



CALL NO. 104

CONTRACT ID. 111329

PENDLETON COUNTY

FED/STATE PROJECT NUMBER BRO 5218 (004)

DESCRIPTION WEST SECOND STREET (KY 22)

WORK TYPE BRIDGE WITH GRADE, DRAIN & SURFACE

PRIMARY COMPLETION DATE 150 WORKING DAYS

LETTING DATE: September 23, 2011

Sealed Bids will be received electronically through the Bid Express bidding service until 10:00 AM EASTERN DAYLIGHT TIME September 23, 2011. Bids will be publicly announced at 10:00 AM EASTERN DAYLIGHT TIME.

ROAD AND BRIDGE PLANS

DBE CERTIFICATION REQUIRED - 2%

REQUIRED BID PROPOSAL GUARANTY: Not less than 5% of the total bid.

TABLE OF CONTENTS

PART I	SCOPE OF WORK <ul style="list-style-type: none">• PROJECT(S), COMPLETION DATE(S), & LIQUIDATED DAMAGES• CONTRACT NOTES• FEDERAL CONTRACT NOTES• FUEL AND ASPHALT PAY ADJUSTMENT• OPTION A• WASTE AND BORROWED SITES• RIGHT OF WAY NOTES• UTILITY CLEARANCE• COMMUNICATING ALL PROMISES• PERMIT(S)
PART II	SPECIFICATIONS AND STANDARD DRAWINGS <ul style="list-style-type: none">• SPECIFICATIONS REFERENCE• SUPPLEMENTAL SPECIFICATIONS• [SN-9Y] MATERIAL TRANSFER VEHICLE• [SN-11D] ROCK BLASTING• [SN-11F] TURF REINFORCEMENT MAT• [SP-4] WELDING STEEL BRIDGES• [SP-69] EMBANKMENT AT BRIDGE END BENT STRUCTURES
PART III	EMPLOYMENT, WAGE AND RECORD REQUIREMENTS <ul style="list-style-type: none">• FEDERAL-AID CONSTRUCTION CONTRACTS - FHWA 1273• NONDISCRIMINATION OF EMPLOYEES• EXECUTIVE BRANCH CODE OF ETHICS• PROJECT WAGE RATES• NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EEO
PART IV	INSURANCE
PART V	BID ITEMS

PART I

SCOPE OF WORK

CONTRACT ID - 111329

ADMINISTRATIVE DISTRICT - 06

PROJECT(S) IDENTIFICATION AND DESCRIPTION:

COUNTY - PENDLETON
BRO 5218 (004)

PCN - DE09600221129

WEST SECOND STREET (KY 22) REPLACE BRIDGE AND APPROACHES AT SOUTH FORK LICKING RIVER 1.0 MILE EAST OF US 27, A DISTANCE OF 0.44 MILES. BRIDGE WITH GRADE, DRAIN & SURFACE. SYP NO. 06-01048.00.

GEOGRAPHIC COORDINATES LATITUDE 38^40'39" LONGITUDE 84^19'28"

COMPLETION DATE(S):

150 WORKING DAYS

APPLIES TO ENTIRE CONTRACT

CONTRACT NOTES

PROPOSAL ADDENDA

All addenda to this proposal must be applied when calculating bid and certified in the bid packet submitted to the Kentucky Department of Highways. Failure to use the correct and most recent addenda may result in the bid being rejected.

BID SUBMITTAL

Bidder must use the Department's Expedite Bidding Program available on the Internet web site of the Department of Highways, Division of Construction Procurement. (www.transportation.ky.gov/contract)

The Bidder must download the bid file located on the Bid Express website (www.bidx.com) to prepare a bid packet for submission to the Department. The bidder must submit electronically using Bid Express.

JOINT VENTURE BIDDING

Joint venture bidding is permissible. All companies in the joint venture must be prequalified in one of the work types in the Qualifications for Bidders for the project. The bidders must get a vendor ID for the joint venture from the Division of Construction Procurement and register the joint venture as a bidder on the project. Also, the joint venture must obtain a digital ID from Bid Express to submit a bid. A joint bid bond of 5% may be submitted for both companies or each company may submit a separate bond of 5%.

UNDERGROUND FACILITY DAMAGE PROTECTION

The contractor is advised that the Underground Facility Damage Protection Act of 1994, became law January 1, 1995. It is the contractor's responsibility to determine the impact of the act regarding this project, and take all steps necessary to be in compliance with the provision of the act.

SPECIAL NOTE FOR PROJECT QUESTIONS DURING ADVERTISEMENT

Questions about projects during the advertisement should be submitted in writing to the Division of Construction Procurement. This may be done by fax (502) 564-7299 or email to kytc.projectquestions@ky.gov. The Department will attempt to answer all submitted questions. The Department reserves the right not to answer if the question is not pertinent or does not aid in clarifying the project intent.

The deadline for posting answers will be 3:00 pm Eastern Daylight Time, the day preceding the Letting. Questions may be submitted until this deadline with the understanding that the later a question is submitted, the less likely an answer will be able to be provided.

The questions and answers will be posted for each Letting under the heading “Questions & Answers” on the Construction Procurement website (www.transportation.ky.gov/contract). The answers provided shall be considered part of this Special Note and, in case of a discrepancy, will govern over all other bidding documents.

04/28/2011

FEDERAL CONTRACT NOTES

The Kentucky Department of Highways, in accordance with the Regulations of the United States Department of Transportation 23 CFR 635.112 (h), hereby notifies all bidders that failure by a bidder to comply with all applicable sections of the current Kentucky Standard Specifications, including, but not limited to the following, may result in a bid not being considered responsive and thus not eligible to be considered for award:

102.02 Current Capacity Rating 102.10 Delivery of Proposals
102.08 Irregular Proposals 102.14 Disqualification of Bidders
102.09 Proposal Guaranty

CIVIL RIGHTS ACT OF 1964

The Kentucky Department of Highways, in accordance with the provisions of Title VI of the Civil Rights Act of 1964 (78 Stat. 252) and the Regulations of the Federal Department of Transportation (49 C.F.R., Part 21), issued pursuant to such Act, hereby notifies all bidders that it will affirmatively insure that the contract entered into pursuant to this advertisement will be awarded to the lowest responsible bidder without discrimination on the ground of race, color, or national origin.

NOTICE TO ALL BIDDERS

To report bid rigging activities call: 1-800-424-9071.

The U.S. Department of Transportation (DOT) operates the above toll-free “hotline” Monday through Friday, 8:00 a.m. to 5:00 p.m. eastern time. Anyone with knowledge of possible bid rigging, bidder collusion, or other fraudulent activities should use the “hotline” to report such activities.

The “hotline” is part of the DOT’s continuing effort to identify and investigate highway construction contract fraud and abuse and is operated under the direction of the DOT Inspector General. All information will be treated confidentially and caller anonymity will be respected.

FHWA 1273

Contrary to Paragraph VI of FHWA 1273, contractors on National Highway System (NHS) Projects of \$1 million or more are no longer required to submit Form FHWA-47.

Contrary to Form FHWA-1273, Section V, paragraph 2.b personal addresses and full social security numbers (SSN) shall not be included on weekly payroll submissions by contractors and subcontractors. Contractors and subcontractors shall include the last four digits of the employee’s SSN as an individually identifying number for each employee on the weekly payroll submittal. This in no way changes the requirement that contractors and subcontractors maintain complete SSN and home addresses for employees and provide this information upon request of KYTC, FHWA, and the U.S. Department of Labor.

SECOND TIER SUBCONTRACTS

Second Tier subcontracts on federally assisted projects shall be permitted. However, in the case of DBE's, second tier subcontracts will only be permitted where the other subcontractor is also a DBE. All second tier subcontracts shall have the consent of both the Contractor and the Engineer.

DISADVANTAGED BUSINESS ENTERPRISE PROGRAM

It is the policy of the Kentucky Transportation Cabinet ("the Cabinet") that Disadvantaged Business Enterprises ("DBE") shall have the opportunity to participate in the performance of highway construction projects financed in whole or in part by Federal Funds in order to create a level playing field for all businesses who wish to contract with the Cabinet. To that end, the Cabinet will comply with the regulations found in 49 CFR Part 26, and the definitions and requirements contained therein shall be adopted as if set out verbatim herein.

The Cabinet, contractors, subcontractors, and sub-recipients shall not discriminate on the basis of race, color, national origin, or sex in the performance of work performed pursuant to Cabinet contracts. The contractor shall carry out applicable requirements of 49 CFR 26 in the award and administration of federally assisted highway construction projects. The contractor will include this provision in all its subcontracts and supply agreements pertaining to contracts with the Cabinet.

Failure by the contractor to carry out these requirements is a material breach of its contract with the Cabinet, which may result in the termination of the contract or such other remedy as the Cabinet deems necessary.

DBE GOAL

The Disadvantaged Business Enterprise (DBE) goal established for this contract, as listed on the front page of the proposal, is the percentage of the total value of the contract.

The contractor shall exercise all necessary and reasonable steps to ensure that Disadvantaged Business Enterprises participate in a least the percent of the contract as set forth above as goals for this contract.

OBLIGATION OF CONTRACTORS

Each contractor prequalified to perform work on Cabinet projects shall designate and make known to the Cabinet a liaison officer who is assigned the responsibility of effectively administering and promoting an active program for utilization of DBEs.

If a formal goal has not been designated for the contract, all contractors are encouraged to consider DBEs for subcontract work as well as for the supply of material and services needed to perform this work.

Contractors are encouraged to use the services of banks owned and controlled by minorities and women.

CERTIFICATION OF CONTRACT GOAL

Contractors shall include the following certification in bids for projects for which a DBE goal has been established. BIDS SUBMITTED WHICH DO NOT INCLUDE CERTIFICATION OF DBE PARTICIPATION WILL NOT BE READ PUBLICLY. These bids will not be considered for award by the Cabinet and they will be returned to the bidder.

“The bidder certifies that it has secured participation by Disadvantaged Business Enterprises (“DBE”) in the amount of ____ percent of the total value of this contract and that the DBE participation is in compliance with the requirements of 49 CFR 26 and the policies of the Kentucky Transportation Cabinet pertaining to the DBE Program.”

The certification statement is located in the printed bid packet. All contractors must certify their DBE participation on that page. DBEs utilized in achieving the DBE goal must be certified and prequalified for the work items at the time the bid is submitted.

DBE PARTICIPATION PLAN

All bidders are encouraged to submit their General DBE Participation Plan with their bid on the official form. Lowest responsive bidders whose bid packages include DBE Participation Plans may be awarded the contract at the next Awards Committee meeting provided that the DBE goal is met. The DBE Participation Plan shall include the following:

1. Name and address of DBE Subcontractor(s) and/or supplier(s) intended to be used in the proposed project;
2. Description of the work each is to perform including the work item , unit, quantity, unit price and total amount of the work to be performed by the individual DBE;
3. The dollar value of each proposed DBE subcontract and the percentage of total project contract value this represents. DBE participation may be counted as follows;
 - a) If DBE suppliers and manufactures assume actual and contractual responsibility, the dollar value of materials to be furnished will be counted toward the goal as follows:
 - The entire expenditure paid to a DBE manufacturer;
 - 60 percent of expenditures to DBE suppliers that are not manufacturers provided the supplier is a regular dealer in the product involved. A regular dealer must be engaged in, as its principal business and in its own name, the sale of products to the public, maintain an inventory and own and operate distribution equipment; and
 - the amount of fees or commissions charged by the DBE firms for a bona fide service, such as professional, technical, consultant, or managerial services and assistance in the procurement of essential personnel,

- facilities, equipment, materials, supplies, delivery of materials and supplies or for furnishing bonds, or insurance, providing such fees or commissions are determined to be reasonable and customary.
- b) The dollar value of services provided by DBEs such as quality control testing, equipment repair and maintenance, engineering, staking, etc.;
 - c) The dollar value of joint ventures. DBE credit for joint ventures will be limited to the dollar amount of the work actually performed by the DBE in the joint venture;
- 4. Written and signed documentation of the bidder's commitment to use a DBE contractor whose participation is being utilized to meet the DBE goal; and
 - 5. Written and signed confirmation from the DBE that it is participating in the contract as provided in the prime contractor's commitment.

The apparent low bidder who does not submit a General DBE Participation Plan with the bid shall submit it within 10 calendar days after receipt of notification that they are the apparent low bidder. The project will not be considered for award prior to submission and approval of the apparent low bidder's DBE Participation Plan.

Detailed DBE Participation Plan forms will be included in the Contractor Package presented to successful bidders following the awarding of the project. The Detailed DBE Participation Plan must be completed and returned to Contract Procurement in accordance with Cabinet policy. A copy of the blank estimate will be included with the Detailed DBE Participation Plan to list sequence items by PCN (Project Control Number).

Changes to DBE Participation Plans must be approved by the Cabinet. The Cabinet may consider extenuating circumstances including, but not limited to, changes in the nature or scope of the project, the inability or unwillingness of a DBE to perform the work in accordance with the bid, and/or other circumstances beyond the control of the prime contractor.

CONSIDERATION OF GOOD FAITH EFFORTS REQUESTS

If the DBE participation submitted in the bid by the apparent lowest responsive bidder does not meet or exceed the DBE contract goal, the apparent lowest responsive bidder must submit a Good Faith Effort Package to satisfy the Cabinet that sufficient good faith efforts were made to meet the contract goals prior to submission of the bid. Efforts to increase the goal after bid submission will not be considered in justifying the good faith effort, unless the contractor can show that the proposed DBE was solicited prior to the letting date. DBEs utilized in achieving the DBE goal must be certified and prequalified for the work items at the time the bid is submitted. One complete set and nine (9) copies of this information must be received in the office of the Division of Contract Procurement no later than 12:00 noon of the tenth calendar day after receipt of notification that they are the apparent low bidder.

Where the information submitted includes repetitious solicitation letters it will be acceptable to submit a sample representative letter along with a distribution list of the firms solicited. Documentation of DBE quotations shall be a part of the good faith effort submittal as necessary to demonstrate compliance with the factors listed below which the Cabinet considers in judging good faith efforts. This documentation may include written subcontractors' quotations, telephone log notations of verbal quotations, or other types of quotation documentation.

The Good Faith Effort Package shall include, but may not be limited to information showing evidence of the following:

1. Whether the bidder attended any pre-bid meetings that were scheduled by the Cabinet to inform DBEs of subcontracting opportunities;
2. Whether the bidder provided solicitations through all reasonable and available means;
3. Whether the bidder provided written notice to all DBEs listed in the DBE directory at the time of the letting who are prequalified in the areas of work that the bidder will be subcontracting;
4. Whether the bidder followed up initial solicitations of interest by contacting DBEs to determine with certainty whether they were interested. If a reasonable amount of DBEs within the targeted districts do not provide an intent to quote or no DBEs are prequalified in the subcontracted areas, the bidder must notify the DBE Liaison in the Office of Minority Affairs to give notification of the bidder's inability to get DBE quotes;
5. Whether the bidder selected portions of the work to be performed by DBEs in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate DBE participation, even when the prime contractor might otherwise perform these work items with its own forces;
6. Whether the bidder provided interested DBEs with adequate and timely information about the plans, specifications, and requirements of the contract;
7. Whether the bidder negotiated in good faith with interested DBEs not rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be so noted in writing with a description as to why an agreement could not be reached;
8. Whether quotations were received from interested DBE firms but were rejected as unacceptable without sound reasons why the quotations were considered unacceptable. The fact that the DBE firm's quotation for the work is not the lowest quotation received will not in itself be considered as a sound reason for rejecting the quotation as unacceptable. The fact that the bidder has the ability and/or desire to perform the contract work with its own forces will not be considered a sound reason for rejecting a DBE quote. Nothing in this provision shall be construed to require the bidder to accept unreasonable quotes in order to satisfy DBE goals;

9. Whether the bidder specifically negotiated with subcontractors to assume part of the responsibility to meet the contract DBE goal when the work to be subcontracted includes potential DBE participation;
10. Whether the bidder made any efforts and/or offered assistance to interested DBEs in obtaining the necessary equipment, supplies, materials, insurance and/or bonding to satisfy the work requirements of the bid proposal; and
11. Any other evidence that the bidder submits which may show that the bidder has made reasonable good faith efforts to include DBE participation.

FAILURE TO MEET GOOD FAITH REQUIREMENT

Where the apparent lowest responsive bidder fails to submit sufficient participation by DBE firms to meet the contract goal and upon a determination by the Good Faith Committee based upon the information submitted that the apparent lowest responsive bidder failed to make sufficient reasonable efforts to meet the contract goal, the bidder will be offered the opportunity to meet in person for administrative reconsideration. The bidder will be notified of the Committee's decision within 24 hours of its decision. The bidder will have 24 hours to request reconsideration of the Committee's decision. The reconsideration meeting will be held within two days of the receipt of a request by the bidder for reconsideration.

The request for reconsideration will be heard by the Office of the Secretary. The bidder will have the opportunity to present written documentation or argument concerning the issue of whether it met the goal or made an adequate good faith effort. The bidder will receive a written decision on the reconsideration explaining the basis for the finding that the bidder did or did not meet the goal or made adequate Good Faith efforts to do so.

The result of the reconsideration process is not administratively appealable to the Cabinet or to the United States Department of Transportation.

The Cabinet reserves the right to award the contract to the next lowest responsive bidder or to rebid the contract in the event that the contract is not awarded to the low bidder as the result of a failure to meet the good faith requirement.

SANCTIONS FOR FAILURE TO MEET DBE REQUIREMENTS OF THE PROJECT

Failure by the prime contractor to fulfill the DBE requirements of a project under contract or to demonstrate good faith efforts to meet the goal constitutes a breach of contract. When this occurs, the Cabinet will hold the prime contractor accountable, as would be the case with all other contract provisions. Therefore, the contractor's failure to carry out the DBE contract requirements shall constitute a breach of contract and as such the Cabinet reserves the right to exercise all administrative remedies at its disposal including, but not limited to the following:

- Disallow credit toward the DBE goal;
- Withholding progress payments;

- Withholding payment to the prime in an amount equal to the unmet portion of the contract goal; and/or
- Termination of the contract.

PROMPT PAYMENT

The prime contractor will be required to pay the DBE within seven (7) working days after he or she has received payment from the Kentucky Transportation Cabinet for work performed or materials furnished.

CONTRACTOR REPORTING

All contractors must keep detailed records and provide reports to the Cabinet on their progress in meeting the DBE requirement on any highway contract. These records may include, but shall not be limited to payroll, lease agreements, cancelled payroll checks, executed subcontracting agreements, etc. Prime contractors will be required to submit certified reports on monies paid to each DBE subcontractor or supplier utilized to meet a DBE goal.

Payment information that needs to be reported includes date the payment is sent to the DBE, check number, Contract ID, amount of payment and the check date. Before Final Payment is made on this contract, the Prime Contractor will certify that all payments were made to the DBE subcontractor and/or DBE suppliers.

The Prime Contractor should supply the payment information at the time the DBE is compensated for their work. Form to use is located at:

<http://transportation.ky.gov/construction/forms/DBEcheck.xls>

Photocopied payments and completed form to be submitted to:

Office of Civil Rights and Small Business Development
6th Floor West
200 Mero Street
Frankfort, KY 40622

DEFAULT OR DECERTIFICATION OF THE DBE

If the DBE subcontractor or supplier is decertified or defaults in the performance of its work, and the overall goal cannot be credited for the uncompleted work, the prime contractor may utilize a substitute DBE or elect to fulfill the DBE goal with another DBE on a different work item. If after exerting good faith effort in accordance with the Cabinet's Good Faith Effort policies and procedures, the prime contractor is unable to replace the DBE, then the unmet portion of the goal may be waived at the discretion of the Cabinet.

06/29/2009

Contract ID Number

Project Number:

Designated DBE Goal %

DBE Company Name

Address

City, State, Zip

Federal Tax ID

Type of DBE Work: (all applicable)

Supplier _____ Subcontractor _____ Manufacturer _____

Engineering

Other

Itemized worked to be performed by DBE Company:

[illegible]

****Note:** 60 percent of expenditures to DBE suppliers that are not manufacturers provided the supplier is a regular dealer in the product involved. A regular dealer must be engaged in, as its principal business and in its own name, the sale of products to the public, maintain an inventory and own and operate distribution equipment

Total This DBE

Total Bid

% Credited toward Goal, this DBE

Prime Contractor's Signature: _____

Title: _____

Date: _____

DBE Participant Signature: _____

Title:

Date:

***This form must be completed for each DBE participant**

Prime Contractor		Cont-ID	
DBE Contractor		CHECK #	
PAYMENT DATE		Amount of Payment	
Use the section below to show multiple payments using the same check			
Cont-ID	Amount	Cont-ID	Amount
Comments:			

attach copy of check here

Mail to:
Office of Civil Rights and Small Business Development
200 Mero Street
6th Floor West TCOB
Frankfort, KY 40622

to be Submitted within 7 days of receipt of payment from KYTC

FUEL AND ASPHALT PAY ADJUSTMENT

The following contract items: Asphalt Adjustment and Fuel Adjustment, are for possible future payments. Additional monies may need to be setup with an additional change order if existing contract amount is insufficient to pay all items on the contract. Unit price is \$1.00. Quantity will be actual adjustment after work is completed.

OPTION A

The Contractor is advised that the compaction of asphalt mixtures furnished for driving lanes and ramps, at 25mm (1 inch) or greater, on this project will be accepted according to OPTION A in accordance with Section 402 and Section 403 of the current Standard Specification. Joint cores as described in subsection 402.03.02 are required for surface mixtures only. The compaction of all other asphalt mixtures will be accepted by OPTION B.

SPECIAL PROVISION FOR WASTE AND BORROW SITES

The contractor is advised that it is their responsibility to gain U.S. Army Corp of Engineer's approval before utilizing a waste or borrow site that involves "Waters of the United States". "Waters of the United States" are defined as perennial or intermittent streams, ponds or wetlands. Ephemeral streams are also considered jurisdictional waters, and are typically dry except during rainfall, but have a defined drainage channel. Questions concerning any potential impacts to "Waters..." should be brought to the attention of the appropriate District Office for the Corps of Engineers for a determination, prior to disturbance. Any fees associated with obtaining approval from the U.S. Army Corp of Engineer or other appropriate regulatory agencies for waste and borrow sites is the responsibility of the contractor.

01/01/2009

Right-of-Way Certification Form

Revised 2/22/11

☒ Federal Funded

☒ Original

☐ State Funded

☐ Re-Certification

This form must be completed and submitted to FHWA with the PS&E package for federal-aid funded Interstate, Appalachia, and Major projects. This form shall also be submitted to FHWA for all federal-aid projects that fall under Conditions No. 2 or 3 outlined elsewhere in this form. When Condition No. 2 or 3 apply, KYTC shall resubmit this ROW Certification prior to construction contract Award. For all other federal-aid projects, this form shall be completed and retained in the KYTC project file.

Date: 8-16-2011

Project Name: KY 22 Over Licking River

Letting Date: _____

Project #: FD52 096 7382201R

County: PENDLETON

Item #: 6-1048.00

Federal #: BRO 5218 (001)

Description of Project: Replace bridge on KY 22 over the Licking River

Projects that require **NO** new or additional right-of-way acquisitions and/or relocations

- ☐ The proposed transportation improvement will be built within the existing rights-of-way and there are no properties to be acquired, individuals, families, and businesses ("relocatees") to be relocated, or improvements to be removed as a part of this project.

Projects that require new or additional right-of-way acquisitions and/or relocations

- ☒ Per 23 CFR 635.309, the KYTC hereby certify that all relocatees have been relocated to decent, safe, and sanitary housing or that KYTC has made available to relocatees adequate replacement housing in accordance with the provisions of the current FHWA directive(s) covering the administration of the Highway Relocation Assistance Program and that at least one of the following three conditions has been met. (Check those that apply.)

- ☒ **Condition 1.** All necessary rights-of-way, including control of access rights when applicable, have been acquired including legal and physical possession. Trial or appeal of cases may be pending in court but legal possession has been obtained. There may be some improvements remaining on the right-of-way, but all occupants have vacated the lands and improvements, and KYTC has physical possession and the rights to remove, salvage, or demolish all improvements and enter on all land. Fair market value has been paid or deposited with the court.

- ☐ **Condition 2.** Although all necessary rights-of-way have not been fully acquired, the right to occupy and to use all rights-of-way required for the proper execution of the project has been acquired. Trial or appeal of some parcels may be pending in court and on other parcels full legal possession has not been obtained, but right of entry has been obtained, the occupants of all lands and improvements have vacated, and KYTC has physical possession and right to remove, salvage, or demolish all improvements. Fair market value has been paid or deposited with the court for most parcels. Fair market value for all pending parcels will be paid or deposited with the court prior to AWARD of construction contract. (See note 1 below.)

Note 1: The KYTC shall re-submit a right-of-way certification form for this project prior to AWARD of all Federal-Aid construction contracts. Award must not to be made until after KYTC has obtained full legal possession and fair market value for all parcels has been paid or deposited with the court and FHWA has concurred in the re-submitted right-of-way certification.

Right-of-Way Certification Form

Revised 2/22/11

- ☐ **Condition 3.** The acquisition or right of occupancy and use of a few remaining parcels are not complete and/or some parcels still have occupants. However, all remaining occupants have had replacement housing made available to them in accordance with 49 CFR 24.204. The KYTC is hereby requesting authorization to advertise this project for bids and to proceed with bid letting even though the necessary rights-of-way will not be fully acquired, and/or some occupants will not be relocated, and/or the fair market value will not be paid or deposited with the court for some parcels until after bid letting. KYTC will fully meet all the requirements outlined in 23 CFR 635.309(c)(3) and 49 CFR 24.102(j) and will expedite completion of all acquisitions, relocations, and full payments after bid letting and prior to AWARD of the construction contract or force account construction. A full explanation and reason for this request, including identification of each such parcel and dates on which acquisitions, payments, and relocations will be completed, is attached to this certification form for FHWA concurrence. (See note 2.)

Note 2: The KYTC may request authorization on this basis only in unique and unusual circumstances. Proceeding to bid letting shall be the exception and never become the rule. In all cases, the KYTC shall make extraordinary efforts to expedite completion of the acquisition, payment for all affected parcels, and the relocation of all relocatees prior to AWARD of all Federal-Aid construction contracts or force account construction.

Approved: DANIEL R. WHITE
Printed Name

[Signature] Right-of-Way Supervisor
Signature

Approved: DAVID L. ORR
Printed Name

[Signature] 8/16/11
Signature KYTC, Director of ROW & Utilities

Approved: David Whitworth
Printed Name

[Signature] 8/25/11
Signature FHWA, ROW Officer (when applicable)

Right-of-Way Certification Form

Revised 2/22/11

Date: 8-16-2011

Project Name: KY 22 Over Licking River

Project #: FD52 096 7382201R

Item #: 6-1048.00

Letting Date: _____

County: PENDLETON

Federal #: BRO 5218 (001)

This project has 9 total number of parcels to be acquired, and 1 total number of individuals or families to be relocated, as well as 0 total number of businesses to be relocated.

9 Parcels where acquired by a signed fee simple deed and fair market value has been paid

0 Parcels have been acquired by IOJ through condemnation and fair market value has been deposited with the court

0 Parcels have not been acquired at this time (*explain below for each parcel*)

0 Parcels have been acquired or have a "right of entry" but fair market value has not been paid or has not been deposited with the court (*explain below for each parcel*)

0 Relocatees have not been relocated from parcels _____, _____, _____, _____, _____, _____, and _____ (*explain below for each parcel*)

Parcel #	Name/Station	Explanation for delayed acquisition, delayed relocation, or delayed payment of fair market value	Proposed date of payment or of relocation

There are 0 billboards and/or 0 cemeteries involved on this project.

There are 0 water or monitoring wells on parcels _____, _____, _____, _____, and _____. All have been acquired and are the responsibility of the project contractor to close/cap.

Form Effective Date: April 1, 2006
Last Revised: February 22, 2011

SPECIAL NOTES FOR UTILITY CLEARANCE IMPACT ON CONSTRUCTION

Pendleton County
KY-22 over the Licking River at Falmouth
Item No. 06-1048.00

GENERAL NOTES AND NOTICES RELATIVE TO ALL UTILITIES

The information provided below in these Special Notes for Utility Clearance, Impact on Construction may not be exact nor complete. The information provided is for the contractor's use in planning the execution of the work. It shall be the road contractor's responsibility to verify the completeness and/or accuracy of all such information being furnished.

Flowable Fill Requirement

The road contractor MUST use flowable fill as the backfill media any place water and sewer lines cross under existing or proposed roadway surfaces. It should also be noted that the cost of the flowable fill shall be incidental to the cost of the water or sewer line being installed.

Maintenance of Utility Services

All existing gas, water and sanitary sewer services are to be maintained throughout road construction. Temporary gas, water and sewer facilities to maintain service are to be provided and paid for by the road contractor as incidental to road construction. No additional compensation will be paid the contractor for temporary work and materials to maintain existing gas, water and sewer services. **No unauthorized discharge of sewage due to the road contractor's work will be allowed.**

Utility Shutdowns

The contractor shall notify the utility owner(s) of all planned shutdowns of utility mains or utility service to customers at least three business days in advance. Advance notice will allow for customers to be notified by the utility owner. Any unannounced disruption of gas, water, or sanitary sewer services or mains that inconveniences any customer is to be avoided.

Damage to Utilities

Any intentional or accidental disruption of service due to damage to gas, water, or sewer mains caused by any of the contractor's operations without three days advance notice to the utility owner shall be cause for the Cabinet to charge liquidated damages in the amount of five thousand dollars per day (\$5,000/day) per occurrence against the contractor until such time as the utility main is restored.

Any intentional or accidental disruption of any individual gas, water or sewer service caused by any of the contractor's operations without three days advance notice to the utility owner shall be cause for the Cabinet to charge liquidated

SPECIAL NOTES FOR UTILITY CLEARANCE, IMPACT ON CONSTRUCTION (CONTINUED)

damages in the amount of five hundred dollars per day (\$500/day) per occurrence against the contractor until such time as service is restored.

In the case of a main disruption, liquidated damages shall be charged at the main disruption rate only. Liquidated damages shall not be charged in addition for service disruptions when a main disruption is involved.

Utility Inspection

The Utility Owners will provide inspection when utility work is being performed by the contractor on their respective utility owner's facilities. It will be the road contractor's responsibility to notify the appropriate utility owner for inspection.

External Utility Permits

Kentucky Division of Water permits for water and sanitary sewer relocation construction were not available before bidding. These items will be distributed at the preconstruction meeting.

Utility Phasing

The contractor should be aware that some utilities will need to be relocated first to accommodate the relocation of others. The contractor should review the plans and draw his own conclusions as to the phasing of the work of various utilities. The contractor should pay close attention to the proximity of construction of new facilities when working in the vicinity of existing water mains to prevent blow-outs.

CITY OF FALMOUTH (ELECTRIC), CINCINNATI BELL TELEPHONE, AND INSIGHT COMMUNICATIONS (CATV)

overhead facilities are to be relocated by their owners. The relocation of overhead utilities at the east end of the project crossing near stations 111+50 and 118+35 will not be completed until December 10, 2011. The Cabinet will not consider any compensation for delay costs, due to utility delays prior to December 10, 2011. The road contractor is required to coordinate and cooperate with the utility owners until the completion of this work.

The overhead utilities around the west end of the new bridge, the intersection of KY-22 and Main Street, and around and attached to the existing bridge will be relocated concurrently with the road contractor's work. The road contractor will need to complete underground utility and roadway embankment work in this area before overhead utility relocation can start. It is estimated it will take eight weeks to complete overhead utility relocation in this area once the road contractor completes needed work and makes the area available to the overhead utility owners. The road contractor shall give the KYTC Section Engineer at least two weeks prior notice of when the area will be ready for overhead utility work to start. KYTC will relay the advance notice to the utility owners. The Cabinet will not consider any compensation for delay costs, due to utility delays for the eight weeks the overhead utilities are working on the site. The road contractor is required to coordinate and cooperate with the utility owners until the completion of this work.

SPECIAL NOTES FOR UTILITY CLEARANCE, IMPACT ON CONSTRUCTION (CONTINUED)

CITY OF FALMOUTH WATER AND SEWER AND EAST PENDLETON WATER DISTRICT facilities are to be relocated by the road contractor as shown on plans inserted into the roadway plans with specifications contained in the proposal.

Alignment changes to proposed water facilities to accommodate unforeseen field conditions are possible. However, it is the responsibility of the roadway contractor to communicate any proposed main alignment changes to the utility owner inspector and the KYTC Section Engineer or their designated representative prior to actually modifying the proposed main alignment.

DUKE ENERGY (GAS) facilities will be relocated by the utility owner. All gas work is to be performed concurrently with road construction. The road contractor is required to coordinate and cooperate with the utility owner until the completion of this work.

All embankment work and all new bridge substructure work will need to be substantially completed before gas relocation can start. A new gas main is to be directionally bored under the river. Other gas work on the remainder of the project will be performed at the same time as the bore. The road contractor shall give the KYTC Section Engineer two weeks prior notice of when the area will be ready for gas utility work to start. KYTC will relay the advance notice to the utility owner.

The existing gas service crossing mainline at about station 111+85 is to remain in service during embankment construction. A new gas service is to be constructed at this location across the new embankment at minimum four feet depth.

RAILROADS are not involved in this project.

PROTECTION OF UTILITIES

THE LOCATION OF UTILITIES PROVIDED IN THE CONTRACT DOCUMENTS HAS BEEN FURNISHED BY THE FACILITY OWNERS AND/OR BY REVIEWING RECORD DRAWINGS. THE INFORMATION MAY NOT BE EXACT NOR COMPLETE.

The contractor is instructed to call 1-800-752-6007 to reach KY 811, the one-call system for information on the location of existing underground utilities. The call is to be placed a minimum of two (2) and no more than ten (10) business days prior to excavation. The contractor should be aware that owners of underground facilities are not required to be members of the KY 811 one-call Before-U-Dig (BUD) service. The contractor must coordinate excavation with the utility owners, including those who do not subscribe to KY 811. It may be necessary for the contractor to contact the County Court Clerk to determine what utility companies have facilities in the area.

SPECIAL NOTES FOR UTILITY CLEARANCE, IMPACT ON CONSTRUCTION (CONTINUED)

THE ROAD CONTRACTOR SHALL DETERMINE THE EXACT LOCATION AND ELEVATION OF UTILITIES BY HAND DIGGING TO EXPOSE UTILITIES BEFORE HE EXCAVATES IN THE AREA OF A UTILITY. THE COST FOR REPAIR AND ANY OTHER ASSOCIATED COSTS FOR ANY DAMAGE TO UTILITIES CAUSED BY THE ROAD CONTRACTORS OPERATIONS SHALL BE BORNE BY THE ROAD CONTRACTOR.

THE CONTRACTOR IS ALSO ADVISED TO REVIEW THE PROJECT IN THE FIELD AND BE AWARE OF OVERHEAD LINES WITHIN THE PROJECT LIMITS. THE CONTRACTOR SHOULD BE CAUTIOUS WHEN WORKING UNDER THESE LINES. THE CONTRACTOR IS RESPONSIBLE FOR MAKING ANY ARRANGEMENTS HE FEELS PRUDENT TO AVOID CONTACT WITH THESE OVERHEAD LINES.

<u>Item No.</u>	6 - 1048		<u>Project Mgr.</u>	MIKE BEZOLD	
			<u>County</u>	PENDLETON	<u>Route</u> KY-22
<u>CAP #</u>	<u>Date of Promise</u>	<u>Promise made to:</u>	<u>Location of Promise</u>		
1	23-MAR-08	Kentucky Division of Fish and Wildlife	Licking River		
<u>CAP Description</u>					
SEE ATTACHED "APPENDIX C OF THE MITIGATION/MINIMIZATION MEASURES FOR CYPROGENIA STEGARIA" THIS CDOCUMENT CONTAINS SPECIAL MEASURES FOR SEED MIXTURES AROUND THE RIVER AND BMP MEASURES FOR THE WORK AROUND THE RIVER.					
2	11-DEC-08	DEA	Licking River		
<u>CAP Description</u>					
CONTRACTOR WILL BE RESPONSIBLE FOR DEVELOPING DETAILED BRIDGE DEMOLITION PLANS. THESE PLANS MUST BE APPROVED BY THE UNITED STATES FISH AND WILDLIFE SERVICE (USFWS) BEFORE BRIDGE DEMOLITION WORK CAN BEGIN. IMPACTS, AND DURATION OF IMPACTS, TO THE RIVER MUST BE MINIMIZED. A BRIEF EFFECTS ANALYSIS AND A DESCRIPTION OF ALL MINIMIZATION MEASURES MUST ACCOMPANY THE DETAILED PLANS THAT WILL BE SUBMITTED TO USFWS FOR THEIR APPROVAL					

N O T I C E

**DEPARTMENT OF THE ARMY
CORPS OF ENGINEERS
(LETTER OF PERMISSION AUTHORIZATION)**

PROJECT: Item No. 6-1048
Pendleton County, Kentucky
KY 22 Bridge over Licking River

The Section 404 activities for this project have been permitted under the authority of the Department of the Army Nationwide Permit and Letter of Permission. In order for this authorization to be valid, the attached conditions must be followed. The contractor shall post a copy of this Permit in a conspicuous location at the project site for the duration of construction and comply with the general conditions as required.

To more readily expedite construction, the contractor may elect to alter the design or perform the work in a manner different from what was originally proposed and specified. Prior to commencing such alternative work, the contractor shall obtain **written** permission from the Division of Construction and the Corps of Engineers. A copy of any request to the Corps of Engineers to alter this proposal and subsequent responses shall be forwarded to the Division of Environmental Analysis, Permit Coordinator, for office records and for informational purposes.



DEPARTMENT OF THE ARMY
U.S. ARMY ENGINEER DISTRICT, LOUISVILLE
CORPS OF ENGINEERS
P.O. BOX 59
LOUISVILLE, KENTUCKY 40201-0059
<http://www.lrl.usace.army.mil/>

February 2, 2009

Operations Division
Regulatory Branch (South)
ID No. LRL-2008-1470

Mr. John Purdy
Kentucky Transportation Cabinet
Department of Highways
200 Mero Street
Frankfort, Kentucky 40622

Dear Mr. Purdy:

This is in regard to your application for a Department of the Army (DA) permit dated December 3, 2008, concerning a plan to replace the KY 22 Bridge over the Licking River impacting 30 linear feet (0.006 acre) in Falmouth, Pendleton County, Kentucky. We have reviewed your application and determined that your proposal is subject to Section 10 of the Rivers and Harbors Act of 1899 and Section 404 of the Clean Water Act.

However, Part 323 of Title 33 of the Code of Federal Regulations states that when dredged or fill material is placed into "waters of the United States," the work has nationwide authorization under Section 404 provided certain conditions are met. Further, activities subject to Section 10, which in the opinion of the District Engineer are minor, will not have a significant impact on environmental values and should encounter no opposition, may be authorized by a "Letter of Permission."

Since the work described in your application satisfies the nationwide provisions and the Letter of Permission criteria, you are hereby authorized, in accordance with the two aforementioned sections of law, to replace the KY 22 Bridge over the Licking River at the location cited in the above paragraph. This permission is granted with the following conditions:

a. That the bridge replacement be constructed in accordance with the drawings marked: Right of Way Plans for the KY 22 Bridge.

b. The time period for completing the work authorized ends on **February 2, 2011**. If you find that you need more time to complete the authorized activity, submit your request for a time extension to this office for consideration at least 1 month before the above date is reached.

- c. The permittee understands and agrees that, if future operations by the United States require the removal, relocation, or other alteration, of the structure or work herein authorized, or if, in the opinion of the Secretary of the Army or his authorized representative, said structure or work shall cause unreasonable obstruction to the free navigation of the navigable waters, the permittee will be required, upon due notice from the Corps of Engineers, to remove, relocate, or alter the structural work or obstructions caused thereby, without expense to the United States. No claim shall be made against the United States on account of any such removal or alteration.
- d. Upon completion of construction you are to notify the District Engineer. The enclosed Completion Report form must be completed and returned to this office.
- e. You must agree to comply with the enclosed General Conditions.

This authorization is effective upon receipt of your acceptance of these conditions. Please indicate your acceptance by signing and dating the duplicate copy of this letter in the space provided and return the signed copy in the enclosed envelope. Note that we also perform periodic inspections to ensure compliance with our permit conditions and appropriate Federal laws.

This letter contains a proffered permit for your proposed project. If you object to this decision, you may request an administrative appeal under Corps regulations at 33 CFR Part 331. Enclosed you will find a Notification of Appeal Process (NAP) fact sheet and Request for Appeal (RFA) form. If you request to appeal this decision you must submit a completed RFA form to the Lakes and Rivers Division Office at the following address:

Mr. Michael Montone
Great Lakes and Ohio River Division
CELRD-CM-O
PO Box 1159
Cincinnati, OH 45201-1159
(513) 684-6212

In order for an RFA to be accepted by the Corps, the Corps must determine that it is complete, that it meets the criteria for appeal under 33 CFR Part 331.5, and that it has been received by the Division Office within 60 days of the date of the NAP. Should you decide to submit an RFA form, it must be received at the above address by **April 2, 2009.**

It is not necessary to submit an RFA form to the Division office if you do not object to the decision in this letter.

Attached to this authorization is also a preliminary jurisdictional determination (JD). As indicated on the enclosed NAP fact sheet, a preliminary jurisdictional determination is not appealable and impacting "waters of the U.S." identified in the preliminary JD will result in you waiving your right to request an approved JD at a later date. You may request an approved JD (which may be appealed), by contacting me for further instruction.

Copies of this letter will be sent to the appropriate coordinating agencies (see enclosure for addresses).

FOR THE DISTRICT ENGINEER:



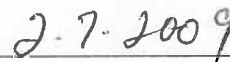
Layna Thrush
Regulatory Specialist
Regulatory Branch

Enclosures

(I accept the conditions of this authorization):



KY Transportation Cabinet Representative



Date

ADDRESSES FOR COORDINATING AGENCIES

Mr. Ronald Mikulak
Chief, Wetlands Regulatory Section
U.S. Environmental Protection Agency
Atlanta Federal Center
61 Forsyth Street, Southwest
Atlanta, GA 30303

Mr. Lee Andrews
U.S. Fish & Wildlife Service
JC Watts Federal Building, Room 265
330 West Broadway
Frankfort, KY 40601

Ms. Sandra Gruzesky, Director
Environmental and Public Protection Cabinet
Division of Water
200 Fair Oaks, 4th Floor
Frankfort, KY 40601

Dr. Jonathan W. Gassett
Commissioner
Department of Fish and Wildlife Resources
#1 Game Farm Road
Frankfort, KY 40601

Ms. Donna M. Neary
State Historic Preservation Officer
Kentucky Heritage Council
300 Washington Street
Frankfort, KY 40601

U.S. Coast Guard
ATTN: Prevention
Sector Ohio Valley, Room 409-D
600 Martin Luther King Jr. Place
Louisville, KY 40202-2242

Commanding Officer
USCG Marine Safety Office
225 Tully Street
Paducah, KY 42003-7509

GENERAL CONDITIONS

1. You must maintain the activity authorized by this permit in good condition and in conformance with the terms and conditions of this permit. You are not relieved of this requirement if you abandon the permitted activity. Should you wish to cease to maintain the authorized activity or should you desire to abandon it without a good faith transfer, you must obtain a modification of this permit from this office, which may require restoration of the area.

2. If you discover any previously unknown historic or archaeological remains while accomplishing the activity authorized by this permit, you must immediately notify this office of what you have found. We will initiate the Federal and state coordination required to determine if the remains warrant a recovery effort or if the site is eligible for listing in the National Register of Historic Places.

3. If a conditioned water quality certification has been issued for your project, you must comply with the conditions specified in the certification as special conditions to this authorization.

4. You must allow representatives from this office to inspect the authorized activity at any time deemed necessary to ensure that it is being or has been accomplished in accordance with the terms and conditions of the authorization.

Further Information:

1. Limits of this authorization.

- a. This authorization does not obviate the need to obtain other Federal, state, or local authorizations required by law.
- b. This authorization does not grant any property rights or exclusive privileges.
- c. This authorization does not authorize any injury to the property or rights of others.
- d. This authorization does not allow or sanction interference with any existing or proposed Federal project.

2. Limits of Federal Liability. In issuing this authorization, the Federal Government does not assume any liability for the following:

- a. Damages to authorized project or uses thereof as a result of other permitted or unpermitted activities or from natural causes.
- b. Damages to the authorized project or uses thereof as a result of current or future activities undertaken by or on behalf of the United States in the public interest.

- c. Damages to persons, property, or to other permitted or unpermitted activities or structures caused by the authorized activity.
- d. Design or construction deficiencies associated with the authorized work.
- e. Damage claims associated with any future modification, suspension, or revocation of this authorization.

3. Reliance on Applicant's Data: The determination of this office that issuance of this authorization is not contrary to the public interest was made in reliance on the information you provided.

4. Reevaluation of Permit Decision. This office may reevaluate its decision on this authorization at any time the circumstances warrant. Circumstances that could require a reevaluation include, but are not limited to, the following:

- a. You fail to comply with the terms and conditions of this authorization.
- b. The information provided by you in support of your permit application proves to have been false, incomplete, or inaccurate (See 3 above).
- c. Significant new information surfaces which this office did not consider in reaching the original public interest decision.

Such a reevaluation may result in a determination that it is appropriate to use the suspension, modification, and revocation procedures contained in 33 CFR 325.7 or enforcement procedures such as those contained in 33 CFR 326.4 and 326.5.

The referenced enforcement procedures provide for the issuance of an administrative order requiring you to comply with the terms and conditions of your authorization and for the initiation of legal action where appropriate. You will be required to pay for any corrective measures ordered by this office, and if you fail to comply with such directive, this office may in certain situations (such as those specified in 33 CFR 209.170) accomplish the corrective measures by contract or otherwise and bill you for the cost.

5. Good Faith Transfer. If you sell the property associated with this authorization, you must have the new owner submit a statement accepting the transfer and agreement with the terms and conditions.



US Army Corps
of Engineers.

Nationwide Permit Conditions

The following General Conditions must be followed in order for any authorization by NWP to be valid:

1. Navigation. (a) No activity may cause more than a minimal adverse effect on navigation. (b) Any safety lights and signals prescribed by the US Coast Guard, through regulations or otherwise, must be installed and maintained at the permittee's expense on authorized facilities in navigable waters of the US. (c) The permittee understands and agrees that, if future operations by the US require the removal, relocation, or other alteration, of the structure or work herein authorized, or if, in the opinion of the Secretary of the Army or his authorized representative, said structure or work shall cause unreasonable obstruction to the free navigation of the navigable waters, the permittee will be required, upon due notice from the Corps of Engineers, to remove, relocate, or alter the structural work or obstructions caused thereby, without expense to the US. No claim shall be made against the US on account of any such removal or alteration.
2. Aquatic Life Movements. No activity may substantially disrupt the necessary life cycle movements of those species of aquatic life indigenous to the waterbody, including those species that normally migrate through the area, unless the activity's primary purpose is to impound water. Culverts placed in streams must be installed to maintain low flow conditions.
3. Spawning Areas. Activities in spawning areas during spawning seasons must be avoided to the maximum extent practicable. Activities that result in the physical destruction (e.g., through excavation, fill, or downstream smothering by substantial turbidity) of an important spawning area are not authorized.
4. Migratory Bird Breeding Areas. Activities in waters of the US that serve as breeding areas for migratory birds must be avoided to the maximum extent practicable.
5. Shellfish Beds. No activity may occur in areas of concentrated shellfish populations, unless the activity is related to a shellfish harvesting activity authorized by NWP 4 and 48.
6. Suitable Material. No activity may use unsuitable material (e.g., trash, debris, car bodies, asphalt, etc.). Material used for construction or discharged must be free from toxic pollutants in toxic amounts (see Section 307 of the Clean Water Act).
7. Water Supply Intakes. No activity may occur in the proximity of a public water supply intake, except where the activity is for the repair or improvement of public water supply intake structures or adjacent bank stabilization.
8. Adverse Effects from Impoundments. If the activity creates an impoundment of water, adverse effects to the aquatic system due to accelerating the passage of water, and/or restricting its flow must be minimized to the maximum extent practicable.
9. Management of Water Flows. To the maximum extent practicable, the preconstruction course, condition, capacity, and location of open waters must be maintained for each activity, including stream channelization and storm water management activities, except as provided below. The activity must be constructed to withstand expected high flows. The activity must not restrict or impede the passage of normal or high flows, unless the primary purpose of the activity is to impound water or manage high flows. The activity may alter the preconstruction course, condition, capacity, and location of open waters if it benefits the aquatic environment (e.g., stream restoration or relocation activities).

10. Fills Within 100-Year Floodplains. The activity must comply with applicable FEMA-approved state or local floodplain management requirements.

11. Equipment. Heavy equipment working in wetlands or mudflats must be placed on mats, or other measures must be taken to minimize soil disturbance.

12. Soil Erosion and Sediment Controls. Appropriate soil erosion and sediment controls must be used and maintained in effective operating condition during construction, and all exposed soil and other fills, as well as any work below the ordinary high water mark or high tide line, must be permanently stabilized at the earliest practicable date. Permittees are encouraged to perform work within waters of the US during periods of low-flow or no-flow.

13. Removal of Temporary Fills. Temporary fills must be removed in their entirety and the affected areas returned to pre-construction elevations and revegetated, as appropriate.

14. Proper Maintenance. Any authorized structure or fill shall be properly maintained, including maintenance to ensure public safety.

15. Wild and Scenic Rivers. No activity may occur in a component of the National Wild and Scenic River System, or in a river officially designated by Congress as a "study river" for possible inclusion in the system while the river is in an official study status, unless the appropriate Federal agency with direct management responsibility for such river, has determined in writing that the proposed activity will not adversely affect the Wild and Scenic River designation or study status. Information on Wild and Scenic Rivers may be obtained from the appropriate Federal land management agency in the area (e.g., National Park Service, US Forest Service, US Fish and Wildlife Service).

16. Tribal Rights. No activity or its operation may impair reserved tribal rights, including, but not limited to, reserved water rights and treaty fishing and hunting rights.

17. Endangered Species. (a) No activity is authorized under any NWP which is likely to jeopardize the continued existence of a threatened or endangered species or a species proposed for such designation, as identified under the Federal Endangered Species Act (ESA), or which will destroy or adversely modify the critical habitat of such species. Non-federal permittees shall notify the District Engineer if any listed species or designated critical habitat might be affected or is in the vicinity of the project, or is located in the designated critical habitat and shall not begin work on the activity until notified by the District Engineer that the requirements of the ESA have been satisfied and that the activity is authorized. For activities that may affect Federally-listed species or designated critical habitat, the notification must include the name(s) of the endangered or threatened species that may be affected by the proposed work or that utilize the designated critical habitat that may be affected by the proposed work. As a result of formal or informal consultation with the FWS, the District Engineer may add species-specific regional endangered species conditions to the NWP.

(b) Authorization of an activity by a NWP does not authorize the "take" of a threatened or endangered species as defined under the ESA. In the absence of separate authorization (e.g., an ESA Section 10 Permit, a Biological Opinion with "incidental take" provisions, etc.) from the USFWS or the NMFS, both lethal and non-lethal "takes" of protected species are in violation of the ESA. Information on the location of threatened and endangered species and their critical habitat can be obtained directly from the offices of the USFWS and NMFS or their World Wide Webpages at <http://www.fws.gov/> and <http://www.noaa.gov/fisheries.html#respective>.

associated bank stabilization authorized by NWP 13, the maximum acreage loss of waters of the US for the total project cannot exceed 13-acre.

25. Transfer of Nationwide Permit Verifications. If the permittee sells the property associated with NWP verification, the permittee may transfer the NWP verification to the new owner by submitting a letter to the appropriate Corps district office to validate the transfer. A copy of the NWP verification must be attached to the letter, and the letter must contain the following statement: When the structures or work authorized by this NWP are still in existence at the time the property is transferred, the terms and conditions of this NWP, including any special conditions, will continue to be binding on the new owner(s) of the property. To validate the transfer and the associated liabilities associated with compliance with its terms and conditions, have the transferee sign and date below.

Transferee	Date
26. Compliance Certification. Every permittee who has received a Nationwide permit verification from the Corps will submit a signed certification regarding the completed work and any required mitigation. The certification form is included with this verification.	
27. Pre-Construction Notification. N/A as a permit condition for this verification letter. (For full text of this condition, refer to page 11194 of the Federal Register, Vol. 72, No. 47, Monday, March 12, 2007 at http://www.usace.army.mil/inet/functions/cw/cecwofreg/ .)	

28. Single and Complete Project. The activity must be a single and complete project. The same NWP cannot be used more than once for the same single and complete project.

Further Information:

1. District Engineers have authority to determine if an activity complies with the terms and conditions of an NWP.
2. NWPs do not obviate the need to obtain other Federal, state, or local permits, approvals, or authorizations required by law.
3. NWPs do not grant any property rights or exclusive privileges.
4. NWPs do not authorize any injury to the property or rights of others.
5. NWPs do not authorize interference with any existing or proposed Federal project.

18. Historic Properties. No activity which may affect historic properties listed or eligible for listing, in the National Register of Historic Places is authorized, until the District Engineer has complied with the provisions of 33 CFR Part 325, Appendix C. The prospective permittee must notify the District Engineer if the authorized activity may affect any historic properties listed, determined to be eligible, or which the prospective permittee has reason to believe may be eligible for listing on the National Register of Historic Places, and shall not begin the activity until notified by the District Engineer that the requirements of the National Historic Preservation Act have been satisfied and that the activity is authorized. Information on the location and existence of historic resources can be obtained from the State Historic Preservation Office Officer or Tribal Historic Preservation Officer, as appropriate, and the National Register of Historic Places (see 33 CFR 330.4(g)). For activities that may affect historic properties listed in, or eligible for listing in, the National Register of Historic Places, the notification must state which historic property may be affected by the proposed work or include a vicinity map indicating the location of the historic property. Prospective permittees should be aware that section 110(k) of the NHPA (16 USC 470h-2(k)) prevents the Corps from granting a permit or other assistance to an applicant who, with intent to avoid the requirements of Section 106 of the NHPA, has intentionally significantly adversely affected a historic property to which the permit would relate, or having legal power to prevent it, allowed such significant adverse effect to occur.

19. Designated Critical Resource Waters. Critical resource waters including state natural heritage sites, and outstanding national resource waters or other waters officially designated by a state as having particular environmental or ecological significance and identified by the district engineer after notice and opportunity for public comment. The district engineer may also designate additional critical resource waters after notice and opportunity for comment. (a) Discharges of dredged or fill material into waters of the US are not authorized by NWP 7, 12, 14, 16, 17, 21, 29, 31, 35, 39, 40, 42, 43, 44, 49, and 50 for any activity within, or directly affecting, critical resource waters, including wetlands adjacent to such waters. (b) For NWP 3, 8, 10, 13, 15, 18, 19, 22, 23, 25, 27, 28, 30, 33, 34, 36, 37, and 38, notification is required in accordance with general condition 27, for any activity proposed in the designated critical resource waters including wetlands adjacent to those waters. The district engineer may authorize activities under these NWPs only after it is determined that the impacts to the critical resource waters will be no more than minimal.

20. Mitigation. The activity must be constructed to avoid and minimize adverse effects, both temporary and permanent, to waters of the US to the maximum extent practicable at the project site (i.e. on site). Mitigation in all its forms (avoiding, minimizing, rectifying, reducing, or compensating) will be required to the extent necessary to ensure that the adverse effects to the aquatic environment are minimal.

21. Water Quality. The activity must comply with case specific conditions added by the Corps or by the state, Indian Tribe, or USEPA in its section 401 Water Quality Certification. Where States and authorized Tribes, or EPA where applicable, have not previously certified compliance of an NWP with CWA Section 401, individual 401 Water Quality Certification must be obtained for the activity (see 33 CFR 330.4(c)). The district engineer or State or Tribe may require additional water quality management measures to ensure that the authorized activity does not result in more than minimal degradation of water quality.

22. Coastal Zone Management. (Not applicable in Nashville District.)

23. Regional and Case-By-Case Conditions. The activity must comply with any regional conditions that may have been added by the Division Engineer (see 33 CFR 330.4(e)) and with any case specific conditions added by the Corps or by the state, Indian Tribe, or U.S. EPA in its section 401 Water Quality Certification.

24. Use of Multiple Nationwide Permits. The use of more than one NWP for a single and complete project is prohibited, except when the acreage loss of waters of the US authorized by the NWPs does not exceed the acreage limit of the NWP with the highest specified acreage limit. For example, if a road crossing over tidal water is constructed under NWP-14, with



DEPARTMENT OF THE ARMY
U.S. ARMY ENGINEER DISTRICT, LOUISVILLE
CORPS OF ENGINEERS
P.O. BOX 59
LOUISVILLE, KENTUCKY 40201-0059
<http://www.lrl.usace.army.mil/>

September 3, 2010

Operations Division
Regulatory Branch (South)
ID No. LRL-2008-1470-let

Mr. John Purdy
Kentucky Transportation Cabinet
Department of Highways
200 Mero Street
Frankfort, Kentucky 40622

Dear Mr. Purdy:

This is in regard to your August 27, 2010, letter requesting a modification to the Letter of Permission and a re-verification of the nationwide permit, LRL-2008-1470-let, which authorized the replacement of the KY 22 Bridge over the Licking River impacting 30 linear feet (0.006 acre) in Falmouth, Pendleton County, Kentucky. Authorization was requested for a time extension to complete the project. The requested modification and re-verification is approved.

This authorization is valid until **March 18, 2012** when all of the existing NWP's are scheduled to be modified, reissued, or revoked. If you commence or are under contract to commence this activity before the date that the relevant nationwide permit is modified or revoked, you will have twelve (12) months from the date of the modification or revocation of the NWP to complete the activity under the present terms and conditions of the Letter of Permission and nationwide permit.

This approval is granted in accordance with the enclosed drawings titled "Right of Way Plans for the KY 22 Bridge". All other conditions of the original permit remain in full force and effect.

Copies of this letter will be sent to the appropriate coordinating agencies (see enclosure for addresses).

If we can be of any further assistance, please contact us at the above address, ATTN: CELRL-OP-FS, or Layna Thrush at (502) 315-6689.

FOR THE DISTRICT ENGINEER:


Michael Hasty
Acting Chief, South Section
Regulatory Branch

Enclosure

N O T I C E

DIVISION OF WATER

INDIVIDUAL KPDES PERMIT

PROJECT: Licking River Bridge Replacement
KYTC item # 6-1048
Pendleton County

The Division of Water Surface Water Permits Branch has authorized the activities for this project by issuance of an Individual Kentucky Pollutant Discharge Elimination System (KPDES) permits under 401 KAR 5:075, pursuant to KRS 224:16-050. The permit requires specific conditions and best management practices (BMPs) that must be followed. The contractor shall post a copy of this KPDES permit in a conspicuous location at the project site for the duration of construction and comply with the conditions as required.

To more readily expedite construction, the contractor may elect to alter the design or perform the work in a manner different from what was originally proposed and specified. Prior to commencing such alternative work, the contractor shall obtain **written** permission from the Division of Construction and the appropriate permit agency. A copy of any request to alter this proposal and subsequent responses shall be forwarded to the Division of Environmental Analysis, DA Permit Coordinator, for office records and for informational purposes.

6-1048



STEVEN L. BESHEAR
GOVERNOR

ENERGY AND ENVIRONMENT CABINET
DEPARTMENT FOR ENVIRONMENTAL PROTECTION
DIVISION OF WATER
200 FAIR OAKS LANE
FRANKFORT, KENTUCKY 40601
www.kentucky.gov

LEONARD K. PETERS
SECRETARY

June 25, 2010

Mr. John Purdy
KYDOT
200 Mero Street
Frankfort, Kentucky 40601

Re: Licking River Bridge
KPDES No.: KY0108693
AI No.: 102899
Pendleton County, Kentucky

Dear Mr. Purdy:

Enclosed is the Kentucky Pollutant Discharge Elimination System (KPDES) permit for the above-referenced facility. This action constitutes a final permit issuance under 401 KAR 5:075, pursuant to KRS 224.16-050.

This permit will become effective on the date indicated in the attached permit provided that no request for adjudication is granted. All provisions of the permit will be effective and enforceable in accordance with 401 KAR 5:075, unless stayed by the Hearing Officer under Sections 11 and 13.

Any demand for a hearing on the permit shall be filed in accordance with the procedures specified in KRS 224.10-420, 224.10-440, 224.10-470 and any regulations promulgated thereto. Any person aggrieved by the issuance of a permit final decision may demand a hearing, pursuant to KRS 224.10-420(2), within thirty (30) days from the date of the issuance of this letter. Two (2) copies of request for hearing should be submitted in writing to the Energy and Environment Cabinet, Office of Administrative Hearings, 35-36 Fountain Place, Frankfort, Kentucky 40601 and the Commonwealth of Kentucky, Energy and Environment Cabinet, Division of Water, 200 Fair Oaks Lane, Frankfort, Kentucky 40601. For your record keeping purposes, it is recommended that these requests be sent by certified mail. The written request must conform to the appropriate statutes referenced above.

If you have any questions regarding the KPDES decision, please contact Ronnie Thompson, Operational Permits Section, Surface Water Permits Branch, at (502) 564-8158, extension 4925.

Further information on procedures and legal matters pertaining to the hearing request may be obtained by contacting the Office of Administrative Hearings at (502) 564-7312.

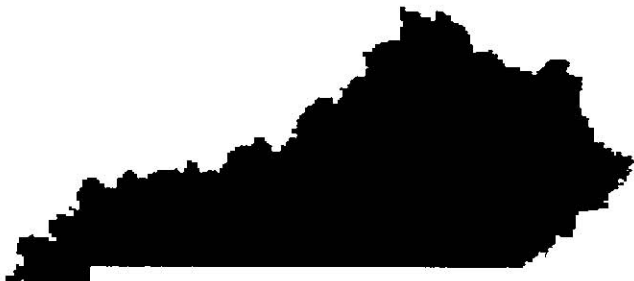
Sincerely,

A handwritten signature in black ink, appearing to read "Sandra L. Gruzesky".

Sandra L. Gruzesky, Director
Division of Water

SLG:TJB:tjb
Enclosure
c: Division of Water

KPDES



KENTUCKY POLLUTANT DISCHARGE ELIMINATION SYSTEM

PERMIT

PERMIT NO.: KY0108693
AI NO.: 102899

AUTHORIZATION TO DISCHARGE UNDER THE KENTUCKY POLLUTANT DISCHARGE ELIMINATION SYSTEM

Pursuant to Authority in KRS 224,

Kentucky Transportation Cabinet
200 Mero Street
Frankfort, Kentucky 40622

is authorized to discharge from a facility located at

Licking River Bridge
KY 22
Falmouth, Pendleton County, Kentucky

to receiving waters named

Licking River from latitude 38-40-34 and longitude 84-19-22 to latitude 38-40-41 and longitude 84-19-44.

in accordance with effluent limitations, monitoring requirements and other conditions set forth in Parts I, II and III hereof. The permit consists of this cover sheet, Part I 2 pages, Part II 1 page and Part III 1 page.

This permit shall become effective on July 1, 2010.

This permit and the authorization to discharge shall expire at midnight,
June 30, 2015

June 25, 2010
Date Signed

A handwritten signature in black ink, appearing to read 'Sandra L. Gruzesky', located above the printed name.

Sandra L. Gruzesky, Director
Division of Water

PART I
Page I-1
Permit No.: KY0108693
AI No.: 102899

PART I A - PERMIT REQUIREMENTS

Storm Water Pollution Prevention Plan (SWPPP)

The permittee shall develop a Storm Water Pollution Prevention Plan (SWPPP) and implement the SWPPP at the commencement of construction disturbance. The SWPPP shall include erosion prevention measures, sediment control measures and other site management practices necessary to prevent the discharge of sediment and other pollutants into waters of the Commonwealth that would result in those waters being degraded or non-supportive of their designed uses. Sediment control measures and other site management practices are required to be properly selected based on site-specific conditions and shall be installed and maintained to effectively minimize such discharges from storm events up to and including a 2-year, 24-hour event.

The permittee shall utilize the Kentucky 2008 Standards Specifications for Road and Bridge Construction document as a means of establishing sediment control measures, erosion control measures and other site management practices. In addition, the permittee shall implement the enhanced Best Management Practices (BMPs) contained in the supplemental data document.

Minimize Size and Duration of Disturbance

As a means of providing adequate protection to the receiving stream, the permittee shall minimize disturbance and the time that unstable areas are exposed.

Stabilization Requirements

Final stabilization practices on those portions of the site where construction activities have permanently ceased shall be initiated within fourteen (14) days of the date of cessation of construction activities.

Temporary stabilization practices on those portions of the site where construction activities have been suspended shall be initiated within fourteen (14) days of the date of cessation of construction activities.

Final stabilization practices shall replace temporary stabilization practices on those portions of the site where construction activities have been suspended for more than 180 days. In such cases, final stabilization practices shall be initiated as soon as practical but no later than fourteen (14) days after the 180th day of suspended activity.

Buffer Zone

Where possible, the permittee shall maintain at a minimum a 50-foot buffer zone between any disturbance and all edges of the receiving water. In areas where maintaining a buffer zone is not possible, erosion prevention measures such as erosion control mats/blankets, mulch, straw blown in and secured with tackifiers or by treading, etc., shall be implemented within 24 hours (or at the earliest possible time when external factors such as inclement weather prevent implementation) after completion of disturbance/grading or following cessation of activities.

PART I
Page I-2
Permit No.: KY0108693
AI No.: 102899

PART I B - SCHEDULE OF COMPLIANCE

The permittee shall achieve compliance with all requirements on the effective date of this permit.

PART II
Page II-1
Permit No.: KY0108693
AI No.: 102899

PART II - STANDARD CONDITIONS FOR KPDES PERMIT

This permit has been issued under the provisions of KRS Chapter 224 and regulations promulgated pursuant thereto. Issuance of this permit does not relieve the permittee from the responsibility of obtaining any other permits or licenses required by this Cabinet and other state, federal, and local agencies.

It is the responsibility of the permittee to demonstrate compliance with permit parameter limitations by utilization of sufficiently sensitive analytical methods.

The permittee is also advised that all KPDES permit conditions in KPDES Regulation 401 KAR 5:065, Section 1 will apply to all discharges authorized by this permit.

PART III
Page III-1
Permit No.: KY0108693
AI No.: 102899

PART III - OTHER REQUIREMENTS

Reopener Clause

This permit shall be modified, or alternatively revoked and reissued, to comply with any applicable effluent standard or limitation issued or approved under 401 KAR 5:050 through 5:086, if the effluent standard or limitation so issued or approved:

1. Contains different conditions or is otherwise more stringent than any effluent limitation in the permit; or
2. Controls any pollutant not limited in the permit.

The permit as modified or reissued under this paragraph shall also contain any other requirements of KRS Chapter 224 when applicable.



STEVEN L. BESHEAR
GOVERNOR

ENERGY AND ENVIRONMENT CABINET
DEPARTMENT FOR ENVIRONMENTAL PROTECTION
DIVISION OF WATER
200 FAIR OAKS LANE
FRANKFORT, KENTUCKY 40601
www.kentucky.gov

LEONARD K. PETERS
SECRETARY

FACT SHEET

**KENTUCKY POLLUTANT DISCHARGE ELIMINATION SYSTEM
PERMIT TO DISCHARGE TREATED WASTEWATER
INTO WATERS OF THE COMMONWEALTH**

KPDES No.: KY0108693 Permit Writer: Ronnie Thompson Date: June 25, 2010
AI No.: 102899

1. SYNOPSIS OF APPLICATION

a. Name and Address of Applicant

Kentucky Transportation Cabinet
200 Mero Street
Frankfort, Kentucky 40622

b. Facility Location

Licking River Bridge
KY 22
Falmouth, Pendleton County, Kentucky

c. Description of Applicant's Operation

The Kentucky Transportation Cabinet is replacing the bridge and approaches on KY 22 over Licking River due to a low sufficiency rating and the need to raise the bridge above the 100-year storm elevation of the Licking River. The new bridge will be re-aligned to improve pass-ability and the intersection of KY 22 and KY 159 will be reconstructed (SIC Code 1622).

d. Production Capacity of Facility

N/A

e. Description of Existing Pollution Abatement Facilities

Runoff from this project will be treated using silt traps and sedimentation basins. The banks of Licking River will be protected with erosion control blankets.

f. Permitting Action

This is a first issuance of a minor KPDES permit for a Kentucky Transportation Cabinet bridge replacement project.

KPDES No.: KY0108693
AI No.: 102899
Fact Sheet Page 2

2. RECEIVING WATERS

a. Name/Location

Runoff from this project discharges to the Licking River from latitude 38-40-34 and longitude 84-19-22 to latitude 38-40-41 and longitude 84-19-44.

b. Stream Segment Use Classifications

Pursuant to 401 KAR 10:026, Section 5, the Licking River carries the following classifications: Warmwater Aquatic Habitat, Primary/Secondary Contact Recreation, Domestic Water Supply and Outstanding State Resource Water.

c. Stream Segment Categorization

Pursuant to 401 KAR 10:030, Section 1, the Licking River is categorized as "Exceptional Water".

d. Stream Low Flow Condition

The 7-day, 10-year low flow and harmonic mean conditions of the Licking River are 3.0 cfs and 2,808 cfs, respectively.

KPDES No.: KY0108693
AI No.: 102899
Fact Sheet Page 3

3. PROPOSED PERMIT REQUIREMENTS

a. Storm Water Pollution Prevention Plan (SWPPP)

The permittee shall develop a Storm Water Pollution Prevention Plan (SWPPP) and implement the SWPPP at the commencement of construction disturbance. The SWPPP shall include erosion prevention measures, sediment control measures and other site management practices necessary to prevent the discharge of sediment and other pollutants into waters of the Commonwealth that would result in those waters being degraded or non-supportive of their designed uses. Sediment control measures and other site management practices are required to be properly selected based on site-specific conditions and shall be installed and maintained to effectively minimize such discharges from storm events up to and including a 2-year, 24-hour event.

The permittee shall utilize the Kentucky 2008 Standards Specifications for Road and Bridge Construction document as a means of establishing sediment control measures, erosion control measures and other site management practices. In addition, the permittee shall implement the enhanced Best Management Practices (BMPs) contained in the supplemental data document.

b. Minimize Size and Duration of Disturbance

As a means of providing adequate protection to the receiving stream, the permittee shall minimize disturbance and the time that unstable areas are exposed.

c. Stabilization Requirements

Final stabilization practices on those portions of the site where construction activities have permanently ceased shall be initiated within fourteen (14) days of the date of cessation of construction activities.

Temporary stabilization practices on those portions of the site where construction activities have been suspended shall be initiated within fourteen (14) days of the date of cessation of construction activities.

Final stabilization practices shall replace temporary stabilization practices on those portions of the site where construction activities have been suspended for more than 180 days. In such cases, final stabilization practices shall be initiated as soon as practical but no later than fourteen (14) days after the 180th day of suspended activity.

d. Buffer Zone

Where possible, the permittee shall maintain at a minimum a 50-foot buffer zone between any disturbance and all edges of the receiving water. In areas where maintaining a buffer zone is not possible, erosion prevention measures such as erosion control mats/blankets, mulch, straw blown in and secured with tackifiers or by treading, etc., shall be implemented within 24 hours (or at the earliest possible time when external factors such as inclement weather prevent implementation) after completion of disturbance/grading or following cessation of activities.

KPDES No.: KY0108693
AI No.: 102899
Fact Sheet Page 4

4. ANTIDEGRADATION

The conditions of 401 KAR 10:029, Section 1, have been satisfied by this permit action. This project has been identified in the Kentucky Transportation Cabinet's six (6) year road plan, as established in KRS 176.430. Therefore, pursuant to the requirements of 401 KAR 10:030, Section 1(3)(b)2c the permittee has demonstrated compliance with these requirements of the antidegradation implementation procedures specified in 401 KAR 10:030.

5. PROPOSED COMPLIANCE SCHEDULE FOR ATTAINING EFFLUENT LIMITATIONS

The permittee will comply with all effluent limitations by the effective date of the permit.

6. PROPOSED SPECIAL CONDITIONS WHICH WILL HAVE A SIGNIFICANT IMPACT ON THE DISCHARGE

The Storm Water Pollution Prevention Plan (SWPPP) for this site includes enhanced BMPs developed specifically for this project.

The SWPPP also includes post construction measures to protect the Licking River after construction is complete.

7. PERMIT DURATION

Five (5) years. This facility is in the Salt/Licking Basin Management Unit as per the Kentucky Watershed Management Framework.

8. PERMIT INFORMATION

The application, draft permit fact sheet, public notice, comments received and additional information is available from the Division of Water at 200 Fair Oaks Lane, Frankfort, Kentucky 40601.

9. REFERENCES AND CITED DOCUMENTS

All material and documents referenced or cited in this fact sheet are a part of the permit information as described above and are readily available at the Division of Water Central Office. Information regarding these materials may be obtained from the person listed below.

10. CONTACT

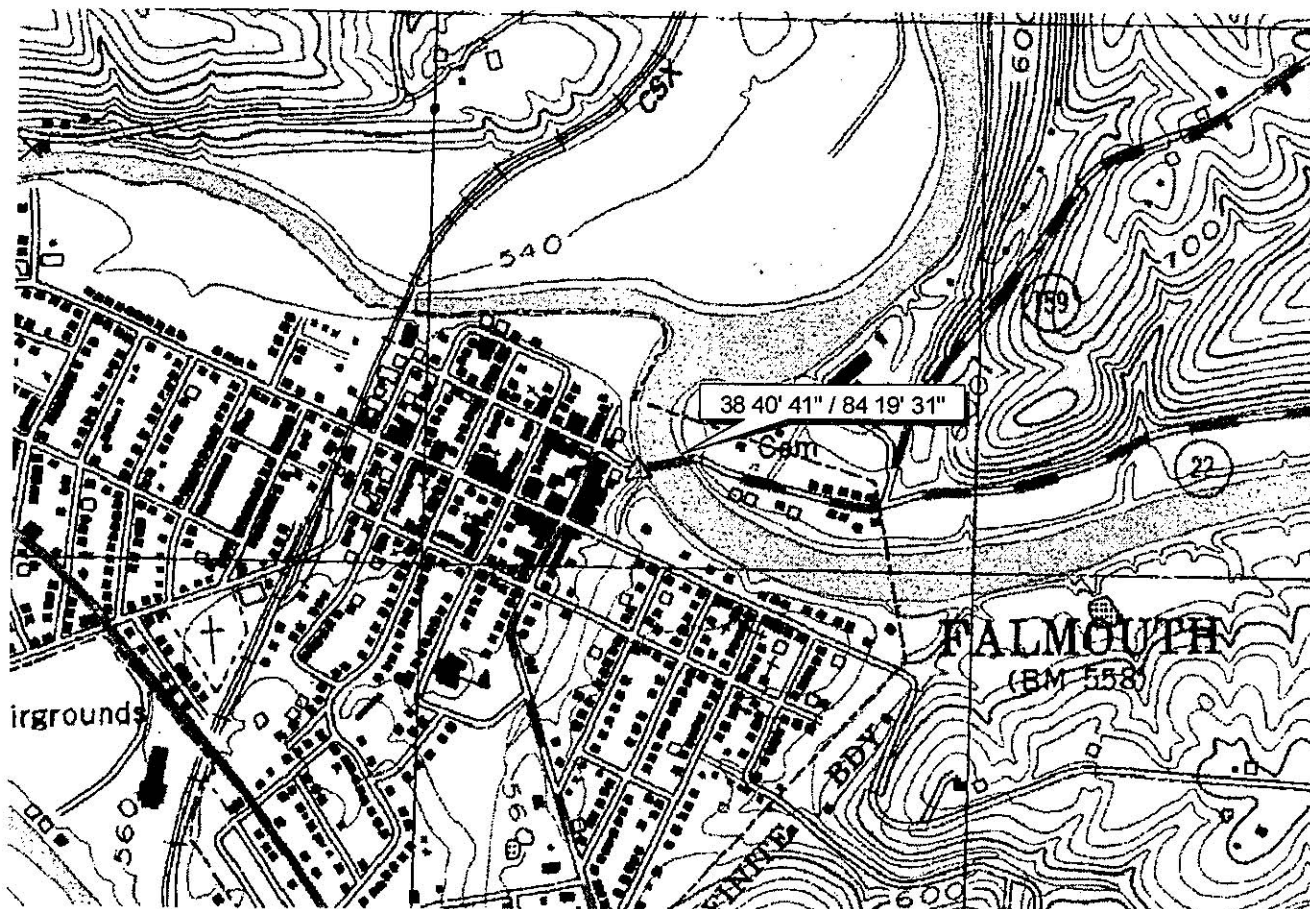
For further information on the draft permit or comment process, contact the individual identified on the Public Notice or the Permit Writer - Ronnie Thompson at (502) 564-8158, extension 4896 or e-mail Ronnie.Thompson@ky.gov.

11. PUBLIC NOTICE INFORMATION

Please refer to the attached Public Notice for details regarding the procedures for a final permit decision, deadline for comments, and other information required by 401 KAR 5:075, Section 4(2)(e).

KPDES No.: KY0108693
AI No.: 102899
Fact Sheet Page 5

Licking River Bridge



N O T I C E

DIVISION OF WATER (WATER QUALITY CERTIFICATION)

PROJECT: Pendleton County; Item No. 6-1048.00
Bridge Replacement over Licking River
at Falmouth, KY

The Division of Water has approved the Section 401 activities for this project by issuance of a Individual Water Quality Certification for Nationwide Permit #14, “Linear Transportation Projects”. In order for this authorization to be valid, the attached conditions must be followed. The contractor shall post a copy of this Water Quality Certification in a conspicuous location at the project site for the duration of construction and comply with the general conditions as required.

To more readily expedite construction, the contractor may elect to alter the design or perform the work in a manner different from what was originally proposed and specified. Prior to commencing such alternative work, the contractor shall obtain **written** permission from the Division of Construction and the appropriate permit agency. A copy of any request to alter this proposal and subsequent responses shall be forwarded to the Division of Environmental Analysis, DA Permit Coordinator, for office records and for informational purposes.

Note: KYTC is required to notify the KY Division of Water, Water Quality Section two weeks prior to construction commencement. Also, careful attention to sediment and erosion control is mandatory throughout the duration of this project as the Licking River is designated as an Outstanding State Resource Water.



STEVEN L. BESHEAR
GOVERNOR

LEONARD K. PETERS
SECRETARY

ENERGY AND ENVIRONMENT CABINET
DEPARTMENT FOR ENVIRONMENTAL PROTECTION
DIVISION OF WATER
200 FAIR OAKS LANE, 4TH FLOOR
FRANKFORT, KENTUCKY 40601
www.kentucky.gov

September 20, 2010

David Waldner, Director
KYTC, Division of Environmental Analysis
200 Mero Street 5th Floor
Frankfort, KY 40622

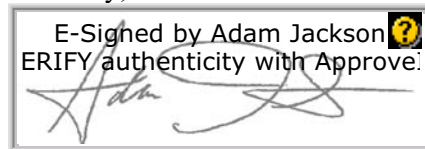
Re: WQC #2009-008-1**RENEWAL**
Licking River Bridge - Pendleton Co
KYTC Item No. 6-1048
USACE Public Notice No.: LRL-2008-1470
AI No.: 102899
Activity ID: APE20100002
Licking River
Pendleton County, Kentucky

Dear Mr. Waldner:

Pursuant to Section 401 of the Clean Water Act (CWA), the Commonwealth of Kentucky certifies it has reasonable assurances that applicable water quality standards under Kentucky Administrative Regulations Title 401, Chapter 10, established pursuant to Sections 301, 302, 303, 304, 306, and 307 of the CWA, will not be violated by the above referenced project provided that the U.S. Army Corps of Engineers authorizes the activity under 33 CFR part 330, and the attached conditions are met.

All future correspondence on this project must reference **AI No. 102899**. **The attached document is your official Water Quality Certification; please read it carefully.** If you should have any questions concerning the conditions of this water quality certification, please contact (502) 564-3410.

Sincerely,



Adam Jackson, WQC Project Manager
Water Quality Certification Section
Kentucky Division of Water

AG:AJ:aj

Attachment

cc: Layna Thrush, USACE: Louisville District
Lee Andrews, USFWS: Frankfort
John Purdy, KYTC DEA

KTC Water Quality Certification
Licking River Bridge - Pendleton Co
Facility Requirements
Permit Number:WQC #2009-008-1R
Activity ID No.: APE20100002

ACTV0000000001 (Licking River Bridge) KY 22 Bridge-KYTC Item No. 6-1048:

Narrative Requirements:

Condition No.	Condition
T-1	<p>The work approved by this certification shall be limited to the installation of two 10' x 10' concrete piers and the placement of approximately 50 cubic yards of concrete fill below the Ordinary High Water Mark (OHWM) along the west portion of the project. This fill is approved to occur within a Kentucky Division of Water designated Outstanding State Resource Water (OSRW), and shall be contained to the proposed 30 linear feet of impact to the Licking River (Station 102+00).</p> <p>The work approved by this certification shall also be limited to the culvert replacement impacting approximately 130 linear feet of unnamed intermittent stream channel (Station 122+00). [Clean Water Act]</p>
T-2	<p>All work performed under this certification shall adhere to the design and specifications set forth in the Water Quality Certification application package submitted to the Kentucky Division of Water(KDOW) on December 8, 2008, as well as the revised latitude/longitude coordinates submitted to the KDOW on December 8, 2008 through electronic correspondence. All work performed under this certification shall also adhere to the design and specifications set forth in the February 2, 2009 USACE authorization of this project. [Clean Water Act]</p>
T-3	<p>The Kentucky Transportation Cabinet shall notify the Kentucky Division of Water, Water Quality Certification Section two weeks prior to construction commencement of this project. [Clean Water Act]</p>
T-4	<p>The Kentucky Transportation Cabinet shall notify the Kentucky Division of Water, Water Quality Certification Section immediately following project construction. [Clean Water Act]</p>
T-5	<p>The Kentucky Transportation Cabinet is responsible for preventing degradation of waters of the Commonwealth from soil erosion. An erosion and sedimentation control plan must be designed, implemented, and maintained in effective operating condition at all times during construction. [Clean Water Act]</p>
T-6	<p>The Division of Water reserves the right to modify or revoke this certification should it be determined that the activity is in noncompliance with any condition set forth in this certification. [Clean Water Act]</p>
T-7	<p>If construction does not commence within two years of the date of this letter, this certification will become void. A letter requesting a renewal should be submitted. [Clean Water Act]</p>
T-8	<p>Other permits may be required from the Division of Water for this project. If this project takes place within the floodplain, a permit may be required from the Surface Water Permits Branch. The contact person is Barry Elmore. If this project will disturb one acre or more of land, a KPDES general storm water permit will be required from the Surface Water Permits Branch. The contact person is Allen Ingram. Both can be reached at 502-564-3410. [Clean Water Act]</p>



ENVIRONMENTAL AND PUBLIC PROTECTION CABINET

Ernie Fletcher
Governor

Teresa J. Hill
Secretary

Capital Plaza Tower
500 Mero Street, 5th Floor
Frankfort, Kentucky 40601
Phone: (502) 564-5525
Fax: (502) 564-3354
www.eppc.ky.gov

General Certification--Nationwide Permit # 14 Linear Transportation Projects

This General Certification is effective March 19, 2007, in conformity with the requirements of Section 401 of the Clean Water Act of 1977, as amended (33 U.S.C. §1341), as well as Kentucky Statute KRS 224.16-050.

Agricultural operations, as defined by KRS 224.71-100(1) conducting activities pursuant to KRS 224.71-100 (3), (4), (5), (6), or (10) are deemed to have certification if they are implementing an Agriculture Water Quality Plan pursuant to KRS 224.71-145.

For all other operations, the Commonwealth of Kentucky hereby certifies under Section 401 of the Clean Water Act (CWA) that it has reasonable assurances that applicable water quality standards under Kentucky Administrative Regulations Title 401, Chapter 5, established pursuant to Sections 301, 302, 304, 306 and 307 of the CWA, will not be violated for the activity covered under NATIONWIDE PERMIT 14, namely Linear Transportation Projects, provided that the following conditions are met:

1. This general certification shall not apply to nationwide permits issued for individual crossings that are part of a larger road segment project where the cumulative, unmitigated wetland impacts within a 14-HUC total one (1) acre or more.
2. The individual stream crossing will impact less than 300 linear feet of intermittent or perennial streams, unless excluded by condition # 3. Impacts to ephemeral streams are not limited under this general certification.
3. This general certification shall not apply to nationwide permits issued for individual crossings which meet condition # 2 but that are part of a larger road segment project where the cumulative, unmitigated intermittent and perennial stream impacts within a 14-HUC exceed 500 linear feet.
4. The activity will not occur within waters of the Commonwealth identified by the Kentucky Division of Water as Outstanding State or National Resource Waters, Cold Water Aquatic Habitat, or Exceptional Waters.

General Certification--Nationwide Permit #14
Linear Transportation Crossings
Page Two

5. Stream impacts covered under this nationwide permit and undertaken by those persons defined as an agricultural operation under the Agricultural Water Quality Act must be completed in compliance with the Kentucky Agricultural Water Quality Plan.
6. Projects that do not meet the conditions of this general certification require an individual Section 401 water quality certification.
7. Activities qualifying for coverage under this general water quality certification are subject to the following conditions:
 - Stream crossings shall be constructed in such a manner that does not impede the movement of aquatic organisms.
 - Measures shall be taken to prevent or control spills of fuels, lubricants, or other toxic materials used in construction from entering the watercourse.
 - In areas not riprapped or otherwise stabilized, revegetation of stream banks and riparian zones shall occur concurrently with project progression. At a minimum, revegetation will approximate pre-disturbance conditions.
 - To the maximum extent practicable, all in stream work under this certification shall be performed during low flow.
 - Heavy equipment, e.g. bulldozers, backhoes, draglines, etc., if required for this project, should not be used or operated within the stream channel. In those instances where such in stream work is unavoidable, then it shall be performed in such a manner and duration as to minimize turbidity and disturbance to substrates and bank or riparian vegetation.
 - Any fill shall be of such composition that it will not adversely affect the biological, chemical, or physical properties of the receiving waters and/or cause violations of water quality standards. If riprap is utilized, it is to be of such weight and size that bank stress or slump conditions will not be created because of its placement.
 - If there are water supply intakes located downstream that may be affected by increased turbidity and suspended solids, the permittee shall notify the operator when work will be done.

General Certification--Nationwide Permit #14
Linear Transportation Crossings
Page Three

- Removal of existing riparian vegetation should be restricted to the minimum necessary for project construction.
- Should evidence of stream pollution or jurisdictional wetland impairment and/or violations of water quality standards occur as a result of this activity (either from a spill or other forms of water pollution), the Kentucky Division of Water shall be notified immediately by calling 800/928-2380.

Non-compliance with the conditions of this general certification or violation of Kentucky state water quality standards may result in civil penalties.

This general certification will expire on March 19, 2012, or sooner if the USACE makes significant changes to this nationwide permit.



**KPDES Individual Permit
Supplemental Data**

Bridge Re-alignment over the
Licking River (KY 22)
6-1048.00



March 18, 2010

Stantec

KPDES INDIVIDUAL PERMIT SUPPLEMENTAL DATA

Table of Contents

1.0 PROJECT BACKGROUND.....	1.1
2.0 ENVIRONMENTAL CONSIDERATIONS	2.2
2.1 SPECIAL CONSIDERATIONS	2.2
2.1.1 Environmentally Sensitive Features.....	2.2
2.1.2 Pollutants of Concern.....	2.2
2.1.3 Threatened and Endangered Aquatic Species	2.2
2.2 EROSION PREVENTION AND SEDIMENT CONTROL (EPSC) SWPPP	2.2
2.2.1 Design Storms	2.3
2.2.2 Enhanced/Site Specific BMPs	2.3
3.0 ANTIDegradation.....	3.4
3.1 PUBLIC NOTICE	3.4
3.2 ALTERNATIVES EVALUATION	3.4
3.3 POST-CONSTRUCTION STORMWATER POLLUTION PREVENTION PLAN.....	3.5
3.3.1 Post-construction SWPPP	3.5
3.3.1.1 Live Stakes	3.7
The live stakes should be 18 to 24 inches long and planted such that the species are intermixed, not clumped.....	3.7
3.3.1.2 Container Plantings.....	3.8
3.3.2 Effort to Minimize Discharges	3.8
3.3.3 Evaluation of Alternative Discharge Locations.....	3.8
3.3.4 Alternative Post-Construction BMPs.....	3.9
3.4 ASSESMENT OF JUSTIFIABLE RISK	3.9
3.5 SOCIOECONOMIC DEMONSTRATION	3.9



KPDES INDIVIDUAL PERMIT SUPPLEMENTAL DATA

1.0 Project Background

The Kentucky Transportation Cabinet (KYTC) is proposing re-alignment of the Kentucky (KY) 22 bridge and approaches over the Licking River in Pendleton County. The project site is located within KYTC District 6 within the city limits of Falmouth. The project is approximately 0.5 miles in length and includes spanning the Licking River and reconstruction of the intersection of KY 22 and KY159.

KYTC conducted an integrity assessment, which assigns a value from one (1) to one hundred (100), for this bridge on KY 22. The bridge received a value of 28.2. Since the score is below fifty (50), the bridge was placed on a replacement list. The bridge assessment value in conjunction with the need to elevate the bridge above the 100- year storm elevation of the Licking River (Elev. 555 ft) are the reasons for KYTC to select this bridge for replacement.

The Licking River is classified as a “special use water” by the Kentucky Division of Water, and more specifically an “outstanding state resource water” as shown in 401 KAR 5:030 Section 3(2). Due to this classification, special consideration during design, construction, and post-construction have and will be observed. These considerations include but, are not limited to, the use of enhanced Best Management Practices (BMPs) during construction and installation of enhanced BMPs for post-construction.

2.0 Environmental Considerations

This section describes the activities that KYTC has implemented to address environmental concerns.

2.1 SPECIAL CONSIDERATIONS

2.1.1 Environmentally Sensitive Features

The environmentally sensitive feature for this project is the Licking River, an "outstanding state resource water".

2.1.2 Pollutants of Concern

The main pollutant of concern for this project is sediment. The Licking River has some bank erosion along the right bank (facing downstream) which will be addressed during the clearing and grubbing stage of operations. During the construction of this project BMPs will be implemented to minimize sediment from the construction site.

2.1.3 Threatened and Endangered Aquatic Species

Fanshell (*Cyprogenia Stegaria*), Catspaw (*Epioblasma obliquata*), Clubshell (*Pleurobemaclava*), Northern Riffelshell (*Epioblasma Torulosa Rangianal*), Orangefoot Pimpleback (*Plethubasus Cooperianus*), Ring Pink (*Obovaria Retusa*), Pink Mucket (*Lampsilis Abrupta*) (although noted as rare to very rare) are listed on the Kentucky Fish and Wildlife endangered species list within this reach of the river.

2.2 EROSION PREVENTION AND SEDIMENT CONTROL (EPSC) SWPPP

The following site specific EPSC BMPs have been developed specifically for this project. These BMPs are over and above the standard EPSC BMPs, as indicated in the table below. The remainder of the EPSC BMPs will be represented in KYTC's SWPPP, which is jointly developed with the resident engineer and the Contractor and incorporates the Contractor's means and methods. These site-specific BMPs are also included in the EPSC BMP Template.

Stantec

KPDES INDIVIDUAL PERMIT SUPPLEMENTAL DATA

Bridge Re-alignment over the Licking River (KY22)

March 18, 2010

Area to be Treated	Initial BMP	Enhanced/Site Specific BMP
1 – Drainage channel north of newly constructed KY 22 and east of the river (Station 109+00)	Silt Traps	Silt traps and sedimentation basin.
2 – Drainage channel south of newly constructed KY 22 and east of the river (Station 109+00)	Silt Traps	Silt traps and sedimentation basin.

2.2.1 Design Storms

EPSC BMPs will be designed to properly function at a 5-year/24-hour design storm, except for the sedimentation basins.

2.2.2 Enhanced/Site Specific BMPs

The following enhanced/site specific EPSC BMPs will be utilized on this project. These BMPs include both structural and non-structural measures. The structural BMPs are shown on the Plan drawings, contained in Attachment A. All BMPs are in accordance with Sections 212 (Erosion Control) and 213 (Water Pollution Control) of KYTC’s 2008 Standard Specifications.

Structural

- Sedimentation basins: designed hydraulically for a 2-year/24-hour storm.

Non-structural

- Appropriate stock of straw ECB or straw shall be available onsite at all times.
- Straw ECB or blown straw shall be applied within 24 hours of the cessation of the land disturbing activity. If blown straw is used, the blower shall be kept on-site during land disturbing activities.
- Disturbed areas shall be stabilized prior to a rain event.
- EPSC/SWPPP inspections will be performed at least twice a week.
- Sediment control BMPs will be maintained when the sediment reaches 1/3 the depth of the BMP.



KPDES INDIVIDUAL PERMIT SUPPLEMENTAL DATA

Bridge Re-alignment over the Licking River (KY22)

March 18, 2010

3.0 Antidegradation

3.1 PUBLIC NOTICE

The Kentucky Division of Water will public notice the draft permit and allow a public comment period of at least thirty (30) days. The notice shall be published in a daily or weekly newspaper within the area affected by the activity.

3.2 ALTERNATIVES EVALUATION

Four alternatives were evaluated during a NEPA study for this project. The four alternatives were:

- Replace the bridge with Realignment Alternative 1 that would avoid a sewage pump station on the southwest side of the river and a small cemetery on the northeast side of the river. This alignment relocates only one residence and allows connection for all properties along its length. The bridge on this alignment was set at 730 feet long to maintain a 665 foot flood way width in this location to accommodate a 100 year storm elevation of the Licking River (555 ft).
- Replace the bridge with a Realignment Alternative 2 that would impact five residences, relocating one and not allowing direct connection for two. It also impacts two churches reducing the parking lot size of one. This alignment also requires the reconstruction of a 10'x5' reinforced concrete box culvert. Alternative 2 does not provide for travel along KY 22 through a 100 year storm water level (northeast side of the river). It also does not allow for adequate turning radii for large trucks traveling southwest onto Shelby Street\KY22. Passenger vehicles with camper trailers should be able to maneuver this turn. The bridge on this alignment was set at 670 feet long to maintain a 665 foot flood way width in this location to accommodate a 100 year storm elevation of the Licking River (555 ft).
- Replace the bridge with Realignment Alternative 4 that would impact 26 homes and relocates 12. It also requires the reconstruction of four existing intersections along its length and the construction of a new one at the beginning of the project. This alternate avoids impacts to the Falmouth Water Treatment Plant. The bridge on this alignment was set at 640 feet to span the channel of the Licking River. This alignment has a straight bridge horizontally but, curved vertically. This allows for placement of the center span above the 100-year storm elevation of the Licking River (555 ft).
- No Build Alternative

The first alternative was chosen because it improves travel safety through this area and it has minimal adverse impact to receiving waters.



KPDES INDIVIDUAL PERMIT SUPPLEMENTAL DATA

Bridge Re-alignment over the Licking River (KY22)

March 18, 2010

3.3 POST-CONSTRUCTION STORMWATER POLLUTION PREVENTION PLAN

Post-construction BMPs are designed to provide long-term stormwater management to efficiently and effectively treat stormwater runoff from project sites. Post-construction BMPs treat stormwater runoff and reduce peak flows to pre-project conditions or lower. Typically, post-construction BMPs are designed to manage the first flush of runoff, meaning that it will treat the initial concentration of contaminated runoff. The pollutant concentration in the first flush is typically greater than subsequent runoff volumes in the same wet weather event. Post-construction BMPs may be designed per water quality and/or water quantity requirements.

3.3.1 Post-construction SWPPP

The following post-construction BMPs are proposed to be used on this project:

- Turf reinforcement mats: TRMs will be used in areas of concentrated flow within the project limits.
- Bank stabilization with established root materials: Existing trees along the right bank (facing downstream) will be cut at ground level to allow for equipment in the area to build the bridge deck. Trees at the waters edge will not be completely cleared and grubbed in order to leave live roots in the bank for stabilization. Trees further up the bank will be cleared and grubbed and bank stabilization procedures below will be followed.
- Bank stabilization with live stakes: The existing left bank (facing down stream) of the Licking River will be disturbed during construction. The first 10 feet of the banks will be stabilized by sloping them back at about a 3:1 slope, seeding and mulching, and treated with erosion control blanket. This will provide temporary stabilization. During the early spring, live stakes will also be placed to provide long-term stabilization. The main vegetation selection criteria were plant height and whether the plantings were native species.
- Bank stabilization with container plantings: The upper right and left banks (facing down stream) of the Licking River will be disturbed during construction. These disturbed areas will be stabilized by re-grading the areas to a 3:1 slope, installing container plantings, and seeding and mulching. The grass seed and mulch will provided short-term stabilization while the plantings will provide long-term stabilization. The main vegetation selection criteria were plant height and whether the stakes were native species.
- Enhanced silt trap: Enhanced silt traps will be used in areas where concentrated flow make an abrupt change in flow direction or an abrupt grade change. Enhanced silt traps will be used to control grade, reduce flow velocity, and capture silt from headwaters before reaching the Licking River.

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KPDES INDIVIDUAL PERMIT SUPPLEMENTAL DATA

Bridge Re-alignment over the Licking River (KY22)

March 18, 2010

- Spill containment areas: Detention/containment basins for capturing accidental spills on the newly constructed bridge deck will be provided in accordance with KYTC's Design Memorandum No. 12-05 (Karst Policy). The detention/containment basin will have a minimum volume of 10,000 gallons. Basins will be designed to maximize the flow length between the entrance and exit.

These BMPs are over and above the standard post-construction BMPs, as indicated in the following table.

Area to be Treated	Standard BMP	Enhanced/Site Specific BMP
1 – Lower left bank (facing downstream) of the Licking River	Nothing	Bank stabilization with live stakes and ECB.
2 – Lower right bank (facing downstream)	Nothing	Bank stabilization using existing root materials along waters edge.
3 – Upper left and right banks (facing downstream) of the Licking River	Nothing	Bank stabilization with container plantings
4 – Approximately Station 102+00. End of drainage pipe north of newly constructed road.	Nothing	Turf reinforcement mat from discharge point to waters edge.
5 – Approximately Station 100+45. South of newly constructed bridge and west of Barnes Street.	Nothing	Spill containment area to manage runoff/ spills from western side of bridge.
6 – Approximately Station 108+75 to Station 109+40. Drainage ditch south of the existing KY 22.	Channel Lining	Turf reinforcement mat
7 – Approximately Station 108+75 to Station 114+00. Drainage ditches north of the newly constructed bridge and road.	Channel Lining	Turf reinforcement mat and enhanced silt trap



KPDES INDIVIDUAL PERMIT SUPPLEMENTAL DATA

Bridge Re-alignment over the Licking River (KY22)

March 18, 2010

8 – Approximately Station 109+25. South of newly constructed road.	None	Spill containment area to manage runoff/spill from eastern side of the newly constructed bridge.
9 – Approximately Station 113+90. Drainage ditch south of old KY 22.	Channel Lining	Turf reinforcement mat.
10 – Approximately Station 119+00. Drainage ditch south of newly constructed KY 22.	Channel Lining	Turf reinforcement mat.
11 – Approximately Station 121+70 to Station 122+20. Drainage ditch north of the newly constructed road.	Channel Lining	Turf reinforcement mat.
12 – Approximately Station 121+85. Drainage ditch south of the newly constructed bridge	Channel Lining	Turf reinforcement mat.
13 – Approximately Station 123+25 to Station 124+00. Drainage ditch south of newly constructed road.	Channel Lining	Turf reinforcement mat.

3.3.1.1 Live Stakes

The following plant species can be used as live stakes for this project:

Common Name	Species Name	Stems per acre	Frequency (%)
Silky Dogwood	<i>Cornus amomum</i>	750	25
Black Willow	<i>Salix nigra</i>	750	25
Silky Willow	<i>Salix sericea</i>	750	25
Elderberry	<i>Sambucus canadensis</i>	750	25
Total		3000	100

The live stakes should be 18 to 24 inches long and planted such that the species are intermixed, not clumped.

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KPDES INDIVIDUAL PERMIT SUPPLEMENTAL DATA

Bridge Re-alignment over the Licking River (KY22)

March 18, 2010

3.3.1.2 Container Plantings

The following plant species can be used as container plantings for this project:

Common Name	Species Name	Stems per acre	Frequency (%)
River Birch	<i>Betula nigra</i>	28	10
Silver Maple	<i>Acer saccharinum</i>	28	10
Sycamore	<i>Platanus occidentalis</i>	28	10
Pin Oak	<i>Quercus palustris</i>	28	10
Northern Red Oak	<i>Quercus rubra</i>	28	10
Persimmon	<i>Diospyros virginiana</i>	28	10
Tuliptree	<i>Liriodendron tulipifera</i>	28	10
American Hornbeam	<i>Carpinus caroliniana</i>	28	10
Rough-leaf Dogwood	<i>Cornus drummondii</i>	28	10
Spicebush	<i>Lindera benzoin</i>	28	10
Total		280	100

3.3.1.3 Estimate of Additional BMP Quantities

The following table is an estimate of the quantities of post-construction BMPs needed on this project that were not included in the original design.

BMP	Unit	Quantity
Turf Reinforcement Mat (TRM)	Square Yard	1250
Enhanced Silt Traps (EST)	Each	1
Container Plantings (CP) and Mulch	Acre	1.1
Spill Containment Area	Each	2
Bank Stabilization with Live Staking	Square Yard	580
Bank Stabilization with Existing Root Material	Linear Foot	360

3.3.2 Effort to Minimize Discharges

During the design of this project, consideration was given to reducing the number of discharge locations. This effort led to allowing sheet flow to occur in many locations as shown on the Erosion Control Sheets. As well two discharges were originally planned for on the west end of the project, but these were consolidated into one.

3.3.3 Evaluation of Alternative Discharge Locations

The project was evaluated for alternative discharge locations. Due to the topography of the site there were no other viable alternatives.



KPDES INDIVIDUAL PERMIT SUPPLEMENTAL DATA

Bridge Re-alignment over the Licking River (KY22)

March 18, 2010

3.3.4 Alternative Post-Construction BMPs

Various post-construction BMPs were considered for this project. The ones selected were chosen because of the soil type, the available area, the topography and the amount of flow to manage.

3.4 ASSESMENT OF JUSTIFIABLE RISK

This project will be replacing a bridge that has reached the end of its useful life, which will lead to safer passage through this portion of the road and elevate the road to or above the 100 year floodplain elevation.

3.5 SOCIOECONOMIC DEMONSTRATION

The following questions were addressed to demonstrate the socioeconomic considerations for this project.

Describe the effect of the project on the employment of the area. The proposed project will allow the traveling public and local residents safer and more efficient access to employment opportunities within the project area by replacing a substandard bridge with a modern bridge that meets current design standards. The project will also provide opportunities for local residents to realize economic benefit by employment opportunities during the construction and maintenance of the facility.

Describe how the project will increase or avoid the decrease of area employment. Due to the nature of employment in the area, the proposed project will likely have a negligible affect on employment but will allow area residents to maintain employment by allowing the traveling public to continue to maintain access to employment opportunities.

Describe the project's industrial or commercial benefits to the community. The project will benefit the community both short-term and long-term. Short-term benefits will be realized through employment during the construction phase of the project. Local and regional businesses may also enjoy economic benefits from contractors and their employees purchasing materials, goods, and services in the project area. The community and region may experience long-term benefits from the project as maintenance, bridge inspections, and other activities associated with the maintenance of the facility require materials, goods, and services to be purchased.

Describe any other economic or social benefits the project will have to the community. Due to the local recreation in the area this project will incorporate bicycle and pedestrian traffic into the design. Access from the main urban area to a boat ramp and recreational access to the river is an attraction for the area for tourist and local residence. This access is especially important due to the "Ramblin' River Tour" bicycle route that passes through the project area along KY 22 and then follows KY 159 toward Kincaid Lake State Park and Recreation Area.

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KPDES INDIVIDUAL PERMIT SUPPLEMENTAL DATA

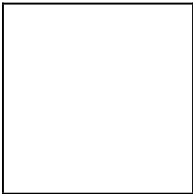
Bridge Re-alignment over the Licking River (KY22)

March 18, 2010

How many and in what manner will households be economically or socially impacted?
There is an anticipated six jobs that will be developed during the construction and maintenance of the project. Therefore, up to six households in the area will be economically benefited by new employment or better employment.

	YES	NO
1. Will this project be likely to change median household income in the area?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
2. Will this project likely change the market value of taxable property in the area?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3. Will this project increase revenues in the area?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4. Will any public buildings be affected by this project?	<input type="checkbox"/>	<input checked="" type="checkbox"/>

KPDES FORM 1



KENTUCKY POLLUTANT DISCHARGE
ELIMINATION SYSTEM

PERMIT APPLICATION

This is an application to: (check one)

☒ Apply for a new permit.
 ☐ Apply for reissuance of expiring permit.
 ☐ Apply for a construction permit.
 ☐ Modify an existing permit.

 Give reason for modification under Item II.A.

A complete application consists of this form and one of the following:
 Form A, Form B, Form C, Form F, or Form SC

For additional information contact:
KPDES Branch (502) 564-3410

I. FACILITY LOCATION AND CONTACT INFORMATION		AGENCY USE						
A. Name of Business, Municipality, Company, Etc. Requesting Permit Kentucky Transportation Cabinet								
B. Facility Name and Location			C. Primary Mailing Address (all facility correspondence will be sent to this address). Include owner's mailing address (if different) in D.					
Facility Location Name:			Facility Contact Name and Title: Mr. <input checked="" type="checkbox"/> Ms. <input type="checkbox"/>					
KY 22 over the Licking River, Pendleton County, KY			David M. Waldner, P.E.					
Facility Location Address (i.e. street, road, etc., not P.O. Box):			Mailing Address:					
KY 22 over the Licking River			200 Mero Street					
Facility Location City, State, Zip Code:			Mailing City, State, Zip Code:					
Within the city limits of Falmouth, KY			Frankfort, KY 40622					
D. Owner's name (if not the same as in part A and C): N/A			Facility Contact Telephone Number: (502) 564-7250					
Owner's Mailing Address: N/A			Owner's Telephone Number (if different): N/A					

II. FACILITY DESCRIPTION			
A. Provide a brief description of activities, products, etc: Re-alignment of KY 22 over the Licking River. This project will include development of a new bridge as well as the approaches. The project length is approximately 1/2 mile and includes reconstruction of the KY 22/KY159 intersection.			
B. Standard Industrial Classification (SIC) Code and Description			
Principal SIC Code & Description:	1622 - Bridge Construction		
Other SIC Codes:	1611 - Linear Projects	N/A	N/A

III. FACILITY LOCATION	
A. Attach a U.S. Geological Survey 7 ½ minute quadrangle map for the site. (See instructions) Falmouth Quad	
B. County where facility is located: Pendleton	City where facility is located (if applicable): Falmouth
C. Body of water receiving discharge: Licking River	
D. Facility Site Latitude (degrees, minutes, seconds): 38 °40' 41"N	Facility Site Longitude (degrees, minutes, seconds): 84° 19' 31"W
E. Method used to obtain latitude & longitude (see instructions): Topographic Map Coordinates	
F. Facility Dun and Bradstreet Number (DUNS #) (if applicable): N/A	

IV. OWNER/OPERATOR INFORMATION	
A. Type of Ownership: <input type="checkbox"/> Publicly Owned <input type="checkbox"/> Privately Owned <input checked="" type="checkbox"/> State Owned <input type="checkbox"/> Both Public and Private Owned <input type="checkbox"/> Federally owned	
B. Operator Contact Information (See instructions)	
Name of Treatment Plant Operator: N/A	Telephone Number: N/A
Operator Mailing Address (Street): N/A	
Operator Mailing Address (City, State, Zip Code): N/A	
Is the operator also the owner? Yes <input type="checkbox"/> No <input type="checkbox"/>	Is the operator certified? If yes, list certification class and number below. Yes <input type="checkbox"/> No <input type="checkbox"/>
Certification Class: N/A	Certification Number: N/A

V. EXISTING ENVIRONMENTAL PERMITS		
Current NPDES Number: N/A	Issue Date of Current Permit: N/A	Expiration Date of Current Permit: N/A
Number of Times Permit Reissued: N/A	Date of Original Permit Issuance: N/A	Sludge Disposal Permit Number: N/A
Kentucky DOW Operational Permit #: N/A	Kentucky DSMRE Permit Number(s): N/A	

Which of the following additional environmental permit/registration categories will also apply to this facility?

CATEGORY	EXISTING PERMIT WITH NO.	PERMIT NEEDED WITH PLANNED APPLICATION DATE
Air Emission Source	N/A	N/A
Solid or Special Waste	N/A	N/A
Hazardous Waste - Registration or Permit	N/A	N/A

VI. DISCHARGE MONITORING REPORTS (DMRs)	
KPDES permit holders are required to submit DMRs to the Division of Water on a regular schedule (as defined by the KPDES permit). Information in this section serves to specifically identify the name and telephone number of the DMR official and the DMR mailing address (if different from the primary mailing address in Section I.C).	
A. DMR Official (i.e., the department, office or individual designated as responsible for submitting DMR forms to the Division of Water):	Mr. Dave Harmon
DMR Official Telephone Number:	502 - 564 - 7250
B. DMR Mailing Address: <ul style="list-style-type: none">Address the Division of Water will use to mail DMR forms (if different from mailing address in Section I.C), orContact address if another individual, company, laboratory, etc. completes DMRs for you; e.g., contract laboratory address.	
DMR Mailing Name:	N/A
DMR Mailing Address:	N/A
DMR Mailing City, State, Zip Code:	N/A

VII. APPLICATION FILING FEE

KPDES regulations require that a permit applicant pay an application filing fee equal to twenty percent of the permit base fee. Please examine the base and filing fees listed below and in the Form 1 instructions and enclose a check payable to “Kentucky State Treasurer” for the appropriate amount (for permit renewals, please include the KPDES permit number on the check to ensure proper crediting). Descriptions of the base fee amounts are given in the “General Instructions.”

Facility Fee Category:	Filing Fee Enclosed:
N/A	\$0

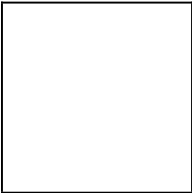
VIII. CERTIFICATION

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

NAME AND OFFICIAL TITLE (type or print):	TELEPHONE NUMBER (area code and number):
Mr. <input checked="" type="checkbox"/> Ms. <input type="checkbox"/> David M. Waldner, Director of DEA	502-564-7250
SIGNATURE	DATE:

Return completed application form and attachments to: **KPDES Branch, Division of Water, Frankfort Office Park, 14 Reilly Road, Frankfort, KY 40601. Direct questions to: KPDES Branch at (502) 564-3410.**

KPDES FORM F



KENTUCKY POLLUTANT DISCHARGE
ELIMINATION SYSTEM

PERMIT APPLICATION

A complete application consists of this form and Form 1.
For additional information, Contact KPDES Branch, (502) 564-3410.

I. OUTFALL LOCATION

AGENCY USE

For each outfall list the latitude and longitude of its location to the nearest 15 seconds and name the receiving water.

A. Outfall Number	B. Latitude	C. Longitude	D. Receiving Water (name)	D. Receiving Water use Classification
1	38°40'37"N	84°19'18"W	Unnamed Tributary to the Licking River	Warm Water Aquatic Habitat, Primary Contact Recreation, Secondary Contact Recreation
2	38°40'36"N	84°19'19"W	Unnamed Tributary to the Licking River	Warm Water Aquatic Habitat, Primary Contact Recreation, Secondary Contact Recreation
3	38°40'37"N	84°19'24"W	Unnamed Tributary to the Licking River	Warm Water Aquatic Habitat, Primary Contact Recreation, Secondary Contact Recreation
4	38°40'37"N	84°19'27"W	The Licking River	Outstanding State Resource Water, Warm Water Aquatic Habitat, Primary Contact Recreation, Secondary Contact Recreation
5	38°40'35"N	84°19'31"W	The Licking River	Outstanding State Resource Water, Warm Water Aquatic Habitat, Primary Contact Recreation, Secondary Contact Recreation
6	38°40'43"N	84°19'43"W	The Licking River	Outstanding State Resource Water, Warm Water Aquatic Habitat, Primary Contact Recreation, Secondary Contact Recreation
7	38°40'44"N	84°19'36"W	The Licking River	Outstanding State Resource Water, Warm Water Aquatic Habitat, Primary Contact Recreation, Secondary Contact Recreation

II. IMPROVEMENTS

A. Are you now required by any federal, state, or local authority to meet any implementation schedule for the construction, upgrading or operation of wastewater treatment equipment or practices or any other environmental programs which may affect the discharges described in this application? This includes, but is not limited to, permit conditions, administrative or enforcement orders, enforcement compliance schedule letters, stipulations, court orders, and grant or loan conditions.

1. Identification of Conditions, Agreements, Etc.	2. Affected Outfalls No. Source of Discharge	3. Brief Description of Project	4. Final Compliance Date a. req. b. proj.
N/A	N/A N/A	N/A	N/A N/A

B. You may attach additional sheets describing any additional water pollution (or other environmental projects which may affect your discharges) you now have under way or which you plan. Indicate whether each program is now under way or planned, and indicate your actual or planned schedules for construction.

III. SITE DRAINAGE MAP

Attach a site map showing topography (or indicating the outline of drainage areas served by the outfall(s) covered in the application if a topographic map is unavailable) depicting the facility including: each of its intake and discharge structures; the drainage area of each storm water outfall; paved areas and buildings within the drainage area of each storm water outfall, each know past or present areas used for outdoor storage or disposal of significant materials, each existing structural control measure to reduce pollutants in storm water runoff, materials loading and access areas, areas where pesticides, herbicides, soil conditioners and fertilizers are applied; each of its hazardous waste treatment, storage or disposal units (including each area not required to have a RCRA permit which is used for accumulating hazardous waste under 40 CFR 262.34); each well where fluids from the facility are injected underground; springs, and other surface water bodies which receive storm water discharges from the facility.

IV. NARRATIVE DESCRIPTION OF POLLUTANT SOURCES

A. For each outfall, provide an estimate of the area (include units) of impervious surfaces (including paved areas and building roofs) drained to the outfall, and an estimate of the total surface area drained by the outfall.

Outfall Number	Area of Impervious Surface (provide units)	Total Area Drained (provide units)	Outfall Number	Area of Impervious Surface (provide units)	Total Area Drained (provide units)
#1	0.79 acres	2.38 acres	#5	0.15 acres	3.6 acres
#2	0.09 acres	1.2 acres	#6	1.89 acres	2.86 acres
#3	0.19 acres	1.88 acres	#7	1.23 acres	9.90 acres
#4	0.15 acres	1.87 acres			

B. Provide a narrative description of significant materials that are currently or in the past three years have been treated, stored or disposed in a manner to allow exposure to storm water; method of treatment, storage, or disposal; past and present materials management practices employed to minimize contact by these materials with storm water runoff; materials loading and access areas; and the location, manner, and frequency in which pesticides, herbicides, soil conditioners, and fertilizers are applied.

During the construction of roadways and bridges, the main pollutant of concern is sediment associated with land disturbing activities. Typical pollutants associated with a roadway and bridge once they are in use include the following:

- Heavy metals from tire tread and brake linings
- pH from road treatment operations during freezing weather
- Petrochemicals from auto leaks
- TSS from dirt and debris that is transported by tires

The associated BMP Template and Supplemental Data discuss how these pollutants will be addressed.

C. For each outfall, provide the location and a description of existing structural and nonstructural control measures to reduce pollutants in storm water runoff; and a description of the treatment the storm water receives, including the schedule and type of maintenance for control and treatment measures and the ultimate disposal of any solid or fluid wastes other than by discharge.

Outfall Number	Treatment	List Codes from Table F-1
#1	Minimal drainage; Turf reinforcement mat swale post-construction	4 – A
#2	Turf reinforcement mat swale post-construction	4 – A
#3	Create stable outlet into existing drainage path post-construction	4 – A
#4	Minimal drainage; Turf reinforcement mat swale post-construction	4 – A
#5	Turf reinforcement mat swale post-construction	4 – A
#6	Divert existing drainage away from construction site during construction; Turf reinforcement mat swale and 10,000 gallon containment area post-construction	4 – A
#7	Sedimentation basins on drainage swales during construction; 10,000 gallon containment area, turf reinforcement mat swales, and enhanced silt traps post-construction.	4 – A

V. NON-STORM WATER DISCHARGES

A. I certify under penalty of law that the outfall(s) covered by this application have been tested or evaluated for the presence of non-storm water discharges, and that all non-storm water discharges from these outfall(s) are identified in either an accompanying Form C or Form SC application for the outfall.

Name and Official Title (type or print)	Signature	Date Signed
David M. Waldner, Director of DEA		

B. Provide a description of the method used, the date of any testing, and the onsite drainage points that were directly observed during a test.

N/A

VI. SIGNIFICANT LEAKS OR SPILLS

Provide existing information regarding the history of significant leaks or spills of toxic or hazardous pollutants at the facility in the last three years, including the approximate date and location of the spill or leak, and the type and amount of material released.

N/A. Construction project.

VII. DISCHARGE INFORMATION			
A,B,C, & D: See instructions before proceeding. Complete one set of tables for each outfall. Annotate the outfall number in the space provided. Tables F-1, F-2, and F-3 are included on separate pages.			
E: Potential discharges not covered by analysis - is any toxic pollutant listed in Table F-2, F-3, or F-4, a substance which you currently use or manufacture as an intermediate or final product or by product. <input type="checkbox"/> Yes (list all such pollutants below) <input checked="" type="checkbox"/> No (go to Section IX)			
N/A			
VIII. BIOLOGICAL TOXICITY TESTING DATA			
Do you have any knowledge or reason to believe that any biological test for acute or chronic toxicity has been made on any of your discharges or on a receiving water in relation to your discharge within the last 3 years? <input type="checkbox"/> Yes (list all such results below) <input checked="" type="checkbox"/> No (go to Section IX)			
N/A Construction Project.			
IX. CONTRACT ANALYSIS INFORMATION			
Were any of the analyses reported in item VII performed by a contract laboratory or consulting firm? <input type="checkbox"/> Yes (list the name, address and telephone number of, and pollutants analyzed by each such laboratory or firm below; use additional sheets if necessary). <input checked="" type="checkbox"/> No (go to Section IX)			
A. Name	B. Address	C. Area Code & Phone No.	D. Pollutants Analyzed
N/A	N/A	N/A	N/A
XIII. CERTIFICATION			
I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information including the possibility of fine and imprisonment for knowing violations.			
NAME & OFFICIAL TITLE (type or print)		AREA CODE AND PHONE NO.	
Mr. <input checked="" type="checkbox"/> Ms. <input type="checkbox"/> David M. Waldner		502-564-7250	
SIGNATURE		DATE SIGNED	

VII. DISCHARGE INFORMATION	OUTFALL NO:
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Part A - You must provide the results of at least one analysis for every pollutant in this table. Complete one table for each outfall. See instructions for additional details.

Pollutant and CAS Number (if available)	Maximum Values (include units)		Average Values (include units)		Number of Storm Events Sampled	Sources of Pollutants
	Grab Sample Taken During 1 st 20 Minutes	Flow-weighted Composite	Grab Sample Taken During 1 st 20 Minutes	Flow-weighted Composite		
Oil and Grease		N/A				
Biological Oxygen Demand BOD ₅						
Chemical Oxygen Demand (COD)						
Total Suspended Solids (TSS)						
Total Kjeldahl Nitrogen						
Nitrate plus Nitrite Nitrogen						
Total Phosphorus						
pH	Minimum	Maximum	Minimum	Maximum		

Part B - List each pollutant that is limited in an effluent guideline which the facility is subject to or any pollutant listed in the facility's KPDES permit for its process wastewater (if the facility is operating under an existing KPDES permit). Complete one table for each outfall. See the instructions for additional details and requirements.

[illegible]

[illegible]

1. Date of Storm Event	2. Duration of Storm Event (in minutes)	3. Total rainfall during storm event (in inches)	4. Number of hours between beginning of storm measured and end of previous measurable rain event	5. Maximum flow rate during rain event (gal/min or specify units)	6. Total flow from rain event (gallons or specify units)

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PART II

SPECIFICATIONS AND STANDARD DRAWINGS

SPECIFICATIONS REFERENCE

Any reference in the plans or proposal to the *Standard Specifications for Road and Bridge Construction, Edition of 2004*, and *Standard Drawings, Edition of 2000* are superseded by *Standard Specifications for Road and Bridge Construction, Edition of 2008* and *Standard Drawings, Edition of 2003 with the 2008 Revision*.

Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition
(Effective with the July15, 2011 Letting)

SUBSECTION: REVISION:	101.02 Abbreviations. Insert the following abbreviation and text into the section: KEPSC Kentucky Erosion Prevention and Sediment Control
SUBSECTION: REVISION:	101.03 Definitions. Replace the definition for Specifications – <i>Special Provisions</i> with the following: Additions and revisions to the Standard and Supplemental Specifications covering conditions peculiar to an individual project.
SUBSECTION: REVISION:	102.03 Contents of the Bid Proposal Form. Replace the first sentence of the first paragraph with the following: The Bid Proposal form will be available on the Department internet website (http://transportation.ky.gov/contract/). Delete the second paragraph. Delete the last paragraph.
SUBSECTION: REVISION:	102.04 Issuance of Bid Proposal Form. Replace Heading with the following: 102.04 Bidder Registration. Replace the first sentence of the first paragraph with the following: The Department reserves the right to disqualify or refuse to place a bidder on the eligible bidder’s list for a project for any of the following reasons: Replace the last sentence of the subsection with the following: The Department will resume placing the bidder on the eligible bidder’s list for projects after the bidder improves his operations to the satisfaction of the State Highway Engineer.
SUBSECTION: REVISION:	102.06 Examination of Plans, Specifications, Special Provisions, Special Notes, and Site of Work. Replace the first paragraph with the following: Examine the site of the proposed work, the Bid Proposal, Plans, specifications, contract forms, and bulletins and addendums posted to the Department’s website and the Bid Express Bidding Service Website before submitting the Bid Proposal. The Department considers the submission of a Bid Proposal prima facie evidence that the bidder has made such examination and is satisfied as to the conditions to be encountered in performing the work and as to the requirements of the Contract.
SUBSECTION: REVISION:	102.07.01 General. Replace the first sentence with the following: Submit the Bid Proposal on forms furnished on the Bid Express Bidding Service website (www.bidx.com). Replace the first sentence of the third paragraph with the following: Bid proposals submitted shall use an eligible Digital ID issued by Bid Express.

**Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition**
(Effective with the July 15, 2011 Letting)

SUBSECTION: REVISION:	<p>102.07.02 Computer Bidding. Replace the first paragraph with the following:</p> <p>Subsequent to registering for a specific project, use the Department's Expedite Bidding Program on the internet website of the Department of Highways, Division of Construction Procurement (http://transportation.ky.gov/contract/). Download the bid file from the Bid Express Bidding Service Website to prepare a Bid Proposal for submission to the Department. Submit Bid Proposal electronically through Bid Express Bidding Service.</p> <p>Delete the second and third paragraph.</p>
SUBSECTION: REVISION:	<p>102.08 Irregular Bid Proposals. Delete the following from the first paragraph: 4) fails to submit a disk created from the Highway Bid Program.</p> <p>Replace the second paragraph with the following: The Department will consider Bid Proposals irregular and may reject them for the following reasons:</p> <ol style="list-style-type: none">1) when there are unauthorized additions, conditional or alternate bids, or irregularities of any kind which may tend to make the Bid Proposal incomplete, indefinite, or ambiguous as to its meaning; or2) when the bidder adds any provisions reserving the right to accept or reject an award, or to enter into a Contract pursuant to an award; or3) any failure to comply with the provisions of Subsection 102.07; or4) Bid Proposals in which the Department determines that the prices are unbalanced; or when the sum of the total amount of the Bid Proposal under consideration exceeds the bidder's Current Capacity Rating.
SUBSECTION: REVISION:	<p>102.09 Bid Proposal Guaranty. Insert the following after the first sentence:</p> <p>Bid Proposals must have a bid proposal guaranty in the amount indicated in the bid proposal form accompany the submittal. A guaranty in the form of a paper bid bond, cashier's check, or certified check in an amount no less than the amount indicated on the submitted electronic bid is required when the electronic bid bond was not utilized with the Bid Express Bidding Service. Paper bid bonds must be delivered to the Division of Construction Procurement prior to the time of the letting.</p>
SUBSECTION: REVISION:	<p>102.10 Delivery of Bid Proposals. Replace paragraph with the following:</p> <p>Submit all Bid Proposals prior to the time specified in the Notice to Contractors. All bids shall be submitted electronically using Bid Express Bidding Services. Electronically submitted bids must be done in accordance with the requirements of the Bid Express Bidding Service.</p>
SUBSECTION: REVISION:	<p>102.11 Withdrawal or Revision of Bid Proposals. Replace the paragraph with the following:</p> <p>Bid Proposals can be withdrawn in accordance the requirements of the Bid Express Bidding Service prior to the time of the Letting.</p>

**Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition**
(Effective with the July15, 2011 Letting)

SUBSECTION: REVISION:	<p>102.13 Public Opening of Bid Proposals. Replace Heading with the following: 102.13 Public Announcement of Bid Proposals.</p> <p>Replace the paragraph with the following: The Department will publicly announce all Bid Proposals at the time indicated in the Notice to Contractors.</p>
SUBSECTION: REVISION:	<p>103.02 Award of Contract. Replace the first sentence of the third paragraph with the following:</p> <p>The Department will normally award the Contract within 10 working days after the date of receiving Bid Proposals unless the Department deems it best to hold the Bid Proposals of any or all bidders for a period not to exceed 60 calendar days for final disposition of award.</p>
SUBSECTION: REVISION:	<p>105.02 Plans and Working Drawings. Insert the following after the fourth paragraph:</p> <p>Submit electrical shop drawings, design data, and descriptive literature for materials in electronic format to the Division of Traffic Operations for approval. Drawings and literature shall be submitted for lighting and signal components. Notify the Engineer when submitting information to the Division of Traffic Operations. Do not begin work until shop drawings are approved.</p> <p>Submit shop drawings for traffic counting equipment and materials in electronic format to the Engineer or the Division of Planning. Notify the Engineer when submitting information directly to the Division of Planning. Do not begin work until shop drawings are reviewed and approved.</p>
SUBSECTION: REVISION:	<p>105.03 Record Plans. Replace the section with the following:</p> <p>Record Plans are those reproductions of the original Plans on which the accepted Bid Proposal was based and, and signed by a duly authorized representative of the Department. The Department will make these plans available for inspection in the Central Office at least 24 hours prior to the time of opening bids and up to the time of letting of a project or projects. The quantities appearing on the Record Plans are the same as those on which Bid Proposals are received. The Department will use these Record Plans as the controlling plans in the prosecution of the Contract. The Department will not make any changes on Record Plans subsequent to their issue unless done so by an approved contract modification. The Department will make 2 sets of Record Plans for each project, and will maintain one on file in the Central Office and one of file in the District Office. The Department will furnish the Contractor with the following: 1 full size, 2 half size and an electronic file copy of the Record Plans at the Pre-Construction conference.</p>

**Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition**
(Effective with the July15, 2011 Letting)

SUBSECTION: REVISION:	<p>105.12 Final Inspection and Acceptance of Work.</p> <p>Insert the following paragraphs after the first paragraph:</p> <p>Notify the Engineer when all electrical items are complete. A notice of the electrical work completion shall be made in writing to the Contractor. Electrical items will be inspected when the electrical work is complete and are not subject to waiting until the project as a whole has been completed. The Engineer will notify the Division of Traffic Operations within 3 days that all electrical items are complete and ready for a final inspection. A final inspection will be completed within 90 days after the Engineer notifies the Division of Traffic Operations of the electrical work completion.</p> <p>Energize all electrical items prior to notifying the Engineer that all electrical items are complete. Electrical items must remain operational until the Division of Traffic Operations has inspected and accepted the electrical portion of the project. Payment for the electrical service is the responsibility of the Contractor from the time the electrical items are energized until the Division of Traffic Operations has accepted the work.</p> <p>Complete all corrective work within 90 calendar days of receiving the original electrical inspection report. Notify the Engineer when all corrective work is complete. The Engineer will notify the Division of Traffic Operations that the corrective work has been completed and the project is ready for a follow-up inspection. Upon re-inspection, if additional corrective work is required, complete within the same 90 calendar day allowance. The Department will not include time between completion of the corrective work and the follow up electrical inspection(s). The 90 calendar day allowance is cumulative regardless of the number of follow-up electrical inspections required.</p> <p>The Department will assume responsibility for the electrical service on a project once the Division of Traffic Operations gives final acceptance of the electrical items on the project. The Department will also assume routine maintenance of those items. Any damage done to accepted electrical work items by other Contractors shall be the responsibility of the Prime Contractor. The Department will not be responsible for repairing damage done by other contractors during the construction of the remaining project.</p> <p>Failure to complete the electrical corrective work within the 90 calendar day allowance will result in penalties assessed to the project. Penalties will be assessed at ½ the rate of liquidated damages established for the contract.</p> <p>Replace the following in the second sentence of the second paragraph:</p> <p>Replace Section 213 with Section 212.</p> <p>Delete the fifth paragraph from the section.</p>
SUBSECTION: REVISION:	<p>105.13 Claim Resolution Process.</p> <p>Replace the last sentence of the 3. Bullet with the following:</p> <p>If the Contractor did not submit an as-bid schedule at the Pre-Construction Meeting or a written narrative in accordance with Subsection 108.02, the Cabinet will not consider the claim for delay.</p> <p>Delete the last paragraph from the section.</p>

Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition
(Effective with the July15, 2011 Letting)

SUBSECTION: REVISION:	<p>106.04 Buy America Requirement. Replace the section with the following:</p> <p>106.04 Buy America Requirement. Follow the “Buy America” provisions as required by Title 23 Code of Federal Regulations § 635.410. Except as expressly provided herein all manufacturing processes of steel or iron materials including but not limited to structural steel, guardrail materials, corrugated steel, culvert pipe, structural plate, prestressing strands, and steel reinforcing bars shall occur in the United States of America, including the application of:</p> <ul style="list-style-type: none">• Coating,• Galvanizing,• Painting, and• Other coating that protects or enhances the value of steel or iron products. <p>The following are exempt, unless processed or refined to include substantial amounts of steel or iron material, and may be used regardless of source in the domestic manufacturing process for steel or iron material:</p> <ul style="list-style-type: none">• Pig iron,• Processed, pelletized, and reduced iron ore material, or• Processed alloys. <p>The Contractor shall submit a certification stating that all manufacturing processes involved with the production of steel or iron materials occurred in the United States.</p> <p>Produce, mill, fabricate, and manufacture in the United States of America all aluminum components of bridges, tunnels, and large sign support systems, for which either shop fabrication, shop inspection, or certified mill test reports are required as the basis of acceptance by the Department.</p> <p>Use foreign materials only under the following conditions:</p> <ol style="list-style-type: none">1) When the materials are not permanently incorporated into the project; or2) When the delivered cost of such materials used does not exceed 0.1 percent of the total Contract amount or \$2,500.00, whichever is greater. <p>The Contractor shall submit to the Engineer the origin and value of any foreign material used.</p>
SUBSECTION: REVISION:	<p>106.10 Field Welder Certification Requirements. Insert the following sentence before the first sentence of the first paragraph:</p> <p>All field welding must be performed by a certified welder unless otherwise noted.</p>
SUBSECTION: REVISION:	<p>108.02 Progress Schedule. Insert the following prior to the first paragraph:</p> <p>Specification 108.02 applies to all Cabinet projects except the following project types:</p> <ul style="list-style-type: none">• Right of Way Mowing and/or Litter Removal• Waterborne Paint Striping• Projects that contain Special Provision 82• Projects that contain the Special Note for CPM Scheduling <p>Insert the following paragraph after paragraph two:</p> <p>Working without the submittal of a Written Narrative is violation of this specification and additionally voids the Contractor’s right to delay claims.</p> <p>Insert the following paragraph after paragraph six:</p> <p>The submittal of bar chart or Critical Path Method schedule does not relieve the Contractor’s requirement to submit a Written Narrative schedule.</p>

Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition
(Effective with the July15, 2011 Letting)

	<p>Insert the following at the beginning of the first paragraph of A) Written Narrative.:</p> <p>Submit the Written Narrative Schedule using form TC 63-50 available at the Division of Construction’s website (http://www.transportation.ky.gov/construction/ResCenter/ResCenter.htm).</p> <p>Replace Part A) Written Narrative 1. And 2. with the following:</p> <ol style="list-style-type: none">1. Provide a description that includes how the Contractor will sequence and stage the work, how the Contractor plans to maintain and control traffic being specific and detailed, and what equipment and crew sizes are planned to execute the work.2. Provide a list of project milestones including, if applicable, winter shut-downs, holidays, or special events. The Contractor shall describe how these milestones and other dates effect the prosecution of the work. Also, include start date and completion date milestones for the contract, each project if the contract entails multiple projects, each phase of work, site of work, or segment of work as divided in the project plans, proposal, or as subdivided by the Contractor.
SUBSECTION: REVISION:	<p>109.07.01 Liquid Asphalt.</p> <p>Add the following to the Adjustable Contract Items:</p> <ul style="list-style-type: none">• Stone Matrix Asphalt for Base• Stone Matrix Asphalt for Surface
SUBSECTION: REVISION:	<p>110.01 Mobilization.</p> <p>Replace paragraph three with the following:</p> <p>Do not bid an amount for Mobilization that exceeds 5 percent of the sum of the total amounts bid for all items in the Bid Proposal, excluding Mobilization, Demobilization, and contingent amounts established for adjustments and incentives. The Department will automatically adjust any Bid Proposals that are in excess of this amount down to 5 percent to compare Bid Proposals and award the Contract. The Department will award a Contract for the actual amount bid when the amount bid for Mobilization is less than 5 percent, or the Department will award the Contract for the adjusted bid amount of 5 percent when the amount bid for Mobilization is greater than 5 percent. If any errors in unit bid prices for other Contract items in a Contractor’s Bid Proposal are discovered after bid opening and such errors reduce the total amount bid for all other items, excluding Mobilization, Demobilization, and contingent amounts established for adjustments and incentives, so that the percent bid for Mobilization is larger than 5 percent, the Department will adjust the amount bid for Mobilization to 5 percent of the sum of the corrected total bid amounts.</p>
SUBSECTION: REVISION:	<p>110.02 Demobilization.</p> <p>Replace the third paragraph with the following:</p> <p>Bid an amount for Demobilization that is a minimum of \$1,000 or 1.5 percent of the sum of the total amounts bid for all other items in the Bid Proposal, excluding Mobilization, Demobilization, and contingent amounts established for adjustments and incentives. The Department will automatically adjust any Bid Proposal that is less than this amount up to \$1,000 or 1.5 percent to compare Bid Proposals and award the Contract. The Department will award a Contract for the actual amount bid when the amount bid for demobilization exceeds 1.5 percent, or the Department will award the Contract for the adjusted bid amount when the amount bid for demobilization is less than the minimum of \$1,000 or less than 1.5 percent of the sum of the total amounts bid for all other items in the Bid Proposal, excluding Mobilization, Demobilization, and contingent amounts established for adjustments and incentives.</p>
SUBSECTION: REVISION:	<p>110.04 Payment.</p> <p>Insert the following paragraph following the demobilization payment schedule (4th paragraph):</p> <p>The Department will withhold an amount equal to \$1,000 for demobilization, regardless of the schedule listed above. The \$1,000 withheld for demobilization will be paid when the final estimate is paid.</p>

Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition
(Effective with the July15, 2011 Letting)

SUBSECTION: REVISION:	<p>112.03.01 General Traffic Control. Replace paragraph three with the following:</p> <p>All flaggers shall be trained in current MUTCD flagging procedures. Proof of training must be available for review at the Department’s request. Flagging credentials must be current within the last 5 years.</p>
SUBSECTION: PART: REVISION:	<p>112.03.11 Temporary Pavement Markings. B) Placement and Removal of Temporary Striping. Replace the 2nd sentence of the first paragraph with the following:</p> <p>On interstates and parkways, and other roadways approved by the State Highway Engineer, install pavement striping that is 6 inches in width.</p>
SUBSECTION: REVISION:	<p>112.03.12 Project Traffic Coordinator (PTC). Add the following at the end of the subsection:</p> <p>After October 1, 2008 the Department will require the PTC to have successfully completed the applicable qualification courses. Personnel that have not successfully completed the applicable courses by that date will not be considered qualified. Prior to October 1, 2008, conform to Subsection 108.06 A) and ensure the designated PTC has sufficient skill and experience to properly perform the task.</p>
SUBSECTION: REVISION:	<p>112.03.15 Non-Compliance of Maintain and Control of Traffic. Add the following section:</p> <p>112.03.15 Non-Compliance of Maintain and Control of Traffic. It is the Contractor’s responsibility to conform to the traffic control requirements in the TCP, Proposal, plan sheets, specifications, and the Manual on Uniform Traffic Control Devices.</p> <p>Unless specified elsewhere in the contract, a penalty will be assessed in the event of non-compliance with Maintain and Control of Traffic requirements. These penalties will be assessed when the Contractor fails to correct a situation or condition of non-compliance with the contract traffic control requirements after being notified by the Engineer. The calculation of accrued penalties for non-compliance will be based upon the date/time of notification by the Engineer.</p> <p>The amount of the penalty assessed for non-compliance will be determined based upon the work zone duration, as defined by the MUTCD, and will be the greatest of the different calculation methods indicated below:</p> <p>A) Long-term stationary work that occupies a location more than 3 days.</p> <p>Correct the non-compliant issue within 24 hours from initial notification by the Engineer. If the issue is not corrected within 24 hours from the initial notification, a penalty for non-compliance will be assessed on a daily basis beginning from the initial notification of non-compliance. The Contractor will be assessed a \$1,000 daily penalty or the amount equal to the contract liquidated damages in Section 108.09, whichever of the 2 is greater. The penalty for non-compliance will escalate as follows for continued non-compliance after the initial notification.</p> <p>3 Days after Notification \$1,500 daily penalty or 1.5 times the contract liquidated damages daily charge rate in Section 108.09, whichever is greater.</p> <p>7 Days after Notification \$2,000 daily penalty or double the contract liquidated damages daily charge rate in Section 108.09, whichever is greater.</p>

Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition
(Effective with the July15, 2011 Letting)

	<p>B) Intermediate-term stationary work that occupies a location more than one daylight period up to 3 days, or nighttime work lasting more than 1 hour.</p> <p>Correct the non-compliant issue within 4 hours from initial notification by the Engineer. If the issue is not corrected within 4 hours from notification, a penalty for non-compliance will be assessed on an hourly basis beginning from the initial notification of non-compliance. The penalty for non-compliance will be assessed at \$200 per hour.</p> <p>C) Short-term stationary is work that occupies a location for more than 1 hour within a single 24-hour period.</p> <p>Correct the non-compliant issue within 1 hour from initial notification by the Engineer. If the issue is not corrected within 1 hour from notification, a penalty for non-compliance will be assessed on an hourly basis beginning from the initial notification of non-compliance. The penalty for non-compliance will be assessed at \$200 per hour.</p> <p>If the Contractor remains in violation of the Maintain and Control of Traffic requirements, or if the Department determines it to be in the public’s interest, work will be suspended in accordance with Section 108.08 until the deficiencies are corrected. The Department reserves the right to correct deficiencies by any means available and charge the Contractor for labor, equipment, and material costs incurred in emergency situations.</p>
SUBSECTION: REVISION:	<p>206.03.02 Embankment</p> <p>Replace the last paragraph with the following:</p> <p>When rock roadbed is specified, construct the upper 2 feet of the embankment according to Subsection 204.03.09 A).</p>
SUBSECTION: REVISION:	<p>213.03.03 Inspection and Maintenance.</p> <p>Replace the last sentence of the second paragraph with the following:</p> <p>Initiate corrective action within 24 hours of any noted deficiency and complete the work within 7 calendar days of receipt of the report. The Contractor shall make a concentrated effort to complete any corrective action required prior to the next predicted rainfall event.</p> <p>Insert the following paragraph after the second paragraph:</p> <p>When the Contractor is required to obtain the KPDES permit, it is their responsibility to ensure compliance with the inspection and maintenance requirements of the permit. The Engineer will perform verification inspections a minimum of once per month and within 7 days of a ½ inch or greater rainfall event. The Engineer will document these inspections using Form TC 63-61 A. The Engineer will provide copies of the inspection only when improvements to the BMP’s are required. Verification inspections performed by the Engineer do not relieve the Contractor of any responsibility for compliance with the KPDES permit. Initiate corrective action within 24 hours of any noted deficiency and complete the work within 7calendar days of receipt of the report. The Contractor shall make a concentrated effort to complete any corrective action required prior to the next predicted rainfall event.</p>

**Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition**
(Effective with the July 15, 2011 Letting)

SUBSECTION: PART: REVISION:	213.03.05 Temporary Control Measures. E) Temporary Seeding and Protection. Replace the first paragraph with the following: Apply an Annual Rye seed mix at a rate of 100 pounds per acre during the months of March through August. In addition to the Annual Rye, add 10 pounds of German Foxtail-Millet (<i>Setaria italica</i>), when performing temporary seeding during the months of June through August. During the months of September through February, apply Winter Wheat or Rye Grain at a rate of 100 pounds per acre. Obtain the Engineer's approval prior to the application of the seed mixture.
SUBSECTION: PART: REVISION:	213.03.05 Temporary Control Measures. F) Temporary Mulch. Replace the last sentence with the following: Place temporary mulch to an approximate 2-inch loose depth (2 tons per acre) and anchor it into the soil by mechanically crimping it into the soil surface or applying tackifier to provide a protective cover. Regardless of the anchoring method used, ensure the protective cover holds until disturbance is required or permanent controls are installed.
SUBSECTION: REVISION:	303.05 Payment. Replace the second paragraph of the section with the following: The Department will make payment for Drainage Blanket-Type II (ATDB) according to the Lot Pay Adjustment Schedule for Specialty Mixtures in Section 402.
SUBSECTION: PART: REVISION:	401.02.04 Special Requirements for Dryer Drum Plants. F) Production Quality Control. Replace the first sentence with the following: Stop mixing operations immediately if, at any time, a failure of the automatic electronic weighing system of the aggregate feed, asphalt binder feed, or water injection system control occurs.
SUBSECTION: REVISION:	401.02.04 Special Requirements for Dryer Drum Plants. Add the following: Part G) Water Injection System. Provided each system has prior approval as specified in Subsection 402.01.01, the Department will allow the use of water injection systems for purposes of foaming the asphalt binder and lowering the mixture temperature for production of Warm Mix Asphalt (WMA). Ensure the equipment for water injection meets the following requirements: 1) Injection equipment computer controls are automatically coupled to the plants controls (manual operation is not permitted); 2) Injection equipment has variable controls that introduce water ratios based on production rates of mixtures; 3) Injects water into the flow of asphalt binder prior to contacting the aggregate; 4) Provides alarms on the water injection system that operate when the flow of water is interrupted or deviates from the prescribed water rate.
SUBSECTION: REVISION:	401.03.01 Preparation of Mixtures. Replace the last sentence of the second paragraph with the following: Do not use asphalt binder while it is foaming in a storage tank.

Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition
(Effective with the July15, 2011 Letting)

SUBSECTION: REVISION:	401.03.01 Preparation of Mixtures. Replace the third paragraph and Mixing and Laying Temperature table with the following: Maintain the temperature of the component materials and asphalt mixture within the ranges listed in the following table: <table><tr><th colspan="4">MIXING AND LAYING TEMPERATURES (°F)</th></tr><tr><th colspan="2">Material</th><th>Minimum</th><th>Maximum</th></tr><tr><td colspan="2">Aggregates</td><td>240</td><td>330</td></tr><tr><td colspan="2">Aggregates used with Recycled Asphalt Pavement (RAP)</td><td>240</td><td>—</td></tr><tr><td rowspan="2">Asphalt Binders</td><td>PG 64-22</td><td>230</td><td>330</td></tr><tr><td>PG 76-22</td><td>285</td><td>350</td></tr><tr><td rowspan="4">Asphalt Mixtures at Plant (Measured in Truck)</td><td>PG 64-22 HMA</td><td>250</td><td>330</td></tr><tr><td>PG 76-22 HMA</td><td>310</td><td>350</td></tr><tr><td>PG 64-22 WMA</td><td>230</td><td>275</td></tr><tr><td>PG 76-22 WMA</td><td>250</td><td>300</td></tr><tr><td rowspan="4">Asphalt Mixtures at Project (Measured in Truck When Discharging)</td><td>PG 64-22 HMA</td><td>230</td><td>330</td></tr><tr><td>PG 76-22 HMA</td><td>300</td><td>350</td></tr><tr><td>PG 64-22 WMA</td><td>210</td><td>275</td></tr><tr><td>PG 76-22 WMA</td><td>240</td><td>300</td></tr></table>	MIXING AND LAYING TEMPERATURES (°F)				Material		Minimum	Maximum	Aggregates		240	330	Aggregates used with Recycled Asphalt Pavement (RAP)		240	—	Asphalt Binders	PG 64-22	230	330	PG 76-22	285	350	Asphalt Mixtures at Plant (Measured in Truck)	PG 64-22 HMA	250	330	PG 76-22 HMA	310	350	PG 64-22 WMA	230	275	PG 76-22 WMA	250	300	Asphalt Mixtures at Project (Measured in Truck When Discharging)	PG 64-22 HMA	230	330	PG 76-22 HMA	300	350	PG 64-22 WMA	210	275	PG 76-22 WMA	240	300
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	PG 76-22 WMA	240	300																																															
SUBSECTION: REVISION:	402.01 Description. Replace the paragraph with the following: Provide the process control and acceptance testing of all classes and types of asphalt mixtures which may be furnished either as hot mix asphalt (HMA) or warm mix asphalt (WMA) produced with water injection systems.																																																	
SUBSECTION: REVISION:	402.01.01 Warm Mix Asphalt (WMA) Evaluation and Approval. Add the following subsection: 402.01.01 Warm Mix Asphalt (WMA) Evaluation and Approval. The Department will evaluate trial production of WMA by use of a water injection system provided the system is installed according to the manufacturer’s requirements and satisfies the requirements of Section 401. Evaluation will include production and placement of WMA to demonstrate adequate mixture quality including volumetric properties and density by Option A as specified in Subsection 402.03.02 D). Do not place WMA for evaluation on Department projects. Provided production and placement operations satisfy the applicable quality levels, the Department will approve WMA production on Department projects using the water injection system as installed on the specific asphalt mixing plant evaluated.																																																	
SUBSECTION: REVISION:	402.05.02 Asphalt Mixtures and Mixtures With RAP. Replace Subsection Title as below: 402.05.02 Asphalt Mixtures, HMA and WMA, Including Mixtures With RAP.																																																	
SUBSECTION: REVISION:	402.05.02 Asphalt Mixtures, HMA and WMA, Including Mixtures With RAP. Replace the paragraph with the following: The Department will pay for the mixture at the Contract unit bid price and apply a Lot Pay Adjustment for each lot placed based on the degree of compliance with the specified tolerances. Using the appropriate Lot Pay Adjustment Schedule, the Department will assign a pay value for the applicable properties within each subplot and average the subplot pay values to determine the pay value for a given property for each lot. The Department will apply the Lot Pay Adjustment for each lot to a defined unit price of \$50.00 per ton. The Department will calculate the Lot Pay Adjustment using all possible incentives and disincentives but will not allow the overall pay value for a lot to exceed 1.00.																																																	

**Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition**
(Effective with the July15, 2011 Letting)

SUBSECTION: PART: REVISION:	402.05.02 Asphalt Mixtures, HMA and WMA, Including Mixtures With RAP. C) Conventional and RAP Mixtures Placed on Shoulders. Replace Title and Text with the following: C) HMA, WMA and RAP Mixtures Placed on Shoulders or Placed as Asphalt Pavement Wedge. 1) Placed monolithically with the Mainline – Width of 4 feet or less. The Department will pay as mainline mixture. 2) Placed monolithically with the Mainline – Width of greater than 4 feet. The Department will pay as mainline mixture but use 1.00 for the Lane and Joint Density Pay Value for shoulder or Asphalt Pavement Wedge quantities. 3) Placed Separately. The Department will use 1.00 for the Lane and Joint Density Pay Value.												
SUBSECTION: PART: REVISION:	402.05.02 Asphalt Mixtures, HMA and WMA, Including Mixtures With RAP. D) Conventional and RAP Mixtures Placed Monolithically as Asphalt Pavement Wedge. Replace the title with the following: D) HMA, WMA, and RAP Mixtures Placed Monolithically as Asphalt Pavement Wedge. Delete the following: D) HMA, WMA, and RAP Mixtures Placed Monolithically as Asphalt Pavement Wedge. The Department will pay as mainline mixture but use a 1.00 pay value for all properties.												
SUBSECTION: PART: REVISION:	402.05.02 Asphalt Mixtures for Temporary Pavement. E) Asphalt Mixtures for Temporary Pavement. Replace E) Asphalt Mixtures for Temporary Pavement with the following: D) Asphalt Mixtures for Temporary Pavement.												
SUBSECTION: PART: TABLES: REVISION:	402.05.02 Asphalt Mixtures, HMA and WMA, Including Mixtures With RAP. Lot Pay Adjustment Schedule, Compaction Option A, Base and Binder Mixtures VMA Replace the VMA table with the following: <table><tr><th colspan="2">VMA</th></tr><tr><th>Pay Value</th><th>Deviation From Minimum</th></tr><tr><td>1.00</td><td>≥ min. VMA</td></tr><tr><td>0.95</td><td>0.1-0.5 below min.</td></tr><tr><td>0.90</td><td>0.6-1 0 below min.</td></tr><tr><td>(1)</td><td>> 1.0 below min.</td></tr></table>	VMA		Pay Value	Deviation From Minimum	1.00	≥ min. VMA	0.95	0.1-0.5 below min.	0.90	0.6-1 0 below min.	(1)	> 1.0 below min.
VMA													
Pay Value	Deviation From Minimum												
1.00	≥ min. VMA												
0.95	0.1-0.5 below min.												
0.90	0.6-1 0 below min.												
(1)	> 1.0 below min.												
SUBSECTION: PART: TABLES: REVISION:	402.05.02 Asphalt Mixtures, HMA and WMA, Including Mixtures With RAP. Lot Pay Adjustment Schedule, Compaction Option A, Surface Mixtures VMA Replace the VMA table with the following: <table><tr><th colspan="2">VMA</th></tr><tr><th>Pay Value</th><th>Deviation From Minimum</th></tr><tr><td>1.00</td><td>≥ min. VMA</td></tr><tr><td>0.95</td><td>0.1-0.5 below min.</td></tr><tr><td>0.90</td><td>0.6-1.0 below min.</td></tr><tr><td>(1)</td><td>> 1.0 below min.</td></tr></table>	VMA		Pay Value	Deviation From Minimum	1.00	≥ min. VMA	0.95	0.1-0.5 below min.	0.90	0.6-1.0 below min.	(1)	> 1.0 below min.
VMA													
Pay Value	Deviation From Minimum												
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(1)	> 1.0 below min.												

Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition
(Effective with the July15, 2011 Letting)

SUBSECTION: PART: TABLE: REVISION:	402.05.02 Asphalt Mixtures, HMA and WMA, Including Mixtures With RAP. Lot Pay Adjustment Schedule, Compaction Option B Mixtures VMA Replace the VMA table with the following:																									
	<table><tr><th colspan="2">VMA</th></tr><tr><th>Pay Value</th><th>Deviation From Minimum</th></tr><tr><td>1.00</td><td>≥min. VMA</td></tr><tr><td>0.95</td><td>0 1-0.5 bel w min.</td></tr><tr><td>0.9</td><td>0.6-1.0 below min.</td></tr><tr><td>(2)</td><td>> 1.0 below min.</td></tr></table>	VMA		Pay Value	Deviation From Minimum	1.00	≥min. VMA	0.95	0 1-0.5 bel w min.	0.9	0.6-1.0 below min.	(2)	> 1.0 below min.													
VMA																										
Pay Value	Deviation From Minimum																									
1.00	≥min. VMA																									
0.95	0 1-0.5 bel w min.																									
0.9	0.6-1.0 below min.																									
(2)	> 1.0 below min.																									
SUBSECTION: PART: NUMBER: REVISION:	403.03.03 Preparation of Mixture. C) Mix Design Criteria. 1) Preliminary Mix Design. Replace the last two sentences of the paragraph and table with the following: Complete the volumetric mix design at the appropriate number of gyrations as given in the table below for the number of 20-year ESAL's. The Department will define the relationship between ESAL classes, as given in the bid items for Superpave mixtures, and 20-year ESAL ranges as follows:																									
	<table><tr><th colspan="2"></th><th colspan="3">Number of Gyrations</th></tr><tr><th>Class</th><th>ESAL's (millions)</th><th>N_{initial}</th><th>N_{design}</th><th>N_{max}</th></tr><tr><td>2</td><td>< 3.0</td><td>6</td><td>50</td><td>75</td></tr><tr><td>3</td><td>3.0 to < 30.0</td><td>7</td><td>75</td><td>115</td></tr><tr><td>4</td><td>≥ 30.0</td><td>8</td><td>100</td><td>160</td></tr></table>			Number of Gyrations			Class	ESAL's (millions)	N _{initial}	N _{design}	N _{max}	2	< 3.0	6	50	75	3	3.0 to < 30.0	7	75	115	4	≥ 30.0	8	100	160
		Number of Gyrations																								
Class	ESAL's (millions)	N _{initial}	N _{design}	N _{max}																						
2	< 3.0	6	50	75																						
3	3.0 to < 30.0	7	75	115																						
4	≥ 30.0	8	100	160																						
SUBSECTION: PART: REVISION:	403.03.09 Leveling and Wedging, and Scratch Course. A) Leveling and Wedging. Replace the first sentence of the first paragraph with the following: Conform to the gradation requirements (control points) of AASHTO M 323 for base, binder, or surface as the Engineer directs.																									
SUBSECTION: PART: REVISION:	403.03.09 Leveling and Wedging, and Scratch Course. B) Scratch Course. Replace the second sentence of the first paragraph with the following: Conform to the gradation requirements (control points) of AASHTO M 323 for base, binder, or surface as the Engineer directs.																									
SUBSECTION: REVISION:	407.01 DESCRIPTION. Replace the first sentence of the paragraph with the following: Construct a pavement wedge composed of a hot-mixed or warm-mixed asphalt mixture.																									
SUBSECTION: REVISION:	409.01 DESCRIPTION. Replace the first sentence of the paragraph with the following: Use reclaimed asphalt pavement (RAP) from Department projects or other approved sources in hot mix asphalt (HMA) or warm mix asphalt (WMA) provided mixture requirements are satisfied.																									
SUBSECTION: REVISION:	410.01 DESCRIPTION. Delete the second sentence of the paragraph.																									

Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition
(Effective with the July15, 2011 Letting)

SUBSECTION: REVISION:	410.03.01 Corrective Work. Replace the last sentence of the paragraph with the following: Provide a final surface comparable to the adjacent pavement that does not require corrective work in respect to texture, appearance, and skid resistance.														
SUBSECTION: PART: NUMBER: REVISION:	410.03.02 Ride Quality. B) Requirements. 1) Category A. Replace the last sentence of the first paragraph with the following: At the Department’s discretion, a pay deduction of \$1200 per 0.1-lane-mile section may be applied in lieu of corrective work.														
SUBSECTION: PART: NUMBER: REVISION:	410.03.02 Ride Quality. B) Requirements. 2) Category B. Replace the second and third sentence of the first paragraph with the following: When the IRI is greater than 90 for a 0.1-mile section, perform corrective work, or remove and replace the pavement to achieve the specified IRI. At the Department’s discretion, a pay deduction of \$750 per 0.1-lane-mile section may be applied in lieu of corrective work.														
SUBSECTION: REVISION:	410.05 PAYMENT. Add the following sentence to the end of the first paragraph: The sum of the pay value adjustments for ride quality shall not exceed \$0 for the project as a whole.														
SUBSECTION: REVISION:	413.05.02 CL3 SMA BASE 1.00D PG76-22. Insert the following sentence between the first and second sentence of the first paragraph: The Department will calculate the Lot Pay Adjustment using all possible incentives and disincentives but will not allow the overall pay value for a lot to exceed 1.00.														
SUBSECTION: TABLE: REVISION:	413.05.02 CL3 SMA BASE 1.00D PG 76-22. JOINT DENSITY TABLE Replace the joint density table with the following: <table><tr><th colspan="2">LANE DENSITY</th></tr><tr><th>Pay Value</th><th>Test Result (%)</th></tr><tr><td>1.05</td><td>95.0-96.5</td></tr><tr><td>1.00</td><td>93.0-94.9</td></tr><tr><td>0.95</td><td>92.0-92.9 or 96.6-97.0</td></tr><tr><td>0.90</td><td>91.0-91.9 or 97.1-97.5</td></tr><tr><td>(1)</td><td>< 91.0 or > 97.5</td></tr></table>	LANE DENSITY		Pay Value	Test Result (%)	1.05	95.0-96.5	1.00	93.0-94.9	0.95	92.0-92.9 or 96.6-97.0	0.90	91.0-91.9 or 97.1-97.5	(1)	< 91.0 or > 97.5
LANE DENSITY															
Pay Value	Test Result (%)														
1.05	95.0-96.5														
1.00	93.0-94.9														
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0.90	91.0-91.9 or 97.1-97.5														
(1)	< 91.0 or > 97.5														
SUBSECTION: REVISION:	413.05.03 CL3 SMA SURF 0.50A PG76-22 and CL3 SMA SURF 0.38A PG76-22. Insert the following sentence between the first and second sentence of the first paragraph: The Department will calculate the Lot Pay Adjustment using all possible incentives and disincentives but will not allow the overall pay value for a lot to exceed 1.00.														

Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition
(Effective with the July15, 2011 Letting)

SUBSECTION: TABLE: REVISION:	413.05.03 CL3 SMA SURF 0.50A PG76-22 and CL3 SMA SURF 0.38A PG76-22. JOINT DENSITY TABLE Replace the joint density table with the following: <div><table><tr><th colspan="3">DENSITY</th></tr><tr><th>Pay Value</th><th>Lane Density Test Result (%)</th><th>Joint Density Test Result (%)</th></tr><tr><td>1.05</td><td>95.0-96.5</td><td>92.0-96.0</td></tr><tr><td>1.00</td><td>93.0-94.9</td><td>90.0-91.9</td></tr><tr><td>0.95</td><td>92.0-92.9 or 96.6-97.0</td><td>89.0-89.9 or 96.1-96.5</td></tr><tr><td>0.90</td><td>91.0-91.9 or 97.1-97.5</td><td>88.0-88.9 or 96.6-97.0</td></tr><tr><td>0.75</td><td>----</td><td>< 88.0 or > 97.0</td></tr><tr><td>(1)</td><td>< 91.0 or > 97.5</td><td>----</td></tr></table></div>	DENSITY			Pay Value	Lane Density Test Result (%)	Joint Density Test Result (%)	1.05	95.0-96.5	92.0-96.0	1.00	93.0-94.9	90.0-91.9	0.95	92.0-92.9 or 96.6-97.0	89.0-89.9 or 96.1-96.5	0.90	91.0-91.9 or 97.1-97.5	88.0-88.9 or 96.6-97.0	0.75	----	< 88.0 or > 97.0	(1)	< 91.0 or > 97.5	----
DENSITY																									
Pay Value	Lane Density Test Result (%)	Joint Density Test Result (%)																							
1.05	95.0-96.5	92.0-96.0																							
1.00	93.0-94.9	90.0-91.9																							
0.95	92.0-92.9 or 96.6-97.0	89.0-89.9 or 96.1-96.5																							
0.90	91.0-91.9 or 97.1-97.5	88.0-88.9 or 96.6-97.0																							
0.75	----	< 88.0 or > 97.0																							
(1)	< 91.0 or > 97.5	----																							
SUBSECTION: REVISION:	501.05.02 Ride Quality. Add the following sentence to the end of the first paragraph: The sum of the pay value adjustments for the ride quality shall not exceed \$0 for the project as a whole.																								
SUBSECTION: REVISION:	505.03.04 Detectable Warnings. Replace the first sentence with the following: Install detectable warning pavers at all sidewalk ramps and on all commercial entrances according to the Standard Drawings.																								
SUBSECTION: REVISION:	505.04.04 Detectable Warnings. Replace the paragraph with the following: The Department will measure the quantity in square feet. All retrofit applications for maintenance projects will require the removal of existing sidewalks to meet the requirements of the standard drawings applicable to the project. The cost associated with the removal of the existing sidewalk will be incidental to the detectable warnings bid item or incidental to the bid item for the construction of the concrete sidewalk unless otherwise noted.																								
SUBSECTION: REVISION:	505.05 PAYMENT. Add the following to the bid item table: <div><table><tr><td><u>Code</u></td><td><u>Pay Item</u></td><td><u>Pay Unit</u></td></tr><tr><td>23158ES505</td><td>Detectable Warnings</td><td>Square Foot</td></tr></table></div>	<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>	23158ES505	Detectable Warnings	Square Foot																		
<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>																							
23158ES505	Detectable Warnings	Square Foot																							
SUBSECTION: REVISION:	509.01 DESCRIPTION. Replace the second paragraph with the following: The Department may allow the use of similar units that conform to the National Cooperative Highway Research Program (NCHRP) 350 Test Level 3 (TL-3) requirements and the typical features depicted by the Standard Drawings. Obtain the Engineers approval prior to use. Ensure the barrier wall shape, length, material, drain slot dimensions and locations typical features are met and the reported maximum deflection is 3 feet or less from the NCHRP 350 TL-3 for Test 3 – 11 (pickup truck impacting at 60 mph at a 25-degree angle.)																								

Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition
(Effective with the July15, 2011 Letting)

SUBSECTION: REVISION:	<p>601.03.02 Concrete Producer Responsibilities.</p> <p>Replace the first sentence with the following:</p> <p>Obtain the concrete from producers that are in compliance with KM 64-323 and on the Department’s List of Approved Materials.</p> <p>Add the following to the first paragraph:</p> <p>If a concrete plant becomes unqualified during a project and there are no other qualified plants in the region, the Department will provide qualified personnel to witness and ensure the producer follows the required specifications. The Department will assess the Contractor a \$100 per hour charge for this service.</p>
SUBSECTION: PART: REVISION:	<p>601.03.02 Concrete Producer Responsibilities.</p> <p>B) Certified Personnel.</p> <p>Replace the second sentence with the following:</p> <p>Ensure that the concrete technicians are certified as ACI Level I (Level I) and KRMCA Level II (Level II).</p>
SUBSECTION: PART: REVISION:	<p>601.03.02 Concrete Producer Responsibilities.</p> <p>C) Quality Control.</p> <p>Replace the second sentence with the following:</p> <p>Ensure that the Level II concrete technician is present when work is in progress and is responsible for inspecting trucks, batch weight calculations, monitoring batching, making mixture adjustments, reviewing the slump, air content, unit weight, temperature, and aggregate tests, all to provide conforming concrete to the project.</p>
SUBSECTION: PART: REVISION:	<p>601.03.02 Concrete Producer Responsibilities.</p> <p>D) Producer Testing.</p> <p>Replace with the following:</p> <p>When producing for state work, have a Qualified Concrete Aggregate Technician or KYTC Qualified Aggregate Technician perform, at a minimum, weekly gradations and minus 200 wash tests and daily moisture contents of coarse and fine aggregate (Fine aggregates will not require a minus 200 wash test). Using the daily moisture contents, adjust the approved mix design accordingly prior to production.</p> <p>Ensure that the Level II concrete technician is present when work is in progress and is responsible for inspecting trucks, batch weight calculations, monitoring batching, making mixture adjustments, reviewing the slump, air content, unit weight, temperature, and aggregate tests, all to provide conforming concrete to the project.</p>
SUBSECTION: PART: REVISION:	<p>601.03.02 Concrete Producer Responsibilities.</p> <p>E) Trip Tickets.</p> <p>Replace the second sentence with the following:</p> <p>Include on the trip ticket the Sample ID for the approved mix design and a statement certifying that the data on the ticket is correct and that the mixture conforms to the mix design.</p>
SUBSECTION: PART: NUMBER: REVISION:	<p>601.03.03 Proportioning and Requirements.</p> <p>C) Mixtures Using Type IP, IS, and I(SM) Cement or Mineral Admixtures</p> <p>2) Mineral Admixtures.</p> <p>Replace the second sentence with the following:</p> <p>Reduction of the total cement content by a combination of mineral admixtures will be allowed, up to a maximum of 40 percent.</p>

**Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition**
(Effective with the July15, 2011 Letting)

SUBSECTION: PART: NUMBER: LETTER: REVISION:	601.03.03 Proportioning and Requirements. C) Mixtures Using Type IP, IS, and I(SM) Cement or Mineral Admixtures 2) Mineral Admixtures. a) Fly Ash. Delete the last sentence of the third paragraph.
SUBSECTION: PART: NUMBER: LETTER: REVISION:	601.03.03 Proportioning and Requirements. C) Mixtures Using Type IP, IS, and I(SM) Cement or Mineral Admixtures 2) Mineral Admixtures. b) Ground Granulated Blast Furnace Slag (GGBF Slag). Delete the second sentence of the third paragraph.
SUBSECTION: PART: REVISION:	601.03.03 Proportioning and Requirements. E) Measuring. Add the following sentence: Conform to the individual ingredient material batching tolerances in Appendix A.
SUBSECTION: PART: REVISION:	601.03.09 Placing Concrete. A) General. Replace the last sentence of the fourth paragraph with the following: Do not use aluminum or aluminum alloy troughs, pipes, or chutes that have surface damage or for lengths greater than 20 feet. Replace the second sentence of the fifth paragraph with the following: When pumping, equip the delivery pipe with a nozzle, having a minimum of 2 right angles, at the discharge end. Alternate nozzles or restriction devices may be allowed with prior approval by the Engineer.
SUBSECTION: REVISION:	605.02.05 Forms. Delete the last sentence.
SUBSECTION: REVISION:	605.03.04 Tack Welding. Replace with the following: The Department does not allow tack welding.
SUBSECTION: REVISION:	606.02.11 Coarse Aggregate. Replace with the following: Conform to Section 805, size No. 8 or 9-M.
SUBSECTION: PART: REVISION:	609.03.04 Expansion and Fixed Joints. D) Preformed Neoprene Joint Seals. Replace the last sentence of paragraph seven with the following: Field splices will not be allowed during partial width construction. It is Contractor's responsibility to determine and install the length of seal required for the joint to barrier wall as per the standard drawing.
SUBSECTION: REVISION:	609.03.09 Finish with Burlap Drag. Delete the entire section.
SUBSECTION: REVISION:	609.04.06 Joint Sealing. Replace Subsection 601.04 with the following: Subsection 606.04.08.

**Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition**
(Effective with the July 15, 2011 Letting)

SUBSECTION: REVISION:	<p>609.05 Payment. Replace the Pay Unit for Joint Sealing with the following:</p> <p>See Subsection 606.05.</p>
SUBSECTION: REVISION:	<p>701.03.06 Initial Backfill. Replace the first sentence of the last paragraph with the following:</p> <p>When the Contract specifies, perform quality control testing to verify compaction according to KM 64-512.</p>
SUBSECTION: REVISION:	<p>701.03.08 Testing of Pipe. Replace and rename the subsection with the following:</p> <p>701.03.08 Inspection of Pipe. The engineer will visually inspect all pipe. The Department will require camera/video inspection on a minimum of 50 percent of the linear feet of all installed pipe structures. Conduct camera/video inspection according to KM 64-114. The pipe to be installed under pavement will be selected first. If the total linear feet of pipe under pavement is less than 50 percent of the linear feet of all pipe installed, the Engineer will randomly select installations from the remaining pipe structures on the project to provide for the minimum inspection requirement. The pipe will be selected in complete runs (junction-junction or headwall-headwall) until the total linear feet of pipe to be inspected is at least 50 percent of the total linear feet of all installed pipe on the project.</p> <p>Unless the Engineer directs otherwise, schedule the inspections no sooner than 30 days after completing the installation and completion of earthwork to within 1 foot of the finished subgrade. When final surfacing conflicts with the 30-day minimum, conduct the inspections prior to placement of the final surface. The contractor must ensure that all pipe are free and clear of any debris so that a complete inspection is possible.</p> <p>Notify the Engineer immediately if distresses or locations of improper installation are discovered. When camera testing shows distresses or improper installation in the installed pipe, the Engineer may require additional sections to be tested. Provide the video and report to the Engineer when testing is complete in accordance with KM 64-114.</p> <p>Pipes that exhibit distress or signs of improper installation may necessitate repair or removal as the Engineer directs. These signs include, but are not limited to: deflection, cracking, joint separation, sagging or other interior damage. If corrugated metal or thermoplastic pipes exceed the deflection and installation thresholds indicated in the table below, provide the Department with an evaluation of each location conducted by a Professional Engineer addressing the severity of the deflection, structural integrity, environmental conditions, design service life, and an evaluation of the factor of safety using Section 12, "Buried Structures and Tunnel Liners," of the AASHTO LRFD Bridge Design Specifications. Based on the evaluation, the Department may allow the pipe to remain in place at a reduced unit price as shown in the table below. Provide 5 business days for the Department to review the evaluation. When the pipe shows deflection of 10 percent or greater, remove and replace the pipe. When the camera/video or laser inspection results are called into question, the Department may require direct measurements or mandrel testing.</p> <p>The Cabinet may elect to conduct Quality Assurance verifications of any pipe inspections.</p>
SUBSECTION: REVISION:	<p>701.04.07 Testing. Replace and rename the subsection with the following:</p> <p>701.04.07 Pipeline Video Inspection. The Department will measure the quantity in linear feet along the pipe invert of the structure inspected. When inspection above the specified 50 percent is performed due to a disagreement or suspicion of additional distresses and the Department is found in error, the Department will measure the quantity as Extra Work according to Subsection 104.03. However, if additional distresses or non-conformance is found, the Department will not measure the additional inspection for payment.</p>

Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition
(Effective with the July15, 2011 Letting)

SUBSECTION: REVISION:	701.05 PAYMENT Add the following pay item to the list of pay items: <table><tr><td>Code</td><td>Pay Item</td><td>Pay Unit</td></tr><tr><td>23131ER701</td><td>Pipeline Video Inspection</td><td>Linear Foot</td></tr></table>	Code	Pay Item	Pay Unit	23131ER701	Pipeline Video Inspection	Linear Foot						
Code	Pay Item	Pay Unit											
23131ER701	Pipeline Video Inspection	Linear Foot											
SUBSECTION: TABLE: REVISION:	701.05 PAYMENT PIPE DEFLECTION DETERMINED BY CAMERA TESTING Replace this table with the following table and note: <table><tr><th colspan="2">PIPE DEFLECTION</th></tr><tr><th>Amount of Deflection (%)</th><th>Payment</th></tr><tr><td>0.0 to 5.0</td><td>100% of the Unit Bid Price</td></tr><tr><td>5.1 to 9.9</td><td>50% of the Unit Bid Price ⁽¹⁾</td></tr><tr><td>10 or greater</td><td>Remove and Replace</td></tr></table> <p>(1) Provide Structural Analysis as indicated above. Based on the structural analysis, pipe may be allowed to remain in place at the reduced unit price.</p>	PIPE DEFLECTION		Amount of Deflection (%)	Payment	0.0 to 5.0	100% of the Unit Bid Price	5.1 to 9.9	50% of the Unit Bid Price ⁽¹⁾	10 or greater	Remove and Replace		
PIPE DEFLECTION													
Amount of Deflection (%)	Payment												
0.0 to 5.0	100% of the Unit Bid Price												
5.1 to 9.9	50% of the Unit Bid Price ⁽¹⁾												
10 or greater	Remove and Replace												
SUBSECTION: TABLE: REVISION:	701.05 PAYMENT PIPE DEFLECTION DETERMINED BY MANDREL TESTING Delete this table.												
SUBSECTION: REVISION:	713.02.01 Paint. Replace with the following: Conform to Section 842 and Section 846.												
SUBSECTION: REVISION:	713.03 CONSTRUCTION. Replace the first sentence of the second paragraph with the following: On interstates and parkways, and other routes approved by the State Highway Engineer, install pavement striping that is 6 inches in width.												
SUBSECTION: REVISION:	713.03.03 Paint Application. Replace the second paragraph with the following table: <table><tr><th>Material</th><th>Paint Application Rate</th><th>Glass Beads Application Rate</th></tr><tr><td>4 inch waterborne paint</td><td>Min. of 16.5 gallons/mile</td><td>Min. of 6 pounds/gallon</td></tr><tr><td>6 inch waterborne paint</td><td>Min. of 24.8 gallons/mile</td><td>Min. of 6 pounds/gallon</td></tr><tr><td>6 inch durable waterborne paint</td><td>Min. of 36 gallons/mile</td><td>Min. of 6 pounds/gallon</td></tr></table>	Material	Paint Application Rate	Glass Beads Application Rate	4 inch waterborne paint	Min. of 16.5 gallons/mile	Min. of 6 pounds/gallon	6 inch waterborne paint	Min. of 24.8 gallons/mile	Min. of 6 pounds/gallon	6 inch durable waterborne paint	Min. of 36 gallons/mile	Min. of 6 pounds/gallon
Material	Paint Application Rate	Glass Beads Application Rate											
4 inch waterborne paint	Min. of 16.5 gallons/mile	Min. of 6 pounds/gallon											
6 inch waterborne paint	Min. of 24.8 gallons/mile	Min. of 6 pounds/gallon											
6 inch durable waterborne paint	Min. of 36 gallons/mile	Min. of 6 pounds/gallon											
SUBSECTION: REVISION:	713.03.04 Marking Removal. Replace the last sentence of the paragraph with the following: Vacuum all marking material and removal debris concurrently with the marking removal operation.												
SUBSECTION: REVISION:	713.05 PAYMENT. Insert the following codes and pay items below the Pavement Striping – Permanent Paint: <table><tr><td>Code</td><td>Pay Item</td><td>Pay Unit</td></tr><tr><td>24189ER</td><td>Durable Waterborne Marking – 6 IN W</td><td>Linear Foot</td></tr><tr><td>24190ER</td><td>Durable Waterborne Marking – 6 IN Y</td><td>Linear Foot</td></tr><tr><td>24191ER</td><td>Durable Waterborne Marking – 12 IN W</td><td>Linear Foot</td></tr></table>	Code	Pay Item	Pay Unit	24189ER	Durable Waterborne Marking – 6 IN W	Linear Foot	24190ER	Durable Waterborne Marking – 6 IN Y	Linear Foot	24191ER	Durable Waterborne Marking – 12 IN W	Linear Foot
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24190ER	Durable Waterborne Marking – 6 IN Y	Linear Foot											
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**Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition**
(Effective with the July15, 2011 Letting)

SUBSECTION: REVISION:	714.03 CONSTRUCTION. Insert the following paragraph at the end of the third paragraph: Use Type I Tape for markings on bridge decks, JPC pavement and JPC intersections. Thermoplastic should only be used for markings on asphalt pavement.
SUBSECTION: REVISION:	714.03.07 Marking Removal. Replace the third sentence of the paragraph with the following: Vacuum all marking material and removal debris concurrently with the marking removal operation.
SUBSECTION: REVISION:	716.01 DESCRIPTION. Insert the following after the first sentence: Energize lighting as soon as it is fully functional and ready for inspection. Ensure that lighting remains operational until the Division of Traffic Operations has provided written acceptance of the electrical work.
SUBSECTION: REVISION:	716.02.01 Roadway Lighting Materials. Replace the last two sentences of the paragraph with the following: Submit for material approval an electronic file of descriptive literature, drawings, and any requested design data to the Division of Traffic Operations. Do not begin work until shop drawings are approved. Notify the Engineer when submitting any information to the Division of Traffic Operations. Do not make substitutions for approved materials without written permission as described above.
SECTION: REVISION:	717 – THERMOPLASTIC INTERSECTION MARKINGS. Replace the section name with the following: INTERSECTION MARKINGS.
SUBSECTION: REVISION:	717.01 DESCRIPTION: Replace the paragraph with the following: Furnish and install thermoplastic or Type I tape intersection markings (Stop Bars, Crosswalks, Turn Arrows, etc.) Thermoplastic markings may be installed by either a machine applied, screed extrusion process or by applying preformed thermoplastic intersection marking material.
SUBSECTION: REVISION:	717.02 MATERIALS AND EQUIPMENT. Insert the following subsection: 717.02.06 Type I Tape. Conform to Section 836.
SUBSECTION: REVISION:	717.03.03 Application. Insert the following part to the subsection: B) Type I Tape Intersection Markings. Apply according to the manufacturer’s recommendations. Cut all tape at pavement joints when applied to concrete surfaces.

Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition
(Effective with the July15, 2011 Letting)

SUBSECTION: PART: REVISION:	717.03.05 Proving Period. A) Requirements. Insert the following to this section: 2) Type I Tape. During the proving period, ensure that the pavement marking material shows no signs of failure due to blistering, excessive cracking, bleeding, staining, discoloration, oil content of the pavement materials, drippings, chipping, spalling, poor adhesion to the pavement, loss of retroreflectivity, vehicular damage, and normal wear. Type I Tape is manufactured off site and warranted by the manufacturer to meet certain retroreflective requirements. As long as the material is adequately bonded to the surface and shows no signs of failure due to the other items listed in Subsection 714.03.06 A) 1), retroreflectivity readings will not be required. In the absence of readings, the Department will accept tape based on a nighttime visual observation.																																							
SUBSECTION: REVISION:	717.03.06 Marking Removal. Replace the third sentence of the paragraph with the following: Vacuum all marking material and removal debris concurrently with the marking removal operation.																																							
SUBSECTION: REVISION:	717.05 PAYMENT. Insert the following bid item codes: <table><tr><td><u>Code</u></td><td><u>Pay Unit</u></td><td><u>Pay Item</u></td></tr><tr><td>06563</td><td>Pave Marking – R/R X Bucks 16 IN</td><td>Linear Foot</td></tr><tr><td>20782NS714</td><td>Pave Marking Thermo – Bike</td><td>Each</td></tr><tr><td>23251ES717, 23264ES717</td><td>Pave Mark TY I Tape X-Walk, Size</td><td>Linear Foot</td></tr><tr><td>23252ES717, 23265ES717</td><td>Pave Mark TY I Tape Stop Bar, Size</td><td>Linear Foot</td></tr><tr><td>23253ES717</td><td>Pave Mark TY I Tape Cross Hatch</td><td>Square Foot</td></tr><tr><td>23254ES717</td><td>Pave Mark TY I Tape Dotted Lane Extension</td><td>Linear Foot</td></tr><tr><td>23255ES717</td><td>Pave Mark TY I Tape Arrow, Type</td><td>Each</td></tr><tr><td>23268ES717-23270ES717</td><td></td><td></td></tr><tr><td>23256ES717</td><td>Pave Mark TY I Tape- ONLY</td><td>Each</td></tr><tr><td>23257ES717</td><td>Pave Mark TY I Tape- SCHOOL</td><td>Each</td></tr><tr><td>23266ES717</td><td>Pave Mark TY 1 Tape R/R X Bucks-16 IN</td><td>Linear Foot</td></tr><tr><td>23267ES717</td><td>Pave Mark TY 1 Tape-Bike</td><td>Each</td></tr></table>	<u>Code</u>	<u>Pay Unit</u>	<u>Pay Item</u>	06563	Pave Marking – R/R X Bucks 16 IN	Linear Foot	20782NS714	Pave Marking Thermo – Bike	Each	23251ES717, 23264ES717	Pave Mark TY I Tape X-Walk, Size	Linear Foot	23252ES717, 23265ES717	Pave Mark TY I Tape Stop Bar, Size	Linear Foot	23253ES717	Pave Mark TY I Tape Cross Hatch	Square Foot	23254ES717	Pave Mark TY I Tape Dotted Lane Extension	Linear Foot	23255ES717	Pave Mark TY I Tape Arrow, Type	Each	23268ES717-23270ES717			23256ES717	Pave Mark TY I Tape- ONLY	Each	23257ES717	Pave Mark TY I Tape- SCHOOL	Each	23266ES717	Pave Mark TY 1 Tape R/R X Bucks-16 IN	Linear Foot	23267ES717	Pave Mark TY 1 Tape-Bike	Each
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SUBSECTION: REVISION:	725.02.02 Type VI Class C & CT. Replace bullet 2) with the following: 2) The SCI100GM System as developed by SCI Products, Inc. of St. Charles, Illinois. For all miscellaneous metal work conform to ASTM A 36 and galvanize according to ASTM A 123. For the SCI100GM fender panels conform to AASHTO 180. Galvanize the SCI100GM fender panels and SCI100GM -beam connectors after fabrication according to ASTM A 123.																																							
SUBSECTION: REVISION:	725.02.04 Type VII Class C. Replace bullet 2) with the following: 2) The SCI100GM System as developed by SCI Products, Inc. of St. Charles, Illinois. For all miscellaneous metal work conform to ASTM A 36 and galvanize according to ASTM A 123. For the SCI100GM fender panels conform to AASHTO 180. Galvanize the SCI100GM fender panels and SCI100GM-beam connectors after fabrication according to ASTM A 123.																																							
SUBSECTION: REVISION:	801.01 REQUIREMENTS. Delete the fourth sentence of the first paragraph and add the following to the second paragraph. When supplying cement with a SO ₃ content above the value in table I of ASTM C 150, include supportive ASTM C 1038 14-day expansion test data for the supplied SO ₃ content on the certification.																																							

Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition
(Effective with the July15, 2011 Letting)

SUBSECTION: REVISION:	805.01 GENERAL. Replace the second paragraph with the following: The Department’s List of Approved Materials includes the Aggregate Source List, the list of Class A and Class B Polish-Resistant Aggregate Sources, and the Concrete Restriction List.
SUBSECTION: REVISION:	805.04 CONCRETE. Delete footnote (1) The permissible lightweight particle content of gravel coarse aggregate for reinforced concrete box culvert sections, concrete pipe, pipe arches, or for use only in concrete that will be permanently protected from freezing by 2 feet or more of cover is 10.0 percent.
SUBSECTION: REVISION:	805.04 CONCRETE. Replace the “AASHTO T 160” reference in first sentence of the third paragraph with “KM 64-629”
SUBSECTION: TABLE: PART: REVISION:	805.15 GRADATION ACCEPTANCE OF NON-SPECIFICATION COARSE AGGREGATE. AGGREGATE SIZE USE Cement Concrete Structures and Incidental Construction Replace “9-M for Waterproofing Overlays” with “8 or 9-M for Waterproofing Overlays”

**Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition**
(Effective with the July15, 2011 Letting)

SUBSECTION: 805.15 GRADATION ACCEPTANCE OF NON-SPECIFICATION COARSE AGGREGATE.
REVISION: Replace the "SIZES OF COARSE AGGREGATES" table in with the following:

SIZES OF COARSE AGGREGATES																		
AMOUNTS FINER THAN EACH LABORATORY SIEVE (SQUARE OPENINGS) PERCENTAGE BY WEIGHT																		
Aggregate Size	Sieve	Nominal ⁽³⁾ Maximum Aggregate Size	4 inch	3 1/2 inch	3 inch	2 1/2 inch	2 inch	1 1/2 inch	1 inch	3/4 inch	1/2 inch	3/8 inch	No. 4	No. 8	No. 16	No. 30	No. 100	No. 200
1	3 1/2 inch		100	90-100		25-60		0-15		0-5								
2	2 1/2 inch				100	90-100	35-70	0-15		0-5								
23	2 inch				100		40-90		0-15		0-5							
3	2 inch					100	90-100	35-70	0-15		0-5							
357	2 inch					100	95-100		35-70		10-30		0-5					
4	1 1/2 inch						100	90-100	20-55	0-15		0-5						
467	1 1/2 inch						100	95-100		35-70		10-30	0-5					
5	1 inch							100	90-100	20-55	0-10	0-5						
57	1 inch							100	95-100		25-60		0-10	0-5				
610	1 inch							100	85-100		40-75		15-40					
67	3/4 inch								100	90-100		20-55	0-10	0-5				
68	3/4 inch								100	90-100		30-65	5-25	0-10	0-5			
710	3/4 inch								100	80-100		30-75	0-30					
78	1/2 inch									100	90-100	40-75	5-25	0-10	0-5			
8	3/8 inch										100	85-100	10-30	0-10	0-5			
9-M	3/8 inch										100	75-100	0-25	0-5				
10 ⁽²⁾	No. 4											100	85-100				10-30	
11 ⁽²⁾	No. 4											100	40-90	10-40			0-5	
DENSE GRADED AGGREGATE ⁽¹⁾	3/4 inch								100	70-100		50-80	30-65			10-40		4-13
CRUSHED STONE BASE ⁽¹⁾	1 1/2 inch					100		90-100		60-95		30-70	15-55			5-20		0-8

⁽¹⁾ Gradation performed by wet sieve KM 64-620 or AASHTO T 11/T 27.
⁽²⁾ Sizes shown for convenience and are not to be considered as coarse aggregates.
⁽³⁾ Nominal Maximum Size is the largest sieve on the gradation table for an aggregate size on which any material may be retained.
Note: The Department will allow blending of same source/same type aggregate when precise procedures are used such as cold feed, belt, or equivalent and combining of sizes or types of aggregate using the weigh hopper at concrete plants or controlled feed belts at the pugmill to obtain designated sizes.

Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition
(Effective with the July15, 2011 Letting)

SUBSECTION: REVISION:	805.16 SAMPLING AND TESTING. Replace the “AASHTO T 160” method with the “KM 64-629” method for the Concrete Beam Expansion Test. Replace the “ASTM D 3042” method with the “KM 64-625” method for Insoluble Residue.					
SUBSECTION: REVISION:	810.04.01 Coating Requirements. Replace the “Subsection 806.07” references with “Subsection 806.06”					
SUBSECTION: PART: REVISION:	810.06.01 Polyvinyl Chloride (PVC) Pipe. B) Culvert and Entrance Pipe. Replace the title with the following: B) Culvert Pipe, Storm Sewer, and Entrance Pipe.					
SUBSECTION: REVISION:	823.02 LIQUID MEMBRANE FORMING COMPOUNDS. Add the following: Effective July 1, 2011, to remain on or be added to the Department’s approved list, products must have completed testing or been submitted for testing through the National Transportation Product Evaluation Program (NTPEP) for Concrete Curing Compounds.					
SUBSECTION: REVISION:	837.03 APPROVAL. Replace the last sentence with the following: The Department will sample and evaluate for approval each lot of thermoplastic material delivered for use per contract prior to installation of the thermoplastic material. Do not allow the installation of thermoplastic material until it has been approved by the Division of Materials. Allow the Department a minimum of 10 working days to evaluate and approve thermoplastic material.					
SUBSECTION: REVISION:	837.03.01 Composition. COMPOSITION Table: Replace <table border="1"><tr><td>Lead Chromate</td><td>0.0 max.</td><td>4.0 min.</td></tr></table> with <table border="1"><tr><td>Heavy Metals Content</td><td>Comply with 40 CFR 261</td></tr></table>	Lead Chromate	0.0 max.	4.0 min.	Heavy Metals Content	Comply with 40 CFR 261
Lead Chromate	0.0 max.	4.0 min.				
Heavy Metals Content	Comply with 40 CFR 261					
SUBSECTION: TABLE: REVISION:	842.02 APPROVAL. PAINT COMPOSITION Revise the following in the table: Replace the 2.0ΔE* values in the table with 4.0ΔE* for both Yellow and White Paint on both the Daytime and Nighttime Color Spectrophotometer.					
SECTION: REVISION:	DIVISION 800 MATERIAL DETAILS Add the following section in Division 800 SECTION 846 – DURABLE WATERBORNE PAINT 846.01 DESCRIPTION. This section covers quick-drying durable waterborne pavement striping paint for permanent applications. The paint shall be ready-mixed, one-component, 100% acrylic waterborne striping paint suitable for application on such traffic-bearing surfaces as Portland cement concrete, bituminous cement concrete, asphalt, tar, and previously painted areas of these surfaces. 846.02 Approval. Select materials that conform to the composition requirements below. Provide independent analysis data and certification for each formulation stating the total concentration of each heavy metal present, the test method used for each determination, and compliance to 40 CFR 261 for leachable heavy metals content. Submit initial samples for approval before beginning striping					

**Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition**
(Effective with the July15, 2011 Letting)

operations. The initial sample may be sent from the manufacture of the paint. The Department will randomly sample and evaluate the paint each week that the striping operations are in progress.

The non-volatile portion of the vehicle shall be composed of a 100% acrylic polymer as determined by infrared spectral analysis. The acrylic resin used shall be a 100% cross-linking acrylic as evidenced by infrared peaks at wavelengths 1568, 1624, and 1672 cm-1 with intensities equal to those produced by an acrylic resin known to be 100% cross-linking.

PAINT COMPOSITION		
Property and Test Method	Yellow	White
Daytime Color (CIELAB) Spectrophotometer using illuminant D65 at 45° illumination and 0° viewing with a 2° observer	L* 81.76 a* 19.79 b* 89.89 Maximum allowable variation 4.0ΔE*	L* 93.51 a* -1.01 b* 0.70 Maximum allowable variation 4.0ΔE*
Nighttime Color (CIELAB) Spectrophotometer using illuminant A at 45° illumination and 0° viewing with a 2° observer	L* 86.90 a* 24.80 b* 95.45 Maximum allowable variation 4.0ΔE*	L* 93.45 a* -0.79 b* 0.43 Maximum allowable variation 4.0ΔE*
Heavy Metals Content	Comply with 40 CFR 261	Comply with 40 CFR 261
Titanium Dioxide ASTM D 4764	NA	10% by weight of pigment min.
VOC ASTM D 2369 and D 4017	1.25 lb/gal max.	1.25 lb /gal max.
Contrast Ratio (at 15 mils wft)	0.97	0.99

846.02.01 Manufacturers Certification. Provide a certification of analysis for each lot of traffic paint produced stating conformance to the requirements of this section. Report the formulation identification, traffic paint trade name, color, date of manufacturer, total quantity of lot produced, actual quantity of traffic paint represented, sampling method utilized to obtain the samples, and data for each sample tested to represent each lot produced.

846.03 ACCEPTANCE PROCEDURES FOR NON-SPECIFICATION DURABLE WATERBORNE PAVEMENT STRIPING PAINT. When non-specification paint is inadvertently incorporated into the work the Department will accept the material with a reduction in pay. The percentage deduction is cumulative based on its compositional properties, but will not exceed 60 percent. The Department will calculate the payment reduction on the unit bid price for the routes where the non-specification paint was used.

DURABLE WATERBORNE PAVEMENT STRIPING PAINT REDUCTION SCHEDULE						
Non-conforming Property	Resin	Color	Contrast	TiO ₂	VOC	Heavy Metals Content
Reduction Rate	60%	10%	10%	10%	60%	60%

**Supplemental Specifications to The Standard Specifications
for Road and Bridge Construction, 2008 Edition**
(Effective with the July15, 2011 Letting)

APPENDIX A: PART: REVISION:	TABLUTION OF CONSTRUCTION TOLERANCES. 601.03.03 Replace with the following: Concrete accuracy of individual ingredient material for each batch. ± 2.0% for aggregates ± 1.0% for water ± 1.0% for cement in batches of 4 cubic yards or greater ± 1.0% for total cementitious materials in batches of 4 cubic yards or greater 0.0% to + 4.0% for cement in batches less than 4 cubic yards 0.0% to + 4.0% for total cementitious materials in batches less than 4 cubic yards ± 3.0% for admixtures
APPENDIX A: PART: REVISION:	TABLUTION OF CONSTRUCTION TOLERANCES. 601.03.03 C) 2) Delete

9Y

SPECIAL NOTE FOR MATERIAL TRANSFER VEHICLE

This Special Note will apply when indicated on the plans or in the proposal. Section references herein are to the Department’s 2008 Standard Specifications for Road and Bridge Construction.

1.0 DESCRIPTION. Provide and use a Material Transfer Vehicle (MTV) to place asphalt mixtures.

2.0 MATERIALS AND EQUIPMENT. In addition to the equipment specified in Subsection 403.02, provide a MTV with the following minimum characteristics:

- 1) A system to independently deliver asphalt mixtures from the hauling equipment to the paving equipment;
- 2) A high capacity truck unloading system, capable of 600 tons per hour, that will receive asphalt mixtures from the hauling equipment;
- 3) A minimum combined capacity, including the MTV storage bin and paver hopper, of 25 tons of asphalt mixture;
- 4) An auger system in the storage bin to continuously blend the asphalt mixture prior to discharge to the conveyor system; and
- 5) A discharge conveyor, with the ability to swivel, to deliver the mixture to the paving spreader while allowing the MTV to operate from an adjacent lane.

3.0 CONSTRUCTION. When constructing driving lanes, use a MTV to place asphalt mixtures. When the Engineer determines the use of the MTV is not practical for a portion of the project he may waive its requirement for that portion.

4.0 MEASUREMENT.

4.1 Asphalt Placement with MTV. The Department will not measure the MTV for payment and will consider its use incidental to the asphalt mixture.

4.2 Asphalt Mixture. The Department will measure the quantity according to Section 402.

5.0 PAYMENT. The Department will make payment for the completed and accepted quantities under the following:

<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
----	Asphalt Mixture, Type	Ton

March 12, 2008

SPECIAL NOTE FOR ROCK BLASTING

1.0 DESCRIPTION. This work consists of fracturing rock and constructing stable final rock cut faces using presplit blasting and production blasting techniques.

2.0 MATERIALS. Deliver, store, and use explosives according to the manufacturer's recommendations and applicable laws. Do not use explosives outside their recommended use date. Verify date of manufacture and provide copies of the technical data sheets (TDS) and material safety data sheets (MSDS) to the Engineer. Explosives and initiating devices include, but are not necessarily limited to, dynamite and other high explosives, slurries, water gels, emulsions, blasting agents, initiating explosives, detonators, blasting caps, and detonating cord.

3.0 CONSTRUCTION. Furnish copies or other proof of all-applicable permits and licenses. Comply with Federal, State, and local regulations on the purchase, transportation, storage, and use of explosive material. Regulations include but are not limited to the following:

- 1) KRS 351.310 through 351.9901.
- 2) 805 KAR 4:005 through 4:165
- 3) Applicable rules and regulations issued by the Office of Mine Safety and Licensing.
- 4) Safety and health. OSHA, 29 CFR Part 1926, Subpart U.
- 5) Storage, security, and accountability. Bureau of Alcohol, Tobacco, and Firearms (BATF), 27 CFR Part 181.
- 6) Shipment. DOT, 49 CFR Parts 171-179, 390-397.

3.1 Blaster-in-Charge. Designate in writing a blaster-in-charge and any proposed alternates for the position. Submit documentation showing the blaster-in-charge, and alternates, have a valid Kentucky blaster's license. Ensure the blaster-in-charge or approved alternate is present at all times during blasting operations.

3.2 Blasting Plans. Blasting plans and reports are for quality control and record keeping purposes. Blasting reports are to be signed by the blaster-in-charge or the alternate blaster-in-charge. The general review and acceptance of blasting plans does not relieve the Contractor of the responsibility whatsoever for conformance to regulations or for obtaining the required results.

A) General Blasting Plan. Submit a general blasting plan for acceptance at least 15 working days before drilling operations begin. Include, as a minimum, the following safety and procedural details:

- 1) Working procedures and safety precautions for storing, transporting, handling, detonating explosives. Include direction on pre and post blast audible procedures, methods of addressing misfires, and methods of addressing inclement weather, including lightning.
- 2) Proposed product selection for both dry and wet holes. Furnish Manufacturer's TDS and MSDS for all explosives, primers, initiators, and other blasting devices.
- 3) Proposed initiation and delay methods.

11D

- 4) Proposed format for providing all the required information for the site specific blasting shot reports.

B) Preblast Meeting. Prior to drilling operations, conduct a preblast meeting to discuss safety and traffic control issues and any site specific conditions that will need to be addressed. Ensure, at a minimum, that the Engineer or lead inspector, Superintendent, blaster-in-charge, and all personnel involved in the blasting operation are present. Site specific conditions include blast techniques; communication procedures; contingency plans and equipment for dealing with errant blast material. The conditions of the General Blasting plan will be discussed at this meeting. Record all revisions and additions made to the blasting plan and obtain written concurrence by the blaster-in-charge. Provide a copy of the signed blast plan to the Engineer along with the sign in sheet from the preblast meeting.

3.3 Preblast Condition Survey and Vibration Monitoring and Control. Before blasting, arrange for a preblast condition survey of nearby buildings, structures, or utilities, within 500 feet of the blast or that could be at risk from blasting damage. Provide the Engineer a listing of all properties surveyed and any owners denying entry or failing to respond. Notify the Engineer and occupants of buildings at risk at least 24 hours before blasting.

Limit ground vibrations and airblast to levels that will not exceed limits of 805 KAR 4:005 through 4:165. More restrictive levels may be specified in the Contract.

Size all blast designs based on vibration, distance to nearest building or utility, blast site geometry, atmospheric conditions and other factors. Ground vibrations are to be controlled according to the blasting standards and scaled distance formulas in 805 KAR 4:020 or by the use of seismographs as allowed in 805 KAR 4:030. The Department will require seismographs at the nearest allowable location to the protected site when blasting occurs within 500 feet of buildings, structures, or utilities.

3.4 Blasting. Drill and blast at the designated slope lines according to the blasting plan. Perform presplitting to obtain smooth faces in the rock and shale formations. Perform the presplitting before blasting and excavating the interior portion of the specified cross section at any location. The Department may allow blasting for fall benches and haul roads prior to presplitting when blasting is a sufficient distance from the final slope and results are satisfactory to the Engineer. Use the types of explosives and blasting accessories necessary to obtain the required results.

Free blast holes of obstructions for their entire depth. Place charges without caving the blast hole walls. Stem the upper portion of all blast holes with dry sand or other granular material passing the 3/8-inch sieve. Dry drill cuttings are acceptable for stemming when blasts are more than 800 feet from the nearest dwelling.

Stop traffic during blasting operations when blasting near any road and ensure traffic does not pass through the Danger Zone. The blaster-in-charge will define the Danger Zone prior to each blast. Ensure traffic is stopped outside the Danger Zone, and in no case within 800 feet of the blast location.

Following a blast, stop work in the entire blast area, and check for misfires before allowing worker to return to excavate the rock.

11D

Remove or stabilize all cut face rock that is loose, hanging, or potentially dangerous. Leave minor irregularities or surface variations in place if they do not create a hazard. Drill the next lift only after the cleanup work and stabilization work is complete.

When blasting operations cause fracturing of the final rock face, repair or stabilize it in an approved manner at no cost to the Department.

Halt blasting operations in areas where any of the following occur:

- 1) Slopes are unstable;
- 2) Slopes exceed tolerances or overhangs are created;
- 3) Backslope damage occurs;
- 4) Safety of the public is jeopardized;
- 5) Property or natural features are endangered;
- 6) Fly rock is generated; or
- 7) Excessive ground or airblast vibrations occur in an area where damage to buildings, structures, or utilities is possible.
- 8) The Engineer determines that materials have become unsuitable for blasting

Blasting operations may continue at a reasonable distance from the problem area or in areas where the problems do not exist. Make the necessary modifications to the blasting operations and perform a test blast to demonstrate resolution of the problem.

A) Drill Logs. Maintain a layout drawing designating hole numbers with corresponding drill logs and provide a copy of this information to the blaster prior to loading the hole. Ensure the individual hole logs completed by the driller(s) show their name; date drilled; total depth drilled; and depths and descriptions of significant conditions encountered during drilling that may affect loading such as water, voids, changes in rock type.

B) Presplitting. Conduct presplitting operations in conformance with Subsection 204.03.04 of the Standard Specifications for Road and Bridge Construction.

3.5 Shot Report. Maintain all shot reports on site for review by the Department. Within one day after a blast, complete a shot report according to the record keeping requirements of 805 KAR 4:050. Include all results from airblast and seismograph monitoring.

3.6 Unacceptable Blasting. When unacceptable blasting occurs, the Department will halt all blasting operations. Blasting will not resume until the Department completes its investigation and all concerns are addressed. A blast is unacceptable when it results in fragmentation beyond the final rock face, fly rock, excessive vibration or airblast, overbreak, damage to the final rock face or overhang. Assume the cost for all resulting damages to private and public property and hold the Department harmless.

When an errant blast or fly rock causes damage to or blocks a road or conveyance adjacent to the roadway, remove all debris from the roadway as quickly as practicable and perform any necessary repairs. Additionally, when specified in the Contract, the Department will apply a penalty.

11D

4.0 MEASUREMENT AND PAYMENT. The Department will not measure this work for payment and will consider all items contained in this note to be incidental to either Roadway Excavation or Embankment-in-Place, as applicable. However, if the Engineer directs in writing slope changes, then the Department will pay for the second presplitting operation as Extra Work.

The Department will measure for payment material lying outside the typical section due to seams, broken formations, or earth pockets, including any earth overburden removed with this material, only when the work is performed under authorized adjustments.

The Department will not measure for payment any extra material excavated because of the drill holes being offset outside the designated slope lines.

The Department will not measure for payment any material necessary to be removed due to the inefficient or faulty blasting practices.

May 6, 2008

SPECIAL NOTE FOR TURF REINFORCING MAT

1.0 DESCRIPTION. Install turf reinforcement mat at locations specified in the Contract or as the Engineer directs. Section references herein are to the Department's 2008 Standard Specifications for Road and Bridge Construction.

2.0 MATERIALS.

2.1 Turf Reinforcement Mat (TRM). Use a Turf Reinforcement Mat defined as permanent rolled erosion control product composed of non-degradable synthetic fibers, filaments, nets, wire mesh and/or other elements, processed into a three-dimensional matrix of sufficient thickness and from the Department's List of Approved Materials. Mats must be 100% UV stabilized materials. For TRMs containing degradable components, all physical property values must be obtained on the non-degradable portion of the matting exclusively. Ensure product labels clearly show the manufacturer or supplier name, style name, and roll number. Ensure labeling, shipment and storage follows ASTM D-4873. The Department will require manufacturer to provide TRMs that are machine constructed web of mechanically or melt bonded nondegradable fibers entangled to form a three dimensional matrix. The Department will require all long term performance property values in table below to be based on non degradable portion of the matting alone. Approved methods include polymer welding, thermal or polymer fusion, or placement of fibers between two high strength biaxially oriented nets mechanically bound by parallel stitching with polyolefin thread. Ensure that mats designated in the plans as Type 4 mats, are not to be manufactured from discontinuous or loosely held together by stitching or glued netting or composites. Type 4 mats shall be composed of geosynthetic matrix that exhibits a very high interlock and reinforcement capacities with both soil and root systems and with high tensile modulus. The Department will require manufacturer to use materials chemically and biologically inert to the natural soil environments conditions. Ensure the blanket is smolder resistant without the use of chemical additives. When stored, maintain the protective wrapping and elevate the mats off the ground to protect them from damage. The Department will not specify these materials for use in heavily acidic coal seam areas or other areas with soil problems that would severally limit vegetation growth.

- A) Dimensions. Ensure TRMs are furnished in strips with a minimum width of 4 feet and length of 50 feet.
- B) Weight. Ensure that all mat types have a minimum mass per unit area of 7 ounces per square yard according to ASTM D 6566.
- C) Performance Testing: The Department will require AASHTO's NTPEP index testing. The Department will also require the manufacturer to perform internal MARV testing at a Geosynthetic Accreditation Institute – Laboratory Accreditation Program (GAI-LAP) accredited laboratory for tensile strength, tensile elongation, mass per unit area, and thickness once every 24,000 yds of production or whatever rate is required to ensure 97.7% confidence under ASTM D4439& 4354. The Department will require Full scale testing for slope and channel applications shear stress shall be done under ASTM D 6459, ASTM D 6460-07 procedures.

2.2 Classifications

The basis for selection of the type of mat required will be based on the long term shear stress level of the mat of the channel in question or the degree of slope to protect and will be designated in the contract. The Type 4 mats are to be used at structural backfills protecting critical

11F

structures, utility cuts, areas where vehicles may be expected to traverse the mat, channels with large heavy drift, and where higher factors of safety, very steep slopes and/or durability concerns are needed as determined by project team and designer and will be specified in the plans by designer.

Turf Reinforcement Matting					
Properties ¹	Type 1	Type 2	Type 3	Type 4	Test Method
Minimum tensile Strength lbs/ft	125	150	175	3000 by 1500	ASTM D6818 ²
UV stability (minimum % tensile retention)	80	80	80	90	ASTM D4355 ³ (1000-hr exposure)
Minimum thickness (inches)	0.25	0.25	0.25	0.40	ASTM D6525
Slopes applications	2H:1V or flatter	1.5H:1V or flatter	1H:1V or flatter	1 H: 1V or greater	
Shear stress lbs/ft ² Channel applications	6.0 ⁴	8.0 ⁴	10.0 ⁴	12.0 ⁴	ASTM D6459 ASTM D6460-07

¹ For TRMs containing degradable components, all physical property values must be obtained on the non-degradable portion of the matting alone.

²Minimum Average Roll Values for tensile strength of sample material machine direction.

³Tensile Strength percentage retained after stated 1000 hr duration of exposure under ASTM D4355 testing. Based on nondegradable components exclusively.

⁴Maximum permissible shear design values based on short-term (0.5 hr) vegetated data obtained by full scale flume testing ASTM D6459, D6460-07. Based on nondegradable components exclusively. Testing will be done at Independent Hydraulics Facility such as Colorado State University hydraulics laboratory, Utah State University hydraulics laboratory, Texas Transportation Institute (TTI) hydraulics and erosion control laboratory.

2.3 Quality Assurance Sampling, Testing, and Acceptance

- A) Provide TRM listed on the Department's List of Approved Materials. Prior to inclusion on the LAM, the manufacturer of TRM must meet the physical and performance criteria as outlined in the specification and submit a Letter Certifying compliance of the product under the above ASTM testing procedures and including a copy of report from Full Scale Independent Hydraulics Facility that Fully Vegetated Shear Stress meets shear stress requirements tested under D6459 and D6460-07.
- B) Contractors will provide a Letter of Certification from Manufacturer stating the product name, manufacturer, and that the product MARV product unit testing results meets Department criteria. Provide Letters once per project and for each product.
- C) Acceptance shall be in accordance with ASTM D-4759 based on testing performed by a Geosynthetic Accreditation Institute – Laboratory Accreditation Program (GAI-LAP) accredited laboratory using Procedure A of ASTM D-4354.

Current mats meeting the above criteria are shown on the Department’s List of Approved Materials.

2.4 Fasteners. When the mat manufacturer does not specify a specific fastener, use steel wire U-shaped staples with a minimum diameter of 0.09 inches (11 gauge), a minimum width of one inch and a minimum length of 12 inches. Use a heavier gauge when working in rocky or clay soils and longer lengths in sandy soils as directed by Engineer or Manufacturer’s Representative. Provide staples with colored tops when requested by the Engineer.

3.0 CONSTRUCTION. When requested by the Engineer, provide a Manufacturer’s Representative on-site to oversee and approve the initial installation of the mat. When requested by the Engineer, provide a letter from the Manufacturer approving the installation. When there is a conflict between the Department’s criteria and the Manufacturer’s criteria, construct using the more restrictive. The Engineer and Manufacturer’s Representative must approve all alternate installation methods prior to execution. Construct according to the Manufacturer’s recommendations and the following as minimum installation technique:

3.1 Site Preparation. Grade areas to be treated with matting and compact. Remove large rocks, soil clods, vegetation, roots, and other sharp objects that could keep the mat from intimate contact with subgrade. Prepare seedbed by loosening the top 2 to 3 inch of soil.

3.2 Installation. Install mats according to Standard Drawing Sepias “Turf Mat Channel Installation” and “Turf Mat Slope Installation.” Install mats at the specified elevation and alignment. Anchor the mats with staples with a minimum length of 12 inches. Use longer anchors for installations in sandy, loose, or wet soils as directed by the Engineer or Manufacturer’s Representative. The mat should be in direct contact with the soil surface.

4.0 MEASUREMENT. The Department will measure the quantity of Turf Reinforcement Mat by the square yard of surface covered. The Department will not measure preparation of the bed, providing a Manufacturer’s Representative, topsoil, or seeding for payment and will consider them incidental to the Turf Reinforcement Mat. The Department will not measure any reworking of slopes or channels for payment as it is considered corrective work and incidental to the Turf Reinforcement Mat. Seeding and protection will be an incidental item.

5.0 PAYMENT. The Department will make payment for the completed and accepted quantities under the following:

<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
23274EN11F	Turf Reinforcement Mat 1	Square Yard
23275EN11F	Turf Reinforcement Mat 2	Square Yard
23276EN11F	Turf Reinforcement Mat 3	Square Yard
23277EN11F	Turf Reinforcement Mat 4	Square Yard

April 18, 2009

SPECIAL PROVISION FOR WELDING STEEL BRIDGES

This Special Note will apply when indicated on the plans or in the proposal. Section references herein are to the Department's 2008 Standard Specifications for Road and Bridge Construction.

For all the welding, welders, welding materials, and welding procedures, conform to the requirements of the Bridge Welding Code, ANSI/AASHTO/AWS D1.5-95, and the modifications and additions herein.

The numbering of the sections, articles, parts, paragraphs, etc. that are included hereinafter are based on the numbering of ANSI/AASHTO/AWS D1.5-95. The plans or proposal will include additional requirements for fracture-critical members, and may include additional requirements for special steels such as ASTM A 588.

SECTION 1 GENERAL PROVISIONS

Paragraph 1.0 is added as follows:

1.0 Prequalification of Fabrication Shops

1.0.1 Any structural steel fabrication shop in which welded plate girders, or welded boxes or components for bridge trusses, rigid frames, or bridge arches are fabricated shall be qualified and certified as a Category III fabrication shop by AISC.

Proof of this qualification and certification shall be submitted to the Director, Division of Bridges, prior to or along with the first submission of shop drawings. Shop drawings will not be reviewed until this proof has been received.

1.3 Welding Processes

Paragraph 1.3.1.1 is added as follows:

Gas Metal Arc (GMAW), Flux Cored Arc (FCAW), Electroslag (ESW), and Electrogas (EGW) weld processes shall not be used at any location.

SECTION 2 DESIGN OF WELDED CONNECTIONS

2.1 Drawings

Paragraph 2.1.6 is added as follows:

Shop drawings and welding procedures shall be prepared and submitted for review as specified in Section 607.03.01 of the Department's Standard Specifications. Fabrication shall not begin until shop drawings and welding procedures are reviewed.

2.6 Joint Qualification

The following is added to Paragraph 2.6.1:

Details of welded joints shown on the design drawings may indicate joint preparation for a manual shielded metal-arc process or for a submerged-arc process. Shop details shall

indicate the proper joint preparation for the welding procedure proposed by the shop in instances where the shop prefers a method not detailed on design drawings.

2.8 Details of Plug and Slot Welds

Plug and Slot Welds will not be permitted at any location in any type of steel except where designated on the plans or approved by the Engineer.

2.9 Complete Joint Penetration Groove Welds, and

2.10 Partial Joint Penetration Groove Welds

The following paragraph is added to the 2 articles listed above and will be numbered as follows:

2.9.3 – 2.10.4 Groove welds, except corner and tee joints, shall be finished smooth by grinding each face in the direction of applied stress to a tolerance of plus 1/32 inch and minus zero inch in relation to the face of the base metal.

SECTION 3 WORKMANSHIP

3.1 General Requirements

Paragraph 3.1.6 is added as follows:

Any discontinuities found by the Engineer during the inspection of the fabrication, may lead to further testing by any non-destructive methods as may be directed by the Engineer. The cost of testing will be at the expense of the Department, except as specified in paragraphs 6.5.8 and 6.5.9 herein, and Section 607.03.13 of the Department's Standard Specifications. The cost of removal and repair of any rejectable discontinuities will be borne by the Contractor.

3.2 Preparation of Base Metal

The following is added to Paragraph 3.2.1:

Mill scale and extraneous material shall be removed from the torch side of ASTM A 514 steel plates along the lines to be flame cut, when necessary to obviate excessive notches.

Paragraph 3.2.10 is added as follows:

Sheared plates to be used for webs of built-up members shall be ordered with sufficient additional width to allow for trimming of edges where built-up camber is required. Plates with rolled edges shall be trimmed. Universal mill plates to be used for webs of built-up members shall be ordered with sufficient additional width to allow for trimming of both edges. The faying surfaces of the web and flange plates and the adjacent surfaces that are to be fillet welded shall be cleaned by grinding prior to assembly and welding of web-to-flange. Care shall be exercised to avoid over-grinding.

3.4 Control of Distortion and Shrinkage

Paragraphs 3.4.8 is added as follows:

The welding sequence outlined in the procedure specification shall be such as to avoid needless distortion and shrinkage stresses in accordance with this Article 3.4. For welded plate girders the broad outline of sequence shall be as follows:

1. Flange groove weld
2. Web groove weld
3. Web to flange weld
4. Stiffeners to web welds
5. Stiffeners to flange welds

Paragraph 3.4.9 is added as follows:

All welded shop splices in flanges and webs of girders or frames shall be shown on the shop drawings.

3.7 Repairs

Paragraph 3.7.2.5 is added as follows:

Weld repairs of all material except fracture critical members will be limited to a maximum of 3 attempts to obtain an approved weld. No further attempts shall be made on the member joint involved until the Contractor has proven to the Inspector, by mock-up procedures or otherwise, his ability to properly perform the required weld. Weld repairs on fracture critical members shall comply with the AASHTO Guide Specifications for Fracture Critical Non-Redundant Steel Bridge Members.

SECTION 4 TECHNIQUE

PART B SHIELDED METAL ARC WELDING

4.5 Electrodes for Shielded Metal Arc Welding

Paragraph 4.5.1 is voided and replaced as follows:

All electrodes for shielded metal arc welding shall conform to the requirements of the latest edition of Specification for Covered Carbon Steel Arc Welding Electrodes, ANSI/AWS A5.1 or Specification for Low Alloy Steel Covered Arc Welding Electrodes, ANSI/AWS A5.5, and when used for welding on main members shall be capable of producing weld metal having an impact strength of at least 20 ft.-lbs.. Charpy V-notch, at a temperature of -20 °F or below.

The following is added to Paragraph 4.5.5:

The fabricator shall furnish a test report summary for all lots of electrodes used on main members. All Charpy impact strengths shall be listed in addition to other requirements of ANSI/AWS A5.1 and ANSI/AWS A5.5.

PART C SUBMERGED ARC WELDING

4.8 Electrodes and Fluxes for Submerged Arc Welding

Paragraph 4.8.5 is added as follows:

Flux which shows evidence of moisture pickup shall be dried by heating to above 300 °F for a minimum of 2 hours. Flux which has been left in an unheated dispensing system overnight shall be dried before use by heating to above 300 °F for one hour.

4.9 Procedures for Submerged Arc Welding with a Single Electrode

Paragraph 4.9.2 is voided and replaced as follows:

Web to flange fillet welds shall be made in the flat position. Other fillet welds may be made in either the flat or horizontal position except that single-pass fillet welds made in the horizontal position shall not exceed 5/16 inch. Fillet welds used to connect flange plates to web plates shall be made with a single pass, fully automatic process in the flat position, unless the fabricator has special welding fixtures capable of supporting the flange in a horizontal plane while centering the web on the flange and simultaneously welding both sides of the web to flange connection. The use of this automatic welding fixture must have prior approval before beginning fabrication. This special welding fixture must be capable of maintaining any pre-cut camber specified in the plans. If the centering of the web to the flange or the completed weld does not conform to the applicable specifications, use of the special welding fixture shall be discontinued. Girder welding machines shall never be allowed when the weld size exceeds 3/8 inch. Attempts to weld girders with a girder machine that result in unacceptable weld profiles will result in the process being disapproved, and the unacceptable welds being completely removed and rewelded with submerged arc process in the flat position. Corrective work will not be allowed.

4.11 Procedures for Submerged Arc Welding with Multiple Electrodes

Paragraph 4.11.2 is voided and replaced as follows:

Web to flange fillet welds shall be made in the flat position. Other fillet welds may be made in either the flat or horizontal position, except that single-pass fillet welds made in the horizontal position shall not exceed 1/2 inch. A fully automatic single-pass submerged arc shall be used to connect the flange plates to the web plates, unless the fabricator has special welding fixtures capable of supporting the flange in a horizontal plane while centering the web on the flange and simultaneously welding both sides of the web to flange connection. The use of this automatic welding fixture must have prior approval before beginning fabrication. This special welding fixture must be capable of maintaining any pre-cut camber specified in the plans. If the centering of the web to the flange, or the completed weld, does not conform to the applicable specifications, use of the special welding fixture shall be discontinued. Girder welding machines shall never be allowed when the weld size exceeds 3/8 inch. Attempts to weld girders with a girder machine that result in unacceptable weld profiles will result in the process being disapproved, and the unacceptable welds being completely removed and rewelded with submerged arc process in the flat position. Corrective work will not be allowed.

SECTION 5 QUALIFICATION

5.7 General Requirements for WPS Qualifications

Paragraph 5.7.1.3 is added as follows:

The procedure specifications shall be recorded as a part of the shop detail drawings and shall be submitted to the Director of Bridges for approval. The procedure specifications shall outline the welding sequence for each welded shop assembly, including shoes and rockers. The procedure specifications shall specify for each type of weld, prequalified or other, the following: joint preparation, fit-up, electrode specification, electrode diameter, welding position, polarity, amperage, and number of passes, indicating any procedure change from one pass to the next in the same weld and indicating the maximum thickness in a weldment layer. Where preheating of the base metal is required it shall be indicated in the procedure specifications. Extension bars used in making butt welds shall be detailed on the shop detail drawings or on the welding procedures. Procedure specifications submitted which are not tailored to suit the particular work to be fabricated shall not be considered as fulfilling the requirements of the contract. Qualification of a welding procedure established with ASTM A 441, ASTM A 572, or ASTM A 588 steel shall be considered as procedure qualification for welding the other two steels, combinations of them or with steels included in Article 9.2 having a lower minimum specified yield point.

Welding of ASTM A 242 steel is considered a special application and a welding procedure qualified for any of the other three steels listed may not be acceptable for A 242 steel.

Procedure qualification records, and procedure specifications shall be submitted on forms E-1 and E-2 of Appendix IV.

5.21 Welders, Welding Operators, and Tack Welders Qualification

Paragraph 5.21 is voided and replaced as follows:

All welders, welding operators, and tackers to be employed under these Specifications shall have been qualified by tests as prescribed in Section 5, Part B of these Specifications. If a fabricating shop prequalifies its welders, welding operators, and tackers in accordance with these Specifications and certifies to the Engineer that the welder, welding operator, or tackler has been prequalified within 24 months previous to the beginning of work on the subject structure and has been doing satisfactory welding of the required type within the 3-month period previous to the subject work, the Engineer may consider him qualified. A certification shall be submitted for each welder, welding operator, or tackler and for each project, stating the name of the welder, welding operator, or tackler, the name and title of the person who conducted the examination, kind of specimens, the position of welds, the results of the tests, and the date of the examination. Such a certification of prequalification may also be accepted as proof that a welder, welding operator, or tackler is qualified, if the Contractor who submits it is properly staffed and equipped to conduct such an examination or if the examining and testing is done by a recognized agency which is staffed and equipped for such purpose. In all cases, welders, welding operators, and tackers shall have been qualified by testing according to KM 64-110 within the previous 24 months of the time of actual weld performance.

PART B WELDER'S, WELDING OPERATOR'S, OR TACK WELDER'S QUALIFICATION

Article 5.21.4 is voided and replaced with the following:

5.21.4 Period of Effectiveness

The welder's, welding operator's, or tack welder's qualification will remain in effect as specified in Paragraph 5.8.1, unless there is some specific reason to question a welder's ability.

SECTION 6 INSPECTION

PART A GENERAL REQUIREMENTS

6.1 General

Paragraph 6.1.1.3 is added as follows:

The Contractor shall submit details of his Quality Control Organization to the Director, Division of Construction, for approval prior to any fabrication. Any material fabricated prior to the approval of the Quality Control Organization or prior to the approval of shop drawings will not be accepted.

The Department will normally perform Quality Assurance (Q.A.) inspection and nondestructive testing in addition to that required to be performed by the Contractor. The frequency of the Quality Assurance nondestructive testing may exceed that required of the Contractor, and the areas tested by the Department may differ from the areas tested by the Contractor. Thus, the percentage of N.D.T. Inspection of a joint may exceed the percentages indicated in paragraphs 6.7.1.2 and 6.7.2.1.

All test results of the Contractor's nondestructive testing shall be provided to the Department's representative or Quality Assurance inspector as directed.

Paragraph 6.1.1.4 is added as follows:

Prior to the start of actual welding operations, the Department's inspector, the fabricator's shop inspector, and welding foreman shall hold a conference to ensure that agreement has been reached regarding details of the procedure and sequence of welding to be followed, the current status of qualification tests or evidence of previous tests, the review status of shop drawings and welding procedures, and approval of electrodes and other materials to be used.

Paragraph 6.1.6 is added as follows:

The Department's Q.A. Inspector will, at his option, use Radiographic Inspection or Ultrasonic Inspection in accordance with Article 6.7 for the inspection of groove welds. Web-to-flange fillet welds will be inspected in accordance with Paragraph 6.7.6 by Magnetic Particle Inspections. The intent of the inspection is to assure the highest quality of welding and workmanship. Any discontinuities found by the Department's Q.A. Inspector during the inspection of the fabrication, may lead to further testing by any non-destructive methods as may be directed by the Engineer. All non-destructive testing performed by the Department's Q.A. Inspector is at no direct cost to the Contractor except as specified in Paragraph 6.5.9 and Section 607.03.13 of the Department's Standard Specifications. All rejectable defects found by Q.C. and Q.A. shall be acceptably repaired by the Contractor at no cost to the Department.

6.5 Inspection of Work and Records

Paragraph 6.5.8.1 is added as follows:

The Contractor shall be responsible for establishing an adequate procedure for identifying the structural member being fabricated and the welding operator performing the weld. The procedure for the member identification shall assure positive identification until after erection in the field and the procedure for welding operators shall assure positive identification until after all nondestructive testing of the joint is complete. Neither procedure shall consist of stressriser imprints and both shall be approved by the Engineer. Stenciled imprints may be made along side edges of flanges, and at neutral axes of webs. Subsequent to the assembly of the steel into final members or pieces, the Inspector will be required to furnish the Engineer a complete index properly identifying the type of nondestructive test, report number, test results, and the final mark of the piece, member, or its location in the structure. The Contractor shall furnish to the Inspector assembly marks for each member which will give the final location of each weld. The Inspector shall record the locations of inspected areas and the findings of all nondestructive tests, together with descriptions of any repairs made.

All main member heat numbers will be required to be identified in accordance with Section 607.03.04 (E) of the Department's Standard Specifications.

The Inspectors shall provide copies of the written nondestructive test reports of unacceptable welds to the Contractor with the Inspector's interpretation. The Contractor shall sign and date each report to acknowledge the required welding repairs. In the event the Contractor questions the Inspector's interpretation of test results, they shall review the test together and the Department's Q.A. Inspector's interpretation will be final.

Paragraph 6.5.9 is added as follows:

The total cost to the Department of all additional testing and visual inspection performed due to the finding of rejectable defects or discontinuities as required by paragraphs 6.7.1.2(2) and 6.7.2.1 shall be charged to the Contractor. Such charge will be deducted from any payment or payments due for the contract.

6.6 Obligations of Contractor

Paragraph 6.6.7 is added as follows:

While every reasonable effort will be made to fit the inspection work to the shop fabricating schedule, the Contractor shall cooperate with the Inspector to assure that all the work may be inspected properly. The Contractor shall not be entitled to claims against the Department for extra payment or extensions of contract time due to fabricating delays or expenses resulting from the inspection work.

Paragraph 6.6.8 is added as follows:

The Contractor shall furnish power and utilities for operating inspection equipment, shall provide office and shop space for the inspection work, shall handle the material as necessary and shall enforce the required safety precautions for radioactive exposure. No extra payment will be made for such incidentals and the cost thereof shall be included in the lump sum bid

for structural steel.

PART B RADIOGRAPHIC TESTING OF GROOVE WELDS IN BUTT JOINTS

6.10 Radiographic Procedure

Paragraph 6.10.3 is voided and replaced with the following:

Welds shall be prepared for radiography by grinding and shall be radiographed after grinding and after backing is removed. If any reinforcement, within the specified tolerances remains after grinding, carbon steel shims shall be placed under the penetrameter so that the total thickness of steel between the penetrameter and the film is at least equal to the average thickness of the weld measured through its reinforcement.

6.11 Acceptability of Welds

Article 6.11 is voided and replaced with the following:

6.11 Refer to Paragraph 9.21.6.

PART C ULTRASONIC TESTING OF GROOVE WELDS

6.13 General

Paragraph 6.13.1 is voided and replaced as follows:

The procedures and standards set forth in this Part C are to govern the ultrasonic testing of groove welds and heat affected zones between the thickness of 5/16 inch and 8 inches inclusive, when such testing is required by Article 6.7. These procedures and standards are not to be used for testing tube to tube T, Y, or K connections (see 10.17.4, AWS D1.1), but may be used as a basis for rejection of defective base metal.

SECTION 7 STUD WELDING

7.4 Workmanship

Paragraph 7.4.5 is voided and replaced as follows:

Longitudinal and lateral spacing of stud shear connectors with respect to each other and to edges of beam or girder flanges may vary a maximum of one inch) from the location shown in the drawings. If a row of shear connectors is located in the vicinity of a welded flange splice that row of shear connectors shall have its spacing adjusted so as to clear the heat affected zone of the flange. The minimum distance from the edge of a stud base to the edge of a flange shall be the diameter of the stud plus 1/8 inch but preferably not less than 1 1/2 inches. Other types of studs shall be so located as to permit a workmanlike assembly of attachments without alterations or reaming.

SECTION 9 DESIGN OF NEW BRIDGES

PART D WORKMANSHIP

9.21 Quality of Welds

The following is added to Paragraph 9.21.5.1:

Restrained joints shall have testing delayed until after all welding is completed or shall be retested after all welding contributing to restraint is completed and cooled. The fabricator is responsible for specifying such joints on shop drawings or welding procedures.

Paragraph 9.21.6 is added as follows:

9.21.6 Weld Quality Acceptance

Welds shown by visual inspection, or by nondestructive testing in accordance with Article 6.7, to have defects prohibited by Paragraph 9.21.1, 9.21.2, or 9.21.3, shall be repaired or removed and replaced, by the methods permitted by Article 3.7, or the entire piece shall be rejected as determined by the Engineer. Repaired or replaced welds shall be reinspected by the applicable nondestructive testing method. All required repairs or replacements shall be at the Contractor's expense.

January 1, 2008

SPECIAL PROVISION FOR EMBANKMENT AT BRIDGE END BENT STRUCTURES

This Special Provision will apply when indicated on the plans or in the proposal. Section references herein are to the Department's 2008 Standard Specifications for Road and Bridge Construction.

1.0 DESCRIPTION. Construct a soil, granular, or rock embankment with granular or cohesive pile core and place structure granular backfill, as the Plans require. Construct the embankment according to the requirements of this Special Provision, the Plans, Standard Drawing RGX 100 and 105, and the 2008 Standard Specifications.

2.0 MATERIALS.

2.1 Granular Embankment. Conform to Subsection 805.10. When Granular Embankment materials are erodible or unstable according to Subsection 805.03.04, use the Special Construction Methods found in 3.2 of the Special Provision.

2.2 Rock Embankment. Provide durable rock from roadway excavation that consists principally of Unweathered Limestone, Durable Shale (SDI equal to or greater than 95 according to KM 64-513), or Durable Sandstone.

2.3 Granular Pile Core. Select a gradation of durable rock to facilitate pile driving that conforms to Subsection 805.11. If granular pile core material hinders pile driving operations, take appropriate means necessary to reach the required pile tip elevation, at no expense to the Department.

2.4 Cohesive Pile Core. Conform to Section 206 of the Standard Specifications and use soil with at least 50 percent passing a No. 4 sieve having a minimum Plasticity Index (PI) of 10. In addition, keep the cohesive pile core free of boulders, larger than 6 inches in any dimension, or any other obstructions, which would interfere with drilling operations. If cohesive pile core material interferes with drilling operations, take appropriate means necessary to maintain excavation stability, at no expense to the Department.

2.5 Structure Granular Backfill. Conform to Subsection 805.11

2.6 Geotextile Fabric. Conform to Type I or Type IV in Section 214 and 843 as required in the plans.

3.0 CONSTRUCTION.

3.1 General. Construct roadway embankments at end bents according to Section 206 and in accordance with the Special Provision, the Plans, and Standard Drawings for the full embankment section. In some instances, granular or rock embankment will be required for embankment construction for stability purposes, but this special provision does not prevent the use of soil when appropriate. Refer to the plans for specific details regarding material requirements for embankment construction.

Place and compact granular or cohesive pile core, soil, granular or rock embankment, and structure granular backfill according to the applicable density requirements for the project. When constructing granular or rock embankments, use granular pile core for driven pile foundations and use cohesive pile core for pre-drilled pile or drilled shaft foundations. Place geotextile fabric, Type IV between cohesive pile core and structure granular backfill and granular or rock embankment.

When granular or rock embankment is required for embankment construction, conform to the general requirements of Subsection 206.03.02 B). In addition, place the material in no greater than 2-foot lifts and compact with a vibrating smooth wheel roller capable of producing a minimum centrifugal force of 15 tons. Apply these requirements to the full width of the embankment for a distance of half the embankment height or 50 feet, whichever is greater, as shown on Standard Drawing RGX-105.

When using granular pile core, install 8-inch perforated underdrain pipe at or near the elevation of the original ground in the approximate locations depicted on the standard drawing, and as the Engineer directs, to ensure positive drainage of the embankment. Wrap the perforated pipe with a fabric of a type recommended by the pipe manufacturer.

After constructing the embankment, excavate for the end bent cap, drive piling or install shafts, place the mortar bed, construct the end bent, and complete the embankment to finish grade according to the construction sequence shown on the Plans or Standard Drawings and as specified hereinafter.

After piles are driven or shafts installed (see design drawings), slope the bottom of the excavation towards the ends of the trench as noted on the plans for drainage. Using a separate pour, place concrete mortar, or any class concrete, to provide a base for forming and placing the cap. Place side forms for the end bent after the mortar has set sufficiently to support workmen and forms without being disturbed.

Install 4-inch perforated pipe in accordance with the plans and Standard Drawings. In the event slope protection extends above the elevation of the perforated pipe, extend the pipe through the slope protection.

After placing the end bent cap and removing adjacent forms, fill the excavation with structure granular backfill material to the level of the berm prior to placing beams for the bridge. For soil embankments, place Type IV geotextile fabric between embankment material and structure granular backfill. After completing the end bent backwall, or after completing the span end wall, place the structure granular backfill to subgrade elevation. If the original excavation is enlarged, fill the entire volume with compacted structure granular backfill at no expense to the Department. Do not place backfill before removing adjacent form work. Place structure granular backfill material in trench ditches at the ends of the excavation. Place Geotextile Fabric, Type IV over the surface of structure granular backfill prior to placing aggregate base course.

Tamp the backfill with hand tampers, pneumatic tampers, or other means the Engineer approves. Thoroughly compact the backfill under the overhanging portions of the structure to ensure that the backfill is in intimate contact with the sides of the structure.

Do not apply seeding, sodding, or other vegetation to the exposed granular embankment.

3.2 Special Construction Methods. Erodible or unstable materials may erode even when protected by riprap or channel lining; use the special construction method described below when using these materials.

Use fine aggregates or friable sandstone granular embankment at "dry land" structures only. Do not use them at stream crossings or locations subject to flood waters.

For erodible or unstable materials having 50 percent or more passing the No. 4 sieve, protect with geotextile fabric. Extend the fabric from the original ground to the top of slope over the entire area of the embankment slopes on each side of, and in front of, the end bent. Cover the fabric with at least 12 inches of non-erodible material.

For erodible or unstable materials having less than 50 percent passing a No. 4 sieve, cover with at least 12 inches of non-erodible material.

Where erodible or unstable granular embankment will be protected by riprap or channel lining, place geotextile fabric between the embankment and the specified slope protection.

4.0 MEASUREMENT.

4.1 Granular Embankment. The Department will measure the quantity in cubic yards using the plan quantity, increased or decreased by authorized adjustments as specified in Section 204. The Department will not measure for payment any Granular Embankment that is not called for in the plans.

The Department will not measure for payment any special construction caused by using erodible or unstable materials and will consider it incidental to the Granular Embankment regardless of whether the erodible or unstable material was specified or permitted.

4.2 Rock Embankment. The Department will not measure for payment any rock embankment and will consider it incidental to roadway excavation or embankment in place, as applicable. (embankments requiring rock with none present within project excavation limits will be constructed using granular embankment)

4.3 Granular Pile Core. The Department will measure the quantity in cubic yards using the plan quantity, increased or decreased by authorized adjustments as specified in Section 204. The Department will not measure for payment furnishing and placing 8-inch perforated underdrain pipe and will consider it incidental to the Granular pile core. The Department will not measure for payment any granular pile core that is necessary because the contractor elects to use granular or rock embankment when it is not specified in the plans.

4.4 Cohesive Pile Core. The Department will measure the quantity in cubic yards using the plan quantity, increased or decreased by authorized adjustments as specified in Section 204.

4.5 Structure Granular Backfill. The Department will measure the quantity in cubic yards using the plan quantity, increased or decreased by authorized adjustments as specified in Section 204. The Department will not measure any additional material required for backfill outside the limits shown on the Plans and Standard Drawings for payment and will consider it incidental to the work.

When following construction sequence “A”, as shown on the Standard Drawings, the Department will not measure structure excavation at the end bent for payment and will consider it incidental to Structure Granular Backfill.

The Department will not measure for payment the 4-inch perforated underdrain pipe and will consider it incidental to the Structure Granular Backfill.

4.6 Geotextile Fabric. The Department will measure the quantities as specified in Section 214. The Department will not measure the quantity of fabric used for separating granular or rock embankment and cohesive pile core and will consider it incidental to cohesive pile core.

4.7 End Bent. The Department will measure the quantities according to the Contract. The Department will not measure furnishing and placing the 2-inch mortar or concrete bed for payment and will consider it incidental to the end bent construction.

5.0 PAYMENT. The Department will make payment for the completed and accepted quantities under the following:

<u>Code</u>	<u>Pay Item</u>	<u>Pay Unit</u>
02223	Granular Embankment	Cubic Yards
20209EP69	Granular Pile Core	Cubic Yards
20210EP69	Cohesive Pile Core	Cubic Yards

02231	Structure Granular Backfill	Cubic Yards
02596, 02599	Geotextile Fabric, Type	See Section 214

The Department will consider payment as full compensation for all work required in this provision.

April 24, 2008

PART III

EMPLOYMENT, WAGE AND RECORD REQUIREMENTS

REQUIRED CONTRACT PROVISIONS
FEDERAL-AID CONSTRUCTION CONTRACTS

	Page
I. General -----	1
II. Nondiscrimination -----	1
III. Nonsegregated Facilities -----	3
IV. Payment of Predetermined Minimum Wage-----	3
V. Statements and Payrolls-----	6
VI. Record of Materials, Supplies, and Labor-----	6
VII. Subletting or Assigning the Contract-----	7
VIII. Safety: Accident Prevention -----	7
IX. False Statements Concerning Highway Projects -----	7
X. Implementation of Clean Air Act and Federal Water Pollution Control Act-----	8
XI. Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion -----	8
XII. Certification Regarding Use of Contract Funds for Lobbying -----	9

ATTACHMENTS

- A. Employment Preference for Appalachian Contracts
(included in Appalachian contracts only)

I. GENERAL

1. These contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.
2. Except as otherwise provided for in each section, the contractor shall insert in each subcontract all of the stipulations contained in these Required Contract Provisions, and further require their inclusion in any lower tier subcontract or purchase order that may in turn be made. The Required Contract Provisions shall not be incorporated by reference in any case. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with these Required Contract Provisions.
3. A breach of any of the stipulations contained in these Required Contract Provisions shall be sufficient grounds for termination of the contract.
4. A breach of the following clauses of the Required Contract Provisions may also be grounds for debarment as provided in 29 CFR 5.12:
- Section I, paragraph 2;
Section IV, paragraphs 1, 2, 3, 4, and 7;
Section V, paragraphs 1 and 2a through 2g.
5. Disputes arising out of the labor standards provisions of Section IV (except paragraph 5) and Section V of these Required Contract Provisions shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the U.S. Department of Labor (DOL) as set forth in 29 CFR 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the DOL, or the contractor's employees or their representatives.
6. **Selection of Labor:** During the performance of this contract, the contractor shall not:

- a. discriminate against labor from any other State, possession, or territory of the United States (except for employment preference for Appalachian contracts, when applicable, as specified in Attachment A), or
- b. employ convict labor for any purpose within the limits of the project unless it is labor performed by convicts who are on parole, supervised release, or probation.

II. NONDISCRIMINATION

- (Applicable to all Federal-aid construction contracts and to all related subcontracts of \$10,000 or more.)
1. **Equal Employment Opportunity:** Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630 and 41 CFR 60) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The Equal Opportunity Construction Contract Specifications set forth under 41 CFR 60-4.3 and the provisions of the American Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:
- a. The contractor will work with the State highway agency (SHA) and the Federal Government in carrying out EEO obligations and in their review of his/her activities under the contract.
- b. The contractor will accept as his operating policy the following statement:
- "It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, preapprenticeship, and/or on-the-job training."
2. **EEO Officer:** The contractor will designate and make known to the SHA contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active contractor program of EEO and who must be assigned adequate authority and responsibility to do so.
3. **Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:
- a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.

b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minority group employees.

d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

4. **Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minority groups in the area from which the project work force would normally be derived.

a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minority group applicants. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority group applicants may be referred to the contractor for employment consideration.

b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, he is expected to observe the provisions of that agreement to the extent that the system permits the contractor's compliance with EEO contract provisions. (The DOL has held that where implementation of such agreements have the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Executive Order 11246, as amended.)

c. The contractor will encourage his present employees to refer minority group applicants for employment. Information and procedures with regard to referring minority group applicants will be discussed with employees.

5. **Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:

a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.

b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with his obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of his avenues of appeal.

6. **Training and Promotion:**

a. The contractor will assist in locating, qualifying, and increasing the skills of minority group and women employees, and applicants for employment.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision.

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of minority group and women employees and will encourage eligible employees to apply for such training and promotion.

7. **Unions:** If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use his/her best efforts to obtain the cooperation of such unions to increase opportunities for minority groups and women within the unions, and to effect referrals by such unions of minority and female employees. Actions by the contractor either directly or through a contractor's association acting as agent will include the procedures set forth below:

a. The contractor will use best efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minority group members and women for membership in the unions and increasing the skills of minority group employees and women so that they may qualify for higher paying employment.

b. The contractor will use best efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the SHA and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of minority and women referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin,

age or disability; making full efforts to obtain qualified and/or qualifiable minority group persons and women. (The DOL has held that it shall be no excuse that the union with which the contractor has a collective bargaining agreement providing for exclusive referral failed to refer minority employees.) In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the SHA.

8. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment: The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment.

- a. The contractor shall notify all potential subcontractors and suppliers of his/her EEO obligations under this contract.
- b. Disadvantaged business enterprises (DBE), as defined in 49 CFR 23, shall have equal opportunity to compete for and perform subcontracts which the contractor enters into pursuant to this contract. The contractor will use his best efforts to solicit bids from and to utilize DBE subcontractors or subcontractors with meaningful minority group and female representation among their employees. Contractors shall obtain lists of DBE construction firms from SHA personnel.
- c. The contractor will use his best efforts to ensure subcontractor compliance with their EEO obligations.

9. Records and Reports: The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following completion of the contract work and shall be available at reasonable times and places for inspection by authorized representatives of the SHA and the FHWA.

- a. The records kept by the contractor shall document the following:
 - (1) The number of minority and non-minority group members and women employed in each work classification on the project;
 - (2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women;
 - (3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minority and female employees; and
 - (4) The progress and efforts being made in securing the services of DBE subcontractors or subcontractors with meaningful minority and female representation among their employees.

b. The contractors will submit an annual report to the SHA each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on Form FHWA-1391. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data.

III. NONSEGREGATED FACILITIES

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$10,000 or more.)

a. By submission of this bid, the execution of this contract or subcontract, or the consummation of this material supply agreement or purchase order, as appropriate, the bidder, Federal-aid construction contractor, subcontractor, material supplier, or vendor, as appropriate, certifies that the firm does not maintain or provide for its employees any segregated facilities at any of its establishments, and that the firm does not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. The firm agrees that a breach of this certification is a violation of the EEO provisions of this contract. The firm further certifies that no employee will be denied access to adequate facilities on the basis of sex or disability.

b. As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, restrooms and washrooms, restaurants and other eating areas, timeclocks, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive, or are, in fact, segregated on the basis of race, color, religion, national origin, age or disability, because of habit, local custom, or otherwise. The only exception will be for the disabled when the demands for accessibility override (e.g. disabled parking).

c. The contractor agrees that it has obtained or will obtain identical certification from proposed subcontractors or material suppliers prior to award of subcontracts or consummation of material supply agreements of \$10,000 or more and that it will retain such certifications in its files.

IV. PAYMENT OF PREDETERMINED MINIMUM WAGE

(Applicable to all Federal-aid construction contracts exceeding \$2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural minor collectors, which are exempt.)

1. General:

a. All mechanics and laborers employed or working upon the site of the work will be paid unconditionally and not less often than once a week and without subsequent deduction or rebate on any account [except such payroll deductions as are permitted by regulations (29 CFR 3) issued by the Secretary of Labor under the Copeland Act (40 U.S.C. 276c)] the full amounts of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment. The payment shall be computed at wage rates not less than those contained in the wage determination of the Secretary of Labor (hereinafter "the wage determination") which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor or its subcontractors and such laborers and mechanics. The wage determination (including any additional classifications and wage rates conformed under paragraph 2 of this Section IV and the DOL poster (WH-1321) or Form FHWA-1495) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers. For the purpose of this Section, contributions made or costs reasonably anticipated for bona fide fringe benefits under Section 1(b)(2) of the Davis-Bacon Act (40 U.S.C. 276a) on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of Section IV, paragraph 3b, hereof. Also, for the purpose of this Section, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs, which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics

shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in paragraphs 4 and 5 of this Section IV.

b. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein, provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed.

c. All rulings and interpretations of the Davis-Bacon Act and related acts contained in 29 CFR 1, 3, and 5 are herein incorporated by reference in this contract.

2. Classification:

a. The SHA contracting officer shall require that any class of laborers or mechanics employed under the contract, which is not listed in the wage determination, shall be classified in conformance with the wage determination.

b. The contracting officer shall approve an additional classification, wage rate and fringe benefits only when the following criteria have been met:

(1) the work to be performed by the additional classification requested is not performed by a classification in the wage determination;

(2) the additional classification is utilized in the area by the construction industry;

(3) the proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination; and

(4) with respect to helpers, when such a classification prevails in the area in which the work is performed.

c. If the contractor or subcontractors, as appropriate, the laborers and mechanics (if known) to be employed in the additional classification or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the DOL, Administrator of the Wage and Hour Division, Employment Standards Administration, Washington, D.C. 20210. The Wage and Hour Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

d. In the event the contractor or subcontractors, as appropriate, the laborers or mechanics to be employed in the additional classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. Said Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary

e. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraph 2c or 2d of this Section IV shall be paid to all workers performing work in the additional classification from the first day on which work is performed in the classification.

3. Payment of Fringe Benefits:

a. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor or subcontractors, as appropriate, shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly case equivalent thereof.

b. If the contractor or subcontractor, as appropriate, does not make payments to a trustee or other third person, he/she may consider as a part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, provided, that the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

4. Apprentices and Trainees (Programs of the U.S. DOL) and Helpers:

a. Apprentices:

(1) Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the DOL, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State apprenticeship agency recognized by the Bureau, or if a person is employed in his/her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State apprenticeship agency (where appropriate) to be eligible for probationary employment as an apprentice.

(2) The allowable ratio of apprentices to journeyman-level employees on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any employee listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate listed in the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor or subcontractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman-level hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

(3) Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable

classification. If the Administrator for the Wage and Hour Division determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

(4) In the event the Bureau of Apprenticeship and Training, or a State apprenticeship agency recognized by the Bureau, withdraws approval of an apprenticeship program, the contractor or subcontractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the comparable work performed by regular employees until an acceptable program is approved.

b. Trainees:

(1) Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the DOL, Employment and Training Administration.

(2) The ratio of trainees to journeyman-level employees on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

(3) Every trainee must be paid at not less than the rate specified in the approved program for his/her level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman-level wage rate on the wage determination which provides for less than full fringe benefits for apprentices, in which case such trainees shall receive the same fringe benefits as apprentices.

(4) In the event the Employment and Training Administration withdraws approval of a training program, the contractor or subcontractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Helpers:

Helpers will be permitted to work on a project if the helper classification is specified and defined on the applicable wage determination or is approved pursuant to the conformance procedure set forth in Section IV.2. Any worker listed on a payroll at a helper wage rate, who is not a helper under an approved definition, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed.

5. Apprentices and Trainees (Programs of the U.S. DOT):

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of

Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

6. Withholding:

The SHA shall upon its own action or upon written request of an authorized representative of the DOL withhold, or cause to be withheld, from the contractor or subcontractor under this contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to Davis-Bacon prevailing wage requirements which is held by the same prime contractor, as much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the SHA contracting officer may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

7. Overtime Requirements:

No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers, mechanics, watchmen, or guards (including apprentices, trainees, and helpers described in paragraphs 4 and 5 above) shall require or permit any laborer, mechanic, watchman, or guard in any workweek in which he/she is employed on such work, to work in excess of 40 hours in such workweek unless such laborer, mechanic, watchman, or guard receives compensation at a rate not less than one-and-one-half times his/her basic rate of pay for all hours worked in excess of 40 hours in such workweek.

8. Violation:

Liability for Unpaid Wages; Liquidated Damages: In the event of any violation of the clause set forth in paragraph 7 above, the contractor and any subcontractor responsible thereof shall be liable to the affected employee for his/her unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory) for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer, mechanic, watchman, or guard employed in violation of the clause set forth in paragraph 7, in the sum of \$10 for each calendar day on which such employee was required or permitted to work in excess of the standard work week of 40 hours without payment of the overtime wages required by the clause set forth in paragraph 7.

9. Withholding for Unpaid Wages and Liquidated Damages:

The SHA shall upon its own action or upon written request of any authorized representative of the DOL withhold, or cause to be withheld, from any monies payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any

liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph 8 above.

V. STATEMENTS AND PAYROLLS

(Applicable to all Federal-aid construction contracts exceeding \$2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural collectors, which are exempt.)

1. Compliance with Copeland Regulations (29 CFR 3):

The contractor shall comply with the Copeland Regulations of the Secretary of Labor which are herein incorporated by reference.

2. Payrolls and Payroll Records:

a. Payrolls and basic records relating thereto shall be maintained by the contractor and each subcontractor during the course of the work and preserved for a period of 3 years from the date of completion of the contract for all laborers, mechanics, apprentices, trainees, watchmen, helpers, and guards working at the site of the work.

b. The payroll records shall contain the name, social security number, and address of each such employee; his or her correct classification; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalent thereof the types described in Section 1(b)(2)(B) of the Davis Bacon Act); daily and weekly number of hours worked; deductions made; and actual wages paid. In addition, for Appalachian contracts, the payroll records shall contain a notation indicating whether the employee does, or does not, normally reside in the labor area as defined in Attachment A, paragraph 1. Whenever the Secretary of Labor, pursuant to Section IV, paragraph 3b, has found that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in Section 1(b)(2)(B) of the Davis Bacon Act, the contractor and each subcontractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, that the plan or program has been communicated in writing to the laborers or mechanics affected, and show the cost anticipated or the actual cost incurred in providing benefits. Contractors or subcontractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprentices and trainees, and ratios and wage rates prescribed in the applicable programs.

c. Each contractor and subcontractor shall furnish, each week in which any contract work is performed, to the SHA resident engineer a payroll of wages paid each of its employees (including apprentices, trainees, and helpers, described in Section IV, paragraphs 4 and 5, and watchmen and guards engaged on work during the preceding weekly payroll period). The payroll submitted required to be maintained under paragraph 2b of this Section V. This information may be submitted in any form desired. Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal stock number 029-005-0014-1), U.S. Government Printing Office, Washington, D.C. 20402. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors.

d. Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his/her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(1) that the payroll for the payroll period contains the information required to be maintained under paragraph 2b of this Section V and that such information is correct and complete;

(2) that such laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in the Regulations, 29 CFR 3;

(3) that each laborer or mechanic has been paid not less than the applicable wage rate and fringe benefits or cash equivalent for the classification of worked performed, as specified in the applicable wage determination incorporated into the contract.

e. The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 2d of this Section V.

f. The falsification of any of the above certifications may subject the contractor to civil or criminal prosecution under 18 U.S.C. 1001 and 31 U.S.C. 231.

g. The contractor or subcontractor shall make the records required under paragraph 2b of this Section V available for inspection, copying, or transcription by authorized representatives of the SHA, the FHWA, or the DOL, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the SHA, the FHWA, the DOL, or all may, after written notice to the contractor, sponsor, applicant, or owner, take such actions as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

VI. RECORD OF MATERIALS, SUPPLIES, AND LABOR

1. On all Federal-aid contracts on the National Highway System, except those which provide solely for the installation of protective devices at railroad grade crossings, those which are constructed on a force account or direct labor basis, highway beautification contracts, and contracts for which the total final construction cost for roadway and bridge is less than \$1,000,000 (23 CFR 635) the contractor shall:

a. Become familiar with the list of specific materials and supplies contained in Form FHWA-47, "Statement of Materials and Labor Used by Contractor of Highway Construction Involving Federal Funds," prior to the commencement of work under this contract.

b. Maintain a record of the total cost of all materials and supplies purchased for and incorporated in the work, and also of the quantities of those specific materials and supplies listed on Form FHWA-47, and in the units shown on Form FHWA-47.

c. Furnish, upon the completion of the contract, to the SHA resident engineer on Form FHWA-47 together with the data required in paragraph 1b relative to materials and supplies, a final labor summary of all contract work indicating the total hours worked and the total amount earned.

2. At the prime contractor's option, either a single report covering all contract work or separate reports for the contractor and for each subcontract shall be submitted.

VII. SUBLETTING OR ASSIGNING THE CONTRACT

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the State. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635).

a. "Its own organization" shall be construed to include only workers employed and paid directly by the prime contractor and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor, assignee, or agent of the prime contractor.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid on the contract as a whole and in general are to be limited to minor components of the overall contract.

2. The contract amount upon which the requirements set forth in paragraph 1 of Section VII is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the SHA contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the SHA contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the SHA has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

VIII. SAFETY: ACCIDENT PREVENTION

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the SHA contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).

IX. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, the following notice shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

NOTICE TO ALL PERSONNEL ENGAGED ON FEDERAL-AID HIGHWAY PROJECTS

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined not more than \$10,000 or imprisoned not more than 5 years or both."

X. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT

(Applicable to all Federal-aid construction contracts and to all related subcontracts of \$100,000 or more.)

By submission of this bid or the execution of this contract, or subcontract, as appropriate, the bidder, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any facility that is or will be utilized in the performance of this contract, unless such contract is exempt under the Clean Air Act, as amended (42 U.S.C. 1857 et seq., as amended by Pub.L. 91-604), and under the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 et seq., as amended by Pub.L. 92-500), Executive Order 11738, and regulations in implementation thereof (40 CFR 15) is not listed, on the date of contract award, on the U.S. Environmental Protection Agency (EPA) List of Violating Facilities pursuant to 40 CFR 15.20.

2. That the firm agrees to comply and remain in compliance with all the requirements of Section 114 of the Clean Air Act and Section 308 of the Federal Water Pollution Control Act and all regulations and guidelines listed thereunder.

3. That the firm shall promptly notify the SHA of the receipt of any communication from the Director, Office of Federal Activities, EPA, indicating that a facility that is or will be utilized for the contract is under consideration to be listed on the EPA List of Violating Facilities.

4. That the firm agrees to include or cause to be included the requirements of paragraph 1 through 4 of this Section X in every nonexempt subcontract, and further agrees to take such action as the government may direct as a means of enforcing such requirements.

XI. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

1. Instructions for Certification - Primary Covered Transactions:

(Applicable to all Federal-aid contracts - 49 CFR 29)

a. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.

b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.

c. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause of default.

d. The prospective primary participant shall provide immediate written notice to the department or agency to whom this proposal is submitted if any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the department or agency to which

this proposal is submitted for assistance in obtaining a copy of those regulations.

f. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

g. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the nonprocurement portion of the "Lists of Parties Excluded From Federal Procurement or Nonprocurement Programs" (Nonprocurement List) which is compiled by the General Services Administration.

i. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph f of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

* * * * *

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Primary Covered Transactions

1. The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
 - b. Have not within a 3-year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph 1b of this certification; and
 - d. Have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
2. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

2. Instructions for Certification - Lower Tier Covered Transactions:

(Applicable to all subcontracts, purchase orders and other lower tier transactions of \$25,000 or more - 49 CFR 29)

- a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.
- b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
- c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.
- d. The terms "covered transaction," "debarred," "suspended," "ineligible," "primary covered transaction," "participant," "person," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.

- e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
- f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.
- h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions:

- 1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- 2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

XII. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING

(Applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 - 49 CFR 20)

- 1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

- a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and

- submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.
3. The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

**KENTUCKY TRANSPORTATION CABINET
DEPARTMENT OF HIGHWAYS**

**EMPLOYMENT REQUIREMENTS
RELATING TO
NONDISCRIMINATION OF EMPLOYEES
(APPLICABLE TO FEDERAL-AID SYSTEM CONTRACTS)**

**AN ACT OF THE KENTUCKY GENERAL ASSEMBLY
TO PREVENT DISCRIMINATION IN EMPLOYMENT**

**KRS CHAPTER 344
EFFECTIVE JUNE 16, 1972**

The contract on this project, in accordance with KRS Chapter 344, provides that during the performance of this contract, the contractor agrees as follows:

1. The contractor shall not fail or refuse to hire, or shall not discharge any individual, or otherwise discriminate against an individual with respect to his compensation, terms, conditions, or privileges of employment, because of such individual's race, color, religion, national origin, sex, disability or age (between forty and seventy); or limit, segregate, or classify his employees in any way which would deprive or tend to deprive an individual of employment opportunities or otherwise adversely affect his status as an employee, because of such individual's race, color, religion, national origin, sex, disability or age (between forty and seventy). The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.

2. The contractor shall not print or publish or cause to be printed or published a notice or advertisement relating to employment by such an employer or membership in or any classification or referral for employment by the employment agency, indicating any preference, limitation, specification, or discrimination, based on race, color, religion, national origin, sex, disability or age (between forty and seventy), except that such notice or advertisement may indicate a preference, limitation, or specification based on religion, or national origin when religion, or national origin is a bona fide occupational qualification for employment.

3. If the contractor is in control of apprenticeship or other training or retraining, including on-the-job training programs, he shall not discriminate against an individual because of his race, color, religion, national origin, sex, disability or age (between forty and seventy), in admission to, or employment in any program established to

provide apprenticeship or other training.

4. The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representative of the contractor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment. The contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for non-compliance.

REVISED: 12-3-92

EXECUTIVE BRANCH CODE OF ETHICS

In the 1992 regular legislative session, the General Assembly passed and Governor Brereton Jones signed Senate Bill 63 (codified as KRS 11A), the Executive Branch Code of Ethics, which states, in part:

KRS 11A.040 (6) provides:

No present or former public servant shall, within six (6) months of following termination of his office or employment, accept employment, compensation or other economic benefit from any person or business that contracts or does business with the state in matters in which he was directly involved during his tenure. This provision shall not prohibit an individual from returning to the same business, firm, occupation, or profession in which he was involved prior to taking office or beginning his term of employment, provided that, for a period of six (6) months, he personally refrains from working on any matter in which he was directly involved in state government. This subsection shall not prohibit the performance of ministerial functions, including, but not limited to, filing tax returns, filing applications for permits or licenses, or filing incorporation papers.

KRS 11A.040 (8) states:

A former public servant shall not represent a person in a matter before a state agency in which the former public servant was directly involved, for a period of one (1) year after the latter of:

- a) The date of leaving office or termination of employment; or
- b) The date the term of office expires to which the public servant was elected.

This law is intended to promote public confidence in the integrity of state government and to declare as public policy the idea that state employees should view their work as a public trust and not as a way to obtain private benefits.

If you have worked for the executive branch of state government within the past six months, you may be subject to the law's prohibitions. The law's applicability may be different if you hold elected office or are contemplating representation of another before a state agency.

Also, if you are affiliated with a firm which does business with the state and which employs former state executive-branch employees, you should be aware that the law may apply to them.

In case of doubt, the law permits you to request an advisory opinion from the Executive Branch Ethics Commission, Room 136, Capitol Building, 700 Capitol Avenue, Frankfort, Kentucky 40601; telephone (502) 564-7954.

General Decision Number: KY100212 08/26/2011 KY212

State: Kentucky

Construction Type: Highway

Counties: Boone, Campbell, Kenton and Pendleton Counties in Kentucky.

HIGHWAY CONSTRUCTION PROJECTS (excluding tunnels, building structures in rest area projects & railroad construction; bascule, suspension & spandrel arch bridges designed for commercial navigation, bridges involving marine construction; and other major bridges).

Modification Number	Publication Date
0	10/22/2010
1	11/05/2010
2	12/03/2010
3	12/31/2010
4	01/28/2011
5	03/25/2011
6	04/29/2011
7	05/06/2011
8	07/22/2011
9	07/29/2011
10	08/26/2011

BRKY0002-005 06/01/2009

	Rates	Fringes
BRICKLAYER.....	\$ 26.12	9.73

BROH0001-005 06/01/2008

	Rates	Fringes
CEMENT MASON/CONCRETE FINISHER...	\$ 25.75	8.60

CARP0698-001 05/01/2009

BOONE, CAMPBELL, KENTON & PENDLETON COUNTIES:

	Rates	Fringes
Carpenter & Piledrivermen.....	\$ 27.05	9.69
Diver.....	\$ 40.58	9.69

ELEC0212-007 05/31/2011

	Rates	Fringes
ELECTRICIAN.....	\$ 26.11	14.94

* ELEC0212-013 06/27/2011

	Rates	Fringes
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Sound & Communication
Technician.....\$ 21.558.46

ENGI0018-013 05/01/2009

	Rates	Fringes
OPERATOR: Power Equipment		
GROUP 1.....	\$ 29.49	12.25
GROUP 2.....	\$ 29.37	12.25
GROUP 3.....	\$ 28.33	12.25
GROUP 4.....	\$ 27.15	12.25
GROUP 5.....	\$ 21.69	12.25
GROUP 6.....	\$ 29.74	12.25
GROUP 7.....	\$ 30.00	12.25

OPERATING ENGINEER CLASSIFICATIONS

GROUP 1 - Air Compressor on Steel Erection; Barrier Moving Machine; Boiler Operator on Compressor or Generator when mounted on a Rig; Cableway; Combination Concrete Mixer & Tower; Concrete Plant (over 4 yd. Capacity); Concrete Pump; Crane (All Types, Including Boom Truck, Cherry Picker); Crane-Compact, Track or Rubber over 4,000 lbs. capacity; Cranes-Self Erecting, Stationary, Track or Truck (All Configurations); Derrick; Dragline; Dredge (Dipper, Clam or Suction); Elevating Grader or Euclid Loader; Floating Equipment (All Types); Gradall; Helicopter Crew (Operator-Hoist or Winch); Hoe (all types); Hoisting Engine on Shaft or Tunnel Work; Hydraulic Gantry (Lifting System); Industrial-Type Tractor; Jet Engine Dryer (D8 or D9) Diesel Tractor; Locomotive (Standard Gauge); Maintenance Operator Class A; Mixer, Paving (Single or Double Drum); Mucking Machine; Multiple Scraper; Piledriving Machine (All Types); Power Shovel; Prentice Loader; Quad 9 (Double Pusher); Rail Tamper (with auto lifting & aligning device); Refrigerating Machine (Freezer Operation); Rotary Drill, on Caisson work; Rough Terrain Fork Lift with Winch/Hoist; Side-Boom; Slip-Form Paver; Tower Derrick; Tree Shredder; Trench Machine (Over 24" wide); Truck Mounted Concrete Pump; Tug Boat; Tunnel Machine and/or Mining Machine; & Wheel Excavator

GROUP 2 - Asphalt Paver; Automatic Subgrader Machine, Self-Propelled (CMI Type); Bobcat Type and/or Skid Steer Loader with Hoe Attachment Greater than 7,000 lbs.; Boring Machine More than 48"; Bulldozer; Endloader; Hydro Milling Machine; Horizontal Directional Drill (over 500,000 ft. lbs. thrust); Kolman-type Loader (production type-Dirt); Lead Greaseman; Lighting & Traffic Signal Installation Equipment (includes all groups or classifications); Material Transfer Equipment (Shuttle Buggy) Asphalt; Pettibone-Rail Equipment; Power Grader; Power Scraper; Push Cat; Rotomill (all), Grinders & Planers of All types; Trench Machine (24" wide & under); & Vermeer type Concrete Saw

GROUP 3 - A-Frame; Air Compressor on Tunnel Work (low pressure); Asphalt Plant Engineer; Bobcat-type and/or Skid

Steer Loader with or without Attachments; Highway Drills (all types); Locomotive (narrow gauge); Material Hoist/Elevator; Mixer, Concrete (more than one bag capacity); Mixer, one bag capacity (Side Loader); Power Boiler (Over 15 lbs. Pressure) Pump Operator installing & operating Well Points; Pump (4" & over discharge); Roller, Asphalt; Rotovator (lime soil stabilizer); Switch & Tie Tampers (without lifting & aligning device); Utility Operator (Small equipment); & Welding Machines

GROUP 4 - Backfiller; Ballast Re-locator; Bars, Joint & Mesh Installing Machine; Batch Plant; Boring Machine Operator (48" or less); Bull Floats; Burlap & Curing Machine; Concrete Plant (capacity 4 yd. & under); Concrete Saw (Multiple); Conveyor (Highway); Crusher; Deckhand; Farm-type Tractor with attachments (highway) except Masonry); Finishing Machine; Fireperson, Floating Equipment (all types); Fork Lift (highway); Form Trencher; Hydro Hammer; Hydro Seeder; Pavement Breaker; Plant Mixer; Post Driver; Post Hole Digger (Power Auger); Power Brush Burner; Power Form Handling Equipment; Road Widening Trencher; Roller (Brick, Grade & Macadam); Self-Propelled Power Spreader; Self-Propelled Power Subgrader; Steam Fireperson; Tractor (Pulling Sheepfoot, Roller or Grader); & Vibratory Compactor with Integral Power

GROUP 5 - Compressor (Portable, Sewer, Heavy & Highway); Drum Fireperson (Asphalt); Generator; Masonry Fork Lift; Inboard-Outboard Motor Boat Launch; Masonry Fork Lift; Oil Heater (asphalt plant); Oiler; Power Driven Heater; Power Sweeper & Scrubber; Pump (under 4" discharge); Signalperson; Tire Repairperson; & VAC/ALLS

GROUP 6 - Master Mechanic & Boom from 150 to 180

GROUP 7 - Boom from 180 and over

IRON0044-008 06/01/2009

	Rates	Fringes
Ironworkers:		
Fence Erector.....	\$ 23.55	16.72
Structural.....	\$ 26.17	16.72

IRON0372-004 06/26/2011

	Rates	Fringes
IRONWORKER, REINFORCING		
Beyond 30-mile radius of Hamilton County, Ohio		
Courthouse.....	\$ 26.75	17.40
Up to & including 30-mile radius of Hamilton County, Ohio Courthouse.....	\$ 26.50	17.40

LABO0189-004 07/01/2010

PENDLETON COUNTY:

	Rates	Fringes
LABORER		
GROUP 1.....	\$ 20.61	10.35
GROUP 2.....	\$ 20.86	10.35
GROUP 3.....	\$ 20.91	10.35
GROUP 4.....	\$ 21.51	10.35

LABORERS CLASSIFICATIONS

GROUP 1 - Aging & Curing of Concrete; Asbestos Abatement Worker; Asphalt Plant; Asphalt; Batch Truck Dump; Carpenter Tender; Cement Mason Tender; Cleaning of Machines; Concrete; Demolition; Dredging; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level D; Flagperson; Grade Checker; Hand Digging & Hand Back Filling; Highway Marker Placer; Landscaping, Mesh Handler & Placer; Puddler; Railroad; Rip-rap & Grouter; Right-of-Way; Sign, Guard Rail & Fence Installer; Signal Person; Sound Barrier Installer; Storm & Sanitary Sewer; Swamper; Truck Spotter & Dumper; Wrecking of Concrete Forms; General Cleanup

GROUP 2 - Batter Board Man (Sanitary & Storm Sewer); Brickmason Tender; Mortar Mixer Operator; Scaffold Builder; Burner & Welder; Bushhammer; Chain Saw Operator; Concrete Saw Operator; Deckhand Scow Man; Dry Cement Handler; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Level C; Forklift Operator for Masonary; Form Setter; Green Concrete Cutting; Hand Operated Grouter & Grinder Machine Operator; Jackhammer; Pavement Breaker; Paving Joint Machine; Pipelayer; Plastic Pipe Fusion; Power Driven Georgia Buggy & Wheel Barrow; Power Post Hole Digger; Precast Manhole Setter; Walk-Behind Tamper; Walk-Behind Trencher; Sand Blaster; Concrete Chipper; Surface Grinder; Vibrator Operator; Wagon Driller

GROUP 3 - Asphalt Luteman & Raker; Gunnite Nozzleman; Gunnite Operator & Mixer; Grout Pump Operator; Side Rail Setter; Rail Paved Ditches; Screw Operator; Tunnel (Free Air); Water Blaster

GROUP 4 - Caisson Worker (Free Air); Cement Finisher; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Levels A & B; Miner & Driller (Free Air); Tunnel Blaster; & Tunnel Mucker (Free Air); Directional & Horizontal Boring; Air Track Driller (All Types); Powderman & Blaster; Troxler & Concrete Tester if Laborer is Utilized

LABO0265-009 05/01/2011

BOONE, CAMPBELL & KENTON COUNTIES:

	Rates	Fringes
LABORER		
GROUP 1.....	\$ 26.37	8.20
GROUP 2.....	\$ 26.54	8.20
GROUP 3.....	\$ 26.87	8.20
GROUP 4.....	\$ 27.32	8.20

LABORER CLASSIFICATIONS

GROUP 1 - Asphalt Laborer; Carpenter Tender; Concrete Curing Applicator; Dump Man (Batch Truck); Guardrail and Fence Installer; Joint Setter; Laborer (Construction); Landscape Laborer; Highway Lighting Worker; Signalization Worker; Mesh Handlers & Placer; Right-of-way Laborer; Riprap Laborer & Grouter; Scaffold Erector; Seal Coating; Surface Treatment or Road Mix Laborer; Sign Installer; Slurry Seal; Utility Man; Bridge Man; Handyman; Waterproofing Laborer; Flagperson; Hazardous Waste (level D); Diver Tender; Zone Person & Traffic Control

GROUP 2 - Skid Steer; Asphalt Raker; Concrete Puddler; Kettle Man (Pipeline); Machine Driven Tools (Gas, Electric, Air); Mason Tender; Brick Paver; Mortar Mixer; Power Buggy or Power Wheelbarrow; Sheeting & Shoring Man; Surface Grinder Man; Plastic Fusing Machine Operator; Pug Mill Operator; & Vacuum Devices (wet or dry); Rodding Machine Operator; Diver; Screwman or Paver; Screed Person; Water Blast, Hand Held Wand; Pumps 4" & Under (Gas, Air or Electric) & Hazardous Waste (level C); Air Track and Wagon Drill; Bottom Person; Cofferdam (below 25 ft. deep); Concrete Saw Person; Cutting with Burning Torch; Form Setter; Hand Spiker (Railroad); Pipelayer; Tunnel Laborer (without air) & Caisson; Underground Person (working in Sewer and Waterline, Cleaning, Repairing & Reconditioning); Sandblaster Nozzle Person; & Hazardous Waste (level B)

GROUP 3 - Blaster; Mucker; Powder Person; Top Lander; Wrencher (Mechanical Joints & Utility Pipeline); Yarner; Hazardous Waste (level A); Concrete Specialist; Concrete Crew in Tunnels (With Air-pressurized - \$1.00 premium); Curb Setter & Cutter; Grade Checker; Utility Pipeline Tapper; Waterline; and Caulker

GROUP 4 - Miner; & Gunite Nozzle Person

TUNNEL LABORER WITH AIR-PRESSURIZED ADD \$1.00 TO BASE RATE

SIGNAL PERSON WILL RECEIVE THE RATE EQUAL TO THE RATE PAID THE LABORER CLASSIFICATION FOR WHICH HE OR SHE IS SIGNALING.

PAIN0012-016 06/01/2010		
	Rates	Fringes
Painters:		
Bridge.....	\$ 23.85	8.10
Bridge Equipment Tender and Containment Builder.....	\$ 20.27	8.10
Brush & Roller.....	\$ 22.85	8.10
Sandblasting & Water Blasting.....	\$ 23.60	8.10
Spray.....	\$ 23.35	8.10
PLUM0392-008 06/01/2008		

	Rates	Fringes
PLUMBER.....	\$ 28.39	14.30

SUKY2010-161 02/05/1996		

	Rates	Fringes
Truck drivers:		
GROUP 1.....	\$ 15.85	4.60
GROUP 2.....	\$ 16.29	4.60

TRUCK DRIVER CLASSIFICATIONS

GROUP 1 - Driver

GROUP 2 - Euclid Wagon; End Dump; Lowboy; Heavy Duty
Equipment; Tractor-Trailer Combination; & Drag

WELDERS - Receive rate prescribed for craft performing
operation to which welding is incidental.

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Unlisted classifications needed for work not included within
the scope of the
classifications listed may be added after award only as
provided in the labor
standards contract clauses (29 CFR 5.5(a)(1)(ii)).

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In the listing above, the "SU" designation means that rates
listed under the
identifier do not reflect collectively bargained wage and
fringe benefit
rates. Other designations indicate unions whose rates have
been determined
to be prevailing.

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WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can
be:

- * an existing published wage determination
- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a position on
a wage
determination matter
- * a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests

for summaries
of surveys, should be with the Wage and Hour Regional Office
for the area in
which the survey was conducted because those Regional Offices
have
responsibility for the Davis-Bacon survey program. If the
response from this
initial contact is not satisfactory, then the process described
in 2.) and
3.) should be followed.

With regard to any other matter not yet ripe for the formal
process
described here, initial contact should be with the Branch of
Construction
Wage Determinations. Write to:

Branch of Construction Wage Determinations
Wage and Hour Division
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an
interested party
(those affected by the action) can request review and
reconsideration from
the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR
Part 7).
Write to:

Wage and Hour Administrator
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

The request should be accompanied by a full statement of the
interested
party's position and by any information (wage payment data,
project
description, area practice material, etc.) that the requestor
considers
relevant to the issue.

3.) If the decision of the Administrator is not favorable, an
interested
party may appeal directly to the Administrative Review Board
(formerly the
Wage Appeals Board). Write to:

Administrative Review Board
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION

Fringe benefit amounts are applicable for all hours worked except when otherwise noted.

These rates are listed pursuant to the Kentucky Determination No. CR-11-IV-HWY dated August 04, 2011

No laborer, workman or mechanic shall be paid at a rate less than that of a Journeyman except those classified as bona fide apprentices.

Apprentices or trainees shall be permitted to work as such subject to Administrative Regulations adopted by the Commissioner of Workplace Standards. Copies of these regulations will be furnished upon request from any interested person.

Before using apprentices on the job the contractor shall present to the Contracting Officer written evidence of registration of such employees in a program of a State apprenticeship and training agency approved and recognized by the U. S. Bureau of Apprenticeship and Training. In the absence of such a State agency, the contractor shall submit evidence of approval and registration by the U. S. Bureau of Apprenticeship and Training.

The contractor shall submit to the Contracting Officer, written evidence of the established apprenticeship-journeyman ratios and wage rates in the project area, which will be the basis for establishing such ratios and rates for the project under the applicable contract provisions.

TO: EMPLOYERS/EMPLOYEES

PREVAILING WAGE SCHEDULE:

The wages indicated on this wage schedule are the least permitted to be paid for the occupations indicated. When an employee works in more than one classification, the employer must record the number of hours worked in each classification at the prescribed hourly base rate.

OVERTIME:

Overtime is to be paid after an employee works eight (8) hours a day or forty (40) hours a week, whichever gives the employee the greater wages. At least time and one-half the base rate is required for all overtime. A laborer, workman or mechanic and an employer may enter into a written agreement or a collective bargaining agreement to work more than eight (8) hours a calendar day but not more than ten (10) hours a calendar day for the straight time hourly rate. Wage violations or questions should be directed to the designated Engineer or the undersigned.

Ryan Griffith, Director
Division of Construction Procurement
Frankfort, Kentucky 40622

**NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION
TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY
(Executive Order 11246)**

1. The Offeror's or Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Specifications" set forth herein.
2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate work force in each trade on all construction work in the covered area, are as follows:

GOALS FOR MINORITY PARTICIPATION IN EACH TRADE	GOALS FOR FEMALE PARTICIPATION IN EACH TRADE
9.2%	6.9%

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally-assisted) performed in the covered area. If the contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the contractor also is subject to the goals for both its federally involved and non-federally involved construction.

The Contractor's compliance with the Executive Order and the regulations in CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4, 3(a), and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

3. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs within ten (10) working days of award of any construction subcontract in excess of \$10,000.00 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address and telephone number of the subcontractor; employer identification number of the subcontractor; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the subcontract is to be performed. The notification shall be mailed to:

**Evelyn Teague, Regional Director
Office of Federal Contract Compliance Programs
61 Forsyth Street, SW, Suite 7B75
Atlanta, Georgia 30303-8609**

4. As used in this Notice, and in the contract resulting from this solicitation, the "**covered area**" is Pendleton County.

PART IV

INSURANCE

INSURANCE

The Contractor shall procure and maintain the following insurance in addition to the insurance required by law:

- 1) Commercial General Liability-Occurrence form – not less than \$2,000,000 General aggregate, \$2,000,000 Products & Completed Aggregate, \$1,000,000 Personal & Advertising, \$1,000,000 each occurrence.
- 2) Automobile Liability- \$1,000,000 per accident
- 3) Employers Liability:
 - a) \$100,000 Each Accident Bodily Injury
 - b) \$500,000 Policy limit Bodily Injury by Disease
 - c) \$100,000 Each Employee Bodily Injury by Disease
- 4) The insurance required above must be evidenced by a Certificate of Insurance and this Certificate of Insurance must contain one of the following statements:
 - a) "policy contains no deductible clauses."
 - b) "policy contains _____ (amount) deductible property damage clause but company will pay claim and collect the deductible from the insured."
- 5) KENTUCKY WORKMEN'S COMPENSATION INSURANCE. The contractor shall furnish evidence of coverage of all his employees or give evidence of self-insurance by submitting a copy of a certificate issued by the Workmen's Compensation Board.

The cost of insurance is incidental to all contract items. All subcontractors must meet the same minimum insurance requirements.

PART V

BID ITEMS

CONTRACT ID: 111329
COUNTY: PENDLETON
PROPOSAL: BRO 5218 (004)

PAGE: 1
LETTING: 09/23/11
CALL NO: 104

LINE NO	ITEM	DESCRIPTION	APPROXIMATE UNIT QUANTITY	UNIT PRICE	AMOUNT
SECTION 0001 PAVING					
0010	00001	DGA BASE	5,237.000 TON		
0020	00020	TRAFFIC BOUND BASE	250.000 TON		
0030	00212	CL2 ASPH BASE 1.00D PG64-22	4,213.000 TON		
0040	00307	CL2 ASPH SURF 0.38B PG64-22	726.000 TON		
0050	02101	CEM CONC ENT PAVEMENT-8 IN	51.000 SQYD		
SECTION 0002 ROADWAY					
0060	00078	CRUSHED AGGREGATE SIZE NO 2	1.000 TON		
0070	01000	PERFORATED PIPE-4 IN	59.000 LF		
0080	01010	NON-PERFORATED PIPE-4 IN	8.000 LF		
0090	01015	INSPECT & CERTIFY EDGE DRAIN SYSTEM	(1.00) LS		
0100	01028	PERF PIPE HEADWALL TY 3-4 IN	1.000 EACH		
0110	01310	REMOVE PIPE	62.000 LF		
0120	01810	STANDARD CURB AND GUTTER	1,516.000 LF		
0130	02014	BARRICADE-TYPE III	10.000 EACH		
0140	02091	REMOVE PAVEMENT	2,426.000 SQYD		
0150	02159	TEMP DITCH	2,310.000 LF		
0160	02223	GRANULAR EMBANKMENT	510.000 CUYD		
0170	02230	EMBANKMENT IN PLACE	35,380.000 CUYD		
0180	02242	WATER	4.000 MGAL		
0190	02351	GUARDRAIL-STEEL W BEAM-S FACE	1,237.500 LF		

PENDLETON COUNTY
BRO 5218 (004)

KENTUCKY TRANSPORTATION CABINET
DEPARTMENT OF HIGHWAYS
FRANKFORT, KY 40622

CONTRACT ID: 111329
COUNTY: PENDLETON
PROPOSAL: BRO 5218 (004)

PAGE: 2
LETTING: 09/23/11
CALL NO: 104

LINE NO	ITEM	DESCRIPTION	APPROXIMATE UNIT QUANTITY	UNIT PRICE	AMOUNT
0200	02360	GUARDRAIL TERMINAL SECTION NO 1	5.000 EACH		
0210	02367	GUARDRAIL END TREATMENT TYPE 1	1.000 EACH		
0220	02378	GUARDRAIL CONNECTOR TO BRIDGE END TY D	4.000 EACH		
0230	02397	TEMP GUARDRAIL	737.500 LF		
0240	02429	RIGHT-OF-WAY MONUMENT TYPE 1	35.000 EACH		
0250	02430	RIGHT-OF-WAY MONUMENT TYPE 1A	2.000 EACH		
0260	02432	WITNESS POST	6.000 EACH		
0270	02483	CHANNEL LINING CLASS II	513.000 TON		
0280	02484	CHANNEL LINING CLASS III	908.000 TON		
0290	02545	CLEARING AND GRUBBING (14.5 ACRES)	(1.00) LS		
0300	02562	SIGNS	391.000 SQFT		
0310	02572	QUALITY CONTROL	(1.00) LS		
0320	02585	EDGE KEY	262.000 LF		
0330	02596	FABRIC-GEOTEXTILE TYPE I	89.000 SQYD		
0340	02599	FABRIC-GEOTEXTILE TYPE IV	1,389.000 SQYD		
0350	02600	FABRIC GEOTEXTILE TY IV FOR PIPE	1,820.000 SQYD	2.00	3,640.00
0360	02650	MAINTAIN & CONTROL TRAFFIC	(1.00) LS		
0370	02701	TEMP SILT FENCE	2,310.000 LF		
0380	02703	SILT TRAP TYPE A	32.000 EACH		
0390	02704	SILT TRAP TYPE B	24.000 EACH		
0400	02705	SILT TRAP TYPE C	18.000 EACH		

PENDLETON COUNTY
BRO 5218 (004)

KENTUCKY TRANSPORTATION CABINET
DEPARTMENT OF HIGHWAYS
FRANKFORT, KY 40622

CONTRACT ID: 111329
COUNTY: PENDLETON
PROPOSAL: BRO 5218 (004)

PAGE: 3
LETTING: 09/23/11
CALL NO: 104

LINE NO	ITEM	DESCRIPTION	APPROXIMATE UNIT QUANTITY	UNIT PRICE	AMOUNT
0410	02706	CLEAN SILT TRAP TYPE A	96.000 EACH		
0420	02707	CLEAN SILT TRAP TYPE B	72.000 EACH		
0430	02708	CLEAN SILT TRAP TYPE C	54.000 EACH		
0440	02709	CLEAN TEMP SILT FENCE	2,310.000 LF		
0450	02720	SIDEWALK-4 IN CONCRETE	843.000 SQYD		
0460	02726	STAKING	(1.00) LS		
0470	02731	REMOVE STRUCTURE	(1.00) LS		
0480	03287	SIDEWALK RAMP TYPE 1	9.000 EACH		
0490	03290	SIDEWALK RAMP TYPE 4	2.000 EACH		
0500	05950	EROSION CONTROL BLANKET	2,721.000 SQYD		
0510	05952	TEMP MULCH	36,445.000 SQYD		
0520	05953	TEMP SEEDING AND PROTECTION	36,445.000 SQYD		
0530	05966	TOPDRESSING FERTILIZER	3.000 TON		
0540	05985	SEEDING AND PROTECTION	43,221.000 SQYD		
0550	05989	SPECIAL SEEDING CROWN VETCH	2,977.000 SQYD		
0560	05990	SODDING	758.000 SQYD		
0570	06510	PAVE STRIPING-TEMP PAINT-4 IN	3,272.000 LF		
0580	06514	PAVE STRIPING-PERM PAINT-4 IN	11,445.000 LF		
0590	06549	PAVE STRIPING-TEMP REM TAPE-B	300.000 LF		
0600	06550	PAVE STRIPING-TEMP REM TAPE-W	3,900.000 LF		
0610	06551	PAVE STRIPING-TEMP REM TAPE-Y	900.000 LF		

PENDLETON COUNTY
BRO 5218 (004)

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DEPARTMENT OF HIGHWAYS
FRANKFORT, KY 40622

CONTRACT ID: 111329
COUNTY: PENDLETON
PROPOSAL: BRO 5218 (004)

PAGE: 4
LETTING: 09/23/11
CALL NO: 104

LINE NO	ITEM	DESCRIPTION	APPROXIMATE UNIT QUANTITY	UNIT PRICE	AMOUNT
0620	06588	PAVEMENT MARKER TY IVA-BY TEMP	22.000 EACH		
0630	06591	PAVEMENT MARKER TYPE V-BY	58.000 EACH		
0640	08019	CYCLOPEAN STONE RIP RAP	95.000 TON		
0650	08100	CONCRETE-CLASS A	14.930 CUYD		
0660	08150	STEEL REINFORCEMENT	452.000 LB		
0670	10020NS	FUEL ADJUSTMENT	7,923.000 DOLL	1.00	7,923.00
0680	10030NS	ASPHALT ADJUSTMENT	8,939.000 DOLL	1.00	8,939.00
0690	20099ES842	PAVE MARK TEMP PAINT STOP BAR	24.000 LF		
0700	20205EC	PAVE MARK STOP BAR-24 IN PAINT	115.000 LF		
0710	20209EP69	GRANULAR PILE CORE	230.000 CUYD		
0720	23158ES505	DETECTABLE WARNINGS	80.000 SQFT		
0730	23274EN11F	TURF REINFORCEMENT MAT 1	1,250.000 SQYD		
0740	23542EC	ENHANCED SILT TRAP	1.000 EACH		
0750	23892EC	CONTAINER PLANTINGS AND MULCH	1.100 ACRE		
0760	23894EC	BANK STABILIZATION WITH LIVE STAKING	580.000 SQYD		
0770	24130EC	SPILL CONTAINMENT AREA	2.000 EACH		
0780	24131EC	BANK STABILIZATION W/EXIST ROOT MATERIAL	360.000 LF		
SECTION 0003 DRAINAGE					
0790	00440	ENTRANCE PIPE-15 IN	45.000 LF		
0800	00445	ENTRANCE PIPE-30 IN	30.000 LF		
0810	00461	CULVERT PIPE-15 IN	53.000 LF		

CONTRACT ID: 111329
COUNTY: PENDLETON
PROPOSAL: BRO 5218 (004)

PAGE: 5
LETTING: 09/23/11
CALL NO: 104

LINE NO	ITEM	DESCRIPTION	APPROXIMATE UNIT QUANTITY	UNIT PRICE	AMOUNT
0820	00462	CULVERT PIPE-18 IN	160.000 LF		
0830	00468	CULVERT PIPE-36 IN	90.000 LF		
0840	00521	STORM SEWER PIPE-15 IN	26.000 LF		
0850	00522	STORM SEWER PIPE-18 IN	245.000 LF		
0860	01456	CURB BOX INLET TYPE A	5.000 EACH		
0870	01480	CURB BOX INLET TYPE B	2.000 EACH		
0880	01490	DROP BOX INLET TYPE 1	1.000 EACH		
0890	01496	DROP BOX INLET TYPE 3	1.000 EACH		
0900	01577	DROP BOX INLET TYPE 14	1.000 EACH		
0910	23131ER701	PIPELINE VIDEO INSPECTION	131.000 LF		
SECTION 0004 BRIDGE					
0920	02231	STRUCTURE GRANULAR BACKFILL	604.000 CUYD		
0930	02998	MASONRY COATING	1,887.000 SQYD		
0940	03299	ARMORED EDGE FOR CONCRETE	56.000 LF		
0950	08001	STRUCTURE EXCAVATION-COMMON	660.000 CUYD		
0960	08002	STRUCTURE EXCAV-SOLID ROCK	110.000 CUYD		
0970	08019	CYCLOPEAN STONE RIP RAP	240.000 TON		
0980	08033	TEST PILES	95.000 LF		
0990	08046	PILES-STEEL HP12X53	1,978.000 LF		
1000	08094	PILE POINTS-12 IN	72.000 EACH		
1010	08100	CONCRETE-CLASS A	641.500 CUYD		

PENDLETON COUNTY
BRO 5218 (004)

KENTUCKY TRANSPORTATION CABINET
DEPARTMENT OF HIGHWAYS
FRANKFORT, KY 40622

CONTRACT ID: 111329
COUNTY: PENDLETON
PROPOSAL: BRO 5218 (004)

PAGE: 6
LETTING: 09/23/11
CALL NO: 104

LINE NO	ITEM	DESCRIPTION	APPROXIMATE UNIT QUANTITY	UNIT PRICE	AMOUNT
1020	08104	CONCRETE-CLASS AA	1,332.100 CUYD		
1030	08150	STEEL REINFORCEMENT	90,374.000 LB		
1040	08151	STEEL REINFORCEMENT-EPOXY COATED	268,783.000 LB		
1050	08160	STRUCTURAL STEEL (1,393,862 LBS.)	(1.00) LS		
1060	08170	SHEAR CONNECTORS (9,029 LBS.)	(1.00) LS		
1070	08269	ELECTRICAL CONDUIT	(1.00) LS		
1080	08500	APPROACH SLAB	156.000 SQYD		
1090	21342ED	FORM LINER	10,262.000 SQFT		
SECTION 0005 SEWER					
1100	01052	SEWER PIPE-8 IN	346.000 LF		
1110	01103	DUCTILE IRON PIPE-16 IN	183.000 LF		
1120	03442	DUCTILE IRON FITTINGS	1,870.000 LB		
1130	20083NN	CONNECT TO SERVICE	2.000 EACH		
1140	20708ND	CUT AND PLUG 12 IN	2.000 EACH		
1150	21918NN	MANHOLE-4 FT	6.000 EACH		
1160	21921EN	MANHOLE-4 FT BARREL EXTENSION	36.000 VTFT		
1170	22785NN	CONNECT TO FORCE MAIN-12 IN	2.000 EACH		
1180	23574EC	RESTRAINED JOINT DIP,CL50 W/POLYWRP-12IN	90.000 LF		
1190	23716EC	CONNECT TO NEW MANHOLE	11.000 EACH		
1200	24257EC	DUCTILE IRON PIPE-RESTRAINED-18 IN	230.000 LF		
1210	24258EC	DIP 12"-RESTRAINED IN 20" STEEL ENCASE	50.000 LF		

CONTRACT ID: 111329
COUNTY: PENDLETON
PROPOSAL: BRO 5218 (004)

PAGE: 7
LETTING: 09/23/11
CALL NO: 104

LINE NO	ITEM	DESCRIPTION	APPROXIMATE UNIT QUANTITY	UNIT PRICE	AMOUNT
1220	24259EC	MANHOLE DROP CONNECTION	2.000 EACH		
SECTION 0006 WATERLINE					
1230	01071	STEEL ENCASEMENT PIPE-14 IN	135.000 LF		
1240	03528	GATE VALVE-8 IN	1.000 EACH		
1250	22186NN	FLUSHING DEVICE-2 IN	1.000 EACH		
1260	22447NN	CONNECT TO 8 IN	2.000 EACH		
1270	23917EC	DUCTILE IRON PIPE-RESTRAINED-8 IN	123.000 LF		
1280	24267EC	DIP 8"-RESTRAINED IN 14" STEEL ENCASE	135.000 LF		
SECTION 0007 MOBILIZATION / DEMOBILIZATION					
1290	02568	MOBILIZATION (NO MORE THAN 5%)	LUMP		
1300	02569	DEMOBILIZATION (AT LEAST 1.5%)	LUMP		
		TOTAL BID			