TRANSPORTATION CABINET
Frankfort, Kentucky 40622
www.transportation.ky.gov/

Steven L. Beshear
Governor

Michael W. Hancock, P.E.
Secretary

KENTUCKY TRANSPORTATION CABINET
SMALL BUSINESS ENTERPRISE (SBE) PROGRAM ELIGIBILITY

Thank you for your interest in participating in the Kentucky Transportation Cabinet's (KYTC) Certification Program to become a Small Business Enterprise (SBE). Our objective is to ensure that small business firms have the maximum opportunity to participate in US DOT-assisted contracts.

KYTC's Small Business Development Branch, within the Office for Civil Rights and Small Business Development, certifies firms for SBE Certification that are owned and controlled by one or more economically eligible citizens or lawful permanent residents of the United States, and any other individual determined to be economically eligible by the Small Business Administration pursuant to Section 8(a) of the Small Business Act. A business or firm must meet the definitions specified in Section 3 of the Small Business Act and the Small Business Administration regulations implementing it (13 CFR Part 121). KYTC defines a small business as a business that is independently owned and operated, organized for profit, and not dominant in its field. Depending on the industry, size standard eligibility is based on the average number of employees for the preceding twelve months or on sales volume averaged over a three-year period.

SBE certification affords small businesses an opportunity to participate in the performance of contracts and subcontracts financed in whole or in part, with federal funds, which are in the amount of two million dollars ($2,000,000) or more.

All new applications received will be reviewed on a first-come, first-served basis. It is the responsibility of the applicant to provide the information deemed necessary by this department to ascertain eligibility.

KRS 517.120 states that a person, who knowingly establishes, assists in the establishment of, operates or assists in the operation of a sham or front company may be charged with a Class D felony.

Please note the following:

(1) Cabinet personnel will conduct a desk audit of all documentation provided by the applicant firm.

(2) Additional information may be requested in order to determine whether the applicant should be certified. Failure of the applicant to timely provide requested information shall be cause for this office to deny the application.

(3) The DBE Liaison Officer and/or his/her designee shall issue a determination of eligibility within sixty (60) days of receipt of a completed application, provided a challenge of eligibility has not been received; and

(4) All certified firms must be operational prior to application.

If your firm is approved for certification by the DBE Liaison Officer and/or his/her designee, it will be entered in the KYTC database. Only firms currently certified as eligible SBEs for KYTC may participate in the SBE program of US DOT grantees within the state of Kentucky.

Firms may still be certified as a SBE without being prequalified with the Division of Construction Procurement. However, in order to perform on KYTC highway construction projects to meet a SBE goal, SBE firms must be prequalified. Information regarding prequalification may be obtained by contacting the Division of Construction Procurement at (502) 564-3500.

While registration with the Kentucky Secretary of State's Office is not required for SBE certification, KRS 14A.9-010 does require firms to be registered and in good standing in order to do business in the Commonwealth of Kentucky.

Enclosed, please find the following SBE program documents:

(A) SBE Certification Application with KYTC's SBE supporting documents checklist;

(B) Small Business Administration (SBA) Personal Financial Statement;

(C) US Small Business Size Standards

The application process begins when **all required documentation** has been received by this office. (*Refer to the KYTC SBE "supporting documents" checklist.*) In order to avoid unnecessary delays, please complete all portions of the Certification Application and include all copies of documents requested on the application, as well as, the KYTC SBE Application Supporting Documents Checklist. In addition, the Affidavit of Certification and the Personal Financial Statement must both be notarized.

Firms that have questions, comments or need assistance with Kentucky certification are encouraged to contact the Small Business Development Branch at 1-800-928-3079 or (502) 564-3601.

PLEASE RETURN COMPLETED FORMS TO:

Kentucky Transportation Cabinet
Office for Civil Rights and Small Business Development
Small Business Development Branch
200 Mero St, 6th Floor West
Frankfort, KY 40622

AUTHORIZATION TO RELEASE
STATE OF KENTUCKY CERTIFICATION PROGRAM
SBE CERTIFICATION INFORMATION

I hereby authorize the Kentucky Transportation Cabinet, Small Business Development Branch, to release upon written request, appropriate information related to my application(s) for SBE Certification in Kentucky.

Signed: _____
Name Date

_____
Print Name and Title

_____
Print Company Name

This authorization extends only to (initial all that you choose to authorize):

_____ Federal Agencies

_____ Other State Agencies

_____ Other Kentucky State Agencies

_____ Other Government Entities

This authorization will be kept in your state of Kentucky SBE Certification Program file and will remain valid until you make a written request for changes.

Kentucky Transportation Cabinet Certification Program
Application for Certification as a Small Business Enterprise
(SBE)

INSTRUCTIONS AND INFORMATION
Please read these instructions completely and thoroughly!!!

1. All questions must be answered. Questions that do not apply to your firm should be marked "N/A."

2. All documents requested on the Certification Checklist must be provided. Mark "N/A" for any items that do not pertain to your company.

3. **The Personal Financial Statement enclosed must be filled out in its entirety leaving no line blank.** This form must be completed for each applicant firm owner and must be signed by each applicant firm owner in the presence of a Notary Public. Supporting documentation must be submitted to support all figures stated on this form, including but not limited to, bank statements, appraisals, published market values and any other items listed on this form.

4. **THE AFFIDAVIT OF CERTIFICATION MUST BE SIGNED BY THE PRINCIPAL OWNER(S) IN THE PRESENCE OF A NOTARY PUBLIC.**

Please note that failure to complete the application as instructed above will delay processing and may result in a denial of certification as a Small Business Enterprise.

For Your Information

1. Once the application is complete and all requested supplemental information and documentation has been received by the KY Transportation Cabinet's Small Business Development Branch, a certification determination should be issued within 60 days.

2. Additional information may be required during the processing period. Delays in submitting requested information will cause a delay in processing the application.

3. Changes in ownership, control or operation of the business should be reported within 30 days of the occurrence.

4. All certified businesses will be listed in the Certified Small Business Enterprises Directory for the KY Transportation Cabinet, which is listed on the Small Business Development website.

5. The certified Small Business Enterprise must submit, on the anniversary date of the firm's certification, a "No Change" affidavit. The affidavit affirms that there have been no changes in the firm's circumstances affecting its ability to meet size, economic eligibility, ownership and control standards (except for changes about which the firm has submitted a "Notice of Change" affidavit to this office).

6. If an application for certification as a SBE is denied, the Cabinet shall issue a formal notice of denial setting forth the reasons for denial. A request for reconsideration may be made to the Office for Civil Rights and Small Business Development Executive Director within ten (10) days of the date of the formal notice of denial. The Executive Director will review the record and any additional information the aggrieved party wishes to submit along with a position statement as to why it is believed the

determination of non-eligibility was in error. The Director shall have thirty (30) days to issue a final determination.

7. Registration with the Secretary of State's Office is not a requirement for certification. However, in order to do business within the state of Kentucky, applicants (with the exception of sole proprietors) must be registered.

8. **Out-of-state firms:** KRS 176.150 states, "When an applicant is a foreign corporation (out-of-state), the application shall be accompanied by a certificate from the Secretary of State that such a corporation is authorized to do business in the state in which it is incorporated." You may contact the Kentucky Secretary of State's office at 800-464-5804 or 502-564-3490.

INSTRUCTIONS FOR COMPLETING THE KENTUCKY TRANSPORTATION CABINET SMALL BUSINESS ENTERPRISE (SBE) PROGRAM CERTIFICATION APPLICATION

NOTE: If you require additional space for any question in this application, please attach additional sheets or copies as needed, taking care to indicate on each attached sheet/copy the section and number of this application to which it refers.

Section 1: CERTIFICATION INFORMATION

A. Prior/Other Certifications

Check the appropriate box indicating for which program your firm is currently certified. If you are already certified as a SBE, indicate in the appropriate box the name of the certifying agency that has previously certified your firm, and also indicate whether your firm has undergone an onsite visit. If your firm has already undergone an onsite visit/review, indicate the most recent date of that review and the state UCP that conducted the review.

B. Prior/Other Applications and Privileges

Indicate whether your firm or any of the persons listed has ever withdrawn an application for a DBE program, SBE program or an SBA 8(a) or SDB program, or whether any have ever been denied certification, decertified, debarred, suspended, or had bidding privileges denied or restricted by any state or local agency or Federal entity. If your answer is yes, indicate the date of such action, identify the name of the agency, and explain fully the nature of the action in the space provided.

Section 2: GENERAL INFORMATION

A. Contact Information

(1) State the name and title of the person who will serve as your firm's primary contact under this application.
(2) State the legal name of your firm, as indicated in your firm's Articles of Incorporation or charter.
(3) State the primary phone number of your firm.
(4) State a secondary phone number, if any.
(5) State your firm's fax number, if any.
(6) State your firm's or your contact person's email address.
(7) State your firm's website address, if any.
(8) State the street address of your firm (i.e. the physical location of its offices -- not a post office box address).
(9) State the mailing address of your firm, if it is different from your firm's street address.

B. Business Profile

(1) In the box provided, briefly describe the primary business and professional activities in which your firm engages.
(2) State the Federal Tax ID number of your firm as provided on your firm's filed tax returns, if you have one. This could also be the Social Security number of the owner of your firm.
(3) State the date on which your firm was officially established, as stated in your firm's Articles of Incorporation or charter.
(4) State the date on which you and/or each other owner took ownership of the firm.
(5) Check the appropriate box that describes the manner in which you and each other owner acquired ownership of your firm. If you checked "Other," explain in the space provided.
(6) Check the appropriate box that indicates whether your firm is "for profit."
NOTE: If you checked "No," then you do NOT qualify for the SBE program and therefore do not need to complete the rest of this application. The SBE program requires all participating firms be for-profit enterprises.
(7) Check the appropriate box that describes the legal form of ownership of your firm, as indicated in your firm's Articles of Incorporation. If you checked "Other," briefly explain in the space provided.
(8) Check the appropriate box that indicates whether your firm has ever existed under different ownership, a different type of ownership, or a different name. If you checked "Yes," specify which and briefly explain the circumstances in the space provided.
(9) Indicate in the spaces provided how many employees your firm has, specifying the number of employees who work on a full-time and part-time basis.
(10) Specify the total gross receipts of your firm for each of the past three years, as declared in your firm's filed tax returns.

C. Relationships with Other Businesses

(1) Check the appropriate box that indicates whether your firm is co-located at any of its business locations, or whether your firm shares a telephone number(s), a post office box, any office space, a yard, warehouse, other facilities, any equipment, or any office staff with any other business, organization, or entity of any kind. If you answered "Yes," then specify the name of the other firm(s) and briefly explain the nature of the shared facilities or other items in the space provided.
(2) Check the appropriate box that indicates whether at present, or at any time in the past:
   (a) Your firm has been a subsidiary of any other firm;
   (b) Your firm consisted of a partnership in which one or more of the partners are other firms;
   (c) Your firm has owned any percentage of any other firm; and
   (d) Your firm has had any subsidiaries of its own.
(3) Check the appropriate box that indicates whether any other firm has ever had an ownership interest in your firm.
(4) If you answered "Yes" to any of the questions in (2)(a)-(d) or (3), identify the name, address and type of business for each.

D. Immediate Family Member Businesses

Check the appropriate box that indicates whether any of your immediate family members own or manage another company. An "immediate family member" is any person who is your father, mother, husband, wife, son, daughter, brother, sister, grandmother, grandfather, grandson, granddaughter, mother-in-law, or father-in-law. If you answered "Yes," provide the name of each relative, your relationship to them, the name of the company they own or manage, the type of business, and whether they own or manage the company.

Section 3: OWNERSHIP

Identify all individuals or holding companies with any ownership interest in your firm, providing the information requested below (if your firm has more than one owner, provide completed copies of this section for each additional owner):

A. Background Information

(1) Give the name of the owner.
(2) State his/her title or position within your firm.
(3) Give his/her home phone number.
(4) State his/her home (street) address.
(5) Check the appropriate box that indicates this owner's gender.
(6) Check the appropriate box to indicate whether this owner is a U.S. citizen.
(7) If this owner is not a U.S. citizen, check the appropriate box that indicates whether this owner is a lawfully admitted permanent resident. If this owner is neither a U.S. citizen nor a lawfully admitted permanent resident of the U.S., then this owner is NOT eligible for certification as a SBE owner. This, however, does not necessarily disqualify your firm altogether from the SBE program if another owner is a U.S. citizen or lawfully admitted permanent resident and meets the program's other qualifying requirements.

B. Ownership Interest

(1) State the number of years, during which this owner has been an owner of your firm.
(2) Indicate the dollar value of this owner's initial investment to acquire an ownership interest in your firm, broken down by cash, real estate, equipment, and/or other investment.
(3) State the percentage of total ownership control of your firm that this owner possesses.
(4) State the familial relationship of this owner to each other owner of your firm.
(5) Indicate the number, percentage of the total, class, date acquired, and method by which this owner acquired his/her shares of stock in your firm.
(6) Check the appropriate box that indicates whether this owner performs a management or supervisory function for any other business. If you checked "Yes," state the name of the other business and this owner's title or function held in that business.
(7) Check the appropriate box that indicates whether this owner owns or works for any other firm(s) that has <u>any</u> relationship with your firm. If you checked "Yes," identify the name of the other business and this owner's title or function held in that business. Briefly describe the nature of the business relationship in the space provided.

C. Economically Eligible Status

<u>NOTE</u>: You only need to complete this section for each owner that is applying for SBE qualification (i.e. for each owner who is claiming to be "economically eligible")

(1) Indicate in the space provided the total Personal Net Worth (PNW) of each owner who is applying for SBE qualification. Use the PNW calculator form at the end of this application to compute each owner's PNW.
(2) Check the appropriate box that indicates whether any trust has ever been created for the benefit of this eligible owner. If you answered "Yes," briefly explain the nature, history, purpose, and current value of the trust(s).

Section 4: CONTROL

A. Identify your firm's Officers and Board of Directors:

(1) In the space provided, state the name, title, of appointment, ethnicity, and gender of each officer of your firm.
(2) In the space provided, state the name, title, date of appointment, ethnicity, and gender of each individual serving on your firm's Board of Directors.
(3) Check the appropriate box that indicates whether any of your firm's officers and/or directors listed above performs a management or supervisory function for any other business. If you answered "Yes," identify each person by name, his/her title, the name of the other business in which s/he is involved, and his/her function performed in that other business.
(4) Check the appropriate box that indicates whether any of your firm's officers and/or directors listed above own or work for any other firm(s) that has a relationship with your firm. If you answered "Yes," identify the name of the firm, the officer or director, and the nature of his/her business relationship with that other firm.

B. Identify your firm's management personnel (by name, title, ethnicity and gender) who control your firm in the following areas:

(1) Making of financial decisions on your firm's behalf, including the acquisition of lines of credit, surety bonds, supplies, etc.;
(2) Estimating and bidding, including calculation of cost estimates, bid preparation and submission;
(3) Negotiating and contract execution, including participation in any of your firm's negotiations and executing contracts on your firm's behalf;
(4) Hiring and/or firing of management personnel, including interviewing and conducting performance evaluations;

(5) Field/Production operations supervision, including site supervision, scheduling, project management services, etc.;
(6) Office management;
(7) Marketing and sales;
(8) Purchasing of major equipment;
(9) Signing company checks (for any purpose); and
(10) Conducting any other financial transactions on your firm's behalf not otherwise listed.
(11) Check the appropriate box that indicates whether any of the persons listed in (1) through (10) above perform a management or supervisory function for any other business. If you answered "Yes," identify each person by name, his/her title, the name of the other business in which s/he is involved, and his/her function performed in that other business.
(12) Check the appropriate box that indicates whether any of the persons listed in (1) through (10) above own or work for any other firm(s) that has a relationship with your firm. If you answered "Yes," identify the name of the firm, the name of the person, and the nature of his/her business relationship with that other firm.

C. Indicate your firm's inventory in the following categories:

(1) Equipment
State the type, make and model, and current dollar value of each piece of equipment held and/or used by your firm. Indicate whether each piece is either owned or leased by your firm.

(2) Vehicles
State the type, make and model, and current dollar value of each motor vehicle held and/or used by your firm. Indicate whether each vehicle is either owned or leased by your firm.

(3) Office Space
State the street address of each office space held and/or used by your firm. Indicate whether your firm owns or leases the office space and the current dollar value of that property or its lease.

(4) Storage Space
State the street address of each storage space held and/or used by your firm. Indicate whether your firm owns or leases the storage space and the current dollar value of that property or its lease.

D. Does your firm rely on any other firm for management functions or employee payroll?
Check the appropriate box that indicates whether your firm relies on any other firm for management functions or for employee payroll. If you answered "Yes," briefly explain the nature of that reliance and the extent to which the other firm carries out such functions.

E. Financial Information
(1) Banking Information
(a) State the name of your firm's bank.
(b) Give the main phone number of your firm's bank branch.
(c) Give the address of your firm's bank branch.
(2) Bonding Information
(a) State your firm's Binder Number.
(b) State the name of your firm's bond agent and/or broker.
(c) Give your agent's/broker's phone number.
(d) Give your agent's/broker's address.
(e) State your firm's bonding limits (in dollars), specifying both the Aggregate and Project Limits.

F. Identify all sources, amounts, and purposes of money loaned to your firm, including the names of persons or firms securing the loan, if other than the listed owner:
State the name and address of each source, the original dollar amount and the current balance of each loan, and the purpose for which each loan was made to your firm.

G. List all contributions or transfers of assets to/from your firm and to/from any of its owners over the past two years:
Indicate in the spaces provided, the type of contribution or asset that was transferred, its current dollar value, the person or firm from whom it was transferred, the person or firm to whom it was transferred, the relationship between the two persons and/or firms, and the date of the transfer.

H. List current licenses/permits held by any owner or employee of your firm.
List the name of each person in your firm who holds a professional license or permit, the type of permit or license, the expiration date of the permit or license, and the license/permit number and issuing State of the license or permit.

I. List the three largest contracts completed by your firm in the past three years, if any.
List the name of each owner or contractor for each contract, the name and location of the projects under each contract, the type of work performed on each contract, and the dollar value of each contract.

J. List the three largest active jobs on which your firm is currently working.
For each active job listed, state the name of the prime contractor and the project number, the location, the type of work performed, the project start date, the anticipated completion date, and the dollar value of the contract.

AFFIDAVIT & SIGNATURE
Carefully read the attached affidavit in its entirety. Fill in the required information for each blank space, and sign and date the affidavit in the presence of a Notary Public, who must then notarize the form.

KENTUCKY TRANSPORTATION CABINET
SMALL BUSINESS ENTERPRISE PROGRAM
49 CFR PART 26.39

CERTIFICATION APPLICATION

ROADMAP FOR APPLICANTS

Should I apply?

- Is your firm a small business that meets the Small Business Administration's (SBA's) size standard and does not exceed $22.41 million in gross annual receipts?
- Is your firm organized as a for-profit business?

⇒ If you answered "Yes" to both questions above, you may be eligible to participate in the SBE program.

Be sure to attach all of the required documents listed in the Documents Check List at the end of this form with your completed application.

Where can I find more information?

- U.S. DOT – http://www.dot.gov/osdbu/disadvantaged-business-enterprise (this site provides useful links to the rules and regulations governing the SBE program, questions and answers, and other pertinent information)
- SBA – http://www.naics.com (provides a listing of NAICS codes) and http://www.sba.gov/content/small-business-size-standards (provides a listing of SIC codes)
- 49 CFR Part 26 (the rules and regulations governing the SBE program)

Under Sec. 26.107 of 49 CFR Part 26, dated February 2, 1999, if at any time, the Department or a recipient has reason to believe that any person or firm has willfully and knowingly provided incorrect information or made false statements, the Department may initiate suspension or debarment proceedings against the person or firm under 49 CFR Part 29, take enforcement action under 49 CFR Part 31, Program Fraud and Civil Remedies, and/or refer the matter to the Department of Justice for criminal prosecution under 18 U.S.C. 1001, which prohibits false statements in Federal programs.

Section 1: CERTIFICATION INFORMATION

A. Prior/Other Certifications

Is your firm currently certified for any of the following programs? *(If Yes, check appropriate box(es))*	❑ DBE SBE	Name of certifying agency:
		Has your firm's state UCP conducted an on-site visit? ❑ Yes, on ___/___/___ State: _____ ❑ No
	❑ 8(a)	
	❑ SDB	

B. Prior/Other Applications and Privileges

Has your firm (under any name) or any of its owners, Board of Directors, officers or management personnel, ever withdrawn an application for any of the programs listed above, or ever been denied certification, decertified, debarred or suspended or otherwise had bidding privileges denied or restricted by any state or local agency, or Federal entity?

❑ Yes, on ___/___/___ ❑ No

If Yes, identify State and name of state, local or Federal agency and explain the nature of the action:

_____
_____
_____
_____

Section 2: GENERAL INFORMATION

A. Owner Information

(1) Contact person and Title:		(2) Legal name of firm:		
(3) Phone #:	(4) Other Phone #:		(5) Fax #:	
(6) E-mail:		(7) Website (*if have one*):		
(8) Street address of firm *(No P.O. Box)*:	City:	County/Parish:	State:	Zip:
(9) Mailing address of firm (*if different*):	City:	County/Parish:	State:	Zip:

B. Business Profile

(1) Describe the primary activities of your firm:	(2) Federal Tax ID (if any):
(3) This firm was established on ____/____/____	(4) I/We have owned this firm since: ____/____/____

(5) Method of acquisition *(check all that apply)*:

❑ Started new business ❑ Bought existing business ❑ Inherited business ❑ Secured concession

❑ Merger or consolidation ❑ Other *(explain)* _____

(6) Is your firm "for profit"? ❑ Yes ❑ No	⊗ **STOP!** If your firm is NOT for-profit, then you do NOT qualify for this program and do NOT need to fill out this application.

(7) Type of firm *(check all that apply)*:

- ❑ Sole Proprietorship
- ❑ Partnership
- ❑ Corporation
- ❑ Limited Liability Partnership
- ❑ Limited Liability Corporation
- ❑ Joint Venture
- ❑ Other, Describe: _____

(8) Has your firm ever existed under different ownership, a different type of ownership, or a different name?
❑ Yes ❑ No
If Yes, explain:

(9) Number of employees: Full-time _____ Part-time ____ Contract _____ Seasonal _____ Total _____

(10) Specify the gross receipts of the firm for the last 3 years: Year _____ Total receipts $ _____
Year _____ Total receipts $ _____
Year _____ Total receipts $ _____

C. Relationships with Other Businesses

(1) Is your firm co-located at any of its business locations, or does it share a telephone number, P.O. Box, office space, yard, warehouse, facilities, equipment, or office staff with any other business, organization or entity?
❑ Yes ❑ No

If Yes, identify: Other Firm's name: _____
Explain nature of shared facilities:

(2) At present, or at any time in the past, has your firm:		
	(a) been a subsidiary of any other firm?	❑ Yes ❑ No
	(b) consisted of a partnership in which one or more of the partners are other firms?	❑ Yes ❑ No
	(c) owned any percentage of any other firm?	❑ Yes ❑ No
	(d) had any subsidiaries?	❑ Yes ❑ No

(3) Has any other firm had an ownership interest in your firm at present or at any time in the past? ❑ Yes ❑ No

(4) If you answered "Yes" to any of the questions in (2)(a)-(d) and/or (3), identify the following for each *(attach extra sheets, if needed)*:

	Name	Address	Type of Business
1.			
2.			
3.			

D. Immediate Family Member Businesses

Do any of your immediate family members own or manage another company? ❑ Yes ❑ No
If Yes, then list *(attach extra sheets, if needed)*:

	Name	Relationship	Company	Type of Business	Own or Manage?
1.					
2.					

Section 3: OWNERSHIP

Identify all individuals or holding companies with any ownership interest in your firm, providing the information requested below. Note: *If more than one owner, copy this sheet for each additional owner*.

A. Background Information

(1) Name: *Last Name, First Name*	(2) Title:	(3) Home Phone #:
(4) Home Address (*street and number*):	City:	State: Zip:

(5) Gender: ❑ Male ❑ Female	
(6) U.S. Citizen: ❑ Yes ❑ No	
(7) Lawfully Admitted Permanent Resident: ❑ Yes ❑ No	

B. Ownership Interest

(1) Number of years as owner:	(2) Initial investment to acquire ownership interest in firm:	Type	Dollar Value
(3) Percentage owned:		Cash	$
(4) Familial relationship to other owners:		Real Estate	$
		Equipment	$
		Other	$

(5) Shares of Stock:	Number	Percentage	Class	Date Acquired	Method Acquired

(6) Does this owner perform a management or supervisory function for any other business? ❑ Yes ❑ No
If Yes, identify: Name of Business: _____ Function/Title: _____

(7) Does this owner own or work for any other firm(s) that has a relationship with this firm (*e.g., ownership interest, shared office space, financial investments, equipment, leases, personnel sharing, etc.*)? ❑ Yes ❑ No

If Yes, identify: Name of Business: _____Function/Title: _____

Nature of Business Relationship: _____

C. Complete this section only for each owner applying for SBE qualification (i.e. for each owner claiming to be economically eligible)

(1) What is the Personal Net Worth (PNW) of the owner(s) applying for SBE qualification? *(Use and attach the Personal Financial Statement form at the end of this application; attach additional sheets if more than one owner is applying)*

(2) Has any trust been created for the benefit of this economically eligible owner? ❑ Yes ❑ No
If Yes, explain (*attach additional sheets if needed*): _____
_____

Section 4: CONTROL

A. Identify your firm's Officers & Board of Directors *(If additional space is required, attach a separate sheet)***:**

	Name	Title	Date Appointed
(1) Officers of the Company	(a)		
	(b)		
	(c)		
	(d)		
	(e)		
(2) Board of Directors	(a)		
	(b)		
	(c)		
	(d)		
	(e)		

(3) Do any of the persons listed in (1) and/or (2) above perform a management or supervisory function for any other business?
❑ Yes ❑ No
If Yes, identify for each: Person: _____ Title: _____
Business: _____ Function: _____

(4) Do any of the persons listed (1) and/or (2) above own or work for any other firm(s) that has a relationship with this firm (*e.g., ownership interest, shared office space, financial investments, equipment, leases, personnel sharing, etc.*)? ❑ Yes ❑ No
If Yes, identify for each: Firm Name: _____ Person: _____
Nature of Business Relationship: _____

B. Identify your firm's management personnel who control your firm in the following areas *(If more than two persons, attach a separate sheet)***:**

	Name	Title
(1) Financial Decisions (*responsibility for acquisition of lines of credit, surety bonding, supplies, etc.*)	a.	
	b.	
(2) Estimating and bidding	a.	
	b.	
(3) Negotiating and Contract Execution	a.	
	b.	
(4) Hiring/firing of management personnel	a.	
	b.	
(5) Field/Production Operations Supervisor	a.	
	b.	
(6) Office management	a.	
	b.	
(7) Marketing/Sales	a.	
	b.	
(8) Purchasing of major equipment	a.	
	b.	
(9) Authorized to Sign Company Checks (for any purpose)	a.	
	b.	
(10) Authorized to make Financial Transactions	a.	
	b.	

C. Indicate your firm's inventory in the following categories (*attach additional sheets if needed*):

(1) Equipment

Type of Equipment	Make/Model	Current Value	Owned or Leased?
(a)			
(b)			
(c)			

(2) Vehicles

Type of Vehicle	Make/Model	Current Value	Owned or Leased?
(a)			
(b)			
(c)			

(3) Office Space

Street Address	Owned or Leased?	Current Value of Property or Lease
(a)		
(b)		

(4) Storage Space

Street Address	Owned or Leased?	Current Value of Property or Lease
(a)		
(b)		

D. Does your firm rely on any other firm for management functions or employee payroll? ❑ Yes ❑ No

If Yes, explain: _____
_____
_____

E. Financial Information

(1) **Banking Information**:
(a) Name of bank: _____ (b) Phone No: ( ) _____
(c) Address of bank: _____ City: _____ State: _____ Zip: _____

(2) **Bonding Information**: If you have bonding capacity, identify: (a) Binder No: _____
(b) Name of agent/broker _____ (c) Phone No: ( ) _____ (d) Address of agent/broker: _____ City: _____ State: _____ Zip: _____
(e) Bonding limit: Aggregate limit $ _____ Project limit $ _____

F. Identify all sources, amounts, and purposes of money loaned to your firm, including the names of any persons or firms securing the loan, if other than the listed owner:

Name of Source	Address of Source	Name of Person Securing the Loan	Original Amount	Current Balance	Purpose of Loan
1.					
2.					

G. List all contributions or transfers of assets to/from your firm and to/from any of its owners over the past two years (*attach additional sheets if needed*)**:**

Contribution/Asset	Dollar Value	From Whom Transferred	To Whom Transferred	Relationship	Date of Transfer
1.					
2.					
3.					

H. List current licenses/permits held by any owner and/or employee of your firm (*e.g. contractor, engineer, architect, etc.)(attach additional sheets if needed)*:

Name of License/Permit Holder	Type of License/Permit	Expiration Date	License Number and State
1.			
2.			
3.			

I. List the three largest contracts completed by your firm in the past three years, if any:

Name of Owner/Contractor	Name/Location of Project	Type of Work Performed	Dollar Value of Contract
1.			
2.			
3.			

J. List the three largest active jobs on which your firm is currently working:

Name of Prime Contractor and Project Number	Location of Project	Type of Work	Project Start Date	Anticipated Completion Date	Dollar Value of Contract
1.					
2.					
3.					

AFFIDAVIT OF CERTIFICATION

This form must be signed and notarized for each owner upon which economically eligible status is relied.

A MATERIAL OR FALSE STATEMENT OR OMISSION MADE IN CONNECTION WITH THIS APPLICATION IS SUFFICIENT CAUSE FOR DENIAL OF CERTIFICATION, REVOCATION OF A PRIOR APPROVAL, INITIATION OF SUSPENSION OR DEBARMENT PROCEEDINGS, AND MAY SUBJECT THE PERSON AND/OR ENTITY MAKING THE FALSE STATEMENT TO ANY AND ALL CIVIL AND CRIMINAL PENALTIES AVAILABLE PURSUANT TO APPLICABLE FEDERAL AND STATE LAW.

I _____ (full name printed), swear or affirm under penalty of law that I am _____ (title) of applicant firm _____ (firm name) and that I have read and understood all of the questions in this application and that all of the foregoing information and statements submitted in this application and its attachments and supporting documents are true and correct to the best of my knowledge, and that all responses to the questions are full and complete, omitting no material information. The responses include all material information necessary to fully and accurately identify and explain the operations, capabilities and pertinent history of the named firm as well as the ownership, control and affiliations thereof.

I recognize that the information submitted in this application is for the purpose of inducing certification approval by a government agency. I understand that a government agency may, by means it deems appropriate, determine the accuracy and truth of the statements in the application, and I authorize such agency to contact any entity named in the application, and the named firm's bonding companies, banking institutions, credit agencies, contractors, clients, and other certifying agencies for the purpose of verifying the information supplied and determining the named firm's eligibility.

I agree to submit to government audit, examination and review of books, records, documents and files, in whatever form they exist, of the named firm and its affiliates, inspection of its places(s) of business and equipment, and to permit interviews of its principals, agents, and employees. I understand that refusal to permit such inquiries shall be grounds for denial of certification.

If awarded a contract or subcontract, I agree to promptly and directly provide the prime contractor, if any, and the Department, recipient agency, or federal funding agency on an ongoing basis, current, complete and accurate information regarding (1) work performed on the project; (2) payments; and (3) proposed changes, if any, to the foregoing arrangements.

I agree to provide written notice to the recipient agency or Certification Program of any material change in the information contained in the original application within 30 calendar days of such change (e.g., ownership, address, telephone number, etc.).

I acknowledge and agree that any misrepresentations in this application or in records pertaining to a contract or subcontract will be grounds for terminating any contract or subcontract which may be awarded; denial or revocation of certification; suspension and debarment; and for initiating action under federal and/or state law concerning false statement, fraud or other applicable offenses.

I certify that I am an economically disadvantaged individual who is an owner of the above-referenced firm seeking certification as a Small Business Enterprise (SBE).

I further certify that my personal net worth does not exceed $1.32 million and that I am economically eligible for participation in the Small Business Size program.

I declare under penalty of perjury that the information provided in this application and supporting documents is true and correct.

Executed on _____(Date)

Signature _____
(SBE Applicant)

NOTARY CERTIFICATE

KYTC SBE CERTIFICATION APPLICATION SUPPORTING DOCUMENTS CHECKLIST
In order to complete your application for Kentucky Transportation Cabinet's SBE certification, you must attach copies of all of the following documents as they apply to you and your firm.

All Applicants

- ❑ Work experience résumés (that include places of ownership/employment with corresponding dates), for all owners and officers of your firm
- ❑ Copy of Driver's License
- ❑ List of NAICS codes you wish to be certified for
- ❑ Personal Financial Statement (form available with this application)
- ❑ Personal tax returns for the past three years, if applicable, for each owner claiming eligibility status
- ❑ Your firm's tax returns (gross receipts) and all related schedules for the past three years
- ❑ Documented proof of contributions used to acquire ownership for each owner (*e.g. both sides of cancelled checks*)
- ❑ Your firm's signed loan agreements, security agreements, and bonding forms
- ❑ Descriptions of all real estate (including office/storage space, etc.) owned/leased by your firm and documented proof of ownership/signed leases
- ❑ List of equipment leased and signed lease agreements
- ❑ List of construction equipment and/or vehicles owned and titles/proof of ownership
- ❑ Documented proof of any transfers of assets to/from your firm and/or to/from any of its owners over the past two years
- ❑ Year-end balance sheets and income statements for the past three years (*or life of firm, if less than three years*); a new business must provide a current balance sheet
- ❑ All relevant licenses, license renewal forms, permits, and haul authority forms
- ❑ DBE, SBE and SBA 8(a) or SDB certifications, denials, and/or decertification, if applicable
- ❑ Bank authorization and signatory cards
- ❑ Schedule of salaries (or other compensation or remuneration) paid to all officers, managers, owners, and/or directors of the firm
- ❑ Trust agreements held by any owner claiming eligibility status, if any

Partnership or Joint Venture

- ❑ Original and any amended Partnership or Joint Venture Agreements

Corporation or LLC

- ❑ Official Articles of Incorporation (*signed by the state official*)
- ❑ Both sides of all corporate stock certificates and your firm's stock transfer ledger
- ❑ Shareholders' Agreement
- ❑ Minutes of all stockholders and board of directors meetings
- ❑ Corporate by-laws and any amendments
- ❑ Corporate bank resolution and bank signature cards
- ❑ Official Certificate of Formation and Operating Agreement with any amendments (for LLCs)

Trucking Company

- ❑ Documented proof of ownership of the company
- ❑ Insurance agreements for each truck owned or operated by your firm
- ❑ Title(s) and registration certificate(s) for each truck owned or operated by your firm
- ❑ List of U.S. DOT numbers for each truck owned or operated by your firm

Regular Dealer

- ❑ Proof of warehouse ownership or lease
- ❑ List of product lines carried
- ❑ List of distribution equipment owned and/or leased

NOTE: The specific state UCP to which you are applying may have additional required documents that you must also supply with your application. Contact the appropriate certifying agency to which you are applying to find out if more is required.

U.S. Department of Transportation

Personal Net Worth Statement For DBE/ACDBE Program Eligibility

As of _____

OMB APPROVAL NO:
EXPIRATION DATE:

This form is used by all participants in the U.S. Department of Transportation's Disadvantaged Business Enterprise (DBE) Programs. Each individual owner of a firm applying to participate as a DBE or ACDBE, whose ownership and control are relied upon for DBE certification must complete this form. Each person signing this form authorizes the Unified Certification Program (UCP) recipient to make inquiries as necessary to verify the accuracy of the statements made. The agency you apply to will use the information provided to determine whether an owner is economically disadvantaged as defined in the DBE program regulations 49 C.F.R. Parts 23 and 26. **Return form to appropriate UCP certifying member, not U.S. DOT.**

Name		Business Phone
Residence Address (As reported to the IRS) City, State and Zip Code		Residence Phone
Business Name of Applicant Firm		
Spouse's Full Name (Marital Status: Single, Married, Divorced, Union)		

ASSETS	(Omit Cents)	**LIABILITIES**	(Omit Cents)
Cash and Cash Equivalents	$	Loan on Life Insurance (Complete Section 5)	$
Retirement Accounts (IRAs, 401Ks, 403Bs, Pensions, etc.) (Report full value minus tax and interest penalties that would apply if assets were distributed today) (Complete Section 3)	$	Mortgages on Real Estate Excluding Primary Residence Debt (Complete Section 4)	$
Brokerage, Investment Accounts	$	Notes, Obligations on Personal Property (Complete Section 6)	$
Assets Held in Trust	$	Notes & Accounts Payable to Banks and Others (Complete Section 2)	$
Loans to Shareholders & Other Receivables (Complete section 6)	$	Other Liabilities (Complete Section 8)	$
Real Estate Excluding Primary Residence (Complete Section 4)	$	Unpaid Taxes (Complete Section 8)	$
Life Insurance (Cash Surrender Value Only) (Complete Section 5)	$		
Other Personal Property and Assets (Complete Section 6)	$		
Business Interests Other Than the Applicant Firm (Complete Section 7)	$		
Total Assets	$	Total Liabilities	$
		NET WORTH	

Section 2. Notes Payable to Banks and Others

Name of Noteholder(s)	Original Balance	Current Balance	Payment Amount	Frequency (monthly, etc.)	How Secured or Endorsed Type of Collateral

Section 3. Brokerage and custodial accounts, stocks, bonds, retirement accounts. (Full Value) (Use attachments if necessary).

Name of Security / Brokerage Account / Retirement Account	Cost	Market Value Quotation/Exchange	Date of Quotation/Exchange	Total Value

Section 4. Real Estate Owned (Including Primary Residence, Investment Properties, Personal Property Leased or Rented for Business Purposes, Farm Properties, or any Other Income Producing property). (List each parcel separately. Add additional sheets if necessary).

	Primary Residence	Property B	Property C
Type of Property			
Address			
Date Acquired and Method of Acquisition (purchase, inherit, divorce, gift, etc.)			
Names on Deed			
Purchase Price			
Present Market Value			
Source of Market Valuation			
Name of all Mortgage Holders			
Mortgage Acc. # and balance (as of date of form)			
Equity line of credit balance			
Amount of Payment Per Month/Year (Specify)			

Section 5. Life Insurance Held (Give face amount and cash surrender value of policies, name of insurance company and beneficiaries).

Insurance Company	Face Value	Cash Surrender Amount	Beneficiaries	Loan on Policy Information

Section 6. Other Personal Property and Assets (Use attachments as necessary)

Type of Property or Asset	Total Present Value	Amount of Liability (Balance)	Is this asset insured?	Lien or Note amount and Terms of Payment
Automobiles and Vehicles (including recreation vehicles, motorcycles, boats, etc.) Include personally owned vehicles that are leased or rented to businesses or other individuals.				
Household Goods / Jewelry				
Other (List)				
Accounts and Notes Receivables				

Section 7. Value of Other Business Investments, Other Businesses Owned (excluding applicant firm)
Sole Proprietorships, General Partners, Joint Ventures, Limited Liability Companies, Closely-held and Public Traded Corporations

Section 8. Other Liabilities and Unpaid Taxes (Describe)

Section 9. Transfer of Assets: Have you within 2 years of this personal net worth statement, transferred assets to a spouse, domestic partner, relative, or entity in which you have an ownership or beneficial interest including a trust? Yes ☐ No ☐ If yes, describe.

I declare under penalty of perjury that the information provided in this personal net worth statement and supporting documents is complete, true and correct. I certify that no assets have been transferred to any beneficiary for less than fair market value in the last two years. I recognize that the information submitted in this application is for the purpose of inducing certification approval by a government agency. I understand that a government agency may, by means it deems appropriate, determine the accuracy and truth of the statements in the application and this personal net worth statement, and I authorize such agency to contact any entity named in the application or this personal financial statement, including the names banking institutions, credit agencies, contractors, clients, and other certifying agencies for the purpose of verifying the information supplied and determining the named firm's eligibility. I acknowledge and agree that any misrepresentations in this application or in records pertaining to a contract or subcontract will be grounds for terminating any contract or subcontract which may be awarded; denial or revocation of certification; suspension and debarment; and for initiating action under federal and/or state law concerning false statement, fraud or other applicable offenses.

NOTARY CERTIFICATE:
(Insert applicable state acknowledgment, affirmation, or oath)

Signature (DBE/ACDBE Owner) _____ Date _____

In collecting the information requested by this form, the Department of Transportation complies with Federal Freedom of Information and Privacy Act (5 U.S.C. 552 and 552a) provisions. The Privacy Act provides comprehensive protections for your personal information. This includes how information is collected, used, disclosed, stored, and discarded. Your information will not be disclosed to third parties without your consent. The information collected will be used solely to determine your firm's eligibility to participate in the Disadvantaged Business Enterprise (DBE) Program or Airport Concessionaire DBE Programs as defined in 49 C.F.R. Parts 23 and 26. You may review DOT's complete Privacy Act Statement in the Federal Register published on April 11, 2000 (65 FR 19477).

General Instructions for Completing the Personal Net Worth Statement for DBE/ACDBE Program Eligibility

Please do not make adjustments to your figures pursuant to U.S. DOT regulations 49 C.F.R. Parts 23 and 26. The agency that you apply to will use the information provided on your completed Personal Net Worth (PNW) Statement to determine whether you meet the economic disadvantage requirements of 49 C.F.R. Parts 23 and 26. If there are discrepancies or questions regarding your form, it may be returned to you to correct and complete again.

An individual's personal net worth according to 49 C.F.R. Parts 23 and 26 includes only his or her own share of assets held separately, jointly, or as community property with the individual's spouse and excludes the following:

- Individual's ownership interest in the applicant firm;
- Individual's equity in his or her primary residence;
- Tax and interest penalties that would accrue if retirement savings or investments (e.g., pension plans, Individual Retirement Accounts, 401(k) accounts, etc.) were distributed at the present time.

Indicate on the form, if any items are jointly owned. If the personal net worth of the majority owner(s) of the firm exceeds $1.32 million, as defined by 49 C.F.R. Parts 23 and 26, the firm is not eligible for DBE or ACDBE certification. If the personal net worth of the majority owner(s) exceeds the $1.32 million cap at any time after your firm is certified, the firm is no longer eligible for certification. Should that occur, it is your responsibility to contact your certifying agency in writing to advise that your firm no longer qualifies as a DBE or ACDBE. You must fill out all line items on the Personal Net Worth Statement.

If necessary, use additional sheets of paper to report all information and details. If you have any questions about completing this form, please contact one of the UCP certifying agencies.

Assets

All assets must be reported at their current fair market values as of the date of your statement. ***Assessor's assessed value for real estate, for example, is not acceptable.*** Assets held in a trust should be included.

Cash and Cash Equivalents: On page 1, enter the total amount of cash or cash equivalents in bank accounts, including checking, savings, money market, certificates of deposit held domestic or foreign. Provide copies of the bank statement.

Retirement Accounts, IRA, 401Ks, 403Bs, Pensions: On page 1, enter the full value minus tax and interest penalties that would apply if assets were distributed as of the date of the form. Describe the number of shares, name of securities, cost market value, date of quotation, and total value in section 3 on page 2.

Brokerage and Custodial Accounts, Stocks, Bonds, Retirement Accounts: Report total value on page 1, and on page 2, section 3, enter the name of the security, brokerage account, retirement account, etc.; the cost; market value of the asset; the date of quotation; and total value as of the date of the PNW statement.

Assets Held in Trust: Enter the total value of the assets held in trust on page 1, and provide the names of beneficiaries and trustees, and other information in Section 6 on page 3.

Loans to Shareholders and Other Receivables not listed: Enter amounts loaned to you from your firm, from any other business entity in which you hold an ownership interest, and other receivables not listed above. Complete Section 6 on page 3.

Real Estate: The total value of real estate excluding your primary residence should be listed on page 1. In section 4 on page 2, please list your primary residence in column 1, including the address, method of acquisition, date of acquired, names of deed, purchase price, present fair market value, source of market valuation, names of all mortgage holders, mortgage account number and balance, equity line of credit balance, and amount of payment. List this information for all real estate held. Please ensure that this section contains all real estate owned, including rental properties, vacation properties, commercial properties, personal property leased or rented for business purposes, farm properties and any other income producing properties, etc. Attach additional sheets if needed.

Life Insurance: On page 1, enter the cash surrender value of this asset. In section 5 on page 2, enter the name of the insurance company, the face value of the policy, cash surrender value, beneficiary names, and loans on the policy.

Other Personal Property and Assets: Enter the total value of personal property and assets you own on page 1. Personal property includes motor vehicles, boats, trailers, jewelry, furniture, household goods, collectibles, clothing, and personally owned vehicles that are leased or rented to businesses or other individuals. In section 6 on page 3, list these assets and enter the present value, the balance of any liabilities, whether the asset is insured, and lien or note information and terms of payments. For accounts and notes receivable, enter the total value of all monies owed to you personally, if any. This should include shareholder loans to the applicant firm, if those exist. If the asset is insured, you may be asked to provide a copy of the policy. You may also be asked to provide a copy of any liens or notes on the property.

Other Business Interests Other than Applicant Firm: On page 1, enter the total value of your other business investments (excluding the applicant firm). In section 7 on page 3, enter information concerning the businesses you

hold an ownership interest in, such as sole proprietorships, partnerships, joint ventures, corporations, or limited liability corporations (other than the applicant firm). Do not reduce the value of these entries by any loans from the outside firm to the DBE/ACDBE applicant business.

Liabilities

Mortgages on Real Estate: Enter the total balance on all mortgages payable on real estate on page 1.

Loans on Life Insurance: Enter the total value of all loans due on life insurance policies on page 1, and complete section 5 on page 2.

Notes & Accounts Payable to Bank and Others: On page 1, section 2, enter details concerning any liability, including name of noteholders, original and current balances, payment terms, and security/collateral information. The entries should include automobile installment accounts. This should not, however, include any mortgage balances as this information is captured in section 4. Do not include loans for your business or mortgages for your properties in this section. You may be asked to submit copy of note/security agreement, and the most recent account statement.

Other Liabilities: On page 1, enter the total value due on all other liabilities not listed in the previous entries. In section 8, page 3, report the name of the individual obligated, names of co-signers, description of the liability, the name of the entity owed, the date of the obligation, payment amounts and terms. Note: Do not include contingent liabilities in this section. Contingent liabilities are liabilities that belong to you only if an event(s) should occur. For example, if you have co-signed on a relative's loan, but you are not responsible for the debt until your relative defaults, that is a contingent liability. Contingent liabilities do not count toward your net worth until they become actual liabilities.

Unpaid Taxes: Enter the total amount of all taxes that are currently due, but are unpaid on page 1, and complete section 8 on page 3. Contingent tax liabilities or anticipated taxes for current year should not be included. Describe in detail the name of the individual obligated, names of co-signers, the type of unpaid tax, to whom the tax is payable, due date, amount, and to what property, if any, the tax lien attaches. If none, state "NONE." You must include documentation, such as tax liens, to support the amounts.

Transfers of Assets:

Transfers of Assets: If you checked the box indicating yes on page 3 in this category, provide details on all asset transfers (within 2 years of the date of this personal net worth statement) to a spouse, domestic partner, relative, or entity in which you have an ownership or beneficial interest including a trust. Include a description of the asset; names of individuals on the deed, title, note or other instrument indicating ownership rights; the names of individuals receiving the assets and their relation to the transferor; the date of the transfer; and the value or consideration received. Submit documentation requested on the form related to the transfer.

Affidavit

Be sure to sign and date the statement. The Personal Net Worth Statement must be notarized

U. S. Small Business Administration

Table of Small Business Size Standards

Matched to

North American Industry Classification System Codes

This table lists small business size standards matched to industries described in the North American Industry Classification System (NAICS), as modified by the Office of Management and Budget effective January 1, 2012. The latest NAICS codes are referred to as NAICS 2012.

The size standards are for the most part expressed in either millions of dollars (those preceded by "$") or number of employees (those without the "$"). A size standard is the largest that a concern can be and still qualify as a small business for Federal Government programs. For the most part, size standards are the average annual receipts or the average employment of a firm. How to calculate average annual receipts and average employment of a firm can be found in 13 CFR § 121.104 and 13 CFR § 121.106, respectively.

SBA also includes the table of size standards in the Small Business Size Regulations, 13 CFR § 121.201. This table includes size standards that have changed since the last publication of 13 CFR § 121.

For more information on these size standards, please visit *http://www.sba.gov/size*.

If you have any other questions concerning size standards, contact a Size Specialist at your nearest SBA Government Contracting Area Office (list at the end of the table), or contact the Office of Size Standards by email at *sizestandards@sba.gov* or by phone at (202) 205-6618.

These size standards are effective January 22, 2014

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
Sector 11 – Agriculture, Forestry, Fishing and Hunting			
Subsector 111 – Crop Production			
111110	Soybean Farming	$0.75	
111120	Oilseed (except Soybean) Farming	$0.75	
111130	Dry Pea and Bean Farming	$0.75	
111140	Wheat Farming	$0.75	
111150	Corn Farming	$0.75	
111160	Rice Farming	$0.75	
111191	Oilseed and Grain Combination Farming	$0.75	
111199	All Other Grain Farming	$0.75	
111211	Potato Farming	$0.75	
111219	Other Vegetable (except Potato) and Melon Farming	$0.75	
111310	Orange Groves	$0.75	
111320	Citrus (except Orange) Groves	$0.75	
111331	Apple Orchards	$0.75	
111332	Grape Vineyards	$0.75	
111333	Strawberry Farming	$0.75	
111334	Berry (except Strawberry) Farming	$0.75	
111335	Tree Nut Farming	$0.75	
111336	Fruit and Tree Nut Combination Farming	$0.75	
111339	Other Noncitrus Fruit Farming	$0.75	
111411	Mushroom Production	$0.75	
111419	Other Food Crops Grown Under Cover	$0.75	
111421	Nursery and Tree Production	$0.75	
111422	Floriculture Production	$0.75	
111910	Tobacco Farming	$0.75	
111920	Cotton Farming	$0.75	
111930	Sugarcane Farming	$0.75	
111940	Hay Farming	$0.75	
111991	Sugar Beet Farming	$0.75	
111992	Peanut Farming	$0.75	
111998	All Other Miscellaneous Crop Farming	$0.75	
Subsector 112 – Animal Production and Aquaculture			
112111	Beef Cattle Ranching and Farming	$0.75	
112112	Cattle Feedlots	$7.0	
112120	Dairy Cattle and Milk Production	$0.75	

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
112210	Hog and Pig Farming	$0.75	
112310	Chicken Egg Production	$14.0	
112320	Broilers and Other Meat Type Chicken Production	$0.75	
112330	Turkey Production	$0.75	
112340	Poultry Hatcheries	$0.75	
112390	Other Poultry Production	$0.75	
112410	Sheep Farming	$0.75	
112420	Goat Farming	$0.75	
112511	Finfish Farming and Fish Hatcheries	$0.75	
112512	Shellfish Farming	$0.75	
112519	Other Aquaculture	$0.75	
112910	Apiculture	$0.75	
112920	Horses and Other Equine Production	$0.75	
112930	Fur-Bearing Animal and Rabbit Production	$0.75	
112990	All Other Animal Production	$0.75	
Subsector 113 – Forestry and Logging			
113110	Timber Tract Operations	$10.0	
113210	Forest Nurseries and Gathering of Forest Products	$10.0	
113310	Logging		500
Subsector 114 – Fishing, Hunting and Trapping			
114111	Finfish Fishing	$19.0	
114112	Shellfish Fishing	$5.0	
114119	Other Marine Fishing	$7.0	
114210	Hunting and Trapping	$5.0	
Subsector 115 – Support Activities for Agriculture and Forestry			
115111	Cotton Ginning	$10.0	
115112	Soil Preparation, Planting, and Cultivating	$7.0	
115113	Crop Harvesting, Primarily by Machine	$7.0	
115114	Postharvest Crop Activities (except Cotton Ginning)	$25.5	
115115	Farm Labor Contractors and Crew Leaders	$14.0	
115116	Farm Management Services	$7.0	
115210	Support Activities for Animal Production	$7.0	
115310	Support Activities for Forestry	$7.0	
Except,	Forest Fire Suppression[17]	$17.5[17]	
Except,	Fuels Management Services[17]	$17.5[17]	

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
Sector 21 – Mining, Quarrying, and Oil and Gas Extraction			
Subsector 211 – Oil and Gas Extraction			
211111	Crude Petroleum and Natural Gas Extraction		500
211112	Natural Gas Liquid Extraction		500
Subsector 212 – Mining (except Oil and Gas)			
212111	Bituminous Coal and Lignite Surface Mining		500
212112	Bituminous Coal Underground Mining		500
212113	Anthracite Mining		500
212210	Iron Ore Mining		500
212221	Gold Ore Mining		500
212222	Silver Ore Mining		500
212231	Lead Ore and Zinc Ore Mining		500
212234	Copper Ore and Nickel Ore Mining		500
212291	Uranium-Radium-Vanadium Ore Mining		500
212299	All Other Metal Ore Mining		500
212311	Dimension Stone Mining and Quarrying		500
212312	Crushed and Broken Limestone Mining and Quarrying		500
212313	Crushed and Broken Granite Mining and Quarrying		500
212319	Other Crushed and Broken Stone Mining and Quarrying		500
212321	Construction Sand and Gravel Mining		500
212322	Industrial Sand Mining		500
212324	Kaolin and Ball Clay Mining		500
212325	Clay and Ceramic and Refractory Minerals Mining		500
212391	Potash, Soda, and Borate Mineral Mining		500
212392	Phosphate Rock Mining		500
212393	Other Chemical and Fertilizer Mineral Mining		500
212399	All Other Nonmetallic Mineral Mining		500
Subsector 213 – Support Activities for Mining			
213111	Drilling Oil and Gas Wells		500
213112	Support Activities for Oil and Gas Operations	$35.5	
213113	Support Activities for Coal Mining	$19.0	
213114	Support Activities for Metal Mining	$19.0	
213115	Support Activities for Nonmetallic Minerals (except Fuels)	$7.0	

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
Sector 22 – Utilities			
Subsector 221 – Utilities			
221111	Hydroelectric Power Generation		500
221112	Fossil Fuel Electric Power Generation		750
221113	Nuclear Electric Power Generation		750
221114	Solar Electric Power Generation		250
221115	Wind Electric Power Generation		250
221116	Geothermal Electric Power Generation		250
221117	Biomass Electric Power Generation		250
221118	Other Electric Power Generation		250
221121	Electric Bulk Power Transmission and Control		500
221122	Electric Power Distribution		1,000
221210	Natural Gas Distribution		500
221310	Water Supply and Irrigation Systems	$25.5	
221320	Sewage Treatment Facilities	$19.0	
221330	Steam and Air-Conditioning Supply	$14.0	
Sector 23 – Construction			
Subsector 236 – Construction of Buildings			
236115	New Single-family Housing Construction (Except For-Sale Builders)	$33.5	
236116	New Multifamily Housing Construction (except For-Sale Builders)	$33.5	
236117	New Housing For-Sale Builders	$33.5	
236118	Residential Remodelers	$33.5	
236210	Industrial Building Construction	$33.5	
236220	Commercial and Institutional Building Construction	$33.5	
Subsector 237 – Heavy and Civil Engineering Construction			
237110	Water and Sewer Line and Related Structures Construction	$33.5	
237120	Oil and Gas Pipeline and Related Structures Construction	$33.5	
237130	Power and Communication Line and Related Structures Construction	$33.5	
237210	Land Subdivision	$25.5	
237310	Highway, Street, and Bridge Construction	$33.5	
237990	Other Heavy and Civil Engineering Construction	$33.5	
Except,	Dredging and Surface Cleanup Activities[2]	$25.5[2]	

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
Subsector 238 – Specialty Trade Contractors			
238110	Poured Concrete Foundation and Structure Contractors	$14.0	
238120	Structural Steel and Precast Concrete Contractors	$14.0	
238130	Framing Contractors	$14.0	
238140	Masonry Contractors	$14.0	
238150	Glass and Glazing Contractors	$14.0	
238160	Roofing Contractors	$14.0	
238170	Siding Contractors	$14.0	
238190	Other Foundation, Structure, and Building Exterior Contractors	$14.0	
238210	Electrical Contractors and Other Wiring Installation Contractors	$14.0	
238220	Plumbing, Heating, and Air-Conditioning Contractors	$14.0	
238290	Other Building Equipment Contractors	$14.0	
238310	Drywall and Insulation Contractors	$14.0	
238320	Painting and Wall Covering Contractors	$14.0	
238330	Flooring Contractors	$14.0	
238340	Tile and Terrazzo Contractors	$14.0	
238350	Finish Carpentry Contractors	$14.0	
238390	Other Building Finishing Contractors	$14.0	
238910	Site Preparation Contractors	$14.0	
238990	All Other Specialty Trade Contractors[13]	$14.0[13]	
Sector 31 – 33 – Manufacturing			
Subsector 311 – Food Manufacturing			
311111	Dog and Cat Food Manufacturing		500
311119	Other Animal Food Manufacturing		500
311211	Flour Milling		500
311212	Rice Milling		500
311213	Malt Manufacturing		500
311221	Wet Corn Milling		750
311224	Soybean and Other Oilseed Processing		1,000
311225	Fats and Oils Refining and Blending		1,000
311230	Breakfast Cereal Manufacturing		1,000
311313	Beet Sugar Manufacturing		750
311314	Cane Sugar Manufacturing		750
311340	Nonchocolate Confectionery Manufacturing		500

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
311351	Chocolate and Confectionery Manufacturing from Cacao Beans		500
311352	Confectionery Manufacturing from Purchased Chocolate		500
311411	Frozen Fruit, Juice and Vegetable Manufacturing		500
311412	Frozen Specialty Food Manufacturing		500
311421	Fruit and Vegetable Canning[3]		500[3]
311422	Specialty Canning		1,000
311423	Dried and Dehydrated Food Manufacturing		500
311511	Fluid Milk Manufacturing		500
311512	Creamery Butter Manufacturing		500
311513	Cheese Manufacturing		500
311514	Dry, Condensed, and Evaporated Dairy Product Manufacturing		500
311520	Ice Cream and Frozen Dessert Manufacturing		500
311611	Animal (except Poultry) Slaughtering		500
311612	Meat Processed from Carcasses		500
311613	Rendering and Meat Byproduct Processing		500
311615	Poultry Processing		500
311710	Seafood Product Preparation and Packaging		500
311811	Retail Bakeries		500
311812	Commercial Bakeries		500
311813	Frozen Cakes, Pies, and Other Pastries Manufacturing		500
311821	Cookie and Cracker Manufacturing		750
311824	Dry Pasta, Dough, and Flour Mixes Manufacturing from Purchased Flour		500
311830	Tortilla Manufacturing		500
311911	Roasted Nuts and Peanut Butter Manufacturing		500
311919	Other Snack Food Manufacturing		500
311920	Coffee and Tea Manufacturing		500
311930	Flavoring Syrup and Concentrate Manufacturing		500
311941	Mayonnaise, Dressing and Other Prepared Sauce Manufacturing		500
311942	Spice and Extract Manufacturing		500
311991	Perishable Prepared Food Manufacturing		500
311999	All Other Miscellaneous Food Manufacturing		500

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
Subsector 312 – Beverage and Tobacco Product Manufacturing			
312111	Soft Drink Manufacturing		500
312112	Bottled Water Manufacturing		500
312113	Ice Manufacturing		500
312120	Breweries		500
312130	Wineries		500
312140	Distilleries		750
312230	Tobacco Manufacturing		1,000
Subsector 313 – Textile Mills			
313110	Fiber, Yarn, and Thread Mills		500
313210	Broadwoven Fabric Mills		1,000
313220	Narrow Fabric Mills and Schiffli Machine Embroidery		500
313230	Nonwoven Fabric Mills		500
313240	Knit Fabric Mills		500
313310	Textile and Fabric Finishing Mills		1,000
313320	Fabric Coating Mills		1,000
Subsector 314 – Textile Product Mills			
314110	Carpet and Rug Mills		500
314120	Curtain and Linen Mills		500
314910	Textile Bag and Canvas Mills		500
314994	Rope, Cordage, Twine, Tire Cord, and Tire Fabric Mills		1,000
314999	All Other Miscellaneous Textile Product Mills		500
Subsector 315 – Apparel Manufacturing			
315110	Hosiery and Sock Mills		500
315190	Other Apparel Knitting Mills		500
315210	Cut and Sew Apparel Contractors		500
315220	Men's and Boys' Cut and Sew Apparel Manufacturing		500
315240	Women's, Girls', and Infants' Cut and Sew Apparel Manufacturing		500
315280	Other Cut and Sew Apparel Manufacturing		500
315990	Apparel Accessories and Other Apparel Manufacturing		500
Subsector 316 – Leather and Allied Product Manufacturing			
316110	Leather and Hide Tanning and Finishing		500
316210	Footwear Manufacturing		1,000

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
316992	Women's Handbag and Purse Manufacturing		500
316998	All Other Leather Good and Allied Product Manufacturing		500
Subsector 321 – Wood Product Manufacturing			
321113	Sawmills		500
321114	Wood Preservation		500
321211	Hardwood Veneer and Plywood Manufacturing		500
321212	Softwood Veneer and Plywood Manufacturing		500
321213	Engineered Wood Member (except Truss) Manufacturing		500
321214	Truss Manufacturing		500
321219	Reconstituted Wood Product Manufacturing		500
321911	Wood Window and Door Manufacturing		500
321912	Cut Stock, Resawing Lumber, and Planing		500
321918	Other Millwork (including Flooring)		500
321920	Wood Container and Pallet Manufacturing		500
321991	Manufactured Home (Mobile Home) Manufacturing		500
321992	Prefabricated Wood Building Manufacturing		500
321999	All Other Miscellaneous Wood Product Manufacturing		500
Subsector 322 – Paper Manufacturing			
322110	Pulp Mills		750
322121	Paper (except Newsprint) Mills		750
322122	Newsprint Mills		750
322130	Paperboard Mills		750
322211	Corrugated and Solid Fiber Box Manufacturing		500
322212	Folding Paperboard Box Manufacturing		750
322219	Other Paperboard Container Manufacturing		750
322220	Paper Bag and Coated and Treated Paper Manufacturing		500
322230	Stationery Product Manufacturing		500
322291	Sanitary Paper Product Manufacturing		500
322299	All Other Converted Paper Product Manufacturing		500
Subsector 323 – Printing and Related Support Activities			
323111	Commercial Printing (except Screen and Books)		500
323113	Commercial Screen Printing		500

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
323117	Books Printing		500
323120	Support Activities for Printing		500
Subsector 324 – Petroleum and Coal Products Manufacturing			
324110	Petroleum Refineries[4]		1,500[4]
324121	Asphalt Paving Mixture and Block Manufacturing		500
324122	Asphalt Shingle and Coating Materials Manufacturing		750
324191	Petroleum Lubricating Oil and Grease Manufacturing		500
324199	All Other Petroleum and Coal Products Manufacturing		500
Subsector 325 – Chemical Manufacturing			
325110	Petrochemical Manufacturing		1,000
325120	Industrial Gas Manufacturing		1,000
325130	Synthetic Dye and Pigment Manufacturing		1,000
325180	Other Basic Inorganic Chemical Manufacturing		1,000
325193	Ethyl Alcohol Manufacturing		1,000
325194	Cyclic Crude, Intermediate, and Gum and Wood Chemical Manufacturing		750
325199	All Other Basic Organic Chemical Manufacturing		1,000
325211	Plastics Material and Resin Manufacturing		750
325212	Synthetic Rubber Manufacturing		1,000
325220	Artificial and Synthetic Fibers and Filaments Manufacturing		1,000
325311	Nitrogenous Fertilizer Manufacturing		1,000
325312	Phosphatic Fertilizer Manufacturing		500
325314	Fertilizer (Mixing Only) Manufacturing		500
325320	Pesticide and Other Agricultural Chemical Manufacturing		500
325411	Medicinal and Botanical Manufacturing		750
325412	Pharmaceutical Preparation Manufacturing		750
325413	In-Vitro Diagnostic Substance Manufacturing		500
325414	Biological Product (except Diagnostic) Manufacturing		500
325510	Paint and Coating Manufacturing		500
325520	Adhesive Manufacturing		500
325611	Soap and Other Detergent Manufacturing		750
325612	Polish and Other Sanitation Good Manufacturing		500
325613	Surface Active Agent Manufacturing		500
325620	Toilet Preparation Manufacturing		500

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
325910	Printing Ink Manufacturing		500
325920	Explosives Manufacturing		750
325991	Custom Compounding of Purchased Resins		500
325992	Photographic Film, Paper, Plate and Chemical Manufacturing		500
325998	All Other Miscellaneous Chemical Product and Preparation Manufacturing		500
Subsector 326 – Plastics and Rubber Products Manufacturing			
326111	Plastic Bag and Pouch Manufacturing		500
326112	Plastics Packaging Film and Sheet (including Laminated) Manufacturing		500
326113	Unlaminated Plastics Film and Sheet (except Packaging) Manufacturing		500
326121	Unlaminated Plastics Profile Shape Manufacturing		500
326122	Plastics Pipe and Pipe Fitting Manufacturing		500
326130	Laminated Plastics Plate, Sheet (except Packaging), and Shape Manufacturing		500
326140	Polystyrene Foam Product Manufacturing		500
326150	Urethane and Other Foam Product (except Polystyrene) Manufacturing		500
326160	Plastics Bottle Manufacturing		500
326191	Plastics Plumbing Fixture Manufacturing		500
326199	All Other Plastics Product Manufacturing		750
326211	Tire Manufacturing (except Retreading)[5]		1,000[5]
326212	Tire Retreading		500
326220	Rubber and Plastics Hoses and Belting Manufacturing		500
326291	Rubber Product Manufacturing for Mechanical Use		500
326299	All Other Rubber Product Manufacturing		500
Subsector 327 – Nonmetallic Mineral Product Manufacturing			
327110	Pottery, Ceramics, and Plumbing Fixture Manufacturing		750
327120	Clay Building Material and Refractories Manufacturing		750
327211	Flat Glass Manufacturing		1,000
327212	Other Pressed and Blown Glass and Glassware Manufacturing		750
327213	Glass Container Manufacturing		750

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
327215	Glass Product Manufacturing Made of Purchased Glass		500
327310	Cement Manufacturing		750
327320	Ready-Mix Concrete Manufacturing		500
327331	Concrete Block and Brick Manufacturing		500
327332	Concrete Pipe Manufacturing		500
327390	Other Concrete Product Manufacturing		500
327410	Lime Manufacturing		500
327420	Gypsum Product Manufacturing		1,000
327910	Abrasive Product Manufacturing		500
327991	Cut Stone and Stone Product Manufacturing		500
327992	Ground or Treated Mineral and Earth Manufacturing		500
327993	Mineral Wool Manufacturing		750
327999	All Other Miscellaneous Nonmetallic Mineral Product Manufacturing		500
Subsector 331 – Primary Metal Manufacturing			
331110	Iron and Steel Mills and Ferroalloy Manufacturing		1,000
331210	Iron and Steel Pipe and Tube Manufacturing from Purchased Steel		1,000
331221	Rolled Steel Shape Manufacturing		1,000
331222	Steel Wire Drawing		1,000
331313	Alumina Refining and Primary Aluminum Production		1,000
331314	Secondary Smelting and Alloying of Aluminum		750
331315	Aluminum Sheet, Plate and Foil Manufacturing		750
331318	Other Aluminum Rolling, Drawing, and Extruding		750
331410	Nonferrous Metal (except Aluminum) Smelting and Refining		1,000
331420	Copper Rolling, Drawing, Extruding, and Alloying		1,000
331491	Nonferrous Metal (except Copper and Aluminum) Rolling, Drawing and Extruding		750
331492	Secondary Smelting, Refining, and Alloying of Nonferrous Metal (except Copper and Aluminum)		750
331511	Iron Foundries		500
331512	Steel Investment Foundries		500
331513	Steel Foundries (except Investment)		500
331523	Nonferrous Metal Die-Casting Foundries		500

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
331524	Aluminum Foundries (except Die-Casting)		500
331529	Other Nonferrous Metal Foundries (except Die-Casting)		500
Subsector 332 – Fabricated Metal Product Manufacturing			
332111	Iron and Steel Forging		500
332112	Nonferrous Forging		500
332114	Custom Roll Forming		500
332117	Powder Metallurgy Part Manufacturing		500
332119	Metal Crown, Closure, and Other Metal Stamping (except Automotive)		500
332215	Metal Kitchen Cookware, Utensil, Cutlery, and Flatware (except Precious) Manufacturing		500
332216	Saw Blade and Handtool Manufacturing		500
332311	Prefabricated Metal Building and Component Manufacturing		500
332312	Fabricated Structural Metal Manufacturing		500
332313	Plate Work Manufacturing		500
332321	Metal Window and Door Manufacturing		500
332322	Sheet Metal Work Manufacturing		500
332323	Ornamental and Architectural Metal Work Manufacturing		500
332410	Power Boiler and Heat Exchanger Manufacturing		500
332420	Metal Tank (Heavy Gauge) Manufacturing		500
332431	Metal Can Manufacturing		1,000
332439	Other Metal Container Manufacturing		500
332510	Hardware Manufacturing		500
332613	Spring Manufacturing		500
332618	Other Fabricated Wire Product Manufacturing		500
332710	Machine Shops		500
332721	Precision Turned Product Manufacturing		500
332722	Bolt, Nut, Screw, Rivet and Washer Manufacturing		500
332811	Metal Heat Treating		750
332812	Metal Coating, Engraving (except Jewelry and Silverware), and Allied Services to Manufacturers		500
332813	Electroplating, Plating, Polishing, Anodizing and Coloring		500
332911	Industrial Valve Manufacturing		500

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
332912	Fluid Power Valve and Hose Fitting Manufacturing		500
332913	Plumbing Fixture Fitting and Trim Manufacturing		500
332919	Other Metal Valve and Pipe Fitting Manufacturing		500
332991	Ball and Roller Bearing Manufacturing		750
332992	Small Arms Ammunition Manufacturing		1,000
332993	Ammunition (except Small Arms) Manufacturing		1,500
332994	Small Arms, Ordnance, and Ordnance Accessories Manufacturing		1,000
332996	Fabricated Pipe and Pipe Fitting Manufacturing		500
332999	All Other Miscellaneous Fabricated Metal Product Manufacturing		750
Subsector 333 – Machinery Manufacturing[6]			
333111	Farm Machinery and Equipment Manufacturing		500
333112	Lawn and Garden Tractor and Home Lawn and Garden Equipment Manufacturing		500
333120	Construction Machinery Manufacturing		750
333131	Mining Machinery and Equipment Manufacturing		500
333132	Oil and Gas Field Machinery and Equipment Manufacturing		500
333241	Food Product Machinery Manufacturing		500
333242	Semiconductor Machinery Manufacturing		500
333243	Sawmill, Woodworking, and Paper Machinery Manufacturing		500
333244	Printing Machinery and Equipment Manufacturing		500
333249	Other Industrial Machinery Manufacturing		500
333314	Optical Instrument and Lens Manufacturing		500
333316	Photographic and Photocopying Equipment Manufacturing		1,000
333318	Other Commercial and Service Industry Machinery Manufacturing		1,000
333413	Industrial and Commercial Fan and Blower and Air Purification Equipment Manufacturing		500
333414	Heating Equipment (except Warm Air Furnaces) Manufacturing		500
333415	Air-Conditioning and Warm Air Heating Equipment and Commercial and Industrial Refrigeration Equipment Manufacturing		750

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
333511	Industrial Mold Manufacturing		500
333514	Special Die and Tool, Die Set, Jig and Fixture Manufacturing		500
333515	Cutting Tool and Machine Tool Accessory Manufacturing		500
333517	Machine Tool Manufacturing		500
333519	Rolling Mill and Other Metalworking Machinery Manufacturing		500
333611	Turbine and Turbine Generator Set Unit Manufacturing		1,000
333612	Speed Changer, Industrial High-Speed Drive and Gear Manufacturing		500
333613	Mechanical Power Transmission Equipment Manufacturing		500
333618	Other Engine Equipment Manufacturing		1,000
333911	Pump and Pumping Equipment Manufacturing		500
333912	Air and Gas Compressor Manufacturing		500
333913	Measuring and Dispensing Pump Manufacturing		500
333921	Elevator and Moving Stairway Manufacturing		500
333922	Conveyor and Conveying Equipment Manufacturing		500
333923	Overhead Traveling Crane, Hoist and Monorail System Manufacturing		500
333924	Industrial Truck, Tractor, Trailer and Stacker Machinery Manufacturing		750
333991	Power-Driven Hand Tool Manufacturing		500
333992	Welding and Soldering Equipment Manufacturing		500
333993	Packaging Machinery Manufacturing		500
333994	Industrial Process Furnace and Oven Manufacturing		500
333995	Fluid Power Cylinder and Actuator Manufacturing		500
333996	Fluid Power Pump and Motor Manufacturing		500
333997	Scale and Balance Manufacturing		500
333999	All Other Miscellaneous General Purpose Machinery Manufacturing		500
Subsector 334 – Computer and Electronic Product Manufacturing[6]			
334111	Electronic Computer Manufacturing		1,000
334112	Computer Storage Device Manufacturing		1,000

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
334118	Computer Terminal and Other Computer Peripheral Equipment Manufacturing		1,000
334210	Telephone Apparatus Manufacturing		1,000
334220	Radio and Television Broadcasting and Wireless Communications Equipment Manufacturing		750
334290	Other Communications Equipment Manufacturing		750
334310	Audio and Video Equipment Manufacturing		750
334412	Bare Printed Circuit Board Manufacturing		500
334413	Semiconductor and Related Device Manufacturing		500
334416	Capacitor, Resistor, Coil, Transformer, and Other Inductor Manufacturing		500
334417	Electronic Connector Manufacturing		500
334418	Printed Circuit Assembly (Electronic Assembly) Manufacturing		500
334419	Other Electronic Component Manufacturing		500
334510	Electromedical and Electrotherapeutic Apparatus Manufacturing		500
334511	Search, Detection, Navigation, Guidance, Aeronautical, and Nautical System and Instrument Manufacturing		750
334512	Automatic Environmental Control Manufacturing for Residential, Commercial and Appliance Use		500
334513	Instruments and Related Products Manufacturing for Measuring, Displaying, and Controlling Industrial Process Variables		500
334514	Totalizing Fluid Meter and Counting Device Manufacturing		500
334515	Instrument Manufacturing for Measuring and Testing Electricity and Electrical Signals		500
334516	Analytical Laboratory Instrument Manufacturing		500
334517	Irradiation Apparatus Manufacturing		500
334519	Other Measuring and Controlling Device Manufacturing		500
334613	Blank Magnetic and Optical Recording Media Manufacturing		1,000
334614	Software and Other Prerecorded Compact Disc, Tape, and Record Reproducing		750

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
Subsector 335 – Electrical Equipment, Appliance and Component Manufacturing[6]			
335110	Electric Lamp Bulb and Part Manufacturing		1,000
335121	Residential Electric Lighting Fixture Manufacturing		500
335122	Commercial, Industrial and Institutional Electric Lighting Fixture Manufacturing		500
335129	Other Lighting Equipment Manufacturing		500
335210	Small Electrical Appliance Manufacturing		750
335221	Household Cooking Appliance Manufacturing		750
335222	Household Refrigerator and Home Freezer Manufacturing		1,000
335224	Household Laundry Equipment Manufacturing		1,000
335228	Other Major Household Appliance Manufacturing		500
335311	Power, Distribution and Specialty Transformer Manufacturing		750
335312	Motor and Generator Manufacturing		1,000
335313	Switchgear and Switchboard Apparatus Manufacturing		750
335314	Relay and Industrial Control Manufacturing		750
335911	Storage Battery Manufacturing		500
335912	Primary Battery Manufacturing		1,000
335921	Fiber Optic Cable Manufacturing		1,000
335929	Other Communication and Energy Wire Manufacturing		1,000
335931	Current-Carrying Wiring Device Manufacturing		500
335932	Noncurrent-Carrying Wiring Device Manufacturing		500
335991	Carbon and Graphite Product Manufacturing		750
335999	All Other Miscellaneous Electrical Equipment and Component Manufacturing		500
Subsector 336 – Transportation Equipment Manufacturing[6]			
336111	Automobile Manufacturing		1,000
336112	Light Truck and Utility Vehicle Manufacturing		1,000
336120	Heavy Duty Truck Manufacturing		1,000
336211	Motor Vehicle Body Manufacturing		1,000
336212	Truck Trailer Manufacturing		500
336213	Motor Home Manufacturing		1,000
336214	Travel Trailer and Camper Manufacturing		500

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
336310	Motor Vehicle Gasoline Engine and Engine Parts Manufacturing		750
336320	Motor Vehicle Electrical and Electronic Equipment Manufacturing		750
336330	Motor Vehicle Steering and Suspension Components (except Spring) Manufacturing		750
336340	Motor Vehicle Brake System Manufacturing		750
336350	Motor Vehicle Transmission and Power Train Parts Manufacturing		750
336360	Motor Vehicle Seating and Interior Trim Manufacturing		500
336370	Motor Vehicle Metal Stamping		500
336390	Other Motor Vehicle Parts Manufacturing		750
336411	Aircraft Manufacturing		1,500
336412	Aircraft Engine and Engine Parts Manufacturing		1,000
336413	Other Aircraft Part and Auxiliary Equipment Manufacturing[7]		1,000[7]
336414	Guided Missile and Space Vehicle Manufacturing		1,000
336415	Guided Missile and Space Vehicle Propulsion Unit and Propulsion Unit Parts Manufacturing		1,000
336419	Other Guided Missile and Space Vehicle Parts and Auxiliary Equipment Manufacturing		1,000
336510	Railroad Rolling Stock Manufacturing		1,000
336611	Ship Building and Repairing		1,000
336612	Boat Building		500
336991	Motorcycle, Bicycle and Parts Manufacturing		500
336992	Military Armored Vehicle, Tank and Tank Component Manufacturing		1,000
336999	All Other Transportation Equipment Manufacturing		500
Subsector 337 – Furniture and Related Product Manufacturing			
337110	Wood Kitchen Cabinet and Counter Top Manufacturing		500
337121	Upholstered Household Furniture Manufacturing		500
337122	Nonupholstered Wood Household Furniture Manufacturing		500
337124	Metal Household Furniture Manufacturing		500

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
337125	Household Furniture (except Wood and Metal) Manufacturing		500
337127	Institutional Furniture Manufacturing		500
337211	Wood Office Furniture Manufacturing		500
337212	Custom Architectural Woodwork and Millwork Manufacturing		500
337214	Office Furniture (Except Wood) Manufacturing		500
337215	Showcase, Partition, Shelving, and Locker Manufacturing		500
337910	Mattress Manufacturing		500
337920	Blind and Shade Manufacturing		500
Subsector 339 – Miscellaneous Manufacturing			
339112	Surgical and Medical Instrument Manufacturing		500
339113	Surgical Appliance and Supplies Manufacturing		500
339114	Dental Equipment and Supplies Manufacturing		500
339115	Ophthalmic Goods Manufacturing		500
339116	Dental Laboratories		500
339910	Jewelry and Silverware Manufacturing		500
339920	Sporting and Athletic Goods Manufacturing		500
339930	Doll, Toy, and Game Manufacturing		500
339940	Office Supplies (except Paper) Manufacturing		500
339950	Sign Manufacturing		500
339991	Gasket, Packing, and Sealing Device Manufacturing		500
339992	Musical Instrument Manufacturing		500
339993	Fastener, Button, Needle and Pin Manufacturing		500
339994	Broom, Brush and Mop Manufacturing		500
339995	Burial Casket Manufacturing		500
339999	All Other Miscellaneous Manufacturing		500

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
Sector 42 – Wholesale Trade			
(These NAICS codes shall not be used to classify Government acquisitions for supplies. They also shall not be used by Federal government contractors when subcontracting for the acquisition for supplies. The applicable manufacturing NAICS code shall be used to classify acquisitions for supplies. A Wholesale Trade or Retail Trade business concern submitting an offer or a quote on a supply acquisition is categorized as a nonmanufacturer and deemed small if it has 500 or fewer employees and meets the requirements of 13 CFR 121.406.)			
Subsector 423 – Merchant Wholesalers, Durable Goods			
423110	Automobile and Other Motor Vehicle Merchant Wholesalers		100
423120	Motor Vehicle Supplies and New Parts Merchant Wholesalers		100
423130	Tire and Tube Merchant Wholesalers		100
423140	Motor Vehicle Parts (Used) Merchant Wholesalers		100
423210	Furniture Merchant Wholesalers		100
423220	Home Furnishing Merchant Wholesalers		100
423310	Lumber, Plywood, Millwork, and Wood Panel Merchant Wholesalers		100
423320	Brick, Stone, and Related Construction Material Merchant Wholesalers		100
423330	Roofing, Siding, and Insulation Material Merchant Wholesalers		100
423390	Other Construction Material Merchant Wholesalers		100
423410	Photographic Equipment and Supplies Merchant Wholesalers		100
423420	Office Equipment Merchant Wholesalers		100
423430	Computer and Computer Peripheral Equipment and Software Merchant Wholesalers		100
423440	Other Commercial Equipment Merchant Wholesalers		100
423450	Medical, Dental, and Hospital Equipment and Supplies Merchant Wholesalers		100
423460	Ophthalmic Goods Merchant Wholesalers		100
423490	Other Professional Equipment and Supplies Merchant Wholesalers		100
423510	Metal Service Centers and Other Metal Merchant Wholesalers		100

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
423520	Coal and Other Mineral and Ore Merchant Wholesalers		100
423610	Electrical Apparatus and Equipment, Wiring Supplies, and Related Equipment Merchant Wholesalers		100
423620	Household Appliances, Electric Housewares, and Consumer Electronics Merchant Wholesalers		100
423690	Other Electronic Parts and Equipment Merchant Wholesalers		100
423710	Hardware Merchant Wholesalers		100
423720	Plumbing and Heating Equipment and Supplies (Hydronics) Merchant Wholesalers		100
423730	Warm Air Heating and Air-Conditioning Equipment and Supplies Merchant Wholesalers		100
423740	Refrigeration Equipment and Supplies Merchant Wholesalers		100
423810	Construction and Mining (except Oil Well) Machinery and Equipment Merchant Wholesalers		100
423820	Farm and Garden Machinery and Equipment Merchant Wholesalers		100
423830	Industrial Machinery and Equipment Merchant Wholesalers		100
423840	Industrial Supplies Merchant Wholesalers		100
423850	Service Establishment Equipment and Supplies Merchant Wholesalers		100
423860	Transportation Equipment and Supplies (except Motor Vehicle) Merchant Wholesalers		100
423910	Sporting and Recreational Goods and Supplies Merchant Wholesalers		100
423920	Toy and Hobby Goods and Supplies Merchant Wholesalers		100
423930	Recyclable Material Merchant Wholesalers		100
423940	Jewelry, Watch, Precious Stone, and Precious Metal Merchant Wholesalers		100
423990	Other Miscellaneous Durable Goods Merchant Wholesalers		100

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
Subsector 424 – Merchant Wholesalers, Nondurable Goods			
424110	Printing and Writing Paper Merchant Wholesalers		100
424120	Stationary and Office Supplies Merchant Wholesalers		100
424130	Industrial and Personal Service Paper Merchant Wholesalers		100
424210	Drugs and Druggists' Sundries Merchant Wholesalers		100
424310	Piece Goods, Notions, and Other Dry Goods Merchant Wholesalers		100
424320	Men's and Boys' Clothing and Furnishings Merchant Wholesalers		100
424330	Women's, Children's, and Infants' Clothing and Accessories Merchant Wholesalers		100
424340	Footwear Merchant Wholesalers		100
424410	General Line Grocery Merchant Wholesalers		100
424420	Packaged Frozen Food Merchant Wholesalers		100
424430	Dairy Product (except Dried or Canned) Merchant Wholesalers		100
424440	Poultry and Poultry Product Merchant Wholesalers		100
424450	Confectionery Merchant Wholesalers		100
424460	Fish and Seafood Merchant Wholesalers		100
424470	Meat and Meat Product Merchant Wholesalers		100
424480	Fresh Fruit and Vegetable Merchant Wholesalers		100
424490	Other Grocery and Related Products Merchant Wholesalers		100
424510	Grain and Field Bean Merchant Wholesalers		100
424520	Livestock Merchant Wholesalers		100
424590	Other Farm Product Raw Material Merchant Wholesalers		100
424610	Plastics Materials and Basic Forms and Shapes Merchant Wholesalers		100
424690	Other Chemical and Allied Products Merchant Wholesalers		100
424710	Petroleum Bulk Stations and Terminals		100
424720	Petroleum and Petroleum Products Merchant Wholesalers (except Bulk Stations and Terminals)		100
424810	Beer and Ale Merchant Wholesalers		100

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
424820	Wine and Distilled Alcoholic Beverage Merchant Wholesalers		100
424910	Farm Supplies Merchant Wholesalers		100
424920	Book, Periodical, and Newspaper Merchant Wholesalers		100
424930	Flower, Nursery Stock, and Florists' Supplies Merchant Wholesalers		100
424940	Tobacco and Tobacco Product Merchant Wholesalers		100
424950	Paint, Varnish, and Supplies Merchant Wholesalers		100
424990	Other Miscellaneous Nondurable Goods Merchant Wholesalers		100
Subsector 425 – Wholesale Electronic Markets and Agents and Brokers			
425110	Business to Business Electronic Markets		100
425120	Wholesale Trade Agents and Brokers		100
Sector 44 - 45 – Retail Trade			
(These NAICS codes shall not be used to classify Government acquisitions for supplies. They also shall not be used by Federal government contractors when subcontracting for the acquisition for supplies. The applicable manufacturing NAICS code shall be used to classify acquisitions for supplies. A Wholesale Trade or Retail Trade business concern submitting an offer or a quote on a supply acquisition is categorized as a nonmanufacturer and deemed small if it has 500 or fewer employees and meets the requirements of 13 CFR 121.406.)			
Subsector 441 – Motor Vehicle and Parts Dealers			
441110	New Car Dealers		200
441120	Used Car Dealers	$23.0	
441210	Recreational Vehicle Dealers	$30.0	
441222	Boat Dealers	$30.0	
441228	Motorcycle, ATV, and All Other Motor Vehicle Dealers	$30.0	
441310	Automotive Parts and Accessories Stores	$14.0	
441320	Tire Dealers	$14.0	
Subsector 442 – Furniture and Home Furnishings Stores			
442110	Furniture Stores	$19.0	
442210	Floor Covering Stores	$7.0	
442291	Window Treatment Stores	$7.0	
442299	All Other Home Furnishings Stores	$19.0	

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
Subsector 443 – Electronics and Appliance Stores			
443141	Household Appliance Stores	$10.0	
443142	Electronics Stores	$30.0	
Subsector 444 – Building Material and Garden Equipment and Supplies Dealers			
444110	Home Centers	$35.5	
444120	Paint and Wallpaper Stores	$25.5	
444130	Hardware Stores	$7.0	
444190	Other Building Material Dealers	$19.0	
444210	Outdoor Power Equipment Stores	$7.0	
444220	Nursery and Garden Centers	$10.0	
Subsector 445 – Food and Beverage Stores			
445110	Supermarkets and Other Grocery (except Convenience) Stores	$30.0	
445120	Convenience Stores	$27.0	
445210	Meat Markets	$7.0	
445220	Fish and Seafood Markets	$7.0	
445230	Fruit and Vegetable Markets	$7.0	
445291	Baked Goods Stores	$7.0	
445292	Confectionery and Nut Stores	$7.0	
445299	All Other Specialty Food Stores	$7.0	
445310	Beer, Wine and Liquor Stores	$7.0	
Subsector 446 – Health and Personal Care Stores			
446110	Pharmacies and Drug Stores	$25.5	
446120	Cosmetics, Beauty Supplies and Perfume Stores	$25.5	
446130	Optical Goods Stores	$19.0	
446191	Food (Health) Supplement Stores	$14.0	
446199	All Other Health and Personal Care Stores	$7.0	
Subsector 447 – Gasoline Stations			
447110	Gasoline Stations with Convenience Stores	$27.0	
447190	Other Gasoline Stations	$14.0	
Subsector 448 – Clothing and Clothing Accessories Stores			
448110	Men’s Clothing Stores	$10.0	
448120	Women’s Clothing Stores	$25.5	
448130	Children’s and Infants’ Clothing Stores	$30.0	
448140	Family Clothing Stores	$35.5	
448150	Clothing Accessories Stores	$14.0	
448190	Other Clothing Stores	$19.0	

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
448210	Shoe Stores	$25.5	
448310	Jewelry Stores	$14.0	
448320	Luggage and Leather Goods Stores	$25.5	
Subsector 451 – Sporting Good, Hobby, Book and Music Stores			
451110	Sporting Goods Stores	$14.0	
451120	Hobby, Toy and Game Stores	$25.5	
451130	Sewing, Needlework and Piece Goods Stores	$25.5	
451140	Musical Instrument and Supplies Stores	$10.0	
451211	Book Stores	$25.5	
451212	News Dealers and Newsstands	$7.0	
Subsector 452 – General Merchandise Stores			
452111	Department Stores (except Discount Department Stores)	$30.0	
452112	Discount Department Stores	$27.0	
452910	Warehouse Clubs and Superstores	$27.0	
452990	All Other General Merchandise Stores	$30.0	
Subsector 453 – Miscellaneous Store Retailers			
453110	Florists	$7.0	
453210	Office Supplies and Stationery Stores	$30.0	
453220	Gift, Novelty and Souvenir Stores	$7.0	
453310	Used Merchandise Stores	$7.0	
453910	Pet and Pet Supplies Stores	$19.0	
453920	Art Dealers	$7.0	
453930	Manufactured (Mobile) Home Dealers	$14.0	
453991	Tobacco Stores	$7.0	
453998	All Other Miscellaneous Store Retailers (except Tobacco Stores)	$7.0	
Subsector 454 – Nonstore Retailers			
454111	Electronic Shopping	$30.0	
454112	Electronic Auctions	$35.5	
454113	Mail-Order Houses	$35.5	
454210	Vending Machine Operators	$10.0	
454310	Fuel Dealers		50
454390	Other Direct Selling Establishments	$7.0	

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
Sector 48 - 49 – Transportation and Warehousing			
Subsector 481 – Air Transportation			
481111	Scheduled Passenger Air Transportation		1,500
481112	Scheduled Freight Air Transportation		1,500
481211	Nonscheduled Chartered Passenger Air Transportation		1,500
Except,	Offshore Marine Air Transportation Services	$28.0	
481212	Nonscheduled Chartered Freight Air Transportation		1,500
Except,	Offshore Marine Air Transportation Services	$28.0	
481219	Other Nonscheduled Air Transportation	$14.0	
Subsector 482 – Rail Transportation			
482111	Line-Haul Railroads		1,500
482112	Short Line Railroads		500
Subsector 483 – Water Transportation[15]			
483111	Deep Sea Freight Transportation		500
483112	Deep Sea Passenger Transportation		500
483113	Coastal and Great Lakes Freight Transportation		500
483114	Coastal and Great Lakes Passenger Transportation		500
483211	Inland Water Freight Transportation		500
483212	Inland Water Passenger Transportation		500
Subsector 484 – Truck Transportation			
484110	General Freight Trucking, Local	$25.5	
484121	General Freight Trucking, Long-Distance, Truckload	$25.5	
484122	General Freight Trucking, Long-Distance, Less Than Truckload	$25.5	
484210	Used Household and Office Goods Moving	$25.5	
484220	Specialized Freight (except Used Goods) Trucking, Local	$25.5	
484230	Specialized Freight (except Used Goods) Trucking, Long-Distance	$25.5	
Subsector 485 – Transit and Ground Passenger Transportation			
485111	Mixed Mode Transit Systems	$14.0	
485112	Commuter Rail Systems	$14.0	
485113	Bus and Other Motor Vehicle Transit Systems	$14.0	
485119	Other Urban Transit Systems	$14.0	
485210	Interurban and Rural Bus Transportation	$14.0	
485310	Taxi Service	$14.0	

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
485320	Limousine Service	$14.0	
485410	School and Employee Bus Transportation	$14.0	
485510	Charter Bus Industry	$14.0	
485991	Special Needs Transportation	$14.0	
485999	All Other Transit and Ground Passenger Transportation	$14.0	
Subsector 486 – Pipeline Transportation			
486110	Pipeline Transportation of Crude Oil		1,500
486210	Pipeline Transportation of Natural Gas	$25.5	
486910	Pipeline Transportation of Refined Petroleum Products		1,500
486990	All Other Pipeline Transportation	$34.5	
Subsector 487 – Scenic and Sightseeing Transportation			
487110	Scenic and Sightseeing Transportation, Land	$7.0	
487210	Scenic and Sightseeing Transportation, Water	$7.0	
487990	Scenic and Sightseeing Transportation, Other	$7.0	
Subsector 488 – Support Activities for Transportation			
488111	Air Traffic Control	$30.0	
488119	Other Airport Operations	$30.0	
488190	Other Support Activities for Air Transportation	$30.0	
488210	Support Activities for Rail Transportation	$14.0	
488310	Port and Harbor Operations	$35.5	
488320	Marine Cargo Handling	$35.5	
488330	Navigational Services to Shipping	$35.5	
488390	Other Support Activities for Water Transportation	$35.5	
488410	Motor Vehicle Towing	$7.0	
488490	Other Support Activities for Road Transportation	$7.0	
488510	Freight Transportation Arrangement[10]	$14.0[10]	
Except,	Non-Vessel Owning Common Carriers and Household Goods Forwarders	$25.5	
488991	Packing and Crating	$25.5	
488999	All Other Support Activities for Transportation	$7.0	
Subsector 491 – Postal Service			
491110	Postal Service	$7.0	
Subsector 492 – Couriers and Messengers			
492110	Couriers and Express Delivery Services		1,500
492210	Local Messengers and Local Delivery	$25.5	

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
Subsector 493 – Warehousing and Storage			
493110	General Warehousing and Storage	$25.5	
493120	Refrigerated Warehousing and Storage	$25.5	
493130	Farm Product Warehousing and Storage	$25.5	
493190	Other Warehousing and Storage	$25.5	
Sector 51 – Information			
Subsector 511 – Publishing Industries (except Internet)			
511110	Newspaper Publishers		500
511120	Periodical Publishers		500
511130	Book Publishers		500
511140	Directory and Mailing List Publishers		500
511191	Greeting Card Publishers		500
511199	All Other Publishers		500
511210	Software Publishers	$35.5	
Subsector 512 – Motion Picture and Sound Recording Industries			
512110	Motion Picture and Video Production	$30.0	
512120	Motion Picture and Video Distribution	$29.5	
512131	Motion Picture Theaters (except Drive-Ins)	$35.5	
512132	Drive-In Motion Picture Theaters	$7.0	
512191	Teleproduction and Other Postproduction Services	$29.5	
512199	Other Motion Picture and Video Industries	$19.0	
512210	Record Production	$7.0	
512220	Integrated Record Production/Distribution		750
512230	Music Publishers		500
512240	Sound Recording Studios	$7.0	
512290	Other Sound Recording Industries	$10.0	
Subsector 515 – Broadcasting (except Internet)			
515111	Radio Networks	$30.0	
515112	Radio Stations	$35.5	
515120	Television Broadcasting	$35.5	
515210	Cable and Other Subscription Programming	$35.5	
Subsector 517 – Telecommunications			
517110	Wired Telecommunications Carriers		1,500
517210	Wireless Telecommunications Carriers (except Satellite)		1,500
517410	Satellite Telecommunications	$30.0	

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
517911	Telecommunications Resellers		1,500
517919	All Other Telecommunications	$30.0	
Subsector 518 –Data Processing, Hosting, and Related Services			
518210	Data Processing, Hosting, and Related Services	$30.0	
Subsector 519 – Other Information Services			
519110	News Syndicates	$25.5	
519120	Libraries and Archives	$14.0	
519130	Internet Publishing and Broadcasting and Web Search Portals		500
519190	All Other Information Services	$25.5	
Sector 52 – Finance and Insurance			
Subsector 522 – Credit Intermediation and Related Activities			
522110	Commercial Banking[8]	$500 million in assets[8]	
522120	Savings Institutions[8]	$500 million in assets[8]	
522130	Credit Unions[8]	$500 million in assets[8]	
522190	Other Depository Credit Intermediation[8]	$500 million in assets[8]	
522210	Credit Card Issuing[8]	$500 million in assets[8]	
522220	Sales Financing	$35.5	
522291	Consumer Lending	$35.5	
522292	Real Estate Credit	$35.5	
522293	International Trade Financing	$35.5	
522294	Secondary Market Financing	$35.5	
522298	All Other Nondepository Credit Intermediation	$35.5	
522310	Mortgage and Nonmortgage Loan Brokers	$7.0	
522320	Financial Transactions Processing, Reserve, and Clearinghouse Activities	$35.5	
522390	Other Activities Related to Credit Intermediation	$19.0	

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
Subsector 523 – Financial Investments and Related Activities			
523110	Investment Banking and Securities Dealing	$35.5	
523120	Securities Brokerage	$35.5	
523130	Commodity Contracts Dealing	$35.5	
523140	Commodity Contracts Brokerage	$35.5	
523210	Securities and Commodity Exchanges	$35.5	
523910	Miscellaneous Intermediation	$35.5	
523920	Portfolio Management	$35.5	
523930	Investment Advice	$35.5	
523991	Trust, Fiduciary and Custody Activities	$35.5	
523999	Miscellaneous Financial Investment Activities	$35.5	
Subsector 524 – Insurance Carriers and Related Activities			
524113	Direct Life Insurance Carriers	$35.5	
524114	Direct Health and Medical Insurance Carriers	$35.5	
524126	Direct Property and Casualty Insurance Carriers		1,500
524127	Direct Title Insurance Carriers	$35.5	
524128	Other Direct Insurance (except Life, Health and Medical) Carriers	$35.5	
524130	Reinsurance Carriers	$35.5	
524210	Insurance Agencies and Brokerages	$7.0	
524291	Claims Adjusting	$19.0	
524292	Third Party Administration of Insurance and Pension Funds	$30.0	
524298	All Other Insurance Related Activities	$14.0	
Subsector 525 – Funds, Trusts and Other Financial Vehicles			
525110	Pension Funds	$30.0	
525120	Health and Welfare Funds	$30.0	
525190	Other Insurance Funds	$30.0	
525910	Open-End Investment Funds	$30.0	
525920	Trusts, Estates, and Agency Accounts	$30.0	
525990	Other Financial Vehicles	$30.0	
Sector 53 – Real Estate and Rental and Leasing			
Subsector 531 – Real Estate			
531110	Lessors of Residential Buildings and Dwellings	$25.5	
531120	Lessors of Nonresidential Buildings (except Miniwarehouses)	$25.5	
531130	Lessors of Miniwarehouses and Self Storage Units	$25.5	

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
531190	Lessors of Other Real Estate Property	$25.5	
Except,	Leasing of Building Space to Federal Government by Owners[9]	$35.5[9]	
531210	Offices of Real Estate Agents and Brokers[10]	$7.0[10]	
531311	Residential Property Managers	$7.0	
531312	Nonresidential Property Managers	$7.0	
531320	Offices of Real Estate Appraisers	$7.0	
531390	Other Activities Related to Real Estate	$7.0	
Subsector 532 – Rental and Leasing Services			
532111	Passenger Car Rental	$35.5	
532112	Passenger Car Leasing	$35.5	
532120	Truck, Utility Trailer, and RV (Recreational Vehicle) Rental and Leasing	$35.5	
532210	Consumer Electronics and Appliances Rental	$35.5	
532220	Formal Wear and Costume Rental	$19.0	
532230	Video Tape and Disc Rental	$25.5	
532291	Home Health Equipment Rental	$30.0	
532292	Recreational Goods Rental	$7.0	
532299	All Other Consumer Goods Rental	$7.0	
532310	General Rental Centers	$7.0	
532411	Commercial Air, Rail, and Water Transportation Equipment Rental and Leasing	$30.0	
532412	Construction, Mining and Forestry Machinery and Equipment Rental and Leasing	$30.0	
532420	Office Machinery and Equipment Rental and Leasing	$30.0	
532490	Other Commercial and Industrial Machinery and Equipment Rental and Leasing	$30.0	
Subsector 533 – Lessors of Nonfinancial Intangible Assets (except Copyrighted Works)			
533110	Lessors of Nonfinancial Intangible Assets (except Copyrighted Works)	$35.5	
Sector 54 – Professional, Scientific and Technical Services			
Subsector 541 – Professional, Scientific and Technical Services			
541110	Offices of Lawyers	$10.0	
541191	Title Abstract and Settlement Offices	$10.0	
541199	All Other Legal Services	$10.0	
541211	Offices of Certified Public Accountants	$19.0	
541213	Tax Preparation Services	$19.0	

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
541214	Payroll Services	$19.0	
541219	Other Accounting Services	$19.0	
541310	Architectural Services	$7.0	
541320	Landscape Architectural Services	$7.0	
541330	Engineering Services	$14.0	
Except,	Military and Aerospace Equipment and Military Weapons	$35.5	
Except,	Contracts and Subcontracts for Engineering Services Awarded Under the National Energy Policy Act of 1992	$35.5	
Except,	Marine Engineering and Naval Architecture	$35.5	
541340	Drafting Services	$7.0	
541350	Building Inspection Services	$7.0	
541360	Geophysical Surveying and Mapping Services	$14.0	
541370	Surveying and Mapping (except Geophysical) Services	$14.0	
541380	Testing Laboratories	$14.0	
541410	Interior Design Services	$7.0	
541420	Industrial Design Services	$7.0	
541430	Graphic Design Services	$7.0	
541490	Other Specialized Design Services	$7.0	
541511	Custom Computer Programming Services	$25.5	
541512	Computer Systems Design Services	$25.5	
541513	Computer Facilities Management Services	$25.5	
541519	Other Computer Related Services	$25.5	
Except,	Information Technology Value Added Resellers[18]		150[18]
541611	Administrative Management and General Management Consulting Services	$14.0	
541612	Human Resources Consulting Services	$14.0	
541613	Marketing Consulting Services	$14.0	
541614	Process, Physical Distribution and Logistics Consulting Services	$14.0	
541618	Other Management Consulting Services	$14.0	
541620	Environmental Consulting Services	$14.0	
541690	Other Scientific and Technical Consulting Services	$14.0	
541711	Research and Development in Biotechnology[11]		500[11]

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
541712	Research and Development in the Physical, Engineering, and Life Sciences (except Biotechnology) [11]		500[11]
Except,	Aircraft		1,500
Except,	Aircraft Parts, and Auxiliary Equipment, and Aircraft Engine Parts		1,000
Except,	Space Vehicles and Guided Missiles, their Propulsion Units, their Propulsion Units Parts, and their Auxiliary Equipment and Parts		1,000
541720	Research and Development in the Social Sciences and Humanities	$19.0	
541810	Advertising Agencies[10]	$14.0[10]	
541820	Public Relations Agencies	$14.0	
541830	Media Buying Agencies	$14.0	
541840	Media Representatives	$14.0	
541850	Outdoor Advertising	$14.0	
541860	Direct Mail Advertising	$14.0	
541870	Advertising Material Distribution Services	$14.0	
541890	Other Services Related to Advertising	$14.0	
541910	Marketing Research and Public Opinion Polling	$14.0	
541921	Photography Studios, Portrait	$7.0	
541922	Commercial Photography	$7.0	
541930	Translation and Interpretation Services	$7.0	
541940	Veterinary Services	$7.0	
541990	All Other Professional, Scientific and Technical Services	$14.0	
Sector 55 – Management of Companies and Enterprises			
Subsector 551 – Management of Companies and Enterprises			
551111	Offices of Bank Holding Companies	$19.0	
551112	Offices of Other Holding Companies	$19.0	
Sector 56 – Administrative and Support, Waste Management and Remediation Services			
Subsector 561 – Administrative and Support Services			
561110	Office Administrative Services	$7.0	
561210	Facilities Support Services[12]	$35.5[12]	
561311	Employment Placement Agencies	$25.5	

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
561312	Executive Search Services	$25.5	
561320	Temporary Help Services	$25.5	
561330	Professional Employer Organizations	$25.5	
561410	Document Preparation Services	$14.0	
561421	Telephone Answering Services	$14.0	
561422	Telemarketing Bureaus and Other contact Centers	$14.0	
561431	Private Mail Centers	$14.0	
561439	Other Business Service Centers (including Copy Shops)	$14.0	
561440	Collection Agencies	$14.0	
561450	Credit Bureaus	$14.0	
561491	Repossession Services	$14.0	
561492	Court Reporting and Stenotype Services	$14.0	
561499	All Other Business Support Services	$14.0	
561510	Travel Agencies[10]	$19.0[10]	
561520	Tour Operators[10]	$19.0[10]	
561591	Convention and Visitors Bureaus	19.0	
561599	All Other Travel Arrangement and Reservation Services	$19.0	
561611	Investigation Services	$19.0	
561612	Security Guards and Patrol Services	$19.0	
561613	Armored Car Services	$19.0	
561621	Security Systems Services (except Locksmiths)	$19.0	
561622	Locksmiths	$19.0	
561710	Exterminating and Pest Control Services	$10.0	
561720	Janitorial Services	$16.5	
561730	Landscaping Services	$7.0	
561740	Carpet and Upholstery Cleaning Services	$5.0	
561790	Other Services to Buildings and Dwellings	$7.0	
561910	Packaging and Labeling Services	$10.0	
561920	Convention and Trade Show Organizers[10]	$10.0[10]	
561990	All Other Support Services	$10.0	
Subsector 562 – Waste Management and Remediation Services			
562111	Solid Waste Collection	$35.5	
562112	Hazardous Waste Collection	$35.5	
562119	Other Waste Collection	$35.5	
562211	Hazardous Waste Treatment and Disposal	$35.5	

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
562212	Solid Waste Landfill	$35.5	
562213	Solid Waste Combustors and Incinerators	$35.5	
562219	Other Nonhazardous Waste Treatment and Disposal	$35.5	
562910	Remediation Services	$19.0	
Except,	Environmental Remediation Services[14]		500[14]
562920	Materials Recovery Facilities	$19.0	
562991	Septic Tank and Related Services	$7.0	
562998	All Other Miscellaneous Waste Management Services	$7.0	
Sector 61 – Educational Services			
Subsector 611 – Educational Services			
611110	Elementary and Secondary Schools	$10.0	
611210	Junior Colleges	$19.0	
611310	Colleges, Universities and Professional Schools	$25.5	
611410	Business and Secretarial Schools	$7.0	
611420	Computer Training	$10.0	
611430	Professional and Management Development Training	$10.0	
611511	Cosmetology and Barber Schools	$7.0	
611512	Flight Training	$25.5	
611513	Apprenticeship Training	$7.0	
611519	Other Technical and Trade Schools	$14.0	
Except,	Job Corps Centers[16]	$35.5[16]	
611610	Fine Arts Schools	$7.0	
611620	Sports and Recreation Instruction	$7.0	
611630	Language Schools	$10.0	
611691	Exam Preparation and Tutoring	$7.0	
611692	Automobile Driving Schools	$7.0	
611699	All Other Miscellaneous Schools and Instruction	$10.0	
611710	Educational Support Services	$14.0	
Sector 62 – Health Care and Social Assistance			
Subsector 621 – Ambulatory Health Care Services			
621111	Offices of Physicians (except Mental Health Specialists)	$10.0	
621112	Offices of Physicians, Mental Health Specialists	$10.0	
621210	Offices of Dentists	$7.0	
621310	Offices of Chiropractors	$7.0	
621320	Offices of Optometrists	$7.0	

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
621330	Offices of Mental Health Practitioners (except Physicians)	$7.0	
621340	Offices of Physical, Occupational and Speech Therapists and Audiologists	$7.0	
621391	Offices of Podiatrists	$7.0	
621399	Offices of All Other Miscellaneous Health Practitioners	$7.0	
621410	Family Planning Centers	$10.0	
621420	Outpatient Mental Health and Substance Abuse Centers	$14.0	
621491	HMO Medical Centers	$30.0	
621492	Kidney Dialysis Centers	$35.5	
621493	Freestanding Ambulatory Surgical and Emergency Centers	$14.0	
621498	All Other Outpatient Care Centers	$19.0	
621511	Medical Laboratories	$30.0	
621512	Diagnostic Imaging Centers	$14.0	
621610	Home Health Care Services	$14.0	
621910	Ambulance Services	$14.0	
621991	Blood and Organ Banks	$30.0	
621999	All Other Miscellaneous Ambulatory Health Care Services	$14.0	
Subsector 622 – Hospitals			
622110	General Medical and Surgical Hospitals	$35.5	
622210	Psychiatric and Substance Abuse Hospitals	$35.5	
622310	Specialty (except Psychiatric and Substance Abuse) Hospitals	$35.5	
Subsector 623 – Nursing and Residential Care Facilities			
623110	Nursing Care Facilities (Skilled Nursing Facilities)	$25.5	
623210	Residential Intellectual and Developmental Disability Facilities	$14.0	
623220	Residential Mental Health and Substance Abuse Facilities	$14.0	
623311	Continuing Care Retirement Communities	$25.5	
623312	Assisted Living Facilities for the Elderly	$10.0	
623990	Other Residential Care Facilities	$10.0	

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
Subsector 624 – Social Assistance			
624110	Child and Youth Services	$10.0	
624120	Services for the Elderly and Persons with Disabilities	$10.0	
624190	Other Individual and Family Services	$10.0	
624210	Community Food Services	$10.0	
624221	Temporary Shelters	$10.0	
624229	Other Community Housing Services	$14.0	
624230	Emergency and Other Relief Services	$30.0	
624310	Vocational Rehabilitation Services	$10.0	
624410	Child Day Care Services	$7.0	
Sector 71 – Arts, Entertainment and Recreation			
Subsector 711 – Performing Arts, Spectator Sports and Related Industries			
711110	Theater Companies and Dinner Theaters	$19.0	
711120	Dance Companies	$10.0	
711130	Musical Groups and Artists	$10.0	
711190	Other Performing Arts Companies	$25.5	
711211	Sports Teams and Clubs	$35.5	
711212	Race Tracks	$35.5	
711219	Other Spectator Sports	$10.0	
711310	Promoters of Performing Arts, Sports and Similar Events with Facilities	$30.0	
711320	Promoters of Performing Arts, Sports and Similar Events without Facilities	$14.0	
711410	Agents and Managers for Artists, Athletes, Entertainers and Other Public Figures	$10.0	
711510	Independent Artists, Writers, and Performers	$7.0	
Subsector 712 – Museums, Historical Sites and Similar Institutions			
712110	Museums	$25.5	
712120	Historical Sites	$7.0	
712130	Zoos and Botanical Gardens	$25.5	
712190	Nature Parks and Other Similar Institutions	$7.0	
Subsector 713 – Amusement, Gambling and Recreation Industries			
713110	Amusement and Theme Parks	$35.5	
713120	Amusement Arcades	$7.0	
713210	Casinos (except Casino Hotels)	$25.5	
713290	Other Gambling Industries	$30.0	
713910	Golf Courses and Country Clubs	$14.0	

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
713920	Skiing Facilities	$25.5	
713930	Marinas	$7.0	
713940	Fitness and Recreational Sports Centers	$7.0	
713950	Bowling Centers	$7.0	
713990	All Other Amusement and Recreation Industries	$7.0	
Sector 72 – Accommodation and Food Services			
Subsector 721 – Accommodation			
721110	Hotels (except Casino Hotels) and Motels	$30.0	
721120	Casino Hotels	$30.0	
721191	Bed-and-Breakfast Inns	$7.0	
721199	All Other Traveler Accommodation	$7.0	
721211	RV (Recreational Vehicle) Parks and Campgrounds	$7.0	
721214	Recreational and Vacation Camps (except Campgrounds)	$7.0	
721310	Rooming and Boarding Houses	$7.0	
Subsector 722 – Food Services and Drinking Places			
722310	Food Service Contractors	$35.5	
722320	Caterers	$7.0	
722330	Mobile Food Services	$7.0	
722410	Drinking Places (Alcoholic Beverages)	$7.0	
722511	Full-Service Restaurants	$7.0	
722513	Limited-Service Restaurants	$10.0	
722514	Cafeterias, Grill Buffets, and Buffets	$25.5	
722515	Snack and Nonalcoholic Beverage Bars	$7.0	
Sector 81 – Other Services			
Subsector 811 – Repair and Maintenance			
811111	General Automotive Repair	$7.0	
811112	Automotive Exhaust System Repair	$7.0	
811113	Automotive Transmission Repair	$7.0	
811118	Other Automotive Mechanical and Electrical Repair and Maintenance	$7.0	
811121	Automotive Body, Paint and Interior Repair and Maintenance	$7.0	
811122	Automotive Glass Replacement Shops	$10.0	
811191	Automotive Oil Change and Lubrication Shops	$7.0	
811192	Car Washes	$7.0	
811198	All Other Automotive Repair and Maintenance	$7.0	

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
811211	Consumer Electronics Repair and Maintenance	$7.0	
811212	Computer and Office Machine Repair and Maintenance	$25.5	
811213	Communication Equipment Repair and Maintenance	$10.0	
811219	Other Electronic and Precision Equipment Repair and Maintenance	$19.0	
811310	Commercial and Industrial Machinery and Equipment (except Automotive and Electronic) Repair and Maintenance	$7.0	
811411	Home and Garden Equipment Repair and Maintenance	$7.0	
811412	Appliance Repair and Maintenance	$14.0	
811420	Reupholstery and Furniture Repair	$7.0	
811430	Footwear and Leather Goods Repair	$7.0	
811490	Other Personal and Household Goods Repair and Maintenance	$7.0	
Subsector 812 – Personal and Laundry Services			
812111	Barber Shops	$7.0	
812112	Beauty Salons	$7.0	
812113	Nail Salons	$7.0	
812191	Diet and Weight Reducing Centers	$19.0	
812199	Other Personal Care Services	$7.0	
812210	Funeral Homes and Funeral Services	$7.0	
812220	Cemeteries and Crematories	$19.0	
812310	Coin-Operated Laundries and Drycleaners	$7.0	
812320	Drycleaning and Laundry Services (except Coin-Operated)	$5.0	
812331	Linen Supply	$30.0	
812332	Industrial Launderers	$35.5	
812910	Pet Care (except Veterinary) Services	$7.0	
812921	Photofinishing Laboratories (except One-Hour)	$19.0	
812922	One-Hour Photofinishing	$14.0	
812930	Parking Lots and Garages	$35.5	
812990	All Other Personal Services	$7.0	
Subsector 813 – Religious, Grantmaking, Civic, Professional and Similar Organizations			
813110	Religious Organizations	$7.0	
813211	Grantmaking Foundations	$30.0	

NAICS Codes	NAICS Industry Description	Size Standards in millions of dollars	Size standards in number of employees
813212	Voluntary Health Organizations	$25.5	
813219	Other Grantmaking and Giving Services	$35.5	
813311	Human Rights Organizations	$25.5	
813312	Environment, Conservation and Wildlife Organizations	$14.0	
813319	Other Social Advocacy Organizations	$7.0	
813410	Civic and Social Organizations	$7.0	
813910	Business Associations	$7.0	
813920	Professional Organizations	$14.0	
813930	Labor Unions and Similar Labor Organizations	$7.0	
813940	Political Organizations	$7.0	
813990	Other Similar Organizations (except Business, Professional, Labor, and Political Organizations)	$7.0	
Sector 92 – Public Administration[19]			
(Small business size standards are not established for this Sector. Establishments in the Public Administration Sector are Federal, state, and local government agencies which administer and oversee government programs and activities that are not performed by private establishments.)			

Endnotes

1. [Reserved].

2. NAICS code 237990 – Dredging: To be considered small for purposes of Government procurement, a firm must perform at least 40 percent of the volume dredged with its own equipment or equipment owned by another small dredging concern.

3. NAICS code 311421 – For purposes of Government procurement for food canning and preserving, the standard of 500 employees excludes agricultural labor as defined in section 3306(k) of the Internal Revenue Code, 26 U.S.C. 3306(k).

4. NAICS code 324110 – For purposes of Government procurement, the petroleum refiner must be a concern that has no more than 1,500 employees nor more than 125,000 barrels per calendar day total Operable Atmospheric Crude Oil Distillation capacity. Capacity includes owned or leased facilities as well as facilities under a processing agreement or an arrangement such as an exchange agreement or a throughput. The total product to be delivered under the contract must be at least 90 percent refined by the successful bidder from either crude oil or bona fide feedstocks.

5. NAICS code 326211 – For Government procurement, a firm is small for bidding on a contract for pneumatic tires within Census Classification codes 30111 and 30112, provided that:

a) the value of tires within Census Classification codes 30111 and 30112 which it manufactured in the United States during the previous calendar year is more than 50 percent of the value of its total worldwide manufacture,

b) the value of pneumatic tires within Census Classification codes 30111 and 30112 comprising its total worldwide manufacture during the preceding calendar year was less than 5 percent of the value of all such tires manufactured in the United States during that period, and

c) the value of the principal product which it manufactured or otherwise produced, or sold worldwide during the preceding calendar year is less than 10 percent of the total value of such products manufactured or otherwise produced or sold in the United States during that period.

6. NAICS Subsectors 333, 334, 335 and 336 – For rebuilding machinery or equipment on a factory basis, or equivalent, use the NAICS code for a newly manufactured product. Concerns performing major rebuilding or overhaul activities do not necessarily have to meet the criteria for being a "manufacturer" although the activities may be classified under a manufacturing NAICS code. Ordinary repair services or preservation are not considered rebuilding.

7. NAICS code 336413 – Contracts for the rebuilding or overhaul of aircraft ground support equipment on a contract basis are classified under NAICS code 336413.

8. NAICS Codes 522110, 522120, 522130, 522190, and 522210 – A financial institution's assets are determined by averaging the assets reported on its four quarterly financial statements for the preceding year. "Assets" for the purposes of this size standard means the assets defined according to the Federal Financial Institutions Examination Council 041 call report form for NAICS Codes 522110, 522120, 522190, and 522210 and the National Credit Union Administration 5300 call report form for NAICS code 522130.

9. NAICS code 531190 – Leasing of building space to the Federal Government by Owners: For Government procurement, a size standard of $35.5 million in gross receipts applies to the owners of building space leased to the Federal Government. The standard does not apply to an agent.

10. NAICS codes 488510, 531210, 541810, 561510, 561520 and 561920 – As measured by total revenues, but excluding funds received in trust for an unaffiliated third party, such as bookings or sales subject to commissions. The commissions received are included as revenue.

11. NAICS code 541711 and 541712 – For research and development contracts requiring the delivery of a manufactured product, the appropriate size standard is that of the manufacturing industry.

a) "Research and Development" means laboratory or other physical research and development. It does not include economic, educational, engineering, operations, systems, or other nonphysical research; or computer programming, data processing, commercial and/or medical laboratory testing.

b) For purposes of the Small Business Innovation Research (SBIR) program only, a different definition has been established by law. See section 121.701 of these regulations.

c) "Research and Development" for guided missiles and space vehicles includes evaluations and simulation, and other services requiring thorough knowledge of complete missiles and spacecraft.

12. NAICS 561210 – Facilities Support Services:

a) If one or more activities of Facilities Support Services as defined in paragraph (b) (below in this footnote) can be identified with a specific industry and that industry accounts for 50% or more of the value of an entire procurement, then the proper classification of the procurement is that of the specific industry, not Facilities Support Services.
b) "Facilities Support Services" requires the performance of three or more separate activities in the areas of services or specialty trade contractors industries. If services are performed, these service activities must each be in a separate NAICS industry. If the procurement requires the use of specialty trade contractors (plumbing, painting, plastering, carpentry, *etc.*), all such specialty trade contractors activities are considered a single activity and classified as "Building and Property Specialty Trade Services." Since "Building and Property Specialty Trade Services" is only one activity, two additional activities of separate NAICS industries are required for a procurement to be classified as "Facilities Support Services."

13. NAICS code 238990 – Building and Property Specialty Trade Services: If a procurement requires the use of multiple specialty trade contractors (i.e., plumbing, painting, plastering, carpentry, etc.), and no specialty trade accounts for 50% or more of the value of the procurement, all such specialty trade contractors activities are considered a single activity and classified as Building and Property Specialty Trade Services.

14. NAICS 562910 – Environmental Remediation Services:

a) For SBA assistance as a small business concern in the industry of Environmental Remediation Services, other than for Government procurement, a concern must be engaged primarily in furnishing a range of services for the remediation of a contaminated environment to an acceptable condition including, but not limited to, preliminary assessment, site inspection, testing, remedial investigation, feasibility studies, remedial design, containment, remedial action, removal of contaminated materials, storage of contaminated materials and security and site closeouts. If one of such activities accounts for 50 percent or more of a concern's total revenues, employees, or other related factors, the concern's primary industry is that of the particular industry and not the Environmental Remediation Services Industry.

b) For purposes of classifying a Government procurement as Environmental Remediation Services, the general purpose of the procurement must be to restore or directly support the restoration of a contaminated environment. This includes activities such as preliminary assessment, site inspection, testing, remedial investigation, feasibility studies, remedial design, remediation services, containment, and removal of contaminated materials or security and site closeouts. The general purpose of the procurement need not necessarily include remedial actions. Also, the procurement must be composed of activities in three or more separate industries with separate

NAICS codes or, in some instances (e.g., engineering), smaller sub-components of NAICS codes with separate and distinct size standards. These activities may include, but are not limited to, separate activities in industries such as: Heavy Construction; Special Trade Contractors; Engineering Services; Architectural Services; Management Consulting Services; Hazardous and Other Waste Collection; Remediation Services; Testing Laboratories; and Research and Development in the Physical, Engineering, and Life Sciences. If any activity in the procurement can be identified with a separate NAICS code, or component of a code with a separate distinct size standard, and that industry accounts for 50 percent or more of the value of the entire procurement, then the proper size standard is the one for that particular industry, and not the Environmental Remediation Service size standard.

15. Subsector 483 – Water Transportation – Offshore Marine Services: The applicable size standard shall be $28.0 million for firms furnishing specific transportation services to concerns engaged in offshore oil and/or natural gas exploration, drilling production, or marine research; such services encompass passenger and freight transportation, anchor handling, and related logistical services to and from the work site.

16. NAICS code 611519 – Job Corps Centers. For classifying a Federal procurement, the purpose of the solicitation must be for the management and operation of a U.S. Department of Labor Job Corps Center. The activities involved include admissions activities, life skills training, educational activities, comprehensive career preparation activities, career development activities, career transition activities, as well as the management and support functions and services needed to operate and maintain the facility. For SBA assistance as a small business concern, other than for Federal Government procurements, a concern must be primarily engaged in providing the services to operate and maintain Federal Job Corps Centers.

17. NAICS code 115310 – Support Activities for Forestry – Forest Fire Suppression and Fuels Management Services are two components of Support Activities for Forestry. Forest Fire Suppression includes establishments which provide services to fight forest fires. These firms usually have fire-fighting crews and equipment. Fuels Management Services firms provide services to clear land of hazardous materials that would fuel forest fires. The treatments used by these firms may include prescribed fire, mechanical removal, establishing fuel breaks, thinning, pruning, and piling.

18. NAICS code 541519 – An Information Technology Value Added Reseller provides a total solution to information technology acquisitions by providing multi-vendor hardware and software along with significant services. Significant value added services consist of, but are not limited to, configuration consulting and design, systems integration, installation of multi-vendor computer equipment, customization of hardware or software, training, product technical support, maintenance, and end user support. For purposes of Government procurement, an information technology procurement classified under this industry category must consist of at least 15% and not more than 50% of value added services as measured by the total price less

the cost of information technology hardware, computer software, and profit. If the contract consists of less than 15% of value added services, then it must be classified under a NAICS manufacturing industry. If the contract consists of more than 50% of value added services, then it must be classified under the NAICS industry that best describes the predominate service of the procurement. To qualify as an Information Technology Value Added Reseller for purposes of SBA assistance, other than for Government procurement, a concern must be primarily engaged in providing information technology equipment and computer software and provide value added services which account for at least 15% of its receipts but not more than 50% of its receipts.

19. NAICS Sector 92 – Small business size standards are not established for this sector. Establishments in the Public Administration sector are Federal, State, and local government agencies which administer and oversee government programs and activities that are not performed by private establishments. Concerns performing operational services for the administration of a government program are classified under the NAICS private sector industry based on the activities performed. Similarly, procurements for these types of services are classified under the NAICS private sector industry that best describes the activities to be performed. For example, if a government agency issues a procurement for law enforcement services, the requirement would be classified using one of the NAICS industry codes under NAICS industry 56161, Investigation, Guard, and Armored Car Services.

Contacts SBA's Office of Government Contracting has six offices with an employee designated as a Size Specialist. Below are the office addresses and telephone numbers.	
Area I Office of Government Contracting **Boston Area Office** U.S. Small Business Administration 10 Causeway Street Room 265 Boston, MA 02222-1093 Tel: (617) 565-5622	**Area IV** Office of Government Contracting **Chicago Area Office** U.S. Small Business Administration 500 West Madison Street Suite 1250 Chicago, IL 60661-2511 Tel: 312.353.7674
Area II Office of Government Contracting **Philadelphia Area Office** U.S. Small Business Administration Parkview Tower 1150 First Avenue Suite 1001 King of Prussia, PA 19406 Tel: (610) 382-3190	**Area V** Office Government Contracting **Dallas Area Office** U.S. Small Business Administration 4300 Amon Carter Boulevard, Suite 116 Fort Worth, TX 76155 Tel: (817) 684-5303
Area III Office of Government Contracting **Atlanta Area Office** U.S. Small Business Administration 233 Peachtree Street, NE Suite 1805 Atlanta, GA 30309 Tel: (404) 331-7587	**Area VI** Office of Government Contracting **San Francisco Area Office** U.S. Small Business Administration 455 Market Street 6th Floor San Francisco, CA 94105 Tel: (415) 744-8429
IN WASHINGTON, DC, THERE ARE TWO OFFICES THAT YOU MAY CONTACT	
Office of Size Standards U.S. Small Business Administration 409 3rd Street, SW Washington, DC 20416 Tel: (202) 205-6618	**Office of Contracting Assistance** U.S. Small Business Administration 409 3rd Street, SW Washington, DC 20416 Tel: (202) 205-6460