CP-01
CP-200	Construction Prequalification Committee	CP-203	CP-203
CP-9000	Certificate of Eligibility, TC 14-2	CP-9005	CP-9005
CP-9000	Contractor Performance Evaluation, TC 14-19	CP-9007	CP-9007
Produced & Distributed by Organizational Management Branch			

COMMONWEALTH OF KENTUCKY
TRANSPORTATION CABINET
FRANKFORT, KY 40622

MANUAL TITLE: ***Construction Procurement Manual*** **REVISION NO.:** **7**

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REQUESTED BY: **Brad Webb** **NEW:**

REVISED PROCEDURES

CHAPTER/ SECTION	EXPLANATION	OLD PAGES TO BE DELETED	NEW PAGES TO BE ADDED
	The purpose of this printing is to include the following revised procedures in the *Construction Procurement Manual*. This revision also includes one index update.		
CP-00	Table of Contents	CP-01	CP-01
CP-500	Wage & Hour Compliance	CP-504	CP-504
Produced & Distributed by Organizational Management Branch			

COMMONWEALTH OF KENTUCKY
TRANSPORTATION CABINET
FRANKFORT, KY 40622

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MINOR REVISION

CHAPTER/ SECTION	EXPLANATION	OLD PAGES TO BE DELETED	NEW PAGES TO BE ADDED
	The purpose of this printing is to include the following revised procedures in the *Construction Procurement Guidance Manual*. This revision also includes ONE index update.		
CP-00	Table of Contents	CP-01	CP-01
CP-400	Information Submitted to Awards Committee	CP-402	CP-402
Produced & Distributed by Organizational Management Branch			

COMMONWEALTH OF KENTUCKY
TRANSPORTATION CABINET
FRANKFORT, KY 40622

MANUAL TITLE: ***Construction Procurement Guidance Manual*** **REVISION NO.:** **5**

DATE REQUESTED: **January 17, 2013** **REPRINT:**

REQUESTED BY: **Betty Ogden** **NEW:**

REVISED POLICIES

CHAPTER/ SECTION	EXPLANATION	OLD PAGES TO BE DELETED	NEW PAGES TO BE ADDED
	The purpose of this printing is to include the following revised policies in the *Construction Procurement Guidance Manual*. This revision also includes two index and twelve exhibit updates.		
CP-00	Table of Contents	CP-01	CP-01
	List of Exhibits	CP-02	CP-02
CP-200	Construction Prequalification Committee	CP-203	CP-203
CP-9000	Appeal Form – Contractor's Performance Report	CP-9001	
	Appeal Form – Contractor Performance Evaluation		CP-9001
	Application for Certificate of Eligibility	CP-9002	CP-9002
	Contractor Notice	CP-9006	CP-9006
	Contractor's Performance Report	CP-9007	
	Contractor Performance Evaluation		CP-9007
	Engineer's Wage and Hour Report	CP-9009	CP-9009
	Nondiscrimination in Employment	CP-9011	CP-9011
	Notice of Selection of Trainee and Trainee Work History	CP-9012	CP-9012
	Trainee Classification Form and Notice of Selection of Training Program	CP-9018	CP-9018
	Wage Complaint by Employee	CP-9019	CP-9019
	Weekly Training Report	CP-9020	CP-9020
	Proposal or Bid Bond	CP-9024	CP-9024
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COMMONWEALTH OF KENTUCKY
TRANSPORTATION CABINET
FRANKFORT, KY 40622

MANUAL TITLE: ***Construction Procurement Guidance Manual*** **REVISION NO.:** **4**

DATE REQUESTED: **March 15, 2012** **REPRINT:**

REQUESTED BY: **Brad Webb** **NEW:**

NEW & REVISED POLICIES

CHAPTER/ SECTION	EXPLANATION	OLD PAGES TO BE DELETED	NEW PAGES TO BE ADDED
	The purpose of this printing is to include the following new and revised policies in the *Construction Procurement Guidance Manual*. This revision also includes one index update.		
CP-00	Table of Contents	CP-01	CP-01
CP-300	Projects with FHWA Full Oversight Disadvantaged Business Enterprise (DBE) Goals	CP-303	CP-303-1 CP-303-2
CP-400	Award & Execution of Contracts	CP-404	CP-404
Produced & Distributed by Organizational Management Branch	APPROVED AS TO FORM AND LEGALITY Office of Legal Services Michael Hancock Secretary 9/30/12 Date		

COMMONWEALTH OF KENTUCKY
TRANSPORTATION CABINET
FRANKFORT, KY 40622

MANUAL TITLE: ***Construction Procurement Manual*** **REVISION NO.:** **3**

DATE REQUESTED: **July 25, 2011** **REPRINT:**

REQUESTED BY: **Brad Webb** **NEW:**

REVISED PROCEDURES

CHAPTER/ SECTION	EXPLANATION	OLD PAGES TO BE DELETED	NEW PAGES TO BE ADDED
	The purpose of this printing is to include the following revised procedures in the *Construction Procurement Manual*. This revision also includes one index update.		
CP-00	Table of Contents	CP-01	CP-01
CP-100	Advertising, Proposals, & Awards Branch Responsibilities	CP-105	CP-105
CP-200	Statutory & Regulatory Requirements Listing of Certificate of Eligibility Holders	CP-201 CP-206	CP-201 CP-206
CP-300	Proposal Final Preparations & Posting Changes to Contract Documents Advertising Bids & Notification of Changes Procedures for Advertising Projects Bidder Registration	CP-302 CP-304 CP-306 CP-307 CP-309	CP-302 CP-304 CP-306 CP-307 CP-309
CP-400	Award & Execution of Contracts	CP-404	CP-404
CP-500	Disadvantaged Business Enterprise (DBE) Wage & Hour Compliance	CP-503 CP-504	CP-503 CP-504
Produced & Distributed by Organizational Management Branch			

COMMONWEALTH OF KENTUCKY
TRANSPORTATION CABINET
FRANKFORT, KY 40622

MANUAL TITLE: ***Construction Procurement Manual*** **REVISION NO.:** **2**

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REQUESTED BY: **Brad Webb** **NEW:**

REVISED PROCEDURES

CHAPTER/ SECTION	EXPLANATION	OLD PAGES TO BE DELETED	NEW PAGES TO BE ADDED
	The purpose of this printing is to include the following revised procedure in the *Construction Procurement Manual*. This revision also includes one index update.		
CP-00	Table of Contents	CP-01	CP-01
CP-200	Construction Prequalification Committee	CP-203	CP-203
Produced & Distributed by Organizational Management Branch			

Construction Procurement Guidance Manual

COMMONWEALTH OF KENTUCKY
TRANSPORTATION CABINET

DECEMBER 2010

Division of Construction Procurement

Transportation Cabinet

Produced by the Organizational Management Branch
Office of Human Resource Management

TRANSPORTATION CABINET
Frankfort, Kentucky 40622
www.transportation.ky.gov/

Steven L. Beshear
Governor

Michael W. Hancock, P.E.
Secretary

OFFICE OF THE SECRETARY
OFFICIAL ORDER 106556

SUBJECT: *Construction Procurement Guidance Manual*

This manual has been prepared to provide information and guidance to personnel of the Kentucky Transportation Cabinet. Its purpose is to establish uniformity in the interpretation and administration of laws, regulations, policies, and procedures applicable to the operation of the Division of Construction Procurement and its relationship with other units of the Cabinet.

The policies and procedures set forth herein are hereby approved and declared effective unless officially changed.

All previous instructions, written and oral, relative to or in conflict with this manual are hereby superseded.

Signed and approved this 13th day of January, ~~2010~~ 2011

Michael W. Hancock
Secretary

Approved as to Legal Form

Office of Legal Services

Kentucky
UNBRIDLED SPIRIT
An Equal Opportunity Employer M/F/D

Construction Procurement

Chapter

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Construction Procurement	*Chapter* INTRODUCTION
	Subject Design of This Manual

ORGANIZATION & NUMBERING

Chapters—The subject matter in the manual is divided into chapters. The chapter title appears in the upper right-hand corner of the first page of a subject and in the upper left-hand corner of any subsequent page.

Subjects—Chapters are arranged by subjects.

Subject Number—Each subject is assigned a number, which appears in the upper right-hand corner of each page of the subject. For example, Chapter 400 includes subject 404 followed by subject 405.

"CP" Prefix—Preceding each subject number, this prefix stands for the manual title *Construction Procurement*.

Subject Title—The title of a subject appears in the upper right-hand corner of the first page of a subject and in the upper left-hand corner of any subsequent page.

Date—The latest issuance date of a subject appears at the bottom of each page of the subject. This date agrees with the latest issuance date shown for the subject in the Table of Contents (**CP-01**).

Page Numbering—Each subject has its own page numbering, which appears at the bottom of each page.

LOCATING INFORMATION

Indexes—One index appears at the front of the manual, and one index appears at the back:

- **Table of Contents (CP-01)**—This index at the front lists the titles of the manual's chapters and their subjects, as well as other information, in numerical order. It includes the latest issuance dates of all the subjects. As the manual matures, these dates change.
- **List of Exhibits (CP-02)**—This index at the back lists the manual's exhibits and includes the latest issuance date of each exhibit. As the exhibits mature, the issuance dates change.

QUESTIONS

Whom to Contact—For answers to questions about the contents of the manual, please contact the Division of Construction Procurement at (502) 564-3500.

For copies of the manual, please contact:

Organizational Management Branch
Transportation Cabinet Office Building
6th Floor West
200 Mero Street
Frankfort, KY 40622

Construction Procurement	*Chapter* INTRODUCTION
	Subject Manual Purpose & Overview

PURPOSE

The Division of Construction Procurement has designed this manual to assist the Transportation Cabinet in contracting for highway construction projects. This manual shall serve as a uniform guide to Cabinet offices and divisions in the interpretation and administration of the statutes, regulations, policies, and procedures related to the procurement of highway construction contracts.

OVERVIEW

CP-103 discusses the procurement authority of the Transportation Cabinet and the statutes and regulations governing highway construction contracts.

CP-104—CP-107 describe the roles and responsibilities of the Division of Construction Procurement and the division's branches.

CP-200—CP-500 include requirements and procedures for:

- Prequalification of Contractors
- Preparation of Proposals
- Advertisement of the Letting
- Letting Procedures
- Engineer's Estimate
- Award of Contract
- Compliance

REFERENCES

This manual references the forms used in contracting for highway construction and includes them as exhibits. Other references include federal and state statutes and regulations.

MANUAL REVISIONS

The Cabinet will revise this manual as necessary to include any changes in policies and procedures.

CONSTRUCTION

Procurement

Chapter

INTRODUCTION

Subject

Regulatory Requirements

STATE REGULATORY REQUIREMENTS

Procurement—The Kentucky Revised Statue (KRS) 45A.050 provides an exemption for the Kentucky Transportation Cabinet (KYTC), Department of Highways to procure services for the construction and maintenance of roads. The Department of Highways assigns these procurement responsibilities to the Division of Construction Procurement. KRS 176 provides additional requirements for the advertisement and procurement of contracts for the Department of Highways.

Wages and Hours—KRS 337 provides obligations concerning the wages and hours of workers on projects procured by the Department.

Prequalification—The Kentucky Administrative Regulation 603 Chapter 2.015 describes the requirements for prequalification of contractors for construction projects.

FEDERAL REGULATORY REQUIREMENTS

For highway projects funded in part by federal-aid funds, the KYTC is regulated by Title 23 of the United States Code (USC) and Code of Federal Regulations 23 CFR, 26 CFR, 29 CFR, and 49 CFR.

Contract Administration—The Federal Highway Administration (FHWA) and the KYTC have agreed that the processes outlined in this manual and as described within the approved stewardship agreement between the KYTC and the FHWA will serve as the accepted procedure for the contracting for construction projects for federal-aid projects funded under 23 USC. KYTC shall involve the FHWA as outlined in that agreement. Federal oversight includes all projects involving interstates, Appalachian development highways (APD), demonstration funding, or major bridges, including river crossings between states. FHWA involvement, approval, and documentation requirements will be outlined in the stewardship agreement.

CONSTRUCTION

Procurement

Chapter

INTRODUCTION

Subject

Division of Construction Procurement Organization

OVERVIEW

The Division of Construction Procurement is a work unit within the Office of Project Delivery and Preservation within the Kentucky Transportation Cabinet's Department of Highways. The division is responsible for:

- Preparing and advertising proposals
- Bid receipt
- Awarding contracts
- Contract execution
- Engineer cost estimation development
- Wage and hour compliance

The division is divided into three engineering branches:

- Prequalification and Compliance Branch (**CP-107**)
- Advertising, Proposals, and Awards Branch (**CP-105**)
- Plans, Specifications, and Estimates Branch (**CP-106**)

An administrative staff reports directly to the director and is responsible for providing the necessary administrative support to the division.

Construction Procurement	*Chapter* INTRODUCTION
	Subject Advertising, Proposals, & Awards Branch Responsibilities

RESPONSIBILITIES

The Advertising, Proposals, and Awards Branch:

- Prepares Authority to Advertise
- Prepares proposal documents for the monthly bid letting
- Maintains proposal addendums & other related documents
- Posts Notices to Contractors to the division's website at:

  http://transportation.ky.gov/Construction-Procurement/Pages/default.aspx

  Note: State Highway Engineer (SHE)-approved Authority to Advertise becomes the Notice to Contractors.

- Posts proposals and addendums to proposals on the division's website
- Prepares bidder registration form
- Registers bidders

  Note: Contractors must be registered as a bidder on a project to submit a bid on that project and be prequalified to do the project work type.

- Maintains the division website (the primary medium used by the division to administer lettings) and posts the following information:
  - Notice to Contractors
  - Qualifications for Bidders
  - Bidder's List
  - Bid Quantity Index
  - Electronic Bid Files
- Checks all bids after the letting

RESPONSIBILITIES (CONT.)

- Imports all bids into Department's letting software program (Trns*port LAS)
- Checks financial eligibility of low bidders
- Checks DBE goals are met
- Prepares contracts when projects are awarded
- Maintains all contract-related documents (authority to sign proof of insurance, etc.)
- Issues a notice to proceed
- Loads contracts into KYTC's construction software (SiteManager)
- Passes projects to the Division of Construction

CONSTRUCTION

Procurement

Chapter

INTRODUCTION

Subject

Plans, Specifications, & Estimates Branch Responsibilities

RESPONSIBILITIES

The Plans, Specifications, and Estimates Branch primarily is responsible for inputting highway construction projects into the Trns*port system software for project administration and producing the engineer's estimate for cost comparisons of bids received at letting. Duties performed while carrying out these responsibilities include:

- Project data entry into the KYTC Trns*port bidding software program
- Checking project documents for applicable notes, permits, provisions, etc.
- Assisting in the maintenance of the letting schedule
- Maintaining bid item codes
- Preparing the official engineer's estimate for all projects let by the Division of Construction Procurement
- Reviewing all bids received for projects
- Maintaining a highway construction cost index for Kentucky projects
- Maintaining other software and databases used to produce the engineer's estimate
- Supporting the Awards Committee with information to make decisions to award or reject project bids

CONSTRUCTION

Procurement

Chapter

INTRODUCTION

Subject

Prequalification & Compliance Branch Responsibilities

BRANCH OVERVIEW

The Prequalification and Compliance Branch reviews prequalification applications to determine contractor eligibility in terms of work types and the maximum dollar amount of work the contractor can accept as a prime contractor. Also, the branch monitors compliance with state and federal laws concerning wage and hour and EEO on federal and state projects.

PREQUALIFICATION DUTIES

Prequalification personnel:

- Review and process all TC 14-1 forms, *Application for Certificate of Eligibility* (**Exhibit 9002**) and make recommendations to the Construction Prequalification Committee concerning work items and eligibility amount

- Review and evaluate all received TC 14-19 forms, *Contractor's Performance Report* (**Exhibit 9007**) and make recommendations to the Construction Prequalification Committee

COMPLIANCE DUTIES

Compliance personnel are responsible for the administration of wage and hour laws and EEO laws and regulations as they apply to state and federal-aid highway construction projects. Specific duties include but are not limited to:

- Conduct wage and hour reviews on state and federal-aid projects subject to prevailing wage requirements

- Conduct EEO contract compliance reviews on federal-aid projects

- Review and investigate discrimination complaints and sexual harassment complaints on state and federal-aid projects

- Review and investigate wage complaints

COMPLIANCE DUTIES (CONT.)

- Furnish contractors and districts required posters for project bulletin boards
- Attend preconstruction conferences and advise contractor of wage and hour and EEO requirements
- Review and update wage rate changes and assign project wage rates
- Review TC 14-312 forms, *Engineer's Wage & Hour Report* (**Exhibit 9009**) received from districts
- Assign and monitor trainees on federal-aid construction projects
- Prepare the following:
  - Semiannual Labor Compliance Enforcement Reports
  - Trainee Goals and Accomplishment Report
  - Request for Determination of Prevailing Rates for Wages for Highway Construction Projects
  - Equal Employment Opportunity Program Report
  - Federal Highway Administration PR 1392 Report

Construction Procurement	*Chapter* PREQUALIFICATION
	Subject Statutory & Regulatory Requirements

STATUTE

Pursuant to KRS Chapter 176, Sections 130–220, every person or organization who seeks to procure, bid upon, or offer to bid upon any contract with the Transportation Cabinet for the construction or maintenance of a public road or section thereof shall obtain a *Certificate of Eligibility* (**Exhibit 9005**).

ADMINISTRATIVE REGULATIONS

603 KAR 2:015 states the requirements that the Department of Highways follows to prequalify bidders. The Division of Construction Procurement provides publications of these regulations, or they may be downloaded at:

http://transportation.ky.gov/Construction-Procurement/Pages/Prequalification.aspx

The Division of Construction Procurement's Prequalification and Compliance Branch shall be responsible for the general administration of these statutory and regulatory requirements.

CONSTRUCTION PREQUALIFICATION COMMITTEE

The Commissioner of the Department of Highways shall appoint a Construction Prequalification Committee to review the TC 14-1 form, *Application for Certificate of Eligibility* (**Exhibit 9002**) and make a recommendation of eligibility to the State Highway Engineer. The committee shall determine the maximum amount of incomplete work a contractor shall be permitted to have under contract at any one time. Contractors accepting subcontract work on any project will not have incomplete work charged against their certificates of eligibility. **CP-203** further details Construction Prequalification Committee responsibilities.

FINANCIAL STATEMENT

An independent public accountant or certified public accountant must audit and attest the financial statement of prequalification applicants desiring eligibility in excess of $1 million.

Construction Procurement	*Chapter* PREQUALIFICATION
	Subject Application for Certificate of Eligibility

INFORMATION FOR APPLICATION

An applicant (organization or individual) shall submit a completed TC 14-1 form, *Application for Certificate of Eligibility* (**Exhibit 9002**), and additional required information to the Division of Construction Procurement. Required information includes, not necessarily limited to:

- Applicant's organization and its experience
- Types of work for which eligibility is desired
- Plants and equipment available
- Projects completed within recent years
- Balance sheet and financial statement prepared as of the close of the last fiscal year

An independent public accountant or certified public accountant must audit and attest the financial data submitted by an applicant on a TC 14-1 form for a certificate of eligibility in excess of $1 million.

The person preparing the statement and a principal officer of the organization must sign the financial data submitted by an applicant for a certificate of eligibility of $1 million or less.

CLASSIFIED RECORDS

The Division of Construction Procurement's Prequalification and Compliance Branch shall retain the financial report or audit report of the contractor in the personal files of the applicant for the exclusive use of the Construction Prequalification Committee. All such records shall be considered confidential information and secured under lock and key while in the possession of the Prequalification and Compliance Branch.

DETERMINATION BY PREQUALIFICATION & COMPLIANCE BRANCH

The Prequalification and Compliance Branch shall examine the TC 14-1 form and will attempt to obtain additional information needed to supplement the application. The branch may verify the applicant's experience record and obtain performance reports from persons and organizations familiar with the applicant's work.

The Prequalification and Compliance Branch shall determine the maximum financial capacity of the applicant, as provided by 603 KAR 2:015 Section 5.

Construction Procurement	*Chapter* PREQUALIFICATION
	Subject Construction Prequalification Committee

FREQUENCY OF MEETINGS

The Construction Prequalification Committee shall meet at least monthly to review TC 14-1 forms, *Application for Certificate of Eligibility* (**Exhibit 9002**), and to issue eligible applicants a *Certificate of Eligibility* (**Exhibit 9005**). The committee shall meet at other times as necessary to review new or renewal applications and to hear appeals for reconsideration of *Certificates of Eligibility* previously issued. When requested by an applicant desiring to bid on a federal-aid project, the committee shall act upon the application within 15 days after its receipt.

COMPLETION OF CONTRACTOR'S PERFORMANCE REPORT

Upon completion of a project, the section engineer shall complete a TC-14 form, *Contractor Performance Evaluation* (**Exhibit 9007**), for the prime contractor and each subcontractor performing $50,000 or more of work on projects administered by that office for active projects.

Subcontractors may request a performance evaluation on subcontracts that total less than $50,000. Subcontractors who request a performance evaluation shall notify the section engineer in writing and shall provide a copy to the Director of Construction and the Director of Construction Procurement.

Year-End performance reports are optional. Section Engineers may submit year-end reports if there is justification (such as poor work performance on a multi-year project).

The forms should be submitted to the Prequalification and Compliance Branch by the Division of Construction field liaison. The Division of Construction field liaison ensures that all Contractor Performance Evaluations for a project have been received prior to formal acceptance of the project and then delivers the Contractor Performance Evaluations to the Division of Construction Procurement.

COMPLETION OF CONTRACTOR'S PERFORMANCE REPORT (CONT.)

The average results for a contractor (in the year prior to application for renewal of prequalification) will be used to determine the percent factor by which to multiply the maximum capacity rating in order to determine the eligibility rating. Contractors may appeal the ratings by completing the TC 14-20 form, *Appeal Form-Contractor Performance Evaluation* (**Exhibit 9001**) and submitting it to the Chief District Engineer within 10 days.

DETERMINATION OF CAPACITY RATING

Upon reviewing the application, the maximum capacity rating, performance reports, and other pertinent information, the Construction Prequalification Committee shall determine the maximum amount of incomplete work permitted the applicant at any one time. The State Highway Engineer shall issue a *Certificate of Eligibility* in accordance with the committee's determination.

RENEWAL OF CERTIFICATES OF ELIGIBILITY

Unless suspended or revoked, each *Certificate of Eligibility* shall terminate no later than 120 days after the end of the applicant's fiscal year. Of this time period, 90 days are allotted to permit the applicant to file a new application; the remaining 30 days are for the review and the issuance of a new *Certificate of Eligibility*.

REQUEST FOR RECONSIDERATION

The Construction Prequalification Committee shall review a request for reconsideration and shall notify the applicant by first-class mail of its determination within 30 days after the receipt of the request, except as otherwise provided.

Construction Procurement	*Chapter* PREQUALIFICATION
	Subject Revocation of Certificate of Eligibility

REVOCATION OF CERTIFICATE OF ELIGIBILITY

Written documentation outlining the evidence of the certificate holder's failure to perform satisfactorily or to adhere to the laws, rules, regulations, and specifications applicable to a contract or subcontract shall be presented to the Construction Prequalification Committee for review and be retained by the committee.

Upon receipt of information or evidence that the holder of a TC 14-2 form, *Certificate of Eligibility* (**Exhibit 9005**) has failed to perform satisfactorily or to adhere to applicable laws, rules, regulations, and specifications, the Construction Prequalification Committee may suspend or revoke the *Certificate of Eligibility*.

The committee shall review and discuss the documentation. On the basis of the evidence presented, a motion regarding appropriate action shall be made, and a vote shall be taken and recorded.

PENALTIES

If the committee votes to apply a penalty, its application shall be as follows:

- First offense—90 days suspension of bidding privileges
- Second offense—180 days suspension of bidding privileges
- Third offense—1 year suspension of bidding privileges
- Fourth offense—3 years suspension of bidding privileges

Additional penalties may include a reduction in total value of uncompleted prime contract work allowed by the Department of Highways (eligibility) and may be in conjunction with any other penalties. Violations shall be considered active and open violations for 3 years.

NOTICE OF SUSPENSION

The notice shall detail the reasons for the suspension and state the date of revocation of the certificate. The notice will be sent to the certificate holder by both certified mail and first-class mail. The revocation shall become final unless the certificate holder submits a written request for a hearing within 10 days after receipt of the request.

The penalty shall be effective upon receipt of notice of suspension. If the committee receives from the certificate holder a request for reconsideration or administrative hearing, the committee shall defer the penalty pending the outcome of the reconsideration or administrative hearing.

RECONSIDERATION OR ADMINISTRATIVE HEARING

The certificate holder shall send the request for reconsideration or administrative hearing to the Division of Construction Procurement.

The Construction Prequalification Committee shall set a date for an informal hearing where the certificate holder may submit information and evidence pertinent to the revocation.

Within 10 days after the informal hearing, the Construction Prequalification Committee shall advise the certificate holder of its findings. Appeals from the action of the committee shall be made according to statutory provisions.

CONSTRUCTION

Procurement

Chapter

PREQUALIFICATION

Subject

Affirmation of Contractors Eligible to Bid

POLICY

The Prequalification and Compliance Branch of the Division of Construction Procurement shall provide employees selling bid proposals with current listings of qualified bidders and the types of work upon which they are qualified to bid.

CONSTRUCTION

Procurement

Chapter

PREQUALIFICATION

Subject

Listing of Certificate of Eligibility Holders

POLICY

The Prequalification and Compliance Branch of the Division of Construction Procurement shall prepare (at least monthly) a list of individuals and organizations possessing a TC 14-2 form, *Certificate of Eligibility* (**Exhibit 9005**). The listing shall disclose the name and address of each certificate holder and its principal officers.

KRS 176.210 permits such lists to be prepared. However, publication of the amounts of eligibility is restricted and considered classified information.

The Division of Construction Procurement's website lists certificate holders at:

http://transportation.ky.gov/Construction-Procurement/Documents/Prequalified%20Contractors%20List.pdf

Construction Procurement	*Chapter* PROPOSAL PREPARATION & BIDDING PROCESS
	Subject Preparation of Proposal

TYPES OF PROJECTS

The Plans, Specifications, and Estimates Branch (PS&E) will input six-year plan projects in the proposal preparation software as they are scheduled in the monthly lettings and other special projects (such as maintenance projects with plans) as they are approved. PS&E will review the bid item codes and bid items and will assign new item codes for nonrecurring items.

ITEMS THAT MAY BE INCLUDED

The following items will be included as necessary (as determined by the PSE):

- Water Quality Notes
- Department of Army Permits
- Right-of-Way Notes
- Utility Notes
- Communicating All Promises (CAP) Note
- Disadvantaged Business Enterprises
- Trainee Notes (types of work for which eligibility is desired)

APPLICABLE DOCUMENTS

PS&E reviews warrants for the following:

- Special Notes
- Railroad Notes
- Best Management Practices (BMP) and Notice of Intent (NOI)
- Special Provisions
- Standard Drawings
- Sepias
- Pavement Selection
- Paving Alternates
- Pipe Alternates
- Material and Description Summaries

CONSTRUCTION

Procurement

Chapter

PROPOSAL PREPARATION & BIDDING PROCESS

Subject

Proposal Final Preparations & Posting

PROPOSAL PREPARATION

After receiving the proposal from the appropriate division, the Division of Construction Procurement attaches appropriate notes, wage rates, and applicable special provisions and then checks for errors and uniformity. Three copies are made and distributed within the division (2 in the APA Branch and 1 to the PSE Branch).

BID PROPOSALS

The Division of Construction Procurement posts bid proposals on the website at "http://transportation.ky.gov/Construction-Procurement/Pages/default.aspx" by selecting the letting and then "Proposals" under "Proposal Information."

Construction Procurement	*Section* FEDERALLY FUNDED PROJECTS
	Subject Projects with FHWA Full Oversight

SUBMITTAL DEADLINES

The Division of Highway Design shall submit final plans to the Division of Construction Procurement 7 weeks prior to the letting date on projects requiring a Plans, Specifications, and Estimates Branch (PS&E) submission (**CP-301**).

DELIVERY TO FHWA

The PS&E Branch develops a detailed breakdown by types of work, structures, funding, and county. The PS&E package includes:

- Three sets of reduced prints
- Four copies of the detailed estimate
- One proposal Communicating All Promises (CAP) Note
- A letter requesting authorization from FHWA

Five weeks prior to the letting, the PS&E Branch shall submit the PS&E package to the applicable FHWA Project Delivery team member assigned to the district where the project is located.

CONSTRUCTION

Procurement

Section

FEDERALLY FUNDED PROJECTS

Subject

Disadvantaged Business Enterprise (DBE) Goals

PROJECT DBE GOALS

All federally funded projects will have a Disadvantaged Business Enterprise (DBE) goal that represents a percentage of the contract amount to be completed by a KYTC-certified DBE contractor or supplier. The DBE Goal Setting Committee will determine the goals prior to the advertisement of the letting. The recommendations will be presented to the State Highway Engineer for approval and then included in the bid proposals.

DBE GOAL SETTING COMMITTEE

Approximately two weeks before advertisement of the letting, the Division of Construction Procurement will submit to the DBE Goal Setting Committee a report of the bid items and a field estimate of those items for all federal projects in a letting. Each member reviews the report to determine a reasonable DBE goal by considering the following:

- Bid items on the project
- Project size
- Project type
- Project location

The committee will meet approximately one week before advertisement of the letting to discuss the projects and to make a final determination of the recommended goals that will be presented for the State Highway Engineer's approval.

The DBE Goal Setting Committee consists of representatives from the Office of Civil Rights and Small Business Development, Division of Construction Procurement, and Division of Construction. All members require approval by the State Highway Engineer.

SHE APPROVAL

The committee will send a memorandum with a DBE goal for each project and an overall goal for the letting to the State Highway Engineer for approval. Approved goals will be incorporated by the Division of Construction Procurement in the proposal preparation before advertisement of the letting.

DOCUMENTATION All documents discussed above shall be placed in the letting file.

CONSTRUCTION

Procurement

Chapter

PROPOSAL PREPARATION & BIDDING PROCESS

Subject

Changes to Contract Documents

POLICY

After the notice to contractor and proposals have been posted to the website and plan sales have begun, the Plans, Specifications, and Estimates Branch will review and make all changes to the official documents by addendum of the projects designated with a contract ID of 1# - 1###. The revised documents will be sent to the Advertising, Proposals, and Awards Branch.

The Division of Construction Procurement will provide all purchasers of plans and proposals with revised documents and post the addendum online at:

http://transportation.ky.gov/Construction-Procurement/Pages/default.aspx
(then select the letting)

CONSTRUCTION

Procurement

Chapter

PROPOSAL PREPARATION & BIDDING PROCESS

Subject

Nonrecurring Bid Items

POLICY

The Plans, Specifications, & Estimates Branch shall maintain the database of nonrecurring bid items. Requests for new nonrecurring bid items shall be submitted to this branch.

The branch will determine if an existing nonrecurring item can be utilized. If not, the item number will be created with the next 5-digit sequential number in the series. The item number will have 2 alpha characters followed by five digits. The first alpha character will be either "E" for English units or "N" for neutral units. The second will be based on where the details for the item are located using the following:

- S—Standard Specification (followed by the three-digit spec number)
- R—Supplemental Specification (followed by the three-digit spec number)
- N—Special Note
- P—Special Provision (followed by the provision number)
- C—Contract Note
- D—Details in plans

For example, "23158ES505 detectable warning" refers to details in Standard Specification 505.

CONSTRUCTION

Procurement

Chapter

PROPOSAL PREPARATION & BIDDING PROCESS

Subject

Advertising Bids & Notification of Changes

ADVERTISING

The Division of Construction Procurement shall advertise for bids to be received on construction projects:

- Not less than 21 days prior to opening of bids for federal-aid projects
- Not less than 7 days prior to opening of bids for 100 percent state-funded projects
- At least one time in one of the leading statewide newspapers
- Online at:

http://transportation.ky.gov/Construction-Procurement/Pages/default.aspx

CHANGES ON PLANS OR PROPOSALS

The Division of Construction Procurement shall notify all plan holders and purchasers of proposals of any changes that are made on plans or proposals by posting under the particular letting online at:

http://transportation.ky.gov/Construction-Procurement/Pages/default.aspx

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PROPOSAL PREPARATION & BIDDING PROCESS

Subject

Procedure for Advertising Projects

NOTICE TO CONTRACTORS

The Division of Construction Procurement prepares an authority to advertise for the State Highway Engineer's approval. Prior to the inclusion of a federal project in the authority, the Division of Construction Procurement will verify with the Division of Program Management that they have an approved federal FPA-1 form, *Federal-Aid Program Data* (**Exhibit 9025**) for that project. The FPA-1 form is commonly referred to as a PR-1.

Upon receipt of an approved official authority to advertise, the Division of Construction Procurement produces the *Notice to Contractors* (**Exhibit 9014**). The notice will include all projects in the letting, instructions for bidders to submit a bid, and directions to pertinent information.

INTERNET ADVERTISING

The Division of Construction Procurement posts a copy of each *Notice to Contractors* online at:

http://transportation.ky.gov/Construction-Procurement/Pages/default.aspx
(under the particular letting)

CONSTRUCTION

Procurement

Chapter

PROPOSAL PREPARATION & BIDDING PROCESS

Subject

Payment to Newspapers

POLICY

The publisher shall submit to the Division of Construction Procurement a copy of each newspaper advertisement along with one copy of the company's invoice. The division shall prepare the agency miscellaneous quick pay in eMARS and forward the quick pay with copies of the invoices and tear sheet to the Division of Accounts.

After receiving all necessary documentation, the Division of Accounts will process the payment.

Construction Procurement	*Chapter* PROPOSAL PREPARATION & BIDDING PROCESS
	Subject Bidder Registration

PREPARATION OF THE BIDDER REGISTRATION FORM

The Advertising, Proposals, and Awards (APA) Branch prepares a *Bidder Registration* form (**Exhibit 9021**) that includes all projects in the letting and then posts it online at:

http://transportation.ky.gov/Construction-Procurement/Pages/default.aspx

REGISTERING AS AN ELIGIBLE BIDDER

The Division of Construction Procurement shall register bidders who submit a *Bidder Registration* form and who are qualified to perform the major type of work being advertised, as designated on the *Qualifications for Bidders* (**Exhibit 9022**) posted for each letting on "http://transportation.ky.gov/Construction-Procurement/Pages/default.aspx" (by selecting the letting and then "Qualifications for Bidders" under "Publications").

Bidders must be registered for each project on which they intend to submit a bid.

Registration forms will be accepted up to 3:00 p.m. prevailing time on the day before the letting.

VERIFICATION OF REQUESTS TO BE AN ELIGIBLE BIDDER

Bidders requesting to be registered shall be verified to be prequalified for the work types of the project on which they want to bid. If they are prequalified for the work types of the project, then the bidders will be denoted as such in the Letting and Award Software (LAS).

LISTING OF REGISTERED BIDDERS

The Division of Construction Procurement will make available to the public a listing of all contractors who have registered. The division

updates this listing periodically before the division opens the bids.

LISTING OF REGISTERED BIDDERS (CONT.)

This information will be available:

- In the reception area of the Division of Construction Procurement
- Online at "http://transportation.ky.gov/Construction-Procurement/Pages/default.aspx" (by selecting the letting and then selecting "Eligible Bidders List")
- On the Bid Express On-line Bidding Exchange

CONSTRUCTION

Procurement

Chapter

PROPOSAL PREPARATION & BIDDING PROCESS

Subject

Project Bid Item List

PREPARING BID ITEM LIST

After officially advertising a project for bids to be received, the Division of Construction Procurement uses copies of proposals and roadway plans as a basis for preparing the project pay estimate package (**Exhibit 9023**).

The division applies the following data to pay estimates:

- Project number
- District and county
- Bid Item List (**Exhibit 9004**)
- Type of construction liquidated damages based on contract amount, unless specified
- Road name and subsection descriptions
- Item description and length of project (miles)
- Unit and type code (subsection work type)
- Total quantity and contract amount (total)
- If a project covers more than one county, a breakdown of quantities showing total amount of work to be performed in each county
- If a project has more than one project number, a breakdown of quantities showing total amount of work to be performed on each project number
- If a project includes more than one account number, a breakdown of each account number, with each breakdown cross-referenced to the project
- Breakdown as to the cost of each structure 20 feet and over in roadway length

PREPARING BID ITEM LIST (CONT.)

- Breakdown or grouping of specialty items (for example, lighting and landscaping)
- The successful contractor's unit price bid on each item, taken from the computer tabulation when bids have been opened and the project has been officially awarded
- Successful contractor's name, address, and vendor number, taken from the contractor's bid packet
- Estimated unit prices extended and totaled to match total contract amount
- Application of the account number and encumbrance number upon approval of a TC 14-32 form, *Encumbrance Form* (**Exhibit 9008**), by the Division of Accounts

SUBMITTING ENCUMBRANCE FORM

After a project is awarded, the Division of Construction Procurement submits the TC 14-32 form to the Division of Accounts for approval. When the confirmation of a contract notice to proceed has been issued and the encumbrance form is approved, the effective date of the notice to begin work and the specified date of completion are applied. The contract is then electronically passed to the SiteManager system in the Division of Construction.

CONSTRUCTION

Procurement

Chapter

PROPOSAL PREPARATION & BIDDING PROCESS

Subject

Engineer's Estimate

PROCEDURE

The Plans, Specifications, & Estimates Branch (PS&E) will prepare the engineer's estimate (EE) for each highway project in the bid lettings. PS&E will obtain all contract documents available to eligible bidders when advertised for use in determining unit costs. The EE represents fair and reasonable costs to construct a project with current material, labor, and equipment costs including overhead and profit. Cost-based, historical price-based, and/or a hybrid of the two may be used to determine unit prices. The EE on all projects must be completed prior to the letting.

PUBLIC ANNOUNCEMENT OF EE

PS&E will enter the EE in the Proposal and Estimate System (PES) prior to the letting. An engineer's estimate summary produced by the Letting and Award System (LAS) will be delivered to the director of Construction Procurement at the letting location after the deadline for bid submittals. These EEs will be used for the public announcement as per 23 CFR 635.113 and KRS 176.080.

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Procurement

Chapter

PROPOSAL PREPARATION & BIDDING PROCESS

Subject

Confidentiality of Engineer's Estimate

POLICY

The engineer's estimate (EE) is confidential information and shall not be released to anyone prior to the letting without written authorization from the State Highway Engineer and the Office of Legal Services. The EE shall be kept in a secure location and only accessible to the estimating staff in the Plans, Specifications, & Estimates Branch (PS&E) and the Director of the Division of Construction Procurement.

After reading the EE at the letting, the unit prices shall remain confidential and shall not be released to anyone without written authorization from the State Highway Engineer and the Office of Legal Services.

Any estimator requested to provide the EE without the required written authorization shall immediately notify the Director of the Division of Construction Procurement. The director shall then notify the appropriate management personnel of the unauthorized request.

Construction Procurement	*Chapter* BID REVIEW & AWARDING OF CONTRACTS
	Subject Opening Bids

POLICY

Bids are submitted electronically using the Expedite software and retrieved using the Bid Express Retrieval Console (BERC) software. The bids are checked for an electronic bid bond included. If an electronic bid bond is not included, a cashier's check, certified check, or paper bid bond, TC 14-014 form, *Proposal or Bid Bond* (**Exhibit 9024**), must be received prior to the letting time. All bids are checked to determine if the bidder has omitted any of the following:

- A unit price for any pay item
- An amount for the entire quantity of the same pay item, except when a choice of authorized pay items is permitted
- Any required addenda

FAILURE TO SUBMIT COMPLIANT BID

If the bidder has failed to include a guaranty in an amount at least equal to the amount indicated on the proposal form or to properly prepare the bid (including the omission of any items detailed above), the bid shall be rejected and not read by the Department of Highways.

CONSTRUCTION

Procurement

Chapter

BID REVIEW & AWARDING OF CONTRACTS

Subject

Information Submitted to Awards Committee

PROCEDURE

The Plans, Specifications, and Estimates Branch (PS&E) will provide a printed document containing the project information, total bid, line-item profiles, and unit bids for all projects in the letting for Awards Committee members and designated attendees. This information is confidential and shall be disposed of properly or returned to PS&E for proper disposal.

The *General Administration and Personnel Manual* details Cabinet policy concerning confidential information (**GAP-807**).

CP-404 details the Awards Committee membership and requirements.

Construction Procurement	*Chapter* BID REVIEW & AWARDING OF CONTRACTS
	Subject Review of Bids

PROCEDURE

The Plans, Specifications, and Estimates Branch (PS&E) shall:

- Review and analyze the bids submitted by contractors

  Note: Trns*port BAMS/DSS may be used to assist in this analysis.

- Report potential front-loading, materially-unbalanced, and mathematically-unbalanced bids to the Awards Committee

CONSTRUCTION

Procurement

Chapter

BID REVIEW & AWARDING OF CONTRACTS

Subject

Award & Execution of Contracts

OVERVIEW

Immediately after the bids are opened or retrieved, the bid prices are validated. Thereafter, the Division of Construction Procurement makes a comparison of bids based on correct summation of quantities at the unit prices bid. When an error exists in an extension, the correct extended unit price shall govern.

The Commissioner of the Department of Highways reserves the right to accept or reject any or all bids and to waive minor technicalities as may be deemed in the best interest of the Commonwealth.

IRREGULAR PROPOSALS

The department will consider any individual proposal and may reject it for any of the following reasons:

- The proposal is on a form other than that furnished by the Cabinet or the form is altered or any part thereof is detached
- There are unauthorized additions, conditional or alternate bids, or irregularities of any kind that tend to make the proposal incomplete, indefinite, or ambiguous as to its meaning
- The bidder adds any provisions reserving the right to accept or reject an award or to enter into a contract pursuant to an award

DISQUALIFICATION OF BIDDERS

Any of the following reasons may be considered sufficient for disqualification of a bidder and rejection of the bidder's proposal or proposals:

- More than one proposal for the same work from an individual, firm, or corporation
- Evidence of collusion among bidders

  Note: Participants in collusion will receive no recognition as bidders for future work until they have been reinstated as qualified bidders.

DISQUALIFICATION OF BIDDERS (CONT.)

- Any failure to comply with the provisions of Article 102.07 or Article 102.08 of the *Standard Specifications for Road and Bridge Construction*
- Insufficient eligibility to accept an award

REJECTED BIDS & DISQUALIFIED BIDDERS

The Director of the Division of Construction Procurement will maintain a list of rejected bids and disqualified bidders for each letting.

REVIEW FOR DEBARRED OR SUSPENDED CONTRACTORS OR INDIVIDUALS

Prior to the awards meeting, the Advertising, Proposals, and Awards (APA) Branch:

- Reviews the Excluded Parties List System (EPLS) website (https://www.epls.gov/epls/search.do) for low bidders on projects who have been suspended or debarred by the federal government
- Checks the EPLS for officers of the low bidders' companies
- Prepares a report of the findings and sends them to the director prior to the awards meeting
- Places a copy of the report in the letting files

REVIEW FOR DBE PARTICIPATION ON FEDERALLY FUNDED PROJECTS

Prior to the Awards Committee meeting, the director's office will:

- Send notice to apparent low bidders requesting a Disadvantaged Business Enterprise (DBE) plan
- Review submitted DBE plans for conformance
- Forward submitted DBE plans to FHWA

AWARDS COMMITTEE

The Awards Committee shall meet to review and analyze bids submitted to the Division of Construction Procurement. The committee will make a recommendation to award or reject each project in a letting to the appointed authority of the Department of Highways.

AWARDS COMMITTEE (CONT.)

The committee members shall include:

- State Highway Engineer (Chair and voting member)
- Deputy State Highway Engineer for the Office of Project Delivery and Preservation (voting member)
- Deputy State Highway Engineer for the Office of Project Development (voting member)
- Additional voting members at the discretion of the State Highway Engineer
- Director of the Division of Construction Procurement, or designee (nonvoting member)
- Branch Manager of the Plans, Specifications, and Estimates Branch in the Division of Construction Procurement, or designee (nonvoting member)

Other attendees may include representatives from the Office for Civil Rights and Small Business Development (OCRSBD), the Internal Audits Branch in the Division of Audit Services, and the Federal Highway Administration (FHWA). Committee members may request additional attendees to attend on a letting- or project-specific basis. Other attendees will be in an advisory role.

Any discussion or material with or about individual unit bid prices is confidential.

CERTIFICATE OF AUTHORITY FOR FOREIGN ENTITIES

As per KRS 176.085, all foreign entities shall provide a certificate of authority from the Kentucky Secretary of State within 14 days of the bid or proposal opening. Once a certificate is provided initially for a bidder, the division will check the Secretary of State's website, "https://app.sos.ky.gov/ftsearch/default.aspx" to verify status is active and standing is good for subsequent bids.

AWARDS COMMITTEE MEETING

The meeting shall be tentatively scheduled for the second Tuesday after the letting. Committee members shall thoroughly review the bids prior to the meeting. Any items of concern should be addressed prior to the meeting to permit time to adequately research and allow for a more efficient meeting.

AWARDS COMMITTEE MEETING (CONT.)

The Plans, Specifications, & Estimates (PSE) Branch shall conduct a post-letting review to check for materially and mathematically unbalanced bids. The bid tabs will be distributed as soon as possible after the contractors' electronic bids have been validated by the paper copy submitted. The PSE Branch distributes the bid tabs to the committee members.

The committee should consider the following factors when reviewing the bids submitted for a project:

- Comparison of the bids against the engineer's estimate (EE)
- Number of bids submitted
- Distribution or range of bids received
- Identify the geographic location of the bidders
- Potential savings if the project is re-advertised
- Comparison of bids against other recent bids for the same item
- Urgency of the project
- If a previous let project, number of times let
- Current market conditions
- Unbalancing of bids
- Comparison of unit bids versus EE unit bids
- Funding
- Any other factors deemed important by the Cabinet

Special situations may require additional consideration, such as:

- Safety projects that are to correct extremely hazardous conditions where the traveling public may be in danger
- Emergency repair or replacement of damaged facilities
- Projects to open traffic on otherwise completed facilities
- Projects critical to a phased construction schedule, where delay would mean substantial impact on the completion date of the facility

AWARDS COMMITTEE MEETING (CONT.)

There may be errors in the engineer's estimate revealed while reviewing the contractors' bids. Revisions to the engineer's estimate after the letting shall be made only if there is proper justification. The revised estimate and bid tabs will be provided by the PSE Branch prior to the awards meeting.

Committee meeting minutes are kept by the Division of Construction Procurement. After the meeting, the minutes are typed, approved by the State Highway Engineer, and filed.

AWARD OF CONTRACT

Unless the Department of Highways rejects all bids, the contract will be recommended for award to the lowest responsible bidder whose proposal complies with the requirements of laws, regulations, and specifications relating to the project. Award will normally be made within 10 working days after the date of receiving bids unless it is deemed best to hold the proposals of the two low bidders for a period not to exceed 60 days for final disposition of award. **Exhibit 9015** shows a sample award notice.

FHWA FULL-OVERSIGHT PROJECTS

FHWA full-oversight projects will require concurrence in award or rejection of a project. The APA Branch will send a concurrence letter along with certified bid tabs to FHWA. FHWA will make their endorsement on the concurrence letter and return to the APA Branch for the files. Supporting documentation for award will be provided, as required by FHWA, by the TEBM of the PSE Branch. To avoid potential delay in the contract award, FHWA should make every effort to attend the Awards Committee meeting and provide concurrence at that time.

CANCELLATION OF AWARD

The Cabinet reserves the right to cancel the award of any contract at any time before the signing of the contract by all parties without any liability accruing against the Cabinet.

RETURN OF PROPOSAL GUARANTIES

The proposal guaranties (certified checks or cashier's checks) of all except the two lowest bidders will be returned within 5 days after the proposals have been checked, tabulated, and compared.

The proposal guaranty of the lowest bidder will be held. If deemed appropriate in the judgment of the Commissioner of the Department of Highways, the proposal guaranty of the second-lowest bidder may be held until the project is awarded and the contract and bond of the successful bidder have been executed and approved.

RETURN OF PROPOSAL GUARANTIES (CONT.)

If no award is made within 60 days, the department shall return all proposal guaranties (certified checks or cashier's checks) to the bidders. The department will retain bid bonds as a part of the bidder's file.

EXECUTION OF CONTRACT

The bidder to whom the contract is awarded will execute and file with the department:

- Signed contract
- Approved performance bond and payment bond
- Satisfactory evidence of required liability insurance and workers' compensation insurance
- Satisfactory evidence of compliance with Article 102.15 of the applicable *Standard Specifications for Road and Bridge Construction*
- Anticipated earning schedule, if applicable
- Three copies of the TC 14-27 form, *Authority to Sign* (**Exhibit 9003**), and the TC 14-309 form, *Trainee Classification Form and Notice of Selection of Program* (**Exhibit 9018**), if applicable

The bidder shall submit these documents to the Division of Construction Procurement within 15 calendar days after the date of receiving the notice of award unless otherwise provided in the proposal or notified in writing by the department. The contract and the bonds will be executed on the contract form furnished by the department. Within 30 calendar days after receipt of the above-mentioned documents, the Commissioner of the Department of Highways will make final disposition of the contract.

Upon receipt of the executed contract documents from the contractor, the documents are checked for signatures, witnesses, bonding seal, insurance coverage, and power of attorney for bondsman.

Powers of attorney are checked to determine if they have been properly executed and filed in the Franklin County Court Clerk's office. Two copies of the executed contract and a copy of the power of attorney are submitted to the Office of Legal Services for approval as to form and legality.

CP-405 and **CP-406** detail the procedures for the execution of contract documents.

APPROVAL OF CONTRACT

A contract is not binding until the Commissioner of the Department of Highways, or authorized representative, has executed it and the Division of Accounts has certified it as to the availability of sufficient funds.

FAILURE TO EXECUTE CONTRACT

Failure to comply with Article 103.06 of the *Standard Specifications for Road and Bridge Construction* shall be just claim for the annulment of the award. It is understood by the bidder and the Commissioner of the Department of Highways that, in the event of the annulment of the award, the guaranty deposited with the proposal will be forfeited as agreed and liquidated damages sustained. As provided by KRS 176.080, at this point the Commissioner of the Department of Highways may elect to do any of the following:

- Make award to the next-lowest responsible bidder
- Re-advertise the work
- Have work performed by the Cabinet

CONSTRUCTION

Procurement

Chapter

BID REVIEW & AWARDING OF CONTRACTS

Subject

Officially Awarding Contracts

PROCEDURE

When the Awards Committee recommends that a project be awarded, the Division of Construction Procurement prepares an Official Order (**Exhibit 9015**) and forwards it to:

- Division of Accounts for certification as to funds available
- Commissioner, Department of Highways, for official action
- Division of Accounts for an Official Order number

The Advertising, Proposals, and Awards (APA) Branch distributes the approved Official Order as follows:

➢ Division of Construction	1 copy
➢ Division of Program Management	1 copy
➢ Division of Highway Design	2 copies
➢ Division of Structural Design	1 copy
➢ Office of Public Affairs	1 copy
➢ Deputy State Highway Engineer for Project Development	1 copy
➢ Division of Maintenance	3 copies
➢ Office of Budget and Fiscal Management	1 copy
➢ Division of Accounts	original document
➢ Legislative Research Commission	1 copy
➢ Federal Highway Administration (federal)	1 copy
➢ Kentucky Association of Highway Contractors	1 copy
➢ Division of Construction Procurement	5 copies

FHWA REQUIREMENTS

For certain federal-aid projects, the Department of Highways must obtain the concurrence of the Federal Highway Administration prior to award by the Commissioner of the Department of Highways. The APA Branch sends a letter, accompanied by a noncollusion affidavit (**Exhibit 9010**), to the Federal Highway Administration requesting concurrence.

CONSTRUCTION

PROCUREMENT

Chapter

BID REVIEW & AWARDING OF CONTRACTS

Subject

Execution of Contract Documents

PROCEDURE

When a project has been officially awarded, the Division of Construction Procurement:

- Prepares two contracts and bonds with a letter of transmittal
- Sends the contracts, bonds, and original letter of transmittal to the contractor for execution
- Prior to execution, distributes the transmittal letter as follows:
  - Chief District Engineer — 1 copy
  - Division of Accounts — 1 copy
  - Division of Construction Procurement (contract folder) — 1 copy

PROCESSING CONTRACT DOCUMENT

After the contractor and the Cabinet have properly executed the contract document, the processing of the document is as follows:

1. The Division of Construction Procurement prepares TC 14-32, *Encumbrance Form* (**Exhibit 9008**), for each project after the project authorization from the appropriate office has been received.

2. Two copies of the completed TC 14-32 is forwarded to the Division of Accounts for approval of the encumbrance for each account number on the contract document.

3. Upon receipt of the project authorization, the Division of Construction Procurement issues a notice to begin work (**Exhibit 9013**).

4. Upon receipt of the approved encumbrance from the Division of Accounts, the Division of Construction Procurement passes the project in SiteManager system to the Division of Construction.

DISTRIBUTION OF CONTRACT DOCUMENT AFTER FINAL EXECUTION

After final execution of the contract document, the Division of Construction Procurement distributes copies as follows:

➢ Contractor	1 copy
➢ Division of Construction Procurement	Original document
➢ Division of Accounts	Original document
➢ Chief District Engineer	1 copy
➢ Bonding companies	1 copy
➢ Federal Highway Administration (if federal aid)	1 copy
➢ Division of Construction	1 copy

DISTRIBUTION OF NOTICE TO BEGIN WORK

The Division of Construction Procurement distributes copies of the notice to begin work as follows:

➢ Contractor	Original document
➢ Chief District Engineer	1 copy
➢ Bonding company	1 copy
➢ Federal Highway Administration (if federal aid)	1 copy
➢ Division of Construction	1 copy
➢ Division of Materials	1 copy
➢ Division of Accounts	1 copy
➢ Division of Construction Procurement	1 copy
➢ Kentucky Association of Highway Contractors	1 copy

AUTHORITY TO SIGN

A TC 14-27, *Authority to Sign* (**Exhibit 9003**), will be required to be kept on file for every contractor. The authority will be in effect for all persons listed on the form until an officer of the company submits a new TC 14-27.

Construction Procurement	*Chapter* BID REVIEW & AWARDING OF CONTRACTS
	Subject Rejection of Project

PROCEDURE

When the Awards Committee recommends that a project be rejected, the Advertising, Proposals, and Awards Branch:

1. Prepares an Official Order (**Exhibit 9016**) and submits it to the Commissioner of the Department of Highways for approval
2. Sends the approved Official Order to the Division of Accounts for an official order number
3. Notifies the contractor by letter, with a copy of the Official Order attached, that the project has been rejected

DISTRIBUTION OF OFFICIAL ORDER FOR REJECTION OF PROJECT

The Advertising, Proposals, and Awards Branch distributes the Official Order as follows:

➢ Division of Construction	1 copy
➢ Division of Program Management	1 copy
➢ Division of Highway Design	2 copies
➢ Division of Structural Design	1 copy
➢ Office of Public Affairs	1 copy
➢ Deputy State Highway Engineer for Project Development	1 copy
➢ Division of Maintenance	3 copies
➢ Office of Budget and Fiscal Management	1 copy
➢ Division of Accounts	Original document
➢ Legislative Research Commission	1 copy
➢ Federal Highway Administration (federal aid)	1 copy
➢ Kentucky Association of Highway Contractors	1 copy
➢ Division of Construction Procurement	5 copies

CONSTRUCTION

Procurement

Chapter

CONTRACT COMPLIANCE

Subject

Equal Employment Opportunity Compliance

COMPLIANCE PROCEDURES

The Kentucky Transportation Cabinet follows the compliance procedures outlined in 23 CFR, Part 230, Subpart C, Appendix A. The Cabinet conducts equal employment opportunity (EEO) contract compliance reviews in accordance with 23 CFR, Part 230, Subpart D, Appendix A. This includes close cooperation with the Federal Highway Administration (FHWA).

EEO ENFORCEMENT

Compliance personnel in the Division of Construction Procurement enforce equal employment opportunity through the Federal Aid Highway Act of 1968, (23 U.S.C. 140 [a]) and 23 CFR 230. Bid proposals include directives that give sufficient data to assist contractors in meeting their equal employment opportunity obligations and keeping their companies in compliance with:

- Goals and timetables in each county for the employment of women and minorities in construction
- Efforts utilized to reach and maintain goals
- Assurance that employees are free from harassment or discrimination
- Standard Federal EEO Construction Contract Specifications (FHWA 1273)

EXPLANATION OF EEO PROVISIONS

At every preconstruction conference, the district EEO aide explains in detail the EEO provisions in each federal-aid contract. This is made a matter of official record, as it is in the minutes of the conference. In the absence of the EEO aide, an affirmative action compliance officer from the Division of Construction Procurement may attend the preconstruction conference.

The *Construction Guidance Manual* (**CST-308-2**) further details EEO discussion requirements at the preconstruction conference.

IMPLEMENTATION OF HIGHWAY CONSTRUCTION EEO PROGRAM

District EEO aides are responsible for assisting the Division of Construction Procurement in implementing the highway construction EEO program.

DESIGNATION OF EEO AIDE

Each Chief District Engineer designates the EEO aide responsible for EEO and wage contract requirements. The EEO aide is responsible to the Division of Construction Procurement for the implementation of these contract requirements. Compliance staff of the Division of Construction Procurement relates all compliance regulations, changes, deletions, and procedures to each EEO aide.

Each EEO aide shall supply all pertinent correspondence, information, directives, etc., relative to EEO or wage-and-hour compliance to appropriate district personnel. It is also the responsibility of the EEO aide or section engineer to report to the Division of Construction Procurement any noncompliance on any project within his or her district. The *Construction Guidance Manual* (**CST-308-1**) further details EEO aide requirements.

EEO POSTERS

The compliance staff of the Division of Construction Procurement furnishes the district EEO aides with the EEO posters required to be posted in conspicuous locations at the project site before construction commences. The EEO aides provide them to the prime contractor and/or subcontractor.

EEO DOCUMENTATION RESPONSIBILITIES

The district EEO aide assures that the contractor, prior to the commencement of work, is knowledgeable of the frequency and adequacy of reports required to meet the documentation responsibilities in the EEO documentation guidelines.

COMPLAINTS OF DISCRIMINATION

When made aware of any complaints of discrimination by any employee of a work force (employed by a contractor or subcontractor) who believes that he or she has been discriminated against because of race, creed, color, sex, disability, age, or national origin, the district EEO aide immediately notifies compliance personnel in the Division of Construction Procurement.

COMPLAINTS OF DISCRIMINATION (CONT.)

The Division of Construction Procurement investigates complaints of discrimination and may refer such complaints to other state and federal agencies.

CONSTRUCTION

Procurement

Chapter

CONTRACT COMPLIANCE

Subject

External Training Program

PLACEMENT OF TRAINEES

23 CFR, Part 230.111 details the requirements for the placement of trainees on federal-aid construction contracts.

TRAINEE DISTRIBUTION

Before commencing work, the contractor forwards completed TC 14-309 form, *Trainee Classification Form & Notice of Selection of Program* (**Exhibit 9018**), to the Division of Construction Procurement. Compliance staff then examines the classifications listed and checks them against the classifications listed in the contract. After considering the type of federal funding involved and the number of minorities and females available in the labor market areas, compliance staff can assure proper distribution of trainees in appropriate classifications.

TRAINEE APPROVAL

The contractor must forward a completed TC 14-310, *Notice of Selection of Trainee and Trainee Work History* (**Exhibit 9012**), to the Division of Construction Procurement for approval.

TRAINEE PROGRESS

Compliance staff of the Division of Construction Procurement continually monitors the progress of all trainees by means of project site interviews and reports from contractors and engineers in the field. The TC 14-311 form, *Weekly Training Report* (**Exhibit 9020**) and the TC 14-310 form are utilized by compliance personnel in monitoring compliance with trainee special provisions.

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Procurement

Chapter

CONTRACT COMPLIANCE

Subject

Disadvantaged Business Enterprise (DBE)

REQUIREMENTS

The Cabinet includes in its bid proposals for all federal-aid projects the following requirements:

- A certification requiring the bidder's signature stating that if the bidder desires to subcontract any portion of the work, he or she:
  - Will take affirmative action to seek out and consider disadvantaged business enterprises as potential subcontractors
  - Has made contact with potential disadvantaged business enterprise subcontractors; has affirmatively solicited their interest, capacity, and prices; and has documented the result of such contacts

  Note: A bidder's failure to submit this certification shall render its bid nonresponsive.

- A provision requiring the contractor to designate a liaison officer who will administer his or her disadvantaged business enterprise program

The Office for Civil Rights and Small Business Development oversees the KYTC DBE Program and provides more information on their website at:

http://transportation.ky.gov/Civil-Rights-and-Small-Business-Development/Pages/default.aspx

Construction Procurement	Chapter CONTRACT COMPLIANCE
	Subject Wage & Hour Compliance

RESPONSIBILITY

Compliance personnel in the Division of Construction Procurement are responsible for the administration of wage-and-hour laws and regulations as they apply to highway construction in Kentucky. The division obtains wage rates from the U.S. Department of Labor and the Kentucky Department of Labor and prepares them for insertion into proposals.

Compliance personnel ensure that contracts include proper wage rates and required provisions. This requires interpreting instructional, policy, and procedure memoranda from the U.S. Department of Labor, U.S. Department of Transportation, and Kentucky Department of Labor. Compliance personnel furnish requirements of labor standards to Cabinet personnel and to firms doing business with the Cabinet.

LABOR STANDARDS PROVISIONS COMPLIANCE

The Division of Construction Procurement uses the U.S. Department of Transportation's *Labor Compliance Manual* and the U.S. Department of Labor's *Operations Handbook* as guides to monitor labor practices of federal-aid highway construction contractors. Labor standards provisions of contracts are required by various acts (Copeland "Anti-Kickback" Act, Davis-Bacon Act and related acts, Work Hours and Safety Act of 1962, False Information Act, False Claims Act, etc.). The division uses the *Kentucky Labor Laws Handbook* as a guide to monitor the Kentucky Public Works Act.

The district EEO aide or compliance officer (CO) explains these acts and other applicable labor regulations to contractors at preconstruction conferences.

FEDERAL- & STATE-REQUIRED POSTERS COMPLIANCE

Compliance personnel of the Division of Construction Procurement provide the district EEO aide the applicable posters for a construction project. At the preconstruction conference, the district EEO aide furnishes the posters to the contractor to display at the project site.

FEDERAL- & STATE-REQUIRED POSTERS COMPLIANCE (CONT.)

- **Required federal posters**:
  - Minimum Wage Rate Schedule
  - **Form FHWA 1495** (wage rate information applicable to federal-aid system projects)
  - **Form FHWA 1022** (notice relating to false statements and false claims)
  - Safety and Health Protection on the Job (compliance safety and health requirements)
  - Kentucky Law Requires Equal Opportunity
  - TC 14-314 form, *Contractor Notice* (**Exhibit 9006**) (notice to contractor for equal employment opportunity)
  - TC 14-313 form, *Nondiscrimination in Employment* (**Exhibit 9011**)
  - Equal Employment Opportunity Is the Law

- **Required State Posters:**
  - Minimum Wage Rate Schedule
  - Safety and Health Protection on the Job (compliance safety and health requirements)
  - Kentucky Law Requires Equal Opportunity
  - TC 14-314 form, *Contractor Notice* (**Exhibit 9006**) (notice to contractor for equal employment opportunity)
  - TC 14-313 form, *Nondiscrimination in Employment* (**Exhibit 9011**)

WAGE & HOUR REQUIREMENTS

Compliance personnel of the Division of Construction Procurement:

- Keep a current file on rules and regulations as they apply to wage-and-hour requirements
- Meet with FHWA representatives to effect concurrence with interpretation
- Work with the U.S. Department of Labor, FHWA, and Kentucky Department of Labor in related matters
- Prepare Semiannual Labor Compliance Enforcement Reports (**Exhibit 9017**) for submission to the FHWA (these reports give the number of violations and total wage interviews)

DETECTION OF VIOLATIONS

When violations are detected and corrective actions are not taken, compliance personnel of the Division of Construction Procurement may recommend withholding or delaying pay estimates or suspension of work. Compliance personnel are to determine the seriousness of violations as quickly as possible and effectuate a speedy settlement in order to prevent construction delays.

WAGE COMPLAINTS

If employees believe mistakes in wages have occurred, they should complete a TC 14-318 form, *Wage Complaint by Employees* (**Exhibit 9019**) and submit it to either the Division of Construction Procurement or the Division of Construction.

WAGE & HOUR REVIEWS

Compliance personnel of the Division of Construction Procurement conduct in-depth wage-and-hour reviews on federal-aid projects and state projects subject to Kentucky prevailing wage requirements. The findings of these reviews are reported on a TC 14-312 form, *Engineer's Wage & Hour Report* (**Exhibit 9009**). During these reviews, compliance personnel also interview employees to determine if the contractor is meeting all labor compliance requirements.

A compliance representative may accompany FHWA representatives in conducting annual in-depth wage-and-hour inspections.

WAGE RATE CHANGES

Compliance personnel of the Division of Construction Procurement receive and review all Federal Registers and review the Davis-Bacon Wage Determinations website (http://www.wdol.gov/) for wage-rate changes, modifications in decisions, or changes in wage regulations.

ESTABLISHMENT OF LABOR LOCALITIES & RATES

The Kentucky Secretary of Transportation establishes labor localities for the 120 counties in Kentucky. The U.S. Secretary of Labor or the Secretary of the Kentucky Department of Labor establishes labor wage rates for these localities.

INVESTIGATION OF COMPLAINTS

Compliance personnel of the Division of Construction Procurement investigate wage complaints and conduct hearings on violations of labor requirements.

AUDIT OF PAYROLLS

Compliance personnel of the Division of Construction Procurement:

- Audit a random number of payrolls monthly on active construction projects and specifically monitor for wage discrepancies, clerical errors, missing payrolls, failure to follow FHWA 1273 guidelines, and other discrepancies
- Receive and review the Engineer's Wage-and-Hour Reports, which provide information relating to the wage status of the project
- Keep a record of investigations conducted and wages collected on federal-aid and state-funded projects

LABOR CABINET INVESTIGATIONS

Highway construction project payrolls may be used in labor investigations conducted by the Kentucky Department of Labor or the U.S. Department of Labor. Some of these cases may involve using the payrolls in court.

SPECIFIC LABOR REQUIREMENTS

Compliance staff of the Division of Construction Procurement monitors specific labor requirements contained in Appalachian Project Development (APD) projects (for example, 80 percent of the work force must reside in the Appalachian area).

Construction Procurement

Chapter

CONTRACT COMPLIANCE

Subject

Contract Sanctions for Noncompliance

OVERVIEW

The Transportation Cabinet has several methods of imposing sanctions upon a contractor by law, regulations, or specifications.

WITHHOLDING OR DELAYING PROGRESS PAYMENTS

To impose this sanction:

1. The Division of Construction Procurement may recommend to the Chief District Engineer (CDE) withholding pay estimates when contractors fail to comply with equal employment opportunity (EEO) or wage-and-hour contract requirements. When it is not feasible to notify the CDE, the division may notify the Division of Accounts directly.

2. The letter of notification of contractual requirements signed by the contractor at the preconstruction conference will be the only notice, insofar as EEO or labor compliance is concerned, before action of withholding pay estimates may be taken. The EEO aide holds record of the letter on file before any action of withholding pay estimates is made.

3. Compliance personnel of the Division of Construction Procurement shall initiate withholding action on payment of a contractor's estimate by submitting a request and the reason in writing to the CDE or Division of Accounts, as applicable. Such a request to withhold or release funds shall preferably designate a specific date rather than a specific pay estimate to initiate or cancel action.

SUSPENSION OF WORK

The Division of Construction Procurement may recommend suspension of work on projects where efforts to obtain contract compliance by withholding progress payments have failed.

TERMINATION OF CONTRACT

The State Highway Engineer may terminate contracts where contract requirements have not been met and compliance has not been obtained by withholding progress payments or suspending work. Such action shall not be taken until the contractor has been afforded an opportunity to show cause why the contract should not be terminated.

SUSPENSION OF BIDDING

The CDE or the Division of Construction Procurement may recommend suspension of bidding for a contractor failing to take corrective action.

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List of Exhibits

EXHIBIT #	FORM TITLE	MANUAL REFERENCE
9001	Appeal Form - Contractor Performance Evaluation, TC 14-20	203
9002	Application for Certificate of Eligibility, TC 14-1	104, 107,201,202,203
9003	Authority to Sign, TC 14-27	104, 404,406
9004	Bid Item List Example	104, 310
9021	Bidder Registration Form	309
9005	Certificate of Eligibility, TC 14-2	104, 201,203,204,206
9006	Contractor Notice, TC 14-314	104, 504
9007	Contractor Performance Evaluation, TC 14-19	104, 107,203
9008	Encumbrance Form, TC 14-32 (Example)	310, 406
9009	Engineer's Wage & Hour Report, TC 14-312	107,504
9010	Noncollusion Certification	104, 405
9011	Nondiscrimination in Employment, TC 14-313	104, 504
9012	Notice of Selection of Trainee & Trainee Work History, TC 14-310	104, 502
9013	Notice to Begin Work (Example)	104, 406
9014	Notice to Contractors (Example)	307
9015	Official Order for Award of Project (Example)	104, 404,405
9016	Official Order for Rejection of Project (Example)	104, 407
9023	Project Pay Estimate Package (Example)	310

EXHIBIT #	FORM TITLE	MANUAL REFERENCE
9024	Proposal or Bid Bond, TC 14-14	401
9022	Qualifications for Bidders (Example)	309
9017	Semiannual Labor Compliance Enforcement Report, FHWA-1494	104, 504
9018	Trainee Classification Form & Notice of Selection of Training Program, TC 14-309	404,502
9019	Wage Complaint by Employee, TC 14-318	504
9020	Weekly Training Report, TC 14-311	502

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APPEAL FORM—CONTRACTOR PERFORMANCE EVALUATION

NAME (*contractor*)		**Prime Contractor** ☐ **Subcontractor** ☐	**CID**
ADDRESS (*street*)			**PHONE**
CITY		**STATE**	**ZIP**
PROJECT ID #	**DATE** (*completion*)	**WORK** (*type*)	**COST**

An appeal **must be submitted** to the Chief District Engineer **within 10 days** after receiving the report.

Explain Basis for Appeal.

SIGNATURE **DATE**

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APPEAL FORM—CONTRACTOR PERFORMANCE EVALUATION

NAME (*contractor*)	**Prime Contractor** ☐ **Subcontractor** ☐	**CID**

An appeal **must be submitted** to the Chief District Engineer **within 10 days** after receiving the report.

Explain Basis for Appeal. (*continued*)

SIGNATURE **DATE**

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APPLICATION FOR CERTIFICATE OF ELIGIBILITY

NAME (Name is to appear as recorded; trade names, divisions, and subsidiaries are to be identified as subordinates to the financially responsible organization.)

ADDRESS (street/P.O. Box)	CITY	STATE	ZIP	DATE

PHONE (including area code)	FAX (including area code)	EMAIL (primary company)

The above named applicant applies for a Certificate of Eligibility or renewal of an existing Certificate of Eligibility which qualifies the applicant to bid upon and accept work on projects sponsored by the Kentucky Transportation Cabinet, Commonwealth of Kentucky, which requires prequalification as provided in KRS 176.130, the regulation relating to the Prequalification of Highway Contractors and in the current edition of the Standard Specifications for Road and Bridge Construction of the Kentucky Transportation Cabinet, Department of Highways.

This application is based on the following factors. (Check appropriate designation.)
KRS 176.150 authorizes the Kentucky Transportation Cabinet to obtain information requested in this application.

ORGANIZATION	TYPE OF APPLICATION	TAXPAYER IDENTIFICATION #
☐ Individual	☐ New	SSN
☐ Partnership	☐ Renewal	Employer Identification #
☐ Corporation	☐ Interim	Tax Year Ends (m/d/y)
☐ Limited Liability Co.	☐ Reinstatement	

Caution: Read instructions carefully before preparation of application.

Return completed form to: 200 Mero Street, Frankfort, KY 40622

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TYPE OF WORK FOR WHICH CERTIFICATE OF ELIGIBILITY IS REQUIRED

Applicants seeking a certificate of eligibility must indicate herein the type(s) of work for which they desire eligibility. Applicants should request eligibility for only the type(s) of work for which they have the organization, experience, and equipment to perform.

Check only type(s) of work desired

PRINCIPAL TYPE OF WORD

- A. ☐ Grade and Drain
- B. ☐ Portland Cement Concrete Paving
- C. Bituminous Concrete Paving
  - C1. ☐ Bituminous Concrete Paving, option B
  - C2. ☐ Bituminous Concrete Paving, option A
- E. Bridge Projects
  - E1. ☐ Bridges not more than 70' clear span
  - E2. ☐ Bridges not more than 100' clear span
  - E3. ☐ Bridges 100' and over clear span
  - E4. ☐ Demolition of major bridges
  - E5. ☐ Bridges over navigable streams
- F. ☐ Signs
- G. ☐ Lighting
- H. ☐ Landscaping
- I. ☐ Other

INCIDENTAL TYPE OF WORK (usually performed by specialized contractors/subcontractors)

1. ☐ Clearing and grubbing
2. ☐ Ditching and shouldering
3. ☐ Bridge approaches
4. ☐ Guardrail
5. ☐ Fencing
6. ☐ Seeding and sodding
7. ☐ Dense graded aggregate base construction
8. ☐ Cement concrete base construction
9. ☐ Soil cement base construction
10. ☐ Plant mix bank gravel base construction
11. ☐ Curb and gutter
12. ☐ Sidewalk
13. ☐ Entrance pavement
14. ☐ Paved ditch
15. ☐ Culverts
16. ☐ Bridge repair
17. ☐ Bridge deck repair
18. ☐ Bridge painting
19. ☐ Steel erection
20. ☐ Tying steel reinforcement
21. ☐ Furnish and drive piling
22. ☐ Dredging
23. ☐ Hydraulic embankment construction
24. ☐ Storm drainage and storm sewer
25. ☐ Slurry seal
26. ☐ Buildings and related construction
27. ☐ Demolition

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EQUIPMENT AVAILABLE (owned / leased under purchase agreement)

List below or attach schedule showing equipment currently owned and available to perform types of work for which eligibility is requested. If schedule is attached, same information requested must be incorporated into schedule.

OWNED

DESCRIPTION & CAPACITY OF ITEMS (including manufacturer, serial number, location)	YEAR MANUFACTURED	PURCHASE PRICE	ACCUMULATED DEPRECIATION	PRESENT BOOK VALUE
	TOTAL	$	$	$

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EQUIPMENT AVAILABLE (leased only)

List below or attach schedule showing equipment currently leased for more than 12 months from date of application to perform type(s) of work for which eligibility is requested.

LEASED

#OF UNITS	DESCRIPTION	MAKE & MODEL	APPROXIMATE CAPACITY	YEAR MANUFACTURED	EXPIRATION DATE	LESSOR

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EXPERIENCE QUESTIONNAIRE

List major contracts awarded within the past three years. Give information adequate to permit inquiry for references. Include all private, public and out-of-state projects. Exclude contracts with the Kentucky Transportation Cabinet. If schedule is attached, same information requested must be incorporated into schedule.

OFFICIAL PROJECT #	WORK TYPE (Be specific.)	AWARD DATE	OWNER NAME & ADDRESS (List prime contractor & owner if performed as a subcontractor.)	JOINT VENTURE PRIME/SUB (Identify.)	TOTAL AMOUNT CONTRACT/ SUBCONTRACT	COMPLETION DATE

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EXPERIENCE QUESTIONNAIRE

Active Certificate of Eligibility issued by other States or Agencies (Check here if none ☐)

STATE OR ISSUING AGENCY	ADDRESS	EXPIRATION DATE	MAXIMUM AMOUNT OF ELIGIBILITY	PRINCIPAL TYPES OF WORK

Principal Officers, Managers, and Superintendents of the Organization (List below and attach detailed resumes.)

INDIVIDUAL'S NAME	PRESENT POSITION OR OFFICE	YEARS OF CONSTRUCTION EXPERIENCE	MAGNITUDE & TYPE OF WORK	IN WHAT CAPACITY

CREDIT REFERENCES	NAME	OFFICER/REPRESENTATIVE	ADDRESS
Bank			
Material Supplier			
Equipment Supplier			
Prime Contractors			

AGENTS & REPRESENTATIVES	NAME	OFFICER/REPRESENTATIVE	ADDRESS
Surety Company			
Auditor/Accountant			
Process Agent			
Legal Counsel			

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EXPERIENCE QUESTIONNAIRE

List any owner, shareholder, partner, member, beneficiary, or employee who was appointed to or employed by the Kentucky Transportation Cabinet (KYTC) within the last three years or is currently employed by the Kentucky Transportation Cabinet.

NAME	POSITIONS WORKED	WORKPLACE LOCATION	LAST DATE OF EMPLOYMENT WITH KYTC	HIRE DATE OF APPLICANT

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FINANCIAL DATA & CERTIFICATION

1. Applicants desiring a Certificate of Eligibility in excess of $1,000,000 shall submit a Standard Audit Report form prepared by a certified public accountant, an independent public accountant, or the equivalent in other states, in addition to the application. The audit report shall be prepared under separate cover and attached to the application upon filing with the Kentucky Transportation Cabinet. The audit report shall provide for all required statements. A Balance Sheet Audit is unacceptable for this type of certificate.

2. Applicants desiring a Certificate of Eligibility for $1,000,000 or less shall submit a limited financial report certified to accuracy by a principal officer of the organization making the request for the certificate. The applicant shall provide all the detailed financial data required in a financial report form acceptable to the Kentucky Transportation Cabinet. Details relative to certain required schedules of accounts and replies to accounting questions are to be as provided for elsewhere in this application form as in special instructions given by the Cabinet to the applicant.

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ACCOUNTING QUESTIONNAIRE

The following questionnaire must be completed by all applicants for eligibility ratings. All questions must be answered fully unless reference is made to specific notes available in a separate audit report.

1. Accounting Method: ☐ Cash ☐ Accrual ☐ Other (Explain.)
2. Method of Reporting Income: ☐ Percent of completion method ☐ Completed contract method ☐ Other (Explain.)
3. Do the accounting method and the method of reporting income in this financial report conform to the method for reporting income for tax purposes? ☐ Yes ☐ No If no, has adequate provision been made for deferred income taxes? ☐ Yes ☐ No
4. If applicant is a Sub-Chapter S Corp., partnership, or sole proprietor, does the applicant anticipate any significant withdrawal for taxes or another reason subsequent to the balance sheet date which may significantly affect the distribution of earnings during the current operational period? ☐ Yes ☐ No
5. Have there been any changes subsequent to the balance sheet date that would significantly affect working capital of the applicant? ☐ Yes ☐ No If yes, attach an explanation.
6. What are the contingent liabilities of the applicant? Give details and attach to this form, unless provided for elsewhere in an audit report, any liabilities as bondsman, guarantor on contractors, notes, or accounts of others, and all other known existing contingent liabilities.
7. What lawsuits are pending, but not reduced to judgment, and who are the principals? What is the possible amount of loss, if any, that is anticipated within the next 12 months that has not been provided for in the audit report? Explain.
8. Did the applicant make any prepayments of liabilities classified on the preceding year's application as long term? ☐ Yes ☐ No If yes, attach schedule of such payments, and list payee, date of payment, and amount.
9. Has the applicant paid, or intend to prepay within 12 months from balance sheet date, any portion of present year's long-term debt? ☐ Yes ☐ No If yes, attach explanation as to how much and when this debt is scheduled to be reduced during the life of this certificate.
10. Does the classification of accounts in this application conform to the classification shown in the audit report? ☐ Yes ☐ No If no, why and how do they differ in detail (see page 11 of Balance Sheet)?
11. Give last year examined and closed by the US Internal Revenue Service.
12. Has the applicant elected to participate in a plan that allows for exchanging certain securities for retainage as permitted in Kentucky (KRS 176.095)? ☐ Yes ☐ No How much of the applicant's investment account is represented by this type of asset?

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IF A PROPRIETORSHIP, COMPLETE THIS SECTION.
Individuals doing business in a name other than their own must file full trade name with the Kentucky Transportation Cabinet. Where is the trade name registered? COUNTY COURT CLERK/OTHER RECORDING AGENT COUNTY STATE

OR, IF A CO-PARTNERSHIP, COMPLETE THIS SCHEDULE.
DATE OF ORGANIZATION Co-partnership is ☐ general ☐ limited
If limited, attach partnership agreement, and give general facts relative to the nature of the restrictions:
NAMES AND ADDRESSES OF ALL PARTIES HOLDING 10% OR MORE OF INTEREST IN ORGANIZATION (List both active and inactive members.)
NAME ADDRESS

OR, IF A CORPORATION, LIMITED LIABILITY COMPANY, COMPLETE THIS SCHEDULE.
INCORPORATED/ORGANIZED (date) INCORPORATED/ORGANIZED (state)
PRINCIPAL OFFICERS (List members of LLC.) PERCENTAGE OF SHARES HELD IN THE CORPORATION
President
Vice President
Secretary
Treasurer
PRINCIPAL STOCKHOLDERS
NAMES AND ADDRESSES OF ALL STOCKHOLDERS HOLDING 10% OR MORE OF SHARES IN ORGANIZATION (Exclude officers above.)
NAME ADDRESS

AFFILIATED OR ASSOCIATED ORGANIZATION—List affiliated or associated organizations that have inter-company relationships with this corporation. (parent company, subsidiaries, sister corporations, and all other entities held separately and jointly by principal stockholders of the applicant's organization)
NAME (organization) ADDRESS RELATIONSHIP

NOTE: Organizations and individuals found guilty of collusion and bid rigging in the states/territories of the US are subject to debarment in Kentucky.

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Balance Sheet As Of Check one: ☐ Fiscal Year ☐ Interim Report

ITEM #	ASSETS	SCH #	AMOUNT	ITEM #	LIABILITIES & CAPITAL	SCH #	AMOUNT
	CURRENT ASSETS				CURRENT LIABILITIES		
1.	Cash	A		18.	Notes payable	G	
2.	Accounts receivable –Due on contracts including amounts retained by customers in accordance with contract provisions less allowance of $ for losses of uncollectible accounts.	B1		19.	Accounts payable and unbilled amounts due subcontractors (including amounts retained)	H	
3.	Other receivables	B2		20.	Withheld from employees (taxes & sundry)		
4.	Unbilled contract costs, etc.			21.	Income taxes		
5.	Inventory of materials and supplies (valued at the lower cost or market)			22.	Other taxes		
6.	Marketable securities at cost ($ market value)	C		23.	Billing in excess of cost, etc.		
7.	Investment in joint ventures, etc. (only if currently liquidable)	D		24.	Current portion of long-term debt	I1	
8.	Prepaid expenses			25.	Other current liabilities		
9.	Other current assets	E		26.	Total current liabilities		
10.	Total Current Assets				FIXED LIABILITIES		
	FIXED ASSETS (NET)			27.	Long-term debt	I2	
11.	Construction and automotive equipment			28.	Less current portion (line 24)	I3	
12.	Land, buildings, office, and other			29.	Net long-term debt	I4	
13.	Total Fixed Assets				OTHER LIABILITIES		
	OTHER ASSETS			30.	Other noncurrent liabilities	J	
14.	Cash Value of life insurance (insurance loan value)	F		31.	Total Fixed and Other Liabilities		
15.	Other noncurrent assets				CAPITAL		
16.	Total Other Assets			32.	Individual or partnership capital		
				33.	Capital paid in	K	
				34.	Retained earnings	L	
				35.	Total Capital		
17.	GRAND TOTAL			36.	GRAND TOTAL		

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APPLICATION FOR CERTIFICATE OF ELIGIBILITY

LIST OF SUPPORTING SCHEDULES FOR DETAIL ACCOUNTS (See instructions.)

NOTE: Detail schedules listed below are required for all Certificates of Eligibility of less than $1,000,000. These special schedules are not required from applicants who file a certified audit report. The Kentucky Transportation Cabinet may demand from those applicants seeking Certificates of Eligibility in excess of $ 1,000,000 that such information be made available on an individual basis when the financial report warrants further explanation of facts not revealed in the notes of the examining auditor.

SCHEDULE A: Line 1 of balance sheet—Cash: List bank accounts and give name of bank, address, and nature of any restrictions.

SCHEDULE B1: Line 2 of balance sheet—Accounts Receivable: List all trade accounts over $1,000, subtotal 60-, 90-, 120-day items. Items 6 months or more must include details on separate schedule.

SCHEDULE B2: Line 3 of balance sheet—Other Receivables: List all receivables other than trade from any sources not otherwise specifically itemized in current assets.

SCHEDULE C: Line 6 of balance sheet—Marketable Securities: Requirements- Number of shares, description, face value, cost, current market value

SCHEDULE D: Line 7 of balance sheet—Investments in Joint Ventures, Etc.: The investment of a party to a joint venture and receivables from the joint ventures should be separately disclosed if items are material in amount. It may be possible to separate the investment in a joint venture and the receivables therefrom into current and noncurrent portions based upon the underlying assets of the joint venture. Interest in the equity of fixed assets of a joint venture is noncurrent for the purpose of this report until time of disposal, termination, or dissolution of the joint venture. Provisions for taxes must be made for receivables taken into income from such investments.

Schedule E: Line 9 of balance sheet—Other Current Assets: Enumerate and describe. Notes receivable from principals or individuals who are officers, stockholders, employees, and immediate relatives should be excluded from current assets for eligibility evaluation of the applicant unless special circumstances warrant consideration in the opinion of the examining officer. Explain.

Schedule F: Line 14 of balance sheet—Cash Value of Life Insurance, Less Loans: Requirements—Amount of policy, name of the life insured, beneficiary, cash value, loan; the beneficiary of the life insurance policies must be the applicant (if a corporation or partnership) for computing eligibility evaluation. Life insurance payable to persons other than the estate of individuals shall be excluded in the eligibility evaluation of other assets.

SCHEDULE G: Line 18 of balance sheet—Notes Payable: Requirements—Name of holder, security, due date, principal amount due

SCHEDULE H: Line 19 of balance sheet—Accounts Payable: List all trade accounts over $1,000, subtotal 60-, 90-, 120-day items. Items 6 months or more must include details on separate schedule.

SCHEDULE I: Line 27 of balance sheet—Long-Term Debt: Describe and provide breakdown of current portion of long-term debt due on lines 24 and 28 and net long-term debt due on line 29.

SCHEDULE J: Line 30 of balance sheet—Other Noncurrent Liabilities: Give details.

SCHEDULE K: Line 33 of balance sheet—Capital: Explain capital account relative to the amount of authorized and outstanding stocks.

SCHEDULE L: Line 34 of balance sheet—Retained Earnings: Explain surplus accounts relative to capital surplus or special restricted surplus accounts that affect future earnings.

KENTUCKY TRANSPORTATION CABINET
Department of Highways
DIVISION OF CONSTRUCTION PROCUREMENT

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APPLICATION FOR CERTIFICATE OF ELIGIBILITY

APPLICANT'S DETERMINATION OF MAXIMUM CAPACITY	ITEM # (from balance sheet)	ELIGIBILITY EVALUATION AMOUNT
1. Current assets	10	
2. Less current liabilities	26	
3. Net current assets (working capital lines 1 & 2)		
4. Cash value of life insurance	14	
5. Total (lines 3 & 4)		
6. Multiplying factor		x 12
7. Net current asset factor		
8. Book value of machine & equipment	11	
9. Multiplying factor		x 6
10. Total equipment value factor		
11. Total maximum capacity factor (lines 7 & 10)		

Refer to Kentucky Transportation Cabinet rules and regulations relating to prequalification of contractors for eligibility formula and allowed values.

TRANSPORTATION CABINET'S DETERMINATION OF ELIGIBILITY RATING	MAXIMUM %	PREVIOUS %	SUGGESTED %	APPROVED %
Organizational experience	20			
Plant & equipment	30			
Performance	50			
Total	100			

TOTAL MAXIMUM CAPACITY FACTOR ($ x %) PERCENT RATINGS

ELIGIBILITY RATING

KENTUCKY TRANSPORTATION CABINET
Department of Highways
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APPLICATION FOR CERTIFICATE OF ELIGIBILITY

The undersigned hereby certifies that neither the undersigned nor any member of the undersigned's family having an interest of ten percent (10%) or more in any business entity prequalifying with the Kentucky Transportation Cabinet has contributed more than the amount specified in KRS 121.056(2) to the campaign of the gubernatorial candidate election last preceding the date of the prequalification application.

SIGNATURE
X _____

TITLE
_____

NAME (company/corporation)
_____

STATE OF
_____

COUNTY OF
_____

The foregoing statement was acknowledged and sworn before me this ____day of _____, 20___.

My commission expires _____, 20___.

NOTARY PUBLIC
X _____

KENTUCKY TRANSPORTATION CABINET
Department of Highways
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APPLICATION FOR CERTIFICATE OF ELIGIBILITY

APPLICANT CERTIFICATION
Affidavit

STATE OF
_____
COUNTY OF
_____

I, _____, being duly sworn, certify that I am _____ (official title) of the firm hereinafter described which executed the foregoing application and financial statement, that I am familiar with the operation and financial records of the said firm, and do attest to the truth and correctness of answers made to interrogatories by the Kentucky Transportation Cabinet, Commonwealth of Kentucky.

Sworn before me this ____ day of _____, 20___

NOTARY PUBLIC
X _____

My commission expires _____, 20____

ORGANIZATION (name)
_____

BY (signature & title)
X _____

Affix seal here, if corporation

Person Preparing Financial Data, If Other Than the Above Officer

NAME	TITLE	ADDRESS
_____	_____	_____

KENTUCKY TRANSPORTATION CABINET
Department of Highways
DIVISION OF CONSTRUCTION PROCUREMENT

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AUTHORITY TO SIGN

The names, addresses, and titles of persons having authority to execute contract documents and obligations and to conduct other business for the organization, the signatures of whom are legally binding, are as follows.

NAME	ADDRESS	TITLE	AUTHORITY (*scope*)

Corporations (*foreign or domestic*) must list process agent(s) below.

I,_____, hereby certify that the persons listed in the foregoing are authorized agents of the organization and the signatures are legally binding. This date (*d/m/y*):

NAME (*firm*)	**BY**	**TITLE**

Return completed form to: 200 Mero Street, Frankfort, KY 40622

KYTC DEPARTMENT OF HIGHWAYS
LOW BIDDER EXTENDED LETTING
Call: 312 Contract ID: 10-1011 Sheet 2
Date Run :May 06, 2010 01:43 pm

County: ALLEN District: 03 Date Let : 02-19-10 Call: 312 Contid: 10-1011
Proposal Description: JL03 002 1332 005-006 Length: 0 KM Time: 26 WORKING
ASPHALT SURFACING NEW CONSTRUCTION POPE-HALFWAY ROAD (KY 133
Number of Bidders 5

				phone
1	02519	SCOTTY'S CONTRACTING AND STONE LLC	232,997.20	(270)781-3998
2	02980	CHARLES DEWEESE CONSTRUCTION INC	252,904.13	(270)586-9122
3	00232	HOLLAND INC	232,323.16	(270)781-7044
4	00890	SCOTT AND MURPHY INC	234,841.98	(270)781-9944
5	02623	HICON CONSTRUCTION LLC	312,017.13	(502)331-9330

ENGINEERS ESTIMATE 335,293.43 LOW BIDDER is -30.5 % FROM ENGINEER'S ESTIM
Apparent Low Bidder Low > SCOTTY'S CONTRACTING AND STONE LLC 232,997.20

Prop Line #	Item Description	Quantity	Unit	Low Bidder	Ext Amount
0010	IGA BASE	504.000	TON	18.8000	9,475.20
0020	TRAFFIC BOUND BASE	105.000	TON	19.0000	1,995.00
0030	CRUSHED AGGREGATE SIZE NO 2	2.000	TON	19.0000	38.00
0040	CL2 ASPH BASE 0.75D PG64-22	1,014.000	TON	71.1000	72,095.40
0050	CL2 ASPH SURF 0.38D PG64-22	141.000	TON	84.4500	11,907.45
0060	ENTRANCE PIPE-15 IN	40.000	LF	35.0000	1,400.00
0070	CULVERT PIPE-18 IN	68.500	LF	38.0000	2,603.00
0080	PERFORATED PIPE-4 IN	150.000	LF	9.0000	1,350.00
0090	NON-PERFORATED PIPE-4 IN	150.000	LF	9.0000	1,350.00
0100	PERF PIPE HEADWALL TY 3-4 IN	2.000	EACH	450.0000	900.00
0110	S & F BOX INLET-OUTLET-18 IN	2.000	EACH	2,000.0000	4,000.00
0120	REMOVE PAVEMENT	149.000	SQYD	10.0000	1,490.00
0130	ROADWAY EXCAVATION	9,722.000	CUYD	6.6000	64,165.20
0140	GUARDRAIL-STEEL W BEAM-S FACE	25.000	LF	66.3700	1,659.25
0150	GUARDRAIL TERMINAL SECTION NO 1	2.000	EACH	175.0000	350.00
0160	RIGHT-OF-WAY MONUMENT TYPE 1	13.000	EACH	52.0000	676.00
0170	WITNESS POST	4.000	EACH	36.0000	144.00
0180	CHANNEL LINING CLASS II	11.000	TON	50.0000	550.00
0190	CLEARING AND GRUBBING	1.000	LS	7,000.0000	7,000.00
0200	SIGNS	32.740	SQFT	7.0000	229.18
0210	EDGE KEY	19.000	LF	65.4000	1,242.60
0220	FABRIC GEOTEXTILE TY IV FOR PIPE	92.000	SQYD	2.0000	184.00
0230	MAINTAIN & CONTROL TRAFFIC	1.000	LS	2,500.0000	2,500.00
0240	MOBILIZATION FOR MILL & TEXT	1.000	LS	875.0000	875.00
0250	STAKING	1.000	LS	6,000.0000	6,000.00
0260	EROSION CONTROL BLANKET	829.000	SQYD	1.2100	1,003.09
0270	PAVE STRIPING-PERM PAINT-4 IN	2,808.000	LF	0.3900	1,095.12
0280	PIPELINE VIDEO INSPECTION	68.500	LF	16.7800	1,149.43
0290	KPDES PERMIT AND TEMP EROSION CONTROL	1.000	LS	2,500.0000	2,500.00
0300	TURF REINFORCEMENT MAT 2	170.000	SQYD	6.5000	1,105.00
0310	STEEL ENCASEMENT PIPE-8 IN	60.000	LF	128.0000	7,680.00
0320	STEEL ENCASEMENT PIPE-16 IN	105.000	LF	59.0000	6,195.00
0330	PVC PIPE-4 IN	142.000	LF	12.6000	1,789.20
0340	PVC PIPE-8 IN	137.000	LF	16.5000	2,260.50
0350	TIE-IN 4 IN	1.000	EACH	2,000.0000	2,000.00
0360	TIE-IN 4 IN	1.000	EACH	2,200.0000	2,200.00
0370	TIE-IN 8 IN	2.000	EACH	3,200.0000	6,400.00
0380	DEMOBILIZATION	1.000	LS	3,440.5800	3,440.58
	TOTAL BIDS				232,997.20

Certificate No.

Certificate of Eligibility

Issued By

Commonwealth of Kentucky

Transportation Cabinet

This certifies that

is hereby qualified to accept a contract or subcontract on projects of the Department of Highways for such a period as uncompletec work under prime contract at any time does not exceed the aggregate amount of . This certificate which expires is subject to revision or revocation, and is extended to 120 days from this expiration date. An application for renewal of this certificate must be filed within ninety days after the above date.

Types of Work

Date Issued:

By _____

State Highway Engineer

Department of Highways

KENTUCKY TRANSPORTATION CABINET
Department of Highways
DIVISION OF CONSTRUCTION PROCUREMENT

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CONTRACTOR NOTICE

NAME (*contractor*)		
ADDRESS (*street*)		
CITY	**STATE**	**ZIP**

Equal Employment Opportunity Policy

It is the policy of this company to assure that applicants are employed and that employees are treated during employment without regard to their race, religion, sex, age, disability, color, or national origin. Such action shall include employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and on-the-job training.

To assure proper implementation of the above policy at the project site, the following employee has been appointed an equal opportunity officer:

NAME (*equal employment opportunity officer*)		**PHONE**
ADDRESS (*street*)		
CITY	**STATE**	**ZIP**

Any problems, questions, and complaints of discrimination should be referred to the above-named equal opportunity officer and/or the Division of Construction Procurement, Compliance Section, Transportation Cabinet, Frankfort, Kentucky. Phone: (502) 564-3500

COMPANY OFFICER

_____

SIGNATURE (*contractor/subcontractor*)
X _____

TITLE **DATE**

_____

Kentucky Transportation Cabinet
Division of Construction Procurement
CONTRACTOR PERFORMANCE EVALUATION

TC 14-19
06/2015

Section 1: Project Completion Evaluation

Name
Contract ID#
Address #N/A
Phone Number #N/A
Completion Date

Prime/Sub?
County
City #N/A
Zip #N/A
State #N/A

Contractor Performance Report

CATEGORY Element	Standard Comment (Per Applicable Rating)	Level	Rating	Score	Max Score	%
A. Contractor Work Performance (Max=70)						
A1. Overall quality of work of the contractor (including subcontractors <$50,000)		5		0	25	0%
A2. Meeting of contract dates (including approved extensions)		3		0	15	0%
A3. Completion of job closout activities (punch list, clean-up, paperwork, etc)in a timely manner		3		0	15	0%
A4. Cooperation/Coordination with KYTC's Project Staff		3		0	15	0%
		CATEGORY A TOTAL		0	70	0%
B.Public Safety and Traffic Control (Max=40)						
B1. Maintenance of Traffic (proper signage, workers worked during allowable hours, public notification)		3		0	15	0%
B2. Overall Safety of Employees (including subcontractors)		5		0	25	0%
		CATEGORY B TOTAL		0	40	0%
C. Environmental Requirements (Max=15)						
C1. Performance of environmental mitigation methods (e.g. maintaining silt fencing, erosion control)		3		0	0	N/A
		CATEGORY C TOTAL		0	N/A	N/A
Section I Maximum Score				0	110	0%
						0.00

Comments:

Print
Sign
Section Engineer

Print
Sign
PD&P Branch Manager

District
Date 4/21/2016

TEBM
Date

Final Evaluation Report for Prime Contractor and Subcontractor(s) Ratings Explanations

Section A – Contractor Work Performance

A1. Overall quality of work of the contractor (including subcontractors) > $50,000
Choose a rating from 1 to 5 according to the following criteria:

1 **1:** Items were removed and replaced or deductions were made due to quality issues in accordance with specifications which totaled more than 5% of the total contract amount or the contractor failed to complete the project leading to a default of the contract.
2 **2:** Items were removed and replaced or deductions were made due to quality issues in accordance with specifications which totaled no more than 5% of the total contract amount.
3 **3:** The work was acceptable with all corrective work items and noted deficiencies being addressed per specifications.
4 **4:** No corrective work at final inspection.
5 **5:** No corrective work at final inspection and no material failures on the contract.

A2. Meeting of all contract dates (including approved extensions)
Choose a rating from 1 to 5 according to the following criteria:

1 **1:** Failed to complete the project by the established contract completion date **and** failed to meet an established milestone date in the contract.
2 **2:** Failed to complete the project by the established contract completion date **or** failed to meet an established milestone date in the contract.
3 **3:** Completed the project on schedule or up to 5% ahead of the contract completion date and met all established milestone dates in the contract.
4 **4:** Completed the project between 5% and 10% ahead of the contract completion date and met all established milestone dates in the contract.
5 **5:** Completed the project by more than 10% ahead of the contract completion date and met all established milestone dates in the contract.

A3. Completion of all job closeout activities (punch list, clean-up, paperwork, etc.)
Choose a rating from 1 to 5 according to the following criteria:

1 **1:** Failed to complete all corrective work and to submit all project documentation within 180 days of receiving the Comprehensive Final Inspection Report with the exception of the seeding and landscaping.
2 **2:** Failed to complete all corrective work and to submit all project documentation within 90 days of receiving the Comprehensive Final Inspection Report with the exception of the seeding and landscaping.
3 **3:** Completed all corrective work and submitted all project documentation within 90 days of receiving the Comprehensive Final Inspection Report except for seeding and landscaping.
4 **4:** Completed all corrective work submitted all project documentation within 30 days of receiving the Comprehensive Final Inspection Report except for seeding and landscaping.
5 **5:** There was no corrective work on this project and all project documentation was submitted as requested.

A4. Cooperation/coordination with KYTC's project staff
Choose a rating from 1 to 5 according to the following criteria:

1 **1:** Contractor did not adhere to submitted schedule and did not keep KYTC informed of changes and problems. Requests for information/submittals/records/etc. were routinely ignored. Numerous complaints from private citizens were not addressed.
2 **2:** Contractor did not adhere to submitted schedule and did not keep KYTC routinely informed of changes and problems. Requests for information/submittals/records/etc. were not handled in a timely manner.
3 **3:** Cooperation/coordination was timely and satisfactory throughout the project.
4 **4:** Contractor actively coordinated/communicated with Cabinet personnel and attempted to minimize the impact of delays on the project.
5 **5:** Contractor actively engaged as a project partner by coordinating progress meetings, scheduling work around events and delays, or by utilizing other resources to make the project a success. Contractor not only attempted to minimize impact of delays for the Cabinet, but put forth valuable suggestions to enhance project.

Section B – Public Safety and Traffic Control

B1. Maintenance of traffic (proper signage, workers worked during allowable hours, public notification
Choose a rating from 1 to 5 according to the following criteria:

1 **1:** Contractor was directed numerous times to correct deficiencies in the work zone. Liquidated Damages were assessed for failure to correct the noted deficiencies which were documented on the Traffic Control Inspection Report.
2 **2:** Contractor was directed to correct deficiencies in the work zone less than 5 times during the project. Contractor completed any noted deficiencies in a timely manner and liquidated damages were not assessed.
3 **3:** Traffic Control devices were installed as per standards with minimal direction.
4 **4:** Traffic Control devices were installed as per standards with no direction from KYTC.
5 **5:** Total project involvement by actively engaging advance warning techniques, oversize vehicle considerations, media dissemination, law enforcement officers, and on-site traffic control technicians.

B2. Overall safety of employees (including subcontractors)
Choose a rating from 1 to 5 according to the following criteria:

1 **1:** Received an OSHA citation and/or a serious hazard was noted by the Section Engineer and the issue(s) were not immediately corrected. Safety issues were not communicated with project partners.
2 **2:** Minor hazards noted by the Section Engineer, minimal communication regarding safety issues.
3 **3:** The Section Engineer noted no hazards during the project.**4:** Safety meetings were held (must be verified in order to rate). Any safety concerns were communicated.
4 **5:** Total safety project involvement by all project partners. All partners are involved with safety meetings and communication of potential safety problems being distributed to all parties including the Section Engineer and KYTC personnel.

Section C – Environmental Requirements

C1. Performance of environmental mitigation methods (e.g., maintaining silt fencing, erosion control)
Choose a rating from 1 to 5 according to the following criteria:

0 **0:** Environmental mitigation methods do not apply to this project.
1 **1:** EPA fines assessed against the project and/or erosion control liquidated damages were assessed on the project.
2 **2:** Erosion control devices were not adequately maintained. Corrective work at the completion of the project was excessive. Progressive seeding was not completed per contract requirements.
3 **3:** Erosion control devices were adequately maintained. Progressive seeding was completed per contract requirements. Contractor completed any necessary corrective work as a result of an Erosion Control Inspection Report (TC 63-61) within 7 calendar days of receipt of the report.
4 **4:** Vegetation was established with minimal corrective work at the completion of the project. Progressive seeding was completed per contract requirements.
5 **5:** Vegetation was established before the project was completed. Progressive seeding was completed per contract requirements. No corrective work at the completion of the project.

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COMMONWEALTH OF KENTUCKY
TRANSPORTATION CABINET - C35
ENCUMBRANCE FORM

TC 14-32
REV.06/01/08

DOC ID: XCONST0921017750001K
DEFERRED PAYMENT: N

REQUESTED BY: DIVISION OF CONSTRUCTION PROCUREMENT

DATE: 05/06/10

CONTRACT ID: 101775 ADMIN. DISTRICT: 05 PRIMARY COUNTY: JEFFERSON

PROJECT NO: ARRA 3001 (349)

DESCRIPTION: VARIOUS SIDEWALK IMPRCVEMENTS IN LOUISVILLE

CONTRACT COMPLETION: November 15, 2010 LETTING DATE: February 26, 2010 CALL NO: 151

PES NO: DL05672491075 FED/STATE PROJ NO: ARRA 3001(349) SYP NO: - FED TYPE:
PROJ WORK TYPE: SIDEWALK CONSTRUCTION

FUND	FUNC	LC	SUB FUNC	ACT	SUB ACT	OBJ	DEPT OBJ	PROGRAM	TASK ORDER	REPORTING CODE	ACCT TEMP	PO LINE		AMOUNT
0001 ARWY	12TS	FD52	5	056	4580		E797	8346701C				1	$	71,440.00
0002 ZEMC	12TS	FD52	5	056	4580		E797	8346701C				1	$	1,500.00

PROJECT TOTAL: $ 72,940.00

CONTRACT TOTAL: $ 72,940.00

CONTRACT AWARD AMOUNT: $ 72,940.00

CONTRACT/AGREEMENT ATTACHED

CONTRACT WITH: TRIPLETT STRIPING INC DBA TSI PAVING
1010 PROVIDENCE WAY
CLARKSVILLE, IN 47129
91007 VC0000026679

CP ADMIN: AB

DISTRIBUTION: DIVISICN OF ACCOUNTS
DIVISICN OF MATERIALS
DIVISICN OF CONSTRUCTION PROCUREMENT
DISTRICT OFFICE

Contract ID No.: _____

KENTUCKY TRANSPORTATION CABINET
Division of Construction Procurement
Compliance Section

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ENGINEER'S WAGE AND HOUR REPORT

Date: _____ County: _____

Project: _____

Name: _____

☐ Contractor ☐ Subcontractor

Foreman: _____

Address: _____

City/State: _____

Telephone: _____

FORMS POSTED:

* Wage Schedule	☐ YES	☐ NO
* Contractor Notice (TC 14-314)	☐ YES	☐ NO
* Non-Discrimination in Employment (TC 14-313)	☐ YES	☐ NO
Notice (Form FHWA 1022)	☐ YES	☐ NO
* Safety and Health Protection on the Job	☐ YES	☐ NO
* Kentucky Law Requires	☐ YES	☐ NO
Wage Rate Information (Form FHWA 1495)	☐ YES	☐ NO
Equal Employment Opportunity	☐ YES	☐ NO
ALL REQUIRED POSTERS	☐ YES	☐ NO

*** Posters Required on State Project Job Sites**

	NAME	ADDRESS		
1	OBSERVED WORKING AS	CLASSIFICATION	WAGE ☐ UNKNOWN	OVERTIME ☐ WORKED ☐ PAID
2	NAME	ADDRESS		
	OBSERVED WORKING AS	CLASSIFICATION	WAGE ☐ UNKNOWN	OVERTIME ☐ WORKED ☐ PAID
3	NAME	ADDRESS		
	OBSERVED WORKING AS	CLASSIFICATION	WAGE ☐ UNKNOWN	OVERTIME ☐ WORKED ☐ PAID
4	NAME	ADDRESS		
	OBSERVED WORKING AS	CLASSIFICATION	WAGE ☐ UNKNOWN	OVERTIME ☐ WORKED ☐ PAID
5	NAME	ADDRESS		
	OBSERVED WORKING AS	CLASSIFICATION	WAGE ☐ UNKNOWN	OVERTIME ☐ WORKED ☐ PAID
6	NAME	ADDRESS		
	OBSERVED WORKING AS	CLASSIFICATION	WAGE ☐ UNKNOWN	OVERTIME ☐ WORKED ☐ PAID
7	NAME	ADDRESS		
	OBSERVED WORKING AS	CLASSIFICATION	WAGE ☐ UNKNOWN	OVERTIME ☐ WORKED ☐ PAID
8	NAME	ADDRESS		
	OBSERVED WORKING AS	CLASSIFICATION	WAGE ☐ UNKNOWN	OVERTIME ☐ WORKED ☐ PAID
9	NAME	ADDRESS		
	OBSERVED WORKING AS	CLASSIFICATION	WAGE ☐ UNKNOWN	OVERTIME ☐ WORKED ☐ PAID
10	NAME	ADDRESS		
	OBSERVED WORKING AS	CLASSIFICATION	WAGE ☐ UNKNOWN	OVERTIME ☐ WORKED ☐ PAID

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Date:_____ County:_____ Name:_____

Project:_____ Contract ID No.: _____ ☐ Contractor ☐ Subcontractor

A. Do you know where wage rates are posted?
B. Have you been informed about your fringe benefits?
C. Have you been charged for tools used in performing your duties except for reasonable avoidable loss or damage thereto?
D. Have you ever been charged for any transportation furnished by contractor or his agents?
E. Has your employer made arrangements for the cashing of your paychecks?
F. When you work in a higher classification, are you paid the proper wage rate for that classification?

1._____
A. ☐ YES ☐ NO
B. ☐ YES ☐ NO
C. ☐ YES ☐ NO
D. ☐ YES ☐ NO
E. ☐ YES ☐ NO
F. ☐ YES ☐ NO

2._____
A. ☐ YES ☐ NO
B. ☐ YES ☐ NO
C. ☐ YES ☐ NO
D. ☐ YES ☐ NO
E. ☐ YES ☐ NO
F. ☐ YES ☐ NO

3._____
A. ☐ YES ☐ NO
B. ☐ YES ☐ NO
C. ☐ YES ☐ NO
D. ☐ YES ☐ NO
E. ☐ YES ☐ NO
F. ☐ YES ☐ NO

4._____
A. ☐ YES ☐ NO
B. ☐ YES ☐ NO
C. ☐ YES ☐ NO
D. ☐ YES ☐ NO
E. ☐ YES ☐ NO
F. ☐ YES ☐ NO

5._____
A. ☐ YES ☐ NO
B. ☐ YES ☐ NO
C. ☐ YES ☐ NO
D. ☐ YES ☐ NO
E. ☐ YES ☐ NO
F. ☐ YES ☐ NO

6._____
A. ☐ YES ☐ NO
B. ☐ YES ☐ NO
C. ☐ YES ☐ NO
D. ☐ YES ☐ NO
E. ☐ YES ☐ NO
F. ☐ YES ☐ NO

7._____
A. ☐ YES ☐ NO
B. ☐ YES ☐ NO
C. ☐ YES ☐ NO
D. ☐ YES ☐ NO
E. ☐ YES ☐ NO
F. ☐ YES ☐ NO

8._____
A. ☐ YES ☐ NO
B. ☐ YES ☐ NO
C. ☐ YES ☐ NO
D. ☐ YES ☐ NO
E. ☐ YES ☐ NO
F. ☐ YES ☐ NO

9._____
A. ☐ YES ☐ NO
B. ☐ YES ☐ NO
C. ☐ YES ☐ NO
D. ☐ YES ☐ NO
E. ☐ YES ☐ NO
F. ☐ YES ☐ NO

10._____
A. ☐ YES ☐ NO
B. ☐ YES ☐ NO
C. ☐ YES ☐ NO
D. ☐ YES ☐ NO
E. ☐ YES ☐ NO
F. ☐ YES ☐ NO

Resident Engineer_____ Signature_____
Print/Type Name Here

Compliance Officer_____ Signature_____
Print/Type Name Here

Contract ID No.: _____

KENTUCKY TRANSPORTATION CABINET
Division of Construction Procurement
Compliance Section

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ENGINEER'S WAGE AND HOUR REPORT
CONTINUATION PAGE

Date: _____ County: _____ Foreman: _____

Project: _____ Address: _____

Name: _____ City/State: _____

☐ Contractor ☐ Subcontractor

Telephone: _____

11	NAME		ADDRESS	
	OBSERVED WORKING AS	CLASSIFICATION	WAGE ☐ UNKNOWN	OVERTIME ☐ WORKED ☐ PAID
12	NAME		ADDRESS	
	OBSERVED WORKING AS	CLASSIFICATION	WAGE ☐ UNKNOWN	OVERTIME ☐ WORKED ☐ PAID
13	NAME		ADDRESS	
	OBSERVED WORKING AS	CLASSIFICATION	WAGE ☐ UNKNOWN	OVERTIME ☐ WORKED ☐ PAID
14	NAME		ADDRESS	
	OBSERVED WORKING AS	CLASSIFICATION	WAGE ☐ UNKNOWN	OVERTIME ☐ WORKED ☐ PAID
15	NAME		ADDRESS	
	OBSERVED WORKING AS	CLASSIFICATION	WAGE ☐ UNKNOWN	OVERTIME ☐ WORKED ☐ PAID
16	NAME		ADDRESS	
	OBSERVED WORKING AS	CLASSIFICATION	WAGE ☐ UNKNOWN	OVERTIME ☐ WORKED ☐ PAID
17	NAME		ADDRESS	
	OBSERVED WORKING AS	CLASSIFICATION	WAGE ☐ UNKNOWN	OVERTIME ☐ WORKED ☐ PAID
18	NAME		ADDRESS	
	OBSERVED WORKING AS	CLASSIFICATION	WAGE ☐ UNKNOWN	OVERTIME ☐ WORKED ☐ PAID
19	NAME		ADDRESS	
	OBSERVED WORKING AS	CLASSIFICATION	WAGE ☐ UNKNOWN	OVERTIME ☐ WORKED ☐ PAID
20	NAME		ADDRESS	
	OBSERVED WORKING AS	CLASSIFICATION	WAGE ☐ UNKNOWN	OVERTIME ☐ WORKED ☐ PAID

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Date:_____ County:_____ Name:_____

Project:_____ Contract ID No.: _____ ☐ Contractor ☐ Subcontractor

A. Do you know where wage rates are posted?
B. Have you been informed about your fringe benefits?
C. Have you been charged for tools used in performing your duties except for reasonable avoidable loss or damage thereto?
D. Have you ever been charged for any transportation furnished by contractor or his agents?
E. Has your employer made arrangements for the cashing of your paychecks?
F. When you work in a higher classification, are you paid the proper wage rate for that classification?

11._____
A. ☐ YES ☐ NO
B. ☐ YES ☐ NO
C. ☐ YES ☐ NO
D. ☐ YES ☐ NO
E. ☐ YES ☐ NO
F. ☐ YES ☐ NO

12._____
A. ☐ YES ☐ NO
B. ☐ YES ☐ NO
C. ☐ YES ☐ NO
D. ☐ YES ☐ NO
E. ☐ YES ☐ NO
F. ☐ YES ☐ NO

13._____
A. ☐ YES ☐ NO
B. ☐ YES ☐ NO
C. ☐ YES ☐ NO
D. ☐ YES ☐ NO
E. ☐ YES ☐ NO
F. ☐ YES ☐ NO

14._____
A. ☐ YES ☐ NO
B. ☐ YES ☐ NO
C. ☐ YES ☐ NO
D. ☐ YES ☐ NO
E. ☐ YES ☐ NO
F. ☐ YES ☐ NO

15._____
A. ☐ YES ☐ NO
B. ☐ YES ☐ NO
C. ☐ YES ☐ NO
D. ☐ YES ☐ NO
E. ☐ YES ☐ NO
F. ☐ YES ☐ NO

16._____
A. ☐ YES ☐ NO
B. ☐ YES ☐ NO
C. ☐ YES ☐ NO
D. ☐ YES ☐ NO
E. ☐ YES ☐ NO
F. ☐ YES ☐ NO

17._____
A. ☐ YES ☐ NO
B. ☐ YES ☐ NO
C. ☐ YES ☐ NO
D. ☐ YES ☐ NO
E. ☐ YES ☐ NO
F. ☐ YES ☐ NO

18._____
A. ☐ YES ☐ NO
B. ☐ YES ☐ NO
C. ☐ YES ☐ NO
D. ☐ YES ☐ NO
E. ☐ YES ☐ NO
F. ☐ YES ☐ NO

19._____
A. ☐ YES ☐ NO
B. ☐ YES ☐ NO
C. ☐ YES ☐ NO
D. ☐ YES ☐ NO
E. ☐ YES ☐ NO
F. ☐ YES ☐ NO

20._____
A. ☐ YES ☐ NO
B. ☐ YES ☐ NO
C. ☐ YES ☐ NO
D. ☐ YES ☐ NO
E. ☐ YES ☐ NO
F. ☐ YES ☐ NO

Resident Engineer_____ Signature_____
Print/Type Name Here

Compliance Officer_____ Signature_____
Print/Type Name Here

Contract ID:101015

NON-COLLUSION CERTIFICATION

COMMONWEALTH OF KENTUCKY

COUNTY WHITLEY

PROJECT NO. STP 0751(076)

I, Jim , Company President ,
(Name of officer signing certification) (Title)
under penalty of perjury under the laws of the United States, do hereby certify that

jim
(Name of Individual, Co-Partnership, or Corporation submitting bid)

its agent, officers or employees have not directly or indirectly entered into any agreement, participated in any collusion, or otherwise taken action in restraint of free competitive bidding in connection with this proposal.

_____ Company President
(Signature) (Title)

NON-COLLUSION CERTIFICATION

COMMONWEALTH OF KENTUCKY

COUNTY WHITLEY

PROJECT NO. STP 0751(076)

I, Jim , Company President ,
(Name of officer signing certification) (Title)
under penalty of perjury under the laws of the United States, do hereby certify that

jim
(Name of Individual, Co-Partnership, or Corporation submitting bid)

its agent, officers or employees have not directly or indirectly entered into any agreement, participated in any collusion, or otherwise taken action in restraint of free competitive bidding in connection with this proposal.

_____ Company President
(Signature) (Title)

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Contract ID:101015

CERTIFICATION OF ORGANIZATION(S)

COMMONWEALTH OF KENTUCKY

COUNTY WHITLEY

PROJECT NO. STP 0751(076)

I, , ,
(Name of Officer or Authorized Agent) (Title)

under penalty of perjury under the laws of the United States, do hereby certify that, except as noted below,

jim
(Name of Individual, Co-Partnership, or Corporation submitting bid)

any person associated therewith in the capacity of (owner, partner, director, officer, principal investigator, project director, manager, auditor, or any position involving the Administration of Federal Funds): is not currently under suspension, debarment, voluntarily exclusion, or determination of ineligibility by any federal agency; has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years; does not have a proposed debarment pending; and has not been indicted, convicted, or had a civil judgement rendered against (it) by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

Please list below any exceptions to the foregoing, to whom it applies, initiating agency and dates of action.

Exceptions:

_____
(Signature) (Title)

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Contract ID:101015

CERTIFICATION OF PERFORMANCE

Certification with regard to the Performance of Previous Contracts or Subcontracts subject to the Equal Opportunity Clause and the filing of Required Reports.

The , hereby certifies that he , participated in previous contract or subcontract subject to the equal opportunity clause, as required by Executive Orders 10925, 11114, or 11246, and that he , filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the Former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

jim
(Name of Individual, Co-Partnership, or Corporation submitting bid)

(Name of Officer or Authorized Agent) (Title)

_____
(Signature)

Date:

NOTE: The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b)(1)), and must be submitted by bidders and proposed subcontractors only in connection with the contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of $10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders of their implementing regulation.

Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed reports should note that 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

Contract ID:101015

CERTIFICATION FOR FEDERAL-AID CONTRACT

The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agent.
2. If any funds other than the Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

This certification is a material representation of fact which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

The prospective participation also agrees by submitting his or her bid proposal that he or she shall require the language of this certification be included in all lower tier subcontracts, which exceed $100,000 and that all such subrecipients shall certify and disclose accordingly.

jim
(Name of Individual, Co-Partnership, or Corporation submitting bid)

(Name of Officer or Authorized Agent) (Title)

_____
(Signature)

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Contract ID:101015

CERTIFICATION OF BID PROPOSAL / DBE

We (I) proposed to furnish all labor, equipment and materials necessary to construct and/or improve the subject project in accordance with the plans, proposal, the Transportation Cabinet's Standard Specifications for Road and Bridge Construction, current edition, special provisions, notes applicable to the project as indicated herein and all addenda issued on this project.

We (I) attach a bid guaranty as provided in the special provisions in an amount not less than 5% of the total bid. We agree to execute a contract in accordance with this proposal within 15 calendar days after the receipt of the notice of award for the project.

We (I) have examined the site of proposed work, project plans, specifications, special provisions, and notes applicable to the project referred to herein. We understand that the quantities shown herein are estimated quantities subject to increase or decrease as provided in the specifications.

We (I) acknowledge receipt of all addendum(s) (if applicable) and have made necessary revisions to the bid proposal. We have considered all addendum(s) in calculation of the submitted bid and applied the updated bid items, which are included.

"The bidder certifies that it has secured participation by Disadvantaged Business Enterprises(DBE) in amount of - - percent of the total value of this contract and that the DBE participation is in compliance with the requirements of 49 CFR 26 and the policies of the Kentucky Transportation Cabinet pertaining to the DBE Program."

For Electronic Bidding - We (I) certify by signing this bid with a digital signature, which is considered a valid binding signature, all documents contained in the Bid Packet produced by the Expedite Bidding Program.

jim

(Name of Individual, Co-Partnership, or Corporation submitting bid)

(Name of Officer of Authorized Agent) Title

_____

(Signature)

,

When two or more organizations bid as a joint venture, enter names of each organization and an authorized agent for each organization must sign above.

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Contract ID:101015

DBE SUB-CONTRACTOR BIDDERS LIST

The Department of Transportation Federal Regulations require that the Kentucky Transportation Cabinet provide a bidders list to be maintained in the Office of Personnel Management, Small Business Development Branch (49 CFR 26:11) for each federally funded project awarded.

Project No. STP 0751(076)
List all quotes/bids received on this project.

DBE (Disadvantaged Business Enterprise) Contractors, Consultants, and Suppliers submitting quotes/bids for this project:

1.
2.
3.
4.
5.
6.
7.
8.

DBE (Disadvantaged Business Enterprise) Contractors, Consultants, and Suppliers contacted who did NOT submit quotes/bids for this project.

1.
2.
3.
4.
5.
6.
7.
8.

Non-DBE (Disadvantaged Business Enterprise) Contractors, Consultants, and Suppliers submitting quote/bids for this project.

1.
2.
3.
4.
5.
6.
7.
8.

If you need additional space, please attach a separate page. If you need assistance regarding this form, please contact Melvin Bynes or Anita Hall at (502)564-3601.

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Contract ID:101015

KENTUCKY TRANSPORTATION CABINET TC14-14
DEPARTMENT OF HIGHWAYS
FRANKFORT, KENTUCKY
PROPOSAL or BID BOND

Bond ID:
Surety Registry Agency:
Bond Pct:
Surety State:

KNOW ALL MEN BY THESE PRESENTS that we,
as PRINCIPAL, and the a corporation
organized and existing under the laws of the State of
having its principal place of business at

as SURETY, are held and firmly bound unto the TRANSPORTATION CABINET in its official capacity as agent of the Commonwealth of Kentucky, hereinafter called the CABINET, as OBLIGEE, in the penal sum of 5% of the total bid (DOLLARS), lawful money of the United States of America, for the payment of which, well and truly to be made, we bid ourselves,our heirs,executors,administrators, successors and assigns jointly and severally,firmly by these presents.

WHEREAS the principal is herewith submitting its bid proposal, attached hereto, with the CABINET, dated , ,
for Project No. , Contract ID ,
Call , County
for work described in said bid proposal.

The CONDITION OF THE ABOVE OBLIGATION IS SUCH that,if the aforesaid principal shall be awarded the contract,the said principal will,within fifteen (15) days after the notice of such award, execute the contract with required performance and payment bond, provide satisfactory evidence of required liability insurance (furnish evidence of compliance with process agent's requirements (See 102.15, Spec.) and provide the proposed work schedule, then this obligation shall be null and void. Upon the failure or refusal of the principal herein to provide all of the foregoing requirements or the omission, failure or refusal to provide any one of the same, then in this event the bond shall be considered forfeited and the principal and the surety will pay unto the obligee the penal sum of 5% of the total bid (DOLLARS) upon demand, which is
the amount of this bid bond and for which the principal and surety have obligated themselves herein, as agreed damages. However, in no event shall the surety's Liability exceed the penal sum hereof.

PRINCIPAL:
Attest: jim

_____ ,
(Corporate Seal)

BY _____

SURETY:
Attest: _____
_____

_____ _____

BY _____

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KENTUCKY TRANSPORTATION CABINET
Department of Highways
DIVISION OF CONSTRUCTION PROCUREMENT

TC 14-313
Rev. 06/2009
Page 1 of 1

NONDISCRIMINATION IN EMPLOYMENT

TO (*name*)

The undersigned currently holds with the Transportation Cabinet, Commonwealth of Kentucky, Frankfort, Kentucky, CID # _____ for FED/STATE PROJECT # _____.

You are advised that under the provisions of the above contract or subcontract and in accordance with KRS Chapter 344, *An Act of the Kentucky General Assembly to Prevent Discrimination in Employment* and Presidential Executive Order No. 11246 of September 24, 1965, the undersigned is obliged not to discriminate against any employee or applicant for employment because of race, color, age, sex, religion, or national origin. This obligation not to discriminate in employment includes, but is not limited to, the following:

- Hiring, placement upgrading, transfer, or demotion
- Recruitment, advertising, or solicitation for employment
- Training during employment
- Rates of pay or other forms of compensation
- Selection for training including apprenticeship
- Layoff or termination

This notice is furnished to you pursuant the provisions of the above contract or subcontract and KRS Chapter 344 in regard to nonfederal-aid projects and Presidential Executive Order No. 11246 of September 24, 1965, in regard to federal-aid projects.

SIGNATURE (*contractor/subcontractor*)

_____

BY

_____

DATE

_____

The contractor shall post copies of this notice in conspicuous places available to employees or applicants for employment.

KENTUCKY TRANSPORTATION CABINET
Department of Highways
DIVISION OF CONSTRUCTION PROCUREMENT

TC 14-310
Rev. 08/2007
Page 1 of 1

NOTICE OF SELECTION OF TRAINEE AND TRAINEE WORK HISTORY

NAME (*contractor*)	**COUNTY #**	**FED/STATE PROJECT #**	**CONTRACT ID**
NAME (*trainee*)		**SSN** (*last four digits*)	**PHONE**
ADDRESS (trainee's *street*)			
CITY		**STATE**	**ZIP**
CLASSIFICATION		**WAGE** (*per hour*)	

ETHNIC GROUP (*Check one.*)

- ☐ American Indian or Alaskan Native
- ☐ Asian or Pacific Islander
- ☐ Black
- ☐ Hispanic
- ☐ Other: _____

GENDER (*Check one.*)

- ☐ Male
- ☐ Female

REFERRAL SOURCE

WORK HISTORY (*List all work experience related to construction work.*)

NOTE: This report must be filed and approved prior to trainee commencing work.
Return completed form to: 200 Mero Street, Frankfort, KY 40622

FOR TRANSPORTATION CABINET USE ONLY

☐ **Approved** **BY** (*Division of Construction Procurement*) **DATE**

REMARKS

TRANSPORTATION CABINET
Frankfort, Kentucky 40622
www.transportation.ky.gov/

Steven L. Beshear
Governor

Michael W. Hancock, P.E.
Acting Secretary

June 10, 2010

THE ALLEN COMPANY INC
3009 ATKINSON AVE SUITE 300
Lexington, KY 40509

RE: NOTICE TO BEGIN WORK - Contract ID #101019
AWARD AMOUNT - $ 32,854,330.28
LETTING DATE - May 28, 2010
AWARD DATE - June 03, 2010
CLARK COUNTY, IM-NH 0645(068)
ASPHALT SURFACE WITH BRIDGE

Dear Contractor:

We are enclosing for your record a copy of the executed contract on the subject project. This is your notice to proceed with the contract work.

When the contract specifies a fixed completion date, the contractor will begin work after receipt of the Notice to Begin Work at such time as will enable him to complete the work by the specified completion date. Please inform the District Engineer at once as to your plans for beginning work on this project.

The contract time established for the completion of this project is November 01, 2011 .

Sincerely yours,

Ryan Griffith

Ryan Griffith, Director
Division of Construction Procurement

RG/AB

CC: DIVISION OF ACCOUNTS
DIVISION OF CONSTRUCTION
DIVISION OF MATERIALS
DISTRICT ENGINEER
CONTRACTORS ASSOCIATION
HARTFORD FIRE INSURANCE COMPANY
PHOENIX INSURANCE COMPANY
BIG MEADOW OIL COMPANY
SPARTAN CONSTRUCTION
BAR-TIE REINFORCING

Kentucky UNBRIDLED SPIRIT

An Equal Opportunity Employer M/F/D

PART 1

TRANSPORTATION CABINET
DEPARTMENT OF HIGHWAYS
DIVISION OF CONSTRUCTION PROCUREMENT
FRANKFORT, KENTUCKY

POSTED: June 18, 2010
NOTICE TO CONTRACTORS

SEALED BIDS WILL BE RECEIVED ELECTRONICALLY THROUGH THE BID EXPRESS BIDDING SERVICE UNTIL 10:00 AM (EASTERN DAYLIGHT TIME) ON FRIDAY , JULY 30, 2010. BIDS WILL BE PUBLICLY OPENED AND READ AT 10:00 AM (EASTERN DAYLIGHT TIME) FOR IMPROVEMENT OF:

CALL 200 CONTRACT ID 101321

MCCRACKEN, LIVINGSTON COUNTIES
BRO 0601 (159)
PADUCAH-SMITHLAND ROAD (US60)
THE DBE PARTICIPATION GOAL IS 0.80%.

LIVINGSTON COUNTY
BRO 0601 (159): PADUCAH-SMITHLAND ROAD (US 60) CONSTRUCT BRIDGE AND APPROACHES OVER TENNESSEE RIVER , A DISTANCE OF 0.93 MILES. BRIDGE WITH GRADE, DRAIN & SURFACE.
SYP NO. 01-01115.08.

MCCRACKEN COUNTY
BRO 0601 (159): PADUCAH-SMITHLAND ROAD (US 60) CONSTRUCT BRIDGE AND APPROACHES OVER TENNESSEE RIVER , A DISTANCE OF 0.54 MILES. BRIDGE WITH GRADE, DRAIN & SURFACE.
SYP NO. 01-01115.08.

* A MANDATORY PRE-BID MEETING WILL BE HELD ON July 07, 2010, 10:00 A.M. PREVAILING TIME, AT THE TRANSPORTATION CABINET OFFICE BUILDING, 200 MERO STREET, FRANKFORT, KY 40622.

* ANY COMPANY THAT IS INTERESTED IN BIDDING ON THE SUBJECT PROJECT OR BEING PART OF A JOINT VENTURE MUST BE REPRESENTED AT THE PRE-BID MEETING.

* NO INDIVIDUAL CAN REPRESENT MORE THAN ONE COMPANY. AT THE MEETING, A ROSTER WILL BE TAKEN OF THE REPRESENTATIVES PRESENT. ONLY COMPANIES REPRESENTED AT THE MEETING WILL BE ELIGIBLE TO HAVE THEIR BIDS OPENED AT THE DATE OF LETTING.

NOTICE TO CONTRACTORS
JULY 30, 2010 LETTING
PAGE 2

ANY PROSPECTIVE BIDDERS INTERESTED IN REVIEWING THESE PROJECTS AT THE SITE AND DISCUSSING THE PARTICULARS OF THESE PROJECTS SHALL CONTACT THE DISTRICT OFFICE PRIOR TO 12:00 NOON, PREVAILING TIME, JULY 19, 2010. UPON REQUEST, THE DISTRICT OFFICE SHALL PROVIDE PERSONNEL ON JULY 20, 2010, TO GO OVER THE PROJECT WITH ANY PROSPECTIVE BIDDERS.

PROPOSALS FOR THESE PROJECTS WILL BE AVAILABLE AT THE DIVISION OF CONSTRUCTION PROCUREMENT WEBSITE. CONTRACTORS MUST REGISTER FOR EACH PROJECT THEY INTEND TO BID. THE BIDDER REGISTRATION FORM IS AVAILABLE ON THE WEBSITE. THE DEPARTMENT WILL ONLY ACCEPT BID REGISTRATION FORMS FROM CONTRACTORS WHO ARE PREQUALIFIED IN THE WORK CATEGORIES OF THE PARTICULAR PROJECT.

INTERNET:
THE DIVISION OF CONSTRUCTION PROCUREMENT HAS ESTABLISHED A WEB PAGE ON THE INTERNET. BY USING THE FOLLOWING ADDRESS: WWW.TRANSPORTATION.KY.GOV/CONTRACT. YOU MAY THEN VIEW AND/OR DOWNLOAD THE FOLLOWING FILES:

(1)BULLETINS. (2)BID ITEM QUANTITY INDEX. (3)ELIGIBLE BIDDERS LIST. (4)NOTICE TO CONTRACTORS.
(5)BIDDER REGISTRATION FORM. (6)UNIT BID TABULATIONS. (7)PROPOSALS. (8)EXPEDITE BID FILES.
(9)QUESTIONS AND ANSWERS. (10)LETTING RESULTS. (11)DESIGN BUILD PROJECTS.
(12)EXPEDITE SOFTWARE. (13)ANTICIPATED EARNING SPREADSHEET. (14)PREQUALIFIED CONTRACTORS LIST.
(15)E-FORMS LIBRARY. (16)AVERAGE UNIT BID PRICES. (17)ASPHALT PRICE INDEX.
(18)GENERAL PARTICIPATION PLAN. (19) DBE DIRECTORIES. (20)ADDENDUM HISTORY.
(21)QUALIFICATIONS FOR BIDDERS. (22) LETTING SPECIFIC BULLETINS.

REQUEST FOR PROPOSALS SHOULD BE MADE TO:
DEPARTMENT OF HIGHWAYS
TRANSPORTATION CABINET OFFICE BUILDING
DIVISION OF CONSTRUCTION PROCUREMENT
200 MERO STREET, 3RD FLOOR
FRANKFORT, KENTUCKY 40622
TELEPHONE: (502) 564-3500
FAX: (502) 564-8961 OR (502) 564-7299

REQUEST FOR PLANS (NON REFUNDABLE) SHOULD BE MADE TO:

HTTP://WWW.LYNNIMAGING.COM/KYTRANSPORTATION/

TRANSPORTATION CABINET
FRANKFORT, KENTUCKY

DIVISION OF CONSTRUCTION PROCUREMENT OFFICIAL ORDER NO. 106002

SUBJECT: AWARD OF PROJECT

IN ACCORDANCE WITH OFFICIAL ADVERTISEMENT, BIDS WERE PUBLICLY OPENED AND READ AT THE CENTRAL OFFICE OF THE DEPARTMENT OF HIGHWAYS ON APRIL 23, 2010.

THE AWARDS COMMITTEE REVIEWED AND CONSIDERED THE BIDS RECEIVED ON THE FOLLOWING PROJECT, AND UPON RECOMMENDATION OF THE AWARDS COMMITTEE, IT IS HEREBY DIRECTED THAT THE FOLLOWING PROJECT BE AWARDED, THAT THE SUCCESSFUL BIDDER BE SO NOTIFIED IN WRITING, AND A CONTRACT BE EXECUTED.

CALL #104 CONTRACT ID #101313
JEFFERSON COUNTY, BRZ 0503 (216): OLD LAGRANGE ROAD (CR 1010), BRIDGE WITH GRADE, DRAIN & SURFACE, A DISTANCE OF 0.04 MILES. THE LOW BID WAS SUBMITTED BY LOUISVILLE PAVING COMPANY INC, 1801 PAYNE STREET, Louisville, KY, IN THE AMOUNT OF $243,197.92.

SIGNED AND APPROVED THIS 3RD DAY OF May, 2010.

STATE HIGHWAY ENGINEER - DEPARTMENT OF HIGHWAYS

TRANSPORTATION CABINET
FRANKFORT, KENTUCKY

DIVISION OF CONSTRUCTION PROCUREMENT

OFFICIAL ORDER NO. 106081

SUBJECT: REJECTION OF PROJECT

IN ACCORDANCE WITH OFFICIAL ADVERTISEMENT, BIDS WERE PUBLICLY OPENED AND READ AT THE CENTRAL OFFICE OF THE DEPARTMENT OF HIGHWAYS ON MAY 28, 2010.

THE AWARDS COMMITTEE REVIEWED AND CONSIDERED THE BID RECEIVED ON THE FOLLOWING PROJECT, AND UPON RECOMMENDATION OF THE AWARDS COMMITTEE, IT IS HEREBY DIRECTED THAT THE FOLLOWING PROJECT BE REJECTED.

CALL #301 CONTRACT ID #102055
GREENUP COUNTY, FD05 045 0023 000-005: COUNTRY MUSIC HIGHWAY (US 23), ASPHALT RESURFACING, A DISTANCE OF 4.48 MILES.

SIGNED AND APPROVED THIS 8th DAY OF June, 2010.

STATE HIGHWAY ENGINEER - DEPARTMENT OF HIGHWAYS

U.S. DEPARTMENT OF TRANSPORTATION
FEDERAL HIGHWAY ADMINISTRATION

SEMIANNUAL LABOR COMPLIANCE ENFORCEMENT REPORT

(Pursuant to 29 C.F.R. 5.7 (b))

STATE OR DIVISION

DATE

FOR PROJECTS SUBJECT TO THE DAVIS-BACON AND RELATED ACTS

ITEM		NUMBER/AMOUNT
1. Period Covered From: To:		
2. Number of Prime Contracts Awarded		
3. Total Dollar Amount of Prime Contracts Awarded		
4. Number of Contractors/Subcontractors Against Whom Complaints Were Received		
5. Number of Investigations Completed		
6. Number of Contractors/Subcontractors Found in Violation		
7. Amount of Wages found DUE	(a) Davis-Bacon and Related Acts	
	(b) Contract Work Hours and Safety Standards Act	
8. Amount of Back Wages Paid	(a) Davis-Bacon and Related Acts	
	(b) Contract Work Hours and Safety Standards Act	
9. Number of Employees Due Wage Restitution Under the Davis-Bacon and Related Acts and Contract Work Hours and Safety Standards Act		
10. Amount of Liquidated Damages Assessed Under the Contract Work Hours and Safety Standards Act		

11. REMARKS

RESPONSIBLE OFFICER

TITLE

SIGNATURE

Send To:

DOL - Wage and Hour Division
Office of Enforcement Policy
Government Contracts Team, Room S-3018
200 Constitution Ave., NW
Washington DC 20210

Form FHWA-1494 (Rev. 4-98)
(INF4.2, 4/8/98)

(See next page for instructions)

PREVIOUS EDITIONS ARE OBSOLETE
This form was electronically produced by Elite Federal Forms, Inc.

INSTRUCTIONS

General:

1. Reports shall be submitted to the Department of Labor by each Division Administrator. The report is due on or before April 30 and October 30 of each year.

2. Violations of the Fair Labor Standards Act shall not be included in the reports.

Comments on Report Items:

1. Enter the beginning and ending dates (either October 1 through March 31 or April 1 through September 30) of the period covered by each semi-annual report.

2. Enter the total number of contracts awarded by FHWA and/or the State departments of transportation which are subject to the Davis Bacon and Related Acts and the Contract Work Hours and Safety Standards Act.

3. Enter the total dollar amount of the contracts from item 2.

4. Enter the total number of contractors/subcontractors against whom complaints were received.

5. Enter the total number of full scale investigations completed. A full scale investigation is a complete and detailed investigation into the administration of labor standards provisions. Do not include routine payroll checks. However, a full scale investigation may be one limited to some portion of a contractor's/subcontractor's operation such as a single paving operation.

6. Enter the number of contractors/subcontractors found to be in violation as a result of the investigations reported in item 5. Do not count a contractor/subcontractor more than once in a single investigation because he/she has violated more than one act or because more than one contract is included in the investigation.

7. Enter the total dollar amount of wages found to be due employees of the contractors/subcontractors reported in item 6. Separate the dollar amounts according to (a) violations of the Davis-Bacon and Related Acts and (b) violations of the Contract Work Hours and Safety Standards Act.

8. Enter the total dollar amounts of back wages paid to employees of the contractor/subcontractor reported in item 6. Separate the dollar amount according to (a) violations of the Davis-Bacon and Related Acts and (b) violations of the Contract Work Hours and Safety Standards Act.

9. Enter the number of employees to whom the wages were paid in item 8. This figure is the unduplicated count, i.e., an employee paid wages as a result of an investigation shall be counted only once regardless of the fact that he/she may have been paid under both Davis-Bacon and Related Acts and the Contract Work Hours and Safety Standards Act.

10. Enter the total dollar amount of liquidated damages assessed as a result of violations of the Contract Work Hours and Safety Standards Act.

11. Enter any explanation or comment considered necessary to a proper understanding of the report. A separate sheet may be attached if necessary.

KENTUCKY TRANSPORTATION CABINET
Department of Highways
DIVISION OF CONSTRUCTION PROCUREMENT

TC 14-309
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Page 1 of 1

TRAINEE CLASSIFICATION FORM AND NOTICE OF SELECTION OF TRAINING PROGRAM

NAME (*contractor*)	**Prime Contractor** ☐ **Subcontractor** ☐	**CONTRACT ID**
ADDRESS (*street*)		**FED/STATE PROJECT #**
CITY	**STATE**	**ZIP**
TRAINEE REPRESENTATIVE	**COUNTY**	**PHONE**

☐ Kentucky Association of Highway Contractors
☐ Highway Contractors, Inc. & International Union of Operating Engineers, Local 181
☐ Heavy & Highway Contractors Multi-Employer Bargaining Assoc. & United Steelworkers of America, ALF-CIO, CLC
☐ Iron Worker Employers Assoc. & The International Assoc. of Bridge, Structural, Ornamental, & Reinforcing, Local 70
☐ Ohio Operating Engineers Apprenticeship & Training Fund
☐ Kentucky State District Council of Carpenters
☐ Whitney M. Young , Jr. Job Corps Center
☐ Other

CLASSIFICATION	**TRAINEES** (#)	**SUB'S TRAINEES** (#)	**TENTATIVE STARTING DATE**

CERTIFIED BY (*contractor's authorized agent*)

REPORT TO:
Kentucky Transportation Cabinet
Div. of Construction Procurement, Compliance Section
200 Mero St, Third Floor
Frankfort, Ky 40622
(502) 564-3500

NOTE: This report must be filed for approval prior to commencing construction.

FOR TRANSPORTATION CABINET USE ONLY

☐ **Approved**

BY (*Division of Construction Procurement*)

DATE

REMARKS

KENTUCKY TRANSPORTATION CABINET
Department of Highways
DIVISION OF CONSTRUCTION PROCUREMENT

TC 14-318
Rev. 02/2013
Page 1 of 1

WAGE COMPLAINT BY EMPLOYEE

INSTRUCTIONS: Promptly after violation, file complaint with the Division of Construction Procurement or Section Engineer.

SECTION 1: COMPLAINANT INFORMATION

FIRST NAME	LAST NAME	DATE	PHONE

ADDRESS	CITY	STATE	ZIP

SECTION 2: PROJECT/CONTRACTOR INFORMATION

PROJECT IDENTIFICATION

LOCATION	DEPARTMENT REPRESENTATIVE

CONTRACTOR NAME	CONTRACTOR SUPERINTENDENT/FOREMAN

ADDRESS	CITY	STATE	ZIP

SECTION 3: COMPLAINT INFORMATION

NATURE OF COMPLAINT *(Check all that apply.)* ☐ Improperly Classified ☐ Wages Incorrect ☐ Overtime Claimed ☐ Other *(Explain.)* _____

EMPLOYMENT DATES	FROM DATE	TO DATE	

TIME FRAME OF ALLEGED VIOLATION

STATEMENT OF ALLEGED VIOLATION

SIGNATURE *(complainant)* **DATE**

REMARKS *(For Division of Construction Procurement Office Use Only)*

KENTUCKY TRANSPORTATION CABINET
Department of Highways
DIVISION OF CONSTRUCTION PROCUREMENT

TC 14-311
Rev. 06/2007
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WEEKLY TRAINING REPORT

NAME (*contractor*)	**COUNTY #**	**FED/STATE PROJECT #**	**CONTRACT ID**
NAME (*trainee*)		**SSN** (*last four digits*)	**WAGE** (*per hour*)
CLASSIFICATION			

ETHNIC GROUP (*Check one.*)

- ☐ American Indian or Alaskan Native
- ☐ Asian or Pacific Islander
- ☐ Black
- ☐ Hispanic
- ☐ Other: _____

GENDER (*Check one.*)

- ☐ Male
- ☐ Female

DATE (*training started*)

Reporting Hours in Accordance with Individual Training Program

TRAINING CATEGORY	**PHASE I**	**PHASE II**	**PHASE II**	**TOTALS**
HOURS TRAINED THIS WEEK				
HOURS REQUIRED				
TOTAL HOURS TRAINED				
TRAINING HOURS REMAINING				

REMARKS

PREPARED BY	**TITLE**	**DATE**

Return completed form to: 200 Mero Street, Frankfort, KY 40622

BIDDER REGISTRATION FORM

Letting: July 30, 2010 PART 1, 2 & 3

Submit Form

Bidders: Contractors desiring to submit a bid (Either Printed version or electronic bid via Bid Express) MUST register with KYTC as a valid bidder.
Failure to register will cause failure of the Bid Express bid submission process.
Please complete this form and FAX to 502-564-8961 or 502-564-7299 or email kytc.bidrequest@ky.gov

Company Name: _____ Vendor # _____

Requested By: _____ Phone #: _____ Email: _____

	CALL	CID	COUNTY			CALL	CID	COUNTY			CALL	CID	COUNTY			CALL	CID	COUNTY
	100	101320	Jefferson			316	102945	Perry			408	103306	Wayne			436	103225	Wolfe
	101	104009	Clark			317	103308	Cumberland			409	103310	Clinton			437	103226	Magoffin
	102	104010	Clark			318	101323	Rowan			410	103112	Shelby			438	103228	Knott
	103	104011	Knott			319	102946	Lee			411	103311	McCreary			439	103317	Graves
	104	101028	Laurel			320	103218	Nicholas			412	103111	Laurel			440	103319	Hickman
	106	101027	Knott			321	103220	Hancock			413	103113	Hart			441	103320	Harrison
	107	101029	Kenton-Boone			322	102248	McLean			414	102035	Todd			442	103321	Grant
	108	101322	Christian			323	102247	Fleming			415	102252	Muhlenberg			443	103322	Fulton
	109	101325	Allen			324	102249	Carlisle			416	102953	Jefferson					
	110	104020	Franklin			325	102250	Henderson			417	103114	Hardin					
	200	101321	Various			326	102251	Greenup			418	103116	Logan					
	201	104013	Todd			327	102253	McCracken			419	103117	Henry					
	300	101319	Ballard			328	102254	Caldwell			420	103120	Monroe					
	301	101324	Campbell			329	102255	Harlan			421	103215	Rowan					
	302	103309	McCreary			330	102955	Bell			422	103219	Fleming					
	303	102213	Bath			331	103115	Butler			423	103222	Floyd					
	304	102236	Henry			332	103205	Lee			424	103223	Letcher					
	305	102238	Clinton			333	103221	Ohio			425	103224	Pike					
	306	102239	Campbell			334	102256	Fayette			426	103302	Pulaski					
	307	102240	Green			335	103318	Gallatin			427	103312	Lincoln					
	308	102242	Rockcastle			400	103107	Breckinridge			428	103313	Adair					
	309	102241	McCreary			401	103307	Russell			429	103314	Rockcastle					
	310	102243	Casey			402	103108	Grayson			430	103315	Casey					
	311	102245	Lincoln			403	103305	Marshall			431	103316	Owen					
	312	102246	Harrison			404	102234	Trigg-Marshall			432	103118	Edmonson					
	313	102244	Washington			405	102237	Daviess			433	103121	Simpson					
	314	102943	Wolfe			406	103109	Meade			434	103124	Warren					
	315	102944	Powell			407	103110	Jackson			435	103125	Bell					

***Bidders must have appropriate prequalification for each project requested. For Prequalification or general questions, please call 502-564-3500.**

TRANSPORTATION CABINET
DIVISION OF CONTRACT PROCUREMENT
REQUIRED WORK ITEMS FOR BIDDERS
LETTING July 30, 2010

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COUNTY              ITEM NO.       ROUTE       TYPE OF WORK
 CAll - CONTID
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JEFFERSON COUNTY
100 - 101320     Qualifications Required - A, C1, C2
                 05-00271.00     I   265  ASPHALT REHAB WITH GRADE & DRAIN.

CLARK COUNTY
101 - 104009     Qualifications Required - C1, C2
                 -             US  60  ASPHALT SURFACE WITH GUARDRAIL.

CLARK COUNTY
102 - 104010     Qualifications Required - C1, C2
                 -             KY  1927  ASPHALT SURFACE WITH GRADE & DRAIN.

KNOTT COUNTY
103 - 104011     Qualifications Required - A, I15, E1, E2, E3, E5
                 -             KY  899  GRADE & DRAIN.

LAUREL COUNTY
104 - 101028     Qualifications Required - A, I04
                 08-08501.00     I   75  GUARDRAIL.

KNOTT COUNTY
106 - 101027     Qualifications Required - E2, E3, E5
                 12-01080.00     KY  1098  BRIDGE WITH GRADE, DRAIN & SURFACE.

KENTON, BOONE COUNTIES
107 - 101029     Qualifications Required - A, I04
                 06-02800.10     I   275  GUARDRAIL.

CHRISTIAN COUNTY
108 - 101322     Qualifications Required - E2, E3, E5
                 02-01068.00     CS  1007  BRIDGE REPLACEMENT.

ALLEN COUNTY
109 - 101325     Qualifications Required - E3, E5
                 03-08100.00     KY  100  GRADE & DRAIN WITH BRIDGE.

FRANKLIN COUNTY
110 - 104020     Qualifications Required - C1, C2
                 -             KY  676  ASPHALT SURFACE WITH GUARDRAIL.

MCCRACKEN, LIVINGSTON COUNTIES
200 - 101321     Qualification Required - E5
                 01-01115.08        US  60  BRIDGE WITH GRADE, DRAIN & SURFACE.
                 01-01115.08        US  60  BRIDGE WITH GRADE, DRAIN & SURFACE.

TODD COUNTY
201 - 104013     Qualification Required - A
                 -                US  181  GRADE & DRAIN.
                 -                KY  107  GRADE & DRAIN.

BALLARD COUNTY
300 - 101319     Qualifications Required - A, C1, C2
                 01-07000.00     KY  286  GRADE & DRAIN WITH ASPHALT SURFACE.

CAMPBELL COUNTY
301 - 101324     Qualifications Required - A, C1, C2
                 06-00224.00     KY  8  GRADE & DRAIN WITH ASPHALT SURFACE.

MCCREARY COUNTY
302 - 103309     Qualifications Required - C1, C2
                 -             CR  1062  ASPHALT RESURFACING.

BATH COUNTY
303 - 102213     Qualifications Required - C1, C2
                 -             KY  211  ASPHALT RESURFACING.
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REQUIRED WORK ITEMS FOR BIDDERS
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HENRY COUNTY
304 - 102236 Qualifications Required - C1, C2
- KY 193 ASPHALT RESURFACING.

CLINTON COUNTY
305 - 102238 Qualifications Required - C1, C2
- KY 90 ASPHALT RESURFACING.

CAMPBELL COUNTY
306 - 102239 Qualifications Required - C2
- KY 9 ASPHALT RESURFACING.

GREEN COUNTY
307 - 102240 Qualifications Required - A, I04
- KY 0565 GUARDRAIL.

ROCKCASTLE COUNTY
308 - 102242 Qualifications Required - C1, C2
- KY 1229 ASPHALT RESURFACING.

MCCREARY COUNTY
309 - 102241 Qualifications Required - C2
- US 27 ASPHALT RESURFACING.

CASEY COUNTY
310 - 102243 Qualifications Required - C1, C2
- KY 910 ASPHALT RESURFACING.

LINCOLN COUNTY
311 - 102245 Qualifications Required - C2
- US 27 ASPHALT RESURFACING.

HARRISON COUNTY
312 - 102246 Qualifications Required - C2
- US 27 ASPHALT RESURFACING.

WASHINGTON COUNTY
313 - 102244 Qualifications Required - C1, C2
- KY 152 ASPHALT RESURFACING.

WOLFE COUNTY
314 - 102943 Qualifications Required - I16, I17, E1, E2, E3, E5
- KY 9000 BRIDGE DECK RESTORATION & WATERPROOFING.

POWELL COUNTY
315 - 102944 Qualifications Required - I16, I17, E1, E2, E3, E5
- KY 9000 BRIDGE DECK RESTORATION & WATERPROOFING.

PERRY COUNTY
316 - 102945 Qualifications Required - I16, I17, E1, E2, E3, E5
- KY 0699 BRIDGE DECK RESTORATION & WATERPROOFING.

CUMBERLAND COUNTY
317 - 103308 Qualifications Required - C1, C2
- KY 485 ASPHALT RESURFACING.

ROWAN COUNTY
318 - 101323 Qualifications Required - A
09-00197.00 CS 1088 GRADE & DRAIN WITH ASPHALT SURFACE.

LEE COUNTY
319 - 102946 Qualifications Required - I16, I17, E1, E2, E3, E5
- KY 2016 BRIDGE DECK RESTORATION & WATERPROOFING.

NICHOLAS COUNTY
320 - 103218 Qualifications Required - C1, C2
- KY 386 ASPHALT RESURFACING.

HANCOCK COUNTY
321 - 103220 Qualifications Required - C1, C2
- KY 2181 ASPHALT RESURFACING.

REQUIRED WORK ITEMS FOR BIDDERS
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MCLEAN COUNTY
322 - 102248 Qualifications Required - C1, C2
- KY 136 ASPHALT RESURFACING.

FLEMING COUNTY
323 - 102247 Qualifications Required - C2
- KY 32 ASPHALT RESURFACING.

CARLISLE COUNTY
324 - 102249 Qualifications Required - A, I15, E1, E2, E3, E5
- KY 545 CULVERT REPLACEMENT.

HENDERSON COUNTY
325 - 102250 Qualifications Required - A, C1, C2
- US 41 JPC PAVEMENT REPAIRS.

GREENUP COUNTY
326 - 102251 Qualifications Required - C2
- US 23 ASPHALT RESURFACING.

MCCRACKEN COUNTY
327 - 102253 Qualifications Required - A, I15, I24, E1, E2, E3, E5
- US 60 MAINTENANCE.

CALDWELL COUNTY
328 - 102254 Qualifications Required - C1, C2
- KY 139 ASPHALT RESURFACING.

HARLAN COUNTY
329 - 102255 Qualifications Required - A, I35, I40, E1, E2, E3, E5
- KY 179 SLIDE REPAIR.

BELL COUNTY
330 - 102955 Qualifications Required - B, I28
- US 025E JPC PAVEMENT REPAIRS.

BUTLER COUNTY
331 - 103115 Qualifications Required - C1, C2
- KY 1117 ASPHALT RESURFACING.

LEE COUNTY
332 - 103205 Qualifications Required - C1, C2
- KY 2017 ASPHALT RESURFACING.

OHIO COUNTY
333 - 103221 Qualifications Required - C1, C2
- KY 505 ASPHALT RESURFACING.

FAYETTE COUNTY
334 - 102256 Qualifications Required - C2
- KY 4 ASPHALT RESURFACING.

GALLATIN COUNTY
335 - 103318 Qualifications Required - C1, C2
- KY 455 ASPHALT RESURFACING.

BRECKINRIDGE COUNTY
400 - 103107 Qualification Required - C1, C2
- KY 992 ASPHALT RESURFACING.
- KY 1740 ASPHALT RESJRFACING.
- KY 2781 ASPHALT RESJRFACING.

RUSSELL COUNTY
401 - 103307 Qualification Required - C1, C2
- KY 619 ASPHALT RESURFACING.
- KY 910 ASPHALT RESURFACING.

GRAYSON COUNTY
402 - 103108 Qualification Required - C1, C2
- KY 720 ASPHALT RESURFACING.
- KY 1168 ASPHALT RESJRFACING.
- KY 1356 ASPHALT RESJRFACING.

REQUIRED WORK ITEMS FOR BIDDERS
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MARSHALL COUNTY
403 - 103305 Qualification Required - C1, C2
- KY 0408 ASPHALT RESURFACING.
- KY 1610 ASPHALT RESURFACING.

TRIGG, MARSHALL COUNTIES
404 - 102234 Qualification Required - C2
- US 68 ASPHALT RESURFACING.
- US 68X ASPHALT RESURFACING.

DAVIESS COUNTY
405 - 102237 Qualification Required - C1, C2
- KY 81 ASPHALT RESURFACING.
- KY 2235 ASPHALT RESURFACING.

MEADE COUNTY
406 - 103109 Qualification Required - C1, C2
- KY 1600 ASPHALT RESURFACING.
- KY 1735 ASPHALT RESURFACING.
- KY 2726 ASPHALT RESURFACING.

JACKSON COUNTY
407 - 103110 Qualification Required - C1, C2
- KY 89 ASPHALT RESURFACING.
- KY 89 ASPHALT RESURFACING.
- KY 1912 ASPHALT RESURFACING.
- KY 1955 ASPHALT RESURFACING.
- KY 3446 ASPHALT RESURFACING.

WAYNE COUNTY
408 - 103306 Qualification Required - C1, C2
- KY 167 ASPHALT RESURFACING.
- KY 1275 ASPHALT RESURFACING.
- KY 3106 ASPHALT RESURFACING.

CLINTON COUNTY
409 - 103310 Qualification Required - C1, C2
- KY 553 ASPHALT RESURFACING.
- KY 0558 ASPHALT RESURFACING.

SHELBY COUNTY
410 - 103112 Qualification Required - C1, C2
- KY 12 ASPHALT RESURFACING.
- KY 362 ASPHALT RESURFACING.
- KY 395 ASPHALT RESURFACING.
- KY 1005 ASPHALT RESURFACING.
- KY 1531 ASPHALT RESURFACING.
- KY 1848 ASPHALT RESURFACING.
- KY 2862 ASPHALT RESURFACING.

MCCREARY COUNTY
411 - 103311 Qualification Required - C1, C2
- KY 478 ASPHALT RESURFACING.
- KY 1044 ASPHALT RESURFACING.

LAUREL COUNTY
412 - 103111 Qualification Required - C1, C2
- KY 552 ASPHALT RESURFACING.
- KY 1006 ASPHALT RESURFACING.
- KY 1803 ASPHALT RESURFACING.
- KY 1810 ASPHALT RESURFACING.
- KY 2041 ASPHALT RESURFACING.
- KY 3431 ASPHALT RESURFACING.
- KY 3432 ASPHALT PAVEMENT & ROADWAY REHABILITATIO.
- KY 3435 ASPHALT RESURFACING.

HART COUNTY
413 - 103113 Qualification Required - C1, C2
- KY 566 ASPHALT RESURFACING.
- KY 2185 ASPHALT RESURFACING.

REQUIRED WORK ITEMS FOR BIDDERS
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TODD COUNTY
414 - 102035 Qualification Required - C1, C2
- KY 107 ASPHALT RESURFACING.
- KY 181 ASPHALT RESURFACING.

MUHLENBERG COUNTY
415 - 102252 Qualification Required - C1, C2
- US 62 ASPHALT RESURFACING.
- US 62 ASPHALT RESURFACING.
- KY 604 ASPHALT RESURFACING.

JEFFERSON COUNTY
416 - 102953 Qualification Required - I16, I17, E1, E2, E3, E5
- KY 0148 BRIDGE DECK RESTORATION & WATERPROOFING.
- KY 1531 BRIDGE DECK RESTORATION & WATERPROOFING.
- KY 1531 BRIDGE DECK RESTORATION & WATERPROOFING.
- KY 1531 BRIDGE DECK RESTORATION & WATERPROOFING.

HARDIN COUNTY
417 - 103114 Qualification Required - C1, C2
- KY 583 ASPHALT RESURFACING.
- KY 1375 ASPHALT RESURFACING.
- KY 1868 ASPHALT RESURFACING.
- KY 2802 ASPHALT RESURFACING.

LOGAN COUNTY
418 - 103116 Qualification Required - C1, C2
- KY 73 ASPHALT RESURFACING.
- KY 1293 ASPHALT RESURFACING.
- KY 1785 ASPHALT RESURFACING.

HENRY COUNTY
419 - 103117 Qualification Required - C1, C2
- KY 389 ASPHALT PAVEMENT & ROADWAY REHABILITATIO.
- KY 561 ASPHALT PAVEMENT & ROADWAY REHABILITATIO.

MONROE COUNTY
420 - 103120 Qualification Required - C1, C2
- KY 678 ASPHALT RESURFACING.
- KY 839 ASPHALT RESURFACING.

ROWAN COUNTY
421 - 103215 Qualification Required - C1, C2
- KY 799 ASPHALT RESURFACING.
- KY 1722 ASPHALT RESURFACING.

FLEMING COUNTY
422 - 103219 Qualification Required - C1, C2
- KY 156 ASPHALT RESURFACING.
- KY 161 ASPHALT RESURFACING.
- KY 170 ASPHALT RESURFACING.
- KY 2505 ASPHALT RESURFACING.

FLOYD COUNTY
423 - 103222 Qualification Required - C1, C2
- KY 194 ASPHALT PAVEMENT PATCHING.
- KY 680 ASPHALT PAVEMENT PATCHING.
- KY 1426 ASPHALT PAVEMENT PATCHING.
- KY 1498 ASPHALT PAVEMENT PATCHING.
- KY 2030 ASPHALT PAVEMENT PATCHING.
- KY 2555 ASPHALT PAVEMENT PATCHING.
- KY 3379 ASPHALT PAVEMENT PATCHING.
- KY 3380 ASPHALT PAVEMENT PATCHING.

REQUIRED WORK ITEMS FOR BIDDERS
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LETCHER COUNTY
424 - 103223 Qualification Required - C1, C2
- KY 510 ASPHALT PAVEMENT PATCHING.
- KY 806 ASPHALT PAVEMENT PATCHING.
- KY 932 ASPHALT PAVEMENT PATCHING.
- KY 1103 ASPHALT PAVEMENT PATCHING.
- KY 2034 ASPHALT PAVEMENT PATCHING.
- KY 2035 ASPHALT PAVEMENT PATCHING.
- KY 2036 ASPHALT PAVEMENT PATCHING.
- KY 2545 ASPHALT PAVEMENT PATCHING.
- KY 3404 ASPHALT PAVEMENT PATCHING.
- KY 3410 ASPHALT PAVEMENT PATCHING.

PIKE COUNTY
425 - 103224 Qualification Required - C1, C2
- KY 199 ASPHALT RESUFFACING.
- KY 0881 ASPHALT RESURFACING.
- KY 1056 ASPHALT PAVEMENT PATCHING.
- KY 1056 ASPHALT RESURFACING.
- KY 2061 ASPHALT PAVEMENT PATCHING.
- KY 2061 ASPHALT PAVEMENT PATCHING.
- KY 2061 ASPHALT RESURFACING.
- KY 2062 ASPHALT RESURFACING.

PULASKI COUNTY
426 - 103302 Qualification Required - C1, C2
- KY 328 ASPHALT RESUFFACING.
- KY 635 ASPHALT RESUFFACING.
- KY 1675 ASPHALT RESURFACING.
- KY 2301 ASPHALT RESURFACING.
- KY 3261 ASPHALT RESURFACING.
- KY 3263 ASPHALT RESURFACING.

LINCOLN COUNTY
427 - 103312 Qualification Required - C1, C2
- KY 300 ASPHALT RESUFFACING.
- KY 1770 ASPHALT RESURFACING.
- KY 1770 ASPHALT RESURFACING.

ADAIR COUNTY
428 - 103313 Qualification Required - C1, C2
- KY 704 ASPHALT RESUFFACING.
- KY 768 ASPHALT RESUFFACING.

ROCKCASTLE COUNTY
429 - 103314 Qualification Required - C1, C2
- KY 1912 ASPHALT RESURFACING.
- KY 2549 ASPHALT RESURFACING.

CASEY COUNTY
430 - 103315 Qualification Required - C1, C2
- KY 198 ASPHALT RESUFFACING.
- KY 1552 ASPHALT RESURFACING.
- KY 1640 ASPHALT RESURFACING.
- KY 1649 ASPHALT RESURFACING.
- KY 1676 ASPHALT RESURFACING.
- KY 3196 ASPHALT RESURFACING.

OWEN COUNTY
431 - 103316 Qualification Required - C1, C2
- KY 607 ASPHALT RESUFFACING.
- KY 1883 ASPHALT RESURFACING.

EDMONSON COUNTY
432 - 103118 Qualification Required - C1, C2
- KY 238 ASPHALT RESUFFACING.
- KY 1075 ASPHALT RESURFACING.

SIMPSON COUNTY
433 - 103121 Qualification Required - C1, C2
- KY 664 ASPHALT RESUFFACING.
- KY 1434 ASPHALT RESURFACING.

REQUIRED WORK ITEMS FOR BIDDERS
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WARREN COUNTY
434 - 103124 Qualification Required - C1, C2
- KY 263 ASPHALT RESURFACING.
- KY 526 ASPHALT RESURFACING.

BELL COUNTY
435 - 103125 Qualification Required - C1, C2
- KY 1491 ASPHALT RESURFACING.
- KY 2011 ASPHALT RESURFACING.
- KY 3485 ASPHALT RESURFACING.

WOLFE COUNTY
436 - 103225 Qualification Required - C1, C2
- KY 2016 ASPHALT RESURFACING.
- KY 2491 ASPHALT RESURFACING.

MAGOFFIN COUNTY
437 - 103226 Qualification Required - C1, C2
- KY 364 ASPHALT RESURFACING.
- KY 867 ASPHALT RESURFACING.

KNOTT COUNTY
438 - 103228 Qualification Required - C1, C2
- KY 1087 ASPHALT PAVEMENT PATCHING.
- KY 1098 ASPHALT PAVEMENT PATCHING.
- KY 1231 ASPHALT PAVEMENT PATCHING.
- KY 1410 ASPHALT PAVEMENT PATCHING.
- KY 3391 ASPHALT PAVEMENT PATCHING.
- KY 3392 ASPHALT PAVEMENT PATCHING.

GRAVES COUNTY
439 - 103317 Qualification Required - C1, C2
- KY 129 ASPHALT RESURFACING.
- KY 564 ASPHALT RESURFACING.

HICKMAN COUNTY
440 - 103319 Qualification Required - C1, C2
- KY 0058 ASPHALT RESURFACING.
- KY 0123 ASPHALT RESURFACING.

HARRISON COUNTY
441 - 103320 Qualification Required - C1, C2
- KY 392 ASPHALT RESURFACING.
- KY 1842 ASPHALT RESURFACING.

GRANT COUNTY
442 - 103321 Qualification Required - C1, C2
- KY 491 ASPHALT RESURFACING.
- KY 1942 ASPHALT RESURFACING.
- KY 1995 ASPHALT RESURFACING.

FULTON COUNTY
443 - 103322 Qualification Required - C1, C2
- KY 1128 ASPHALT RESURFACING.
- KY 1282 ASPHALT RESURFACING.

REQUIRED WORK ITEMS FOR BIDDERS
LETTING July 30, 2010

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CONTRACTOR WORK CLASSIFICATIONS:

CODE	DESCRIPTION
15*	CULVERTS
37*	TRAFFIC CONTROL
A	GRADE AND DRAIN
B	PORTLAND CEMENT CONCRETE PAVING
C	ASPHALT PAVING
C1	ASPHALT PAVING OPTION B
C2	ASPHALT PAVING OPTION A
CO01	STAKING
CO02	FUEL SUPPLIER
CO03	SUPPLIER
E1	BRIDGES NOT MORE THAN 70 FT. CLEAR SPAN
E2	BRIDGES NOT MORE THAN 100 FT. CLEAR SPAN
E3	BRIDGES 100 FT. CLEAR SPAN AND OVER
E4	DEMOLITION OF MAJOR BRIDGES
E5	BRIDGES OVER NAVIGABLE STREAMS
E5*	BRIDGES OVER NAVIGABLE STREAMS
F	SIGNS
G	LIGHTING
H	LANDSCAPING
I01	CLEARING AND GRUBBING
I02	DITCHING AND SHOULDERING
I03	BRIDGE APPROACHES
I04	GUARD RAILS
I05	FENCING
I06	SEEDING AND SODDING
I07	DENSE GRADED AGGREGATE BASE CONSTRUCTION
I08	CEMENT CONCRETE BASE CONSTRUCTION
I09	SOIL CEMENT BASE CONSTRUCTION
I10	PLANT MIX BANK GRAVEL BASE CONSTRUCTION
I11	CURB AND GUTTER
I12	SIDEWALK
I13	ENTRANCE PAVEMENT
I14	PAVED DITCH
I15	CULVERTS
I16	BRIDGE REPAIR
I17	BRIDGE DECK REPAIR
I18	BRIDGE PAINTING
I18A	BRIDGE PAINTING
I18B	BRIDGE PAINTING
I18C	BRIDGE PAINTING
I19	STEEL ERECTION
I20	TIEING STEEL REINFORCEMENT
I21	FURNISH AND DRIVE PILING
I22	DREDGING
I23	HYDRAULIC EMBANKMENT CONSTRUCTION
I24	STORM DRAINAGE & STORM SEWER
I25	SLURRY SEAL
I26	BUILDINGS AND RELATED CONSTRUCTION
I27	DEMOLITION
I28	CONCRETE REPAIRS
I29	RIGHT OF WAY MOWING
I30	TRAFFIC SIGNALS
I31	PAVEMENT STRIPING
I32	PAVEMENT MARKERS
I33	UTILITIES
I34	FIBER OPTIC CABLE INSTALLATION
I35	PERMANENT ANCHORED TIEDBACK WALLS
I36	TREE TRIMMING & BRUSH REMOVAL
I37	TRAFFIC CONTROL
I38	BITUMINOUS MILLING & TEXTURING
I39	GROUTING FOR GROUND IMPROVEMENTS
I40	DRILL AND PLACE RAIL
I41	BARRIER WALLS
I42	HYDRODEMOLITION OF BRIDGE DECKS
I43	BREAKING AND SEATING
I44	INTEGRATED TRAFFIC MANAGEMENT SYSTEMS
I45	DRILLING OF HORIZONTAL DRAINS
I46	WATERPROOFING

REQUIRED WORK ITEMS FOR BIDDERS
LETTING July 30, 2010

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I47	EPOXY COATING
I48	DRILLED CAISSON FOUNDATIONS
I49	TUNNELS
I50	REMOVAL AND PLACEMENT OF PROJECT MATERIA
I51	CONCRETE SAWING
I52	DIAMOND GRINDING
I53	TELECOMMUNICATION CONSTRUCTION
I54	ROOFING
I55	CONCRETE GRINDING
I56	SALT STORAGE SHEDS
I57	EDGE DRAINS
I58	VERTICAL DRILLING
I59	COVERED TIMBER BRIDGES
I60	HYDRAULIC JACKING
I61	SPECIAL ENGINEERED FILLS
I62	PAINTING OF BUILDINGS
I63	UNDERGROUND TANK REMOVAL
I64	ASBESTOS REMOVAL
I65	SHORING
I66	ROCK BLASTING
I67	GUNITE/SHOTCRETE
I68	TRENCHING
I69	STEEL PIPE
I70	TUNNEL LINER
I71	STRUCTURAL PLATE PIPE
I72	BIN-TYPE RETAINING WALLS
I73	UNDERDRAINS
I74	SWEEPING
I75	CATCH BASIN CLEANING
I76	JET RODDING
I77	POWER WASHING
I78	SURFACE PLANING AND TEXTURING
I79	GRINDING AND JOINT SEALING
I80	CAISSONS
I81	SLURRY DIAPHRAM WALLS
I82	SHEETING PILING AND SHORING
I83	MAJOR BRIDGE FOUNDATIONS
I84	WICK DRAINS
I85	PAVEMENT GRINDING AND GROOVING
I86	SAWING & SEALING OF CRACKS & JOINTS
I87	CRACK INJECTION
I88	ROCK BOLTING
I89	PNEUMATIC BLANKET
I90	GUNITE POOLS
I91	EPOXY INJECTION & REPAIRS
I92	AUTOMATIC SPRINKLER SYSTEMS INSTALLATION
I93	RAILROAD TRACK CONSTRUCTION & REPAIR
I94	CONCRETE JOINT REPAIR
I95	WALLCOVERING
I96	DRILLED SHAFTS
I97	VIBRO COMPACTION
I98	STONE COLUMNS
I99	DEEP DYNAMIC COMPACTION
J01	COMPACTION GROUTING
J02	SLURRY WALLS
J03	CHEMICAL GROUTING
J04	CONSOLIDATION GROUTING
J05	LIME INJECTION
J06	MINI PILES
J07	DAMS AND RESERVOIRS
J08	SLAB JACKING
J09	HYDRO DEMOLITION
J10	SHOTCRETE
J11	STRAIGHTENING STRUCTURAL STEEL
J12	CORE DRILLING
J13	REHABILITATION OF STORM DRAINAGE AND STO
J14	CHIP AND SEAL
J15	POLYURETHANE CONCRETE LIFTING
J16	RETAINING WALLS
J17	AUGER CAST PILING
J18	HEATING AND AIR CONDITIONING INSTALLATIO
J19	INSTALLATION OF ROADWAY SENSORS AND COUN
J20	JET GROUTING

REQUIRED WORK ITEMS FOR BIDDERS
LETTING July 30, 2010

PAGE 10 July 16, 2010

J21	PRESSURE GROUTING
J22	UNDERSEALING & FILLING VOIDS
J23	WELLS
J24	GROUND FREEZING
J25	RETRO-REFLECTIVITY ANALYSIS
J26	PIPELINE CONSTRUCTION
J27	INTERIOR - MILLWORK
J28	EXPANSION JOINTS
J29	JOINT SEALING
J30	CONCRETE HEADWALLS
J31	GRINDING AND MILLING
J32	IRRIGATION INSTALLATION
J33	GROUND IN RUMBLE STRIPS
J34	FLOORCOVERING
J35	WINDOW TREATMENT & AWNINGS
J36	SEALCOATING
J39	DRILLED AND UNDERREAMED PIERS
J40	LIME & CEMENT ROAD BED SOIL STABILIZATIO
J41	WETLAND MITIGATION TREE PLANTING
J42	WELDING
J43	GLASS INSTALLATION AND GLAZING
J44	MECHANICAL
J45	INSTALLING OVERHEAD DOORS
J46	PNEUMATIC BACKSTOWING
J47	MACHINE PREP. OF EXISTING SLAB
J48	CRASH CUSHIONS
J49	DECORATIVE PAVERS
J50	MUDJACKING
J51	VIDEO PIPE INSPECTION & CLEANING
J52	RAILROAD SIDING CONSTRUCTION & REPAIR
J53	COLD MILLING
J54	UNDERWATER CONSTRUCTION
J55	ROAD PLANING AND SURFACE REMOVAL
J56	STAY IN PLACE BRIDGE FORMS
J57	CRACK SEALING
J58	SEALCOATING
J59	FERTILIZATION
J60	EMBANKMENT IN PLACE
J61	RALUMAC
J62	MASONRY COATING
J63	ROADWAY WEATHER MONITORING EQUIPMENT INS
J64	ASPHALT PLANING AND SCARIFICATION
J65	BORING & TUNNELING
J66	HERBICIDE SPRAYING AND BRUSH CONTROL
J67	LAW ENFORCEMENT OFFICER
J68	ROCKFALL CONTAINMENT
J69	SOIL STABILIZATION
J70	PRECAST PAVEMENTS
J71	GEOTECHNICAL DRILLING
J72	STONE VENEER
J73	PRECAST PANEL WALL ERECTION
J74	JOINT ADHESIVE
J75	POLYMER BRIDGE OVERLAY
J76	SOIL NAILING
J77	GABION BASKETS
J78	MASONRY
J79	LANDSCAPE MULCH
J80	PAVEMENT STRIPING REMOVAL
J81	CONCRETE PATCHING
J82	CARBON FIBER BRIDGE WRAP
J83	STREAM RESTORATION MITIGATION
J84	LANDSCAPE MAINTENANCE
J85	LANDSCAPE SITE PREPARATION
J86	ASPHALT REPAIRS
J87	VIDEO & LASER PIPELINE INSPECTION

KYTC DEPARTMENT OF HIGHWAYS
LOW BIDDER EXTENDED LETTING 01-22-10
Call: 101 Contract ID: 10-1001 Sheet 1
Date Run :February 01, 2010 01:26 pm

County: CARLISLE District: 01 Date Let : 01-22-10 Call: 101 Contid: 10-1001
Proposal Description: BRZ 0103 (285) Length: 0 KM Time: 135 WORKING
BRIDGE WITH GRADE, DRAIN & SURFACE OVERHEAD BRIDGE ROAD (CE

Number of Bidders 3

				phone
1	00380	HAROLD COFFEY CONSTRUCTION CO INC	1,595,299.81	(270)236-3102
2	02618	JIM SMITH CONTRACTING COMPANY LLC	1,797,409.07	(270)362-8561
3	00138	HAYDON BRIDGE COMPANY INC	1,845,823.40	(859)336-7533

ENGINEERS ESTIMATE 1,764,343.22 LOW BIDDER is -9.5 % FROM ENGINEER'S ESTI
Apparent Low Bidder Low > HAROLD COFFEY CONSTRUCTION CO INC 1,595,299.81

FEB 12 2010

Prop Line #	Item Description	Quantity	Unit	Low Bidder	Ext Amount
0010	DGA BASE	2,315.000	TON	22.0000	50,930.00
0020	ASPHALT SEAL AGGREGATE	23.000	TON	50.0000	1,150.00
0030	CL2 ASPH BASE 0.75D PG64-22	2,222.000	TON	68.0000	151,096.00
0040	EMULSIFIED ASPHALT RS-2	3.000	TON	650.0000	1,950.00
0050	CL2 ASPH SURF 0.38D PG64-22	450.000	TON	83.0000	37,350.00
0060	ENTRANCE PIPE-15 IN	88.000	LF	15.5000	1,364.00
0070	CULVERT PIPE-18 IN	367.000	LF	35.5000	13,028.50
0080	CULVERT PIPE-24 IN	127.000	LF	49.5000	6,286.50
0090	STORM SEWER PIPE-18 IN	80.000	LF	28.7500	2,300.00
0100	S & F BOX INLET-OUTLET-18 IN	2.000	EACH	2,150.0000	4,300.00
0110	S & F BOX INLET-OUTLET-24 IN	1.000	EACH	2,675.0000	2,675.00
0120	DROP BOX INLET TYPE 13G	2.000	EACH	2,370.0000	4,740.00
0130	ASPHALT WEDGE CURB	50.000	LF	47.5000	2,375.00
0140	BARRICADE-TYPE III	4.000	EACH	300.0000	1,200.00
0150	REMOVE PAVEMENT	310.000	SQYD	3.5000	1,085.00
0160	CEM CONC ENT PAVEMENT-6 IN	86.000	SQYD	39.0000	3,354.00
0170	TEMP DITCH	800.000	LF	1.0000	800.00
0180	EMBANKMENT IN PLACE	17,689.000	CUYD	5.5000	97,289.50
0190	WATER	516.000	MGAL	1.0000	516.00
0200	GUARDRAIL-STEEL W BEAM-S FACE	1,875.000	LF	15.8600	29,737.50
0210	GUARDRAIL TERMINAL SECTION NO 1	4.000	EACH	45.0000	180.00
0220	GUARDRAIL CONNECTOR TO BRIDGE END TY A	4.000	EACH	2,200.0000	8,800.00
0230	GUARDRAIL END TREATMENT TYPE 4A	2.000	EACH	2,100.0000	4,200.00
0240	CHANNEL LINING CLASS II	744.000	TON	28.0000	20,832.00
0250	CHANNEL LINING CLASS III	242.000	TON	28.0000	6,776.00
0260	CLEARING AND GRUBBING	1.000	LS	71,000.0000	71,000.00
0270	SIGNS	127.000	SQFT	12.0000	1,524.00
0280	EDGE KEY	175.000	LF	1.0000	175.00
0290	FABRIC GEOTEXTILE TY IV FOR PIPE	2,843.000	SQYD	2.0000	5,686.00
0300	MAINTAIN & CONTROL TRAFFIC	1.000	LS	40,000.0000	40,000.00
0310	TEMP SILT FENCE	375.000	LF	1.7500	656.25
0320	SILT TRAP TYPE A	3.000	EACH	500.0000	1,500.00
0330	SILT TRAP TYPE B	17.000	EACH	250.0000	4,250.00
0340	SILT TRAP TYPE C	4.000	EACH	200.0000	800.00
0350	CLEAN SILT TRAP TYPE A	6.000	EACH	50.0000	300.00
0360	CLEAN SILT TRAP TYPE B	34.000	EACH	50.0000	1,700.00
0370	CLEAN SILT TRAP TYPE C	8.000	EACH	50.0000	400.00
0380	CLEAN TEMP SILT FENCE	750.000	LF	0.3000	225.00
0390	STAKING	1.000	LS	15,500.0000	15,500.00
0400	REMOVE STRUCTURE	1.000	LS	24,300.0000	24,300.00
0410	EROSION CONTROL BLANKET	1,578.000	SQYD	1.4000	2,209.20
0420	TEMP MULCH	34,267.000	SQYD	0.2000	6,853.40
0430	TEMP SEEDING AND PROTECTION	2,265.000	SQYD	0.2200	498.30
0440	TOPDRESSING FERTILIZER	1.200	TON	900.0000	1,080.00
0450	SEEDING AND PROTECTION	22,651.000	SQYD	0.3300	7,474.83
0460	SPECIAL SEEDING CROWN VETCH	5,770.000	SQYD	0.1200	692.40
0470	PAVE STRIPING-PERM PAINT-4 IN	4,280.000	LF	0.3500	1,498.00
0480	CONCRETE-CLASS A	9.000	CUYD	1,500.0000	13,500.00
0490	STEEL REINFORCEMENT	72.000	LB	1.0000	72.00
0500	FUEL ADJUSTMENT	2,627.000	DOLL	1.0000	2,627.00
0510	STRUCTURE GRANULAR BACKFILL	150.000	CUYD	40.0000	6,000.00
0520	MASONRY COATING	1,114.000	SQYD	9.0000	10,026.00

YTC DEPARTMENT OF HIGHWAYS
LOW BIDDER EXTENDED FOR LETTING 01-22-10
Call: 101 Contract ID: 10-1001 Sheet 2
Date Run :February 01, 2010 01:26 pm

Prop Line #	Item Description	Quantity	Unit		Low Bidder	Ext Amou
0530	ARMORED EDGE FOR CONCRETE	72.200	LF	75.0000		5,415.00
0540	FOUNDATION PREPARATION	1.000	LS	20,500.0000		20,500.00
0550	CRUSHED AGGREGATE SLOPE PROT	394.000	TON	32.0000		12,608.00
0560	TEST PILES	237.000	LF	45.0000		10,665.00
0570	PILES-STEEL HP12X53	2,764.000	LF	39.0000		107,796.00
0580	CONCRETE-CLASS A	382.900	CUYD	495.0000		189,535.50
0590	CONCRETE-CLASS AA	339.100	CUYD	555.0000		188,200.50
0600	STEEL REINFORCEMENT	72,330.000	LB	0.8200		59,310.60
0610	STEEL REINFORCEMENT-EPOXY COATED	93,119.000	LB	0.9200		85,669.48
0620	PRECAST PC I BEAM TYPE 4	921.330	LF	195.0000		179,659.35
0630	CONCRETE-CLASS B	5.000	CUYD	275.0000		1,375.00
0640	BEND 11.25 DEG 6 IN	1.000	EACH	250.0000		250.00
0650	BEND 22.50 DEG 6 IN	2.000	EACH	250.0000		500.00
0660	BEND 45 DEG 6 IN	2.000	EACH	250.0000		500.00
0670	THRUST RESTRAINT GLAND-6 IN	10.000	EACH	110.0000		1,100.00
0680	TIE-IN TO FORCE MAIN	2.000	EACH	2,100.0000		4,200.00
0690	OPEN CUT W/ STEEL ENCASEMENT	140.000	LF	100.0000		14,000.00
0700	OPEN CUT W/ STEEL ENCASEMENT	70.000	LF	95.0000		6,650.00
0710	RESTRAINED JOINT PVC FORCE MAIN-6 IN	350.000	LF	25.5000		8,925.00
0720	LANDSCAPING	1.000	LS	1.0000		1.00
0730	DEMOBILIZATION	1.000	LS	23,577.0000		23,577.00
	TOTAL BIDS					1,595,299.81

eProposal Jan-2009

Kentucky UNBRIDLED SPIRIT™

CALL NO. 101

CONTRACT ID. 101001

CARLISLE COUNTY

FED/STATE PROJECT NUMBER BRZ 0103 (285)

DESCRIPTION OVERHEAD BRIDGE ROAD (CR 1228)

WORK TYPE BRIDGE WITH GRADE, DRAIN & SURFACE

PRIMARY COMPLETION DATE 135 WORKING DAYS

LETTING DATE: January 22, 2010

Sealed Bids will be received in the Division of Construction Procurement and/or the 1st floor of the Transportation Cabinet Office Building until 10:00 AM EASTERN STANDARD TIME January 22, 2010. Bids will be publicly opened and read at 10:00 AM EASTERN STANDARD TIME.

ROAD AND BRIDGE PLANS

DBE CERTIFICATION REQUIRED - 5%

REQUIRED BID PROPOSAL GUARANTY: Not less than 5% of the total bid.

(Check guaranty submitted: Cashier's Check ☐ Certified Check ☐ Bid Bond ☐)

BID BONDS WHEN SUBMITTED WILL BE RETAINED WITH THE PROPOSAL

DBE General Plan Included ☐

BID ☐ PROPOSAL ISSUED TO: _____

SPECIMEN ☐ _____

Address City State Zip

CONTRACT ID - 101001 ADMINISTRATIVE DISTRICT - 01

PROJECT(S) IDENTIFICATION AND DESCRIPTION:

COUNTY - CARLISLE PCN - DE02012281001
BRZ 0103 (285)
OVERHEAD BRIDGE ROAD (CR 1228) REPLACE BRIDGE AND APPROACHES AT CANADIAN NATIONAL/ILLINOIS CENTRAL RAILROAD, 0.4 MILE WEST OF KY 51, A DISTANCE OF 0.10 MILES. BRIDGE WITH GRADE, DRAIN & SURFACE. SYP NO. 01-01002.00.
GEOGRAPHIC COORDINATES LATITUDE 36^50'31" LONGITUDE 89^00'43"

COMPLETION DATE(S):
135 WORKING DAYS
APPLIES TO ENTIRE CONTRACT

FRANKFORT, KENTUCKY

PROJECT AUTHORIZATION

AUTHORIZATION NO. 68601 005

IT IS HEREBY ORDERED THAT THE PROJECT HEREIN DESCRIBED BE UNDERTAKEN AND ACCOMPLISHED WITHIN THE FUNDING LEVEL AUTHORIZED

1. PROJECT ID	A: PROJECT ID NUMBER	B: FEDERAL PROJECT NO.	C: DISTRICT HWY	ADD	D: COUNTY	E: 6 YRP ITEM NUMBER
	020 5228	BRZ0103 (285)	01		CARLISLE	01 01002

F: TYPE OF PROJECT	G: ROUTE NUMBER	H: FACILITY NAME	I: SYSTEMS
BRIDGE REPLACEMENT	CR 1228	OVERHEAD BRIDGE ROAD	

J: PROJECT LENGTH	K: SCOPE OF PROJECT
0.100 MI	REPLACE BRIDGE AND APPROACHES ON OVERHEAD BRIDGE ROAD AT IC (SOU) RAILROAD (C26), 0.400 MILES WEST OF KY 51, 3.2 MILES NORTHWEST OF BARDWELL.

CONTRACT ID 101001 eMARS 6860101C

L: NO OF BRIDGES	M: PROGRAM PRIORITY	N: RS ITEM NUMBER	O: 6 YR PLAN PARENT NUMBER
			01 01C02 00 2000

2. PROJECT PHASE AND RESPONSIBILITY	A. PLANNING	B. DESIGN	C. RIGHT OF WAY	D. UTILITIES
		DOH	DOH	DOH
	E. CONSTRUCTION DOH/CONTRACT	F. TITLE DEEDED TO:	G. MAINTENANCE	H. OTHER

3. FUNDING & TIME ACCOUNTABILITY — PARTICIPATING AGENCIES

FEDERAL FHWA STATE DOH LOCAL _____ OTHER _____

REQUESTED FUNDS FOR THIS AUTHORIZATION

ITEM NUMBER SUFFIX	PHASE	FUND	PROGRAM	FISCAL YEAR FEDERAL	FISCAL YEAR STATE	FEDL APPR. CODE	ENACTED 6YR PLAN AMOUNT	% DIFFERENCE VS 6YP AMT	CURRENT FUNDING REQUEST
01-01002.00	C	12	FD52	2010	2010	LICO	0		494,125

*** NOT IN 6YP FOR CURRENT YEAR (PRIOR 6YP, NEW, ADVANCED, BREAKOUT, OTHER) ***

CURRENT ESTIMATE APPROVED BY:	DATE	CURRENT FUNDING REQUEST TOTAL	494,125
RCG	01/22/10		

AUTHORIZATION SUMMARY FOR THIS 10-1 SERIES

PHASE	INITIAL PROJECT ESTIMATE	CURRENT PROJECT ESTIMATE	TOTAL AUTHORIZATION TO DATE (INCL.CURRENT REQUEST)
NON-HIGHWAY	$ 0	$ 0	$ 0
PLANNING	$ 0	$ 0	$ 0
DESIGN	$ 90,000	$ 200,000	$ 200,000
RIGHT-OF-WAY	$ 0	$ 150,000	$ 150,000
UTILITIES	$ 0	$ 200,000	$ 200,000
CONSTRUCTION	$ 1,025,000	$ 1,994,125	$ 1,994,125
TOTAL	$ 1,115,000	$ 2,544,125	$ 2,544,125

4. REMARKS:

THIS MODIFICATION ADJUSTS PREVIOUSLY AUTHORIZED CONSTRUCTION FUNDS TO COVER THE LOW BID PLUS 25% FOR ENGINEERING.

TOLL CREDITS WILL BE USED IN LIEU OF STATE MATCHING FUNDS.

LB

PROJECT APPROVAL RECOMMENDED BY: SIGNED AND APPROVED BY:

2/7/10 2/8/10

SIGNATURE DATE SECRETARY OF TRANSPORTATION OR DESIGNATED REPRESENTATIVE

PAGE: 1

COMMONWEALTH OF KENTUCKY
TRANSPORTATION CABINET - C35
ENCUMBRANCE FORM

TC 14-32
REV.06/01/08

DOC ID: XCONST0921010010001K
DEFERRED PAYMENT: N

REQUESTED BY: DIVISION OF CONSTRUCTION PROCUREMENT

DATE: 02/12/10

CONTRACT ID: 101001 ADMIN. DISTRICT: 01 PRIMARY COUNTY: CARLISLE

PROJECT NO: BRZ 0103 (285)

DESCRIPTION: OVERHEAD BRIDGE ROAD (CR 1228)

CONTRACT COMPLETION: 135 WORKING DAYS LETTING DATE: January 22, 2010 CALL NO: 101

PES NO: DE02012281001 FED/STATE PROJ NO: BRZ 0103 (285) SYP NO: 01-01002.00 FED TYPE:
PROJ WORK TYPE: BRIDGE WITH GRADE, DRAIN & SURFACE

FUND	FUNC	LC	SUB FUNC	ACT	SUB ACT	OBJ	DEPT OBJ	PROGRAM	TASK ORDER	REPORTING CODE	ACCT TEMP	PO LINE		AMOUNT
0001 ARWY	12F0	FD52	1	020	4580		E797	6860101C				1	$	658,836.38
0002 BBRI	12F0	FD52	1	020	4580		E797	6860101C				1	$	875,385.43
0003 ZEMO	12F0	FD52	1	020	4580		E797	6860101C				1	$	23,577.00
0004 CUTL	12F0	FD52	1	020	4580		E797	6860101C				1	$	37,501.00

PROJECT TOTAL: $ 1,595,299.81

CONTRACT TOTAL: $ 1,595,299.81

CONTRACT AWARD AMOUNT: $ 1,595,299.81

CONTRACT WITH: HAROLD COFFEY CONSTRUCTION CO INC
P O BOX 300
Hickman, KY 42050
00380 N00

CONTRACT/AGREEMENT ATTACHED

CP ADMIN: AB

DISTRIBUTION: DIVISION OF ACCOUNTS
DIVISION OF MATERIALS
DIVISION OF CONSTRUCTION PROCUREMENT
DISTRICT OFFICE

KENTUCKY TRANSPORTATION CABINET
Department of Highways
DIVISION OF CONSTRUCTION PROCUREMENT

TC 14-14
Rev. 04/2007
Page 1 of 1

PROPOSAL OR BID BOND

KNOW ALL MEN BY THESE PRESENTS, that we, _____ as PRINCIPAL and the _____, _____ a corporation organized and existing under the laws of the State of _____ having its principal place of business at _____ as SURETY, are held and firmly bound unto the KENTUCKY TRANSPORTATION CABINET in its official capacity as agent of the Commonwealth of Kentucky, hereinafter called the CABINET, as oblige, in the penal sum of 5% of the total bid ($ DOLLARS), _____ lawful money of the United States of America, for the payment of which, will and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the principal is herewith submitting its bid proposal, attached hereto, with the CABINET, dated (*m/d/y*) _____, for project number _____, for work described in said bid proposal.

THE CONDITION OF THE ABOVE OBLIGATION IS SUCH, that if the aforesaid principal shall be awarded the contract, the said principal will within fifteen (*15*) days, after the notice of such award, execute the contract with required performance and payment bond, provide satisfactory evidence of required liability insurance, furnish evidence of compliance with process agent's requirements (*Sec. 102.15, Spec.*) and provide the proposed work schedule, then this obligation shall be null and void. Upon the failure, or refusal of the principal herein to provide all of the foregoing requirements or the omission, failure or refusal to provide any one of the same, then in this event this bond shall be considered forfeited and the principal and the surety will pay unto the obligee the penal sum of 5% of the total bid ($ DOLLARS) upon demand, which is the amount of this bid bond and for which the principal and surety have obligated themselves herein, as agreed damages. However, in no event shall the surety's liability exceed the penal sum hereof.

SIGNED, sealed, and dated this _____ day of _____, 20_____.

ATTEST	PRINCIPAL
_____	_____
(*corporate seal*)	ADDRESS
	_____
	_____
	SURETY (*insurance or bonding company*)
	_____
	ADDRESS
	_____
	_____
ATTEST	BY (*attorney-in-fact*)
_____	_____

Federal Number :	IM 0647 (048)	**SYP Item No :**	09 - 02020.00
Project Type :	Conventional	**KYTC File No :**	2170
DUNS # :	188593644	**MARS :**	84625 01C
Demo ID :		**Rural/Urban :**	R
Inv Route Number :	I 64	**Functional System :**	F
Beg/End Mile Point :	168.51 168.55	**FA System :**	I
Project Length :		**On NHS Y/N :**	Y
Bridge No :	022B00077N	**County Name :**	Carter
Project Oversight :	State	**Congrl District(s) :**	4
STIP Ref:	FY11-14 Adm. Mod. #2010.039	**KYTC Phase :**	Construction
TIP Ref:		**State Def Field 1:**	
Env. Doc. Type/Date :	CE Prog. 11/18/2010	**State Def Field 2:**	
Urbanized Area :		**State Def Field 3:**	

Project Description
US 60 Bridge over I-64 2.5mi west of KY7 at Grayson.

Additional comments
Replace expansion joints, patch and place concrete overlay.

Remarks:
Scheduled for the April 29, 2011 Letting.

CO	IMPR. TYPE	AC	APP	FF %	PRIOR FEDERAL	FEDERAL	STATE	OTHER	TOLL CREDIT	TOTAL
43	14		L01E	90%		250,000.00			25,000.00	250,000.00
43	17		L01E	90%		25,000.00			2,500.00	25,000.00
Project Total						**$275,000.00**			**$27,500.00**	**$275,000.00**

The State agrees that as a condition to payment of Federal funds obligated, it accepts and will comply with the agreement provisions set forth in 23 CFR 630.112; and its signature constitutes the making of the certifications.

Original	X
Modification	

This agreement is subject to the following award terms:
http://edocket.access.gpo.gov/2010/pdf/2010-22705.pdf and
http://edocket.access.gpo.gov/2010/pdf/2010-22706.pdf

KYTC Signature:	**FHWA Signature:**
Date:	**Date:**

Form No. FPA-1 IC